

# Obtaining $L^3$ Estimates from Stern's Inequality and Integration By Parts

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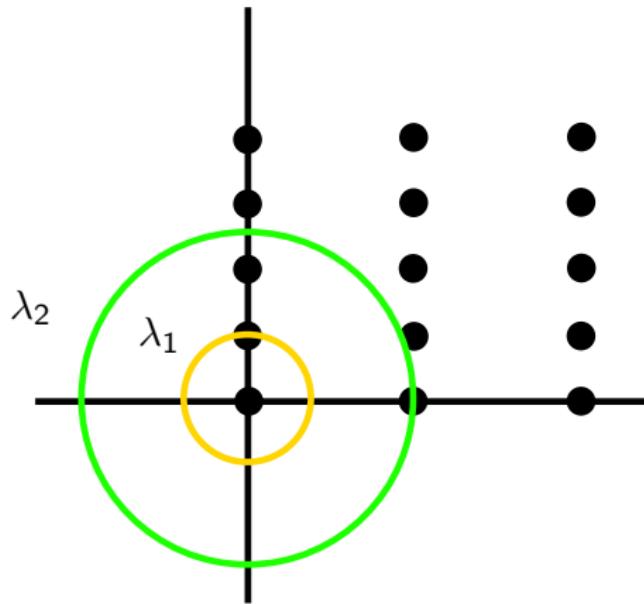
## 1 Successive Minima Drawing

## 2 $L^2$ Bounds

## 3 $L^3$ Bounds

- Lifting, and Fundamental Domains
- Covering Constant
- Integration By Parts

# Successive Minima



## Lemma

*There is a constant  $C(V, \sigma)$  such that for all metrics  $g$  on  $\mathbb{T}^3$  with  $\text{vol}_g(\mathbb{T}^3) \geq V$  and  $\min \{\text{stabsys}_1(\mathbb{T}^3, g), \text{stabsys}_2(\mathbb{T}^3, g)\} \geq \sigma$ , we can find maps  $u^i : \mathbb{T}^3 \rightarrow \mathbb{S}$  such that  $du^i$  are harmonic one-forms which form a basis for  $H^1(\mathbb{T}^3; \mathbb{Z})_{\mathbb{R}}$  and which satisfy*

$$\left( \int_{\mathbb{T}^3} |d\omega^i|_g^2 \text{vol}_g \right) \leq C(V, \sigma).$$

## Corollary

Let  $g$  be a Riemannian metric on  $\mathbb{T}^3$  such that  $\text{vol}_g(\mathbb{T}^3) \geq V$  and  $\min\{\text{stabsys}_1(\mathbb{T}^3, g), \text{stabsys}_2(\mathbb{T}^3, g)\} \geq \sigma$ . Let  $S_g^- = \max\{0, -S_g\}$ . Then, we have that

$$C(V, \sigma) \|S_g^-\|_{L^2} \geq \int_{\mathbb{T}^3} \frac{|\nabla du^i|^2}{|du^i|} \text{vol}_g.$$

## Proof.

Apply Hölder's inequality to Stern's inequality to obtain

$$\|du^i\|_{L^2} \|S_g^-\|_{L^2} \geq \int_{\mathbb{T}^3} |du^i| S_g^- \text{vol}_g \geq \int_{\mathbb{T}^3} \frac{|\nabla du^i|^2}{|du^i|} \text{vol}_g.$$



## Trial Calculation

Let  $g_{ij} = g(du^i, du^j)$ , and take the exterior derivative: calculate  
 $dg_{ij}(\cdot) = g(\nabla \cdot du^i, du^j) + g(du^i, \nabla \cdot du^j)$ . We get

$$\int_{\mathbb{T}^3} |dg_{ij}| \text{vol}_g \leq \int_{\mathbb{T}^3} \frac{|\nabla du^i|}{|du^i|^{\frac{1}{2}}} |du^j| + \int_{\mathbb{T}^3} \frac{|\nabla du^j|}{|du^j|^{\frac{1}{2}}} |du^i| \text{vol}_g$$

$$\begin{aligned} \int_{\mathbb{T}^3} |dg_{ij}| \text{vol}_g &\leq \left( \int_{\mathbb{T}^3} \frac{|\nabla du^i|^2}{|du^i|} \text{vol}_g \right)^{\frac{1}{2}} \|du^i\|_{L^3}^{\frac{1}{2}} \|du^j\|_{L^3} \\ &\quad + \left( \int_{\mathbb{T}^3} \frac{|\nabla du^j|^2}{|du^j|} \text{vol}_g \right)^{\frac{1}{2}} \|du^j\|_{L^3}^{\frac{1}{2}} \|du^i\|_{L^3}. \end{aligned}$$

## Idea

We can rewrite  $\int_{\mathbb{T}^3} |du^i|_g^3 \text{vol}_g$  as

$$\int_{\mathbb{T}^3} g(|du^i|_g^2, du^i) \text{vol}_g.$$

This formulation lends itself to integration by parts.

