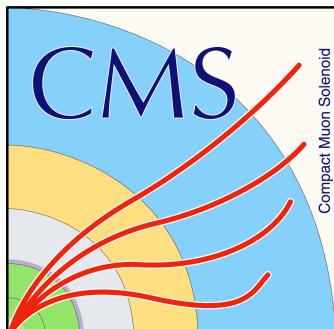


Top tagging at CMS

Torben Dreyer

on behalf of the CMS Collaboration

BOOST 2017, Buffalo



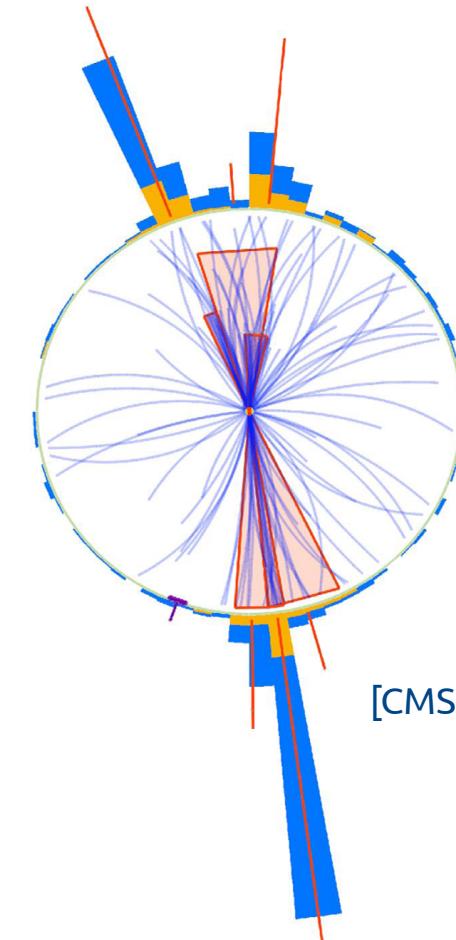
Universität Hamburg
DER FORSCHUNG | DER LEHRE | DER BILDUNG

Motivation

- LHC at $\sqrt{s} = 13$ TeV
 - More top quarks with high momentum
(Standard Model and new physics)
 - Top tagging more important than ever
- Understanding in data crucial
 - Measurement of top tagging efficiencies
 - Study performance of new algorithms



CMS Experiment at LHC, CERN
Data recorded: Sun Jul 12 07:25:11 2015 CEST
Run/Event: 251562 / 111132974
Lumi section: 122
Orbit/Crossing: 31722792 / 2253



[CMS-DP-2016-035]



Outline



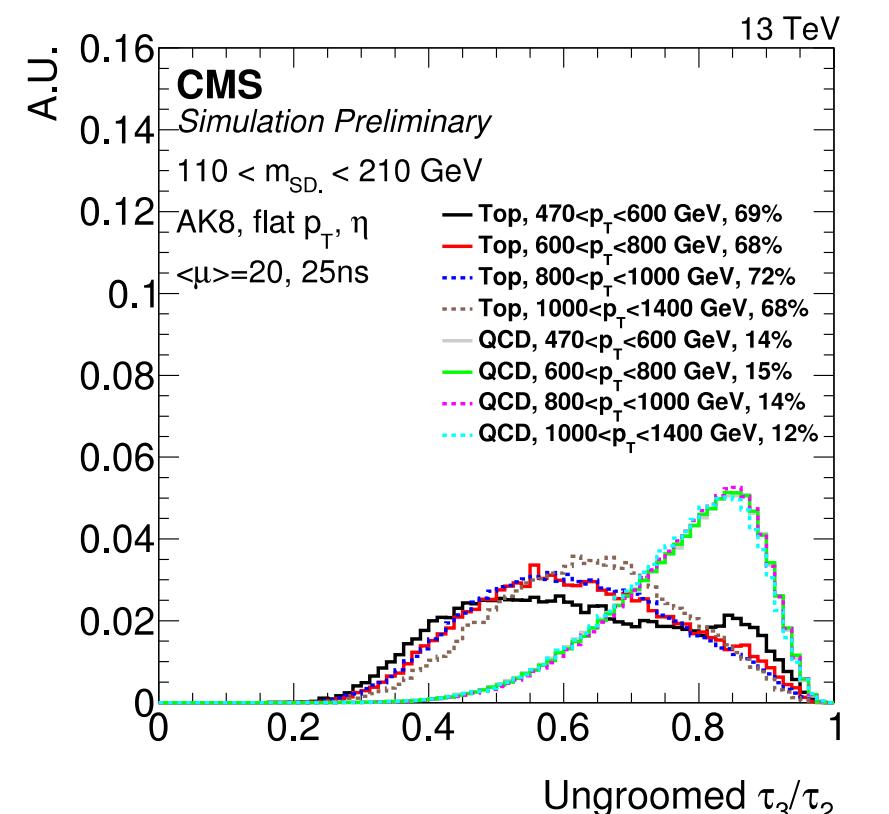
- Top tagging validation in 2016 data [CMS-DP-2017-026]
- Top tagging with Energy Correlation Functions [CMS-PAS-EXO-16-051]
- Boosted Event Shape Tagger (BEST) [CMS-DP-2017-027]
- XCone Studies [CMS-DP-2017-027]

Top tagging validation in 2016 data

CMS-DP-2017-026

CMS default top tagger

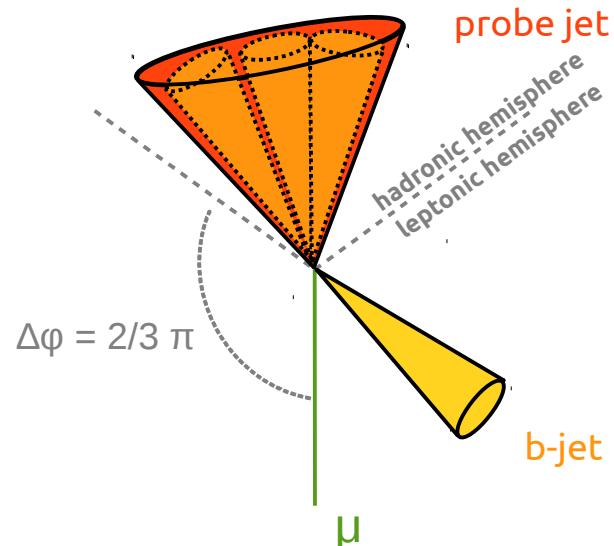
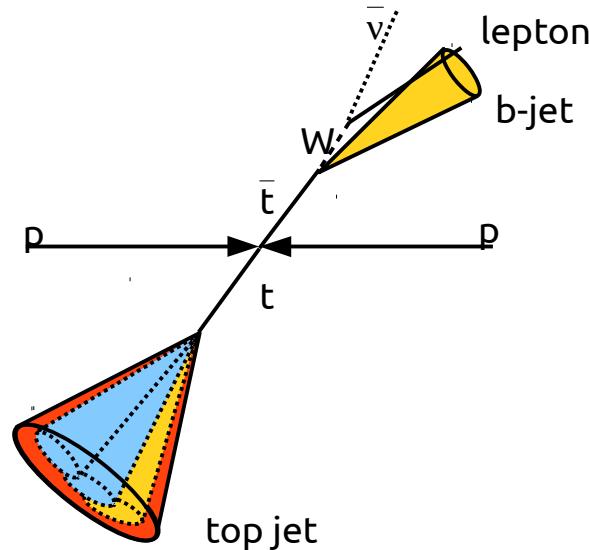
- Based on:
 - Groomed jet mass (mMDT / Soft drop)
 - Ungroomed N-subjettiness ratio τ_3/τ_2
 - Subjet b-tagging
- anti- k_T jets with $R = 0.8$ (AK8)
- Pileup correction:
 - Charged Hadron Subtraction or PUPPI
- Different N-subjettiness working points
 - with and without subjet b-tag



[CMS-PAS-JME-15-002]

Validation in data

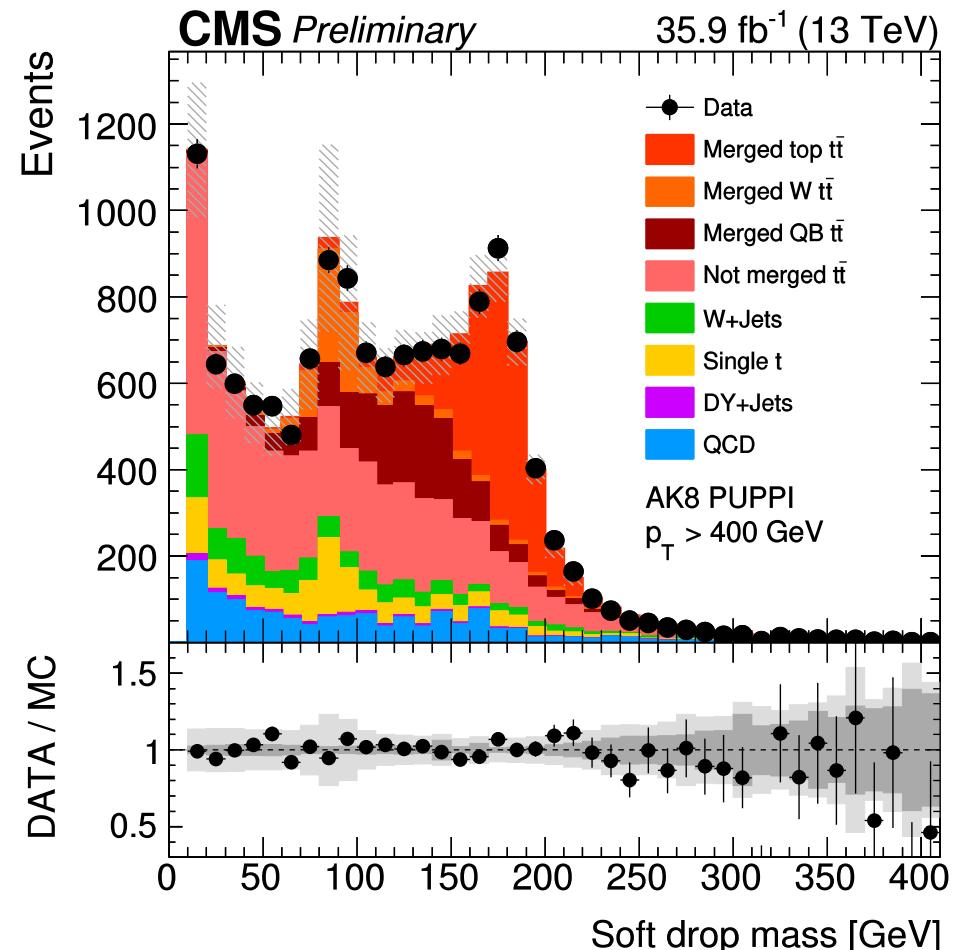
- Muon+jets $t\bar{t}$ selection
- High p_T muon
- Large boost expected
→ use non isolated muons



- Tag and probe method
- Leptonic decay leg as tag
- B-tag in leptonic hemisphere
- Probe jet: AK8 (CA15) jet in hadronic hemisphere

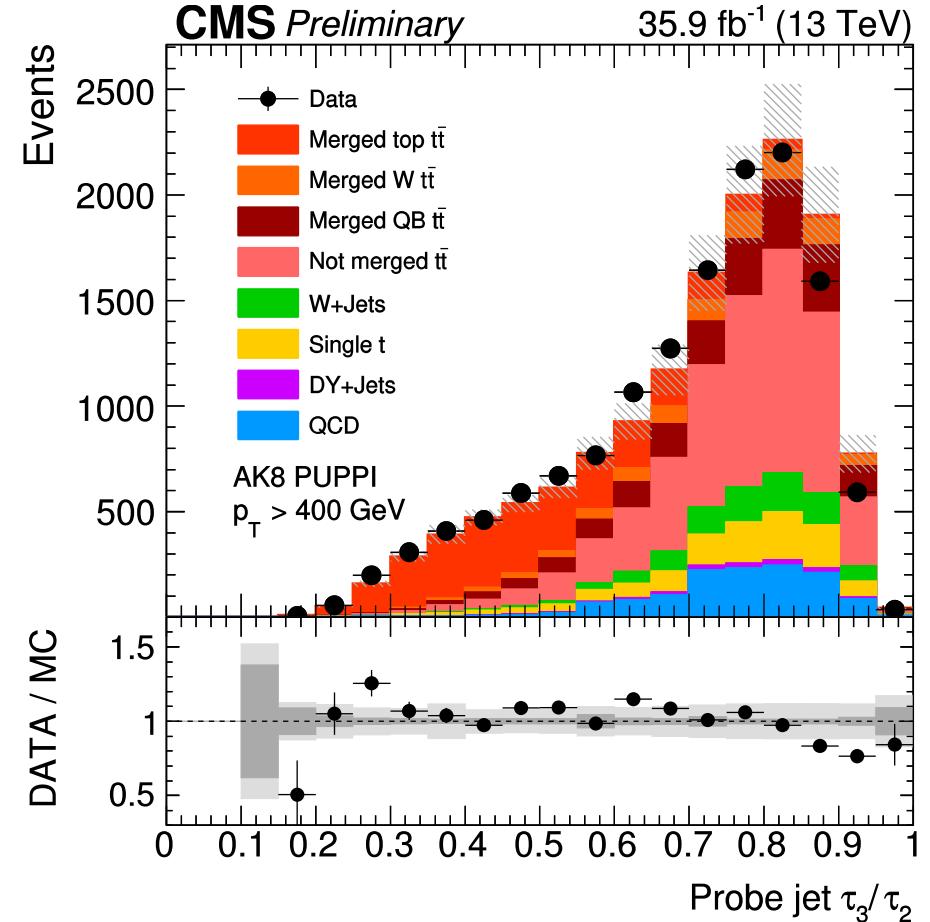
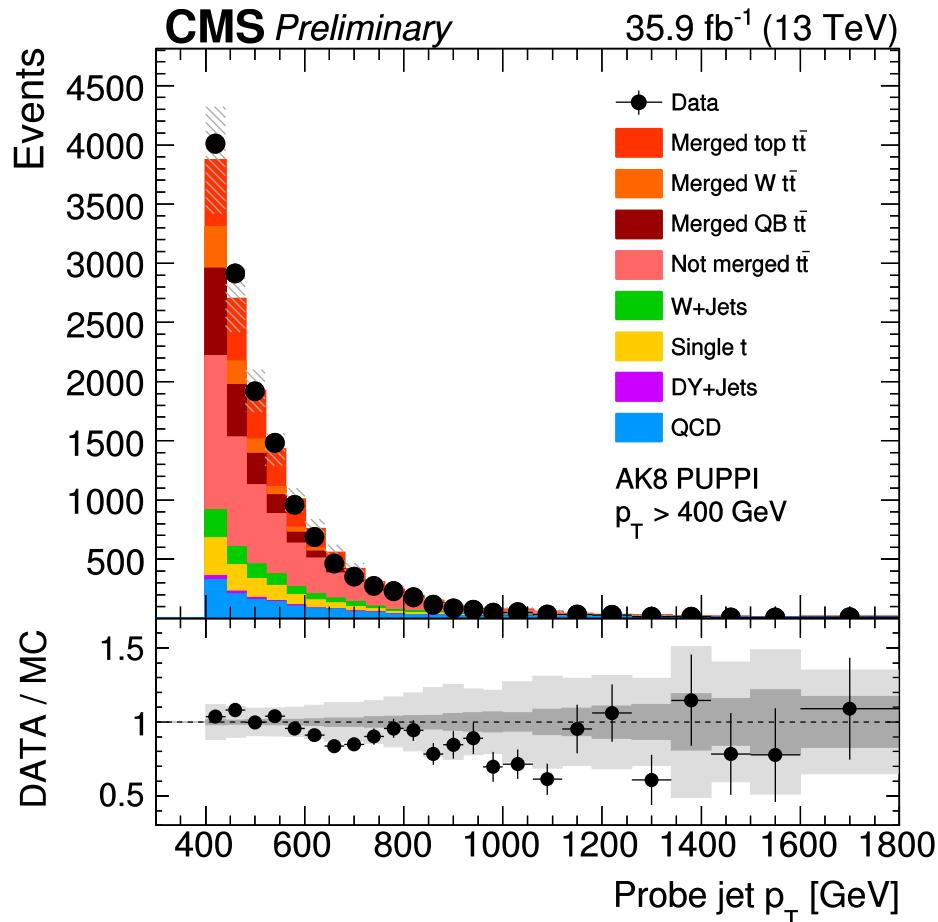
Validation in 2016 data

