

# Partial Transfer Learning with Selective Adversarial Networks

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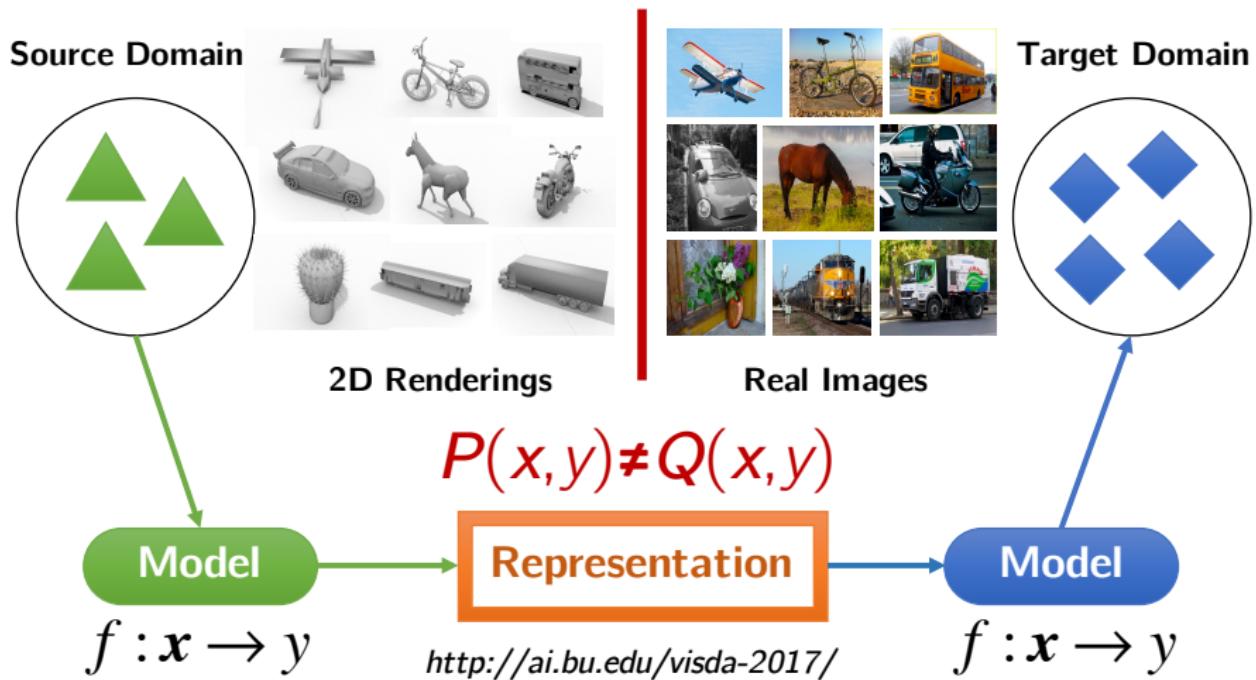
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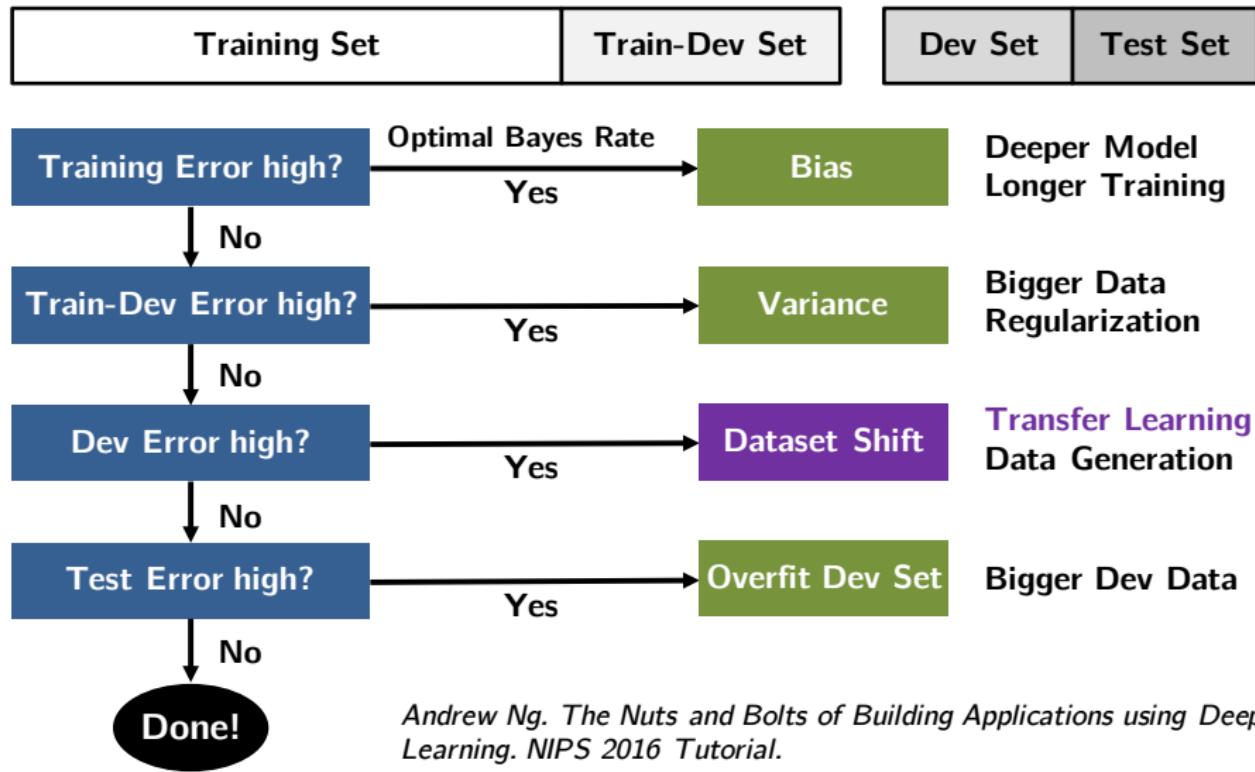
IEEE Conference on Computer Vision and Pattern Recognition  
CVPR 2018 (Spotlight)

