

CS2107 Introduction to Information Security

AY23/24 Sem 2, github.com/gerteck

0. Introduction

CS2107: Introductory module, illustrates fundamentals of how systems fail due to malicious activities and how they can be protected.

Lecture Notes notation

- **Textbook:** Security in Computing (5th ed). Prentice Hall. Reference [PFx.y] refer to chapter x section y of this book.
- Links with “read”: Part of lecture, required.
- Links with “see”: Optional good to browse references.

Internet Security Threat Report Exc. Summary

Persistent threats are threats that often operate within the shadows, outside of attention focused.

- Highly targeted, often use combination of social engineering and software vulnerabilities to establish footholds within the targeted enterprise.
- Network protection not enough to mitigate threats, comprehensive monitoring program required to scan all internal and external network traffic. Identifying, securing data is also key to protecting assets.
- Recent attack types: Formjacking, Ransomware, Living off the Land (LoL),

Introduction to Computer/Info Security

Systems may fail due to operator mistakes, hardware failures, poor implementation etc. Many systems robust against typical noise. Security is about intentional failures inflicted by deliberate human actions.

Security Definitions: C-I-A triad

- **Confidentiality:** Prevention of unauthorized disclosure of information.
- **Integrity:** Prevention of unauthorized modification of info / processes.
- **Availability:** Prevention of unauthorized withholding of info / resources.
- Other requirements may include (Confidentiality: anonymity, covert channel), (Integrity: Non-repudiation (digital signature)), (Other: Accountability, traitor-tracing (printout w hidden watermark)) etc.
- Importance of understanding security requirements before adopting mechanisms. Do not adopt mismatched protection mechanisms.

Difficulties in achieving Security

- **Security not considered** (in early design stage). Lack of adversarial thinking in design / trade-off in security with ease-of-use, performance / cost.
- Difficult to formulate requirements /design / implementation bugs.
- Difficult to operate/manage (Human error).
- **Known Vulnerabilities: CVE (Common vulnerabilities & Exposures)**. Repository of discovered vulnerabilities. Significant portion considered “implementation bugs”.
- **Zero-day Vulnerabilities:** Unpublished vulnerabilities. If attackers deploy attacks on zero-day v., victims have “zero-day” to react. Not easy to get.

1. Encryption

Key Summary & Takeaways

- **Encryption designed for confidentiality.** (Not necessarily integrity).
- Formulate attack scenario by defining attacks it can prevent.
- Notions of “Oracle”: Encryption, Decryption, Padding Oracle.
- **Key strength:** Quantifying security by equivalence of best-known attack to exhaustive search.
- No known efficient attacks on modern schemes under “original” threat models, but there are pitfalls. Such as implementation error (wrong mode, wrong random source, mishandle of IV), side channel attack, implicit require integrity, padding oracle attack.
- Design of various symmetric key encryption schemes:
 - One-time pad, stream cipher (xor’ing with “pseudo-random” string)
 - Block cipher (mode of operations: CBC, ECB, CTR, GCM)
- **Crucial role of IV.** (Need randomness for indistinguishability). Make encryption probabilistic, how it is deployed, why it is important.

Definitions

- **Symmetric Key Encryption Scheme:** Two algorithms: encryption and decryption. Meets correctness property, and must be secure.
- **Correctness:** $(D_k(E_k(x)) = x)$. **Security:** Informally, “difficult” to derive useful info of the key k, and plaintext x. Ciphertext resemble random sequence of bytes.
- **Cryptography:** Study of techniques in securing communication in present of adversaries with access. Encryption just a primitive (other includes crypto hash, digital signature etc. Common placeholders: Alice, Bob, Eve (“eavesdropper”), Mallory (malicious, modify message), etc.

Attack Model

Aka: Threat / Adversary / Security Model, Attack Scenario.

Measuring **security of a system**: Through attack classes it can prevent. Secure w.r.t these classes of attacks. Attack models are application-dependent. Attack models described by:

- Attacker’s knowledge (info / service exposed) and computing resources
- Attacker’s goal

Types of information we assume access to: (Access to info can be formulated to accesses to an “Oracle”).

- **Ciphertext only attack:** Adversary given collection of ciphertext c. May know some properties of the plaintext, for e.g. the plaintext is an English sentence. (adv. can’t choose plaintext).
- **Known plaintext attack:** Adversary given collection of plaintext m and corresponding ciphertext c. (adv. can’t choose plaintext)
- **Chosen plaintext attack (CPA):** Access to blackbox (i.e. the oracle). Can choose, feed any plaintext m, obtain corresponding ciphertext c (all encrypt with the same key), reasonable large number of times. Can see ciphertext and choose next input. (*black-box called encryption oracle*).
- **Chosen ciphertext attack (CCA2):** Same as chosen plaintext attack, but adv. chooses ciphertext and blackbox outputs plaintext. (*black-box called decryption oracle*).
- **Note:** (strange to assume attacker has power to decrypt, but good reason is that in some practical scenario, attacker does have some but not full decryption capability, e.g. *padding oracle*). To cater for all scenarios, in formulation and design of encryption, we consider oracle with full decryption capability.)

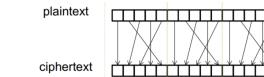
Adversary’s Goals

- **Total Break:** Attacker wants to find the key.
- **Partial Break:** Few definitions, e.g. decrypt ciphertext, determine coarse information about plaintext, etc.
- **Indistinguishability (IND):**) Attacker may satisfy with distinguishability of ciphertext: with some “non-negligible” probability more than $\frac{1}{2}$, the attacker is able to distinguish the ciphertexts of a given plaintext (say, “Y”) from the ciphertext of another given plaintext (say, “N”). (Equivalently, unable to distinguish the ciphertext and a randomly sequence).
- **Note:** total break most difficult goal, since achieves all. Distinguishability weakest goal, design cryptosystem preventing weakest goal.

1.1 Classical Ciphers (Symmetric)

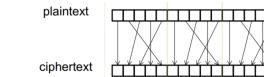
1.1.1 Substitution Cipher

- **Plaintext, ciphertext:** String over a set of symbols U.
- **Key:** Substitution table S, 1-1 onto function from U to U.
- **Key space:** Set of all possible keys (e.g. 27!). **Space Size** is total number of possible keys (factorial, 27!). **Key Size** is number of bits required to represent a key. ($\log_2(27!)$, since $27!$ unique)
- **Exhaustive Search (Brute-force): Can be infeasible in worst case**
- **Known-plaintext-attack:** Access to pairs of ciphertext and corresponding plaintexts: *Sub. cipher “not secure / totally broken under known plaintext attack”*. (Possible in practice, e.g. certain words in header of email “From, Subject”, protocols bytes fixed header information etc.)
- **Ciphertext only attack:** Vulnerable to frequency analysis attack, when plaintexts English sentences.



1.1.2 Permutation Cipher (aka transposition cipher)

- Groups plaintext into blocks of t characters, applies secret permutation (1-1 onto function). Fails miserably on known-plaintext attack.



- S & P cipher not secure, performing substitution multiple times no use. However, by interlacing them (S&P), attacks become more difficult. Many modern encryption scheme (e.g. AES) designed using rounds of S & P.

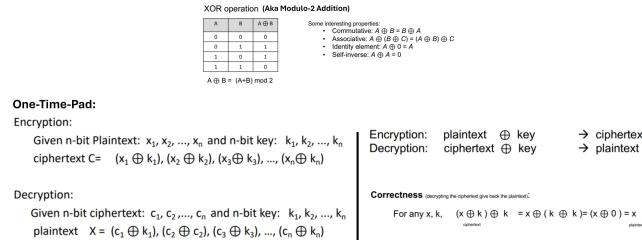
Terminology

- **Cryptosystem:** A system for encryption and decryption.
- **Plaintext:** Original form of message.
- **Ciphertext:** Encrypted form of message.
- **Perfect Secrecy:** A cryptosystem has perfect secrecy if for any distribution X , for all x, y :
$$Pr(X = x|Y = y) = Pr(X = x)$$
- For any ciphertext y and plaintext x , the chances attacker correctly predicts x before knowing y , and after knowing y , are the same.
- **Work Factor:** Difficulty of breaking an encryption (Amount of effort necessary).
 - (E.g. determine time it would take to test single password, multiply by total possible passwords).

1.1.3 One Time Pad

One-time pad (OTP) is an encryption technique that requires the use of a single-use pre-shared key that is larger than or equal to the size of the message being sent.

- Plaintext is paired with a random secret key (known as one-time pad).
- Each bit or character of the plaintext encrypted by combining it with corresponding bit/character from pad using modular addition.[1]



- **Requirement:** Key cannot be re-used, used only once. Hence, 1GB plaintext would need 1GB key to encrypt.
- From pair of ciphertext & plaintext, key can be derived. However, key useless, as only used once.
- **Security:** OTP leaks no information of plaintext, sometimes called "unbreakable". There is an exhaustive key for any English sentence. (Perfect Secrecy)

1.2 Modern Ciphers (Symmetric)

Generally refers to schemes that use computer to encrypt / decrypt. E.g:

- DES [Data Encryption Standard 1977]
- RC4 [Rivest's Cipher 4 1987]
- A5/1 [used in GSM 1987]: Used in WEP Wifi 1999, switched to WPA2
- AES [Adv. Encrypt. Std.], RSA.