- Soft drop groomed AK8 jets
- Different categories for $t\bar{t}$ simulation
(matching to generator particles)
 - Merged top
 - Merged W
 - Merged b+light quark
 - Not merged
- Template fit soft drop mass distribution
→ new method
- scale factors for different $t\bar{t}$ categories



[CMS-DP-2017-026]

Kinematic distributions

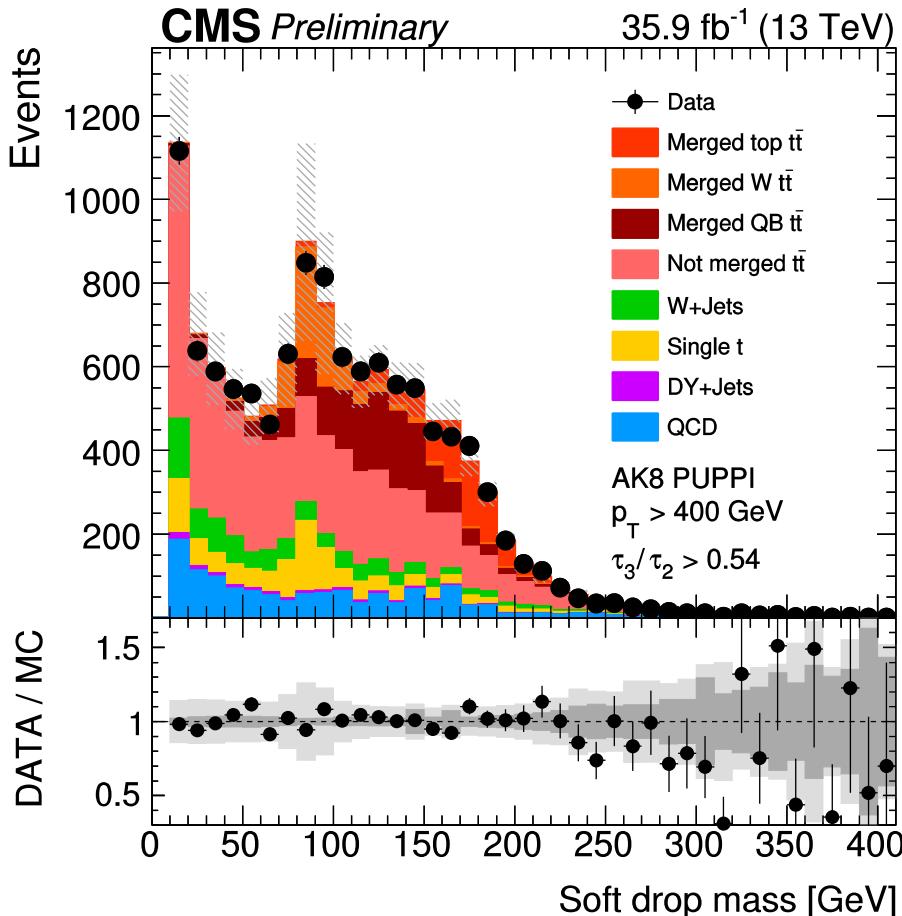


[CMS-DP-2017-026]

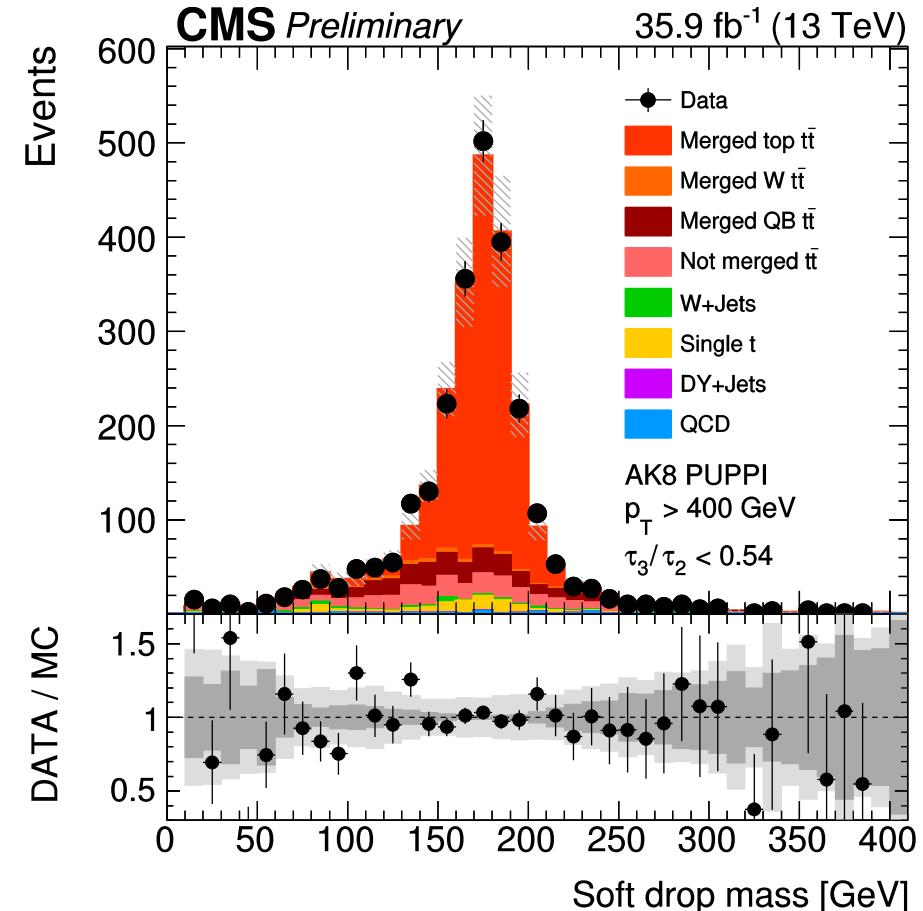
Softdrop mass – pass and fail region

- Fit in pass and fail regions

– Fail: $\tau_3/\tau_2 > 0.54$



– Pass: $\tau_3/\tau_2 < 0.54$



[CMS-DP-2017-026]

Efficiency measurement

- Efficiency:

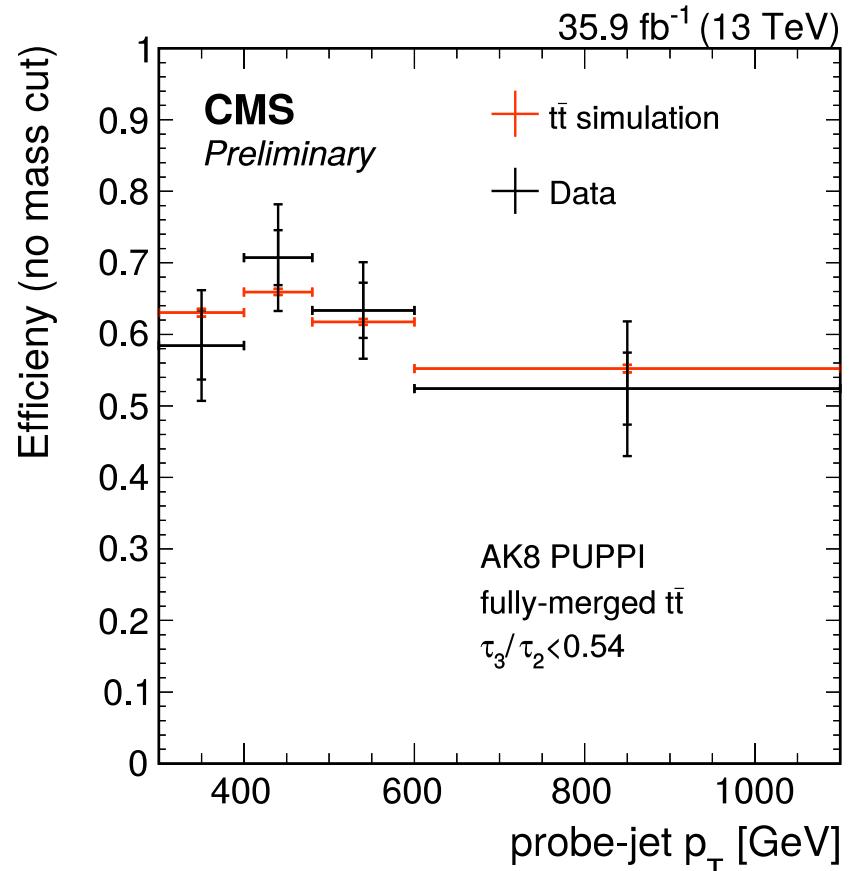
$$\epsilon = N_{\text{pass}} / (N_{\text{pass}} + N_{\text{fail}})$$

- For each $t\bar{t}$ contribution

- Scale factors: $sf = \epsilon_{\text{data}} / \epsilon_{\text{simulation}}$

- Fits performed in different p_T bins

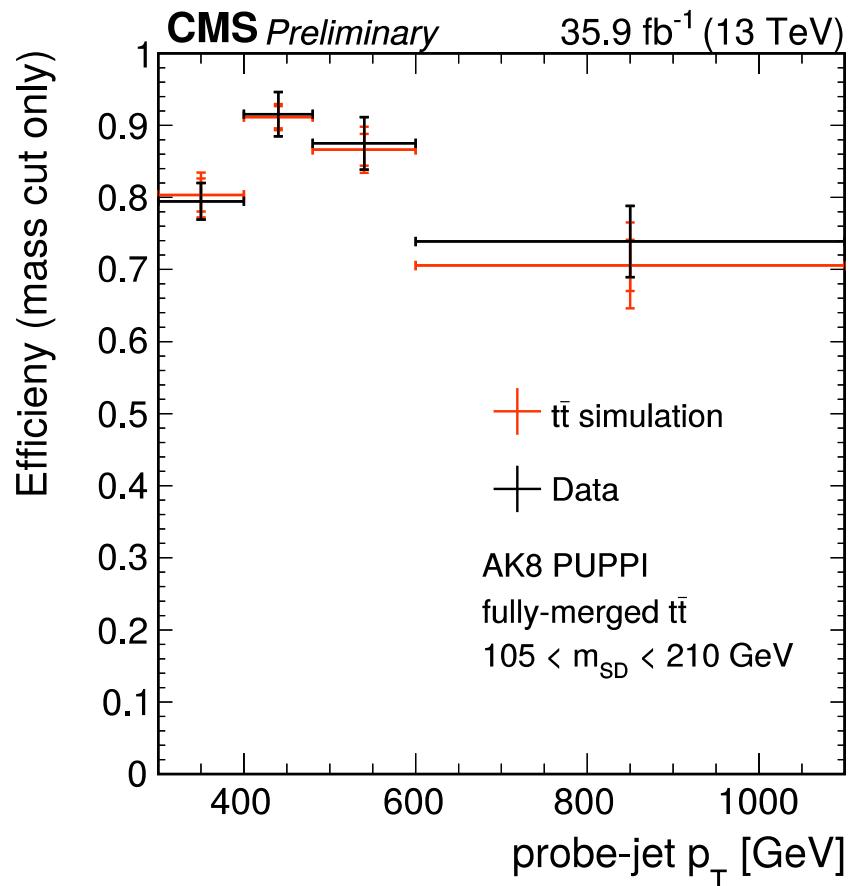
- Full set of systematic uncertainties studied



