

EXHIBIT A: Funded Account™ Agreement					
This agreement (the "Agreement") serves to outline and express the expectations and rules associated with the Funded Account™ (defined herein) to be provided by Patak Trading Partners LLC, dba TopstepTrading. By completing this form, the Trader recognizes that you are required to adhere to all rules, requirements and expectations set forth by TopstepTrading pursuant to this Agreement or as otherwise established by TopstepTrading from time to time in its sole discretion (the "TopstepTrading Rules").					
I. Personal Information					
Note: Please complete every field provided below. Incomplete Agreements will be voided.					
Legal Name ("Trader", "you" or "your")		Date of Birth: (mm/dd/yyyy)	Skype/Phone Number: (Skype preferred for international calls)		
Guangxu Zhou		08/12/1974	gerryzhougx@hotmail.com/5012460475		
Address (street, city, state, postal code, country)					
102 Kanis creek Ln, Little Rock, AR 72223					
II. Funded Account Rules					
If Trader violates any TopstepTrading Rules and your Funded Account balance (the "Account Balance") is below the beginning Account Balance (the "Starting Balance"), the Trader will immediately forfeit the Funded Account without notice. Adherence to the TopstepTrading Rules is determined solely by TopstepTrading upon review of your Funded Account activity, not the trading reports compiled by TopstepTrading (the "Trade Report").					
Note: Please indicate in the field provided either "Yes" or "No" acknowledging that the Trader understands the following rules. Select your account size below based on the Funded Trader Preparation Account successfully completed.					
1	Trade during the Permitted Trading Hours (defined herein) .			Yes	No
	<div>Please list the products you will be trading: ES</div>			<input checked="" type="checkbox"/>	<input type="checkbox"/>
2	Do not hit or exceed the Daily and/or Weekly Loss Limit (the Weekly Loss Limit is eliminated once the Trailing Max Drawdown reaches the starting balance).....			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Please indicate in the field provided the Daily and Weekly Loss Limits associated with your respective account size				
	Account Size	Daily Loss Limit	Weekly Loss Limit		
	\$30,000	\$500	N/A	<input checked="" type="checkbox"/>	
	\$50,000	\$1,000	\$1,000	<input type="checkbox"/>	
	\$100,000	\$2,000	\$2,000	<input type="checkbox"/>	
	\$150,000	\$3,000	\$3,000	<input type="checkbox"/>	
	Do not allow your Account Balance to hit or exceed the Trailing Max Drawdown			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Please indicate in the field provided the Trailing Max Drawdown associated with your respective account size				
3	Account Size	Trailing Max Drawdown			
	\$30,000	\$1,500		<input checked="" type="checkbox"/>	
	\$50,000	\$2,000		<input type="checkbox"/>	
	\$100,000	\$3,000		<input type="checkbox"/>	
	\$150,000	\$4,500		<input type="checkbox"/>	
4	Follow the Scaling Plan			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<div><div><div>\$30,000</div><div><div>\$0 - \$1,5002 lots</div><div>\$1,501 - \$4,5003 lots</div></div></div><div><div>\$50,000</div><div><div>\$0 - \$1,5002 lots</div><div>\$1,501 - \$2,0003 lots</div><div>\$2,001 - \$4,5005 lots</div></div></div><div><div>\$100,000</div><div><div>\$0 - \$1,5003 lots</div><div>\$1,501 - \$2,0004 lots</div><div>\$2,001 - \$3,0005 lots</div><div>\$3,001 - \$4,50010 lots</div></div></div><div><div>\$150,000</div><div><div>\$0 - \$1,5003 lots</div><div>\$1,501 - \$2,0004 lots</div><div>\$2,001 - \$3,0005 lots</div><div>\$3,001 - \$4,50010 lots</div><div>\$4,501 - \$7,00015 lots</div></div></div></div>				
5	Do not hold positions into major economic releases			<input checked="" type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
III. Funded Account Parameters and Definitions					
Note: Please indicate in the field provided either "Yes" or "No" acknowledging that the Trader understands the following parameters and definitions					
1	Starting Balance: Every Funded Account begins with a starting balance of \$0.			Yes	No
				<input checked="" type="checkbox"/>	<input type="checkbox"/>
2	Scaling Plan: The Scaling Plan is based on Account Balance. Traders will initially be required to scale your contract size in order to sustain a possible drawdown and to support the Trader's success in the Funded Account. The lot size will increase/decrease according to the graph in Section II, Rule 4, as the Account Balance increases or decreases. Once the Trader achieves an increase in allowed lot size, the lot size will not decrease if a withdrawal is made.			<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	Daily Loss Limit: The Daily Loss Limit is calculated intraday based on net P&L, including commissions, fees and both realized and unrealized P&L.			<input checked="" type="checkbox"/>	<input type="checkbox"/>
4	Weekly Loss Limit: The Weekly Loss Limit is the maximum amount the Trader may risk in a calendar week (Monday through Friday). The Weekly Loss Limit is based on the sum of the realized and unrealized intraday net P&L in the calendar week and is removed when the Trailing Max Drawdown reached \$0.			<input checked="" type="checkbox"/>	<input type="checkbox"/>
5	Trailing Max Drawdown: The Trailing Max Drawdown increases with each end of day account balance high. If the account balance decreases from the previous day, the Trader's Trailing Max Drawdown does not change. The Trailing Max Drawdown will never be greater than the Starting Balance of \$0.			<input checked="" type="checkbox"/>	<input type="checkbox"/>
6	Permitted Trading Hours and Products: Products can be traded during normal electronic trading hours unless otherwise indicated. Abbreviated Holiday Hours can be found on TopstepTrader's website. All positions MUST be closed by 3:10 PM CT or prior to the market close of that product, whichever is sooner.			<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	Trading Contact Requirements: Trader is required to be immediately available via the phone number or Skype address provided to TopstepTrading (the "Disclosed Contact Information") at all times while trading the Funded Account. If Trader is unable to be reached at any Disclosed Contact Information while trading the Funded Account, TopstepTrading reserves the right to liquidate Trader's position(s) and disable the Funded Account immediately and without notice.			<input checked="" type="checkbox"/>	<input type="checkbox"/>
8	Personal Daily Loss Limit: In order to aid success in the Funded Account, all Traders will set and adhere to a "Personal Daily Loss Limit" which is less than the Daily Loss Limit. TopstepTrading will set your loss limit to this number for auto liquidation. You will not lose your Funded Account for hitting or exceeding your Personal Daily Loss Limit, unless it violates one of the Funded Account Rules (See Section II).			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Please fill in the field provided your Personal Daily Loss Limit for your respective account size:				
	Account Size	Daily Loss Limit	Suggested Personal Daily Loss Limit	Your Personal Daily Loss Limit	
	\$30,000	\$500	Suggested 1/2 of Daily Loss Limit = \$250	\$ 450	
	\$50,000	\$1,000	Suggested 1/2 of Daily Loss Limit = \$500	\$	
	\$100,000	\$2,000	Suggested 1/2 of Daily Loss Limit = \$1,000	\$	
	\$150,000	\$3,000	Suggested 1/3 of Daily Loss Limit = \$1,000	\$	