- Designs take into consideration of known-plaintext-attack, freq. analysis and other known attacks.
- Supposed to be secure, so any successful attack does not perform noticeably better than exhaustive search.

Key Length, Exhaustive Search DES, AES

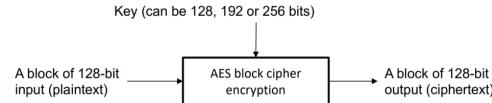
- **Security of Encryption Scheme:** Quantified by **length of key**, w.r.t. exhaustive search.
- Given a key length of 32 bits, there are 2^{32} possible keys. Hence, exhaustive search needs to "loop" 2^{32} in worst case.
- **No. of bits to be considered "secure":** 128, 192, 256 bits,
- (NIST recommendation for AES).

1.2.1 DES, AES and Exhaustive Search

- **Exhaustive Search on DES:** Key length of DES is 56 bits. Previously, seemed infeasible, but has since become easily broken.
- **AES:** New standard for block cipher in 2000, AES block length is 127, key length can be 128, 192 or 256 bits.
- Currently, no known attacks on AES. NSA classifies AES as "Suite B Cryptography".

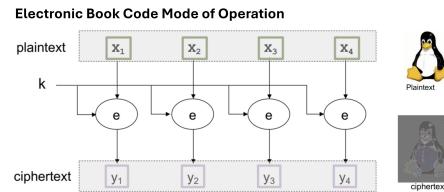
1.2.2 Block Cipher & Mode-of-Operations

- **Block Cipher:** DES & AES known as "Block Cipher". Block cipher designed for some fixed size input/output.
- E.g. AES designed for 128 bits input/output.
- **Block Cipher Mode Of Operation:** Describes how to repeatedly apply cipher's single block operation to securely transform amounts of data larger than a block. (Extending encryption from single block to multiple blocks).



Mode-of-Operation: ECB Mode on AES

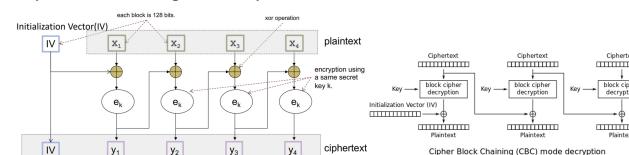
- **Electronic Book Code:** Divide plaintext into blocks, apply block cipher to each block, with the same key.
- ECB leaks information! AES encryption deterministic without randomly chosen IV.
- **Deterministic:** Produces same ciphertext for given plaintext and key over separate executions.



Mode-of-Operation: CBC Mode on AES

- **Cipher Block Chaining on AES:** Using an IV, uses chaining process that causes decryption of block of ciphertext to depend on all preceding ciphertext blocks.
- **Initialization Vector (IV):** Arbitrary number of certain length used with secret key, to provide the initial state, for data encryption.
- **Encryption:** Each plaintext block is XOR-ed with previous ciphertext block, and then encrypted. Process repeats until all plaintext is ciphertext blocks.
- **Decryption:** Reverse encryption process. Note, process does not need to start with final block, can happen simultaneously as all inputs present.

Cipher Block Chaining Mode of Operation



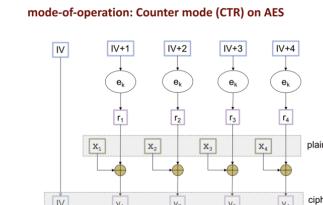
- The Initialization Vector (IV) is an arbitrary value chosen during encryption. So, is different in different encryptions of the same plaintext. Depending on implementation, IV can be randomly chosen, obtain from a counter, or from other info.

$$y_i = IV + E_k(x_i \oplus y_{i-1}) \quad \text{for } i > 0$$

Note: In the above figure, we treat IV as part of the final ciphertext. The terminology is not consistent in the literature. Some documents may state that "the final message to be sent are the IV and the ciphertext" (i.e. IV is not included in the "ciphertext"). In this module, when it is crucial, we will explicitly state whether IV is included or excluded. (e.g. "Ciphertext together with an IV").

Mode-of-Operation: Counter Mode (CTR) on AES

- **Counter Mode:** Turns block cipher into stream cipher, generates next keystream block by encrypting successive values of a "counter". Counter can be any function producing sequence, incld. simple increment by one counter.



Mode-of-Operation: GCM Mode (Galois/Counter)

- **GCM:** Combines Counter mode (CTR) with Galois authentication. Construction of mode more complicated, is "Authenticated-Encryption".
- Ciphertext consists of extra tag for authentication, secure in presence of decryption oracle.

Python Programming: CBC

• Python.

(package PyCryptodome <https://pycryptodome.readthedocs.io/en/latest/src/cipher/aes.html>)

```
>>> from Crypto.Cipher import AES
>>> key = b'Sixteen-byte key'
>>> iv = b'Sixteen-byte IV'
>>> cipher = AES.new(key, AES.MODE_CBC, iv)
>>> c = cipher.encrypt(b'Plaintext of length with multiple of 16 bytes')
```

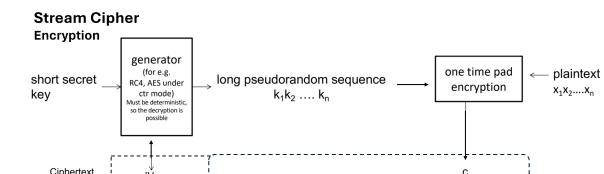
In Python, to display a byte sequence, we can use...

```
>>> from base64 import *
>>> b16encode(c)
b'5369784656562202627974620204956b186083256CACCB01638AF4877FB2AAFBBCB66FE13C403D7CE8E0A4D028E66CA6E12A63'
FF51C2F9363CCB953137A6A3'
```

1.2.3 Stream Cipher and IVs

Stream Cipher

- **Stream Cipher:** A symmetric key cipher where plaintext combined with **pseudorandom cipher digit stream (keystream)**.
- "Inspired" by one-time-pad, generate some cryptographically secure pseudorandom sequence.
- w/o knowing secret key, computationally diff. to distinguish from truly random sequence. Similarly diff. to get short secret key from sequence, or predict part of sequence from another part.
- IV: Most ciphers have **Initialization Vector**, randomly chosen or from counter.



Decryption: Given the key and the ciphertext with the IV.
Step 1: Extracts the IV from the ciphertext.
Step 2: From the key and IV, generates the long sequence.
Step 3: Performs xor to get the plaintext.

Role of Unique IV

- Recall, IV appended to front of c to form ciphertext. This IV must be different for every message. IV need not be secret.
- Sequence is derived from IV and secret key. IV needs to be unique for every message! Otherwise, leaks information.
- Unique IV:** If IV different, two pseudorandom sequences will be different. Two ciphertexts of same plaintext will be different. Can just randomly choose the IV for each message.
- Hence, xor'ing two ciphertexts will not cancel out pseudosequences.
- IV makes encryption “probabilistic.”**

Why IV? What if the IV is always the same?

Suppose there isn't an IV (or the IV is always set to be a string of 0's)

Consider the situation where the same key is used to encrypt two different plaintexts

$$X = x_1, x_2, x_3, x_4, x_5$$

$$Y = y_1, y_2, y_3, y_4, y_5$$

Further suppose that an attacker eavesdropped and obtained the two corresponding ciphertexts, U, V.

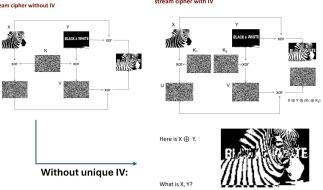
The attacker can now compute

$$U \oplus Y = (X \oplus K) \oplus (Y \oplus K)$$

By associative and commutative property of xor

$$U \oplus Y = (X \oplus Y) \oplus (K \oplus K) = X \oplus Y$$

So, from U and V, the attackers can obtain information about $X \oplus Y$, i.e. the following sequence

$$(x_1 \oplus y_1), (x_2 \oplus y_2), (x_3 \oplus y_3), (x_4 \oplus y_4), (x_5 \oplus y_5)$$


- IV also needed in **CBC mode**, to make encryption non-deterministic. If encryption deterministic (without IV), it will leak information on whether plaintext of two ciphertext are the same, if $C_1 == C_2$. Could be crucial piece of information.

1.3 Types of Attacks

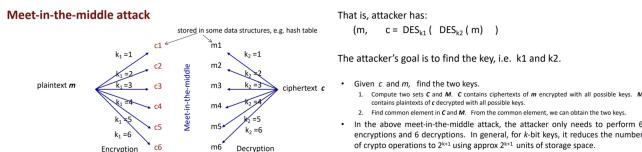
1.3.1 Meet-In-The-Middle Attack (Double / Triple DES)

Double / Triple DES

- Double / Triple DES:** To improve DES security, (DES weak as key length of 56 bits short), do multiple repeated encryption using different keys. A reason for this may be to utilize already existing suitable hardware to encrypt.
- DES does not form a group ($E_{k1}(E_{k2}(x)) \neq E_{k2}(x)$) for some $k3$, so makes sense to use multiple encryption.
- Double DES:** Consider double encryption, we expect key-strength to be 112 . (2^{56+56}). However, attacker, with storage space, can reduce key strength to below 57.

