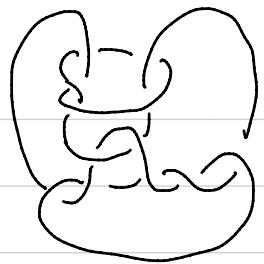


# Unknotting number and satellites

Jt w/ T. Lidman and J. Park



$c(K)$		3	4	11
$u(K)$		1	1	1
$\Delta_K(t)$		$t - 1 + t^{-1}$	$t - 3 + t^{-1}$	
$\sigma(K)$		-2	0	0

Crossing number  $c(K) = \min \# \text{ of crossings in any diagram for } K$   
unknotting number  $u(K) = \min \# \text{ of crossing changes needed}$

to unknot  $K$

Alexander polynomial

$\Delta_K(t)$  defined in terms of homology  
of infinite cyclic cover  $X_K$

signature

$\sigma(K)$

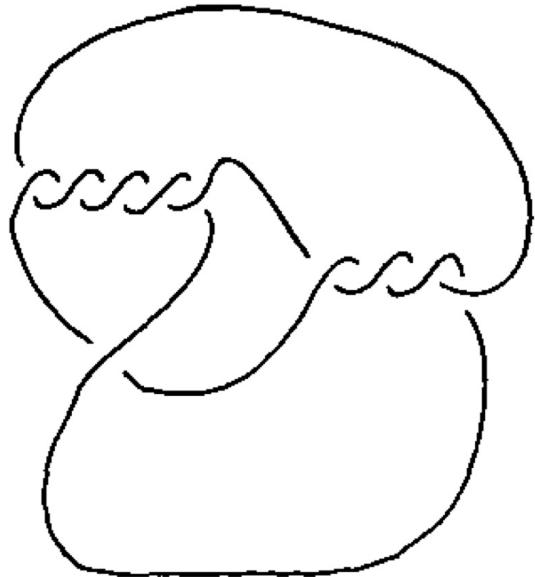
signature of a symmetric bilinear form  
on  $H_1(X_K)$

Thm

$$\left| \frac{\sigma(K)}{2} \right| \leq u(K)$$

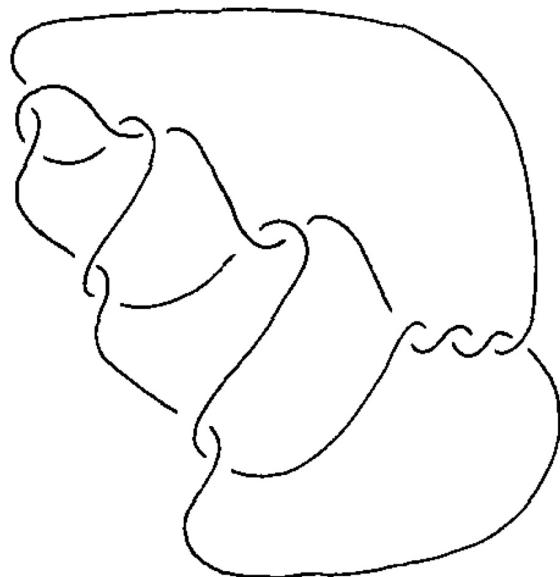
How hard is it to determine unknotting number?

Bleiler  
184



K  
(10 crossings)

