






# Implementing a Message-Passing Interpretation of the Semi-Axiomatic Sequent Calculus (SAX)<sup>\*</sup>

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**Abstract.** We present language implementation based on a formulation of sessions types for message-passing programs in terms of an adjoint intuitionistic logic. This logical formulation can naturally describe asynchronous concurrency and can handle linear, affine, multicast and replicated types. This allows the resulting language to express a variety of common programming idioms such as service replicable, broadcast communication and message cancellations within the same programming, while still guaranteeing safety. Our tool consists of a type-checker and an interpreter. It is implemented in the Go language, leveraging its concurrency features in order to investigate the implementability of the operational interpretation proposed by the adjoint logic formulation. We assess the performance of our concurrent interpreter and show that it scales adequately to the number of concurrent processes executed.

**Keywords:** behavioural types · concurrency · language implementation

## 1 Introduction

The Semi-Axiomatic Sequent Calculus (SAX) [10, 27] is a logical framework that blends features of the sequent calculus with axiomatic presentations of intuitionistic logic, replacing non-invertible rules by corresponding axioms. The framework has been shown to elegantly handle a variety of substructural modalities such as linear, affine, multicast and replication within one uniform formalism. SAX also induces a natural operational interpretation in terms of active and passive parallel processes that interact *asynchronously*. Numerous variants of such an interpretation have been studied for a variety of computational models, ranging from shared memory concurrency [10], futures [31], and message-passing concurrency [30]. Every proposed interpretation is shown to observe standard

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requirement such as progress and preservation, in addition to other operational properties such as confluence and deadlock-freedom.

This paper focusses on the message-passing operational interpretation of SAX, and investigates the *implementability* of the proposed operational model. Concretely we build a type-checker that automates the verification of process terms modelling session type specifications [20], according to the substructural type system developed in the aforementioned paper. This gives us a language for expressing *safe* message-passing concurrency that departs from the strict linearity constraints: we can flexibly express a variety of common programming idioms such as replicable services broadcast communication and message cancellations. We also build an interpreter that executes typed programs according to the concurrent reduction semantics given in [30]. Our interpreter targets the Go programming language which natively supports message-based concurrency via channels and goroutines [11]. More precisely, our implementation supports two execution options (using unbuffered and buffered channels respectively) which allows us to better assess the implementability of the asynchronous semantics proposed.

*Structure and Contribution.* After reviewing the static and dynamic semantics of our language (sec. 2) we outline the design decisions leading to our type-checker (sec. 3). This is followed by a discussion on the implementation of the interpreter (sec. 4). We finally evaluate our implementation in sec. 5. The accompanying tool, called GRITS, is available at <https://github.com/gertab/Grits> (archived [35]).

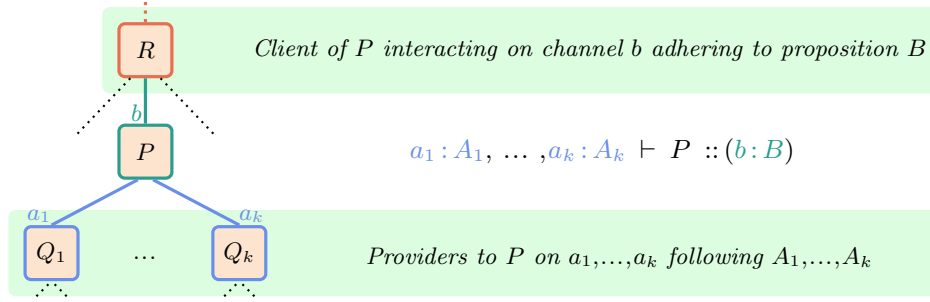
## 2 SAX for Message-Passing Concurrency

The asynchronous message-passing language proposed by Pruiksma et. al. [30] centers around the intuitionistic judgement eq. (1) below. It defines an interface specification for process  $P$ , asserting that it *provides* the behaviour described by the proposition  $B$  on the channel denoted by variable  $y$ , assuming that some  $Q_1, \dots, Q_k$  processes (to which it is *client*) each provide a behaviour described by  $A_i$  on channel  $x_i$  respectively.

$$x_1 : A_1, \dots, x_k : A_k \vdash P :: (y : B) \quad (1)$$

At runtime, the variables  $x_1, \dots, x_k, y$  in eq. (1) are instantiated to dynamically-allocated channel names  $a_1, \dots, a_k, b$ , resulting in the process arrangement of fig. 1. The behaviour described by the channel propositions in eq. (1) have a dual interpretation. Process  $R$  in fig. 1 is a *client* on channel  $b$  whereas processes  $Q_1, \dots, Q_k$  *provide* on channels  $a_1, \dots, a_k$  to which process  $P$  is a client. In the sequel, we let identifiers  $u, v, w, \dots$  range over both names,  $a, b, c, d, \dots$  and variables  $x, y, z, \dots$ .

*Types.* Channel propositions are *session types*, expressed as linear logic connectives [4] indexed by a specific *mode* of truth,  $m$  (which is elided when implicit).

Fig. 1: Hierarchical structure of processes, from  $P_2$ 's perspective

$$\begin{array}{l}
A^m, B^m \in \text{TYPE} ::= A^m \otimes B^m \quad | \quad A^m \multimap B^m \quad | \quad \oplus \{l : A_l^m\}_{l \in L} \quad | \quad \& \{l : A_l^m\}_{l \in L} \\
\quad \quad \quad | \mathbf{1}^m \quad | t^m \quad | \uparrow_m^n A^m \quad | \downarrow_n^m A^m \\
m, n, o \in \text{MODE} ::= \mathbf{l} \text{ (linear)} \quad | \mathbf{a} \text{ (affine)} \quad | \mathbf{m} \text{ (multicast)} \quad | \mathbf{r} \text{ (replicable)}
\end{array}$$

A tensor,  $A^m \otimes B^m$ , represents the *sending* of channel of type  $A^m$  along with the continuation channel of type  $B^m$ . Implication,  $A^m \multimap B^m$ , is its dual, representing the *receipt* of a channel  $A^m$  with the continuation of type  $B^m$ . A labelled n-ary sum-type,  $\oplus \{l : A_l^m\}_{l \in L}$ , represents the *internal choice* from a range of labels  $l \in L$  transferred along with the continuation channel having type  $A_l$ , whereas the *external choice*,  $\& \{l : A_l^m\}_{l \in L}$ , receives such a label  $l \in L$  and continuation channel at type  $A_l$ . The unit type,  $\mathbf{1}^m$ , and the recursion variable,  $t^m$ , are standard; a collection of *contractive* [16] equi-recursive type definitions,  $t^m = A^m$ , is assumed.