IV. Monetary Terms and Conditions

Note: Please indicate in the field provided either "Yes" or "No" acknowledging that the Trader understands the following parameters and definitions

1

Data Fee Information: Traders are responsible for paying the data fee charged by the CME Group and EUREX. This monthly fee is NOT PRORATED BASED ON START DATE. Fees may vary if you require a direct connection. The data for each exchange is charged separately, as follows:

Data Fees					
CME Products	\$85.00	NYMEX Products	\$85.00	EUREX Products	\$69.00
CBOT Products	\$85.00	COMEX Products	\$85.00		

Traders will also incur a recurring charge on the last business day of each month to pay for the subsequent month's data. If payment is not made or declined, then access to your Funded Account will be turned off immediately.

Yes

No

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2

Commission and Fee Structure: The following table details the fees that are charged to your Funded Account per round turn trade.

Round Turn	Rithmic Data Feed	CTS Data Feed	CQG Data Feed
Commissions	\$1.06	\$1.00	N/A
Regulatory Fee	\$0.04	\$0.04	\$0.04
Platform Fee	N/A	\$1.00	N/A
Data Fee	\$0.50	N/A	N/A
Transaction Fee	N/A	N/A	\$0.18
Exchange Fee	*Applicable product exchange fees apply*		

Yes

No

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3

Profit Split: Traders will receive 100% of the profits on all withdrawals, up to a total of \$5,000. The profit split on any withdrawal after the initial \$5,000 is 80/20, with 80% going to the Trader.