Exercise Check that no two crossing changes in this diagram result in unknot

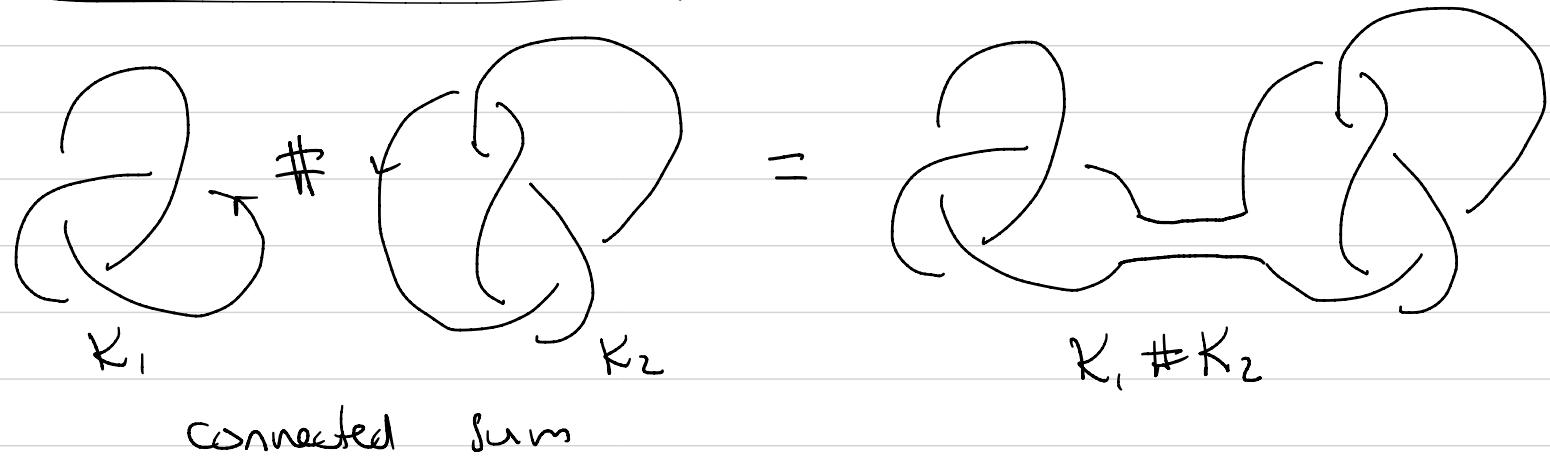


different  
diagrams  
for same  
knot

(14 crossings)