All logical connectives combine types at the *same mode*, except upshifts,  $\uparrow_m^n A^m$  and downshifts,  $\downarrow_n^m A^m$ . Four modes are considered, representing the possible combinations of contraction and weakening. This induces a mode pre-order,  $m \succeq n$  (see axioms below), whenever  $m$  has *more* substructural properties than  $n$ .

$$\mathbf{r} \succeq \mathbf{m} \quad \mathbf{r} \succeq \mathbf{a} \quad \mathbf{m} \succeq \mathbf{l} \quad \mathbf{a} \succeq \mathbf{l}$$

Whereas linear and replicable are bottom and top elements, affine allows only weakening whereas multicast only permits contraction. Shifts, are subject to the following mode ordering constraints:  $\uparrow_n^m A^n$  and  $\downarrow_n^m A^m$  require that  $m \succeq n$ .

*Syntax.* Our process syntax follows closely the one in [30]. The constructs inducing interaction (*i.e.*, send, select, close and cast) are all *asynchronous* (*i.e.*, without any continuation process). Forwarding, spawning, splitting and dropping are structural constructs (the latter two are implicit in [30]). The processes assume a collection of named process definitions  $p(\bar{x}) = P$  which are invoked using  $p(\bar{u})$  (where  $p$  ranges over process names).

Type	Cont.	Process	Cont.	Description
$c : A \otimes B$	—	$\text{send } c\langle a, d \rangle$	—	provider sends $a : A, d : B$ on $c$
$c : A \multimap B$	$d : B$	$\langle x, y \rangle \leftarrow \text{recv } c; P$	$P[a, d/x, y]$	client receives $a : A, d : B$ on $c$
$c : A \multimap B$	$d : B$	$\langle x, y \rangle \leftarrow \text{recv } c; P$	$P[a, d/x, y]$	provider receives $a : A, d : B$ on $c$
$c : \oplus \{l : A_l\}_{l \in L}$	—	$\text{send } c\langle a, d \rangle$	—	client sends $a : A, d$ on $c$
$c : \oplus \{l : A_l\}_{l \in L}$	—	$c.k\langle d \rangle$	—	provider selects $k \in L$ with $d : A_k$ on $c$
$c : \& \{l : A_l\}_{l \in L}$	$d : A_k$	$\text{case } c(l\langle y \rangle \Rightarrow P_l)_{l \in L} P_k[d/y]$	$P_k[d/y]$	client branches to $k \in L$ with $d : A_k$ on $c$
$c : \& \{l : A_l\}_{l \in L}$	$d : A_k$	$\text{case } c(l\langle y \rangle \Rightarrow P_l)_{l \in L} P_k[d/y]$	$P_k[d/y]$	provider branches to $k \in L$ with $d : A_k$ on $c$
$c : \downarrow_n^m A^m$	—	$c.k\langle d \rangle$	—	client selects $k \in L$ with $d : A_k$ on $c$
$c : \downarrow_n^m A^m$	—	$\text{cast } c\langle d \rangle$	—	provider upshifts to $d : A^m$ on $c$
$c : \downarrow_n^m A^m$	—	$y \leftarrow \text{shift } c; P$	$P[d/y]$	client upshifts to $d : A^m$ on $c$
$c : \uparrow_n^m A^n$	—	$y \leftarrow \text{shift } c; P$	$P[d/y]$	provider downcasts to $d : A^n$ on $c$
$c : \uparrow_n^m A^n$	—	$\text{cast } c\langle d \rangle$	—	client downcasts to $d : A^n$ on $c$
$c : \mathbf{1}$	—	$\text{close } c$	—	provider terminates on $c$
$c : \mathbf{1}$	—	$\text{wait } c; P$	$P$	client receives termination on $c$

Tbl. 1: Session types mapped to processes

$P, Q \in \text{PROC} ::= \text{send } u\langle v, w \rangle$	(send)	$\mid \langle x, y \rangle \leftarrow \text{recv } u; P$	(receive)
$\mid u.l\langle v \rangle$	(select)	$\mid \text{case } u(l\langle y \rangle \Rightarrow P_l)_{l \in L}$	(branch)
$\mid \text{close } u$	(close)	$\mid \text{wait } u; P$	(wait)
$\mid \text{cast } u\langle v \rangle$	(cast)	$\mid x \leftarrow \text{shift } u; P$	(shift)
$\mid \text{fwd } u \ v$	(forward)	$\mid x \leftarrow \text{new } P; Q$	(spawn)
$\mid \langle x, y \rangle \leftarrow \text{split } u; P$	(split)	$\mid \text{drop } u; P$	(drop)
$\mid p(\bar{u})$	(call)		

Three *synchronous* processes (*i.e.*, receive, branch and shift) together with spawn and split, bind variables in the respective continuation processes (*e.g.* receive binds variables  $x$  and  $y$  in  $P$ ). To facilitate the mechanisation of typechecking, we follow Sano et al. [32], Cray [7] and require every bound variable to be used linearly (exactly once) in the binding scope. Process spawning, *i.e.*,  $x \leftarrow \text{new } P; Q$ , partially breaks this syntactic constraint and allows  $x$  to be used linearly in  $P$  and in  $Q$  to generate a more standard formulation of the CUT rule (see below).

*Type System.* Based on eq. (1), the SAX type system for static and runtime terms<sup>3</sup> takes the form eq. (2) and observes *mode independence*:  $\forall i \in 1..k . m_i \geq n$ .

$$u_1 : A_1^{m_1}, \dots, u_k : A_k^{m_k} \vdash P :: (v : B^n) \quad (2)$$

<sup>3</sup> Static terms are closed (*i.e.*, no free variables) and do not contain any names.