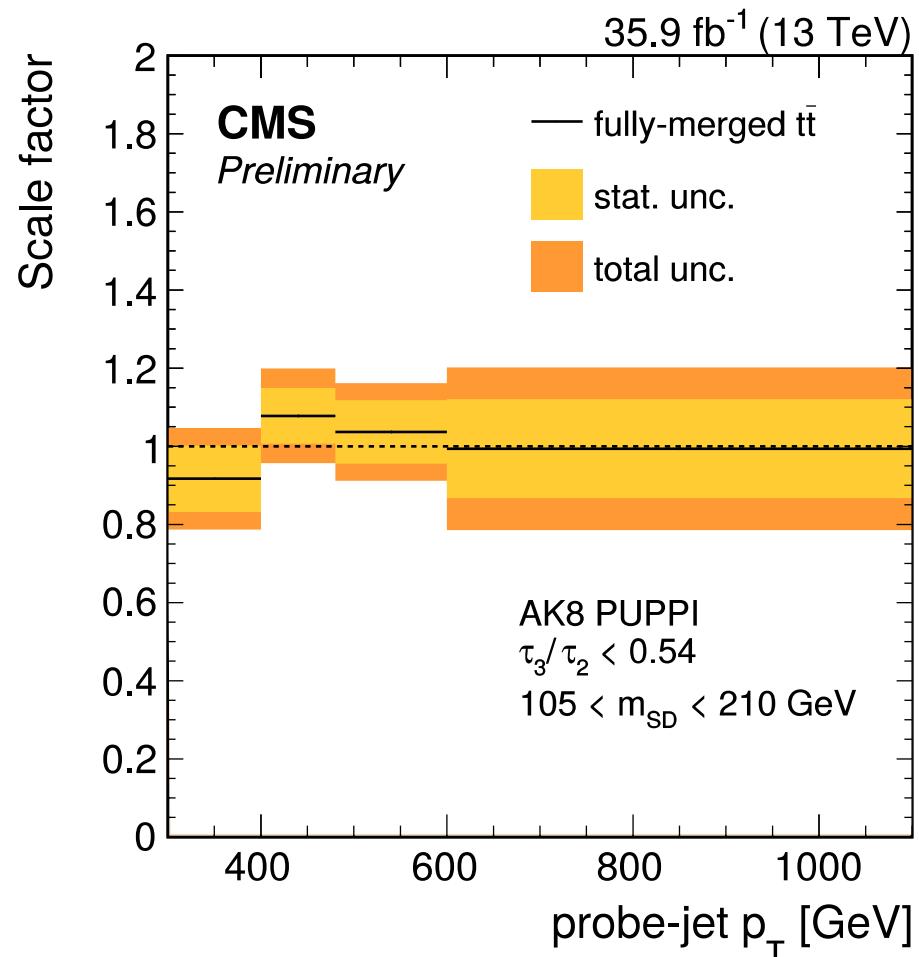
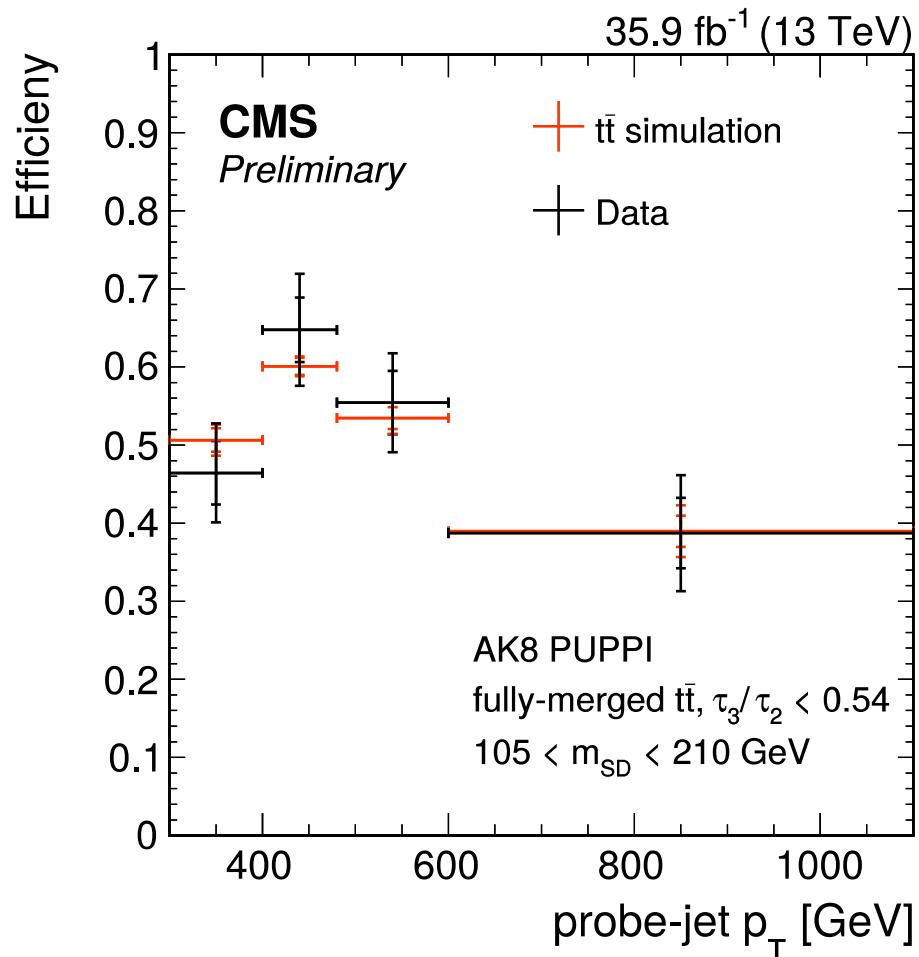
New for 2016 data

Mass cut efficiency

- Mass cut not included for the fit
 - Tails important for semi-merged and not merged contributions
- Extra mass cut efficiency
 - $\epsilon_{\text{mass}} = N_{\text{pass, mass cut}} / N_{\text{pass}}$
 - For data use data distribution directly
 - Post fit MC (all categories) for simulation
 - Total efficiency: $\epsilon = \epsilon_{\text{no mass}} \epsilon_{\text{mass}}$



Efficiency and scale factors



[CMS-DP-2017-026]

Top tagging with Energy Correlation Functions

CMS-PAS-EXO-16-051

ECF BDT tagger

- Developed in the context of a dark matter search
[CMS-PAS-EXO-16-051]

- Use of energy correlation functions in CA15 jets
e.g. 3 point ECF:

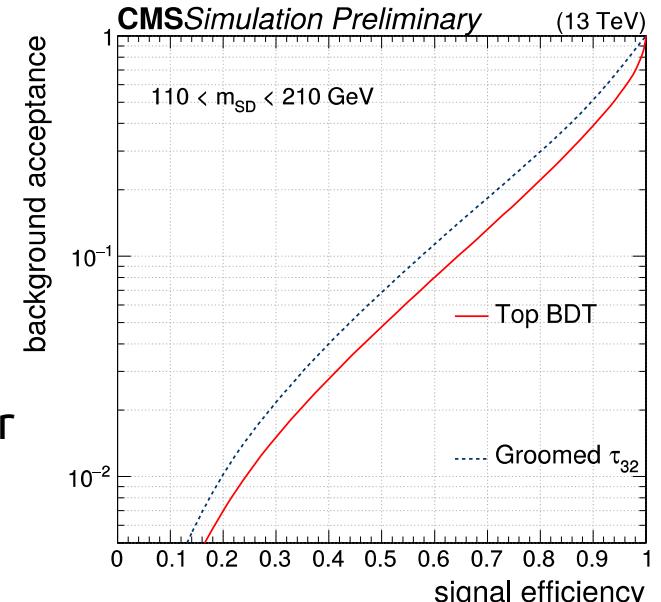
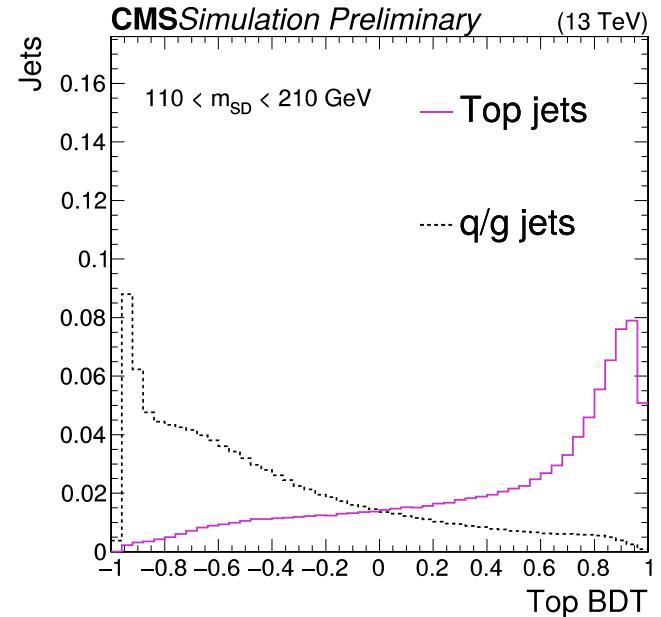
$${}_2e_3^{(\beta)} = \sum_{1 \leq i < j < k \leq n_j} z_i z_j z_k \min\{\Delta R_{ij}^\beta \Delta R_{ik}^\beta, \Delta R_{ij}^\beta \Delta R_{jk}^\beta, \Delta R_{ik}^\beta \Delta R_{jk}^\beta\}$$

with: $z_i \equiv \frac{p_{Ti}}{\sum_{j \in jet} p_{Tj}}$

- ECF ratios proposed in [arXiv:1609.07483]

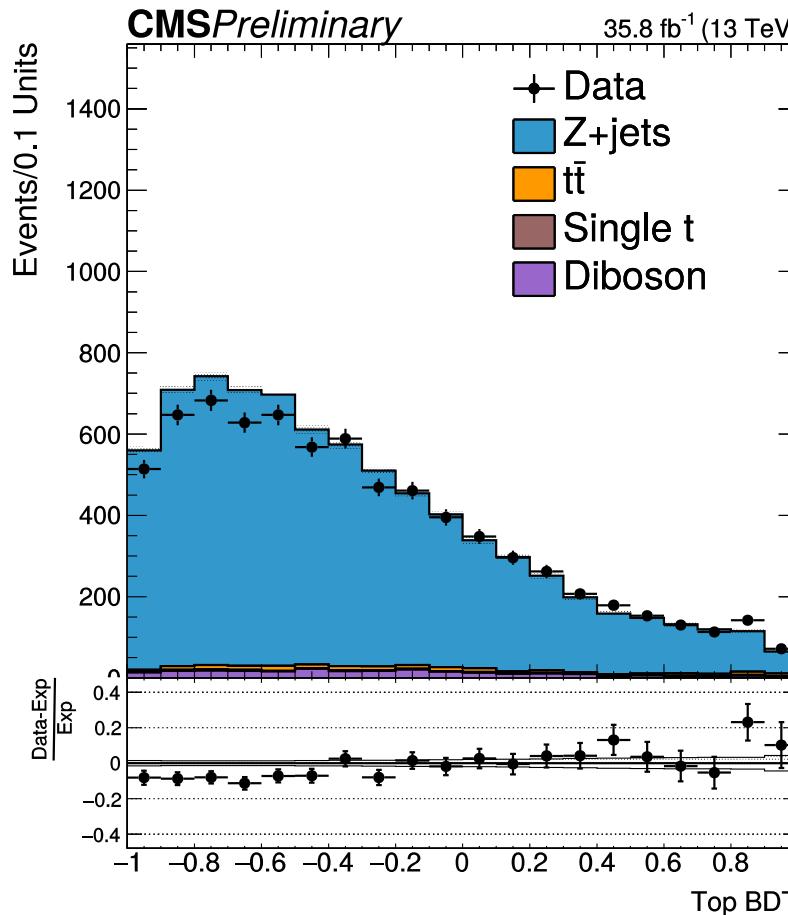
$$N_3 = \frac{{}_2e_4^{(\beta)}}{({}_1e_3^{(\beta)})^2} \rightarrow \text{similar to } \tau_3/\tau_2$$

- several dimensionless ECFs ratios show separation power
→ BDT with 11 ECF ratios, f_{rec} , and τ_{32}^{SD}

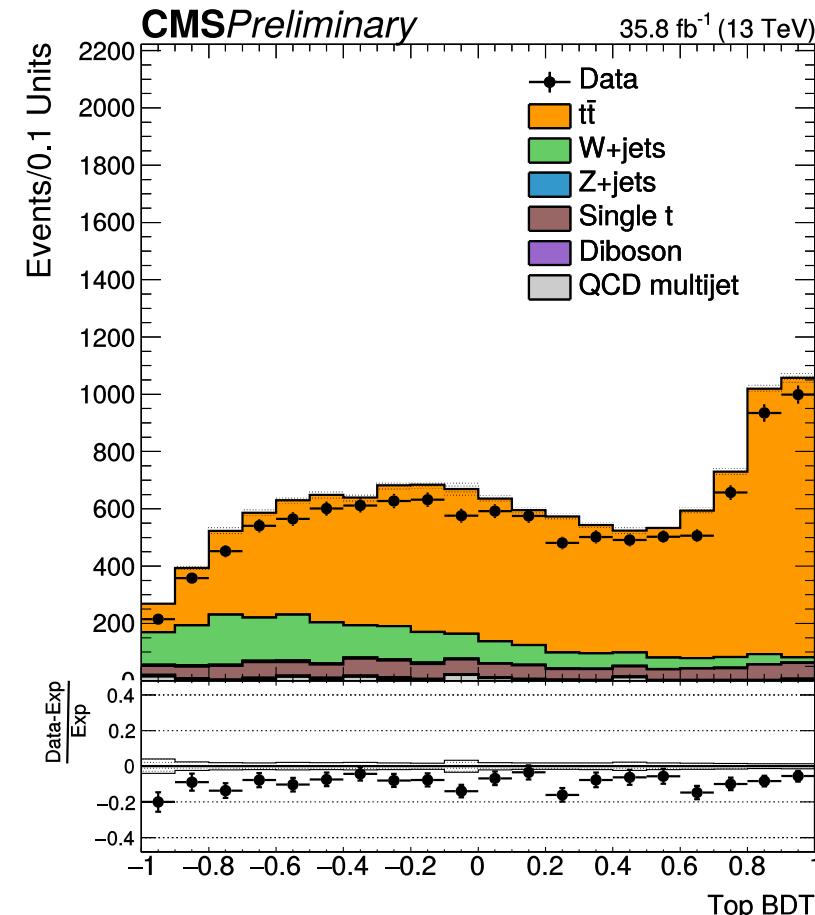


ECF BDT validation in 2016 data

- Validation on top jets in lepton+jets $t\bar{t}$ decays and quark/gluon jets in Z+jets
- Good performance in data



[CMS-PAS-EXO-16-051]



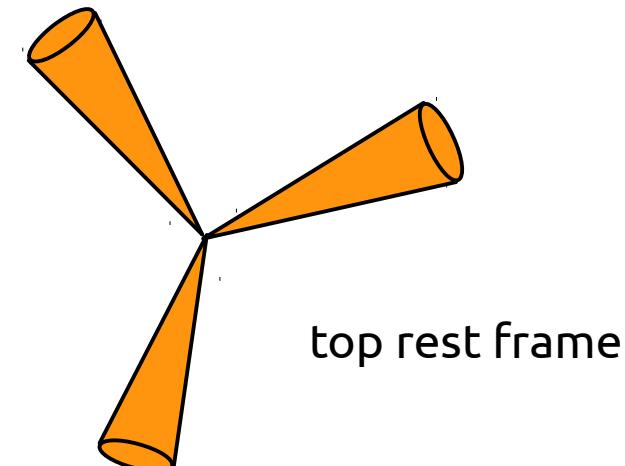
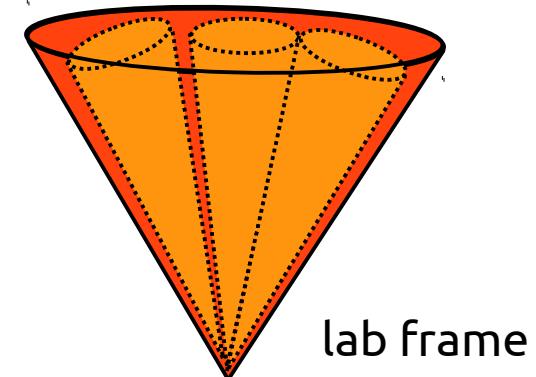
[CMS-PAS-EXO-16-051]

Boosted Event Shape Tagger (BEST)

CMS-DP-2017-027

Boosted Event Shape Tagger

- Heavy particle jet classification and separation to light jets
- Targets top, H, W, Z
- Idea:
 - go to different hypothesized reference frames
 - Isotropic in correct rest frame
 - N-prong structure
- Neural network for classification
- Based on [\[Phys. Rev. D 94, 094027 \(2016\)\]](#)
- First discussed at BOOST 2016



Input observables

- Fox-Wolfram Moments:

$$H_\ell = \sum_{i,j} \frac{|\vec{p}_i||\vec{p}_j|}{s} \cdot P_\ell(\cos(\phi_{i,j}))$$