Yes

No

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4

Withdrawal Information: The Trader may request a withdrawal any time. Withdrawals will generally be disbursed to Trader within seven (7) business days of the date requested. Further, TopstepTrading will cover costs on transfers of \$500 or greater. For withdrawals less than \$500, the Trader is responsible for covering the wire transfer fee of \$50. Checks, sent via standard mail, are always free. When a withdrawal is made, the Account Balance may not return to \$0. When a withdrawal is requested, the funds will be removed from the account within 24 hours and TopstepTrading will receive its share of profits at that time, if applicable.

Yes

No

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V. Expectations

Note: Please indicate in the field provided either "Yes" or "No" acknowledging that the Trader understands and will obey the following expectations

1

I understand that TopstepTrading reserves the right to liquidate any trade at any time, for any reason or no reason, at its sole discretion.

Yes

No

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2

I will be available by phone or Skype at all times when trading.

Yes

No

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Yes

No

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Note: Confirm the following by initialing in the field provided to the right

4

I have not been convicted of a violent crime.

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5

I do not have any outstanding debts with a clearing firm.

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6

I am proficient in the English language sufficient to communicate for all purposes necessary and incidental to trading through TopstepTrading.

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Questions? Please contact the Support Team at support@topsteptrader.com, call (888) 407-1611, or message us through the Team TST chat room.

VI. Redevelopment Opportunity

Redevelopment Opportunity: Redevelopment is available if the Trader ever feels as though you are struggling in the account. As such, TopstepTrading provides the opportunity for the Trader to adjust your mindset, strategy or become more acclimated to assuming real risk. The Trader will receive a complimentary month of the Trading Combine Step 2. Upon successfully completing the objective, the Trader will receive a new Funded Account.

Redevelopment Eligibility:

- Account balance is less than half way to the Trailing Max Drawdown
- Trailing Max Drawdown is less than halfway to \$0, based on its original value
- No rules have been violated

Redevelopment Eligibility Parameters		
Account Size	Trailing Max Drawdown	Half of Trailing Max Drawdown
\$30,000	-\$1,500	-\$750
\$50,000	-\$2,000	-\$1,000
\$100,000	-\$3,000	-\$1,500
\$150,000	-\$4,500	-\$2,250

To take advantage of the Redevelopment Opportunity, please contact support@topsteptrader.com

Please attach an image of your state issued photo ID or passport

By signing this Agreement, the Trader declares that you have examined the items in this document. The Trader also attests that you have reviewed the information supplementary to the Funded Account rules on the TopstepTrader website as indicated on the Agreement. The Trader acknowledges that violation of any of the Rules outlined in this Agreement may result in suspension or forfeiture of the Funded Account.

(Signature)

Date (mm/dd/yyyy)

Name and title (printed)

Guangxu Zhou (Mar 2, 2018)

Mar 2, 2018

Guangxu Zhou

Patak Trading Partners LLC, dba TopstepTrading
FUNDED ACCOUNT AGREEMENT

This Funded Account Agreement ("Agreement") is made Mar 2, 2018 ("Effective Date") by and between Patak Trading Partners LLC, dba TopstepTrading, an Illinois limited liability company ("TopstepTrading"), and Guangxu Zhou ("Trader"). (TopstepTrading and Trader each individually a "Party" and collectively, the "Parties").

Recitals:

- A. TopstepTrading is engaged in trading futures for its own account and risk ("Proprietary Trading").
- B. Trader wishes to trade a proprietary account of TopstepTrading.

Agreement

NOW THEREFORE, in consideration of the foregoing recitals, incorporated herein by reference, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties hereto agree as follows:

1. Duties.

(a) The Parties intend that Trader shall conduct live trading ("Live Trading") in which trades are submitted to the applicable futures exchanges and result in actual profits and losses for the proprietary account of TopstepTrading. Trader shall attend via internet or teleconference all interviews, chat rooms, meetings and training classes required by TopstepTrading from time to time. Trader acknowledges that any Live Trading shall be subject to the maximum position size and daily loss limits, and all other rules as set forth in the "Funded Account Agreement", attached herein as "Exhibit A", and as imposed or changed by TopstepTrading at its sole discretion from time to time. All capitalized words and terms used herein not otherwise defined herein shall have the meanings ascribed to them in the Funded Account Agreement.

