

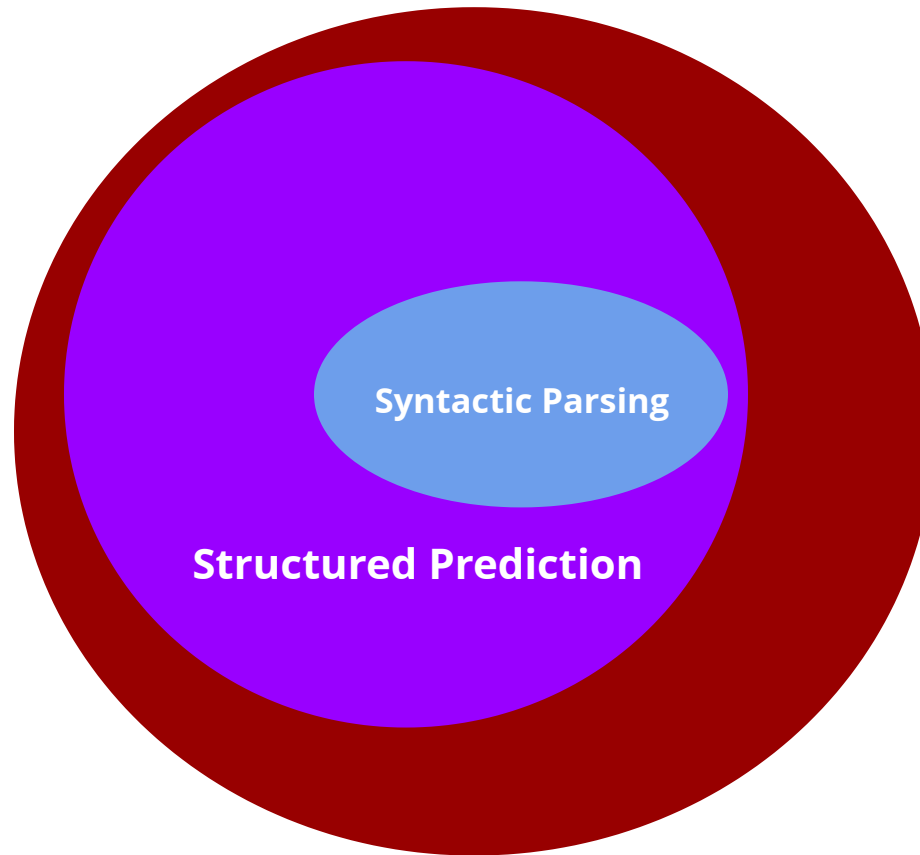
# 50.040

# Natural Language Processing

Lu, Wei



# Tasks in NLP

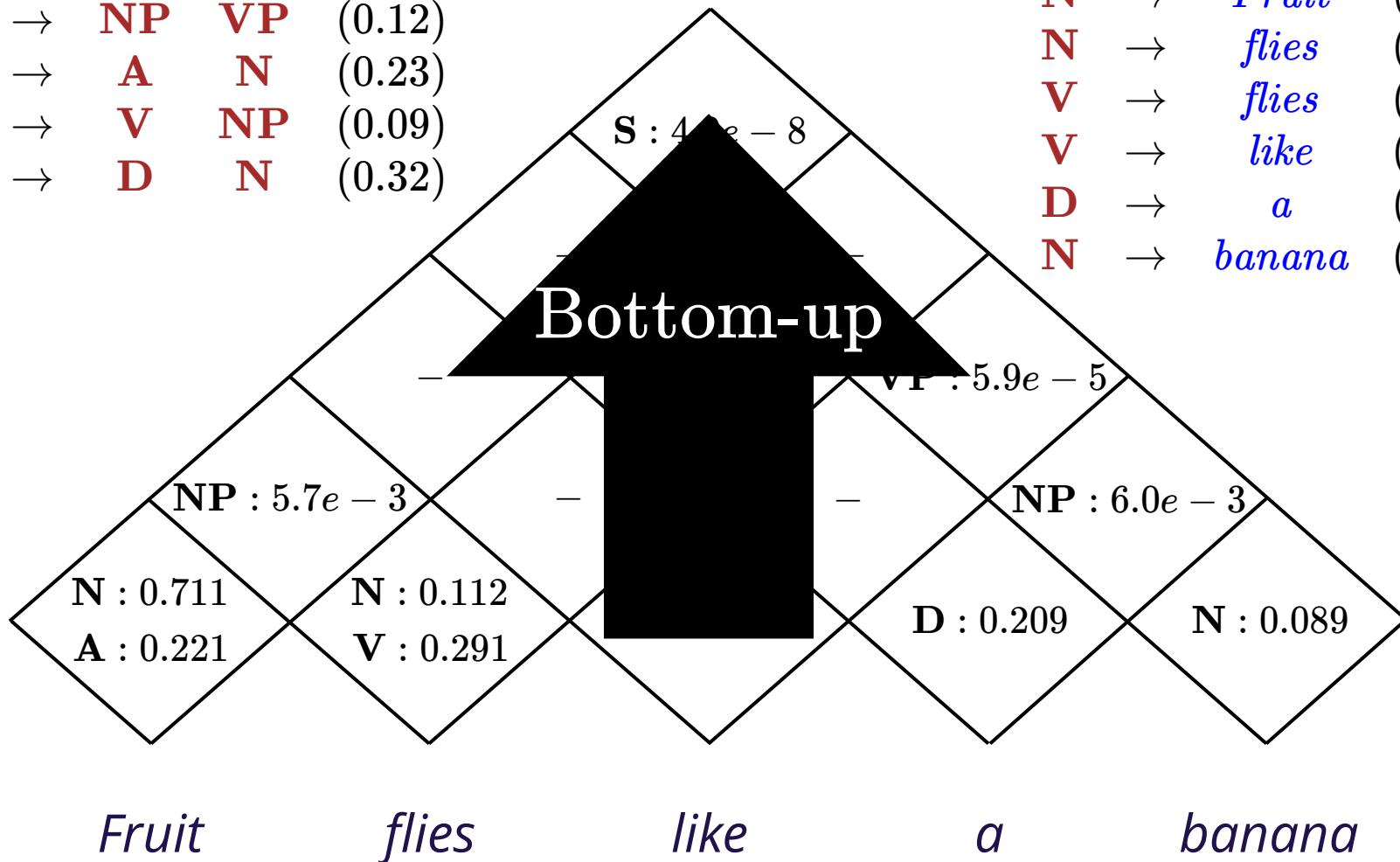


**Supervised**

# CKY Algorithm

**S** → **NP** **VP** (0.12)  
**NP** → **A** **N** (0.23)  
**VP** → **V** **NP** (0.09)  
**NP** → **D** **N** (0.32)

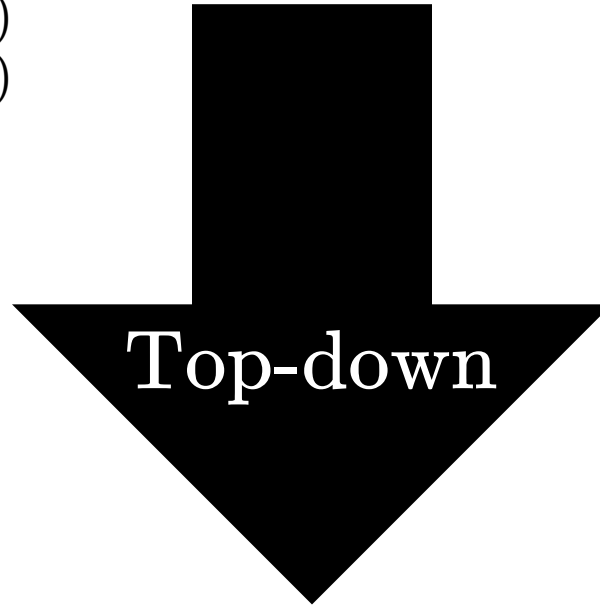
**A** → *Fruit* (0.221)  
**N** → *Fruit* (0.711)  
**N** → *flies* (0.112)  
**V** → *flies* (0.291)  
**V** → *like* (0.108)  
**D** → *a* (0.209)  
**N** → *banana* (0.089)



# A Top-down Algorithm?

**S** → **NP** **VP** (0.12)  
**NP** → **A** **N** (0.23)  
**VP** → **V** **NP** (0.09)  
**NP** → **D** **N** (0.32)

**A** → *Fruit* (0.221)  
**N** → *Fruit* (0.711)  
**N** → *flies* (0.112)  
**V** → *flies* (0.291)  
**V** → *like* (0.108)  
**D** → *a* (0.209)  
**N** → *banana* (0.089)



*Fruit*

*flies*

*like*

*a*

*banana*

Optional

# Earley Algorithm (Earley, 1970)

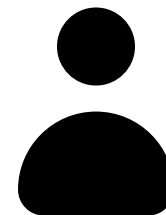
$$S \rightarrow NP \bullet VP [3, 5]$$

The above *dotted rule* tells me **where I am** in the parsing process based on which I can decide which of the following actions to take.

*Predictor* allows me to **grow** the subtree

*Scanner* allows me to **scan the terminals**

*Completer* allows me to **complete the construction** of a subtree



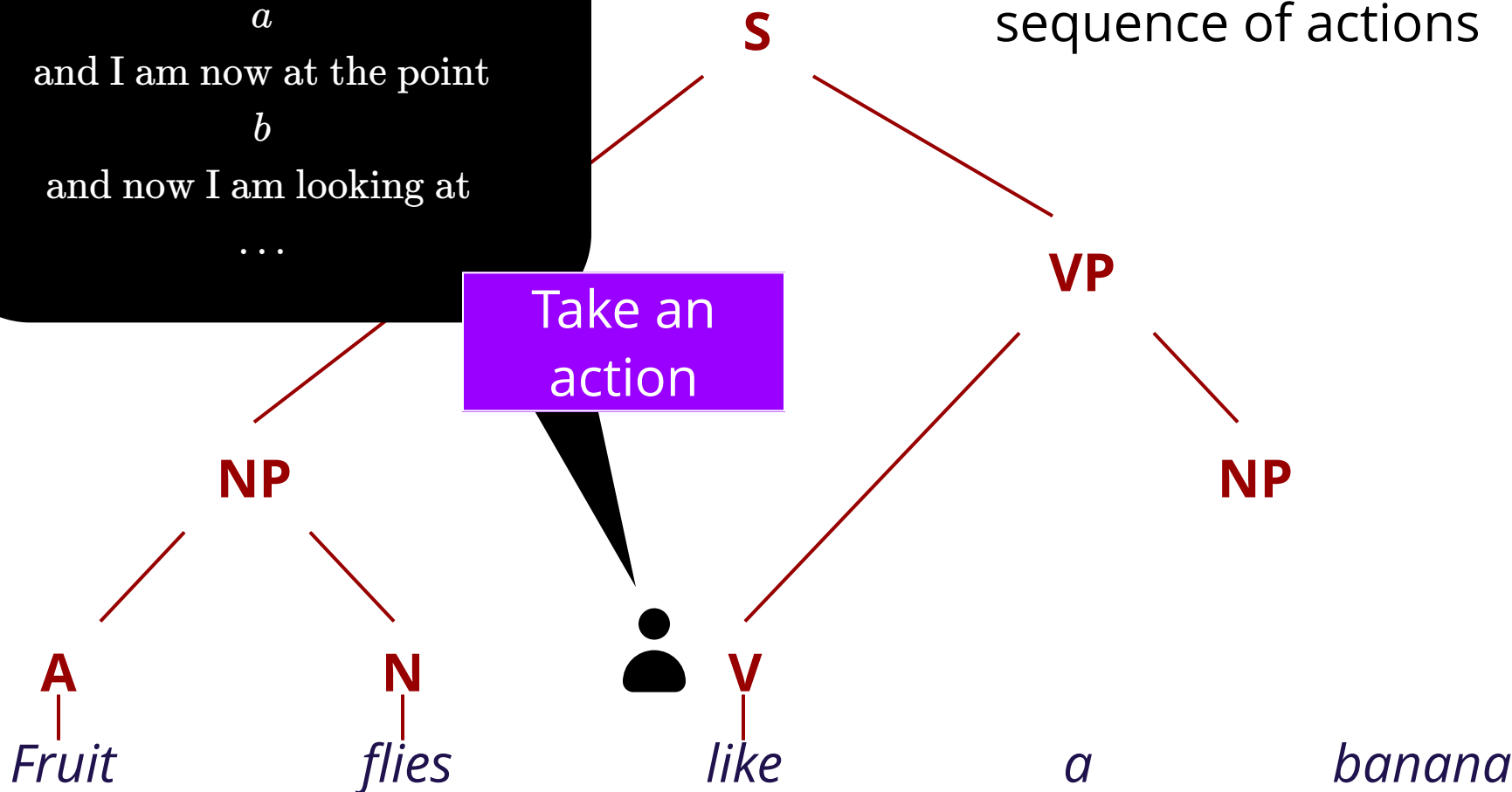
Optional

# Earley Algorithm

I am now under a rule  
 $r$   
covering a span with starting point  
 $a$   
and I am now at the point  
 $b$   
and now I am looking at  
...