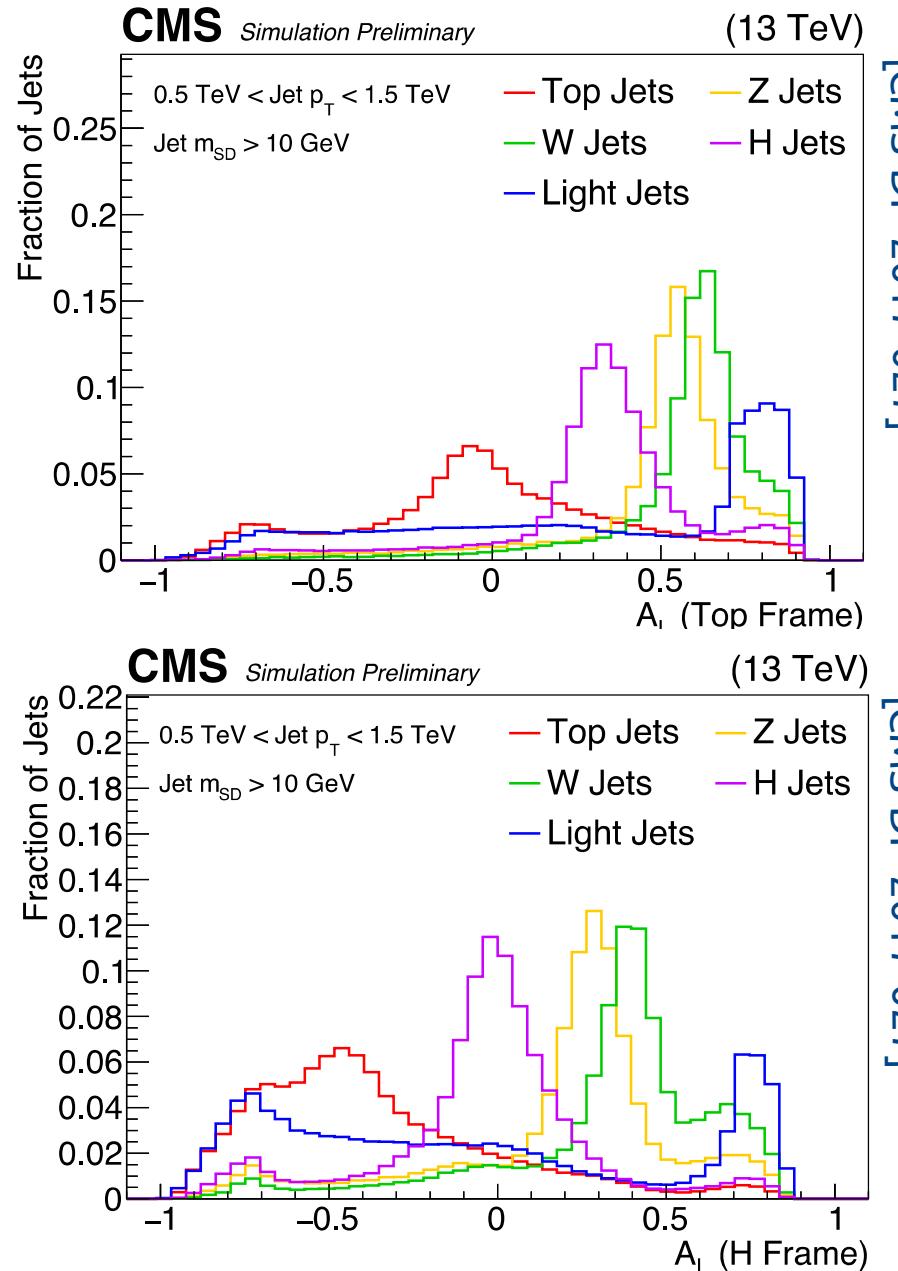
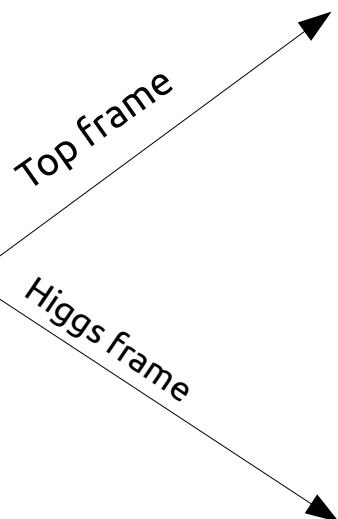
- Eigenvalues of Sphericity tensor:

$$S^{\alpha,\beta} = \frac{\sum_i p_i^\alpha p_i^\beta}{\sum_i |\vec{p}_i|^2}$$

- Longitudinal Jet Asymmetry:

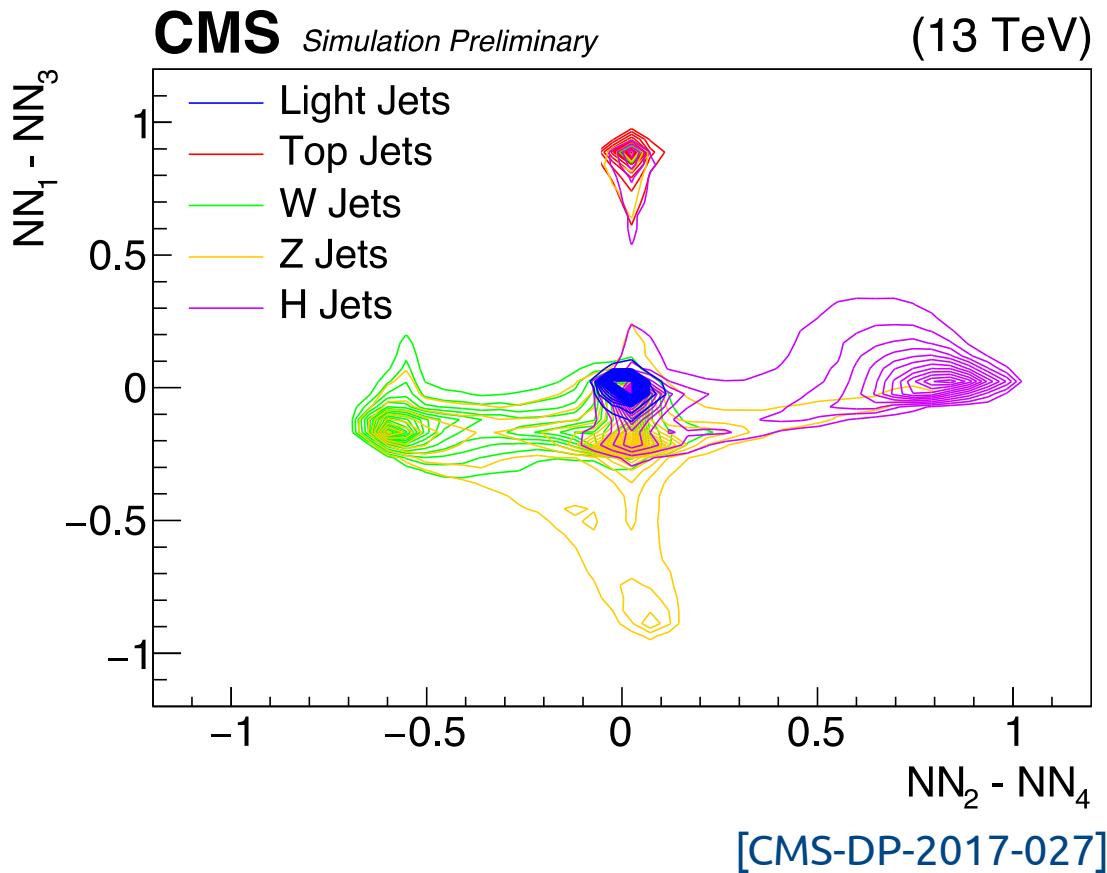
- Recluster constituents
- AK4 jets

$$A_L = \frac{\sum_{jet} p_z^{jet}}{\sum_{jet} p^{jet}}$$



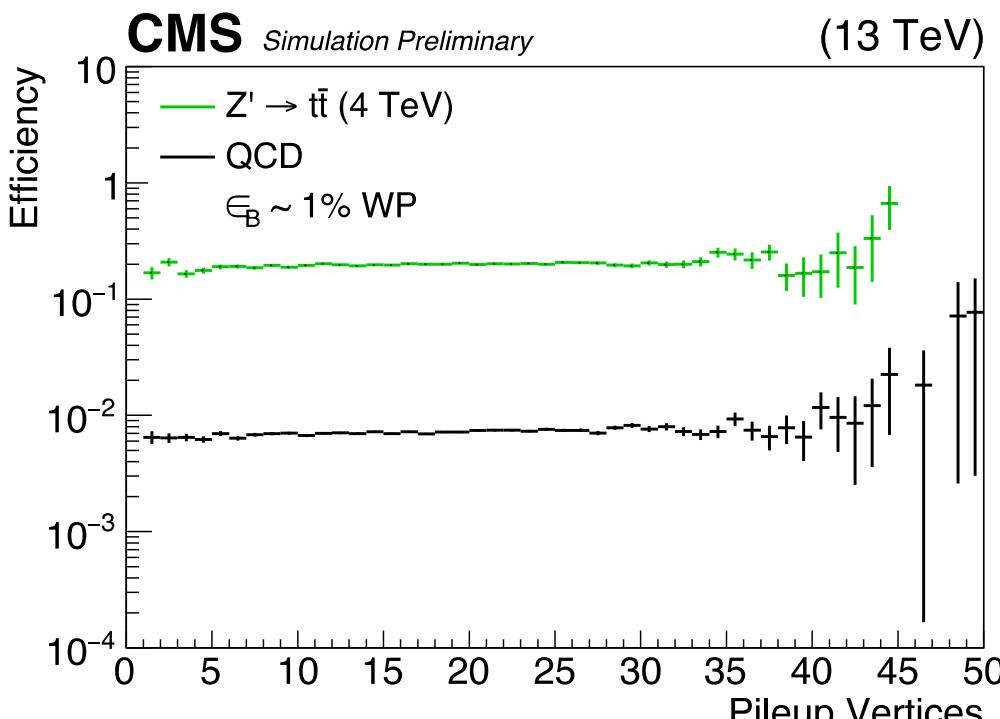
Neural network

- Neural network for classification
- 41 input observables
- Simultaneous separation between top, W, H, Z, and light flavor
- 5 output nodes
- Each node optimized for another particle classification
- Visualization in 2D plane
- Best separation for top and Higgs

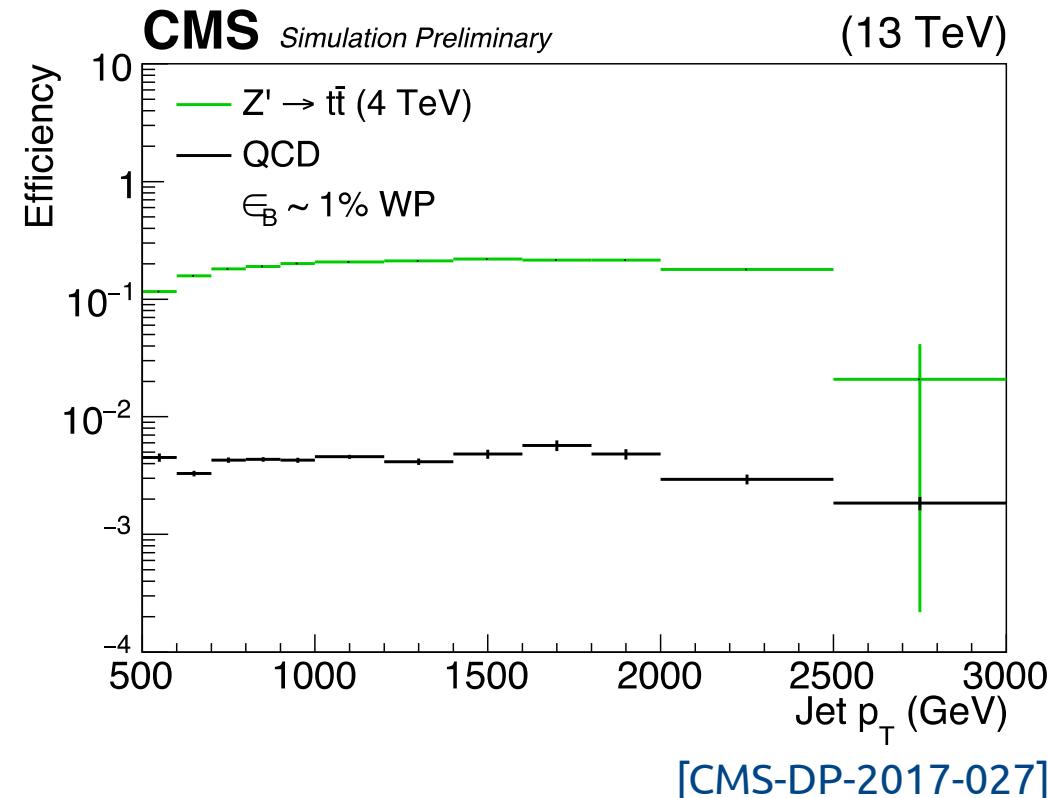


Tagging efficiency for top quarks

- Top tagging efficiency
- Based on m_{SD} , τ_{32} , b-tag, BEST NN output
- Working point with background efficiency $\sim 1\%$



[CMS-DP-2017-027]



[CMS-DP-2017-027]

- Stable as a function of jet p_T and number of pileup vertices

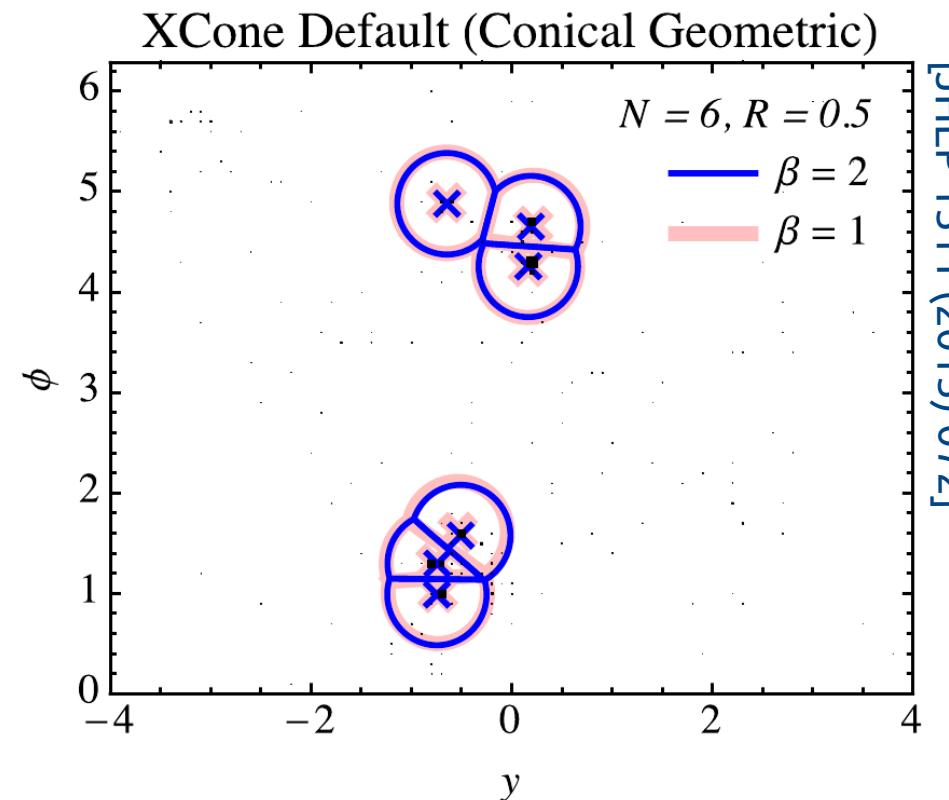
XCone Studies

CMS-DP-2017-027

- Exclusive jet algorithm based on N-jettiness [I. W. Stewart, F. J. Tackmann et al., JHEP 1511 (2015) 072]