Meet-In-The-Middle Attack

- MITM:** Meet-in-the-middle Attack, a well-known plaintext attack, is a generic space-time tradeoff cryptographic attack against encryption schemes that perform multiple encryption operations in sequence.
- Breaking two-part encryption from both sides simultaneously.
- Primary reason why **Double DES not used**, and why **Triple DES key** (168-bit) can be brute forced by attacker with 2^{56} space and 2^{122} operations.
- Mechanism:** Assume attack has a pair (m, c) of plaintext and corresponding ciphertext.
- Remedy:** Use triple encryption, but with 2 keys. (E.g. 3DES, TDES, 3TDES etc.)



1.3.2 Padding Oracle Attack

Padding Format

- For fixed size blocks, e.g. block size of AES is 128bits (16 bytes), padding is needed to fit plaintext into last block.
- Many ways to fill value, but important piece of information encoded: **number of padded bits**. If information missing, receiver will not know length of plaintext.
- E.g. **PKCS#7 Padding Standard**.

PKCS#7 Padding Standard

- PKCS#7 is a padding standard.
- Read [https://en.wikipedia.org/wiki/Padding_\(cryptography\)#PKCS7](https://en.wikipedia.org/wiki/Padding_(cryptography)#PKCS7)

- The following example is self-explanatory.
Suppose the block size is 8 bytes, and the last block has 5 bytes (thus 3 extra bytes required), padding is done as follow:

DD DD DD DD DD DD DD DD DD DD DD DD **03 03 03**

- In general, the paddings are:

01
02 02
03 03 03
04 04 04 04 etc.

- If the last block is full, i.e. it has 8 bytes, an extra block of all zeros is added.

Oracle

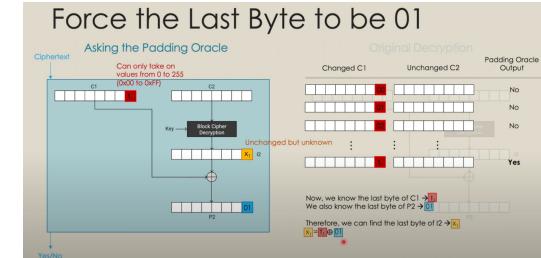
- Security Analysis:** Need to know 1. **information attackers have**, 2. attacker's goals.
- Oracle:** Query-answer system. Attacker can send in multiple queries, Oracle will output the answer. E.g.
 - Encryption Oracle:** Output ciphertext for given plaintext, of s. key k .
 - Decryption Oracle:** Output plaintext given ciphertext, of s. key k .
- Padding Oracle:** Attacker can query a ciphertext (encrypted using some secret key k , padding oracle knows k). Oracle outputs yes/no if plaintext is in correct “padding” format.
- Padding Oracle can come in many forms, e.g. query response behavior, response time, subtle differences.
- Padding Oracle Attack Model:**
 - Attacker has ciphertext including iv: (iv, c)
 - Attack's goal: plaintext of (iv, c) .

Padding Oracle Attack (on AES CBC Mode)

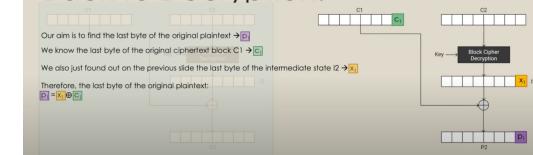
- AES CBC mode not secure against padding oracle attack. (In particular, when done with PKCS#7).
- Easily extend algorithm to find all plaintext. Attack is practical as there are protocols between client and server which performs this. *Now, if attack obtained ciphertext, attack can interact with server to get plaintext.*
- Prevention of Padding Oracle Attack:**
 - Deny access to such Oracle. Might not be feasible all the time.
 - Change padding standard to mitigate attack. However, may be smarter way to attack new padding.
 - Using CTR mode might avoid padding. In practice, bit strings have to be padded in one way or another.
 - Padding Oracle weaker form of Decryption oracle. If scheme secure in presence of decryption oracle, scheme also secure against padding oracle attack. GCM believed to be IND-CCA2 secure.

Padding Oracle Attack Algorithm

- Algorithm:**
 - Force last X bytes to be padding
 - Do this by modifying byte of previous ciphertext/IV (Loop till YES)
 - Here, by XOR'ing padding and input, we get intermediate byte.
 - By XOR'ing this int. byte with original IV / ciphertext, find plaintext!
- Easily extend algorithm** to find all plaintext, by using increasing length of padding, decrypt from end to start. (Right to Left, repeat process).



Back to Decryption!



1.4 Cryptography Pitfalls

- Any secure encryption scheme can be vulnerable if not implemented or adopted properly. Examples include:
 - Predictable Randomness:** Secret key generated predictable, compromise security
 - Modify/Design own encryption scheme:** “Don’t roll your own crypto /security protocol”. Use standard library.

Wrong choice of IV

- IV Generation:** IV's must not be same for two different ciphertexts.
- E.g. To encrypt file F, IV derived from filename/meta-data.
- E.g. *Microsoft RC4 [implementation] Flaw*, in both Word and Excel, where same IV used when document modified, causing part of documents recovered with negligible amount of computation.
- When using AES under CBC mode, IV should be unpredictable to prevent certain attack. (E.g. IV = 1, 2, 3). BEAST attack exploits this.
- Reusing one-time pad:** E.g. US Verona Project against Soviets.

Reliance on Obscurity: Kerchoff's Principle

- Principle:** System should be secure even if everything about system, except secret key, is public knowledge.
- Security through Obscurity:** To hide design of system to achieve security. Not advisable to reveal certain settings (network structure, settings, algorithm, implementation, usernames etc). Obscurity as additional layer in defense-in-depth strategy. Deter, discourage novice attackers.
- E.g. **Against relying on Obscurity:** RC4 algorithm was trade secret, but was anonymously posted. MIFARE Classic smartcard also reversed-engineered.

2. Authentication Credential

- **Authentication Credential** as any data (PIN, digi. certificate, password) or device that is issued to individual / system used to authenticate identity for purposes of facilitating access.
- **Authentication:** Process of assuring communicating entity or origin of piece of information is one it claims to be.
- **Authenticity implies integrity.**



• Authentication Process:

- For **data-origin auth**, one way is to use crypto scheme such as Signature, or MAC (message auth. code).
- For **communication entity auth**, need some authentication protocol employing above crypto primitives.
- **Credential:** Unforgeable info required for authentication. (E.g. password is an authentication credential.)

2.1 Password

Password System

1. **Bootstrapping:** User, server establish common password, server keeps file recording identity (*userid, username*) & *password*.
- (Bootstrapping, establish user chosen /or default password.)
2. **Authentication:** Server authenticates entity. Entity who gives correct password to claimed identity authentic.
3. **Password Reset:** Many reasons to reset password.

Weak Authentication System, Replay Attack

- Simple sending of identity and password protocol is "**weak authentication**" system.
- Such protocol subjected to simple "**replay attack**".
- Information sniffed from communication channel, replay to impersonate.
- Under **strong authentication**, info sniffed cannot be used to impersonate.

Attacks on Password System

- **Attack bootstrapping:** Attacks make use of default passwords.
- **Attack password reset process:** *Security-Cost Usability tradeoff*:
- **Fallback authentication:** Security Qn / Pw. reset.
- Enhance usability, reduce cost but reduce security.
- **Danger:** Social engineering + pw. reset.
- **Search for correct password:**
 - **Exhaustive search:** Test all combinations
 - **Dictionary Attacks:** Online & Offline dictionary attacks.
- **Dictionary attacks** Two scenarios in dictionary attacks:
 - **Online dictionary attack:** an attacker must interact with the authentication system during the searching process. In other words, attacker must be online. (e.g. choose a password and ask the system (oracle) whether it is authentic)
 - **Offline dictionary attack:** There are two phases.
 1. The attacker obtains some information **D** about the password, possibly via some interactions. (e.g. somehow the hash of the password is sent over the protocol.)
 2. Next, the attacker carries out the searches using **D** without interacting with the system. e.g. testing hash of words in dictionary.
- **Stealing of password:**
 1. **Sniffing:** Shoulder surfing (look-over-shoulder attack), Sniffing communication (uncommon now, sniffing unencrypted password over public network in clear).
 2. **Viruses, Keylogger:** Computer viruses as key-loggers, or hardware keyloggers. Send captured data back to attack via "covert channel".
 3. **Phishing:** Trick to voluntarily send password to attacker. Social engineering attack.
 - **Spear Phishing:** targeted attack against particular small group of users.
 - **Phishing, Pharming, Vishing, Smishing**
 - **Prevention:** User training, blacklisting.
 4. **Cache:** Shared workstation where information is cached.
 5. Lost of password file
- **Password Strength**
- **Password Entropy:** Measure of (randomness) password strength.
- **Remark: Password Entropy**
 - We often encounter this term "entropy" when quantifying strength of password. Entropy is a measurement of randomness. In this class we won't go into the definition of entropy. We can use the following example to have a sense of its meaning.
 - Suppose a set **P** contains **N** unique passwords. Alice chooses her passwords by randomly & uniformly picking a password from the set **P**. Every password in **P** has an equal chance to be chosen (i.e. 1/**N**). In this case, by definition, the entropy of Alice's password is: $(\log_2 N)$ bits
 - What if Alice doesn't choose the passwords uniformly, for e.g., the probability that she picks a word starting with letter "A" is higher than the probability that she picks a word starting with "Z"? In such cases, the entropy is not $(\log_2 N)$. By the definition of entropy, it is $\sum_{i=1}^N p_i \log_2 p_i$
where p_i is the probability that Alice picks the *i*-th word in **P**. (If we put $p_i = 1/N$, then we get $\log_2 N$.)
 - It can be shown that, for the entropy to be highest for a set of **N** items, p_i must be $1/N$. In other words, uniform choices.
 - (omit if this further confuse you) Another way to measure randomness is min-entropy, which is $\min_i(-\log_2 p_i)$