# Deep Transfer Learning

- Deep learning across domains of different distributions  $P \neq Q$



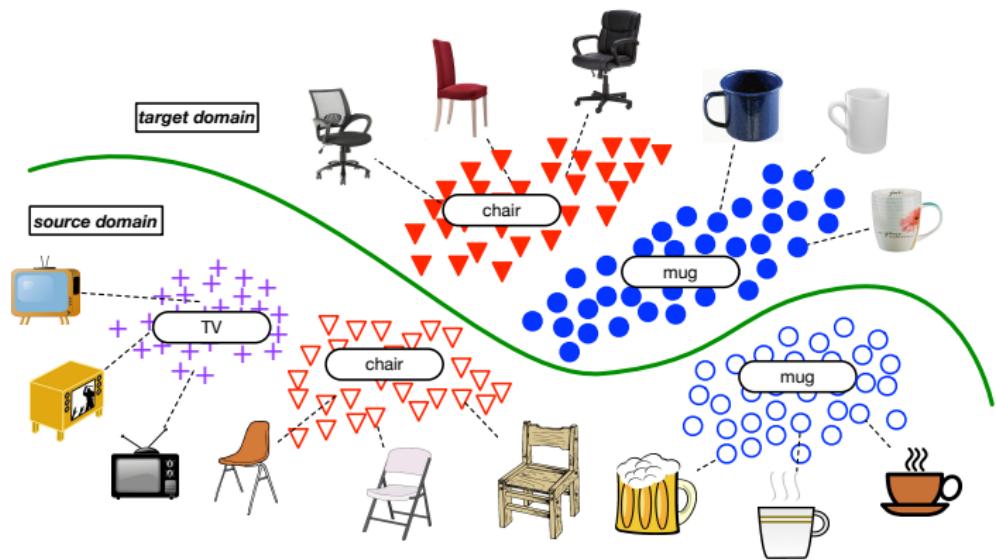
# Deep Transfer Learning: Why?