Exercise Find 2 crossings to change to unknot K

## Operations on knots



crossing  
number

$$c(K_1 \# K_2) \leq c(K_1) + c(K_2)$$

$$\geq \text{open}$$

Lackenby '08

$$c(K_1 \# K_2) \geq \frac{c(K_1) + c(K_2)}{152}$$

unknotting  
number

$$u(K_1 \# K_2) \leq u(K_1) + u(K_2)$$

$$\geq \text{open}$$

Scharlemann '85

$$u(K_1 \# K_2) \geq 2$$

$K_1, K_2$  non-trivial

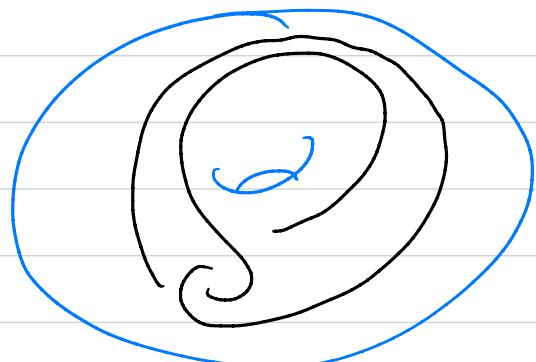
Alexander  
polynomial

$$\Delta_{K_1 \# K_2}(t) = \Delta_{K_1}(t) \cdot \Delta_{K_2}(t)$$

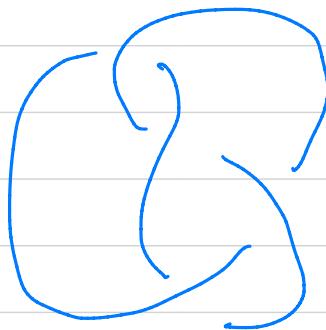
signature

$$\sigma(K_1 \# K_2) = \sigma(K_1) + \sigma(K_2)$$

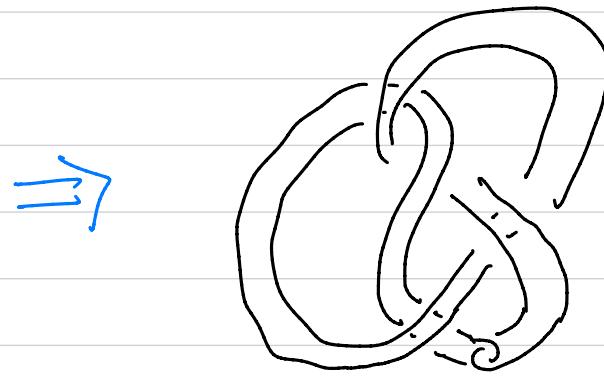
## More operations on knots: satellites



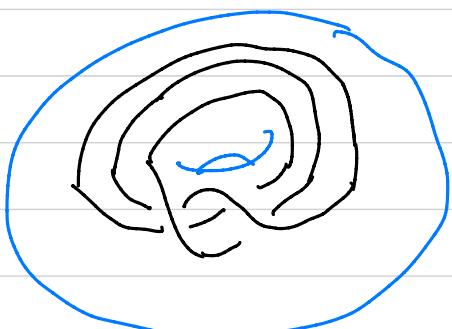
$P \subset S^1 \times D^2$   
pattern



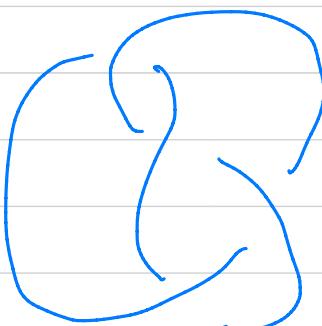
companion K



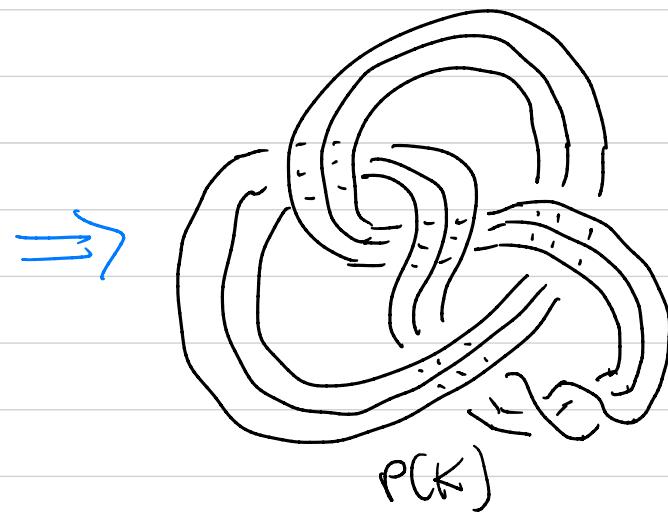
$P(K)$



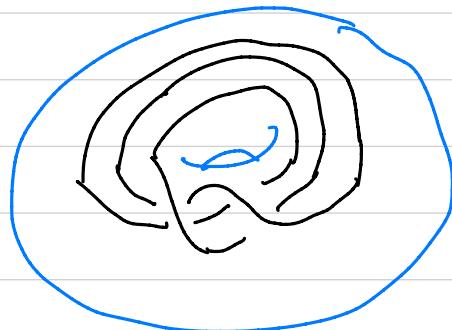
P



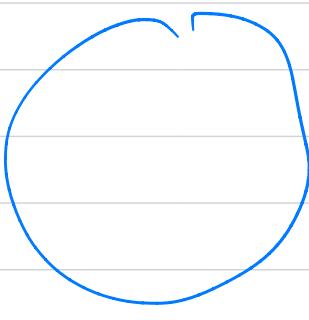
K



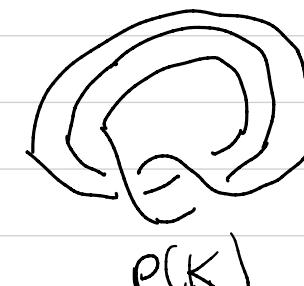
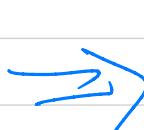
$P(K)$