Suppose with probability 0.5, Alice picks her password as "Alice", and for probability 0.5, she uniformly chooses from **P**. That is, each word in **P** has probability $1/|D|N$ being chosen, and "Alice" has 0.5. Now, the entropy is roughly $(\log_2 N - 1)$, which is high. However, there is good chance in correctly guessed her password. So, entropy might not be a good measure of password strength. Note that, in this case, the min-entropy is low and is 1, correctly reflects the poor choice. (Note, the using "Alice" is not a problem.)
- Truly random password high "entropy", difficult to remember. User selection biased. Human generated 20-digit password likely to attain entropy much less than $20 \log_2(10)$.
- RFC 4086 suggests password at least 29 bits of entropy to secure against **online attacks**.
- If cryptographic keys generated from password, **offline attacks** possible, password should have at least 128 bits of entropy.
- **Online vs. Offline attack:** Need to communicate with server not under control to check whether password is correct.
- E.g. WPA2 personal vulnerable to offline dict. attack. Some "hash" of password sent in clear.
- **Enhance password system:**
 - Make online attack difficult by adding intentional delay / lock.
 - Make offline attack difficult by applying KDF to password.
 - System check for weak password / regular changes of password.
- **Password vs. Secret Key:**
 - KDF: key derivation function.
 - Password as source for crypto secret key.
 - Using cryptographic hash, hash multiple times to form key, increase cost of exhaustive search. Tradeoff utility.

• Password Files:

Hashing:

- File stores userid, password. Add additional layer of protection.
- Password file should be "hashed" and "salted!"
- (Not "encrypted", don't want way to decrypt and get back)
- To authenticate, store and compare hash.

Salt:

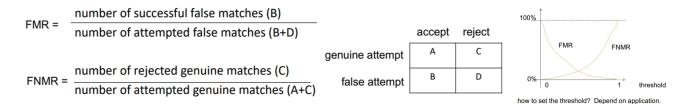
- Need same password hash to two diff. values, for two diff. userid.
- (Rainbow table: precomputed table caching outputs of crypto hash function to crack hashes)
- Achieve this by salting. New salt randomly generated, concat to pw., output different hash. Salt and hash stored in database.

ATM Skimming

- Demonstrates password stealing.
- Authentication: User presents card, and PIN.
- Data encoded into magnetic strip using well-known standards, easy to "copy" card by reading and writing to spoofed card.
- ATM Skimmer: card-reader, camera overlooking keypad / spoofed keypad, some means to record, transmit.
- **Measures:** Anti-skimmer devices, shielding keypad, increase awareness, change to unforgeable smartcard.

2.2 Biometric

- **Biometric data as password.** For identification, or verification.
- **Enrollment:** template of user biometric data captured, stored (bootstrapping). **Verification:** capture biometric data, compare using matching algorithm.
- Inevitable noise capturing biom. data, leading to error in matching.
 - **FMR (False Match Rate), FNMR (False non-match rate).**
 - Adjust threshold to adjust FMR & FNMR.
 - **EER (Equal Error Rate, FNMR = FMR)**
 - **FER (False-to-enroll rate, users' data uncaptureable, e.g. injury)**
 - **FTC (Failure to capture rate, uncaptureable during auth, e.g. finger dirty**



- **Fingerprint as biometric:** performance depends on quality of scanner, EER range from 0.5% to 5%.
- Biometric data easily spoofable, include *liveness detection* to verify entity.

2.3 Multi-factor / Multi-step Authentication

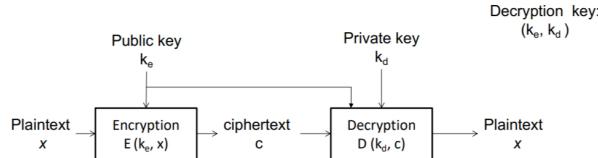
- **n-factor Authentication:** Require *n* authentication factors.
- **"Factors": Know (Pw, PIN), Have (card, phone, token), Are (Biometric).**
- Must be distinct factors for multi-factor (Know + Have, Have + Are) etc.
- One Time Password token: **Time-based /or Sequence-based**.
- **2-Step Verification:** Both same factor, e.g. (email + password, both "what-you-know", cannot be called "2-factor").
- **out-of-band:** In 2-step verification, two communication channels, main and separate for add. authentication. Non-main called "out-of-band" channel, assume attacker unable to compromise both channels.

3. Authenticity (Data Origin)

3.1 Crypto Primitive: Public Key Cryptography

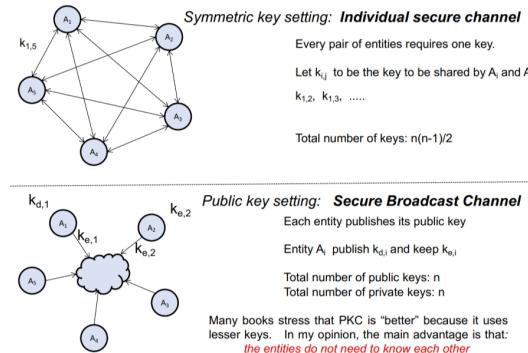
Public key crypto primitive includes public key encryption and signature. Goal is for confidentiality, and authenticity.

- Symmetric-key encryption uses same key for encrypt, decrypt.
- **Public Key (Asymmetric-key) scheme:** Uses different keys for encryption and decryption.
- Decryption algo also needs public key. When said "using private key to decrypt", this means using both.



- **Security Requirement:** Given public key & ciphertext, difficult to determine private key & plaintext. (Encryption oracle accessible.)
- **(PKC) Public Key Crypto:** Handles scenario of sharing symmetric key via secure channel. PKC only requires secure broadcast channel to distribute the public key. However, building secure broadcast channel to distribute public key is challenging.
- **PKC:** Useful for encryption, important application is in authentication (e.g. HTTPS). Limitations compared to symmetric in speed.

Keys Distribution



- **Remark:** Many PKC represent data as integers, algo used are some arithmetic operation on integers, represented using binary.
- A 1024-bit key is represented under binary representation. An algorithm exhaustively searches 1024-bit integers (2^{1024}) is infeasible.
- For this course, we will consider "**classroom**" RSA, most basic form RSA.
- **In Practice:** Padded RSA (to destroy some property), choose strong primes, fast secure ways to generate primes, secure implementation to guard against side-channel attack, "e" is fixed mostly at 65537. Considerations of quantum computer.

Popular PKC Schemes

RSA: Key size - 2048 bits.

ElGamal: Exploit techniques in Elliptic Curve Cryptography (ECC), reduce key size to - 300 bits.

Paillier: Partial homomorphic with respect to addition.

Public Key: RSA ("Classroom RSA")

"Classroom RSA" - setup

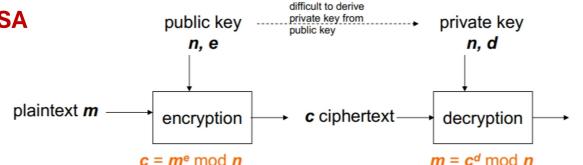
1. Owner randomly chooses 2 large primes p, q and computes $n = pq$.
 2. Owner randomly chooses an encryption exponent e s.t. $\gcd(e, (p-1)(q-1)) = 1$ (i.e. e does not have common factor with $(p-1)$ or $(q-1)$)
 3. Owner finds the decryption exponent d
where $d e \bmod (p-1)(q-1) = 1$
- There is an algorithm that finds d , when given e, p and q . We won't get into the details.
The term $(p-1)(q-1) = \phi(n)$ is aka the Euler's totient function, which is the number of co-primes $< n$.
4. Owner publishes (n, e) as public key, and safe-keep (n, d) as the private key. (note that owner doesn't need to keep p, q)

Encryption, Decryption

public key (n, e)	private key (n, d)
• Encryption: Given m , the ciphertext c is $c = m^e \bmod n$	• Decryption: Given c , the plaintext m is $m = c^d \bmod n$
	$n = pq$ $\phi(n) = (p-1)(q-1)$

$$d = e^{-1} \bmod \phi(n)$$

RSA



Optional remark: Consider the relationship: $c = m^e \bmod n$

- (RSA problem) Given c, n, e , find m , (called the e -th root of c)
- (discrete log problem): Given c, n, m , find e , (called the discrete log of c)

- **Correctness of RSA:** For any positive $m < n$, and any pair of public/private keys, that $\text{Decrypt}(\text{Encrypt}(m)) = m$.
- $(m^e)^d \bmod n = m$
- Correctness depends on the property of modulo.