(b) Trader shall carry out all trading activity for TopstepTrading in a conscientious, diligent and professional manner. Trader shall follow all direction provided by TopstepTrading in regards to such duties and obligations as set forth in this Agreement and Trader acknowledges that the failure to follow such direction may result in disciplinary action, up to and including termination of this Agreement. TopstepTrading may provide from time to time, in its sole and absolute discretion, guidance and feedback to Trader and Trader shall in good faith, immediately and without delay, implement, follow, and wholly abide by such guidance and feedback in Trader's trading.

(c) TopstepTrading shall provide, as a sub-account of TopstepTrading's master trading account ("Master Account"), a futures trading account ("Trading Account") for Trader to trade in an amount reflected on the Funded Account Agreement ("Starting Balance"). Trader has no ownership interest in the Master Account, no control or access to the Master Account, no right to trade in the Master Account and under no circumstances any right to withdraw any funds from the Master Account. Trader shall have no ownership interest in the

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Trading Account and, except as expressly stated herein no control over or right to withdraw any funds from the Trading Account. Trader has no right or entitlement to trade in the Trading Account except as permitted by TopstepTrading and the trading privileges of Trader may be terminated by TopstepTrading at any time, including during Live Trading (defined below), for any reason or no reason in TopstepTrading's sole and absolute discretion. The Parties acknowledge that Trader shall not (i) be required or otherwise allowed to provide any trading capital for the Trading Account; (ii) have responsibility to provide capital based on Trading Losses, except as provided in Section 8 to the extent necessary to Return the Trading Account to the Starting Balance; nor (iii) make any loan to TopstepTrading for the purpose of providing trading capital.

(d) Trader acknowledges that, once Trader is permitted to engage in Live Trading, Trader shall trade only using Trader's assigned user id and log-in information. Trader shall maintain such user id and log-in information in confidence and such information shall be considered Confidential Information pursuant to Section 3 of this Agreement.

(e) (i) Trader is responsible for all non-prorated data fees (collectively "Data Fees") imposed by any applicable exchanges including, but not limited to, CME Group Inc., ICE Inc., and Eurex (the "Exchanges"). The Data Fees shall be paid by Trader to TopstepTrading via monthly recurring credit card charges (the "Monthly Charge") and shall be thereafter paid directly to the Exchanges by TopstepTrading. Trader hereby expressly authorizes TopstepTrading to make and Trader consents to the Monthly Charge. In the event a Monthly Charge is not processed or denied for any reason, Trader acknowledges that TopstepTrading may disable Live Trading until such time as the Monthly Charge is processed and Trader is made current on all Data Fees. (ii) Trader is solely responsible for the facilitation and payment of all front end licensing fees, internet services, computer equipment, any "sitting fee" or overhead allocation fee and other expenses, equipment or fees necessary to participate in Live Trading and not otherwise expressly stated in this Agreement (collectively "Other Fees"). (iii) Any commissions, clearing fees, exchange fees, and regulatory fees (collectively "Trading Fees") will be deducted directly from the Trader's account balance regardless of outcome on a per trade basis and reflected on Trader's daily account statement.

(f) To the extent that Trader prepares or uses any custom, algorithmic or other automated trading software (collectively "Automated Trading Software") in connection with Trader's activities hereunder, Trader acknowledges that: (i) Trader shall notify TopstepTrading in writing and obtain written approval from TopstepTrading prior to using any Automated Trading Software; (ii) no Automated Trading Software may be used unless TopstepTrading has given prior written approval for its use, which approval shall be at TopstepTrading's sole discretion; (iii) Trader shall indemnify TopstepTrading against any claim that such Automated Trading Software infringes on the intellectual property rights of any third party and any and all claims, loss, damages, cost and fees incurred by TopstepTrading as a result of Trader's use of the Automated Trading Software; and (iv) Trader shall be responsible for all costs and expenses with respect to such Automated Trading Software. Trader acknowledges that TopstepTrading shall require such advance testing of Automated Trading Software as TopstepTrading, in its sole discretion, may require. Trader acknowledges that TopstepTrading expressly prohibits the use of any and all Automated Trader Software utilizing a direct clearing house connection or implementing any form of "black box" trading.