Parsing by taking a  
sequence of actions

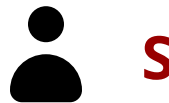
Take an  
action



Optional

# Earley Algorithm

- $S [0, 0]$



I am now under a rule

$\gamma \rightarrow S$  

covering a span with starting point

0

and I am now at the point

0

and now I am looking at ways to *expand*

$S$

One of the rules I can use is

$S \rightarrow NP VP$

Call  
"Predictor"

*Fruit*

*flies*

*like*

*a*

*banana*

Optional

# Earley Algorithm

I am now under a rule

$S \rightarrow NP VP$

covering a span with starting point

0

and I am now at the point

0

and now I am looking at ways to *expand*

**NP**

Call  
"Predictor"



**NP**

$S \rightarrow \bullet NP VP [0, 0]$

**S**

**VP**

*Fruit*

*flies*

*like*

*a*

*banana*



Optional

# Earley Algorithm

I am now under a rule

$\text{NP} \rightarrow \text{A N}$

covering a span with starting point

0

and I am now at the point

0

and now I am looking at ways to *expand*

**A**

Call  
"Scanner"

**NP**

**A**

**N**

*Fruit*

*flies*

*like*

*a*

*banana*

$\text{NP} \rightarrow \bullet \text{A N} [0, 0]$

**S**

**VP**

Optional

# Earley Algorithm

I am now under a rule

$A \rightarrow Fruit$

covering a span with starting point

0

and I am now at the point

1

and now I am done with this rule

Call  
"Completer"

$A \rightarrow Fruit \bullet [0, 1]$

S

VP

NP

A

N

Fruit



flies

like

a

banana

Optional

# Earley Algorithm

I am now under a rule

$NP \rightarrow A N$

covering a span with starting point

0

and I am now at the point

1

and now I am looking at ways to *expand*

$N$

Call  
"Scanner"

$NP \rightarrow A \bullet N [0, 1]$

$S$

$VP$

$NP$

$A$

$N$

*Fruit*

*flies*

*like*

*a*

*banana*

Optional

# Earley Algorithm

$N \rightarrow flies \bullet [1, 2]$

I am now under a rule  
 $N \rightarrow flies$   
covering a span with starting point  
1  
and I am now at the point  
2  
and now I am done with this rule

Call  
"Completer"

S

VP

NP

A

N

Fruit

flies



like

a

banana

Optional

# Earley Algorithm

I am now under a rule  
 $\text{NP} \rightarrow \text{A N}$   
covering a span with starting point  
0  
and I am now at the point  
2  
and now I am done with this rule

$\text{NP} \rightarrow \text{A N} \bullet [0, 2]$

S

VP

Call  
"Completer"

NP

A

N

*Fruit*

*flies*

*like*

*a*

*banana*

Optional

# Earley Algorithm

I am now under a rule

$S \rightarrow NP VP$

covering a span with starting point

0

and I am now at the point

2

and now I am looking for ways to *expand*

**VP**

$S \rightarrow NP \bullet VP [0, 2]$

**S**



**VP**

Call  
"Predictor"

**NP**

**A**

**N**

*Fruit*

*flies*

*like*

*a*

*banana*

Optional

# Earley Algorithm

I am now under a rule

$VP \rightarrow V NP$

covering a span with starting point

2

and I am now at the point

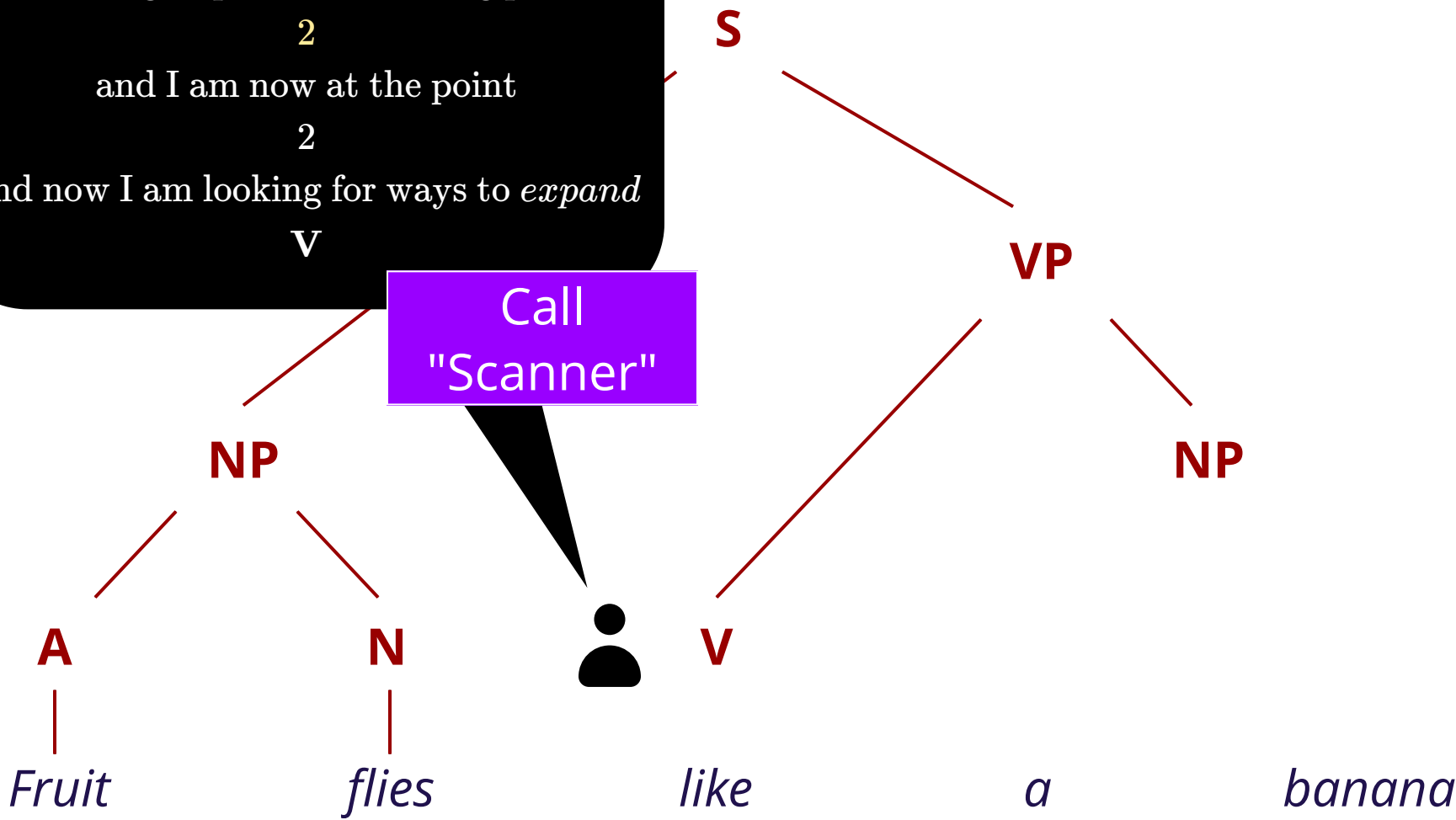
2

and now I am looking for ways to *expand*

$V$

Call  
"Scanner"

$VP \rightarrow \bullet V NP [2, 2]$



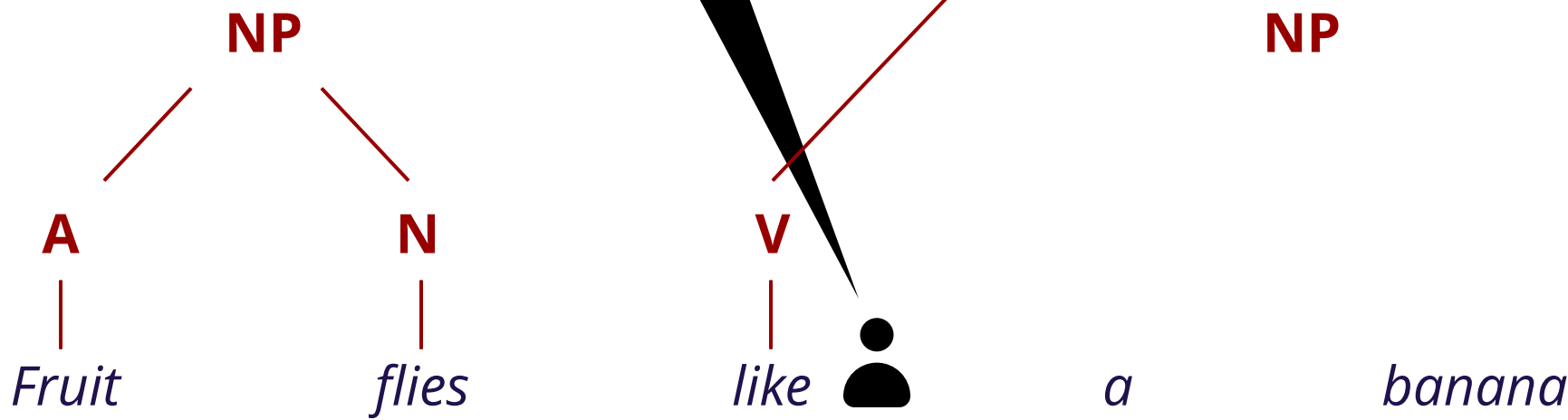
Optional

# Earley Algorithm

$V \rightarrow \textit{like} \bullet [2, 3]$

I am now under a rule  
 $V \rightarrow \textit{like}$   
covering a span with starting point  
2  
and I am now at the point  
3  
and now I am done with this rule

Call  
"Completer"





Optional

# Earley Algorithm

I am now under a rule

$VP \rightarrow V NP$

covering a span with starting point

2

and I am now at the point

3

and now I am looking at ways to *expand*

$NP$

Call  
"Predictor"

$VP \rightarrow V \bullet NP [2, 3]$

$S$

$VP$

$NP$



$NP$

$A$

$N$

$V$

*Fruit*

*flies*

*like*

*a*

*banana*

Optional

# Earley Algorithm

I am now under a rule

$NP \rightarrow D N$

covering a span with starting point

3

and I am now at the point

3

and now I am looking at ways to *expand*

D

Call  
"Scanner"

$NP \rightarrow \bullet D N [3, 3]$

S

VP

NP

NP

A

N

V

D

N

Fruit

flies

like

a

banana

Optional

# Earley Algorithm

I am now under a rule

$D \rightarrow a$

covering a span with starting point

3

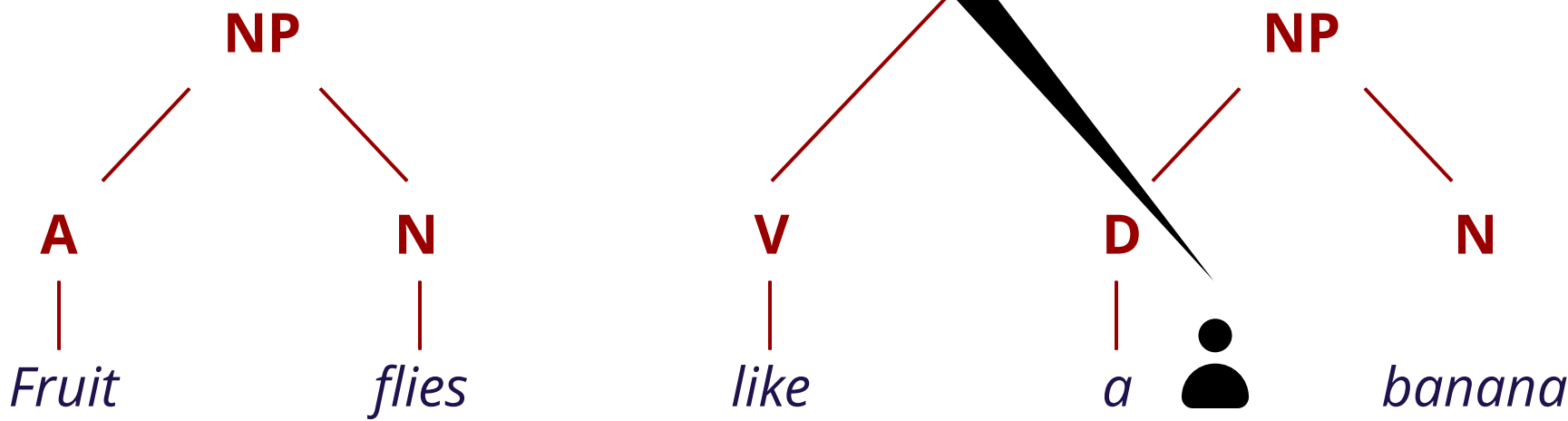
and I am now at the point

4

and now I am done with this rule

$D \rightarrow a \bullet [3, 4]$

Call  
"Completer"



Optional

# Earley Algorithm

I am now under a rule

$NP \rightarrow D N$

covering a span with starting point

3

and I am now at the point

4

and now I am looking at ways to *expand*

$N$

Call  
"Scanner"

$NP \rightarrow D \bullet N [3, 4]$

$S$

$VP$

$NP$

$NP$

$A$

$N$

$V$

$D$

$N$

*Fruit*

*flies*

*like*

*a*

*banana*

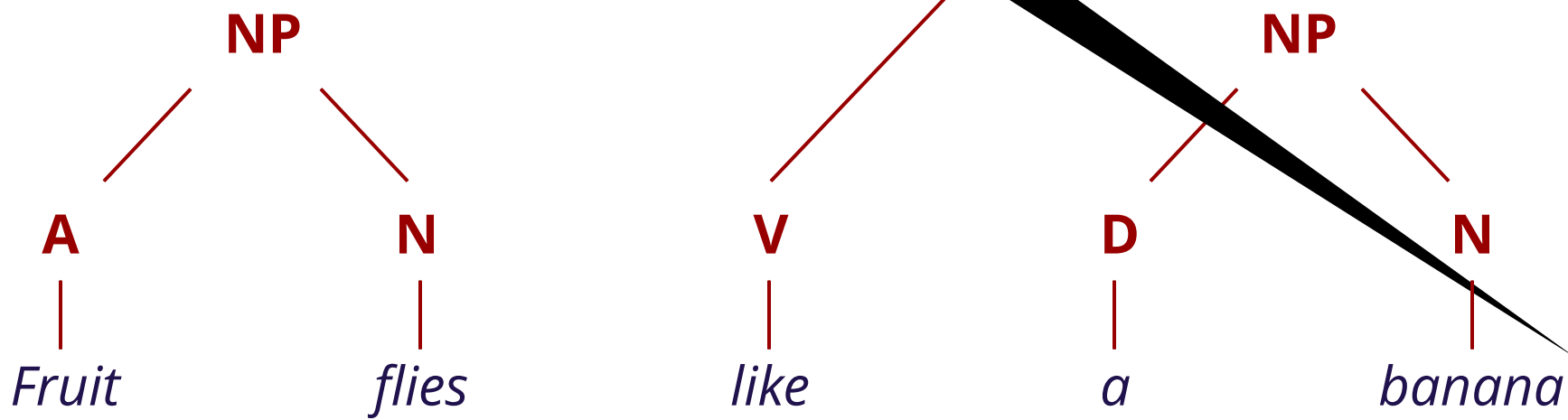
Optional

# Earley Algorithm

I am now under a rule  
 $N \rightarrow banana$   
covering a span with starting point  
4  
and I am now at the point  
5  
and now I am done with this rule