## Lemma

Let  $\mathbb{U} : \mathbb{T}^3 \rightarrow \mathbb{T}^3$  be a map from the three-torus to itself. Then, it lifts to a map  $\hat{\mathbb{U}} : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ :

$$\begin{array}{ccc} \mathbb{R}^3 & \xrightarrow{\hat{\mathbb{U}}} & \mathbb{R}^3 \\ \downarrow \pi & & \downarrow \pi \\ \mathbb{T}^3 & \xrightarrow{\mathbb{U}} & \mathbb{T}^3 \end{array}$$

## Proof.

- ① Lift  $\mathbb{U}$  to a map  $\tilde{\mathbb{U}} : \mathbb{R}^3 \rightarrow \mathbb{T}^3$ .
- ② Then lift  $\tilde{\mathbb{U}}$  to a map  $\hat{\mathbb{U}} : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ .



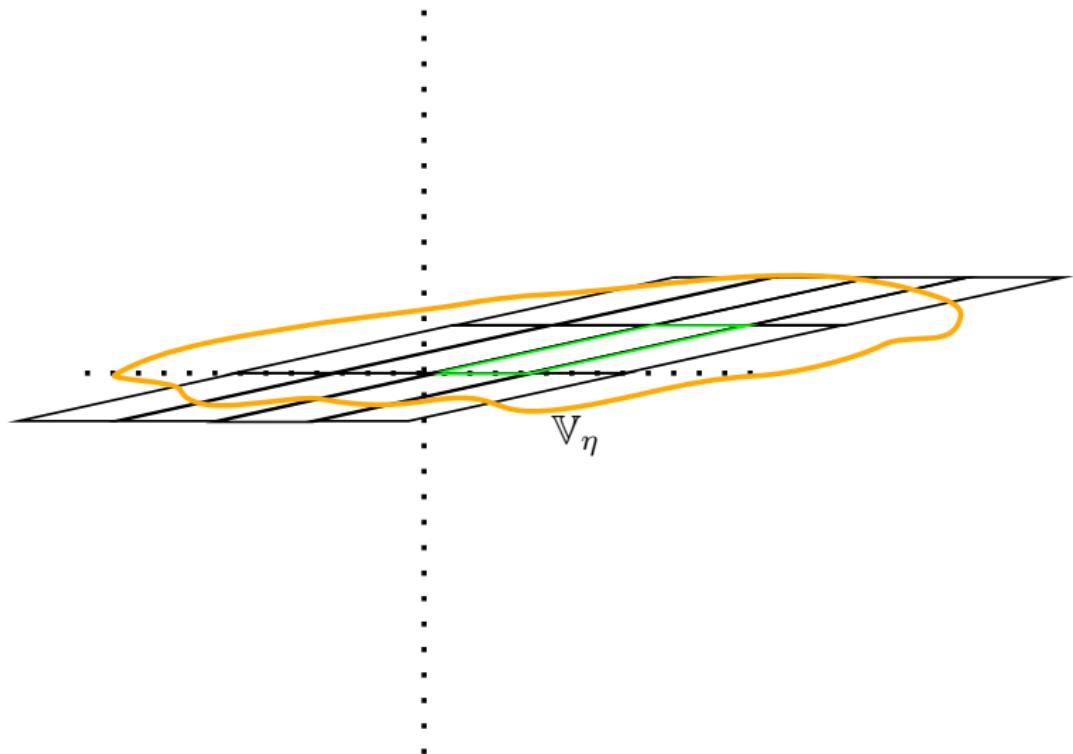
## Definition

Let  $\pi : \mathbb{R}^3 \rightarrow \mathbb{T}^3$  be the covering map. Suppose that  $\mathbb{V} \subset \mathbb{R}^3$  is a compact subset of  $\mathbb{R}^3$  such that  $\pi(\mathbb{V}) = \mathbb{T}^3$ ,  $\pi|_{\text{int}(\mathbb{V})}$  is injective, and  $\partial\mathbb{V}$  has measure zero. Then, we call  $\mathbb{V}$  a fundamental domain of  $\mathbb{T}^3$ .