(g) Trader will not commence Live Trading until such time as TopstepTrading determines, in its sole discretion, that Trader may commence Live Trading. Trader will initially

train through simulated trading. At any time after Trader commences Live Trading, TopstepTrading may determine, in its sole discretion, to return Trader to simulated trading until such time as TopstepTrading determines Trader may commence Live Trading again.

2. Compensation. In connection with any Live Trading conducted by Trader on behalf of TopstepTrading, Trader will be compensated as follows:

(a) Calculation of Trading Proceeds and Trading Losses. All expenses reasonably connected to Trader's activities in the Trading Account shall be included in calculating Trader's Trading Proceeds or Trading Losses. By way of example, and not of limitation, expenses reasonably connected to Trader's activities shall include the Trading Fees, Other Fees, and other costs and fees incurred from time to time. If, after netting Trader's gross trading profits and expenses from the Starting Balance the result is positive, it shall represent "Trading Proceeds". If the result after such netting and subtraction is negative, it shall represent "Trading Losses".

(b) Allocation of Trading Proceeds and Trading Losses. Trader shall receive, as their sole compensation, a proportionate allocation of the Trading Proceeds as set forth in Section IV(3) of the Funded Account Agreement. Trader shall not be entitled to any salary or other benefits except their proportionate allocation of the Trader Proceeds, if any. Notwithstanding anything to the contrary, TopstepTrading may, from time to time in its sole discretion, pay a discretionary bonus amount to Trader in an amount to be determined by TopstepTrading in its sole discretion.

(c) Withdrawals. Trader may request a withdrawal of some or all of Trader's allocation of Trading Proceeds at any time (a "Withdrawal"). No withdrawal to Trader shall be made except with the approval of, and through, TopstepTrading. Withdrawals will generally be disbursed to Trader within seven (7) business days of the date requested. Notwithstanding anything to the contrary, TopstepTrading shall not be responsible and have no liability for any failure or inability to timely disburse any Withdrawal. Upon Withdrawal, TopstepTrading and Trader will each simultaneously receive each Party's respective share of the trading profits, if any. Withdrawals may be sent via check, or via wire, however, wire withdrawals may be subject to a \$30 fee (domestic wires) or \$60 fee (international wires). TopstepTrading may, at its sole and absolute discretion, from time to time, require Trader to withdraw some or all of Trader's allocation of Trading Proceeds.

3. Confidentiality. TopstepTrading has devoted a substantial amount of time and effort and has incurred substantial costs in developing its trading methods, systems, metrics, feedback and strategies. As a result of these efforts, TopstepTrading has developed proprietary trading methods, systems, strategies, methods of operations, written materials and business practices all solely for the use of TopstepTrading in the expansion and enhancement of its proprietary trading business ("Confidential Information"). Trader agrees that the Confidential Information is, in fact, confidential and proprietary to TopstepTrading. During the term of this Agreement or thereafter, Trader shall not, without the prior written consent of TopstepTrading, except as required by any court, supervisory authority or administrative agency, use or disclose to any person, other than an employee or agent of TopstepTrading or a person to whom disclosure is reasonably necessary or appropriate in connection with the performance of Trader's activities on behalf of TopstepTrading, any Confidential Information. In the event Trader is required to disclose Confidential information to any court, supervisory

authority or administrative agency, Trader shall immediately send notice to TopstepTrading of all facts and circumstances surrounding such disclosure including, but not limited to, what Confidential Information was disclosed, to whom such disclosure was made, and the purpose such disclosure was required.

4. Non-Solicitation. During the term of this Agreement and for a period of two years thereafter, Trader shall not, directly or indirectly: (a) cause or attempt to cause any employee of TopstepTrading to leave the employ of TopstepTrading; (b) cause or attempt to cause any independent contractor of TopstepTrading, including traders, to leave TopstepTrading; (c) in any way interfere with the relationship between TopstepTrading and its employees, agents or independent contractors, including traders; (d) hire any employee, agent or independent contractor, including traders, of TopstepTrading to work for any organization of which Trader is an officer director, employee, consultant, independent contractor, agent or owner of an equity or other financial interest; or (e) interfere or attempt to interfere with any transaction in which TopstepTrading was involved during the term of this Agreement.