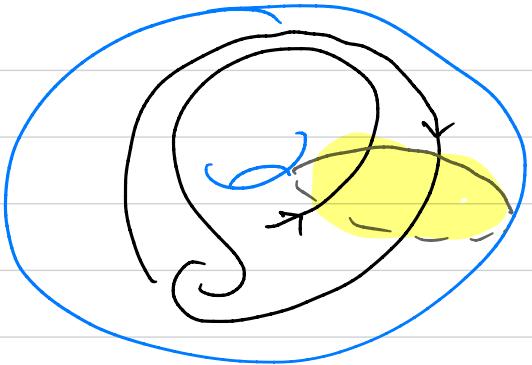
P



K



$P(K)$



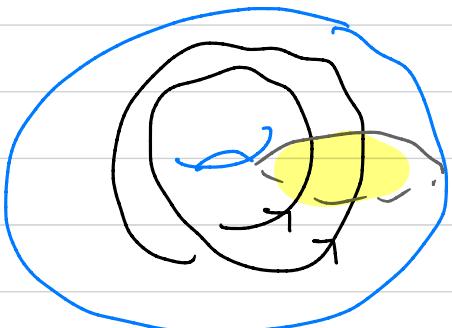
Whitehead double

$$w_g(P) = 2$$

$$w_a(P) = 0$$

geometric winding # of  $P$

$w_g(P)$  = minimum # of intersection pts between  $P$  and a meridional disk



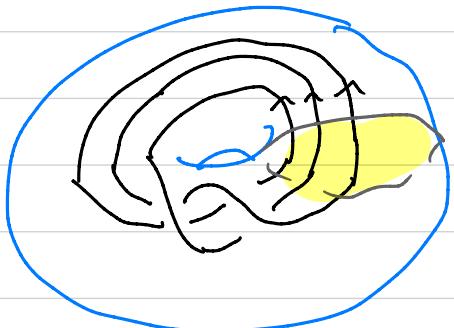
(2,1)-cable

$$w_g(P) = 2$$

$$w_a(P) = 2$$

algebraic winding # of  $P$

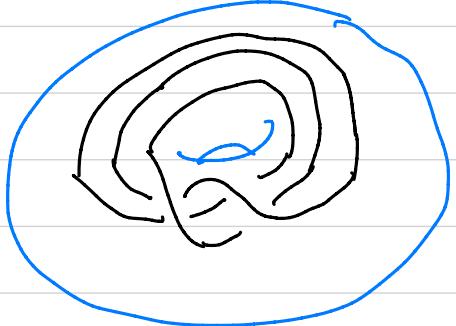
$w_a(P)$  = minimum # of intersection pts between  $P$  and a meridional disk, counted w/ sign