Type environments,  $\Gamma$ , range over sequences of antecedents,  $u_i : A_i^{m_i}$ , subject to exchange. The structural rules are fairly standard, augmented with mode considerations. *E.g.* CUT (below) embodies computation via the interaction between the spawning (client) process,  $Q$ , and the spawnee (provider),  $P$ , via the dynamically allocated channel  $x$ . To preserve mode independence, all antecedent modes typing  $P$  should be ordered w.r.t. the mode of channel  $x$ ,  $\Gamma \succeq m$ , which should also preserve independence w.r.t. the channel that  $Q$  provides on,  $m \succeq n$ . Rules DRP and SPL explicitly link weakening and contraction to structural terms.

$$\frac{}{u : A^m \vdash \text{fwd } w \ u :: (w : A^m)} \text{ID} \quad \frac{\Gamma \succeq m \succeq n \quad \Gamma \vdash P :: (x : A^m) \quad \Gamma', x : A^m \vdash Q :: (u : B^n)}{\Gamma, \Gamma' \vdash x \leftarrow \text{new } P; Q :: (u : B^n)} \text{CUT}$$

$$\frac{m \in \{\mathbf{a}, \mathbf{r}\} \quad \Gamma \vdash P :: (w : B^n)}{\Gamma, u : A^m \vdash \text{drop } u; P :: (w : B^n)} \text{DRP} \quad \frac{m \in \{\mathbf{m}, \mathbf{r}\} \quad \Gamma, x : A^m, y : A^m \vdash P :: (w : B^n)}{\Gamma, u : A^m \vdash \langle x, y \rangle \leftarrow \text{split } u; P :: (w : B^n)} \text{SPL}$$

There is a left and right rule for every logical connective. Crucially, in SAX, right rules of positive connectives and left rules of negative connectives are axioms, capturing the asynchronous nature of the constructs inducing interaction. We detail the typing rules for the tensor and implication connectives below and outline the relationship for the remaining connectives in tbl. 1.

$$\frac{}{u : A^m, v : B^m \vdash \text{send } w \langle u, v \rangle :: (w : A^m \otimes B^m)} \otimes \text{R} \quad \frac{\Gamma, x : A^m, y : B^m \vdash P :: (w : C^n)}{\Gamma, u : A^m \otimes B^m \vdash \langle x, y \rangle \leftarrow \text{recv } u; P :: (w : C^n)} \otimes \text{L}$$

$$\frac{\Gamma, x : A^m \vdash P :: (y : B^m)}{\Gamma \vdash \langle x, y \rangle \leftarrow \text{recv } w; P :: (w : A^m \multimap B^m)} \multimap \text{R} \quad \frac{}{u : A^m, w : A^m \multimap B^m \vdash \text{send } w \langle u, v \rangle :: (v : B^m)} \multimap \text{L}$$

For completeness, we list below the remaining rules used by the type system. The environment  $\Sigma$  is fixed and left implicit; it stores the typing information for all process definitions. For a comprehensive discussion of these rules, see [30].

$$\frac{\Sigma(p) = \overline{y : A^m} \vdash P :: (x : B^n)}{u : A^m \vdash p(w, \bar{u}) :: (w : B^n)} \text{CALL} \quad \frac{}{\cdot \vdash \text{close } w :: (w : \mathbf{1}^m)} \text{1R} \quad \frac{\Gamma \vdash P :: (w : A^n)}{\Gamma, u : \mathbf{1}^m \vdash \text{wait } u; P :: (w : A^n)} \text{1L}$$

$$\frac{l \in L}{u : A_l^m \vdash w.l \langle u \rangle :: (w : \oplus \{l : A_l\}_{l \in L}^m)} \oplus \text{R} \quad \frac{\Gamma, y_l : A_l^m \vdash P_l :: (w : B^n) \quad \text{for each } l \in L}{\Gamma, u : \oplus \{l : A_l\}_{l \in L}^m \vdash \text{case } u(l \langle y_l \rangle \Rightarrow P_l)_{l \in L} :: (w : B^n)} \oplus \text{L}$$

$$\frac{\Gamma \vdash P_l :: (y_l : A_l^m) \quad \text{for each } l \in L}{\Gamma \vdash \text{case } w(l \langle y_l \rangle \Rightarrow P_l)_{l \in L} :: (w : \& \{l : A_l\}_{l \in L}^m)} \& \text{R} \quad \frac{l \in L}{u : \& \{l : A_l\}_{l \in L}^m \vdash u.l \langle w \rangle :: (w : A_l^m)} \& \text{L}$$

$$\frac{\Gamma \vdash P :: (y : A^n)}{\Gamma \vdash y \leftarrow \text{shift } w; P :: (w : \uparrow_n^m A^n)} \uparrow \text{R} \quad \frac{}{u : \uparrow_n^m A^n \vdash \text{cast } u \langle w \rangle :: (w : A^n)} \uparrow \text{L}$$

$$\frac{}{u : A^m \vdash \text{cast } w \langle u \rangle :: (w : \downarrow_n^m A^m)} \downarrow \text{R} \quad \frac{\Gamma, x : A^m \vdash P :: (w : B^o)}{\Gamma, u : \downarrow_n^m A^m \vdash x \leftarrow \text{shift } u; P :: (w : B^o)} \downarrow \text{L}$$

Abstract Types	Concrete Types	Abstract Types	Concrete Types
$A \otimes B$	$A * B$	$\mathbf{1}$	$\mathbf{1}$
$A \multimap B$	$A - * B$	$t$	$\mathbf{t}$
$\oplus\{l : A_l\}_{l \in L}$	$+\{l1 : A1, \dots\}$	$\uparrow_m^n A^m$	$\mathbf{m} \wedge \mathbf{n} A$
$\&\{l : A_l\}_{l \in L}$	$\&\{l1 : A1, \dots\}$	$\downarrow_m^n A^m$	$\mathbf{m} \vee \mathbf{n} A$
$t^m = T$	<b>type</b> $\mathbf{t} = \mathbf{m} T$	$\mathbf{l}, \mathbf{a}, \mathbf{m}, \mathbf{r}$	<b>lin, aff, mul, rep</b>

Tbl. 2: Abstract and concrete mapping for types

*Runtime.* Closed processes execute concurrently by interacting on names assigned to channels. A running process takes the form

$$\text{prc}(N; a; P)$$

The channel on which process  $P$  provides is referred to *externally* (by other processes) via the set of names  $N = \{b_1, \dots, b_n\}$  (a unique name per reference), and *internally* by  $P$  using name  $a$  ( $\text{prc}$  acts as a name binder for  $a$  in  $P$ ).  $P$  may in turn contain other names to refer to channels provided by other processes. The semantics is given in terms of reduction rules; we use “ $\_$ ” in lieu of names,  $a$ , or sets of names,  $N$ , when irrelevant (and unchanged between redex and reduct).