5. Non-Disparagement. Trader will not make any false, disparaging, defamatory or derogatory statements, whether written or verbal, regarding TopstepTrading, its affiliates, officers, managers, members, or employees or related or affiliated companies, or otherwise place any of the foregoing persons or entities in a false or negative light.

6. Injunctive Relief. Trader acknowledges and agrees that the remedy at law for any violation by Trader of Section 3, Section 4, or Section 5 of this Agreement will be inadequate and that TopstepTrading would suffer continuing and irreparable injury to its business as a direct result of any such violation. Trader therefore agrees that, in the event of any actual or threatened violation by Trader of Section 3, Section 4, or Section 5, TopstepTrading shall be entitled, in addition to any other remedies available to it, to a temporary restraining order and to injunctive relief against Trader to prevent any such violation, without any requirement to prove actual damages or to post a bond, and to any other equitable relief the court deems proper.

7. Venue. Trader expressly consents to venue in Cook County, Illinois for any action or actions arising out of this Agreement or the subject matter contained herein.

8. Costs and Fees. If court proceedings seeking injunctive relief are instituted by TopstepTrading in response to actual or threatened violation of Section 3, Section 4, or Section 5 Trader agrees to pay TopstepTrading's costs and expenses of such legal action, including reasonable attorney's fees.

9. Termination. Beginning on the Effective Date, this Agreement shall continue for successive one (1) year terms unless one Party provides written notice of termination to the other Party. This Agreement may be terminated by Trader or TopstepTrading for any reason or no reason at all, at any time, by the terminating Party providing written notice of termination to the non-terminating Party. Upon termination, Trader and TopstepTrading shall allocate all funds in the Trading Account such that upon termination the Trading Account shall be returned to the Starting Balance.

10. Indemnification. Trader shall abide by all trading rules and risk parameters ("Trading Rules") including but not limited to maximum position size, not holding positions into major economic releases, and Daily Loss Limits, communicated to Trader by TopstepTrading. If Trader violates (i) any federal law or regulation; (ii) any rule or

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regulation of a self-regulatory organization; or (iii) any trading rules or risk guideline communicated to Trader by TopstepTrading, Trader shall indemnify and hold TopstepTrading harmless from all losses, damages, fines, penalties, and expenses incurred directly or indirectly by TopstepTrading as a result of such violation, including reasonable attorney's fees and expenses. Additionally, if exchange or clearing fees paid in connection with activity in the Trading Account are determined to have been incorrectly calculated, Trader shall be liable to TopstepTrading with respect to any assessed deficiency amount in the same ratio as all compensation paid to Trader bears to Trader's Total Proceeds. If TopstepTrading reasonably believes that Trader may be liable pursuant to this paragraph, TopstepTrading may offset the amount of any such liability (or hold back the estimated amount of contingent liability) against any balance in the Trading Account that would otherwise be allocated to Trader; provided, however, that Trader's liability under this paragraph is not intended to be and shall not be limited to Trader's allocation in the Trading Account. If Trader violates any Trading Rule communicated to Trader by TopstepTrading and such transaction or transactions is profitable, all such profit shall be allocated to TopstepTrading and no portion of the profit of such transaction shall be allocated to Trader.

11. Survival of Obligations. The representations, warranties and covenants made by any party to this Agreement (including, but not limited to the obligations contained in Section 2 "Compensation"; Section 3 "Confidentiality"; Section 4 "Non-Solicitation"; Section 5 "Non-Disparagement", Section 6 "Injunctive Relief"; and Section 8 "Indemnification") shall survive termination of this Agreement. In addition, termination of this Agreement shall not affect any of the rights or obligations of either Party arising prior to, or at the time of, the termination of this Agreement, or which may arise by any event causing the termination of this Agreement.