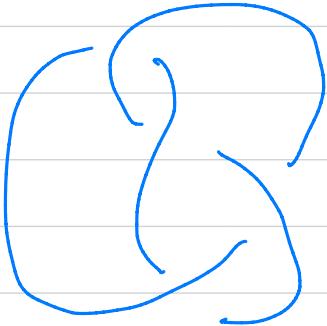
(3,2)-cable

$$w_g(P) = 3$$

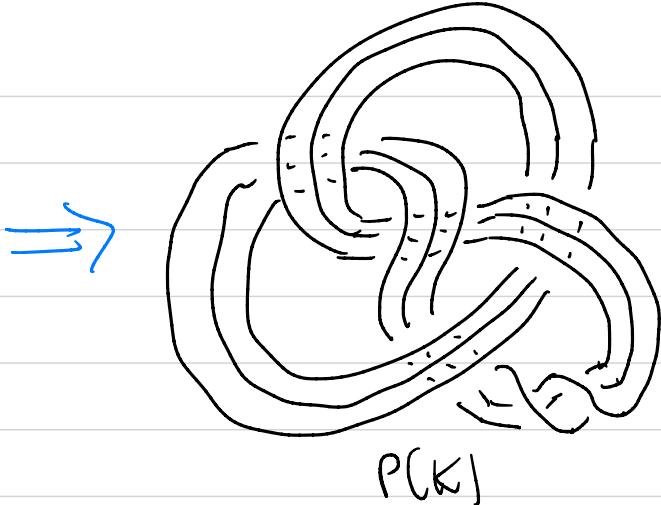
$$w_a(P) = 3$$



$$P \subset S^1 \times D^2$$



K



P(K)

$$\Delta_{P(K)}(t) = \Delta_{K'}(t^\omega) \cdot \Delta_{P(U)}(t)$$

where  $\omega = \omega_K(\delta)$  and  $U = \text{unknot}$

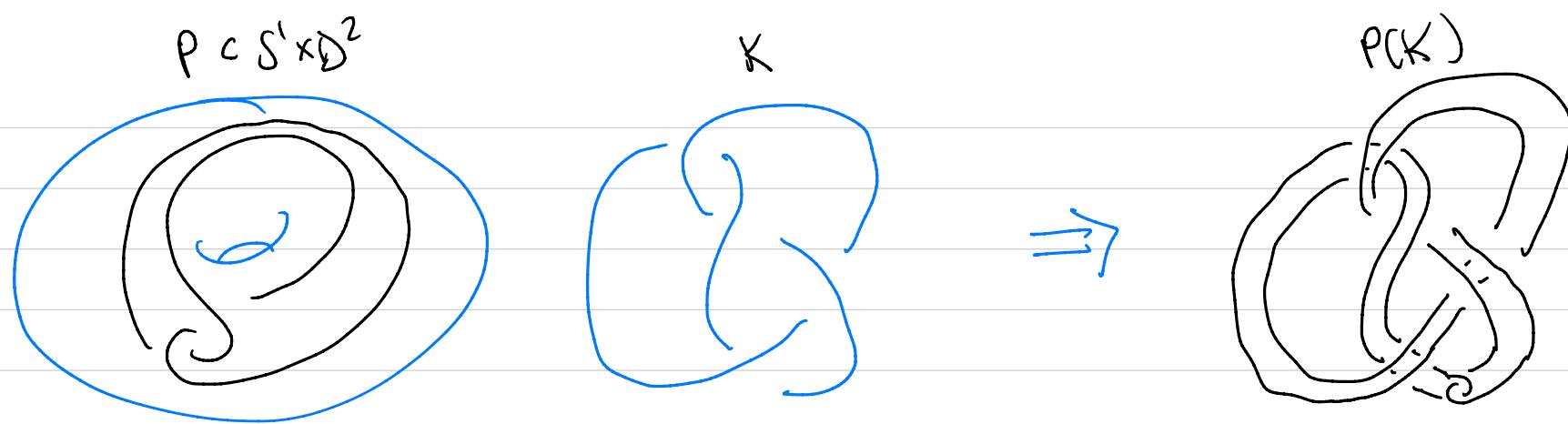
Similar formula for  $\sigma$

Open Q : crossing number

$$\begin{aligned} c(P(K)) &\geq c(K) ? \\ c(P(K)) &\geq \omega_g^2 c(K) ? \\ c(P(K)) &\geq c(P(U)) ? \end{aligned}$$