Example RSA

There is an efficient algorithm that computes d from p, q, e . Details omitted.

Suppose $m = 9$
Encrypt:
 $c = m^e \bmod n = 9^3 \bmod 55 = 14$

Decrypt
 $c^d \bmod n = 14^d \bmod 55$

There is an efficient algorithm that finds modular exponentiation. Details omitted.

$= 14^{(p-1)+(q-1)} \bmod 55$
 $= (36 \times 16) \bmod 55$
 $= 9$

- **Interchangeable role of encryption & decryption key:** Swap role of d and e , public can decrypt, owner can encrypt. Usually does not hold in other PKC. This property useful in designing **signature scheme**.

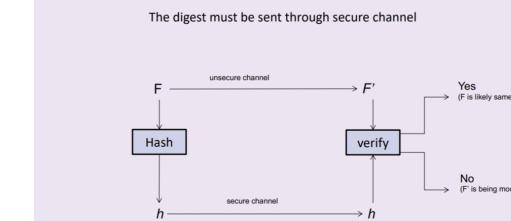
- **Algorithmic Issues:** There is efficient algo to compute exponentiation, hence, efficient way to encrypt, and decrypt (given n, m, e , find $m^e \bmod n$ for encrypt, given c, d, n , computer $c^d \bmod n$).
- **Step 1 setup:** Find random prime, randomly pick number, test if prime. (Primality Test). **Step 3 setup:** Value of d efficiently computable from e and n using *extended Euclidean algorithm*.

Security of RSA

- Getting RSA private key from public key as difficult as factorizing n .
- However, not known whether the problem of getting plaintext from ciphertext as difficult as factorization.
- **post-Quantum cryptography:** Generally refers to PKC that are secure against quantum computer.
- Significant efforts to migrate current systems to post-quantum crypto (Quantum possibility to render RSA algo insecure by 2030). From 2016, NIST plans to choose standard by 2024. Four candidates for Rd 4 (2022).

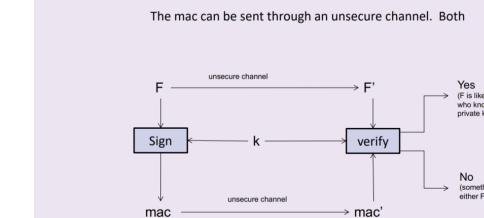
Summary of Data Authenticity

Digest (Hash)



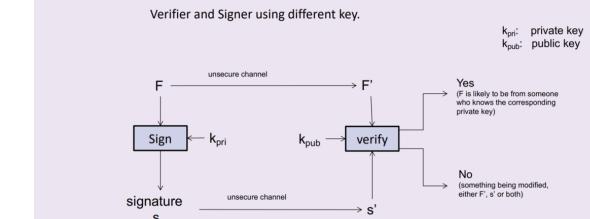
Security Requirement: Different to find a pair F, F' with the same digest

MAC (Message Authentication Code)



Security Requirement: Without knowing k , it is difficult to forge a mac, even after seen many pairs of messages and their valid mac.

Signature



Security Requirement: Without knowing the private k_{pri} , it is difficult to forge a signature, even after seen many pairs of messages and their valid signatures.

3.2 Data Authenticity (Hash): Unkeyed Hash

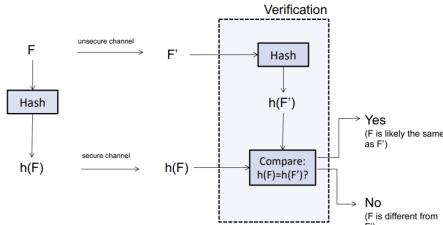
Follow Kerckhoff's Principle: Adversary knows all the algorithms.

Hash

- **A (cryptographic) hash** is a function that takes an arbitrary large message as input, and outputs a fixed size (say 160 bits) digest.
- **Collision-resistant:** It is difficult for an attacker to find two different messages m_1, m_2 that “hash” to the same digest.
- **One-way:** A hash that is collision-resistant is also one-way, that is, given a digest d , it is difficult to find a message m s.t. $h(m) = d$.
- Examples of non-secure hash algorithms: (taking selected bits from data, CRC checksum).
- **Popular Hash:**
 - **SHA-0, SHA-1/2/3:** SHA-1 popular standard producing 160-bits message digest, employed in SSL, SSH etc. In 2017, successful collision attack on SHA-1 found.
 - **MD5:** Produces 128-bit digest. However, algorithm that can find collisions have been found.

Application Scenario of Unkeyed Hash (no secret keys)

- **Example:** Alice downloaded a software vlc-2.2.8-win32.exe from the web. Is the downloaded file authentic?
- Since the website is hosted with HTTPS protocol, Alice is being assured that the content displayed on the browser is from VLC and authentic.
- However, the downloading site is a 3rd-party, channel not secure. Possibility 3rd party website is malicious and giving out virus infested software.
- To verify that the file indeed original, after download, check the integrity by matching the “hash” of the file with the “SHA-256 checksum” displayed in the browser. If they match, file is intact. If not, file is corrupted.

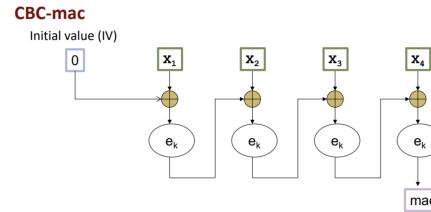


- For the attacker, to find a F' that has the same hash as F , this is known as **2nd preimage problem**.
- Although practically, some part of program needs to be same to be workable, we focus on modest goal of finding any file. If easiest goal preventable, so is harder goal. Hence, for hash, **easiest attack goal is “collision”**.
- **A Hash function H() is called collision-resistant** if it is computationally difficult to solve collision attack. More specifically, it is collision-resistant if no method can significantly outperform birthday attack

3.3 Data Authenticity (MAC): Keyed Hash

- **MAC:** Message Authentication Code.
- **A keyed-hash** is a function that takes an arbitrary large message and a **secret key** as input, and outputs a fixed size (say 160 bits) MAC.
- **Forgery-resistant:** Even with multiple valid pairs of messages and mac, difficult for attacker to forge the mac of message not seen before.
- **Popular Keyed-Hash (MAC)**

– CBC-MAC: (based on AES under CBC mode)



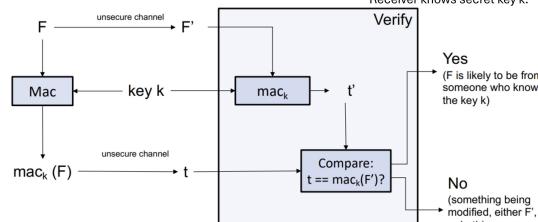
– HMAC : based on SHA (optional)

Application Scenario for MAC (keyed hash)

- In the previous example (on vlc), we assume secure channel to send the digest.
- In scenarios without secure channel to deliver the digest, we can protect the digest with the help of some secrets.
 - In the symmetric key setting, it is called the mac.
 - In the public key setting, it is called the digital signature

mac (Message Authentication Code)

In this setting, the mac might be modified by attacker. If such case happened, it can be detected with high probability. MAC typically appended to F , to be used to carry out verification. Receiver knows secret key k .



Security Requirement: Without knowing the key k , it is difficult to forge a mac.

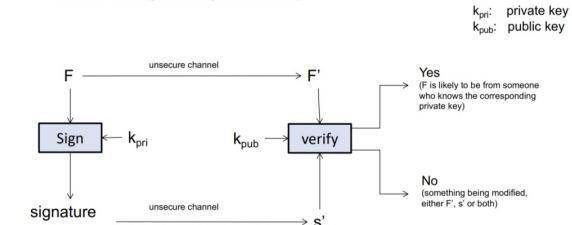
- Attacker would need to forge valid pair of (message, mac).
- Typically, the mac is appended to F . Stored as a single file / transmitted together. (Aka authentication tag / code). Later, entity can carry out the verification process using secret key.

3.4 Data Authenticity (Signature): Asymmetric Key

Public Key Version of MAC is called Signature

- Here, the owner uses the private key to generate the signature. The public can use the public key to verify the signature.
- So, anyone can verify the authenticity of the data, but only the person who know the private key can generate the signature.

Verifier and Signer using different key.



Security Requirement: Without knowing the private k_{pri} , it is difficult to forge a signature.