$N \rightarrow banana \bullet [4, 5]$

Call  
"Completer"

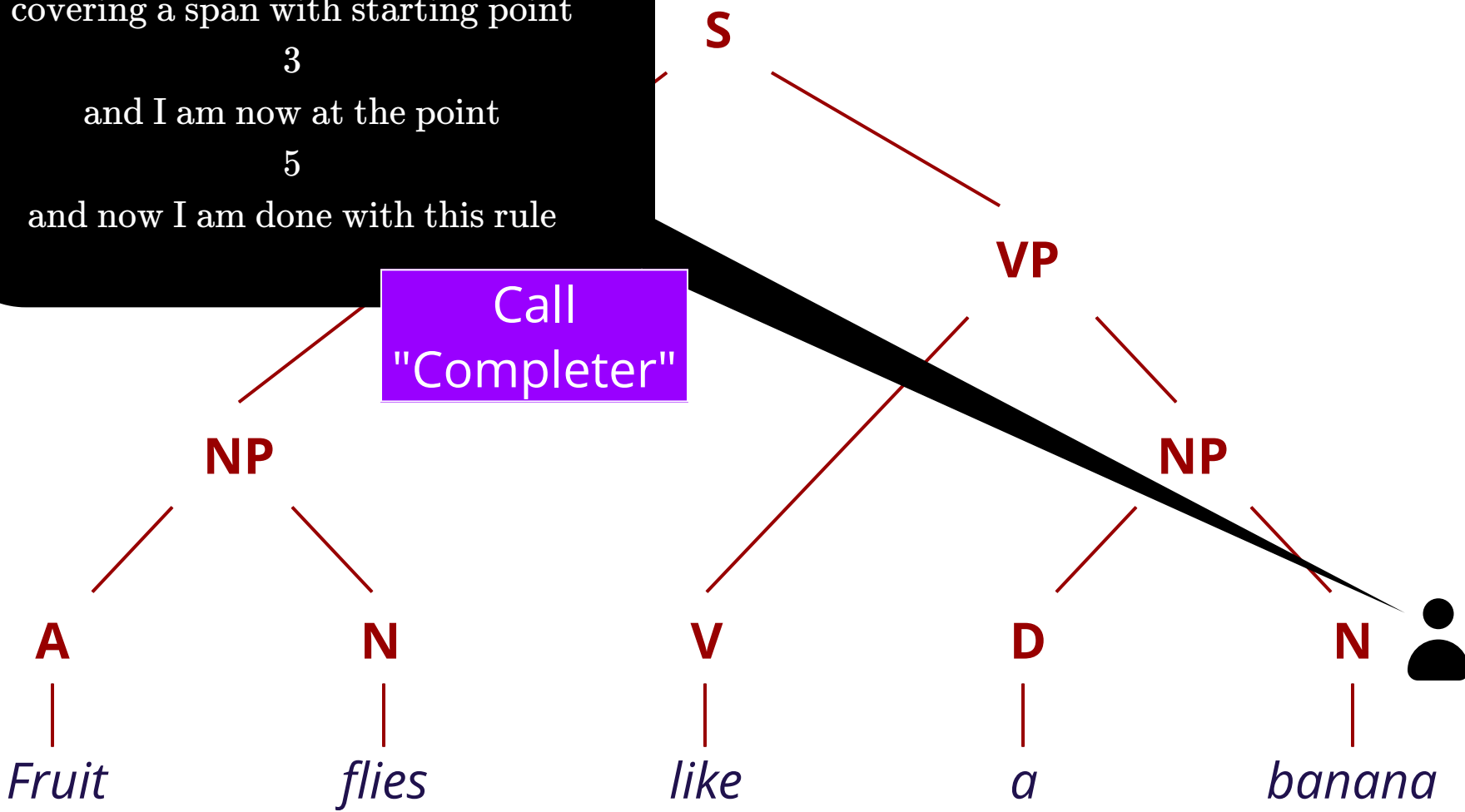


Optional

# Earley Algorithm

I am now under a rule  
 $NP \rightarrow D N$   
covering a span with starting point  
3  
and I am now at the point  
5  
and now I am done with this rule

$NP \rightarrow D N \bullet [3, 5]$



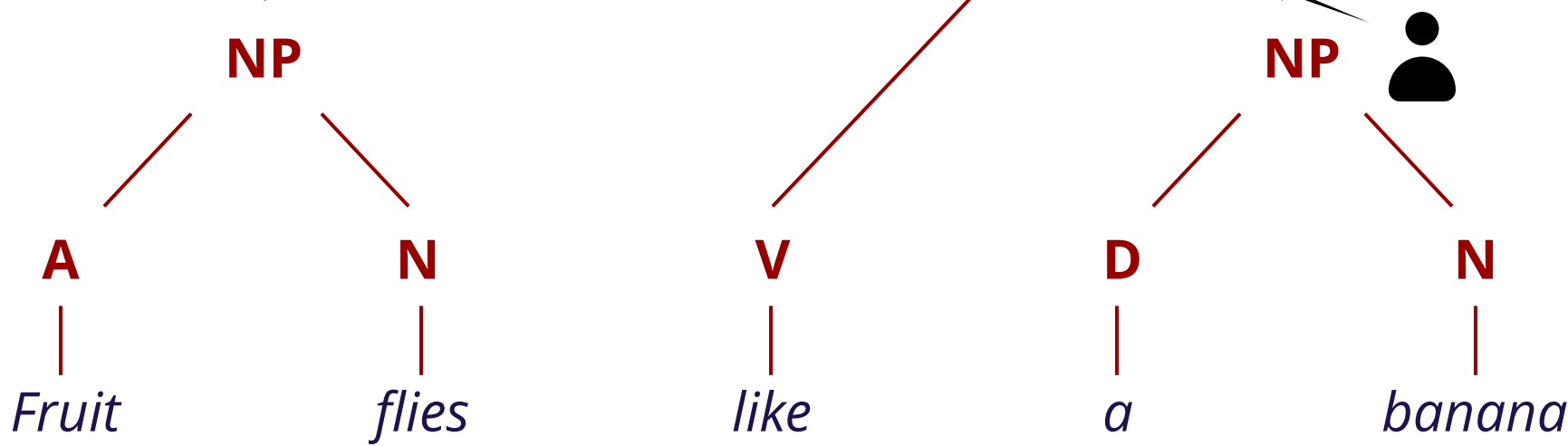
Optional

# Earley Algorithm

**VP**  $\rightarrow$  **V NP** • [2, 5]

I am now under a rule  
**VP**  $\rightarrow$  **V NP**  
covering a span with starting point  
2  
and I am now at the point  
5  
and now I am done with this rule

Call  
"Completer"



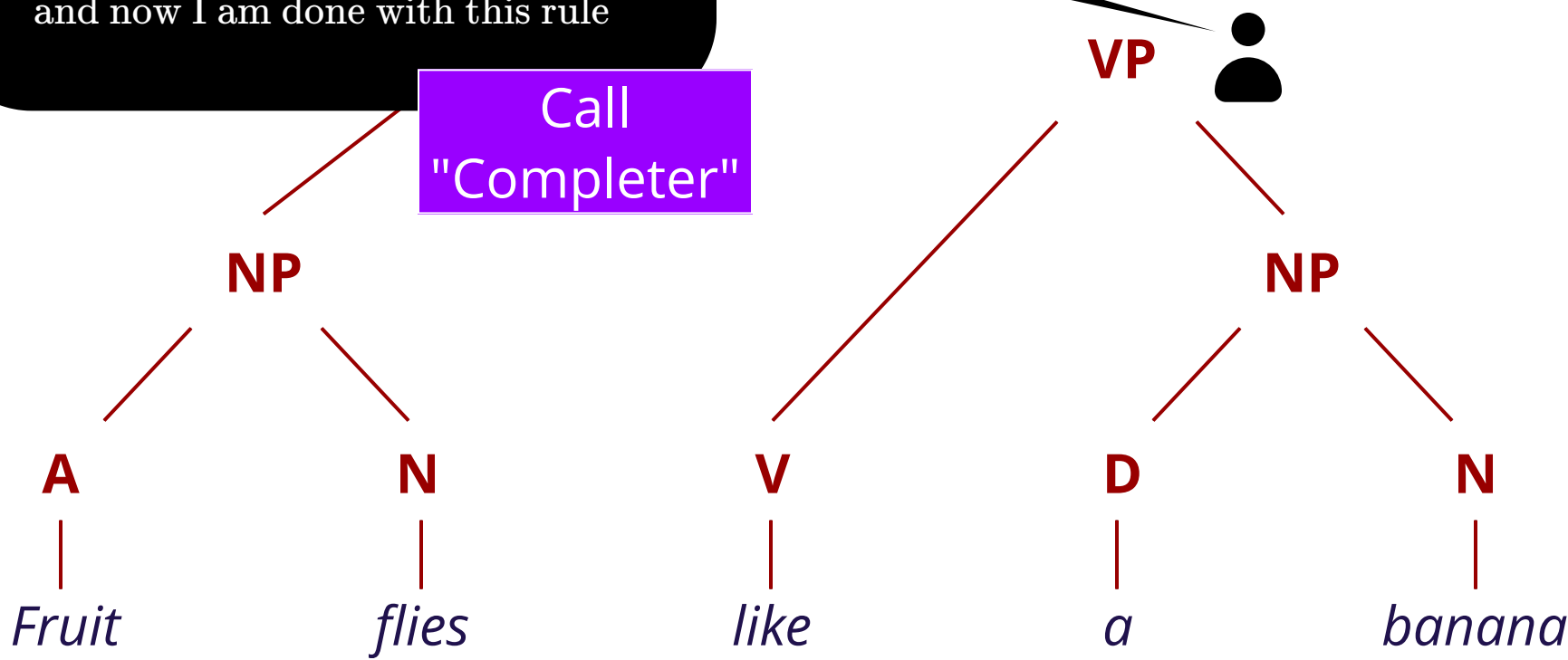
Optional

# Earley Algorithm

$S \rightarrow NP VP \bullet [0, 5]$

I am now under a rule  
 $S \rightarrow NP VP$   
covering a span with starting point  
0  
and I am now at the point  
5  
and now I am done with this rule

Call  
"Completer"



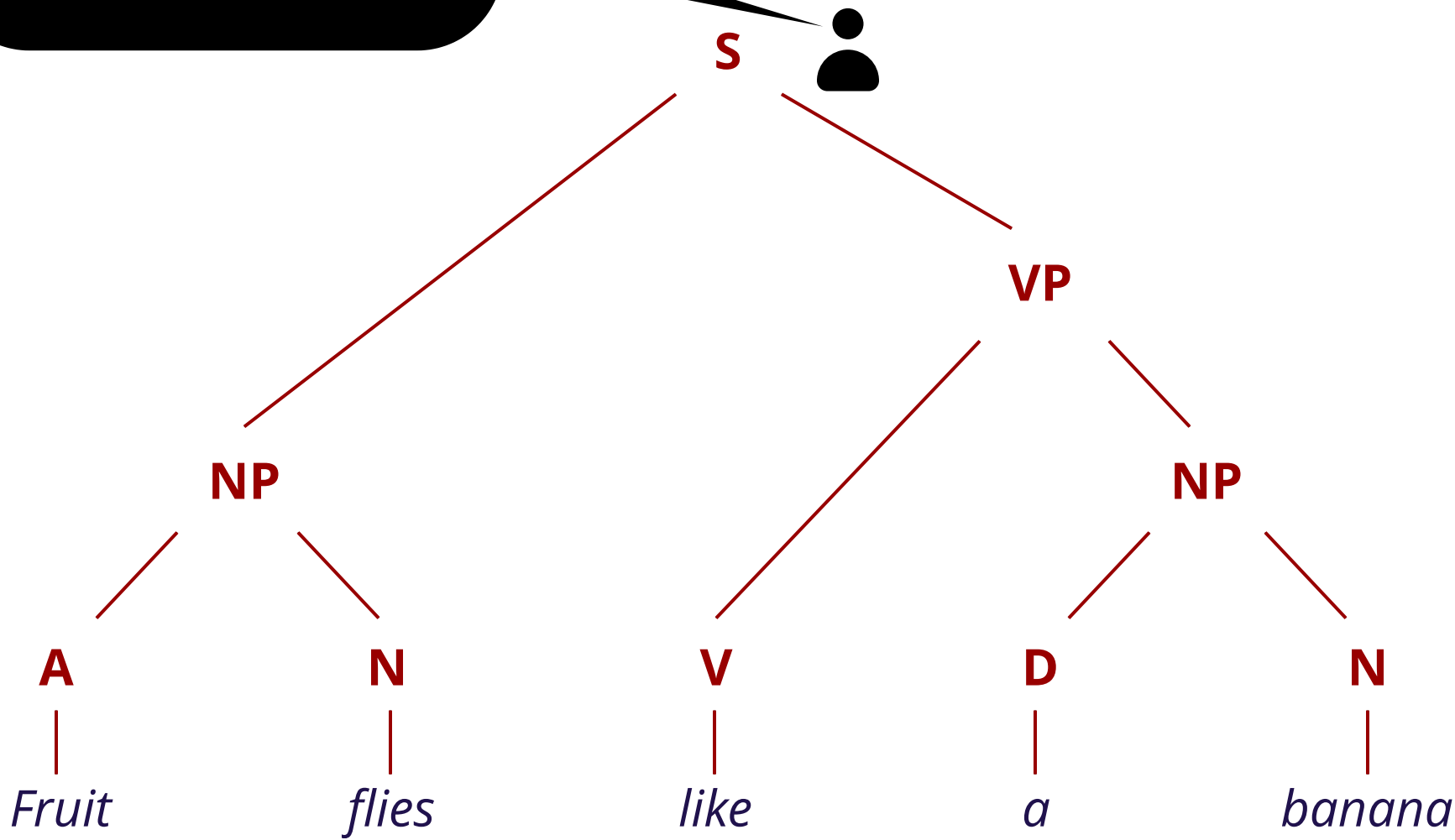


Optional

# Earley Algorithm

Now I am finally done.  
Here comes the tree for you.