$$c(P(K)) \geq \frac{c(K)}{10^{13}}$$

Lackenby '14

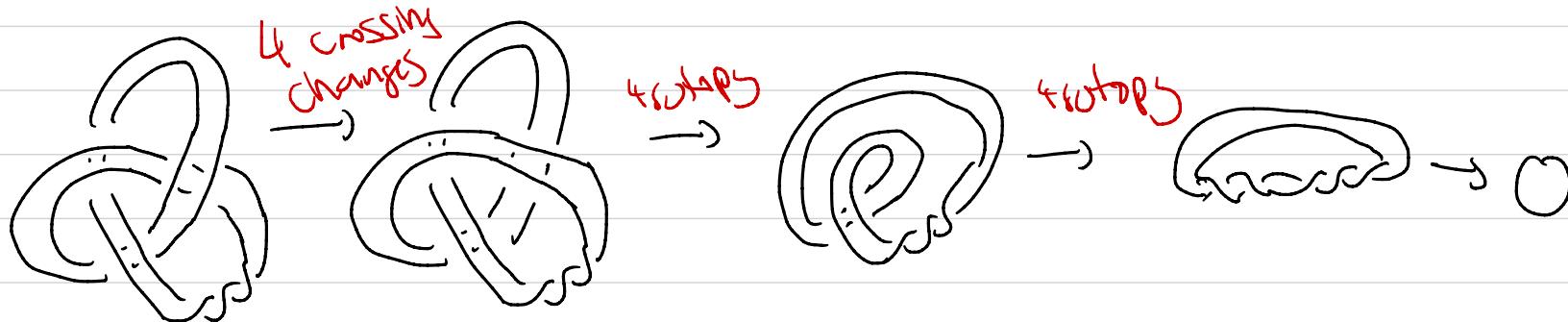


Note For  $P = \text{Whitehead double}$  and  $K \neq \text{unknot}$   
 unknotting #  $u(P(K)) = 1$

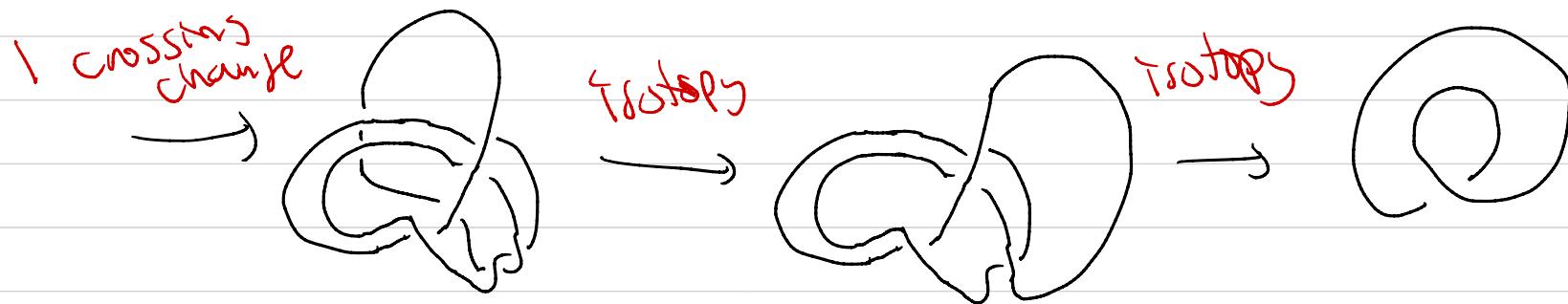
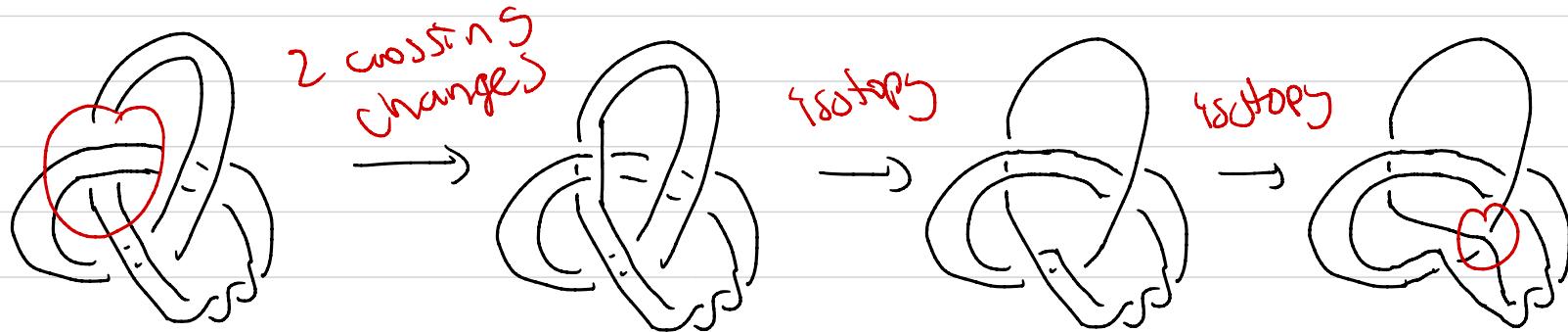
If  $w_a(P) \neq 0$ , then  $u(P(K)) \geq 2$