$$\begin{aligned}
(\text{CUT}) \quad & \text{prc}(\_; \_; x \leftarrow \text{new } P; Q) \longrightarrow \text{prc}(\{b\}; c; P[c/x]), \text{prc}(\_; \_; Q[b/x]) \\
(\text{SND}) \quad & \text{prc}(\{a\}; b; \text{send } b\langle c, d \rangle), \text{prc}(\_; \_; \langle x, y \rangle \leftarrow \text{recv } a; P) \longrightarrow \text{prc}(\_; \_; P[c, d/x, y]) \\
(\text{RCV}) \quad & \text{prc}(\{a\}; b; \langle x, y \rangle \leftarrow \text{recv } b; P), \text{prc}(\_; d; \text{send } a\langle c, d \rangle) \longrightarrow \text{prc}(\_; d; P[c, d/x, y])
\end{aligned}$$

For instance, CUT spawns a new process  $P[c/x]$  while allocating two names, one internal,  $c$ , and one external,  $b$  (used by the spawning process  $Q$ ) to refer to the channel provided by the spawnee  $P[c/x]$ . SND describes the sending by a provider on a channel known by a client via the (external) name  $a$ ; since communication is asynchronous, the provider terminates after the interaction. Dually, RCV describes the receipt of a message by a process providing on a channel known externally via name  $a$ . See Pruiksma et. al. [30] for details.

### 3 Static Type-Checker

We implement a tool called GRITS, that defines a language for describing message-passing programs that satisfy SAX type specifications and runtime, and execute them. It is implemented in Go [11] and is publicly available on GitHub [35].

Programs are written in a syntax similar to the one in sec. 2, with a few minor discrepancies. Types are written with the syntax mapping in tbl. 2. Moreover processes are allowed to refer to the name of the channel they provide on via the keyword **self**.<sup>4</sup> The structure of a GRITS program follows the general structure

<sup>4</sup> Processes fully observe the linearity syntactic constraint since, in  $x \leftarrow \text{new } P; Q$ , variable  $x$  is used linearly in  $Q$  exclusively, but not in  $P$  which uses **self** instead.

outlined below. It starts with a series of type declarations, line 1, followed by a series of *named* process template declarations, line 3, and a single main process that starts the computation, line 5.

```

1 type t = m A // tm = Am
2 ...
3 let p(x1:A1,...,xk:Ak):B = P // p(x1,...,xk)=P with x1:A1,...,xk:Ak ⊢ P :: (self:B)
4 ...
5 exec q()

```

Typechecking follows closely the typing rules outlined in sec. 2. Its automation is facilitated by the fact that most typing rules are syntax directed. The only exception is rule CUT; its premises require the analysis to statically guess:

1. the type associated to the channel  $x$  provided by the spawned process  $P$
2. how to split the antecedents across the two premises

GRITS solves the first issue by allowing spawning to include type information and be written as  $x:A \leftarrow \text{new } P;Q$ . The second issue is solved by limiting the typing of  $P$  to analytic cuts (snips [10]). Concretely, the syntax of  $P$  is limited to either asynchronous constructs (*i.e.*, send, select, close and cast) or process calls, since the antecedents in their (axiom) typing rules are precisely determined from the structure of the term. More complex variations for  $P$  can always be packaged as a separate process definition and then used via a process call.

*Expressivity.* The program excerpt below is adapted from [30, Ex. 10]. The `map` process declaration takes two parameters: a map (`f`) and the original list of numbers (`l`). The mapping function `f` is declared at a *replicable mode* since it will be copied and used over each element of the list. Since the list elements are linear numbers, the map has to first shift to linear mode before it is applied.

```

1 type nat = lin +{zero : 1, succ : nat}
2 type listNat = lin +{cons : nat * listNat, nil : 1}
3 type mapType = lin /\ rep (nat -* nat)

```

The type declarations `nat` and `listNat` define natural number and lists recursively, whereas `mapType` defines the type of a replicable map function.

```

4 let map(f:mapType, l:listNat):listNat =
5   case l (
6     cons<l'> => <curr, l'> <- recv l';
7       <f', f''> <- split f; // map channel 'f' copied
8       fl : lin (nat -* nat) <- new cast f'<self>;
9       curr_upd : nat <- new send fl<curr, self>;
10      k'' : listNat <- new map(f'', l'');
11      k' : nat * listNat <- new send self<curr_upd, k''>;
12      self.cons<k'>
13      | nil<l'> => drop f; // map channel 'f' unused
14      self.nil<l'>
15    )
16
17 let mapByInc() : mapType =
18   s <- shift self;
19   <toAdd, result> <- recv s;
20   self.succ<toAdd> // increment by one 'succ'

```

In the `cons` case of the `map` definition, the process creates two copies of the replicable mapping process (line 7) before downcasting one to `lin` and applying

it to the current element of the list (lines 8, 9). The remaining lines apply the map recursively to the tail of the list and reconstruct a new list with the mapped values. In the `nil` case, the mapping process is left unused (line 13). From lines 17 to 20 a replicable mapping process is defined behaving as a successor.

```

21 let main() : listNat =      // main process
22   l : listNat <- new simpList();
23   f : mapType <- new mapByInc();
24   map(f, l)
25
26 exec main() // launch main process

```

The main process initialises a `nat` list process (code elided), launches a replicable mapping process, and spawns a client `map` process to the former two.

```

27 prc[l] : listNat = simpleList()
28 prc[f] : mapType = mapByInc()
29 prc[b] : listNat = map(f, l)    // f:mapType, l:listNat ⊢ map(f, l) :: (self:listNat)

```

For debugging and modelling purposes, GRITS allow the execution to start from a particular snapshot, instead of having to launch all execution from one root process. The alternative launching code above describes three processes that are already running in parallel providing on channels named `l`, `f` and `b` (lines 27-29).

```

30 assuming l : listNat    // instead of prc[l] : listNat = simpleList()
31 prc[f] : mapType = mapByInc()
32 prc[b] : listNat = map(f, l)

```

GRITS also allows programs to be developed compositionally, by eliding parts of the computation. For instance, line 30 in the excerpt above does *not* specify the precise code of the process providing at channel `l`, instead describing its interface specification. This still permits the program to be typechecked.