*Andrew Ng. The Nuts and Bolts of Building Applications using Deep Learning. NIPS 2016 Tutorial.*

# Partial Transfer Learning

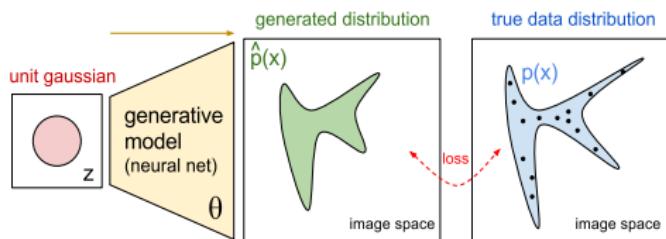
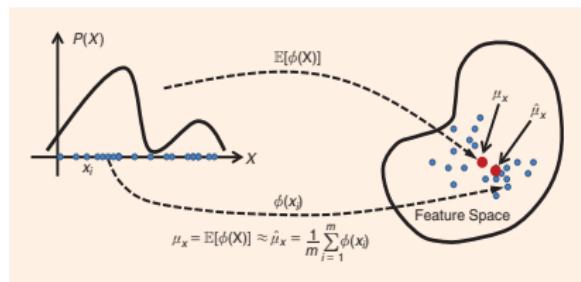
- Deep learning across domains with different label spaces  $\mathcal{C}_s \supset \mathcal{C}_t$
- Positive transfer across domains in **shared** label space  $P_{\mathcal{C}_t} \neq Q_{\mathcal{C}_t}$
- Negative transfer across domains in **outlier** label space  $P_{\mathcal{C}_s \setminus \mathcal{C}_t} \neq Q_{\mathcal{C}_t}$



# Partial Transfer Learning: How?

Matching distributions across the source and target domains s.t.  $P \approx Q$

- Reduce **marginal** distribution mismatch:  $P(\mathbf{X}) \neq Q(\mathbf{X})$
- Reduce **conditional** distribution mismatch:  $P(Y|\mathbf{X}) \neq Q(Y|\mathbf{X})$

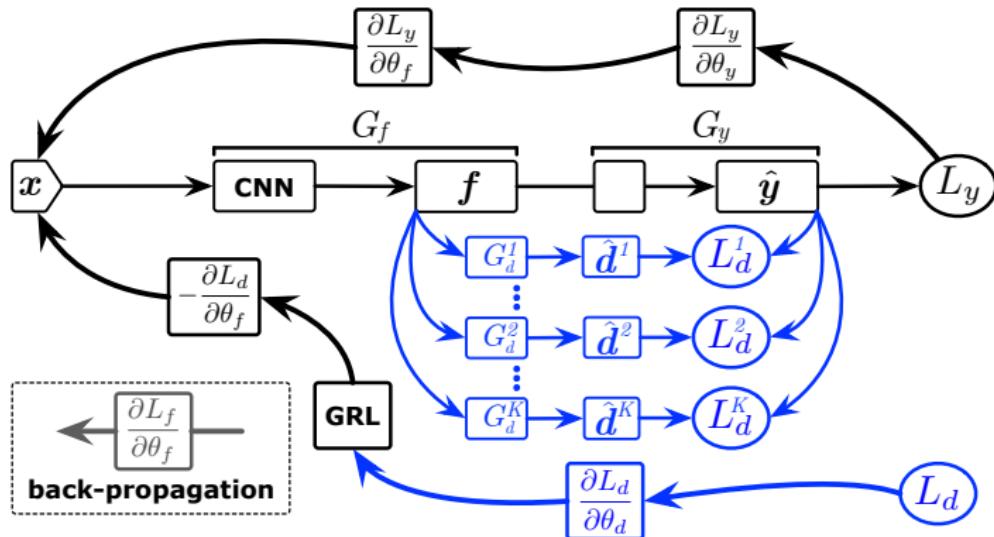


Kernel Embedding

Adversarial Learning

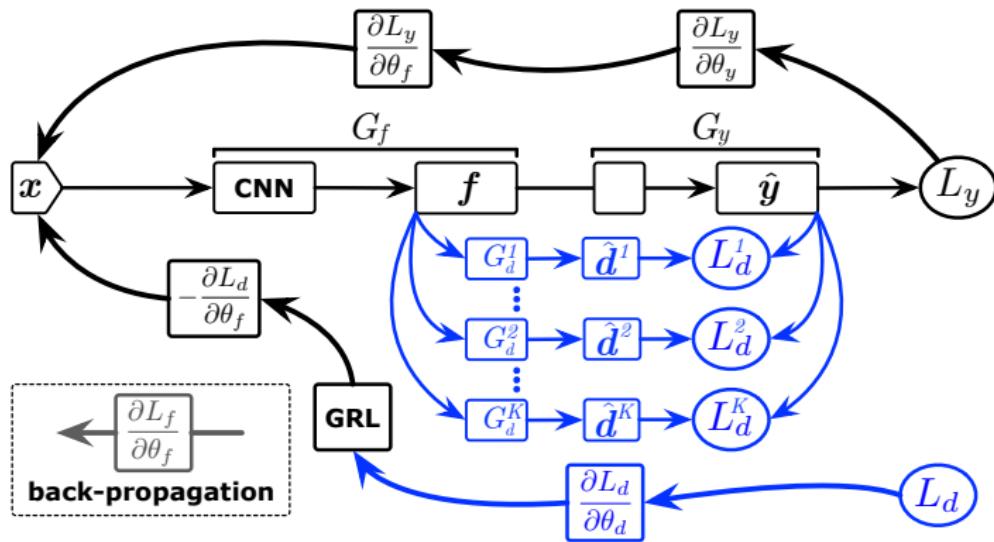
Song et al. *Kernel Embeddings of Conditional Distributions*. IEEE, 2013.  
Goodfellow et al. *Generative Adversarial Networks*. NIPS 2014.