Scharlemann -  
 Thompson '89

Naïve guess:  $u(P(K)) \geq w_a^2 u(K)$



$$\text{Trefoil} \rightarrow \text{Figure-eight} = \text{Unknot}$$



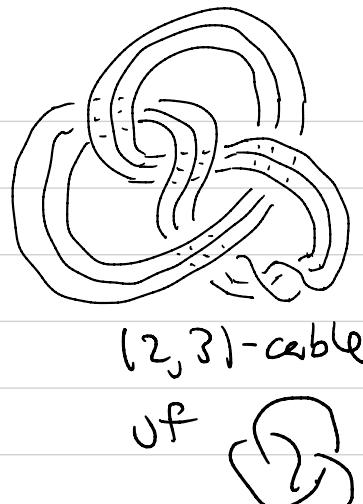
$\Rightarrow$  naive guess was wrong

Open Q: If  $K \neq \text{unknot}$ ,  $w(P(K)) \geq w(P)$ ?

Thm (H-(Edman-Park))

Let  $K_{m,n}$  denote the  $(m,n)$ -cable of  $K$ .  
 If  $K \neq \text{unknot}$ , then  
 $w(K_{m,n}) \geq m$        $m = w(P)$

Proof relies on knot Floer homology  
(Ozsváth-Szabó, Rasmussen)



$$K \rightsquigarrow \widehat{\text{HFK}}(K) = \bigoplus_{i,j} \widehat{\text{HFK}}_{i,j}(K) \quad \begin{matrix} \text{signed} \\ \text{vector space} \end{matrix}$$

(2,3)-cable  
U

$\text{HFK}^-(K)$  finitely generated graded module  
over  $\mathbb{F}[U]$

$$\mathbb{F} = \mathbb{Z}/2\mathbb{Z}$$

$$\deg U = -2$$

$$\text{HFK}^-(K) \cong \mathbb{F}[U] \oplus \bigoplus_i \mathbb{F}[U]/U^{n_i}$$

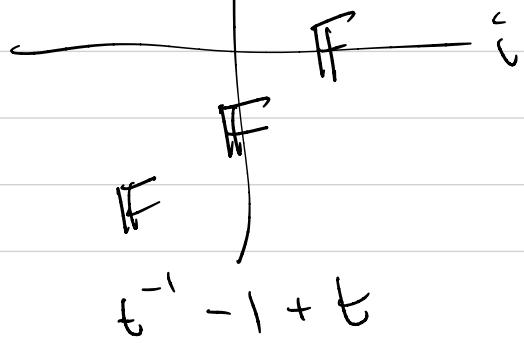
Thm (Ozsváth-Szabó, Rasmussen)