*Bank Service Example.* The services offered by a hypothetical bank are formalised by the affine type `bankType` (lines 1 to 2 below). Two choices are initially offered: a `login` option and another one for general queries (`gen_query`) regarding opening times (details omitted). A `login` request is answered by either an `auth` (authenticated) or an `not_auth` response; the latter response allows the user to retry logging again. An authenticated user can initiate a transaction. For this, the interaction must shift into linear mode ( $\uparrow_1^a$  `transaction`) to force transaction termination; the labels `start` and `finish` delimit a (*dummy*) transaction.

```

1 type bankType = aff &{ login      : authType
2   gen_query : ... }
3 type authType = aff +{ auth      : lin /\ aff transaction,
4   not_auth  : bankType }
5 type transaction = lin +{ start : +{ finish : 1 } }

```

The behaviour dictated by the type `bankType` is implemented and typechecked using GRITS. The `bank` process waits to receive either a `login` or `gen_query` label (line 7). An authenticated user (line 8) is handled by `authService()` (line 8), where a shift (into linear mode, line 14) is performed before executing the transaction (lines 15-17).



```

6 let bank() : bankType =
7   case self (
8     login<s>      => auth <- new authService();
9                   self.auth<auth>
10    | gen_query<s> => ...
11  )
12
13 let authService() : lin /\ aff transaction =
14   s' <- shift self;           // handle transaction in linear mode
15   s'' : lin 1 <- new close self;
16   s''' : lin +{finish : 1} <- new self.finish<s''>;
17   self.start<s'''>

```

The execution launched below models an *indecisive* user (user1, line 18), who initiates an interaction but promptly cancels it (line 19); this is permitted by the `bankService`'s affine mode.

```

18 prc[bankService] : bankType = bank()
19 prc[user1] : lin 1 = drop bankService;
20               close self

```

Conversely, a different user (user2, line 23) requests a login and waits to be authenticated (line 25). After shifting modes (line 26), the interaction with `bankService` proceeds in linear mode (lines 26-31).

```

21 prc[user2] : lin 1 =
22   print _attempt_login_;           //stdout notification
23   b : authType <- new bankService.login<self>;
24   case b (
25     authenticated<b> =>
26       t : transaction <- new cast b'<self>; //cannot drop t (linear)
27       case t (
28         start<t'> => case t' (
29           finish<t''> => wait t''; close self
30         )
31       )
32   | not_authenticated<b> => drop b'; close self
33 )

```

## 4 Runtime Interpreter

Typechecked programs are executed by an interpreter that leverages the concurrency features of the Go language. Every process is mapped to a goroutine that provides on a dedicated channel. This one-to-one mapping of concurrency units allows us to better assess the implementability of the proposed model.

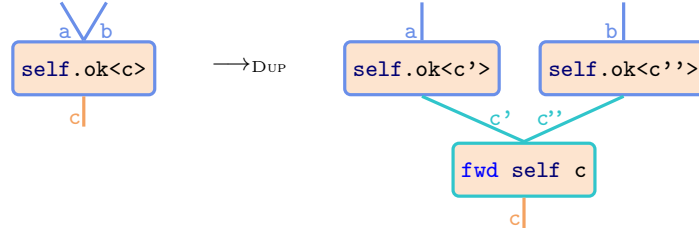
*Copy Semantics.* *Contractible* processes (*i.e.*, in multicast or replicable mode) can be *assigned* multiple names using  $\langle x, y \rangle \leftarrow \text{split } u; P$ . The following reduction rules achieve this in two steps (the suggestive name  $\iota$  is used to denote the internal name of the channel provided, analogous to the keyword `self`).

$$\begin{array}{ll}
\text{(SPL)} & \text{prc}(\{a\}; \iota; \langle x, y \rangle \leftarrow \text{split } b; P) \longrightarrow \text{prc}(\{c, d\}; \iota; \text{fwd } \iota \ b), \\
& \hspace{15em} \text{prc}(\{a\}; \iota; P^{[c, d/x, y]}) \\
\text{(FWD)} & \text{prc}(\{b\}; \iota; P), \text{prc}(N; \iota; \text{fwd } \iota \ b) \longrightarrow \text{prc}(N; \iota; P)
\end{array}$$

Rule SPL generates two new names for the name being duplicated, connecting them via *forwarding*, which then reacts with the process providing on the name being duplicated to increase its set of external names, rule FWD.

$$\begin{aligned}
 \text{(DUP)} \quad \text{prc}(\{a, b\}; \iota; P) &\longrightarrow \text{prc}(a; \iota; P\sigma_1), \text{prc}(b; \iota; P\sigma_2), \\
 &\quad \left\{ \text{prc}(\{c\sigma_1, c\sigma_2\}; \iota; \text{fwd } \iota \ c) \right\}_{c \in \text{fn}(P) \setminus \{\iota\}} \\
 &\quad \text{where } P \neq \text{fwd } \_ \_ \text{ and } \text{rename}(\text{fn}(P) \setminus \{\iota\}) = \langle \sigma_1, \sigma_2 \rangle
 \end{aligned}$$

Processes with multiple names are given a *copy* semantics. Rule DUP generates a process copy  $P$  for each of the two name references  $a$  and  $b$ . By the hierarchical arrangement resulting from typechecking, process  $P$  is the root of a tree of processes that need to be duplicated as well. This is done in two steps. For every reference  $P$  has towards its clients (*i.e.*, immediate children), rule DUP generates two new (unique) names using  $\text{rename}(\text{fn}(P) \setminus \{\iota\}) = \langle \sigma_1, \sigma_2 \rangle$  ( $\sigma_1$  and  $\sigma_2$  are maps from names to names) and renames the two copies of  $P$  accordingly, *i.e.*,  $P\sigma_1$  and  $P\sigma_2$ . Moreover, for *every* (externally) renamed name in  $P$ ,  $c \in \text{fn}(P) \setminus \{\iota\}$ , it creates a forwarding associating it to its renaming,  $c\sigma_1$  and  $c\sigma_2$ . This results in a downwards chain of duplications to all child (provider) processes.



