## Tunneling probability

Consider the following potential energy function.

$$V(x) = \begin{cases} 0, & x < 0 \\ V_0, & 0 \le x \le L \\ 0, & x > L \end{cases}$$

Suppose a particle with mass m and energy  $E < V_0$  is traveling from left to right along the x axis. The particle has a Schrödinger equation for each region of V(x).

$$\frac{\hbar^2}{2m} \frac{d^2}{dx} \psi_1 = E \psi_1, \qquad x < 0$$

$$\frac{\hbar^2}{2m} \frac{d^2}{dx} \psi_2 + V_0 \psi_2 = E \psi_2, \qquad 0 \le x \le L$$

$$\frac{\hbar^2}{2m} \frac{d^2}{dx} \psi_3 = E \psi_3, \qquad x > L$$

Let  $\psi_1$  and  $\psi_3$  have the most general free-particle solutions.

$$\psi_1(x) = A \exp\left(i\sqrt{\frac{2mE}{\hbar^2}}x\right) + B \exp\left(-i\sqrt{\frac{2mE}{\hbar^2}}x\right)$$
$$\psi_3(x) = F \exp\left(i\sqrt{\frac{2mE}{\hbar^2}}x\right) + G \exp\left(-i\sqrt{\frac{2mE}{\hbar^2}}x\right)$$

Use the WKB approximation to solve for  $\psi_2$ .

$$\psi_2(x) \approx C \exp\left(i \int \sqrt{\frac{2m(E - V_0)}{\hbar^2}} \, dx\right) + D \exp\left(-i \int \sqrt{\frac{2m(E - V_0)}{\hbar^2}} \, dx\right)$$

Cancel i by swapping E and  $V_0$ .

$$\psi_2(x) \approx C \exp\left(\int \sqrt{\frac{2m(V_0 - E)}{\hbar^2}} \, dx\right) + D \exp\left(-\int \sqrt{\frac{2m(V_0 - E)}{\hbar^2}} \, dx\right)$$

Substitute x for  $\int dx$ .

$$\psi_2(x) \approx C \exp\left(\sqrt{\frac{2m(V_0 - E)}{\hbar^2}}x\right) + D \exp\left(-\sqrt{\frac{2m(V_0 - E)}{\hbar^2}}x\right)$$

To simplify the formulas let

$$k = \sqrt{\frac{2mE}{\hbar^2}}, \quad \beta = \sqrt{\frac{2m(V_0 - E)}{\hbar^2}}$$

and write

$$\psi_1(x) = A \exp(ikx) + B \exp(-ikx)$$
  
$$\psi_2(x) = C \exp(\beta x) + D \exp(-\beta x)$$
  
$$\psi_3(x) = F \exp(ikx) + G \exp(-ikx)$$

Exponentials of -i represent particles moving from right to left. The B exponential represents a particle reflected from the boundary at x = 0. There is no particle moving right to left at x > L hence G = 0.

Let us now solve for the coefficients using boundary conditions. Four boundary conditions are needed to ensure continuity at x = 0 and x = L.

$$\psi_{1}(0) = \psi_{2}(0)$$

$$\psi'_{1}(0) = \psi'_{2}(0)$$

$$\psi_{2}(L) = \psi_{3}(L)$$

$$\psi'_{2}(L) = \psi'_{3}(L)$$

From the boundary condition  $\psi_2(L) = \psi_3(L)$  we have

$$C\exp(\beta L) + D\exp(-\beta L) = F\exp(ikL) \tag{1}$$

From the boundary condition  $\psi'_2(L) = \psi'_3(L)$  we have

$$\beta C \exp(\beta L) - \beta D \exp(-\beta L) = ikF \exp(ikL) \tag{2}$$

Add  $\beta$  times (1) to (2) to obtain

$$2\beta C \exp(\beta L) = (\beta + ik)F \exp(ikL)$$

Hence

$$C = \frac{(\beta + ik)F\exp(ikL - \beta L)}{2\beta}$$
 (3)

Add minus  $\beta$  times (1) to (2) to obtain

$$-2\beta D \exp(-\beta L) = (-\beta + ik)F \exp(ikL)$$

Hence

$$D = \frac{(\beta - ik)F\exp(ikL + \beta L)}{2\beta} \tag{4}$$

From the boundary condition  $\psi_1(0) = \psi_2(0)$  we have

$$A + B = C + D \tag{5}$$

From the boundary condition  $\psi_1'(0) = \psi_2'(0)$  we have

$$ik(A - B) = \beta(C - D) \tag{6}$$

Add ik times (5) to (6) to obtain

$$2ikA = \beta(C - D) + ik(C - D)$$

Hence

$$A = \frac{\beta(C-D)}{2ik} + \frac{C+D}{2}$$

Substitute (3) and (4) for C and D to obtain

$$A = F \exp(ikL) \left(\cosh(\beta L) + i\gamma \sinh(\beta L)\right) \tag{7}$$

where

$$\gamma = \frac{1}{2} \left( \frac{\beta}{k} - \frac{k}{\beta} \right)$$

The tunneling probability T is the magnitude of the transmitted wave divided by the magnitude of the inbound wave.

$$T = \frac{|F|^2}{|A|^2} = \left| \frac{1}{\exp(ikL)(\cosh(\beta L) + i\gamma \sinh(\beta L))} \right|^2$$

Hence

$$T = \frac{1}{\cosh^2(\beta L) + \gamma^2 \sinh^2(\beta L)} \tag{8}$$

The result can also be written as

$$T = \left(1 + \frac{V_0^2 \sinh^2(\beta L)}{4E(V_0 - E)}\right)^{-1} \tag{9}$$

For small values of T the following approximation can be used.

$$T \approx \left(\frac{V_0^2 \frac{1}{4} \exp(2\beta L)}{4E(V_0 - E)}\right)^{-1} = 16 \frac{E(V_0 - E)}{V_0^2} \exp(-2\beta L)$$

Example. For an electron with

$$E = 1 \,\text{eV}$$

$$V_0 = 1.1 \,\text{eV}$$

$$L = 10^{-9} \,\text{meter}$$

the tunneling probability is

$$T = 0.053$$

The approximate value is

$$T = 0.052$$

(Ref. "Quantum Tunneling of Particles through Potential Barriers" at phys.libretexts.org)