12. Not an Employee. Trader shall at all times act as an independent contractor and shall not be an employee of TopstepTrading. No employer/employee relationship is implied or intended by the terms of this Agreement. TopstepTrading shall provide Trader with an appropriate version of Form 1099 or equivalent information promptly after year-end. Trader shall be solely responsible for the handling of Trader's tax matters, including making periodic tax payments; TopstepTrading shall not withhold any amounts on behalf of Trader for tax purposes. Trader shall not be entitled to any salary or other benefits. Trader may freely engage and trade under other proprietary trading companies or firms so long as Trader may reasonably do so without violating the terms and conditions of this Agreement.

13. Arbitration. Any disagreement, claim or controversy between the Parties arising from this Agreement, including questions regarding the scope and applicability of this Section, shall be settled before the dispute resolution program at any of the CME Group, the National Futures Association (NFA) or the Commodity Futures Trading Commission (CFTC), as applicable (an "Exchange Arbitration"). In the event no Exchange Arbitration is applicable, then the Parties agree to settle any disputes or controversies before the American Arbitration Association ("AAA") in Chicago, Illinois under the AAA's then current Commercial Arbitration Rules. Judgment upon any award may be entered in any court of competent jurisdiction. Anything to the contrary contained herein notwithstanding, TopstepTrading may seek injunctive relief, as provided in Section 6 of this Agreement, in any competent court of equity having jurisdiction in the event of an actual or threatened violation of Section 3 "Confidentiality"; Section 4 "Non-Solicitation" or Section 5 "Non-Disparagement."

14. Data and Use. TopstepTrading may collect and aggregate general information about Trader and Trader's trading patterns, preferences, and other preferences and interests and use that information for limited commercial purposes, and TopstepTrading may share or sell such aggregated general information with TopstepTrading's business partners, affiliates, vendors, distributors or other collaborators for these same purposes.

15. Communications Policy. Trader acknowledges that (a) any communications created or sent by Trader shall be professional and appropriate; (b) TopstepTrading may monitor or review any such communications or activity for risk control or other purposes; (c) Trader has no right of privacy in materials or communications; and (d) Trader shall remain in compliance with any policies in effect at the time.

16. Acknowledgments. Trader acknowledges that trading futures is inherently risky. Trader acknowledges that Trader has no right, title or ownership in any portion of the Starting Balance. Trader acknowledges that principals or affiliates of TopstepTrading may participate in a portion of commission charged on futures trading. Trader acknowledges that all undistributed Trading Proceeds are at risk of loss. Trader further acknowledges that TopstepTrading solely communicates using the English language and Trader represents and warrants that Trader possess the necessary proficiency in the English language to proficiently communicate for all purposes necessary and incidental to trading through TopstepTrading.

17. Binding Effect. This Agreement shall be binding upon and inure to the benefit of the Parties and their respective heirs, successors and assigns. TopstepTrading may assign this Agreement by providing notice of such assignment to Trader. Trader may not assign this Agreement without the prior written consent of TopstepTrading.

18. Non-Waiver. No delay or failure by any Party to exercise any right hereunder, and no partial or single exercise of any such right, shall constitute a waiver of that or any other right, unless otherwise expressly provided herein.

19. Headings. Headings in this Agreement are for convenience only and shall not be used to interpret or construe its provisions.

20. Governing Law. This Agreement shall be governed by and construed in accordance with the laws of the State of Illinois.

21. Counterparts. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original but all of which shall constitute one and the same instrument. Submission of a signed signature page may be made by Adobe Sign or email of a scanned copy and the submission of such signature page shall be sufficient to create a binding agreement and shall have the same legal effect as an original.

22. Severability. Any provision of this Agreement which shall be adjudged invalid, illegal, or unenforceable in any jurisdiction shall, as to that jurisdiction, be ineffective to the extent of such invalidity, illegality, or unenforceability, without affecting in any way the remaining provisions hereof in such jurisdiction or rendering that or any other provision of this Agreement invalid, illegal or unenforceable in any other jurisdiction.

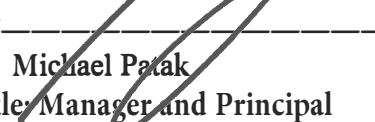
23. Entire Agreement. This Agreement supersedes all prior agreements and constitutes the entire agreement between the Parties hereto with respect to the subject matter hereof. This Agreement may be amended by TopstepTrading by written notice to Trader and the subsequent entry by Trader of any order (whether in Live Trading or in simulated trading) shall constitute agreement to such amendment. No amendment to this Agreement or to the form of this Agreement shall be binding upon TopstepTrading unless acknowledged and accepted in writing by an officer of TopstepTrading.