**S** • [0, 5]



# Questions

**What if we have multiple valid  
parse trees? How to handle  
probabilistic rules?**

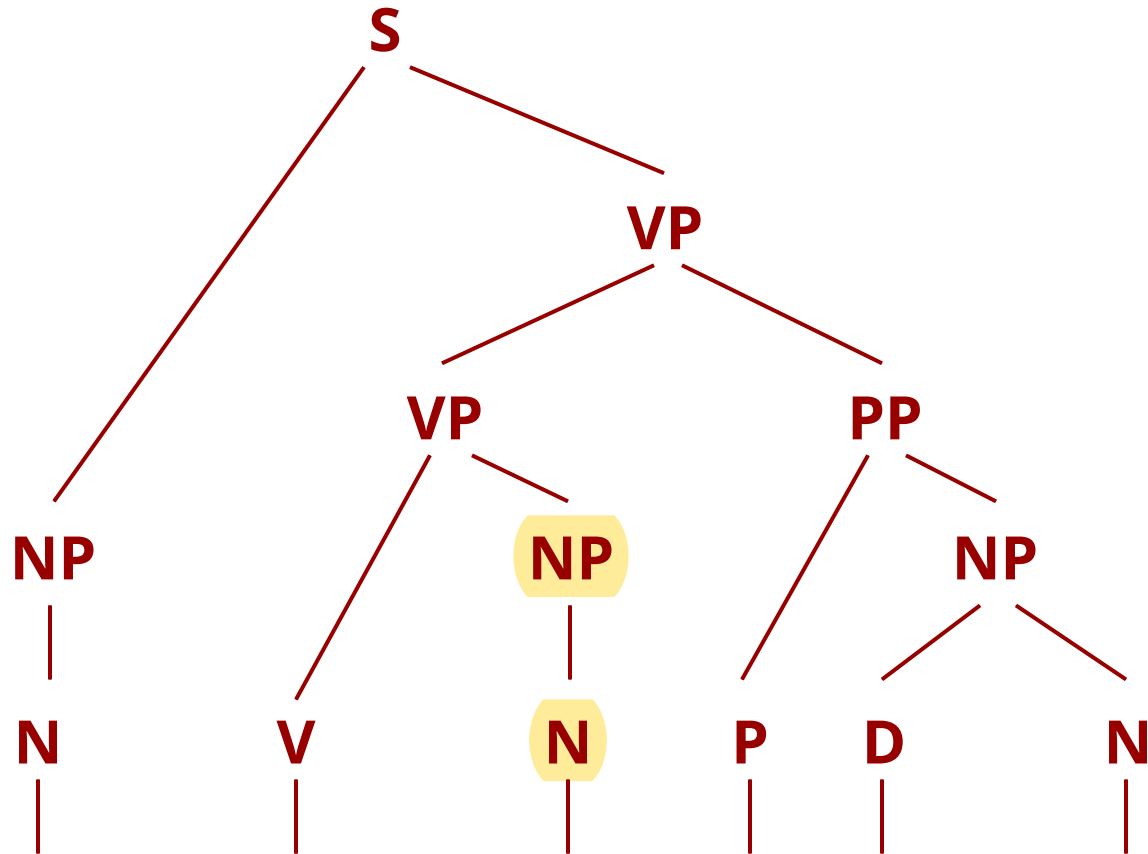
# CKY vs Earley Comparison

CKY	Earley
Bottom-up	Top-down (It builds a chart in a left-to-right, bottom-up manner, but performs prediction in a top-down manner)
More efficient if the grammar is converted into CNF	Does not require conversion of the grammar into CNF



For Earley algorithm, understanding the general procedure is sufficient, no implementation or algorithm questions will be asked in this course.

# Problems with PCFG

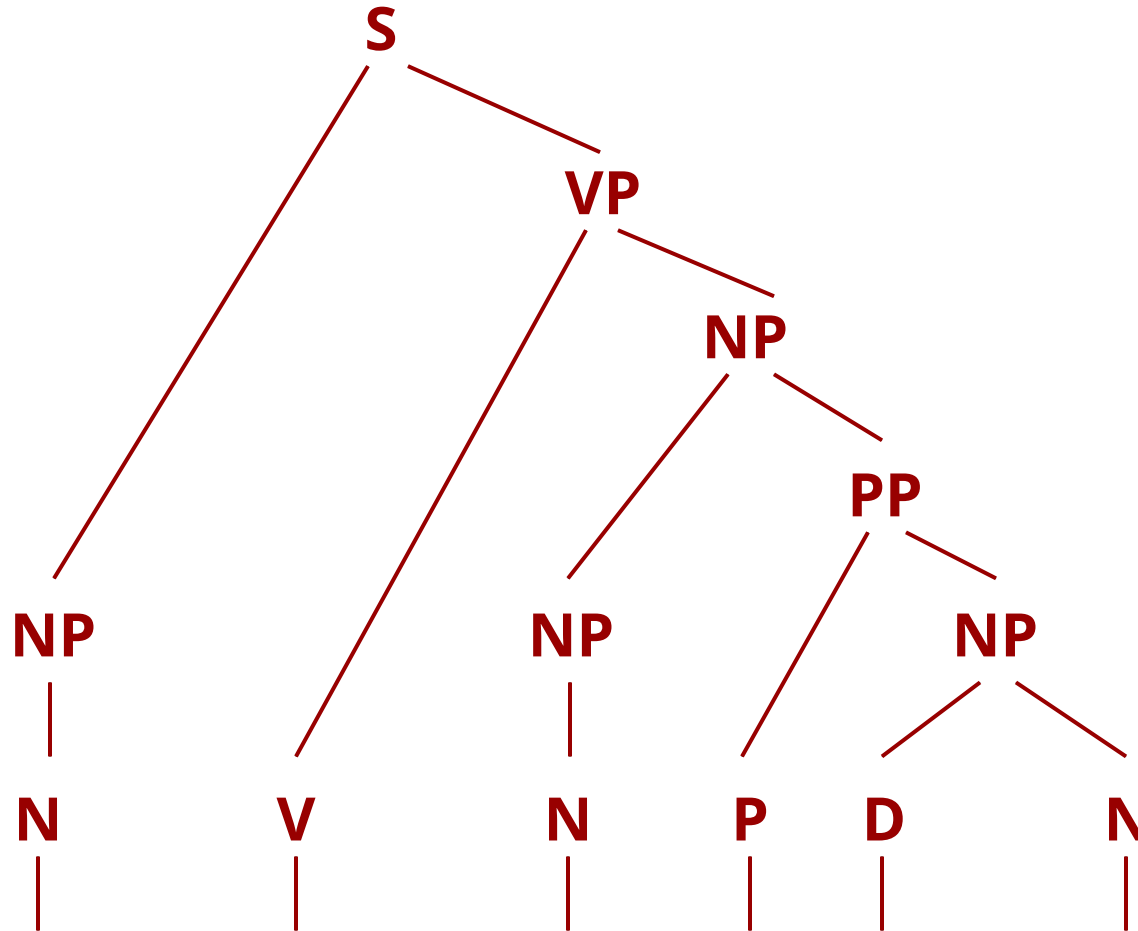


John found Mark in the kitchen



What are the grammar rules involved?

# Problems with PCFG



John found Mark in the kitchen



What are the grammar rules involved?

# Problems with PCFG

<b>S</b>	→	<b>NP VP</b>
<b>NP</b>	→	<b>N</b>
<b>VP</b>	→	<b>VP PP</b>
<b>VP</b>	→	<b>V NP</b>
<b>NP</b>	→	<b>N</b>
<b>PP</b>	→	<b>P NP</b>
<b>NP</b>	→	<b>D N</b>
<b>N</b>	→	<i>John</i>
<b>V</b>	→	<i>found</i>
<b>N</b>	→	<i>Mark</i>
<b>P</b>	→	<i>in</i>
<b>D</b>	→	<i>the</i>
<b>N</b>	→	<i>kitchen</i>

<b>S</b>	→	<b>NP VP</b>
<b>NP</b>	→	<b>N</b>
<b>NP</b>	→	<b>NP PP</b>
<b>VP</b>	→	<b>V NP</b>
<b>NP</b>	→	<b>N</b>
<b>PP</b>	→	<b>P NP</b>
<b>NP</b>	→	<b>D N</b>
<b>N</b>	→	<i>John</i>
<b>V</b>	→	<i>found</i>
<b>N</b>	→	<i>Mark</i>
<b>P</b>	→	<i>in</i>
<b>D</b>	→	<i>the</i>
<b>N</b>	→	<i>kitchen</i>

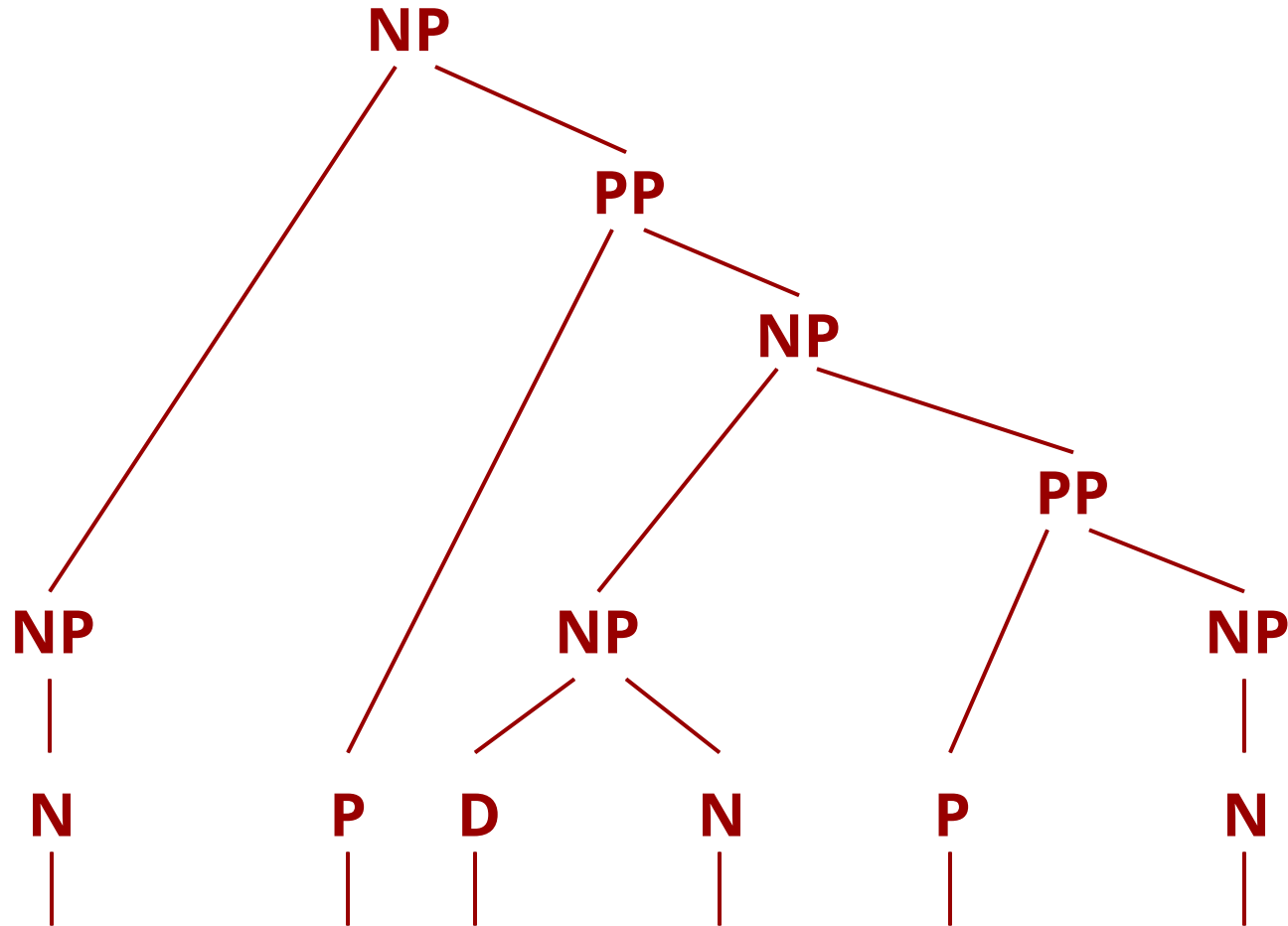
Only one rule is different, which has nothing to do with the lexical information of the sentence.

