

GUANGXU ZHOU
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LITTLE ROCK, AR 72223

NOV 07, 2013

Salesman Account
RF002 WC0055

INTRODUCED BY: WORLD CUP CHAMPIONSHIP
Segregated Accounts US

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MARGIN

CUSTOMER ACKNOWLEDGES THAT MARGIN REQUIREMENTS FLUCTUATE BASED ON MARKET CONDITIONS AND THAT CUSTOMER HAS A DUTY TO MONITOR HIS OR HER ACCOUNT PROACTIVELY TO ENSURE THAT IT IS PROPERLY MARGINED. VISION HAS THE RIGHT TO OFFSET ALL OPEN POSITIONS WITHOUT NOTICE WHEN THE EQUITY ON DEPOSIT IS BELOW REQUIRED LEVELS OR INADEQUATE TO PROTECT VISION AS DETERMINED BY VISION IN ITS SOLE DISCRETION, OR WHEN REQUIRED BY THE RELEVANT MARKETS, EXCHANGES, CLEARING HOUSES OR REGULATORY AUTHORITIES. YOU CAN VIEW YOUR DAILY MARGIN REQUIREMENTS AND COMPLETE ACCOUNT INFORMATION AT WWW.FUTURESWITHVISION.COM. YOUR 'ACCOUNT SUMMARY' SHOWS YOUR MARGIN EXCESS OR DEFICIT. IF YOU HAVE TROUBLE ACCESSING YOUR ACCOUNT OR DO NOT REMEMBER YOUR PIN, CONTACT VISION'S CLIENT SERVICES DEPARTMENT IN CONNECTICUT OR YOUR INTRODUCING BROKER. IF AT ANY TIME YOUR ACCOUNT IS IN AN UNDER MARGINED POSITION, YOU AGREE TO DEPOSIT SUFFICIENT FUNDS BY THE FASTEST AVAILABLE MEANS TO CORRECT SUCH POSITION. YOUR FAILURE TO COMPLY WITH THIS REQUIREMENT WILL RESULT IN THE RESTRICTION AND/OR LIQUIDATION OF POSITIONS IN YOUR ACCOUNT.

LEGAL NOTES

1. CUSTOMER AGREES THAT ALL COMMODITY FUTURES TRANSACTIONS EXECUTED FOR YOUR ACCOUNT ARE MADE IN ACCORDANCE WITH THE TERMS OF VISION'S CUSTOMER AGREEMENT, SAID TERMS BEING INCORPORATED HEREIN BY REFERENCE, AND ARE MADE SUBJECT TO FEDERAL AND STATE STATUTES, RULES, AND REGULATIONS AND TO THE RULES, REGULATIONS AND CUSTOMS OF THE EXCHANGES UPON WHICH THEY ARE EXECUTED. IN THE EVENT OF ANY CONFLICT BETWEEN ANY PROVISION OF THIS STATEMENT AND THE CUSTOMER AGREEMENT, THE PROVISIONS OF THE CUSTOMER AGREEMENT SHALL GOVERN. CUSTOMER AGREES TO INDEMNIFY AND HOLD HARMLESS VISION FOR ALL COSTS INCURRED BY VISION (INCLUDING BUT NOT LIMITED TO FINES, LOSS OF INTEREST, AND ATTORNEYS' FEES) INCURRED BY VISION EXECUTING ANY AUTHORIZED INSTRUCTION OR ORDER FOR YOUR ACCOUNT.
2. FOR SECURITY FUTURES TRADES, IF NOT ALREADY INDICATED ON THIS STATEMENT, INFORMATION ABOUT THE TIME OF ANY TRANSACTION, THE IDENTITY OF THE COUNTERPARTY TO THE TRANSACTION, AND WHETHER VISION IS ACTING AS AGENT OR PRINCIPAL, AS AGENT FOR THE COUNTERPARTY, AS AGENT FOR BOTH PARTIES TO THE CONTRACT, OR AS PRINCIPAL, AND IF ACTING AS PRINCIPAL, WHETHER THE TRANSACTION IS A BLOCK TRANSACTION OR AN EXCHANGE FOR PHYSICALS TRANSACTION, WILL BE AVAILABLE UPON WRITTEN REQUEST OF THE CUSTOMER.
3. IF CUSTOMER FAILS TO PROVIDE VISION APPROPRIATE LIQUIDATING INSTRUCTIONS ON OPEN POSITIONS MATURING IN A DELIVERY MONTH, OR APPROPRIATE DOCUMENTS OR FUNDS BY VISION'S DEADLINE, VISION RESERVES THE RIGHT, WITHOUT NOTICE, TO LIQUIDATE OR COVER OPEN POSITIONS OR MAKE OR RECEIVE DELIVERY ON BEHALF OF CUSTOMER UPON SUCH TERMS AND BY SUCH METHODS THAT VISION DEEMS PROPER. CUSTOMER AGREES TO INDEMNIFY AND HOLD HARMLESS VISION FOR ALL COSTS INCURRED BY VISION (INCLUDING BUT NOT LIMITED TO FINES, LOSS OF INTEREST, AND ATTORNEYS' FEES) IN LIQUIDATING, MAKING OR RECEIVING DELIVERY, OR RETENDERING DELIVERY NOTICES.

