



Former Account Holders of
Peregrine Financial Group (PFG)

Form Two: Authorization to Return Funds to U.S. Account Holder

Vision Assigned Account Number for your PFG Bankruptcy Distribution

Tax ID or Social Security Number

Account Name (cannot be an account held by IRA Custodian)

Street Address (P.O. Box not acceptable)

City

State

Zip

E-mail Address

Business Telephone Number

Home Telephone Number

Cell Phone Number

Please select how you would like to receive funds: ☐ Check* ☐ Wire Transfer

*All checks will be mailed to address specified above.

Wire Instructions: (\$25.00 wire fee shall apply)

Bank Name

Bank Address

Bank Routing Number

Account Number

Account Name

Form Two: Authorization to Return Funds to U.S. Account Holder

Account Name _____

Please provide all of the following (fully completed and signed):

1. Form Two: Authorization to Return Funds to U.S. Account Holder
2. Tax Form W-9 (attached).
3. Picture ID: A copy (enlarged if possible) of your current passport, driver's license or other government issued document bearing a photograph with the address matching the one given above. If the address does not match or the document does not contain an address, please include a copy of your current utility or other similar bill.
4. A copy of your new Vision statement reporting the distribution amount that you received in the mail.

Please return these forms and picture ID by mail to:

Vision Financial Markets LLC
4 High Ridge Park
Stamford, CT 06905 USA

or by e-mail to: SpecialRemits@VisionFinancialMarkets.com

Please Sign Below:

By signing this form, I (we) authorize Vision to remit my (our) distribution received from the Trustee in the PFG bankruptcy in accordance with instructions specified above and to debit my (our) account for any applicable wire fees. I (we) hereby certify that I (we) have not sold or assigned to any third party (e.g., claims buyers) the right to receive these funds. Also, I (we) acknowledge that this PFG bankruptcy distribution does not include any funds from any IRA or other ERISA/retirement based accounts I (we) held at PFG.

X

Your Signature

Print Your Name (and title, if applicable)

Date

X

Joint Owner Signature (if applicable)

Print Name of Joint Owner

Date

☐ JTWROS

☐ Joint Tenant in Common

Form **W-9**
(Rev. December 2011)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return)	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) _____ <input type="checkbox"/> Other (see instructions)	
	Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
	City, state, and ZIP code	
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number										
			-				-			

Employer identification number									
			-						

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here	Signature of U.S. person	Date
------------------	--------------------------	------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.