- N-jettiness: $\tilde{\tau}_N = \sum \min \{ \rho_{\text{jet}}(p_i, n_1), \dots, \rho_{\text{jet}}(p_i, n_N), \rho_{\text{beam}}(p_i) \}$
- Measure how particles are distributed along N axis in the event

- Returns fixed number of jets
- Conical jets
- Jets stay separated in the boosted regime
- Smooth transition between resolved and boosted regime



Optimal number of jets

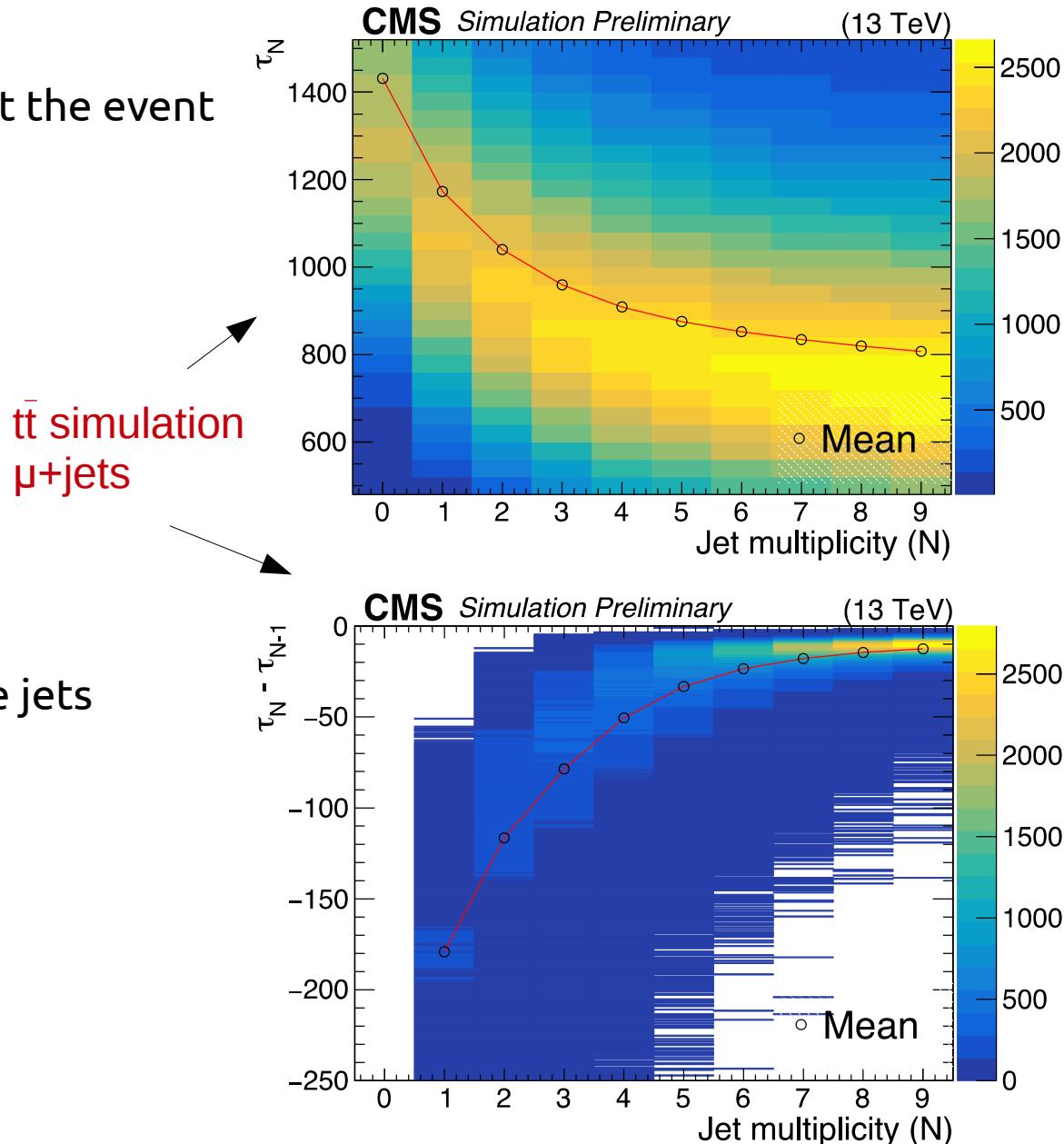
[CMS-DP-2017-027]

- Find the optimal N-jet to reconstruct the event
- Use N-jettiness
- Different methods studied
- N_{optimal} :

smallest N with $\tau_N - \tau_{N-1} > -30$

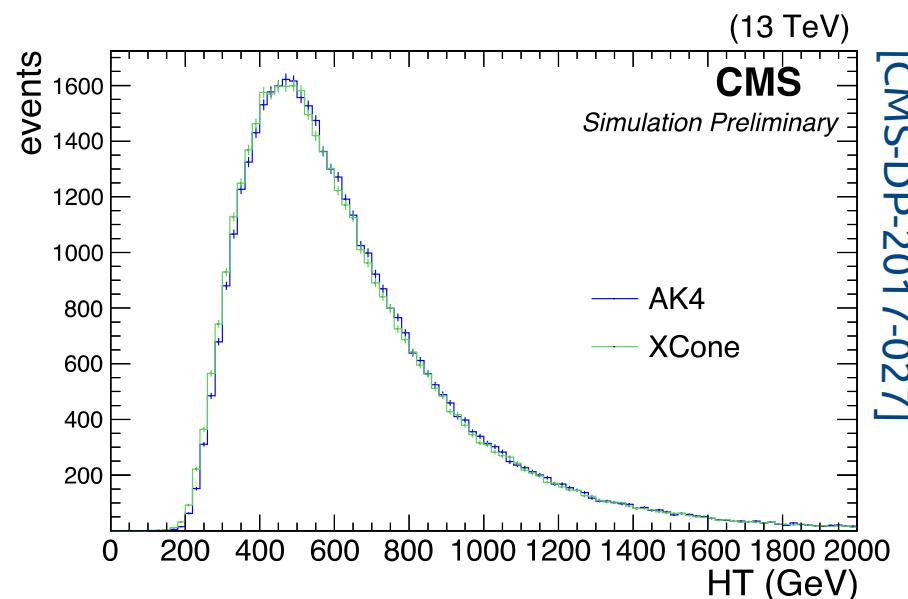
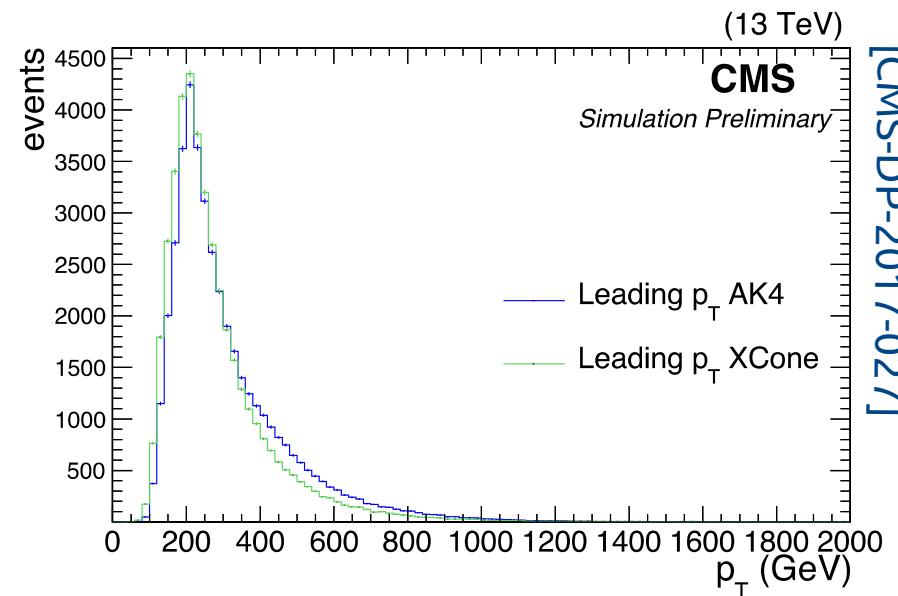
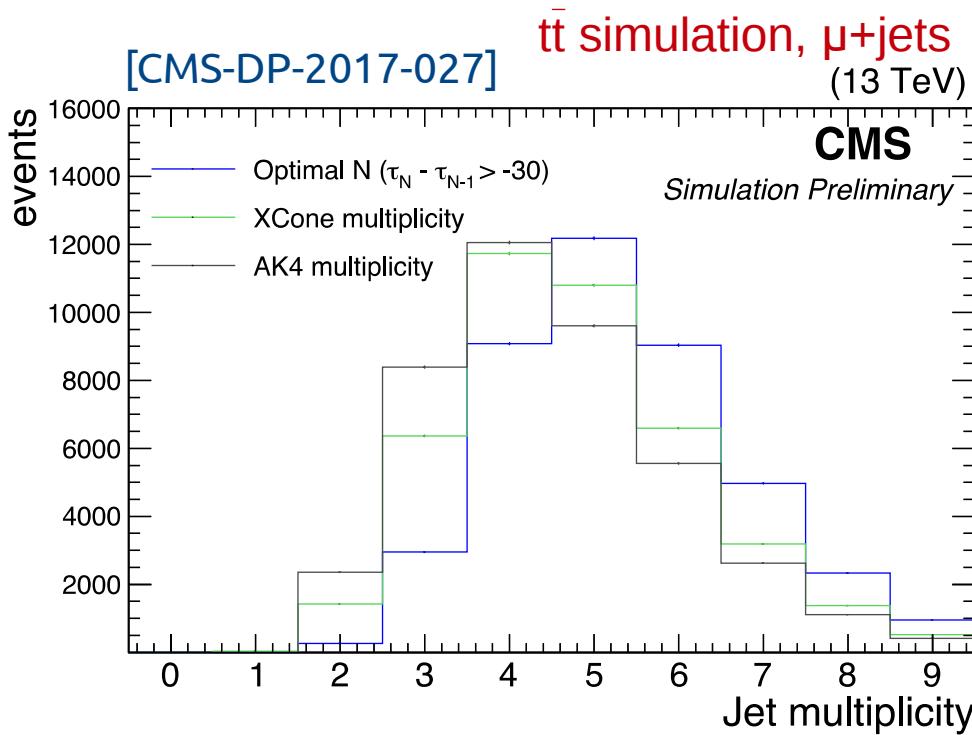
→ Reconstruct event with N_{optimal} XCone jets

- Can be used to count jets
 - resolved and boosted regime





XCone jets

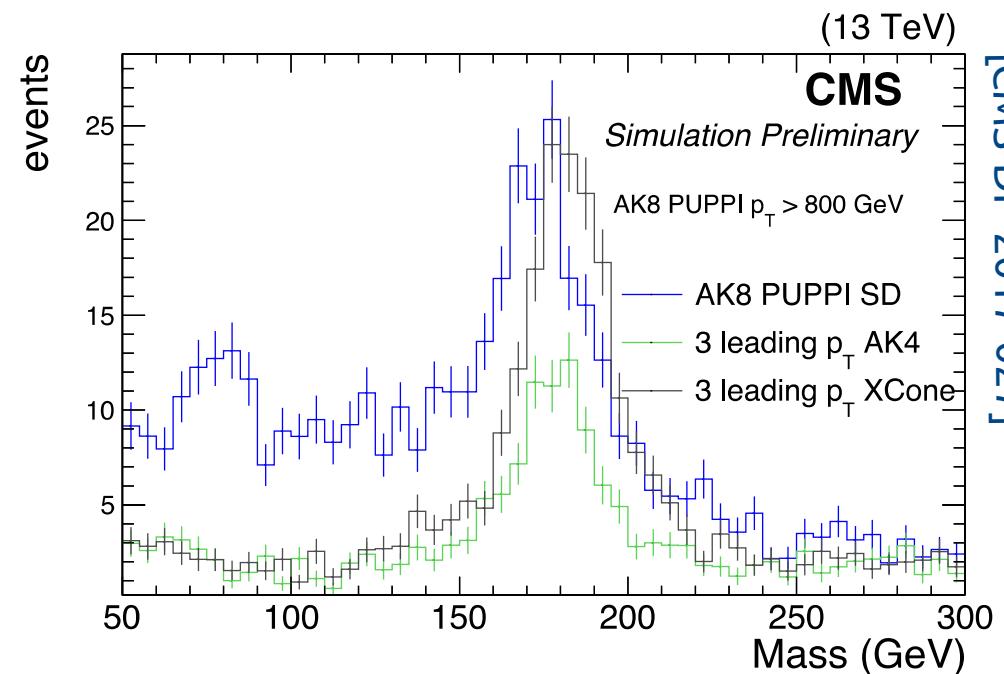
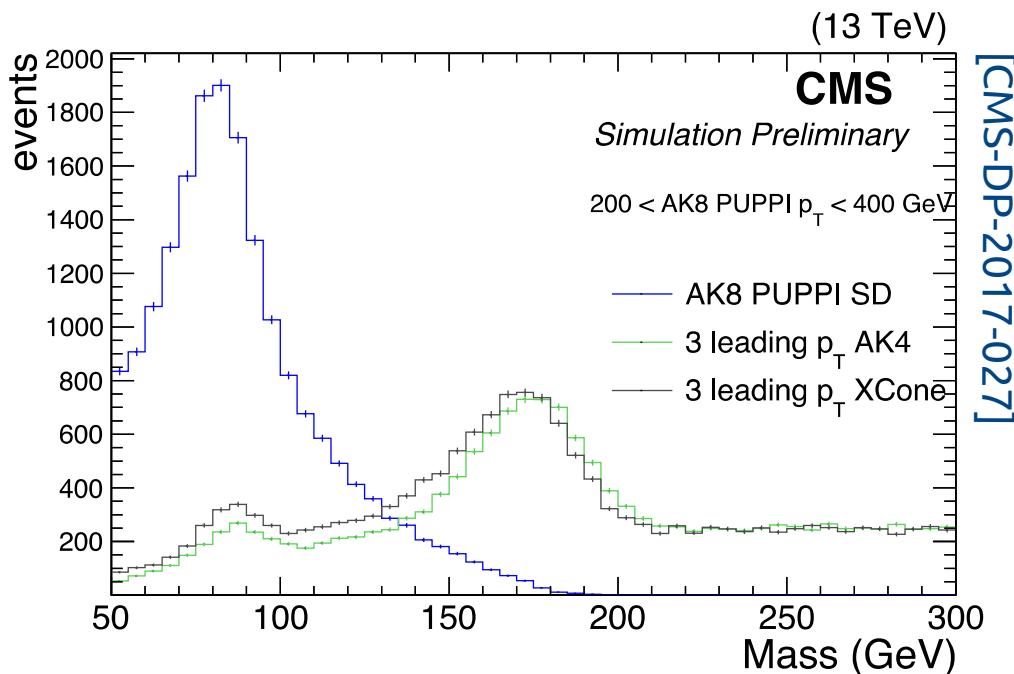