IMPORTANT TERMS FOUND ON TRADE CONFIRMATIONS AND MONTHLY ACCOUNT STATEMENTS

PLEASE NOTE: NET LIQUIDITY (AS DEFINED BELOW) IS THE MOST ACCURATE VALUE OF THE CURRENT STATUS OF YOUR ACCOUNT.

NET LIQUIDITY:

THE VALUE OF YOUR ACCOUNT IF YOU HAD CLOSED ALL OPEN POSITIONS AT THE SETTLEMENT PRICE ON THE DATE OF THIS DOCUMENT (INCLUDING THE NET MARKET VALUE OF OPTIONS THAT YOU HAVE WRITTEN OR HOLD).

CLOSING ACCOUNT BALANCE:

THE AMOUNT OF CASH HELD FOR YOUR ACCOUNT AFTER REFLECTING NET OPTION PREMIUMS RECEIVED OR PAID, PROFIT OR LOSS ON COMPLETED FUTURES TRANSACTIONS, ANY COMMISSIONS OR FEES PAID ON CONFIRMED FUTURES TRADES AND ANY CASH TRANSACTIONS.

TOTAL OPEN TRADE EQUITY:

THE UNREALIZED GAIN OR LOSS ON OPEN FUTURES POSITIONS CALCULATED USING THE SETTLEMENT PRICE ON THE DATE OF THIS DOCUMENT.

TOTAL EQUITY:

THIS IS EQUAL TO YOUR CLOSING ACCOUNT BALANCE AND TOTAL OPEN TRADE EQUITY. FOR OPTION WRITERS, TOTAL EQUITY DOES NOT REFLECT THE CURRENT VALUE OF YOUR ACCOUNT. TOTAL EQUITY ONLY REFLECTS THE VALUE OF YOUR ACCOUNT IF ALL OPEN OPTION POSITIONS THAT YOU HAVE WRITTEN EXPIRE WORTHLESS. IMPORTANT TERMS FOUND ON MONTHLY ACCOUNT STATEMENTS

FUTURES PROFIT AND LOSS:

DURING THIS STATEMENT PERIOD, NET PROFIT OR LOSS ON CLOSED FUTURES TRANSACTIONS LESS COMMISSIONS AND FEES PAID ON THESE TRANSACTIONS.

OPTIONS PROFIT AND LOSS:

DURING THIS STATEMENT PERIOD, ANY OPTION PREMIUM COLLECTED LESS ANY OPTION PREMIUM PAID, NET OF ALL COMMISSIONS AND FEES PAID ON THESE TRANSACTIONS.

NET PROFIT AND LOSS:

FUTURES PROFIT AND LOSS PLUS OPTIONS PROFIT AND LOSS FOR THIS STATEMENT PERIOD.

ELECTRONIC TRADE CONFIRMATIONS AND MONTHLY ACCOUNT STATEMENTS (FOR FASTER ACCESS)

TO RECEIVE OR ACCESS ELECTRONIC TRADE CONFIRMATIONS OR MONTHLY ACCOUNT STATEMENTS, GO TO WWW.FUTURESWITHVISION.COM. AFTER SIGNING IN, GO TO SUPPORT > FREQUENTLY ASKED QUESTIONS > CUSTOMER SERVICE AND TECHNICAL SUPPORT AND FOLLOW THE INSTRUCTIONS TO DOWNLOAD THE CONSENT TO ELECTRONIC DELIVERY OF VISION'S TRADE CONFIRMATIONS AND MONTHLY STATEMENTS. BY EXECUTING THIS CONSENT, YOU WILL AUTHORIZE VISION TO SEND YOU TRADE CONFIRMATIONS AND MONTHLY ACCOUNT STATEMENTS BY E-MAIL. COMPLETE AND FAX THE CONSENT TO VISION AT +1.203.388.2669 OR MAIL TO THE CONNECTICUT OFFICE, ATTENTION: CLIENT SERVICES. PLEASE BE ADVISED THAT UNLESS YOU HAVE SELECTED TO RECEIVE TRADE CONFIRMATIONS AND MONTHLY ACCOUNT STATEMENTS BY E-MAIL, YOU WILL RECEIVE A CONFIRMATION BY MAIL ONLY FOR THOSE TRADING DAYS ON WHICH A TRANSACTION OCCURRED. YOUR ELECTRONIC TRADE CONFIRMATION OR MONTHLY ACCOUNT STATEMENT IS DEEMED RECEIVED BY YOU WHEN MADE AVAILABLE BY VISION, REGARDLESS OF WHETHER YOU ACTUALLY ACCESS THE DOCUMENT. VISION SHALL NOT BE LIABLE FOR ANY LOSSES INCURRED DUE TO ANY FAILURE OF DELIVERY OR RECEIPT OF E-MAIL TRADE CONFIRMATIONS OR MONTHLY ACCOUNT STATEMENTS.

HOW TO CONTACT VISION

ILLINOIS

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GENERAL CONTACT INFO

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