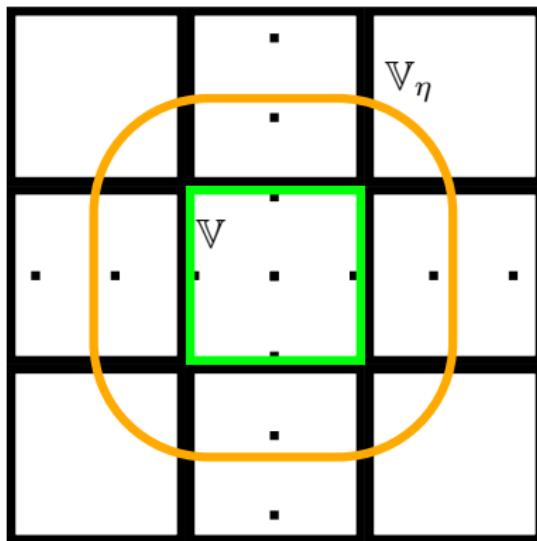
## Observation

- ① Fundamental domains allow us to move information from  $\mathbb{R}^3$  to  $\mathbb{T}^3$ .
- ② Integrating by parts over a fundamental domain might introduce hard to control boundary terms.
- ③ Use a cutoff, and try to understand how fundamental domains fit next to each other.

# Covering



# Covering



# The Covering Constant

## Definition

Let  $g$  be a Riemannian metric on  $\mathbb{T}^3$  and let  $\eta > 0$  be fixed. Then, we define  $\kappa(g, \eta)$  as follows

$$\kappa(g, \eta) = \min \left\{ m : \exists \mathbb{V}, \sup_{y \in \mathbb{T}^3} |\pi^{-1}\{y\} \cap \mathbb{V}_\eta| \leq m \right\}.$$

# Integration By Parts

## Calculation

- Let  $\psi : \mathbb{R}^3 \rightarrow \mathbb{R}$  be a smooth function cutoff function for  $\mathbb{V}_\eta$ :  
 $0 \leq f \leq 1$ , we have  $\text{spt}(f) \subset \mathbb{V}_\eta$ , we have  $f|_{\mathbb{V}} = 1$ , and  $|\nabla f|_g \leq \frac{2}{\eta}$ .
- Calculate as follows.

$$\int_{\mathbb{R}^3} \pi^* g(f | d\hat{u}^i | d\hat{u}^i, d\hat{u}^i) \text{vol}_{\pi^* g} = - \int_{\mathbb{R}^3} \hat{u}^i \text{div}_{\pi^* g}(f | d\hat{u}^i | d\hat{u}^i) \text{vol}_{\pi^* g}$$

- Simplify the divergence term.

$$\text{div}_{\pi^* g}(f | d\hat{u}^i | d\hat{u}^i) = \pi^* g(df, | d\hat{u}^i | d\hat{u}^i) + f \pi^* g(d | d\hat{u}^i |, d\hat{u}^i)$$

# Integration By Parts

## Calculation

- Take norms and estimate to obtain

$$\int_{\mathbb{R}^3} f |d\hat{u}^i|_{\pi^*g}^3 \text{vol}_{\pi^*g} \leq \int_{\mathbb{R}^3} |\hat{u}^i| (|df| |d\hat{u}^i|^2 + f |\nabla d\hat{u}^i| |d\hat{u}^i|) \text{vol}_{\pi^*g}$$

- Use that  $f$  is a cutoff function to obtain

$$\int_{\mathbb{V}} |d\hat{u}^i|^3 \text{vol}_{\pi^*g} \leq \int_{\mathbb{V}_\eta} |\hat{u}^i| \left( \frac{2}{\eta} |d\hat{u}^i|^2 + \frac{|\nabla d\hat{u}^i|}{|d\hat{u}^i|^{\frac{1}{2}}} |d\hat{u}^i|^{\frac{3}{2}} \right) \text{vol}_{\pi^*g}.$$

# Sup Bound

## Lemma

Let  $g$  be a Riemannian metric on  $\mathbb{T}^3$  such that  $\text{stabsys}_2(\mathbb{T}^3, g) \geq \sigma$ , and let  $\eta > 0$ . Let  $\mathbb{V}$  be a fundamental domain which gives  $\kappa(g, \eta)$ . Then, we have that

$$\sup_{\mathbb{V}_\eta} \hat{u} - \inf_{\mathbb{V}_\eta} \hat{u} \leq \kappa(g, \eta) \sigma^{-1} |\mathbb{T}^3|_g^{\frac{1}{2}} \|du\|_{L^2}.$$