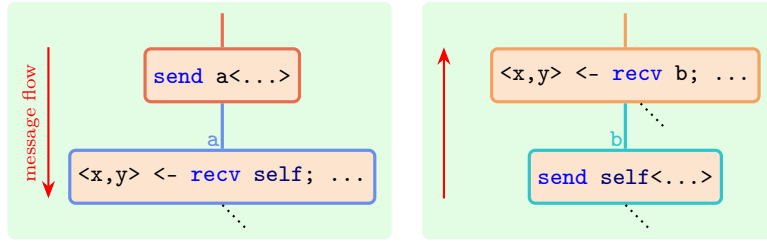
For example, a process `self.ok<c>` (depicted above), which is multiply referenced by the names  $a$  and  $b$ , is split into two copies where each copy renames the client reference name  $c$  to  $c'$  and  $c''$ . A corresponding forwarding process is also created to propagate the copying to the client process with name  $c$  via a combination of the rules FWD and DUP.

$$\text{(GRC)} \quad \text{prc}(\emptyset; \iota; P) \longrightarrow \left\{ \text{prc}(\emptyset; \iota; \text{fwd } \iota \ a) \right\}_{a \in \text{fn}(P) \setminus \{\iota\}} \quad \text{where } P \neq \text{fwd } \_ \_$$

Dually, unreferenced processes, *i.e.*,  $N = \emptyset$ , trigger a cascading garbage collection procedure to its clients via forwarding; rule GRC above. Corresponding this reduction discipline, processes executing non-structural commands (*e.g.* rules SND and RCV) only become active *when* they are referenced by a *single* name.

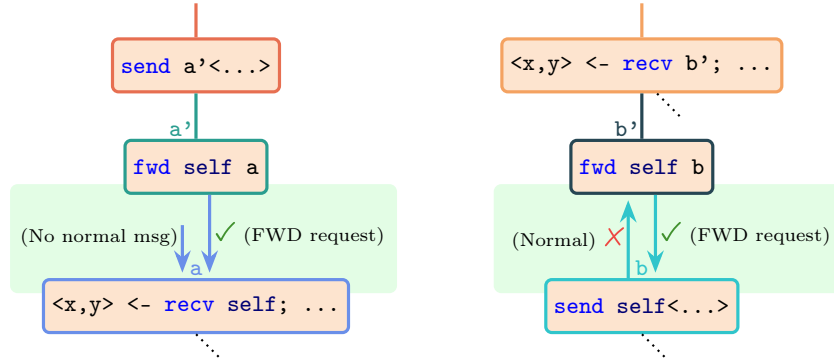
*Synchronous and Asynchronous Implementations.* In a hierarchically organised soup of processes that are typechecked according to a SAX specification, messages can flow in two directions: either from a provider (bottom process) to its client (top process) or vice versa. For example, in a RCV reduction (diagram below, left), messages flow *downwards* from a client, while in a SND reduction (below, right), messages flow *upwards* towards the client.<sup>5</sup>

<sup>5</sup> Other non-structural reductions (*e.g.* label branching and shifting) behave similarly.



Although direct interactions between matching processes (e.g. `send u⟨v,w⟩` with `⟨x,y⟩ <- recv u; P` processes) are straightforward to implement, the possibility of having proxy processes mediating via forwarding (in order to alter the hierarchical structure) complicate the implementation of the communication protocol for the interpreter. Our interpreter offers two implementations to support our study: a synchronous setup, where Go channels are *unbuffered*, and an asynchronous setup with *buffered* channels.

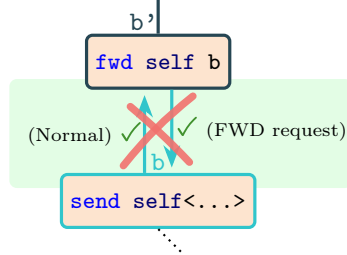
*Synchronous.* The synchronous setting employs *two* Go channels per provider. A *data channel* is used for non-structural interactions such as `send`, (label) `select` and `cast` (see rules `SND` and `RCV` above). In addition, a *control channel* is dedicated to structural interactions such as splitting and garbage-collection, which are all conducted via forwarding (see rules `SPL` and `GRC` above and tbl. 1). The implementation then makes use of the Go `select` construct to interact on either of these channels depending on the surrounding process context.



Consider a variant of the previous two `send` and `recv` examples with a forward process in between. In both the left and right cases, the `fwd` process is executed uniformly by the interpreter: it sends a channel name on the control channel indicating to the respective provider underneath it the name of the (new) data channel to listen on (instead of the existing one). In the left scenario, a client attempts to send a message downwards on channel  $a'$ ; since the process providing on  $a'$  (the `fwd` process) is not ready to receive on the (synchronous) Go channel, the communication blocks. Conversely, the `recv` process providing on channel  $a$  waits for messages on *both* data and control channels. The `fwd` process does not communicate on the data channel, but instead sends on the control channel. This eventually succeeds, generating a provider `recv` process waiting on channel  $a'$ , which can now react with the client sending on this channel.

In the right scenario, the provider on channel  $b$  is ready to send on the data channel while, simultaneously, waiting to receive on the control channel, resulting in a *mixed choice* [26]. This turns out *not* to be problematic in a synchronous setting. Concretely, since the forwarding process is not ready to receive on the data channel  $b$ , the message sending blocks. However, the forwarding process successfully sends a forwarding request on the control channel, as the `send` provider process on channel  $b$  is ready to accept it, completing a FWD reduction.

*Asynchronous.* In a setup with buffered channels (where sending does not require a *handshake* from the other channel endpoint), the execution strategy for the

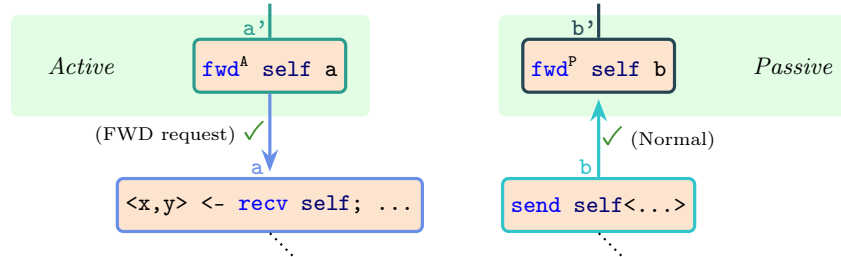


right hand scenario discussed above *fails*. In an asynchronous setting (depicted on the side), data messages will be sent *upwards*, in the opposite direction of the forwarding requests (which are sent *downwards*). Since neither are blocking, both sending of messages will succeed in reaching the respective channel buffer. Nevertheless, neither message will eventually be read off this buffer, leading to two deadlocked processes.