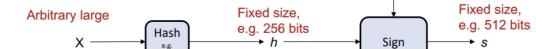
- Similarly, computed signature appended to F and stored as a single file.
- “Alice signs file F' ”: signature is computed using Alice’s private key and F , then appended to F .
- **Authenticity**’ of F can be verified by anyone, using the public key. The valid signature can only be computed by someone who knows the private key. So, if it is valid, then F must be authentic.
- **Non-repudiation:** Assurance that someone cannot deny previous commitments or actions.
- Can be seen as digital signature, certified authentic, on top of ease of key management.
- **Popular Signature Scheme:**

- A popular group of schemes use RSA for the sign/verify component: RSASSA-PSS, RSASSA-PKCS1: signature scheme based on RSA
- DSA (Digital Signature Algorithm) is another popular standard whose security depends on discrete log.

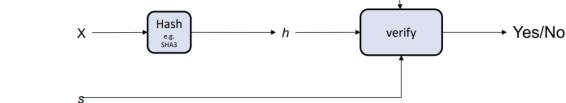
Design of Signature Scheme

Signature need two things: **Unkeyed hash, sign/verify algorithm.**

Generation of signature



Verification of signature



- Hashing done not only for purpose of efficiency.
- For RSA-based signature, to be secure, hash must be carried out, e.g. if message very short, only 1 byte.
- For RSA, essentially, signature is the “encrypted” digest. During verification, decrypt to obtain digest and compare.
- Note: Here, we use private key to encrypt. Previously, we used public key to encrypt. Role flipped.

3.5 Attacks & Pitfalls

Birthday Attack on hash

Similar to “exhaustive search” in encryption. Birthday attack applicable to all hash functions, similar to how exhaustive search is on all encryption schemes.

- Hashes are designed to be **collision-resistant**, hard to find two diff. messages give same digest. However, subjected to birthday attack.
- We want to design a hash so that known attacks cannot do better than birthday attack.
- **Birthday attack** is an attack that occurs when someone exploits the mathematics behind the birthday problem in probability theory to launch a cryptographic attack.
- The birthday problem states that in a group of 23 people, there's a 50% chance that at least two will have the same birthday.

Birthday Attack Formula

- Suppose we have M **messages**.
- Each value tagged with value randomly chosen, range of values = $[1, T]$. (T is **no. of values**)

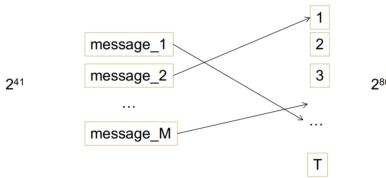
• **If:**

$$M > 1.17 * T^{0.5}$$

- There is a probability higher than 0.5 that there is a pair of messages tagged with the same value.
- i.e. $P(\text{collision}) > 0.5$.

Birthday Attack Example

- Suppose the digest of a hash is 80 bits ($T = 2^{80}$). An attacker wants to find a collision.
- If the attacker randomly generates 2^{41} messages ($M = 2^{41}$), then $M > 1.17 T^{0.5}$. Hence, with probability more than 0.5, among the 2^{41} messages, two of them give the same digest.



- In general, for a **set of T values**, where M **values chosen randomly**,
- the probability **at least one value chosen more than once** (aka collision) approximately:

$$\begin{aligned} p(M; T) &\approx 1 - e^{-M(M-1)/(2T)} \\ &\approx 1 - e^{-M^2/(2T)} \end{aligned}$$

- NIST recommendation of key length: (2019-2030)
Security Strength (key length for symmetric key): 112
Discrete Logarithm Key (length of digest): 224.
- The length of truncated message digests used shall be at least twice the desired security strength required for the digital signature. (Due to birthday attack)

3.6 Additional Notes

3.6.1 Design flaw: Using encryption for authenticity

- Encryption is designed to provide confidentiality. It does not necessarily guarantee integrity and authenticity.
- In example, XYZ wants to achieve “authenticity”, but wrongly employed encryption to achieve that. (Some encryption schemes also provide authenticity, but not all.)
- Note that a lot of details are omitted. Simply adding mac to the instructions is not sufficiently secure. To prevent “replay” attack, “nonce” is required. Essentially, problem is about authenticity a communicating party, not just authenticating the data source. Hence a secure implementation would employ TLS (to be covered) first, and then send the instruction via TLS. Secure but less efficient.

3.6.2 Password Vs. Secret Key in Crypto

- Passwords are generated by human to be remembered by humans.
- Secret keys (e.g. 128-bit AES encrypt key, 1024-bit RSA key) machine generated, truly random, or derived based on data.
- However, sometimes password used as source for secret key. (E.g. use password to encrypt file).
- Transformation is called **key derivation function**, (KDF)

3.6.3 Hash Application: Password file protection

- Password file stores userid, password.
- This file could be leaked (insider / accidental leakage, hack). Many well-known incidents where weak/unprotected password files leak, large number of passwords compromise. Desirable to add additional layer of protection on file. (See 2.1).
- **Password file should be “hashed” and “salted”.**
- During authentication, password entered is hashed, and compared with value stored in password file.
- **Salt is important:** If no attacker can just hash a common password, and check to see if it matches any of the 100,000 leaked passwords. Salt (not secret) forces attacker to test one by one.
- Due to one-way property of hash, password file if leaked, is not as disastrous.

4. PKI and Channel Security

PKI and Channel Security Summary

Summary & takeaways

Part 1: PKI

- PKC requires a "secure broadcast channel" to securely broadcast the public keys: PKI. (know the consequence of having the wrong public key).
- Certificate: a piece of document that binds a "name" to a "public key" & certified by an authority, called CA. A Certificate contains:
 - name, public key, expiry date, usages,
 - meta info (type of crypto, name of CA, etc),
 - CA's signature
- PKI: infrastructure to broadcast the key. Comprise of
 - Certificate Authority (CA)
 - The processes on issuing, verification, revocation of certificates.
 - The mechanism of chain-of-trust. (A root CA can certificate other CA. Root CA's public keys are pre-installed or "manually" installed.)
- The "Public PKI" usually refers to the one for Internet (often simply called "PKI"). "Private PKI" use different sets of CAs. Public PKI's limitations: Too many root CAs.

Summary & takeaways

Part 2: Channel security

- Protocols: Authentication, Key Exchange, Authenticated Key Exchange.
 - Authentication. Adversary attack and later impersonate. (no key exchange. Assuming each entity remains the same throughout the session). Unilateral, Mutual.
 - Key exchange: Adversary sniffs and wants to steal the session key. No authentication. (PKC, DH)
 - Authenticated key exchange. Adversary is Mallory. (can sniff, spoof, modify, and thus can take over session) and wants to impersonate and/or steal the session key.
- Putting all together. With crypto primitives, we can obtain a secure (*w.r.t. authenticity & confidentiality, and against Mallory*) channel on top of an underlying unsecure public channel.
 - Method:
 - (1) Use long term key for Authenticated key exchange to get session key
 - (2) Use session key to protect confidentiality (encrypt) & integrity (mac) of subsequent messages via authenticated encryption.
 - Why we need a separate session key? (1) More efficient. (2) Forward secrecy.

4.1 Public Key Distribution

In order to use digital signature (compared to symmetric key, or unkeyed digest, or MAC, which require some secret key between sender and receiver), we still need a **secure way for receiver to obtain sender's public key**.

Why require Public Key Distribution?

- While both need secure channel to distribute keys, it is easier to securely "broadcast" public key than to "establish" different symmetric key for every pair.
- With public key distributed, can use for encryption (**confidentiality**) and signature verification (**authenticity**).
- **Public Key:** Entity broadcasts public key to public billboard only once, and no need know existence of receiver while broadcasting.
- **Symmetric Key:** Entity needs different symmetric key per pair, and both entities need to interact to establish key.

Key Distribution Methods

- Public Announcement on existing mechanism (social media, name card)
- Hard coded in verification programs
- Publish in publicly available directory
- Public Key Infrastructure (PKI)

First few solutions have potential issues. How to verify information submitted is authentic, not everyone trusts the server, server may be overwhelmed, cannot handle load. Hence, **PKI** as a distributed way to broadcast the keys.

4.2 Public Key Infrastructure (PKI)

PKI is a standardized system that distribute public keys. To address limitation of the previous methods.

A main objective is to be deployable on a large scale.

- **Two main components: Certificate, and Chain of trust of Certificate Authority (CA).**
- "Public PKI" refer to the PKI we adopted in Internet for domain name, emails address etc. In short, "PKI".
- "Private PKI" are systems for other applications, has own set of "CA". (E.g. possible for company to set up a PKI for own usage).

4.2.1 Certificate Authority (CA)

- CA is a trusted authority that manages a directory of public keys, issues certificates. An entity can request to add its public key. CA also has its **own public-private key**.
- Anyone can send queries to search the directory. (Certificates facilitate checking/querying to be done in an offline manner.)
- Assume some CA's public keys securely distributed via other means. (so, we still need a secure channel to distribute the CA's public key, e.g. pre-loaded in OS, known as "root CAs").
- Not all CAs' public keys are preloaded. Other CA's public keys can be added through the chain-of-trust.