# Sup Bound

## Proof.

- Observe that  $\int_{\mathbb{V}_\eta} |d\hat{u}| \text{vol}_{\pi^*g} \leq \kappa(g, \eta) \int_{\mathbb{T}^3} |du| \text{vol}_g$ .
- Use the coarea formula to obtain

$$\int_{\mathbb{V}_\eta} |d\hat{u}| \text{vol}_{\pi^*g} = \int_{\inf_{\mathbb{V}_\eta}}^{\sup_{\mathbb{V}_\eta}} \int_{\hat{u}^{-1}\{t\}} 1_{\mathbb{V}_\eta} \text{Area}_{\pi^*g}.$$

- Each  $\pi(\hat{u}^{-1}\{t\})$  must contain a non-trivial element of  $H_2(\mathbb{T}^3; \mathbb{Z})_{\mathbb{R}}$  as a subset: we have  $\mathcal{H}^2(\hat{u}^{-1}\{t\}) \geq \sigma$ .
- We obtain

$$\sup_{\mathbb{V}_\eta} \hat{u} - \inf_{\mathbb{V}_\eta} \hat{u} \leq \sigma^{-1} |\mathbb{T}|^{\frac{1}{2}} \|du\|_{L^2}.$$



# $L^3$ Bound

## Conclusion

Putting everything together gives us

$$\begin{aligned}\|du\|_{L^3}^3 &\leq \kappa(g, \eta)\sigma^{-1}|\mathbb{T}^3|^{\frac{1}{2}}\|du\|_{L^2}\left(\int_{\mathbb{T}^3} \frac{|\nabla du|^2}{|du|}\text{vol}_g\right)^{\frac{1}{2}}\|du\|_{L^3}^{\frac{3}{2}} \\ &+ 2\kappa(g, \eta)\sigma^{-1}\eta^{-1}|\mathbb{T}^3|^{\frac{1}{2}}\|du\|_{L^2}^3\end{aligned}$$

## Lemma

Let  $g$  be a Riemannian metric on  $\mathbb{T}^3$  and let  $\eta > 0$ ,  $\sigma > 0$ ,  $V > 0$ , and  $m \in \mathbb{N}$  be fixed constants. Suppose that

$\min\{\text{stabsys}_1(\mathbb{T}^3, g), \text{stabsys}_2(\mathbb{T}^3, g)\} \geq \sigma$ , that  $\kappa(g, \eta) \leq m$ , and  $V^{-1} \leq |\mathbb{T}^3|_g \leq V$ . Then, there is a constant  $C(V, \sigma, m)$  and a map  $\mathbb{U} : (\mathbb{T}^3, g) \rightarrow \mathbb{T}^3$  such that if  $u^i$  denote the components of  $\mathbb{U}$ , then  $du^i$  are harmonic one-forms, the cohomology classes  $[du^i]$  form a basis of  $H^1(\mathbb{T}^3; \mathbb{Z})_{\mathbb{R}}$ , and for  $i = 1, 2, 3$  we have

$$\max\{\|du^i\|_{L^2}, \|du^i\|_{L^3}\} \leq C(V, \sigma, \eta, m).$$

## Lemma

Let  $\eta, \sigma, V > 0$  and  $m \in \mathbb{N}$ . There is a constant  $C(\eta, \sigma, V, m)$  such that if  $g$  is a Riemannian metric on  $\mathbb{T}^3$  with

- $\min\{\text{stabsys}_1(\mathbb{T}^3, g); \text{stabsys}_2(\mathbb{T}^3, g)\} \geq \sigma;$
- $V^{-1} \leq |\mathbb{T}^3|_g \leq V$
- $\kappa(g, \eta) \leq m,$

then there is a map  $\mathbb{U} : \mathbb{T}^3 \rightarrow \mathbb{T}^3$  whose differentials are harmonic one-forms generating  $H^1(\mathbb{T}^3; \mathbb{Z})_{\mathbb{R}}$  and satisfy

- $\max\{\|du^i\|_{L^2}, \|du^i\|_{L^3}\} \leq C(V, \sigma, \eta, m).$
- $\|dg_{ij}\|_{L^1} \leq C(V, \sigma, \eta, m) \|S_g^-\|_{L^2}^{\frac{1}{2}}.$