# Selective Adversarial Networks



- $\mathbf{f} = G_f(\mathbf{x})$ : feature extractor
- $\hat{\mathbf{y}}$ : predicted data label
- $\hat{\mathbf{d}}$ : predicted domain label
- $G_y, L_y$ : label predictor and loss
- $G_d^k, L_d^k$ : domain discriminator
- GRL: gradient reversal layer

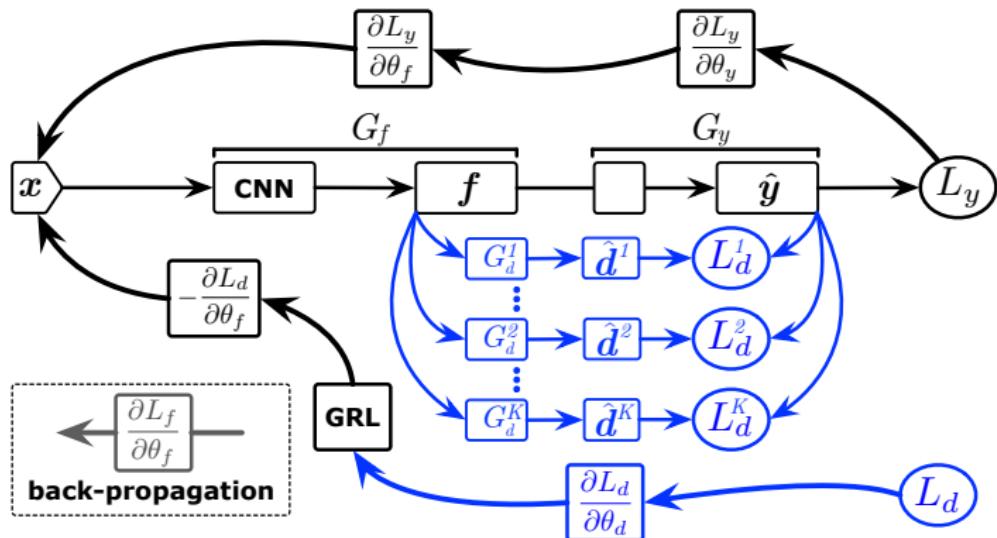
# Selective Adversarial Networks



Instance Weighting (IW): **probability-weighted** loss for  $G_d^k, k = 1, \dots, |\mathcal{C}_s|$

$$L'_d = \frac{1}{n_s + n_t} \sum_{k=1}^{|\mathcal{C}_s|} \sum_{\mathbf{x}_i \in \mathcal{D}_s \cup \mathcal{D}_t} \hat{y}_i^k L_d^k \left( G_d^k (G_f (\mathbf{x}_i)), d_i \right) \quad (1)$$