One compositional solution to this problem is *not* to use a uniform forwarding behavior. In an asynchronous setting, our interpreter categorises the forward construct into two: an *active* ( $\text{fwd}^A$ ) or *passive* forward ( $\text{fwd}^P$ ), aligning with message flow direction. Apart from localising the change to the forwarding construct, this change allows us to collapse the data and control channels and just use one.

$$\begin{aligned} (\text{FWD}_P) \quad & \text{prc}(b; \gamma; P^+), \text{prc}(N; \iota; \text{fwd}^P \iota b), \longrightarrow \text{prc}(N; \gamma; P^+) \\ (\text{FWD}_A) \quad & \text{prc}(b; \gamma; P^-), \text{prc}(N; \iota; \text{fwd}^A \iota b), \longrightarrow \text{prc}(N; \gamma; P^-) \end{aligned}$$

When messages flow upwards, *i.e.*, messages originate from a provider sending on the data channel ( $P^+$  ranges over `send  $u\langle v, w \rangle$ ,  $u.l\langle v \rangle$ , close  $u$  and cast  $u\langle v \rangle$` ), they may interact with a *passive* forwarding process. This forwarding process passively waits for incoming messages before reducing to a  $P^+$  processes themselves ( $\text{FWD}_P$ ). Conversely, when a synchronous process ( $P^-$  includes the remaining non-structural constructs) expects incoming messages from a client, it may interact with an *active* forwarding process. Similar to the synchronous case, *active* forwards initiate the interaction by sending a forwarding request message ( $\text{FWD}_A$ ). Examples of passive and active forward processes are depicted below.



In [29, 28], different versions for the forward processes are also explored, depending on the *polarity* of the forwarded channels. From an implementation perspective this is similar to how we infer the direction of message flow, where messages flowing *upwards* use positive channels (and passive forwards), while messages flowing *downwards* use negative channels (and active forwards). The information the channel polarities is obtained from the associated types in sec. 2.

## 5 Evaluation

The objective of this study is to evaluate the implementability of the proposed asynchronous message-passing interpretation for SAX. Secs. 3 and 4 provide evidence that this can be accomplished using a concurrent implementation. This section assesses whether this implementation is satisfactory in terms of its ability to scale with the number of spawned processes, thereby utilising any underlying multicore architecture.

*Setup.* In order to measure the scalability of our implementation, we make use of SAX TOOL [27], which is the only other existing implementation of a message-passing interpretation for SAX. The fact that we can express common programs in both GRITS and SAX TOOL allows us to use the latter as a baseline for comparing runtime performance. Although SAX TOOL is a pedagogic tool with limited focus on performance, it was developed using Standard ML: programs are executed in a sequential setup which does not exploit any underlying parallel architecture. In contrast, the implementation discussed in sec. 4 maintained a one-to-one mapping to goroutines. Our evaluation utilises two inherently concurrent programs that can be parameterised to scale with the number of running processes. We compare the respective execution time executed over GRITS (using synchronous and asynchronous semantics), against SAX TOOL. All experiments were carried out on a Apple M2 Pro (10-core) CPU machine with 16GB of memory, running Go 1.21.6 on macOS 14. The respective readings are reported in the two graphs of fig. 2.

*Natural Number Doubling.* A program that can be interpreted both in GRITS and SAX TOOL is a number doubling procedure (adopted from [27]). It is defined as a process definition, `double`, that consumes a number and provides another number doubled in value. We reuse the unary natural number type `nat` from sec. 3, which allows us to represent natural numbers using a series of successor labels, *e.g.* `+{succ: +{succ: +{zero: 1}}}` represents the number 2. The process recursively constructs a new number by first deconstructing the number being received from a provider (`x`), and for every `succ` label obtained, two are sent instead (lines 5-6), recursing until the number is fully exhausted (line 3).

```

1 type nat = lin +{zero : 1, succ : nat}
2 let double(x : nat) : nat =
3   case x ( zero<x'> => self.zero<x'>
4           | succ<x'> => h <- new double(x');
5                     d : nat <- new self.succ<h>; // first succ
6                     self.succ<d>                // second succ
7   )

```

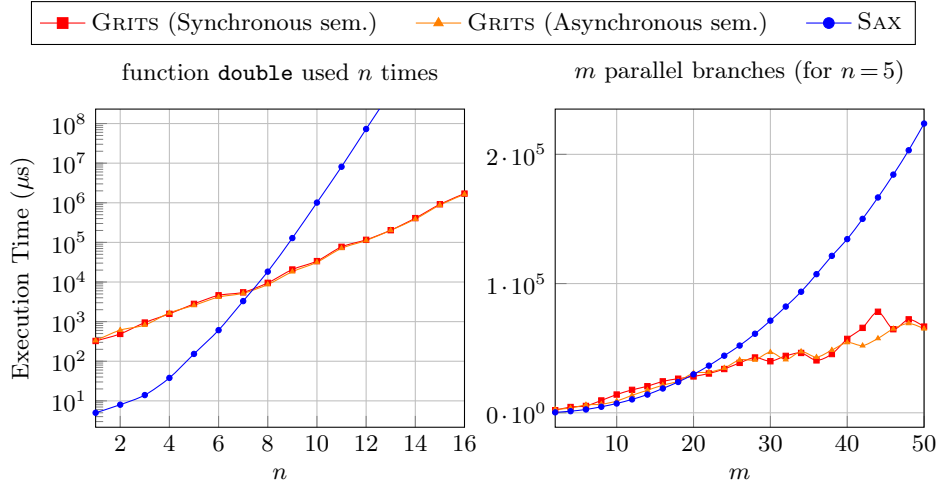


Fig. 2: Performance benchmarks comparing the different semantic implementations (from sec. 4) with SAX TOOL. The *execution time* axis for the left graph is *logarithmic*, while the right one uses normal axis.