# Problems with PCFG

## Limitation 1

Lack of Sensitivity to  
**Lexical** Information

# Problems with PCFG



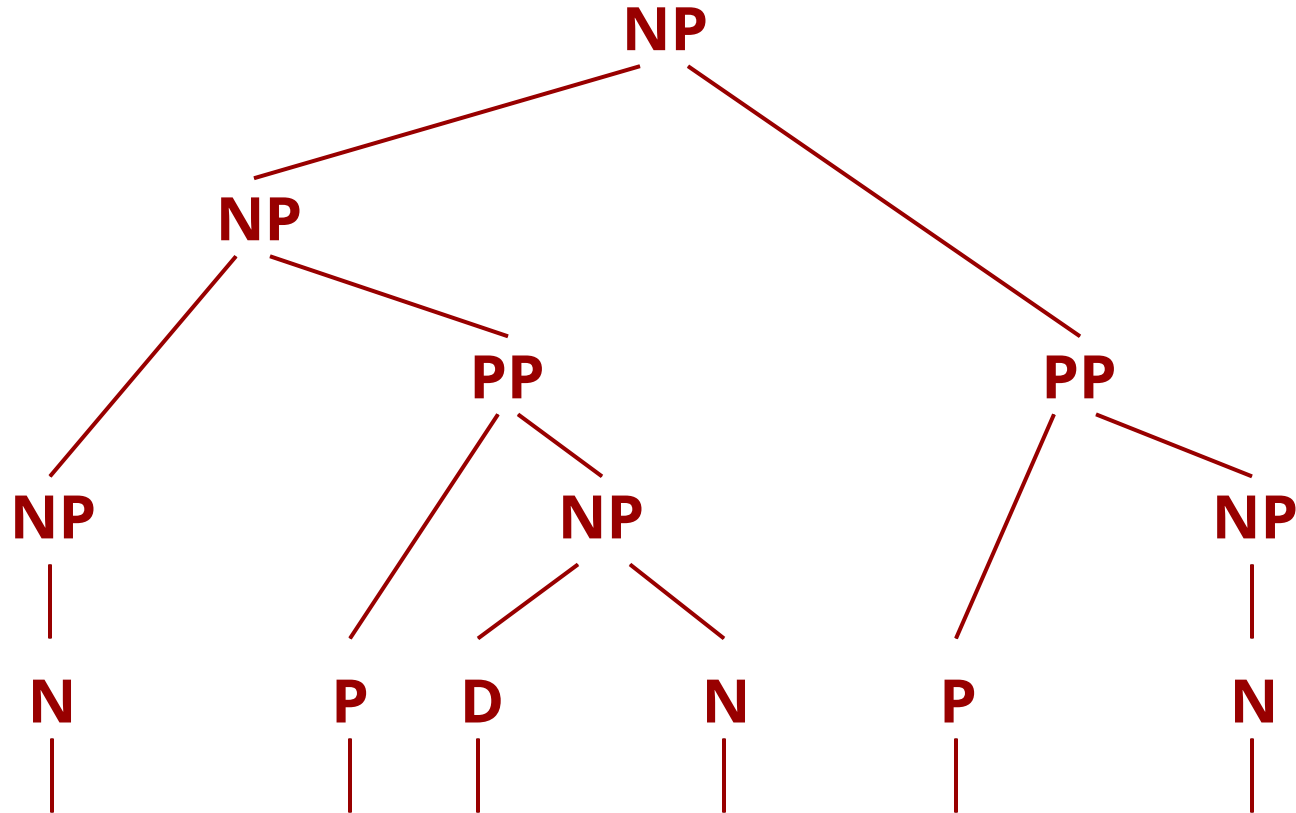
co-founder of the startup in Singapore



What are the grammar rules involved?



# Problems with PCFG



co-founder of the startup in Singapore



What about this tree? What are the rules?

# Problems with PCFG

## Limitation 1

Lack of Sensitivity to  
Lexical Information

## Limitation 2

Lack of Sensitivity to  
Structural Preference

# Lexicalized Parser (Collins, 1999)

## Head-Driven Statistical Models for Natural Language Parsing

Michael Collins\*  
MIT Artificial Intelligence Laboratory

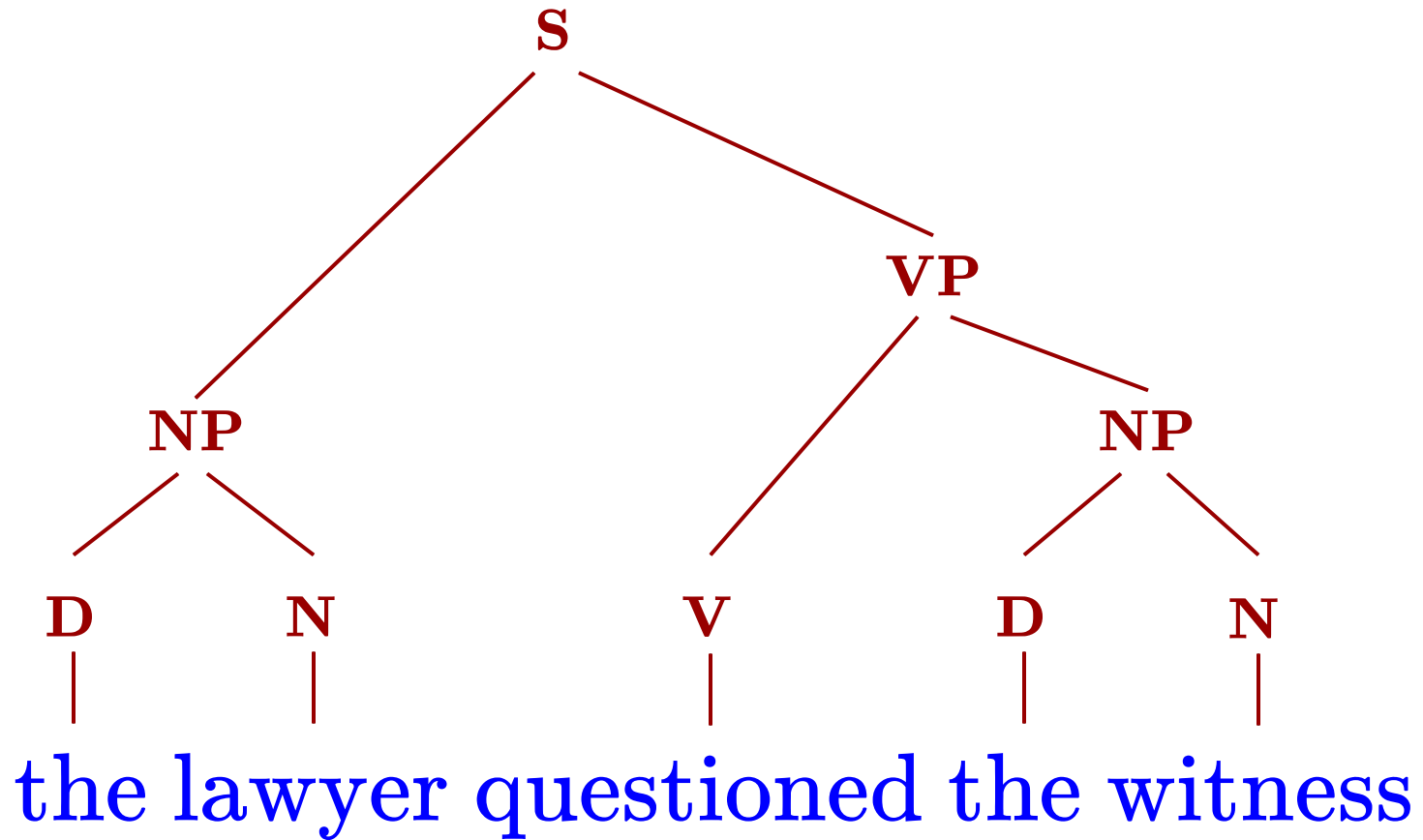
*This paper describes three statistical models for natural language parsing. The models extend methods from Probabilistic Context Free Grammars to lexicalized grammars, leading to approaches where a parse tree is represented as the sequence of decisions corresponding to a head-centered, top-down derivation of the tree. Independence assumptions then lead to parameters that encode the X-bar schema, subcategorization, ordering of complements, placement of adjuncts, bigram lexical dependencies, wh-movement, and preferences for close attachment. All of these preferences are expressed by probabilities conditioned on lexical heads. The models are evaluated on the Penn Wall Street Journal treebank, showing that their accuracy is competitive with other models in the literature. In order to gain a better understanding of the models, we also give results on different constituent types, and give a breakdown of precision/recall results in recovering various types of dependencies. We analyse various characteristics of the models through experiments on parsing accuracy, by collecting frequencies of various structures in the treebank, and through linguistically motivated examples. Finally, we compare the models to others that have been applied to parsing the treebank, aiming to give some explanation of the difference in performance of the various models.*

### 1 Introduction

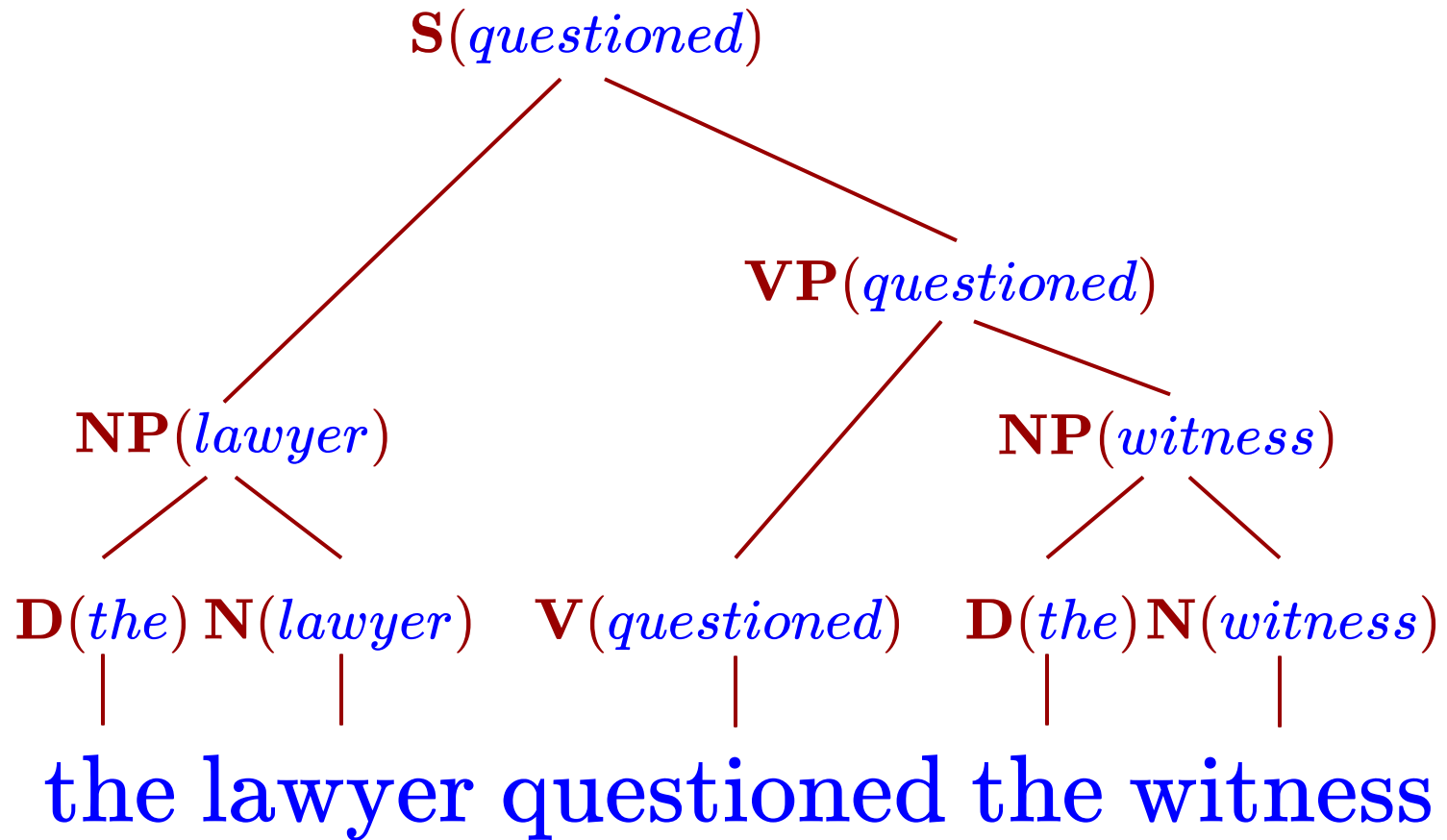
Ambiguity is a central problem in natural language parsing. Combinatorial effects mean that even relatively short sentences can receive a considerable number of parses under a wide-coverage grammar. Statistical parsing approaches tackle the ambiguity problem by assigning a probability to each parse tree, thereby ranking competing trees in order of plausibility. In many statistical models the probability for each candidate tree is calculated as a product of terms, each term corresponding to some sub-structure within the tree. The choice of *parameterization* is essentially the choice of how to represent parse trees. There are two critical questions regarding the parameterization of the problem:



# Conventional Parse Tree



# Lexicalized Parse Tree



# Lexicalized Parse Tree

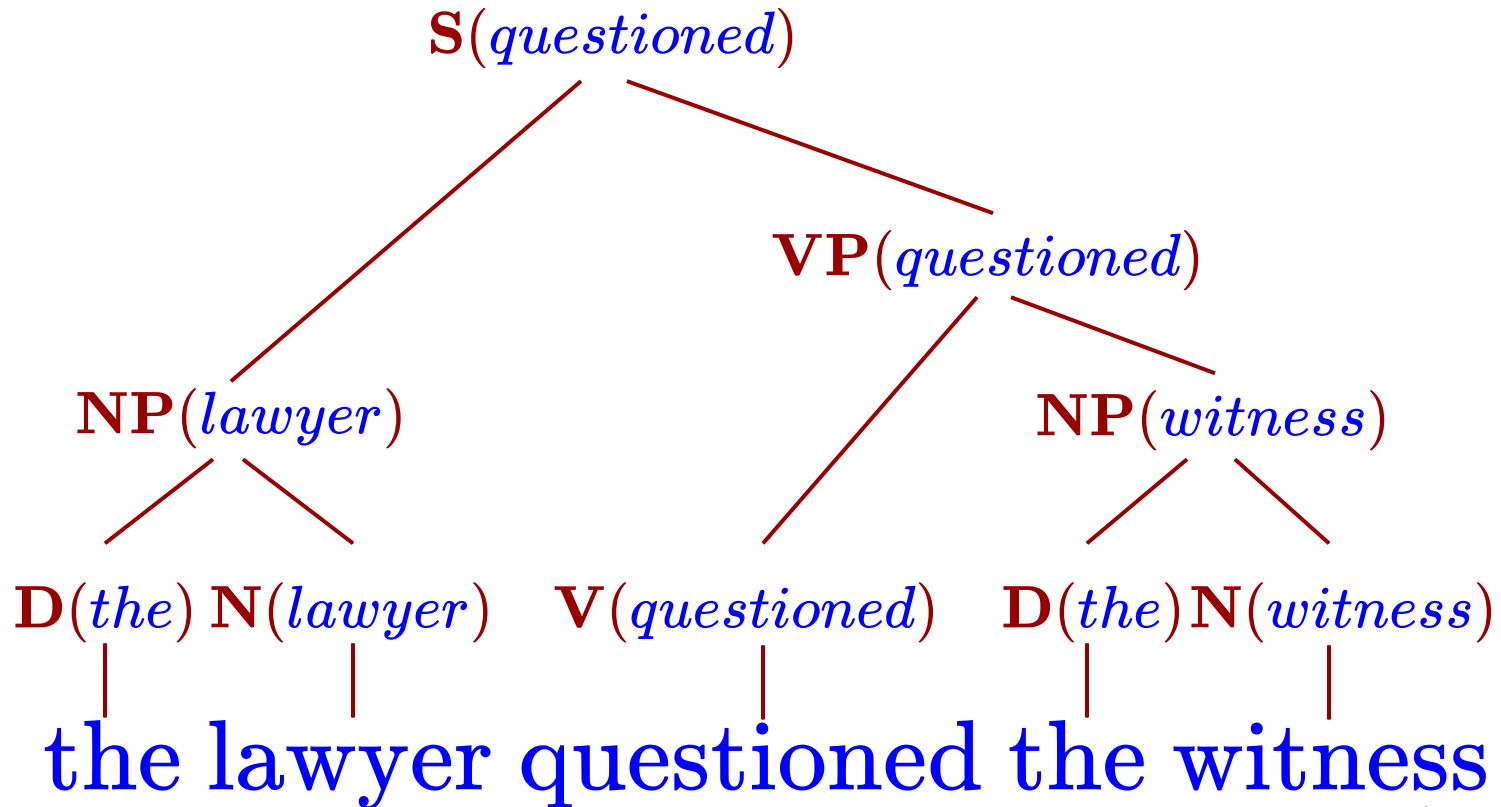
How to choose the head?