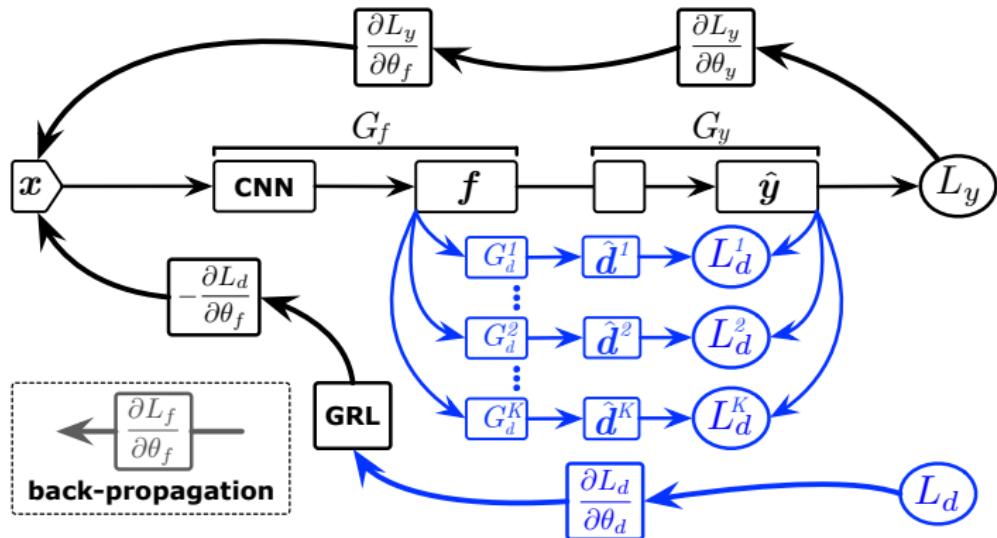
# Selective Adversarial Networks



Class Weighting (CW): down-weigh  $G_d^k, k = 1, \dots, |\mathcal{C}_s|$  for outlier classes

$$L_d = \frac{1}{n_s + n_t} \sum_{k=1}^{|\mathcal{C}_s|} \left\{ \left( \frac{1}{n_t} \sum_{x_i \in \mathcal{D}_t} \hat{y}_i^k \right) \times \left( \sum_{x_i \in (\mathcal{D}_s \cup \mathcal{D}_t)} \hat{y}_i^k L_d^k(G_d^k(G_f(x_i)), d_i) \right) \right\} \quad (2)$$

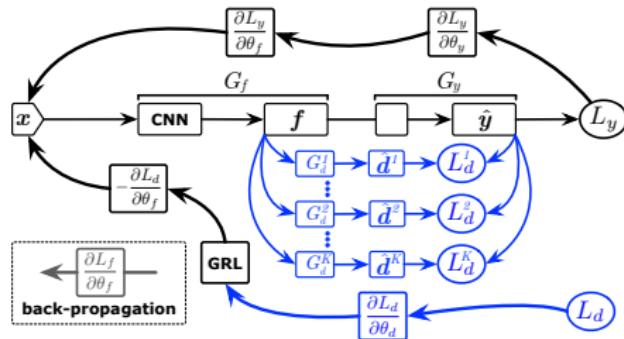
# Selective Adversarial Networks



Entropy (**uncertainty**) minimization:  $H(G_y(G_f(x_i))) = -\sum_{k=1}^{|\mathcal{C}_s|} \hat{y}_i^k \log \hat{y}_i^k$