$\widehat{\text{HFK}}(K)$  categorifies  $\Delta_K(t)$

$$\Delta_K(t) = \sum_{i,j} (-1)^j t^i \dim \widehat{\text{HFK}}_{i,j}(K)$$

$$\widehat{\text{tgc}}_K \quad K = \text{tgc}_G$$

$$\Delta_K(t) = t - 1 + t^{-1}$$



$K = \text{torus knot}$

$$HFK^-(K) \cong P[U] \oplus P[U]/\langle$$

$$HFK^-(K) \cong P[U] \oplus \left( \bigoplus_{i=1}^m P[U] \right) / \langle n_i \rangle$$

Define  $\text{Ord}(K) = \frac{\max}{\min} \{n_i\}$

$$\begin{aligned} HFK^-(unknot) &= P[U] \\ \text{ord(unknot)} &= 1 \end{aligned}$$

Thm (OS) Knot Floer homology detects the unknot

$$\text{Ord}(K) = 0 \iff K = \text{unknot}$$

Thm (Akshay - Eftekhay)

$$w(K) \geq \text{Ord}(K)$$

Recall:  $\Delta_{PKI}(t) = \Delta_K(t^\omega) \cdot \Delta_{P(U)}(t)$        $w = w_P(P)$

stretches  $\Delta_K$  by a factor of  $\omega$

Thm (Manolescu-Rasmussen-Watson)

The knot Floer complex can be interpreted  
as an immersed Lagrangian in  $T^*S^3$

and  $(m,n)$ -cabling has the effect of  
stretching in copies of the curve by a  
factor of  $m$  and shifting by  $n$

Our theorem relies on interpreting the effects  
of Manolescu-Rasmussen-Watson on  $\text{Ord}(K)$

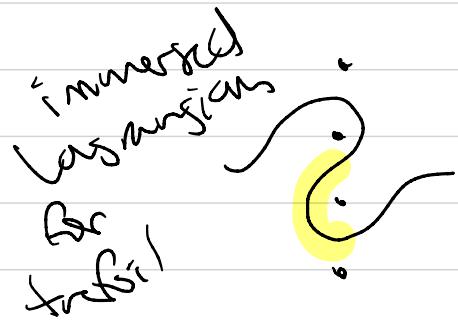
Main Idea:

$$K \neq unknot \Rightarrow \text{Ord}(K) \geq 1 \Rightarrow \text{Ord}(K_{m,n}) \geq m$$

↑  
involves interpreting  
 $\text{Ord}(K)$  as an  
immersed lagrangian  
and doing case  
analysis

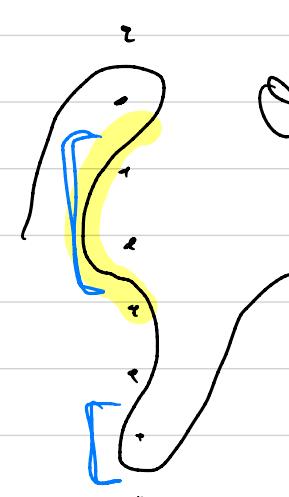
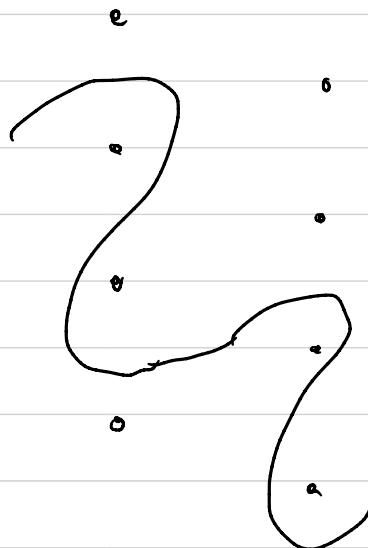
$\exists X \quad K = \text{trefoil}$

$(2,3)\text{-cable}$



for  
trefoil

$$\text{Ord}(K) = 1$$



$$\text{Ord}(K_{2,3}) \geq 2$$

$$\#WJ/\sqrt{2}$$

$$\#FJ/\mu$$

4-fish cases

$$\text{Ord}(K) = 2$$