If the rule contains **N**  
    choose the rightmost **N**  
Else if the rule contains an **NP**  
    choose the leftmost **NP**  
Else if the rule contains an **A**  
    choose the rightmost **A**  
...

Example of a set of rules that  
identifies the head of any rule  
whose left-hand side is an NP

# Lexicalized Parse Tree

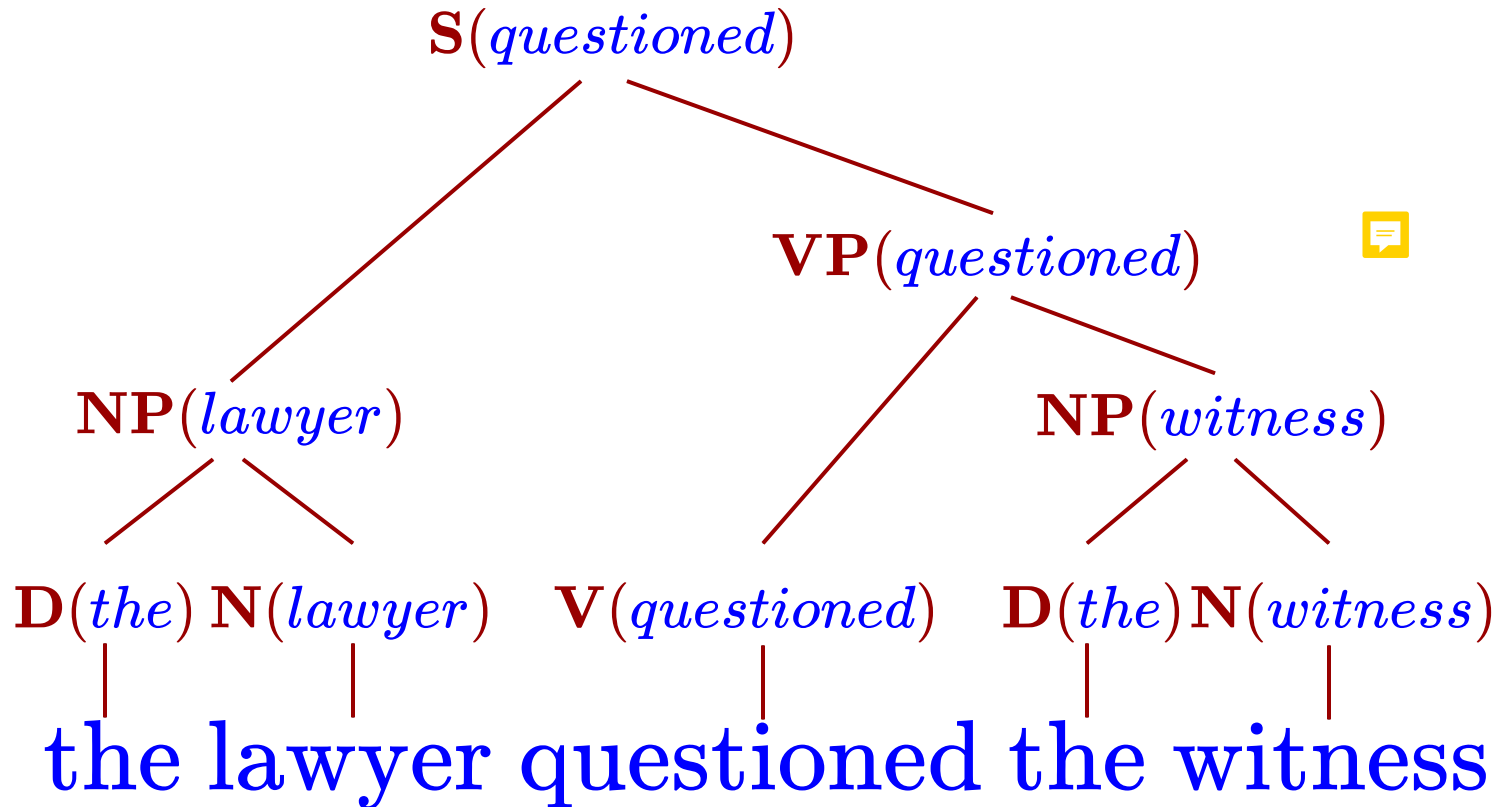


$S(\textit{questioned}) \rightarrow_2 NP(\textit{lawyer}) VP(\textit{questioned})$   
 $NP(\textit{lawyer}) \rightarrow_2 D(\textit{the}) N(\textit{lawyer})$   
 $D(\textit{the}) \rightarrow \textit{the}$   
 $N(\textit{lawyer}) \rightarrow \textit{lawyer}$

$VP(\textit{questioned}) \rightarrow_1 V(\textit{questioned}) NP(\textit{witness})$   
 $NP(\textit{witness}) \rightarrow_2 D(\textit{the}) N(\textit{witness})$   
 $D(\textit{the}) \rightarrow \textit{the}$   
 $N(\textit{witness}) \rightarrow \textit{witness}$

indicates where  
the head is from

# Lexicalized Parse Tree



$p(\mathbf{S}, \text{questioned})$   
 $\times p(\mathbf{S}(\text{questioned}) \rightarrow_2 \mathbf{NP}(\text{lawyer}) \mathbf{VP}(\text{questioned}))$   
 $\times p(\mathbf{NP}(\text{lawyer}) \rightarrow_2 \mathbf{D}(\text{the}) \mathbf{N}(\text{lawyer}))$   
 $\times p(\mathbf{D}(\text{the}) \rightarrow \text{the}) \times p(\mathbf{N}(\text{lawyer}) \rightarrow \text{lawyer})$   
 $\times p(\mathbf{VP}(\text{questioned}) \rightarrow_1 \mathbf{V}(\text{questioned}) \mathbf{NP}(\text{witness}))$   
 $\times p(\mathbf{V}(\text{questioned}) \rightarrow \text{questioned})$   
 $\times p(\mathbf{NP}(\text{witness}) \rightarrow_2 \mathbf{D}(\text{the}) \mathbf{N}(\text{witness}))$   
 $\times p(\mathbf{D}(\text{the}) \rightarrow \text{the}) \times p(\mathbf{N}(\text{witness}) \rightarrow \text{witness})$

Learning of the parameters can be done similar to that of conventional PCFG. Some smoothing may be helpful.



# Question

When you say a lexicalized parser can be more effective, what do you mean by "effective"?

Need a benchmark dataset  
and evaluation metrics!

# Penn Treebank (Marcus et al, 1993)

## Building a Large Annotated Corpus of English: The Penn Treebank

Mitchell P. Marcus\*  
University of Pennsylvania

Mary Ann Marcinkiewicz†  
University of Pennsylvania

Beatrice Santorini†  
Northwestern University

### 1. Introduction

There is a growing consensus that significant, rapid progress can be made in both text understanding and spoken language understanding by investigating those phenomena that occur most centrally in naturally occurring unconstrained materials and by attempting to automatically extract information about language from very large corpora. Such corpora are beginning to serve as important research tools for investigators in natural language processing, speech recognition, and integrated spoken language systems, as well as in theoretical linguistics. Annotated corpora promise to be valuable for enterprises as diverse as the automatic construction of statistical models for the grammar of the written and the colloquial spoken language, the development of explicit formal theories of the differing grammars of writing and speech, the investigation of prosodic phenomena in speech, and the evaluation and comparison of the adequacy of parsing models.

In this paper, we review our experience with constructing one such large annotated corpus—the Penn Treebank, a corpus<sup>1</sup> consisting of over 4.5 million words of American English. During the first three-year phase of the Penn Treebank Project (1989–1992), this corpus has been annotated for part-of-speech (POS) information. In addition, over half of it has been annotated for skeletal syntactic structure. These materials are available to members of the Linguistic Data Consortium; for details, see Section 5.1.



The standard benchmark used  
for parsing. A remarkable  
contribution to NLP.

# Penn Treebank



Student of Marcus

1. CC	Coordinating conjunction	25. TO	to
2. CD	Cardinal number	26. UH	Interjection
3. DT	Determiner	27. VB	Verb, base form
4. EX	Existential <i>there</i>	28. VBD	Verb, past tense
5. FW	Foreign word	29. VBG	Verb, gerund/present participle
6. IN	Preposition/subordinating conjunction	30. VBN	Verb, past participle
7. JJ	Adjective	31. VBP	Verb, non-3rd ps. sing. present
8. JJR	Adjective, comparative	32. VBZ	Verb, 3rd ps. sing. present
9. JJS	Adjective, superlative	33. WDT	<i>wh</i> -determiner
10. LS	List item marker	34. WP	<i>wh</i> -pronoun
11. MD	Modal	35. WP\$	Possessive <i>wh</i> -pronoun
12. NN	Noun, singular or mass	36. WRB	<i>wh</i> -adverb
13. NNS	Noun, plural	37. #	Pound sign
14. NNP	Proper noun, singular	38. \$	Dollar sign
15. NNPS	Proper noun, plural	39. .	Sentence-final punctuation
16. PDT	Predeterminer	40. ,	Comma
17. POS	Possessive ending	41. :	Colon, semi-colon
18. PRP	Personal pronoun	42. (	Left bracket character
19. PP\$	Possessive pronoun	43. )	Right bracket character
20. RB	Adverb	44. "	Straight double quote
21. RBR	Adverb, comparative	45. '	Left open single quote
22. RBS	Adverb, superlative	46. "	Left open double quote
23. RP	Particle	47. '	Right close single quote
24. SYM	Symbol (mathematical or scientific)	48. "	Right close double quote

The POS  
Tagset

Battle-tested/NNP\*/JJ industrial/JJ managers/NNS here/RB  
always/RB buck/VB\*/VBP up/IN\*/RP nervous/JJ newcomers/NNS with/IN  
the/DT tale/NN of/IN the/DT first/JJ of/IN their/PP\$ countrymen/NNS to/TO  
visit/VB Mexico/NNP ,/, a/DT boatload/NN of/IN samurai/NNS\*/FW  
warriors/NNS blown/VBN ashore/RB 375/CD years/NNS ago/RB ./.

"/" From/IN the/DT beginning/NN ,/, it/PRP took/VBD a/DT man/NN  
with/IN extraordinary/JJ qualities/NNS to/TO succeed/VB in/IN Mexico/NNP ,/,  
"/" says/VBZ Kimihide/NNP Takimura/NNP ,/, president/NN of/IN  
Mitsui/NNS\*/NNP group/NN 's/POS Kensetsu/NNP Engineering/NNP Inc./NNP  
unit/NN ./.

Sample POS-  
Tagged Text

# Penn Treebank

- |            |  |
|------------|--|
| 1. ADJP    | Adjective phrase   |
| 2. ADVP    | Adverb phrase  |
| 3. NP      | Noun phrase  |
| 4. PP      | Prepositional phrase   |
| 5. S       | Simple declarative clause  |
| 6. SBAR    | Clause introduced by subordinating conjunction or <i>0</i> (see below) |
| 7. SBARQ   | Direct question introduced by <i>wh</i> -word or <i>wh</i> -phrase     |
| 8. SINV    | Declarative sentence with subject-aux inversion                        |
| 9. SQ      | Subconstituent of SBARQ excluding <i>wh</i> -word or <i>wh</i> -phrase |
| 10. VP     | Verb phrase  |
| 11. WHADVP | <i>wh</i> -adverb phrase   |
| 12. WHNP   | <i>wh</i> -noun phrase   |
| 13. WHPP   | <i>wh</i> -prepositional phrase  |
| 14. X      | Constituent of unknown or uncertain category                           |

## Null elements

- |        |   |
|--------|---|
| 1. *   | "Understood" subject of infinitive or imperative                        |
| 2. 0   | Zero variant of <i>that</i> in subordinate clauses                      |
| 3. T   | Trace—marks position where moved <i>wh</i> -constituent is interpreted  |
| 4. NIL | Marks position where preposition is interpreted in pied-piping contexts |



Student of Marcus

## Syntactic Tagset

```
( (S
  (NP Battle-tested industrial managers
    here)
  always
  (VP buck
    up
    (NP nervous newcomers)
    (PP with
      (NP the tale
        (PP of
          (NP (NP the
            (ADJP first
              (PP of
                (NP their countrymen)))
            (S (NP *)
              to
              (VP visit
                (NP Mexico))))
            ,
            (NP (NP a boatload
              (PP of
                (NP (NP warriors)
                  (VP-1 blown
                    ashore
                    (ADVP (NP 375 years)
                      ago))))
                (VP-1 *pseudo-attach*)))))
          .)
        )
      )
    )
  )
)
```

## Example Parse Tree

# Penn Treebank

Mitchell P. Marcus et al.