IN WITNESS WHEREOF, the Parties hereto have signed this Agreement as of the date first written above.

TRADER

TopstepTrading

By: Guangxu Zhou
Guangxu Zhou (Mar 2, 2018)
Print Name: Guangxu Zhou

By: 
Michael Patak
Title: Manager and Principal

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Print or type See Specific Instructions on page 2.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. Guangxu Zhou	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input checked="" type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ (Applies to accounts maintained outside the U.S.)
	5 Address (number, street, and apt. or suite no.) 102 Kanis Creek Ln	Requester's name and address (optional)
	6 City, state, and ZIP code Little rock, AR 72223	
7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number									
6	7	9	-	1	4	-	2	5	9 5
or									
Employer identification number									
			-						

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ Guangxu Zhou
Guangxu Zhou (Mar 2, 2018)

Date ▶ Mar 2, 2018

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States:

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),

3. The IRS tells the requester that you furnished an incorrect TIN,

4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or

5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code* on page 3 and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships* above.

What is FATCA reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code* on page 3 and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account, list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note. ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.

c. **Partnership, LLC that is not a single-member LLC, C Corporation, or S Corporation.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

Line 3

Check the appropriate box in line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box in line 3.

Limited Liability Company (LLC). If the name on line 1 is an LLC treated as a partnership for U.S. federal tax purposes, check the "Limited Liability Company" box and enter "P" in the space provided. If the LLC has filed Form 8832 or 2553 to be taxed as a corporation, check the "Limited Liability Company" box and in the space provided enter "C" for C corporation or "S" for S corporation. If it is a single-member LLC that is a disregarded entity, do not check the "Limited Liability Company" box; instead check the first box in line 3 "Individual/sole proprietor or single-member LLC."

Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space in line 4 any code(s) that may apply to you.

Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5 ²
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B—The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G—A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I—A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K—A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

Note. You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on this page), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, or 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code* earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee) b. So-called trust account that is not a legal or valid trust under state law	The grantor-trustee ¹ The actual owner ¹
5. Sole proprietorship or disregarded entity owned by an individual	The owner ³
6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))	The grantor ⁴
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity ⁴
9. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
10. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 2.

***Note.** Grantor also must provide a Form W-9 to trustee of trust.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.ftc.gov/idtheft or 1-877-IDTHEFT (1-877-438-4338).

Visit IRS.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.



Withdrawal Information Form

In order to ensure timely payment of your withdrawal requests, please select a method of payment below and provide the necessary account information for that payment method.

☒ **Check**

Name of check recipient:

Guangxu Zhou

Address where check should be mailed:

☐ **PayPal**

Name of PayPal recipient:

PayPal email address:

☐ **ACH Transfer** (domestic only)

Recipient Name:

Name of Bank:

Bank Routing Number:

Account Number:



Wire Transfer TopstepTrading will cover costs on transfers of \$500 or greater. For withdrawals less than \$500, the trader is responsible for covering the wire transfer fee of \$50

Recipient Name	
Address	
City	
State	
Province / Region (outside of U.S)	
Zip / Postal Code	
Country	
Bank Routing Number / International SWIFT	
Address of Bank	
City of Bank	
Province / Region of bank (outside of U.S)	
Recipient Account Number	
Local Tax ID	

By signing this form, I authorize all withdrawal requests to be processed via the payment method selected and agree that I will notify TopstepTrader in writing in the event that this information has changed.

Signature: Guangxu Zhou
Guangxu Zhou (Mar 2, 2018)

Date: Mar 2, 2018

ARKANSAS DRIVER'S LICENSE
The Natural State

DLN: 927763146 DOB: 08-12-1974

ZHOU
GUANGXU
102 KANIS CREEK LN
LITTLE ROCK, AR 72223 4933

Issued: 07-23-2015
Sex: M
Endors: B

Expires: 08-12-2019
Height: 5'06"
Eyes: BRO
Restr: B

6003

DL CLASS: D