- Same kinematic cuts on XCone and AK4 jets
→ similar jet multiplicity
- Similar behavior of jet p_T and HT

Top mass from XCone jets

- Mass reconstructed from the 3 leading XCone, AK4 jets compared to AK8 Soft drop mass

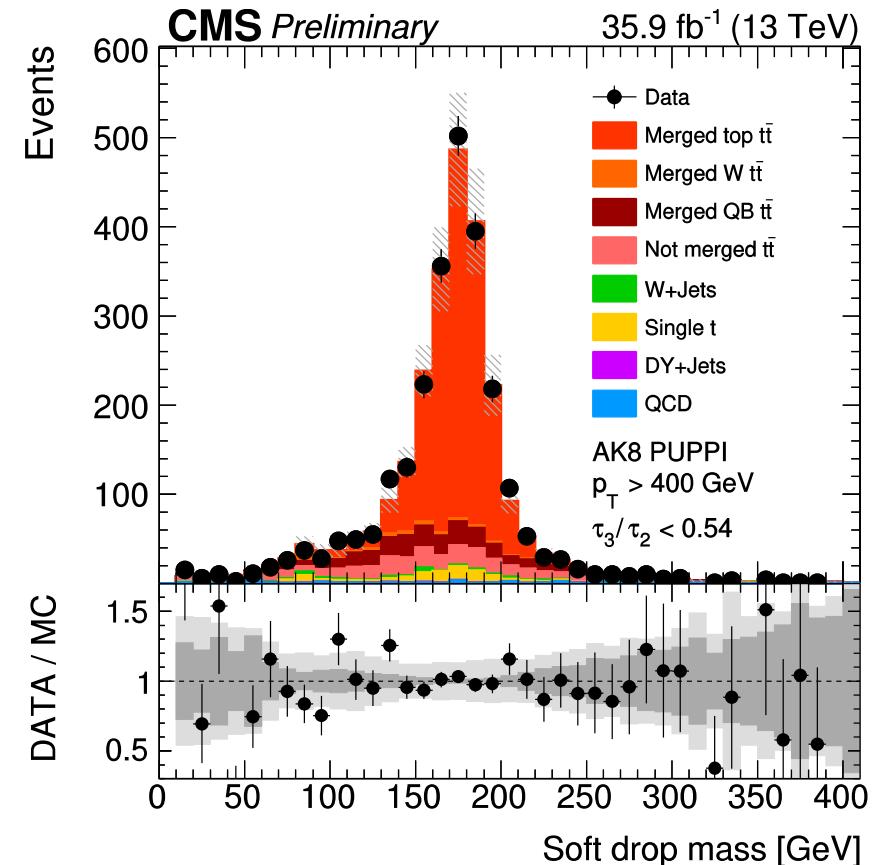
$t\bar{t}$ simulation, $\mu + \text{jets}$



- Similar performance between AK4 and XCone for low p_T
- Better performance by XCone in the boosted region

Summary

- Top tagging very important at 13 TeV
- Top tagging used and studied in 2016 data
- Jet substructure well described by simulation
- Performance well understood in data and simulation
- New methods studied in simulation



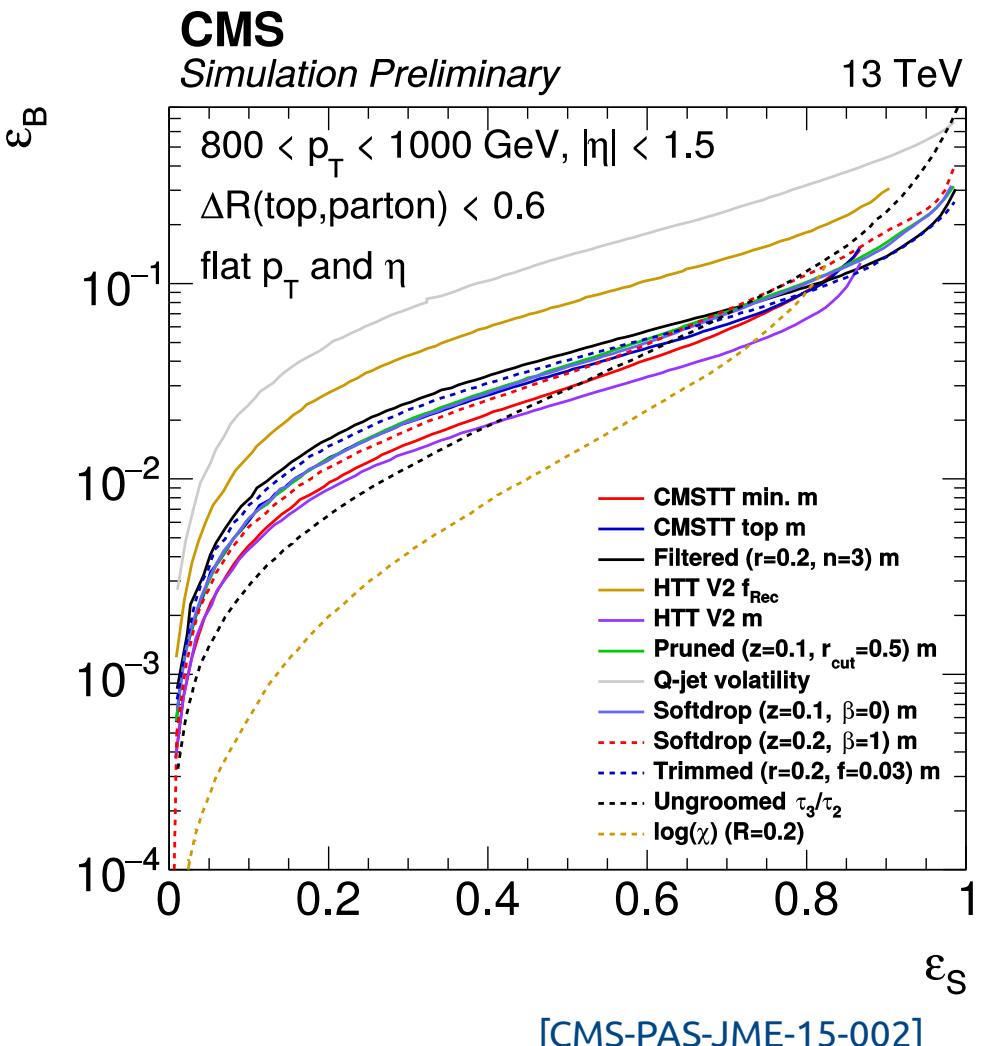
Back up

13 TeV simulation studies

CMS-PAS-JME-15-002

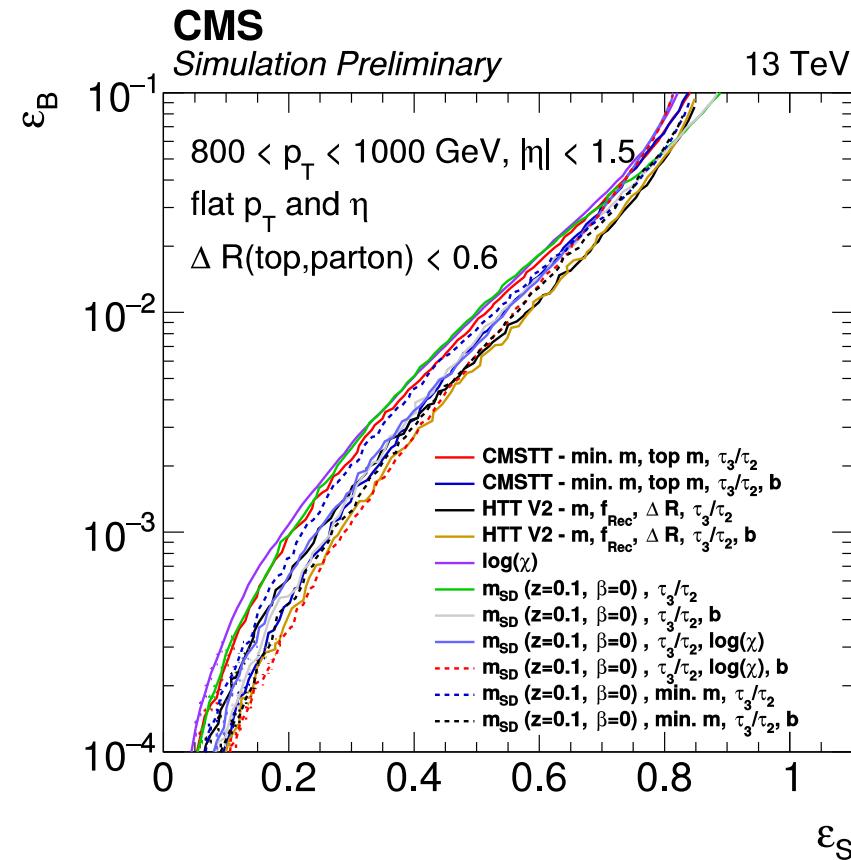
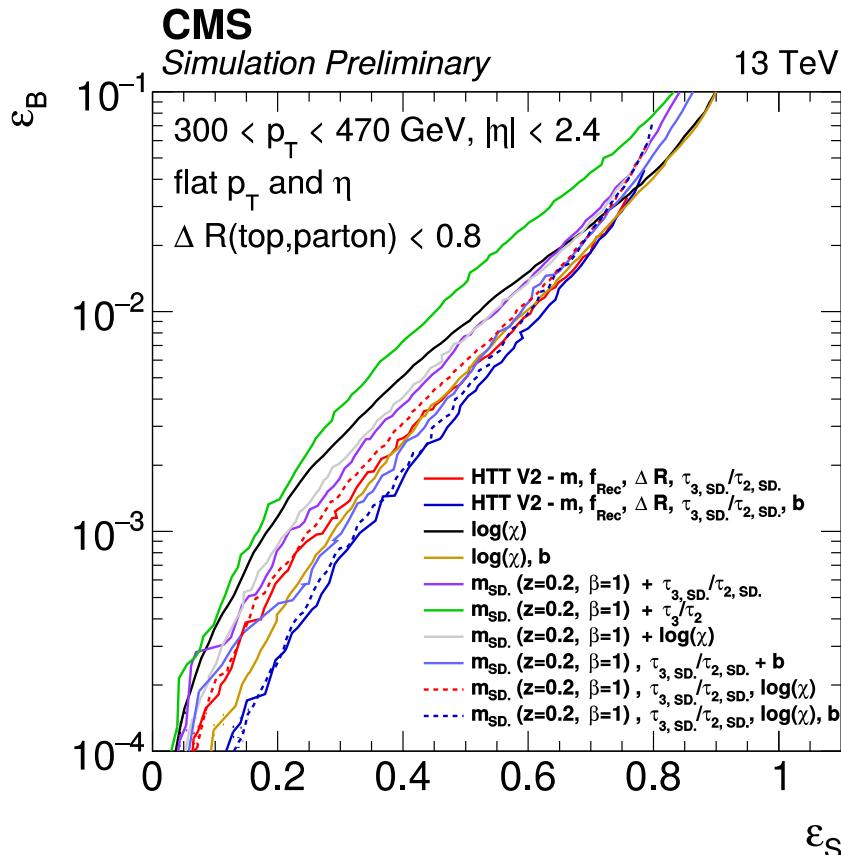
Studied variables:

- CMSTT v1 variables
 - min. pairwise mass
 - top mass
- HepTopTagger V2 (HTT V2) variables
 - $f_{Rec} = \min_{ij} \left| \frac{\frac{m_{ij}}{m_{123}}}{\frac{m_W}{m_t}} - 1 \right|$
 - mass
- Groomed masses:
 - Pruned, Filtered, Trimmed, Soft drop
- N-subjettiness ratio τ_3/τ_2
- Qjets-volatility
- Shower deconstruction $\log(\chi)$

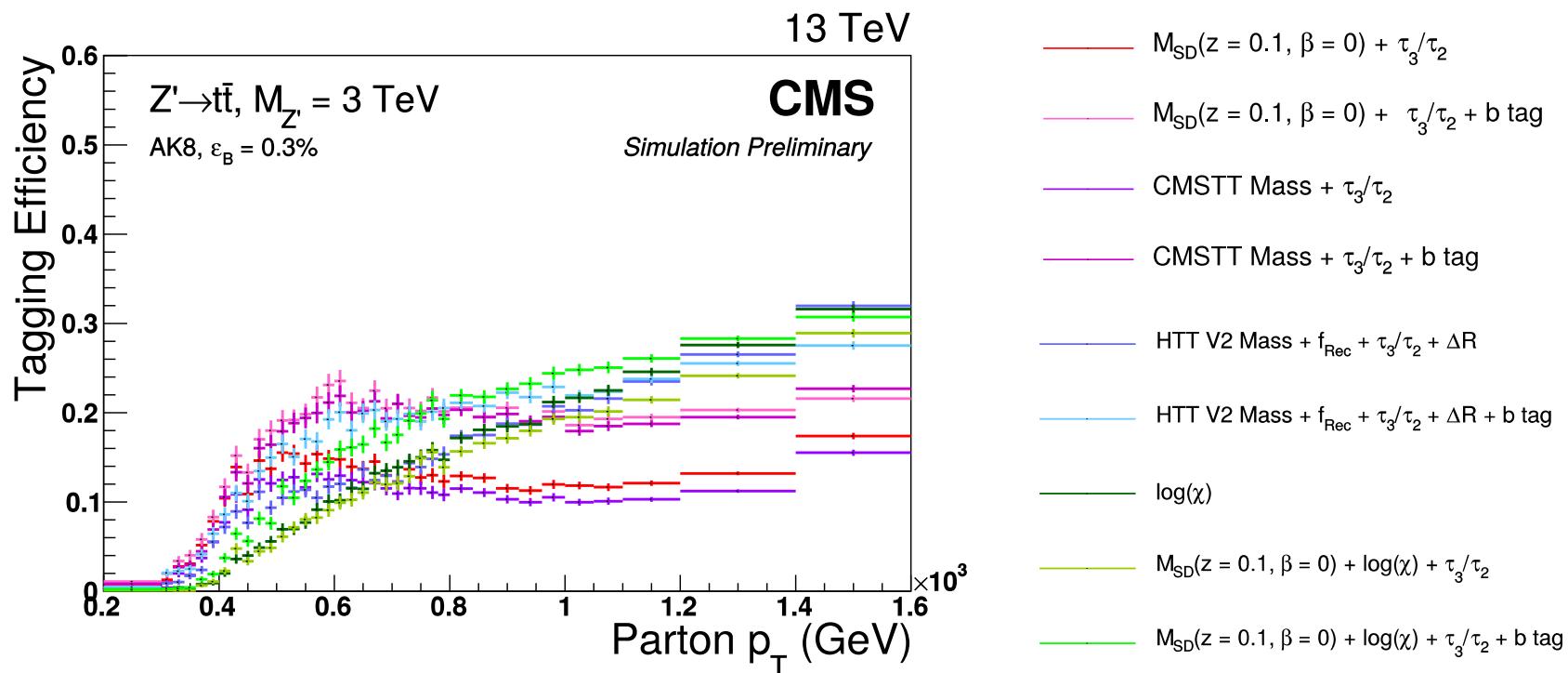


13 TeV simulation studies

- Different combinations studied
- Similar performance
- HTT V2 good performance for low p_T
- Good performance for $m_{SD} + N$ -subjettiness for high p_T