4.2.2 Certificate

- **Certificate** simply document signed by CA, containing an identity, associated public key, valid time window, and signature of CA. It binds the public key to the identity, and is certified by the signature.
- **Rationale: Certificate for distributing public keys:**

- 'Lazy CA': A certificate is simply a precomputed "reply". CA "signs" message beforehand, and pass to sender, and sender sends this signed message to receiver. This is called the certificate.
- Since no one except CA can produce the valid signature, authenticity of information in certificate as good as coming directly from the CA.
- **A certificate** is a digital document that contains at least the following 4 main items:
 1. The name(s), for e.g. alice@yahoo.com or bbc.com or *.bbc.com (note that *.bbc.com is a set of names)
 2. The public key of the owner.
 3. The time window that this certificate is valid. (Expiry)
 4. The signature of the CA

• Other important info:

- Usage of certificate (type of name email or domain name, whether name can take role of a CA (chain of trust))
- Digest (Fingerprint), for verification without using CA's public key
- Meta data such as algo involved in verifying signature. (E.g. ECC / RSA, key length)
- Hence, from certificate, receiver can obtain sender's public key, and verify authenticity without connection to CA.
- **Assumption:** Receiver trusts CA.
- **Standard:** E.g. ITU-T X.509 standardisation body, specifies format for certificates, certificate revocation lists, validation algorithm.
- Self-signed certificate by a CA is also called "root-certificate"

4.2.3 Certificate Authority & Trust Relationship

- **Responsibility of CA:** CA needs to verify information is correct, which could be costly. Getting certificate signed by reputable CA is not free.
- **Certificate Chain-of-trust:** There might be too many CAs. Root CA (preloaded public key) to CA#1, to CA#2 etc. is called **chain of trust**.

4.2.4 Certificate Revocation

- Non-expired certificates may be revoked due to different reasons:
 - Private key compromised, vulnerability in choosing key, admin left organization, Business entity closed, Issuing CA compromised.
- Verifier needs to check if certificate is still valid, although the certificate not expired yet. (conflicting requirement!!)
- **Two different approaches to certificate revocation:**

- **Certificate Revocation List (CRL):** CA periodically signs and publishes a revocation list. Need an online CRL Distribution Point.

- **Online Certificate Status Protocol (OCSP):** OCSP Responder validates a cert in question. Need an online OCSP Responder.

- Recommendation is for a user (e.g. browser) to periodically (say weekly) update its local cache of revocation list. The user does not need to online check whenever the user wants to verify a certificate.

4.3 Limitations/Attacks on PKI

4.3.1 Implementation Bugs

- Same as many other secure designs, it is vulnerable if not implemented correctly
- **E.g.** Some browsers ignore substrings after null characters when displaying in address bar but include them when verifying certificate. (www.nus.sg\0.hacker123.com → displayed as www.nus.sg). Viewer thought connected via https to a, but in fact connected to b).

4.3.2 Abuse by CA

- Many CA's. One could be malicious. Rogue CA can forge any cert.
- **E.g.:** Trustwave issue man-in-middle digital cert, Lenovo's SuperFish scandal.

4.3.3 Social Engineering

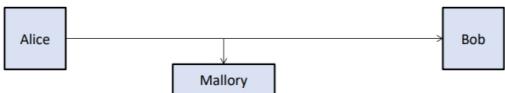
- Social engineering exploits **confusing naming convention**, so that the verifiers are tricked into using a wrong name.
- **E.g.** an attacker first rightfully registered for a domain name that resembles a targeted domain name. Next, used the registered domain to confuse the victim in phishing attack.
- A few techniques to confuse the verifier: "Domain typosquatting", "Homograph attack", using sub-domain name. These attack are aka Domain spoofing, URL spoofing, Fake URL, etc
- **Typosquatting:** Register for domain name (e.g. one letter off), employ phishing attack. Rely on typos made by users when inputting website address, lead to any URL.
- **Sub-Domain:** E.g. rightful owner of 123.com can get sub.domain nus.edu.sg.123.com (*.123.com)

Authentication Protocols

Consider 3 types of protocols: (basic) authentication, key-exchange, authenticated key-exchange.

- **Attack Model:** Attack-then-impersonate
- **Types:** Unilateral, Mutual
- **Authentication Method:** Challenge-response

4.4. (basic) Authentication Protocol



- **Scenario:** Entity wants to convince Bob that she is indeed Alice. The entity does so by convincing Bob that she knows some "secrets".
- **Attack Model:** Attack-then-impersonate. Attacker (Mallory) can sniff and modify the communication between the authentic Alice and Bob. Attacker uses stolen info to impersonate Alice. Can simply "replay" password sent to impersonate.

Authentication: Challenge-response

Suppose Alice and Bob have a shared secret k , both agreed on a message authentication code (MAC). An entity who knows k is either Alice or Bob. Entity P wants to convince Alice that he is Bob. (P: "Prover").

1. P sends to Alice a hello message.
 2. **Challenge:** Alice randomly picks message m and sends m to P.
 3. **Response:** P computes $t = \text{mac}_k(m)$. P sends t to Alice.
 4. Alice verifies tag received is indeed mac of m . If so, accept, else reject.
- By property of **mac**, even if Eve (third party) sniff communication and obtain multiple pairs of valid m, t , Eve still (1) can't get the secret key k , (2) can't forge the mac for messages that Eve has not seen before!
 - Eve can't replay response as challenge randomly chosen, likely different in next authentication session. Challenge m ensures **freshness** of authentication process. It is also known as the cryptographic **nonce**.
 - This protocol only authenticates Bob. That is, authenticity of Bob is verified. Hence, called **unilateral authentication**. There are also protocols to verify both parties, which are called mutual authentication.

Authentication: Challenge-response using PKC

Public key version using signature (Unilateral Authentication). Alice wants to authenticate entity P who claims to be Bob.

1. (Challenge) Alice chooses a random message r and sends to P: ("Bob, here is your challenge", r)
 2. (Response) P uses his private key to sign r . P also attaches a certificate: ($\text{sign}(r)$, certificate)
 3. Alice verifies certificate belongs to Bob and valid. Next, extracts public key from certificate and verify signature $\text{sign}(r)$ is correct. If so, accept.
- If Alice already knows Bob's public key, the certificate can be omitted.
 - Assume Attacker observes multiple interactions. By security property of signature, eavesdropper cannot derive Bob's private key, can't forge response.
 - Nonce r ensure freshness.

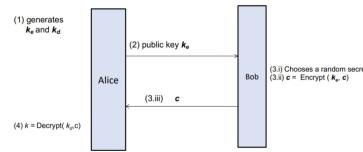
4.5 Key-exchange

Two communicating entities want to establish a shared key between them. Consider attack Eve who can sniff, goal to steal established key.

PKC-based Key-exchange

PKC-based Key-exchange

- Here is a key-exchange that uses a PKC.
1. Alice generates a pair of private/public key.
 2. Alice sends the public key k_e to Bob.
 3. Bob carries out the following
 - Randomly chooses a secret k .
 - Encrypts k using k_e .
 - Sends the ciphertext c to Alice.
 4. Alice uses her private key k_d to decrypt and obtain k .

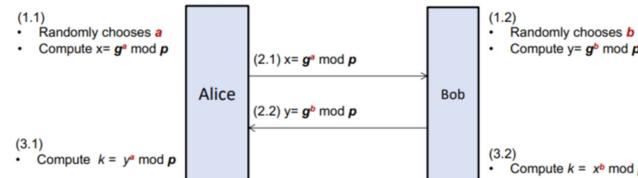


- Attacker (Eve) can only obtain the public key k_e and the ciphertext c .
- By security of PKC, from the public and ciphertext, attacker can't get any information of the plaintext, which is the key k .

Diffie-Hellman Key-exchange

Diffie-Hellman key-exchange

We assume both Alice and Bob have agreed on two *public* parameters, a generator g and a large (e.g. 1000 bits) prime p . Both g and p are not secret and known to the public.



Security relies on the CDH assumption.

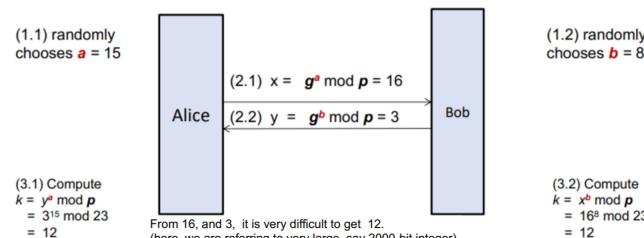
Computational Diffie-Hellman CDH assumption:
Given $g, p, x = g^a \text{ mod } p, y = g^b \text{ mod } p$, it is computationally infeasible to find $k = g^{ab} \text{ mod } p$.

Remarks:

1. Step (1.1)&(1.2), (2.1)&(2.2), (3.1)&(3.2) can be carried out in parallel.
2. The assumption seems self-fulfilling. Nonetheless, there are many evidences that it holds.
3. The operation of "exponentiation" can be applied to any algebraic group, i.e. not necessary integers. CDH doesn't hold in certain groups. The crypto community actively searches for groups that CDH holds. E.g. Elliptic Curve Cryptography ECC is based on elliptic curve group where CDH believed to hold.