$$E = \frac{1}{n_t} \sum_{x_i \in \mathcal{D}_t} H(G_y(G_f(x_i))) \quad (3)$$

# Selective Adversarial Networks

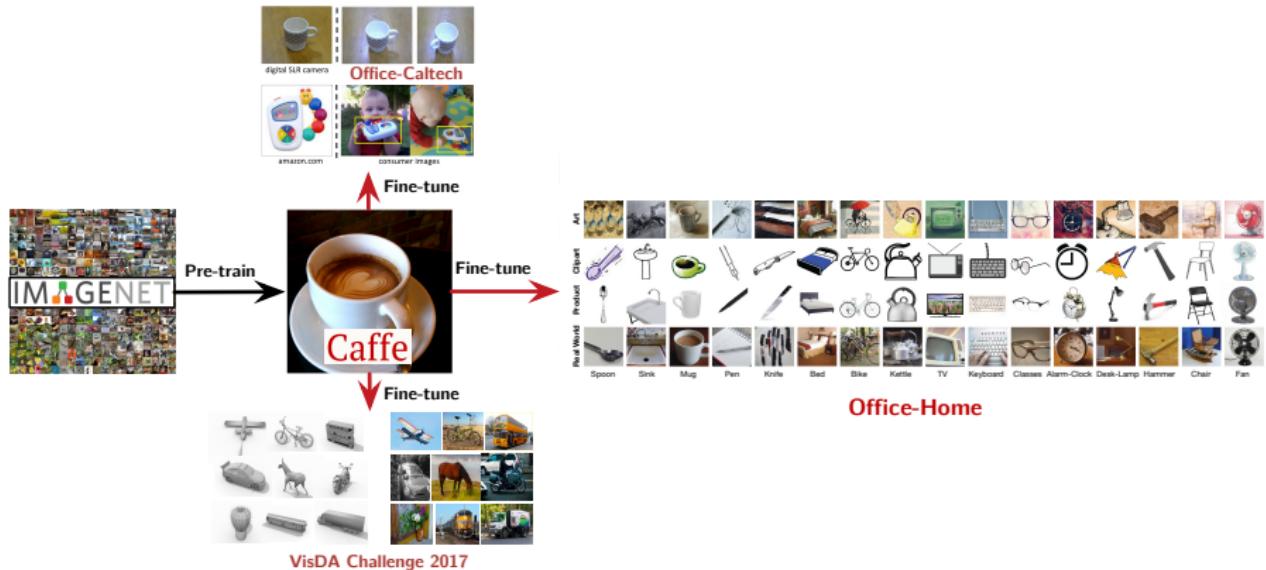


$$C(\theta_f, \theta_y, \theta_d^k |_{k=1}^{|\mathcal{C}_s|}) = \frac{1}{n_s} \sum_{x_i \in \mathcal{D}_s} L_y(G_y(G_f(x_i)), y_i) + \frac{1}{n_t} \sum_{x_i \in \mathcal{D}_t} H(G_y(G_f(x_i))) \\ - \frac{1}{n_s + n_t} \sum_{k=1}^{|\mathcal{C}_s|} \left\{ \left( \frac{1}{n_t} \sum_{x_i \in \mathcal{D}_t} \hat{y}_i^k \right) \times \left( \sum_{x_i \in (\mathcal{D}_s \cup \mathcal{D}_t)} \hat{y}_i^k L_d^k(G_d^k(G_f(x_i)), d_i) \right) \right\} \quad (4)$$

$$(\hat{\theta}_f, \hat{\theta}_y) = \arg \min_{\theta_f, \theta_y} C(\theta_f, \theta_y, \theta_d^k |_{k=1}^{|\mathcal{C}_s|}) \quad (5)$$

$$(\hat{\theta}_d^1, \dots, \hat{\theta}_d^{|\mathcal{C}_s|}) = \arg \max_{\theta_d^1, \dots, \theta_d^{|\mathcal{C}_s|}} C(\theta_f, \theta_y, \theta_d^k |_{k=1}^{|\mathcal{C}_s|})$$

# Setup



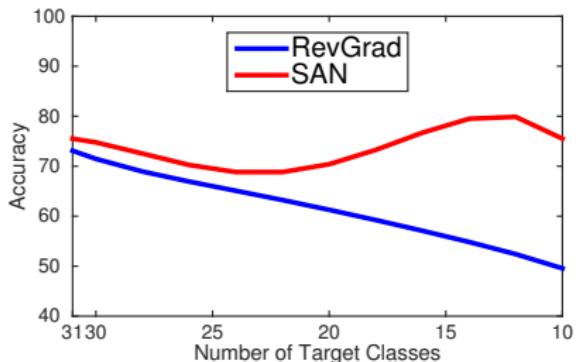
- **Transfer Tasks:** Office-31 ( $31 \rightarrow 10$ ), Caltech-Office ( $256 \rightarrow 10$ ) and ImageNet-Caltech ( $1000 \rightarrow C84$  and  $C256 \rightarrow I84$ )

# Results

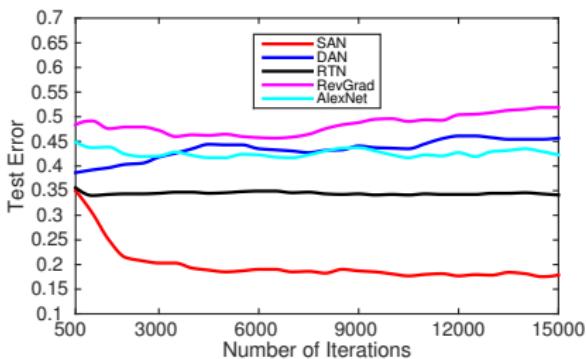
Method	Office-31							Avg
	A 31 → W 10	D 31 → W 10	W 31 → D 10	A 31 → D 10	D 31 → A 10	W 31 → A 10		
AlexNet [2]	58.51	95.05	98.08	71.23	70.6	67.74	76.87	
DAN [3]	56.52	71.86	86.78	51.86	50.42	52.29	61.62	
RevGrad [1]	49.49	93.55	90.44	49.68	46.72	48.81	63.11	
RTN [4]	66.78	86.77	99.36	70.06	73.52	76.41	78.82	
ADDA [5]	70.68	96.44	98.65	72.90	74.26	75.56	81.42	
SAN-selective	71.51	98.31	100.00	78.34	77.87	76.32	83.73	
SAN-entropy	74.61	98.31	100.00	80.29	78.39	82.25	85.64	
SAN	<b>80.02</b>	<b>98.64</b>	<b>100.00</b>	<b>81.28</b>	<b>80.58</b>	<b>83.09</b>	<b>87.27</b>	