13 TeV simulation studies



- $m_{SD} + N$ -subjettiness (+ subjet b-tag)
 - Steep turn on
 - Stable in p_T

→ default option for high p_T region
- Additional study of HepTopTagger V2 for low p_T region

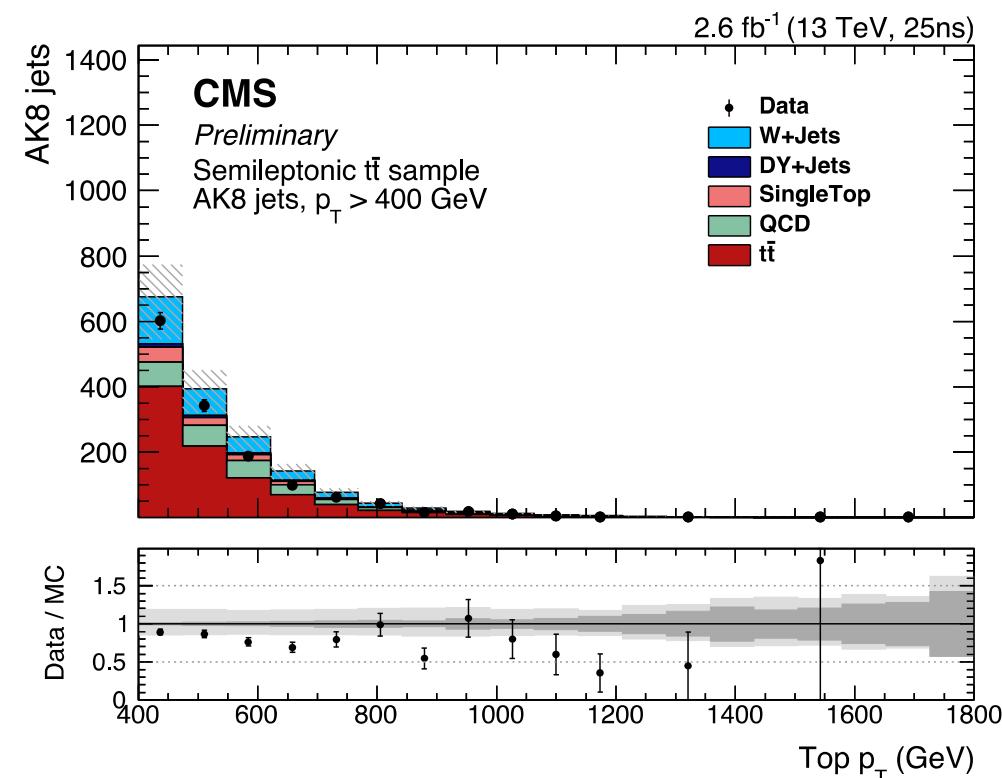
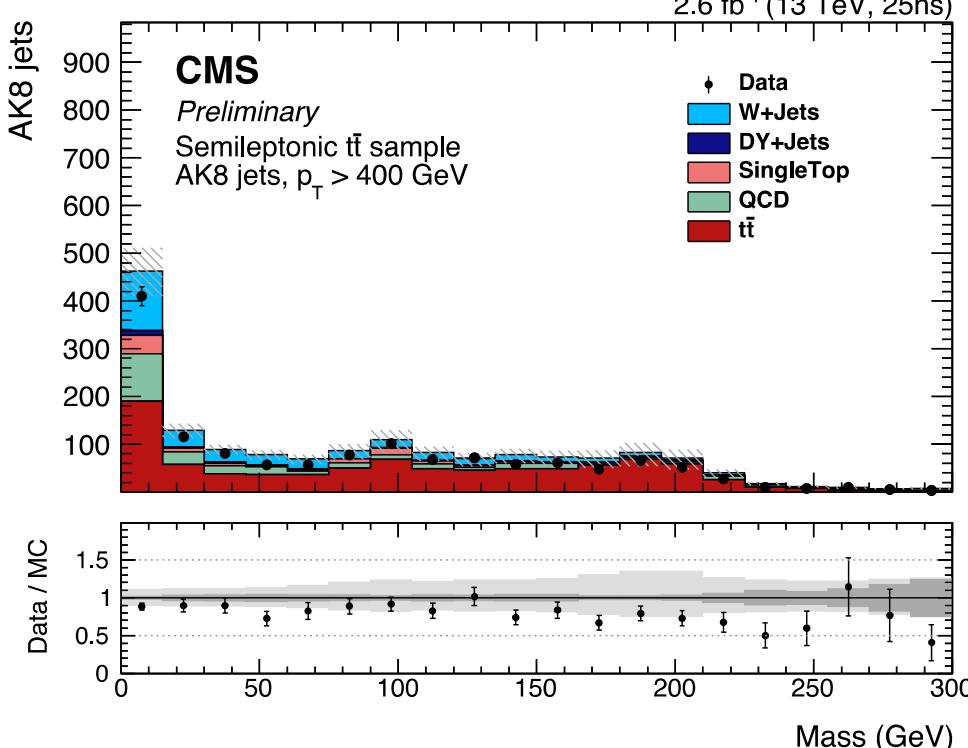
[CMS-PAS-JME-15-002]

Validation in 2015 data

CMS-PAS-JME-16-003

Validation in 2015 data

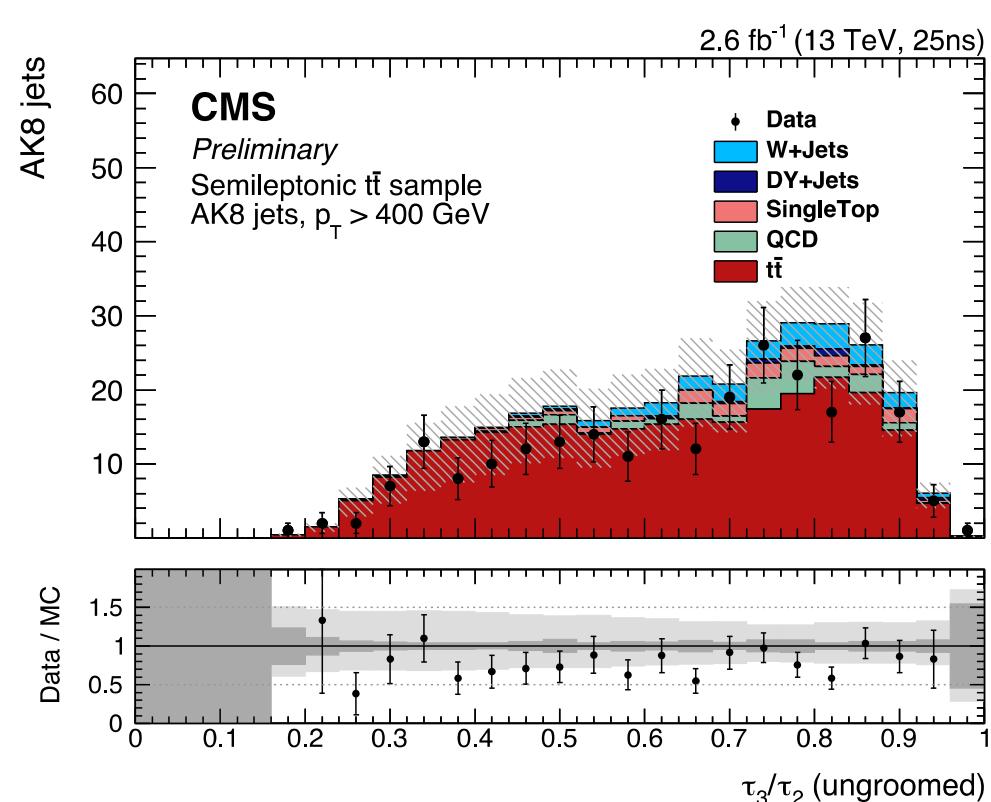
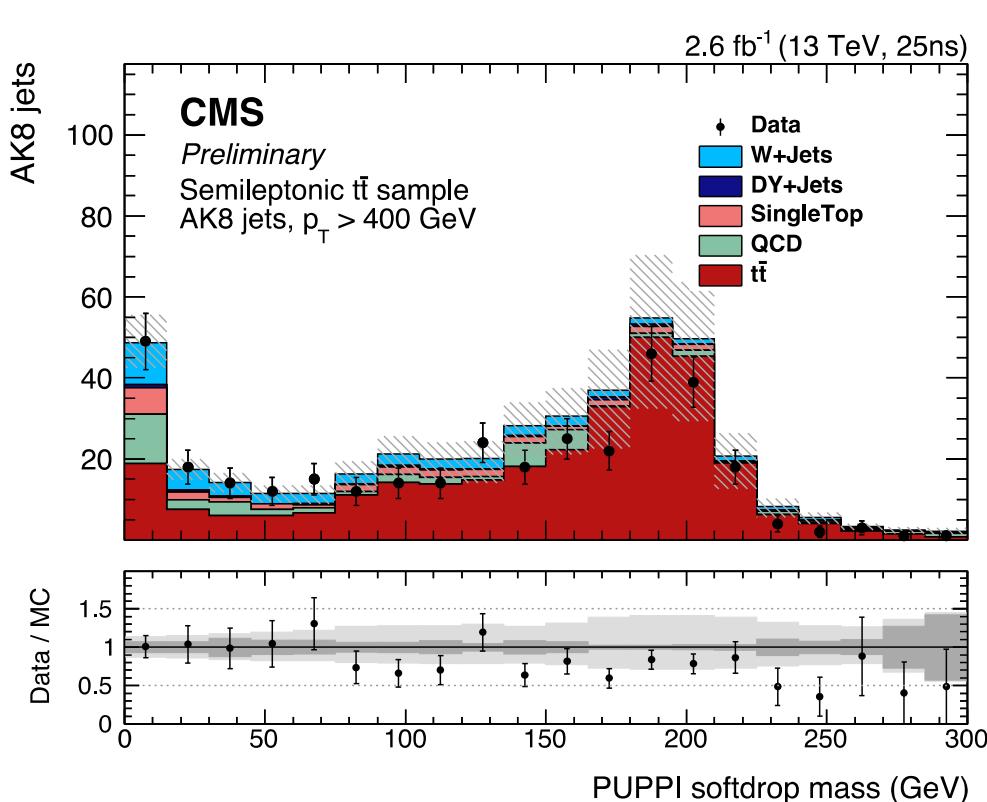
- AK8 jets with PUPPI applied
- $p_T > 400$ GeV
- Soft drop mass and p_T after selection



[CMS-PAS-JME-16-003]

Validation in 2015 data

- Loose top tag ($\tau_3/\tau_2 < 0.8$, $105 < m_{SD} < 210$ GeV)
- Cut on respective variable omitted



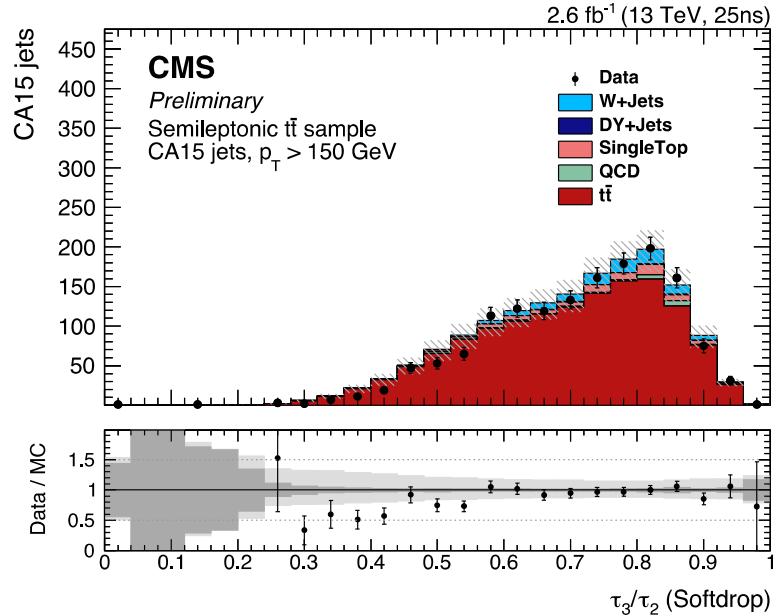
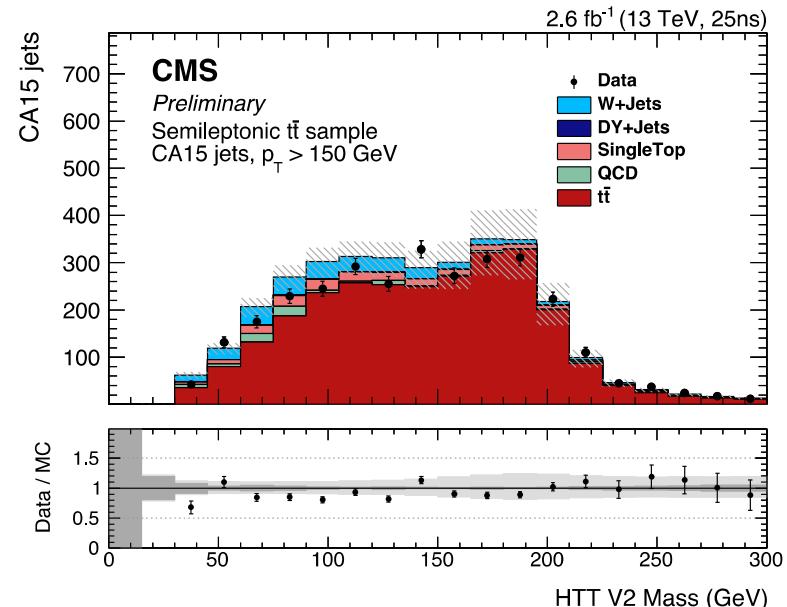
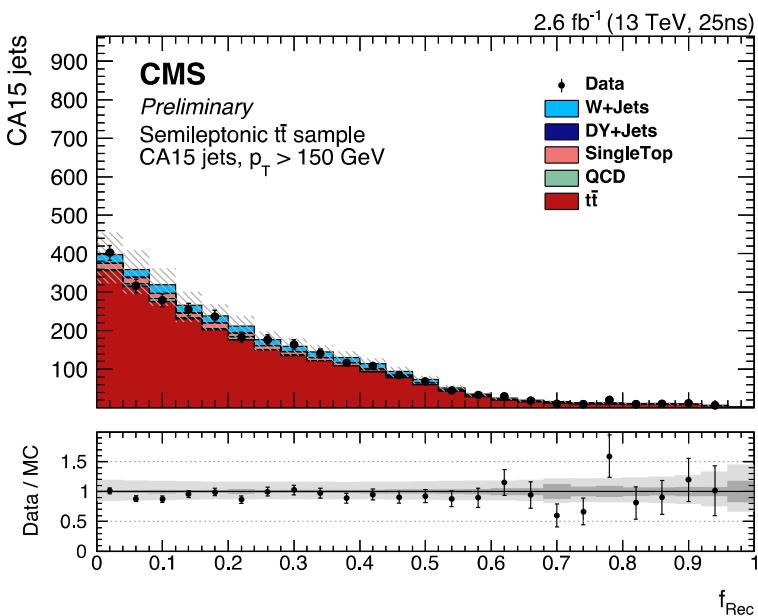
[CMS-PAS-JME-16-003]