Diffie-Hellman Key-exchange Example

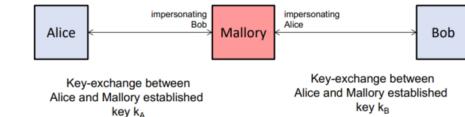
Eg. $g = 2, p = 23$



4.6 Authenticated Key-exchange Protocol

Consider **malicious Mallory**, who can sniff, spoof and modify message.

- Mallory first allows Alice and Bob carry out strong authentication. After, Mallory interrupts and takes over channel. Mallory pretends to be Bob! Even if employ challenge-response, Mallory still succeeds.



- **Authenticated Key-Exchange:** Protect subsequent interactions.

1. Authenticated key-exchange, outcome new shared secret session key k .
2. All subsequent communication protected (encrypted + mac) using k .

Summary: Mutual Authenticated key exchange

- *Before the protocol:*
 1. Alice has a pair of public, private key ($A_{\text{public}}, A_{\text{private}}$).
 2. Bob has a pair of public, private key ($B_{\text{public}}, B_{\text{private}}$).
 3. Alice knows Bob public key and vice versa. These two sets of keys are known as the **Long-term key or Master key**.
- They carry out Authenticated key exchange protocol (e.g. STS). If an entity is not authentic, the other will halt.
- *After the protocol:*
 1. Both A and B obtain a shared key k , known as the **Session key**.
- Security Requirement.
 1. (Authenticity) Alice is assured that she is communicating with an entity who knows B_{private} .
 2. (Authenticity) Bob is assured that he is communicating with an entity who knows A_{private} .
 3. (confidentiality) Attacker unable to get the session key.

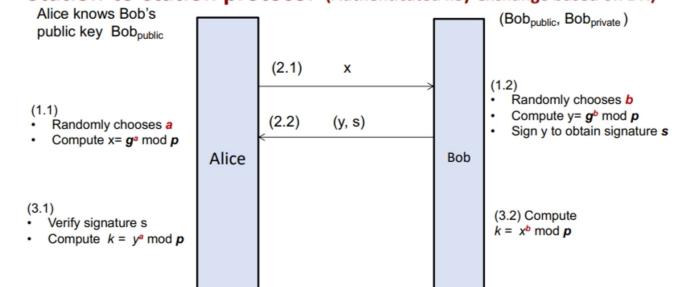
- Turns out authenticated key-exchange easily obtained from existing key-exchange, (either PKC-based key-exchange or DH-based key-exchange). Done by signing all communication using the private key.

- Special name for authenticated key-exchange that uses DH: it is known as **Station-To-Station Protocol (STS)**.

Station-to-Station Protocol (based on DH)

Assume both Alice and Bob agreed on two public parameters, generator g and large (e.g. 1000 bits) prime p . Both g and p are not secret and known to the public. Consider unilateral authentication. Alice want to authenticate Bob. Can be easily extended to mutual authentication.

Station-to-station protocol (Authenticated key-exchange based on DH)

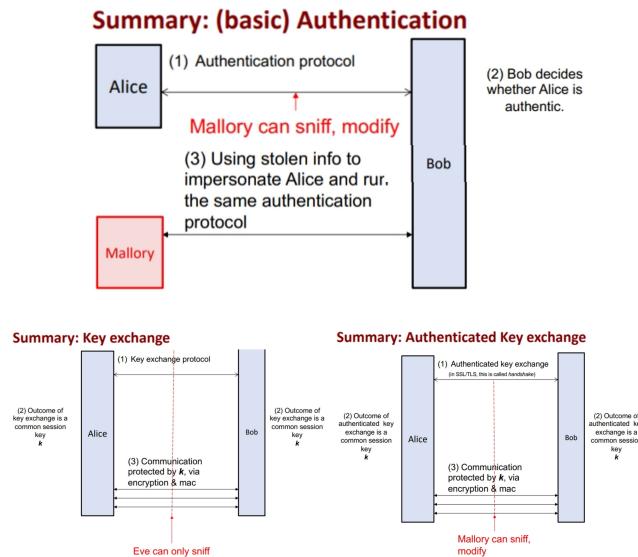


Remark: This is unilateral authentication. Can extend it to mutual by making Alice signs her messages in step (2.1).

Password based Encrypted Key Exchange

(Asymmetric) Previous authenticated key-exchange protocols such as Station-to-station are based on public key.

- (Symmetric) There are also symmetric key version, i.e both entities share a symmetric key. An entity is authentic if it can prove to the other that it knows the key.
- (Password) A special case of symmetric key is when the key is a password.
- Password usually has very low entropy, thus potentially vulnerable to “offline” dictionary attack (see tutorial). There are secure protocols that, even if entropy of password low, still secure against offline dictionary attack (to guess password correct, attacker forced to interact with online server). These are called “**Password-Authenticated Key agreement**” (PAKE).



4.7 Securing Communication Channel (Ensuring Channel Security)

Given a “public channel” that facilitates communication, but presences of Mallory. Use unsecure public communication as carrier, add layer of crypto primitives on the messages, so channel is as secure as a “private channel”.

- **Scenario:** Alice wants to visit website Bob.com. Alice uses free wifi called Mallory. Everyone can access, thus public channel. Secure public channel using crypto.

TLS/SSL secure the public channel in this way: ([https uses TLS](https://www.ibm.com/support/knowledgecenter/en/SSFKSJ_7.1.0/com.ibm.mq.doc/sy10660.htm))

- 1) Using **long-term keys** (i.e. Bob's **public and private key**), carry out authenticated key-exchange (aka handshake in TLS). Outcomes are:
 - Alice is convinced that she is interacting with Bob.
 - Both Alice and Bob have a shared **session key**.
- 2) Subsequent communication protected by the session key.

TLS: Transport Layer Security

Alice wants to visit Bob . com: How TLS does it.

[Step 0] Alice obtains Bob . com's public key securely. This is done by having Bob sending his certificate to Alice.

[Step 1] Alice and Bob . com carry out **unilateral authenticated key exchange** protocol with Bob's private/public key. After the protocol, both Bob and Alice obtain a shared key, which could come in the form of 2-tuple (t, k) where t is the secret key of the MAC, and k is the secret key of the symmetric-key encryption, or a single key k when authenticated encryption (e.g. GCM) is used. These keys are called the **session keys**. By property the protocol, Alice is convinced that only Bob and herself know the session key. Here (unilateral authentication), Bob doesn't care about Alice's authenticity.

[Step 2] Subsequent interactions between Alice and Bob . com will be protected by the session keys and a sequence number. Suppose m_1, m_2, m_3, \dots are the sequence of message exchanged, the actual data to be sent for m_i is

$$E_k(i \parallel m) \parallel mac_t(E_k(i \parallel m))$$

where i is the sequence number.

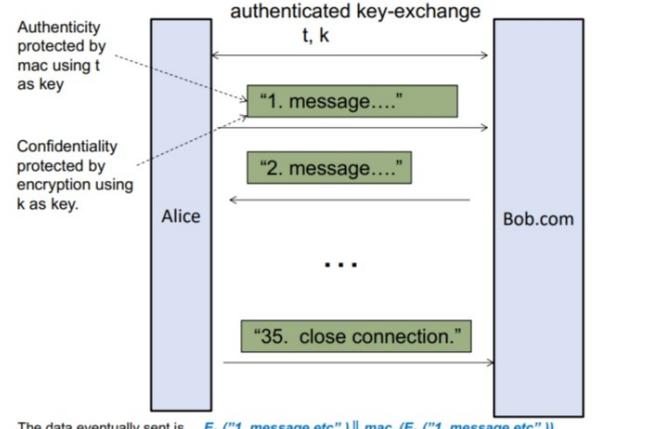
For GCM mode or other authenticated encryption, the message to be sent is simply

$$E_k(i \parallel m)$$

• \parallel refer to string concatenation.

• The above is known as “encrypt-then-mac”. There are other variants: “mac-then-encrypt” and “mac-and-encrypt”. Using the wrong variant might leak info.

When in doubt, use “authenticated encryption” such as AES GCM mode.

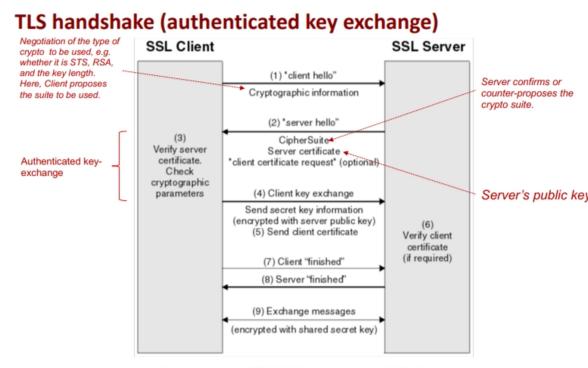


Question: What is the role of the sequence number 1,2,3, ... and the last message “close connection”?

Role of sequence number and last message is to make sure mallory is not able to add messages in between, or after the connection is closed.

Relationship among TLS/SSL/https

- SSL and Transport Layer Security (TLS) are protocols that secure communication using cryptographic mean.
- SSL is the predecessor of TLS.
- Https is built on top of TLS



5. Network Security