Building a Large Annotated Corpus of English

**Table 4**  
Penn Treebank (as of 11/92).

Description	Tagged for Part-of-Speech (Tokens)	Skeletal Parsing (Tokens)
Dept. of Energy abstracts	231,404	231,404
Dow Jones Newswire stories	3,065,776	1,061,166
Dept. of Agriculture bulletins	78,555	78,555
Library of America texts	105,652	105,652
MUC-3 messages	111,828	111,828
IBM Manual sentences	89,121	89,121
WBUR radio transcripts	11,589	11,589
ATIS sentences	19,832	19,832
Brown Corpus, retagged	1,172,041	1,172,041
<b>Total:</b>	<b>4,885,798</b>	<b>2,881,188</b>

Statistics for the initial  
version released in 1992.

Penn Treebank version 3.0 was released in 1999.

# Evaluation Metrics

How shall we understand  
the amount of mistakes a  
parser makes?



Remember what we did in the ML project?

# Evaluation Metrics

## Recall

The percentage of desired predictions recovered

$$R = \frac{\# \text{ of correct constituents in the predicted parse tree}}{\# \text{ of correct constituents in the gold parse tree}}$$

A constituent is regarded as correct if and only if its non-terminal, starting point and ending point are exactly the same as those of the gold constituent.

# Evaluation Metrics

## *Precision*

The percentage of  
predictions that are good

$$P = \frac{\# \text{ of correct constituents in the predicted parse tree}}{\# \text{ of total constituents in the predicted parse tree}}$$



# Evaluation Metrics

## *F-measure*

A metric that cares about both Precision and Recall

$$F_{\beta} = \frac{(\beta^2 + 1)PR}{\beta^2 P + R}$$

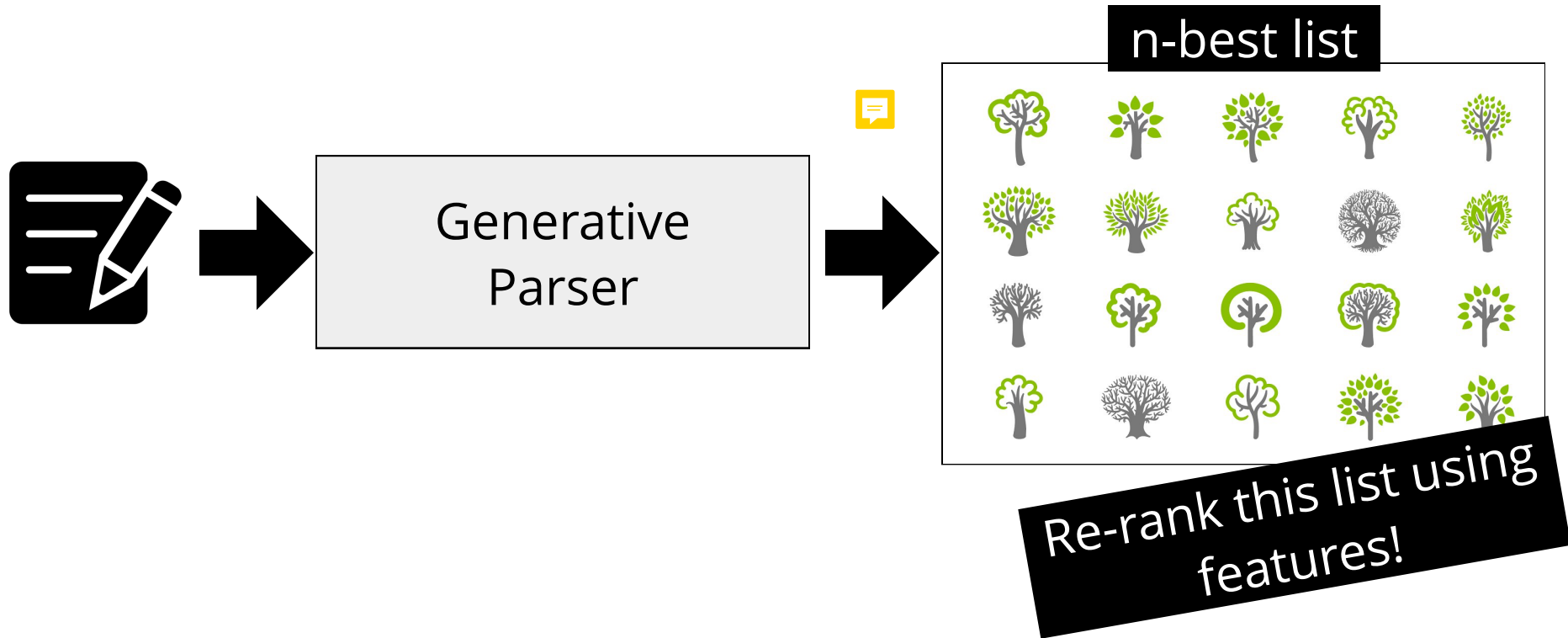
$$F_1 = \frac{2}{\frac{1}{P} + \frac{1}{R}}$$

**F<sub>1</sub> measure is commonly used**

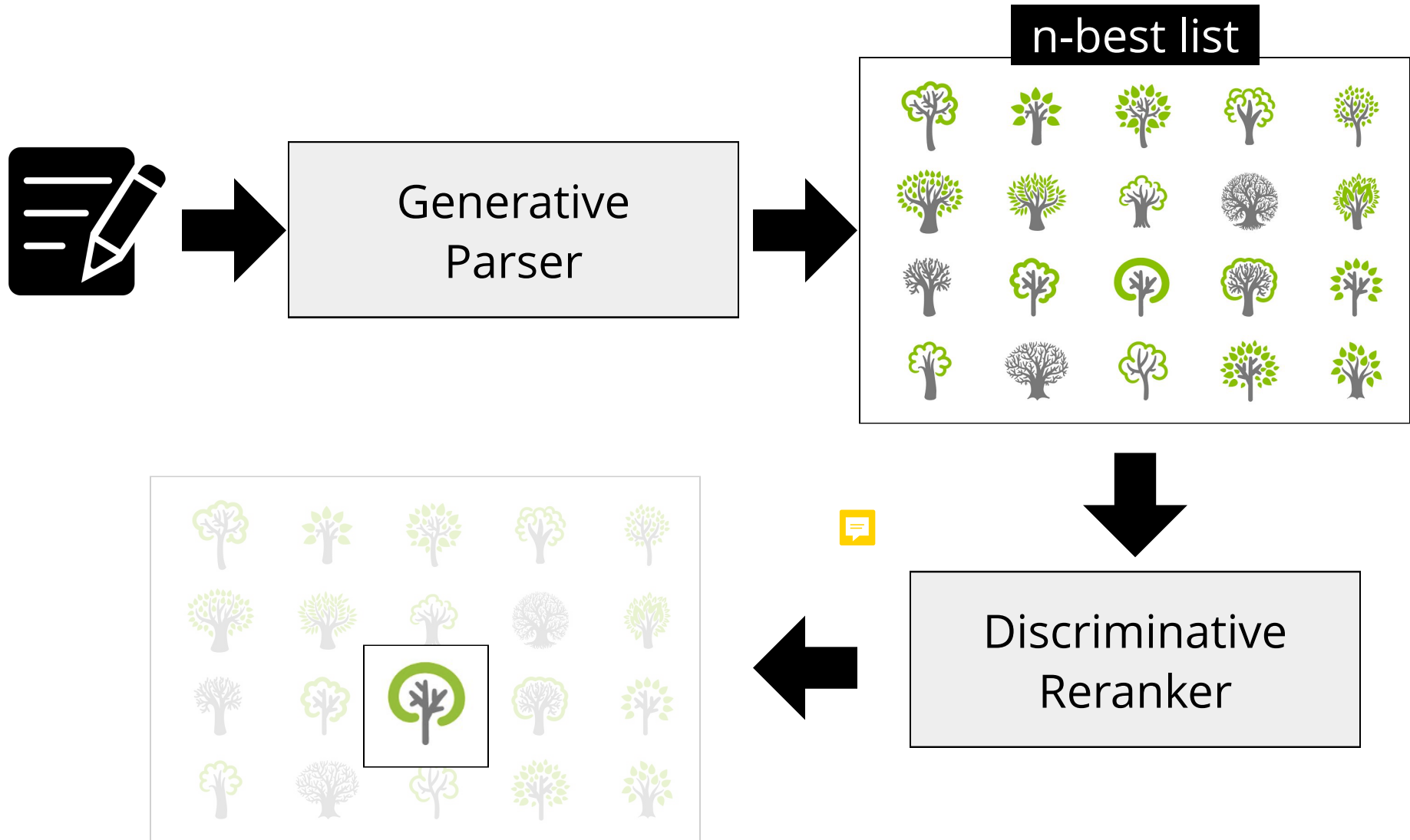
# Question

Is it possible to adopt a  
discriminative approach to  
parsing?

# Discriminative Parsing

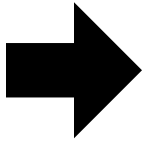


# Discriminative Parsing

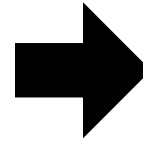


# Discriminative Parsing

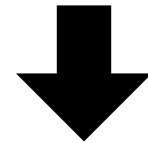
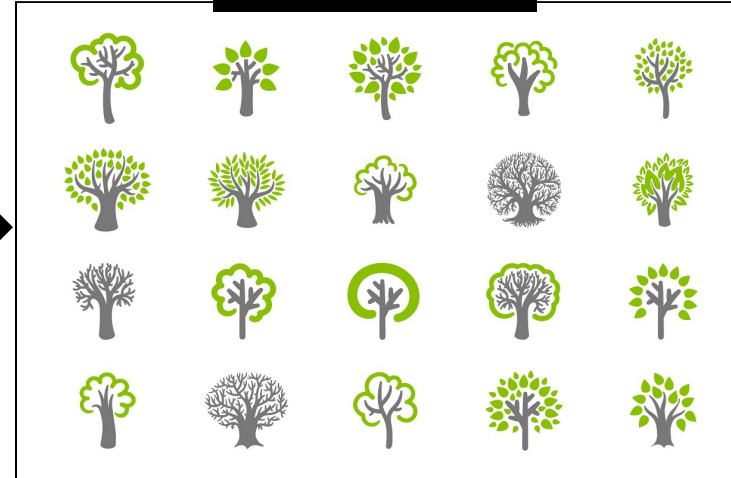
Extended CKY



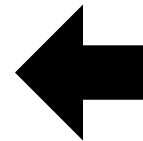
Generative  
Parser



n-best list

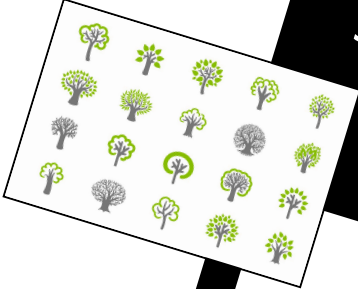


Discriminative  
Reranker



# Discriminative Parsing reranking n-best list

  
The best candidate tree

  
Some candidate trees  
produced by the  
generative model

$$\hat{y}_i \leftarrow \arg \max_{y \in \text{GEN}(x_i)} \mathbf{f}(x_i, y) \cdot \theta$$

if  $\hat{y}_i \neq y_i$  then

$$\theta \leftarrow \theta + \mathbf{f}(x_i, y_i) - \mathbf{f}(x_i, \hat{y}_i)$$

# Discriminative Parsing

## reranking n-best list

1. Learn a generative model.
2. Use an extended CKY algorithm to produce the n-best trees based on the generative model for each input sentence  $x_i$ .  
This n-best list is  $\text{GEN}(x_i)$ .
3. Use the structured perceptron algorithm to re-rank the list based on features defined over the parse trees.

↑  
You may define some non-local, arbitrary features here!

# Discriminative Parsing

## reranking n-best list

1. Learn a generative model.
2. Use an extended CKY algorithm to produce the n-best trees based on the generative model for each input sentence  $x_i$ .  
This n-best list is  $\text{GEN}(x_i)$ .
3. Use the structured perceptron algorithm to re-rank the list based on features defined over the parse trees.




It seems this approach depends on the n-best list. What if the desired/gold parse tree does not appear in the n-best list?



