Dear Diane,

The following is the check list for my U4 packet.

Please let me know if anything missing or needs to be changed.

1. U4 form  
2. Fingerprint card  
3. Check of $2,000  
4. Copy of Social Security Card  
5. Package B:  
    a) Employment History  
    b) Disclosure of Personal and Household Accounts  
    c) Outside Business Activities  
6. Two copies of Addendum B signed by Gary Zhou  
7. New Trader Orientation Form  
8. New Trader Information Sheet  
9. Annual Compliance Certification Form  
10. Affidavit

Thank you for the great help!

Gary Zhou