*Sequential Doubling.* For the first evaluation scenario, we invoke `double` multiple times in sequence, producing a natural number with an exponential size. *E.g.*, for the process providing on `n1` representing the number one (line 8) we double twice “in sequence” (lines 13 and 14) to obtain the final value of 4 ( $= 1 \times 2^2$ ).

```

8  prc[n1] : nat = // Produces the natural number 1, i.e. succ(zero)
9    t : 1 <- new close self;
10   z : nat <- new self.zero<t>;
11   self.succ<z>
12  prc[b] : nat =
13    d1 <- new double(n1);
14    d2 <- new double(d1); // double used twice
15    fwd self d2

```

The evaluation varies the number of times ( $n$ ) the doubling function is repeated, to produce a number with exponential size ( $= 2^n$ ). The results are reported in fig. 2 (left), showing the time taken ( $\mu s$ ) by the interpreter to finish executing. The GRITS concurrent implementation initially performs less efficiently for smaller programs ( $n \leq 7$ ). This behavior is attributed to the overhead incurred when spawning new threads with a very short lifespan. However, as the program size increases, it exhibits better scalability, outperforming SAX TOOL.

*Concurrent Doubling.* The second evaluation scenario induces more parallelism. It invokes the aforementioned sequential doubling procedure, fixed at  $n = 5$ , for  $m$  times *concurrently*, generating a forest-like structure with  $m$  *parallel* trees. The benchmark results (fig. 2, right) show a similar trend, with SAX TOOL outperforming our implementation for smaller programs, but the situation reversing

for larger programs. We even observe that the execution of the GRITS concurrent implementation appears to grow linearly for the readings taken.

*Results.* Despite limiting our experiments to the testing sizes of  $1 \leq n \leq 16$  and  $2 \leq m \leq 50$ , the readings from both graphs in fig. 2 exhibit a clear trend. This allows us to extrapolate and conclude that the proposed model of Pruiksma et. al. [30] can be implemented adequately in concurrent fashion in order to be able to scale. Although the asynchronous implementation of sec. 4 suggests that the proposed model can also be implemented in a distributed setting where processes are dispersed across different locations, we cannot draw conclusive evidence as to how this performs in relation to the synchronous variant. This might stem from the fact that the SAX model uses short-lived processes which might perform very little work before terminating. For instance, instead of spawning a process just to send a single message, a more efficient way would be to send a message sequentially from an existing process.

*Threats to Validity.* The experiment setup could have suffered from limited *granularity control*, which is generally difficult to automate [37]. Our results rely on Go’s handling of concurrency to maximise the underlying parallel hardware. We did not consider higher process numbers to avoid the risk of running into stack overflows once certain system limits are hit; this would have been caused by our implementation design, where each reduction is performed via a function call, and by Go’s lack of support for tail-recursion optimisation [17]. Our choice of experiment programs could have also introduced biases. Similarly, our choice for a baseline, namely SAX TOOL, could have also affected our scalability assessments.

## 6 Related Work

We mainly compare our work with other implementations based on the Curry-Howard interpretation [4] of intuitionistic linear logic. This induces a hierarchical structuring of concurrent processes, thereby avoiding the need to use type duality inherent in binary session type implementations [15, 20, 25, 33], or type projections, in the case of multiparty session types [12, 21, 22, 24].

To our knowledge, the only implementation based on the semi-axiomatic sequent calculus is SAX TOOL [27], used to establish a baseline in sec. 5. At the time of writing, SAX TOOL only support linear modes, and the mode-shifting programs in sec. 3 cannot be expressed. Internally, SAX TOOL relies on a sequential implementation in Standard ML to simulate concurrency, while GRITS uses native concurrency primitives to contend with the intricacies of the copy semantics discussed in sec. 4.

Das et. al. [9] introduce Rast, a language integrating session types with arithmetic refinements. It embeds assertions within the types to also account for a program execution’s work and span. Similar to SAX TOOL, Rast follows a sequential interpretation. Additionally, Das et. al. extended the work to obtain Nomos [8], which applies resource-aware session types to smart contracts.

Instead of developing bespoke languages, other projects integrate intuitionistic session types over existing languages. Ferrite [6] extends the SILL calculus [28, 36] with process sharing [3] mechanisms to introduce shared session types as a Rust library. CC0 [38, 34], a session-based extension of C0, adopts asynchronous message-passing semantics. It offers a Go back end using goroutines for processes and channels for message passing. For this variant, CC0 uses separate channels for bidirectional communication, which was not needed in our tool due to SAX’s model. The Go runtime version was compared and shown to outperform a separate C-based implementation, where more heavyweight *pthread*s were used for concurrent processes.

Polite by Lakhani et al. [23] adopts a form of adjoint modalities to control type polarities, similar to SILL’s approach to message flow reversal [28]. Since our channels are single-use, shifting allows us to seamlessly transition between modalities. Nomos [8] and Ferrite [6] use modes and shifts to obtain exclusive access to shared processes. Similar to the handling of forwarding in an asynchronous implementation, discussed in sec. 4, the work in [9, 8, 31, 28] adopt forwarding behavior based on polarity. Other frameworks, such as SILL and SILL<sub>S</sub> [3], utilise global substitutions for forwarding, a strategy unsuitable for our decentralised implementation. An adaptation of SILL is also used by Caires and Toninho [5] to study a fully sequential and deterministic evaluation strategy.

## 7 Conclusion

We investigate the implementability of the message-passing interpretation of SAX proposed in previous work [30]. This is conducted by building the tool GRITS, the first type-checker and interpreter to *fully* handle message-passing programs satisfying SAX specifications. The interpreter executes programs in decentralised fashion, leveraging concurrency features from the Go language such as *goroutines*. Our empirical evaluation leads us to conclude that SAX’s [30] proposed model is not based on any infeasible assumptions that might prevent it from being implemented in a concurrent fashion.

*Future Work.* We plan to expand on the SAX semantics by integrating notions of shared processes [3, 8, 6] that co-exists alongside replicated processes with a copy semantics. Another planned extension is to consider the actor model [19, 2] as an interpretation for SAX. Finally, we would like to investigate the use of SAX as a basis for the systematic instrumentation of detection monitors [13], partial-identity monitors [18] and enforcement monitors [1] for added assurances related to the temporal properties of program data; the SAX proof system can also be leveraged to enhance verdict explainability when property violations are detected [14].



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