Method	Caltech-Office				ImageNet-Caltech		
	C 256 → W 10	C 256 → A 10	C 256 → D 10	Avg	I 1000 → C 84	C 256 → I 84	Avg
AlexNet [2]	58.44	76.64	65.86	66.98	52.37	47.35	49.86
DAN [3]	42.37	70.75	47.04	53.39	54.21	52.03	53.12
RevGrad [1]	54.57	72.86	57.96	61.80	51.34	47.02	49.18
RTN [4]	71.02	81.32	62.35	71.56	63.69	50.45	57.07
ADDA [5]	73.66	78.35	74.80	75.60	64.20	51.55	57.88
SAN-selective	76.44	81.63	80.25	79.44	66.78	51.25	59.02
SAN-entropy	72.54	78.95	76.43	75.97	55.27	52.31	53.79
SAN	<b>88.33</b>	<b>83.82</b>	<b>85.35</b>	<b>85.83</b>	<b>68.45</b>	<b>55.61</b>	<b>62.03</b>

# Analysis



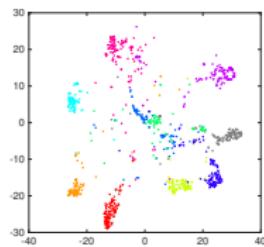
(a) Accuracy w.r.t #Target Classes



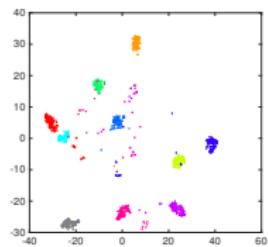
(b) Test Error

- SAN outperforms RevGrad even more for larger class-space difference
- SAN converges more stably and fast to lower test error than RevGrad

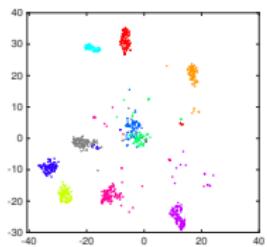
# Visualization



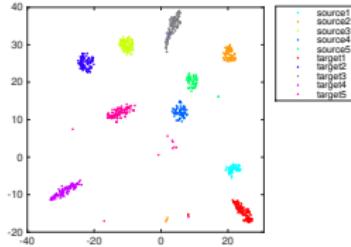
(c) DAN



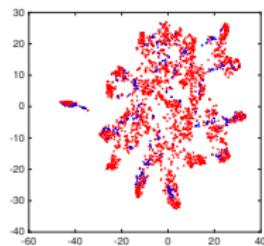
(d) RevGrad



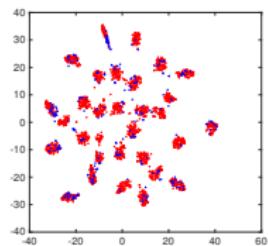
(e) RTN



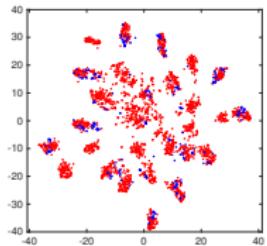
(f) SAN



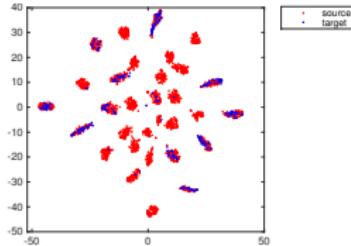
(g) DAN



(h) RevGrad



(i) RTN



(j) SAN

**Figure:** t-SNE with class information (top) and domain information (bottom).

# References

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# Summary

- A novel selective adversarial network for partial transfer learning
  - Circumvent **negative transfer** by selecting out outlier source classes
  - Promote **positive transfer** by matching shared-class-space distributions
- Code will be available soon at: <https://github.com/thuml/>
- A work at CVPR 2018 follows our arXiv version: how fast they are!