Hep Top Tagger V2



- CA15 jets
- HTT V2 variables after loose top tag
- Cuts on respective variable omitted
- Good description of mass HTT V2 mass and f_{Rec}



2015 scale factors

- Efficiency: $\epsilon = \frac{N_{\text{tagged jets}} - N_{\text{tagged jets (background)}}}{N_{\text{all jets}} - N_{\text{all jets (background)}}}$
- Scale factors: $\text{sf} = \epsilon_{\text{data}} / \epsilon_{\text{simulation}}$

AK8 jets MSD + N-subjettiness

Input	$\epsilon(B)$	$\epsilon(S)$	p_T bin [GeV]	subjet b-tag > 0.46			no subjet b-tag cut incl. (400 - ∞)
				400 - 550	550 - ∞	incl. (400 - ∞)	
PUPPI	0.1 %	≈ 15 %	$M_{SD}[105, 210], \tau_{32} < 0.46$	0.96 ± 0.18	1.32 ± 0.40	1.04 ± 0.16	0.98 ± 0.15
	0.3 %	≈ 30 %	$M_{SD}[105, 210], \tau_{32} < 0.54$	1.02 ± 0.15	1.14 ± 0.32	1.05 ± 0.14	1.01 ± 0.13
	1.0 %	≈ 45 %	$M_{SD}[105, 210], \tau_{32} < 0.65$	1.06 ± 0.13	1.07 ± 0.28	1.06 ± 0.12	1.06 ± 0.11
	3.0 %	≈ 55 %	$M_{SD}[105, 210], \tau_{32} < 0.80$	1.04 ± 0.11	1.05 ± 0.26	1.05 ± 0.10	1.02 ± 0.09
CHS	0.1 %	≈ 15 %	$M_{SD}[105, 220], \tau_{32} < 0.50$	0.76 ± 0.14	1.10 ± 0.30	0.85 ± 0.13	0.86 ± 0.13
	0.3 %	≈ 25 %	$M_{SD}[105, 220], \tau_{32} < 0.57$	0.82 ± 0.13	1.00 ± 0.25	0.97 ± 0.11	0.88 ± 0.11
	1.0 %	≈ 45 %	$M_{SD}[105, 220], \tau_{32} < 0.67$	0.90 ± 0.11	1.03 ± 0.21	0.94 ± 0.10	0.93 ± 0.09
	3.0 %	≈ 60 %	$M_{SD}[105, 220], \tau_{32} < 0.81$	0.88 ± 0.09	1.09 ± 0.19	0.94 ± 0.08	0.96 ± 0.08

CA15 jets HTT V2

$\epsilon(B)$	$\epsilon(S)$	p_T bin [GeV]	subjet b-tag > 0.46				no subjet b-tag cut incl. (150 - ∞)
			150 - 400	400 - 550	550 - ∞	incl. (150 - ∞)	
0.1 %	≈ 15 %	$M[130, 185], \tau_{32,SD} < 0.55, f_{Rec} < 0.17$	0.62 ± 0.06	0.85 ± 0.20	1.47 ± 0.47	0.68 ± 0.06	0.73 ± 0.06
0.3 %	≈ 25 %	$M[115, 180], \tau_{32,SD} < 0.62, f_{Rec} < 0.27$	0.87 ± 0.05	0.83 ± 0.17	1.26 ± 0.39	0.87 ± 0.05	0.91 ± 0.04
1.0 %	≈ 35 %	$M[110, 185], \tau_{32,SD} < 0.93, f_{Rec} < 0.20$	0.87 ± 0.03	0.91 ± 0.11	1.50 ± 0.30	0.91 ± 0.03	0.95 ± 0.02
3.0 %	≈ 45 %	$M[85, 280], \tau_{32,SD} < 0.97, f_{Rec} < 0.47$	0.90 ± 0.02	1.02 ± 0.06	1.20 ± 0.16	0.92 ± 0.01	0.98 ± 0.01

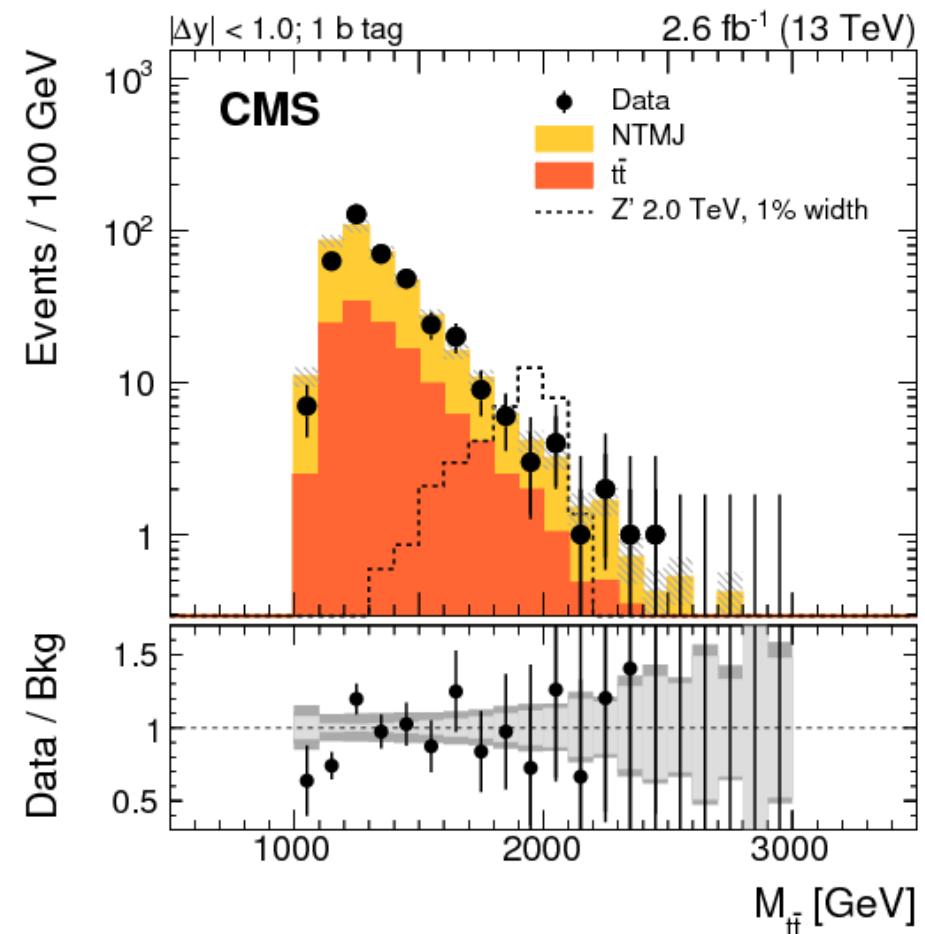
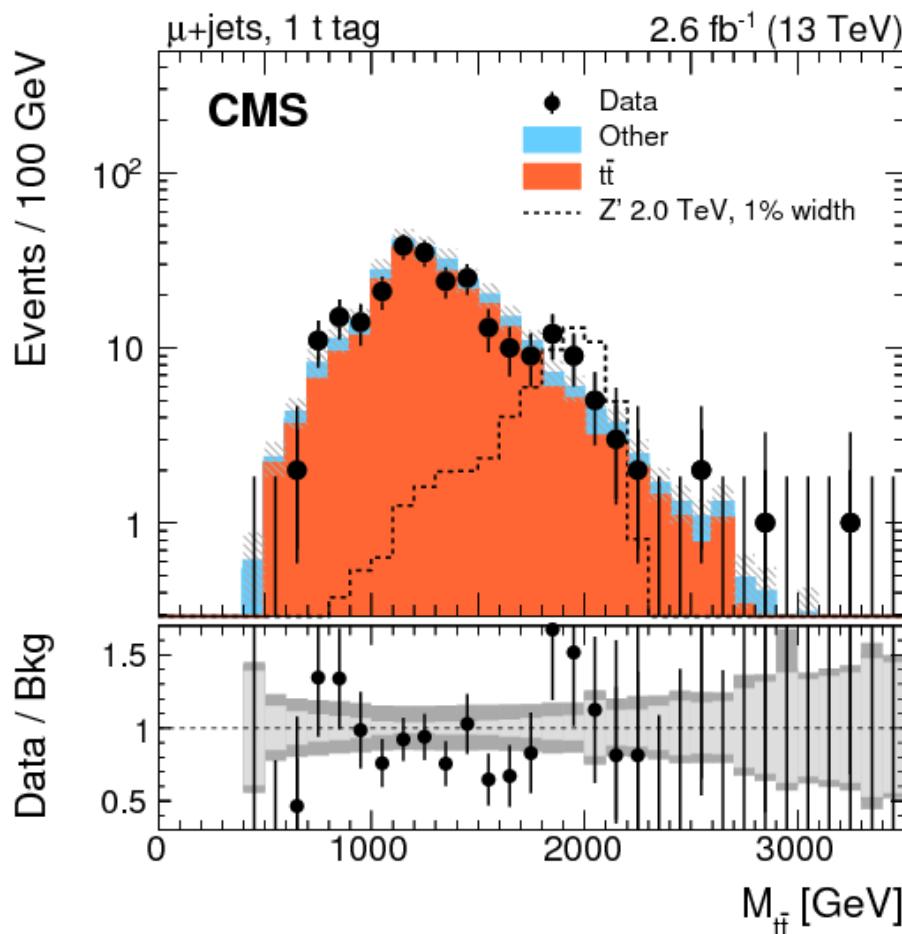
[CMS-PAS-JME-16-003]

Use of top tagging in 2015 data

$t\bar{t}$ resonance search

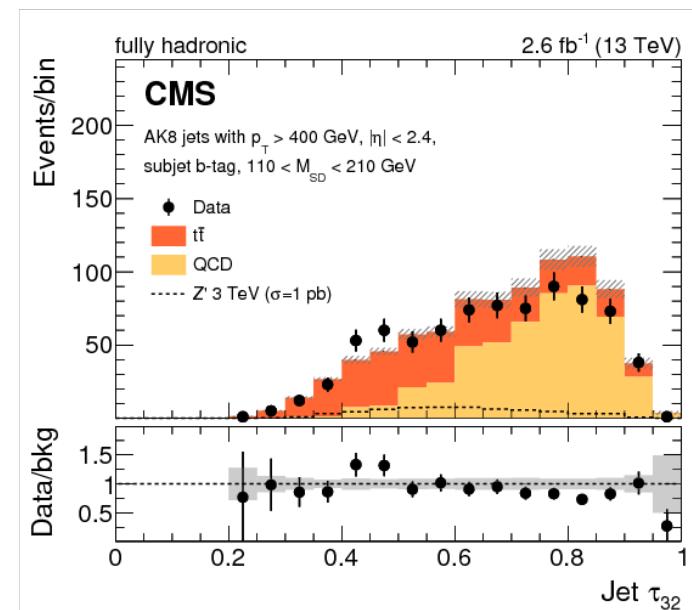
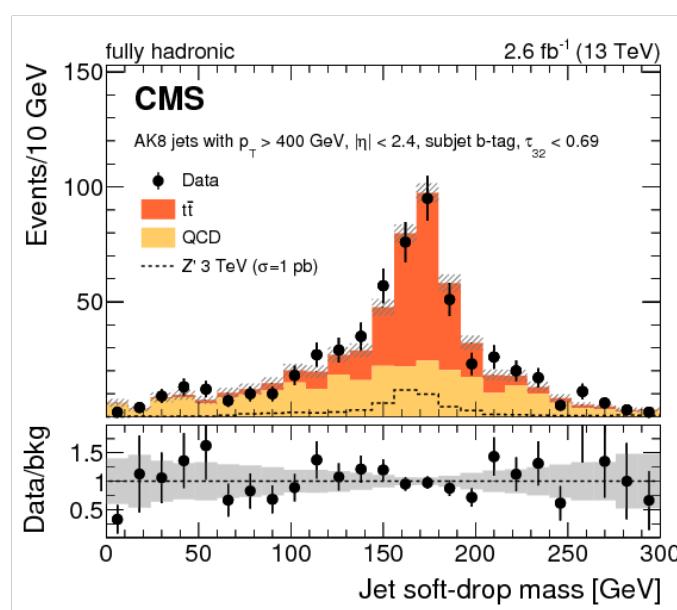
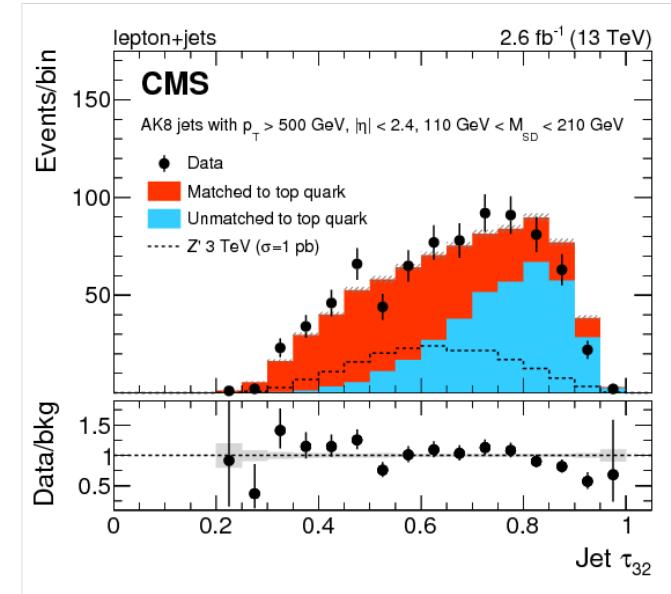
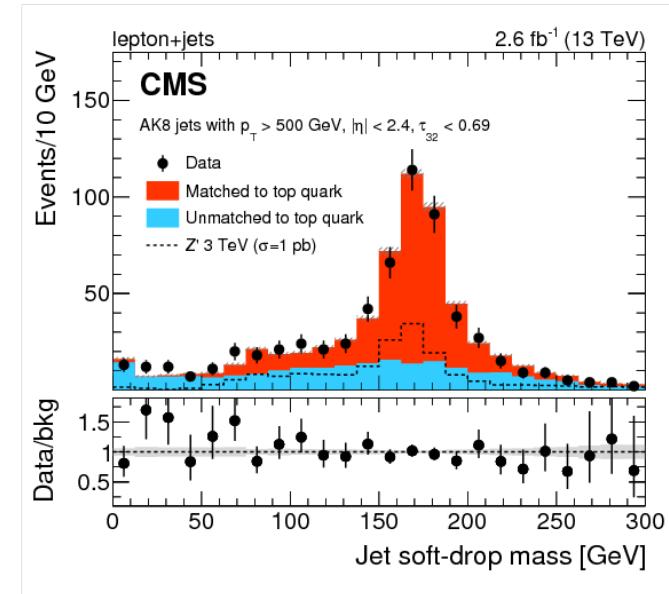
- Top tagging used in search for $t\bar{t}$ resonances
- Lepton+jets and fully hadronic channel

[JHEP 1707 (2017) 001]



Validation for $t\bar{t}$ resonances

- Well description of soft drop mass
- Lepton+jets and fully hadronic channel



[JHEP 1707 (2017) 001]