# Discriminative Parsing with all possible trees

Can we search in the  
complete structured  
space efficiently?



$$\hat{\mathbf{y}}_i \leftarrow \arg \max_{\mathbf{y} \in \text{GEN}(x_i)} \mathbf{f}(\mathbf{x}_i, \mathbf{y}) \cdot \boldsymbol{\theta}$$

if  $\hat{\mathbf{y}}_i \neq \mathbf{y}_i$  then

$$\boldsymbol{\theta} \leftarrow \boldsymbol{\theta} + \mathbf{f}(\mathbf{x}_i, \mathbf{y}_i) - \mathbf{f}(\mathbf{x}_i, \hat{\mathbf{y}}_i)$$

# Discriminative Parsing with all possible trees

Yes! You may just design "local features" here, similar to what we did for sequence modeling. Then you may use CKY algorithm to find the most probable parse.

$$\hat{\mathbf{y}}_i \leftarrow \arg \max_{\mathbf{y} \in \text{GEN}(\mathbf{x}_i)} \mathbf{f}(\mathbf{x}_i, \mathbf{y}) \cdot \boldsymbol{\theta}$$

if  $\hat{\mathbf{y}}_i \neq \mathbf{y}_i$  then

$$\boldsymbol{\theta} \leftarrow \boldsymbol{\theta} + \mathbf{f}(\mathbf{x}_i, \mathbf{y}_i) - \mathbf{f}(\mathbf{x}_i, \hat{\mathbf{y}}_i)$$

# Discriminative Parsing with all possible trees

Since you can do so using structured perceptron, can we also use the **CRF** based approach?

$$\hat{\mathbf{y}}_i \leftarrow \arg \max_{\mathbf{y} \in \text{GEN}(\mathbf{x}_i)} \mathbf{f}(\mathbf{x}_i, \mathbf{y}) \cdot \boldsymbol{\theta}$$

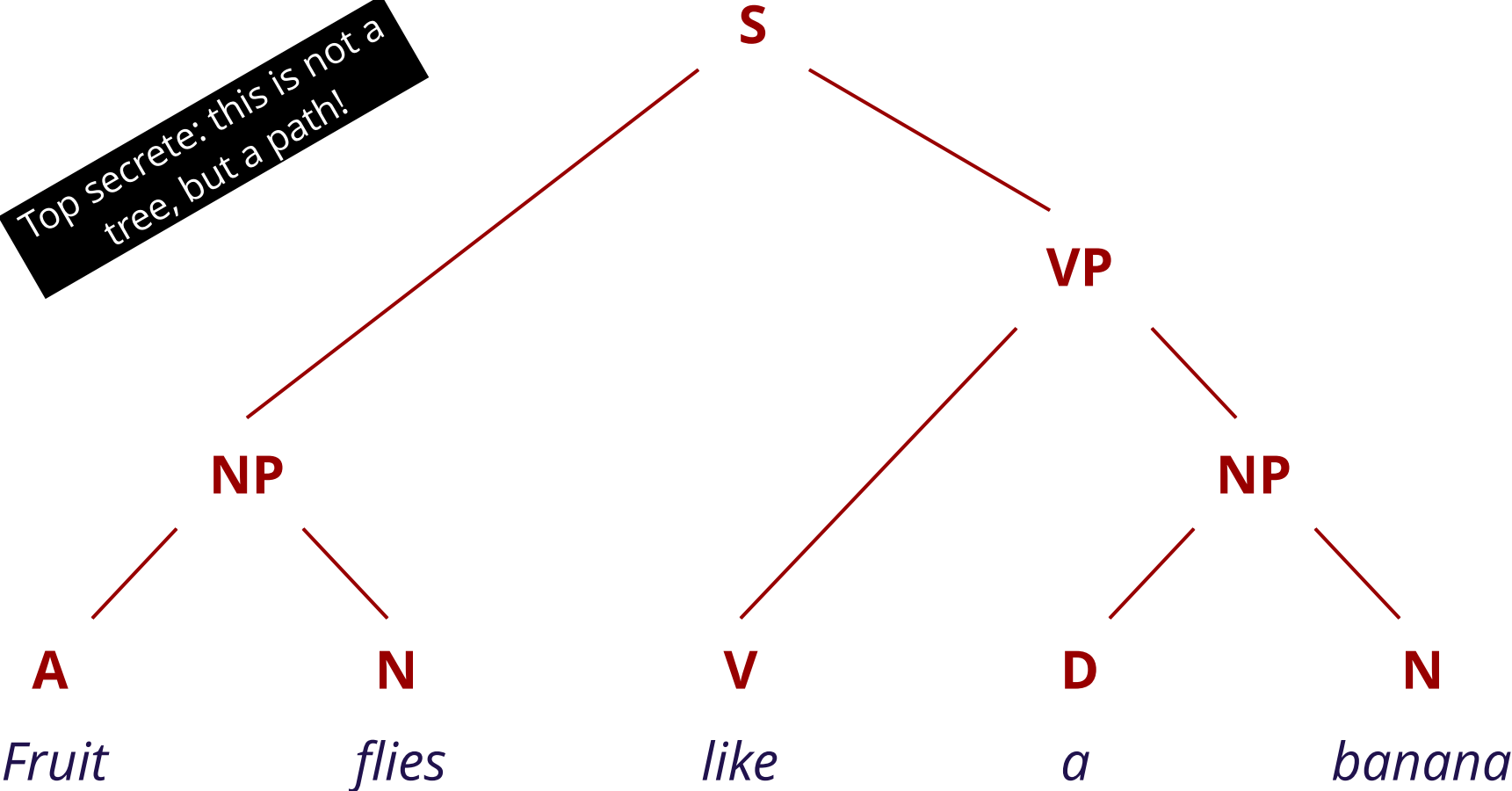
if  $\hat{\mathbf{y}}_i \neq \mathbf{y}_i$  then

$$\boldsymbol{\theta} \leftarrow \boldsymbol{\theta} + \mathbf{f}(\mathbf{x}_i, \mathbf{y}_i) - \mathbf{f}(\mathbf{x}_i, \hat{\mathbf{y}}_i)$$

Optional

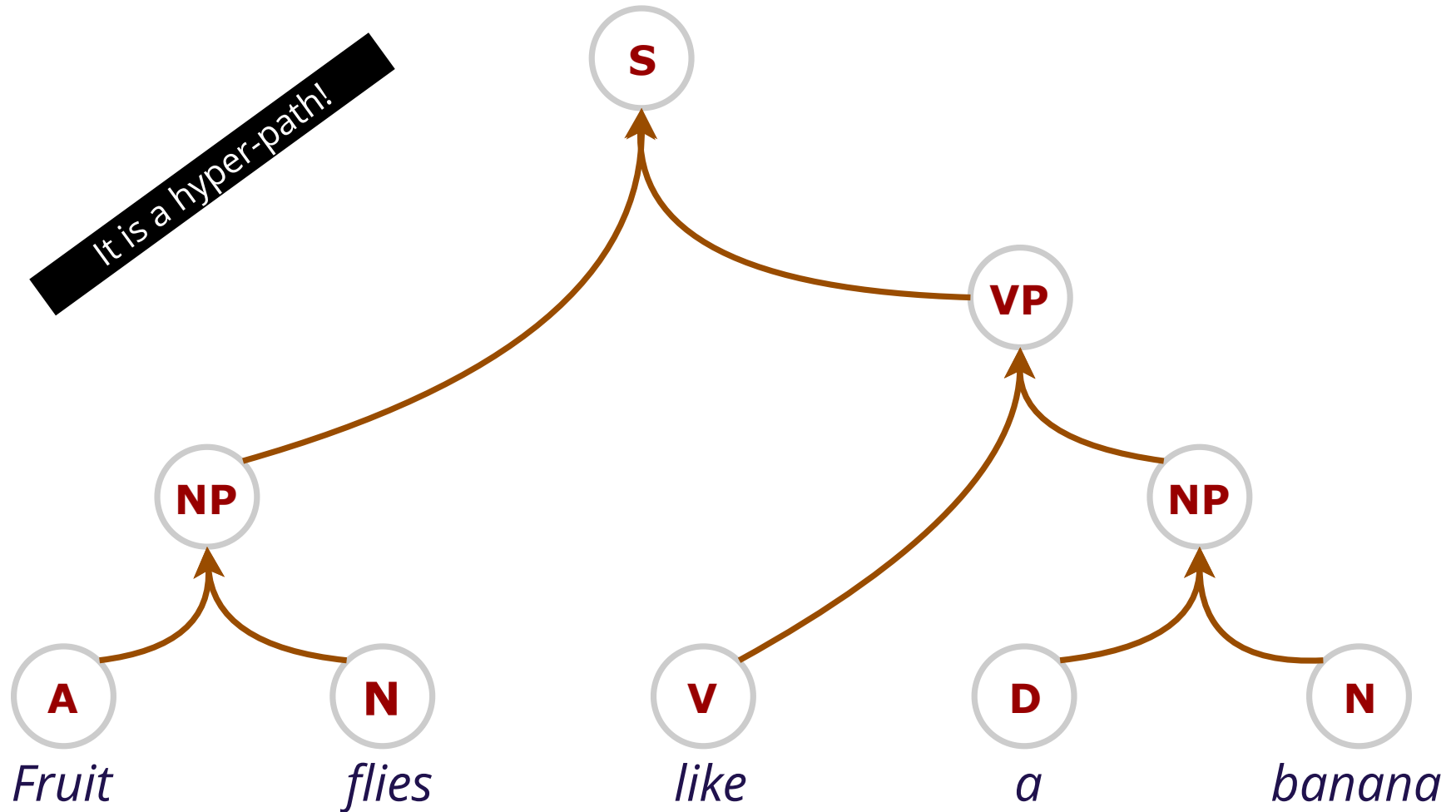
# Parsing CRF

Top secrete: this is not a tree, but a path!



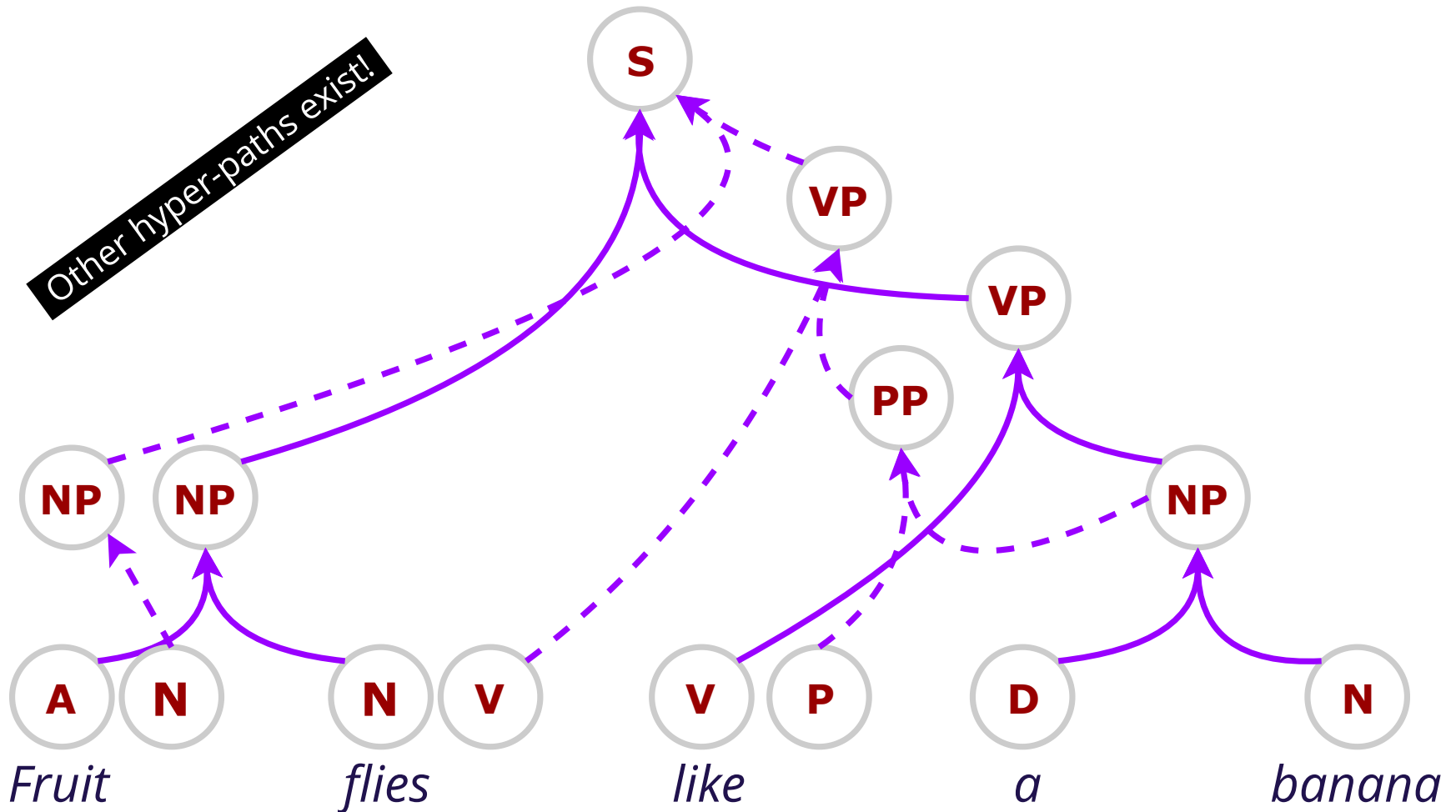
Optional

# Parsing CRF



Optional

# Parsing CRF



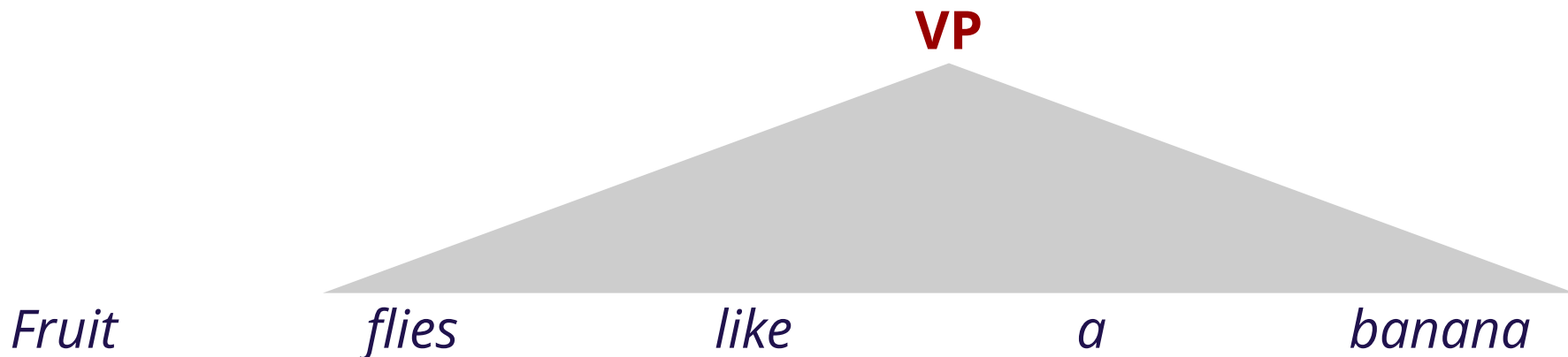
# Inside Algorithm

**VP**  $\rightarrow$  **V** **NP** (0.29)

**VP**  $\rightarrow$  **V** **VP** (0.12)

**VP**  $\rightarrow$  **V** **PP** (0.08)

$$\text{bestScore}[1, 5, \mathbf{VP}] = \max \{ \max_{1 \leq k \leq 4} (0.29 \times \text{bestScore}[1, k, \mathbf{V}] \times \text{bestScore}[k, 5, \mathbf{NP}]), \\ \max_{1 \leq k \leq 4} (0.12 \times \text{bestScore}[1, k, \mathbf{V}] \times \text{bestScore}[k, 5, \mathbf{VP}]), \\ \max_{1 \leq k \leq 4} (0.08 \times \text{bestScore}[1, k, \mathbf{V}] \times \text{bestScore}[k, 5, \mathbf{PP}]) \}$$

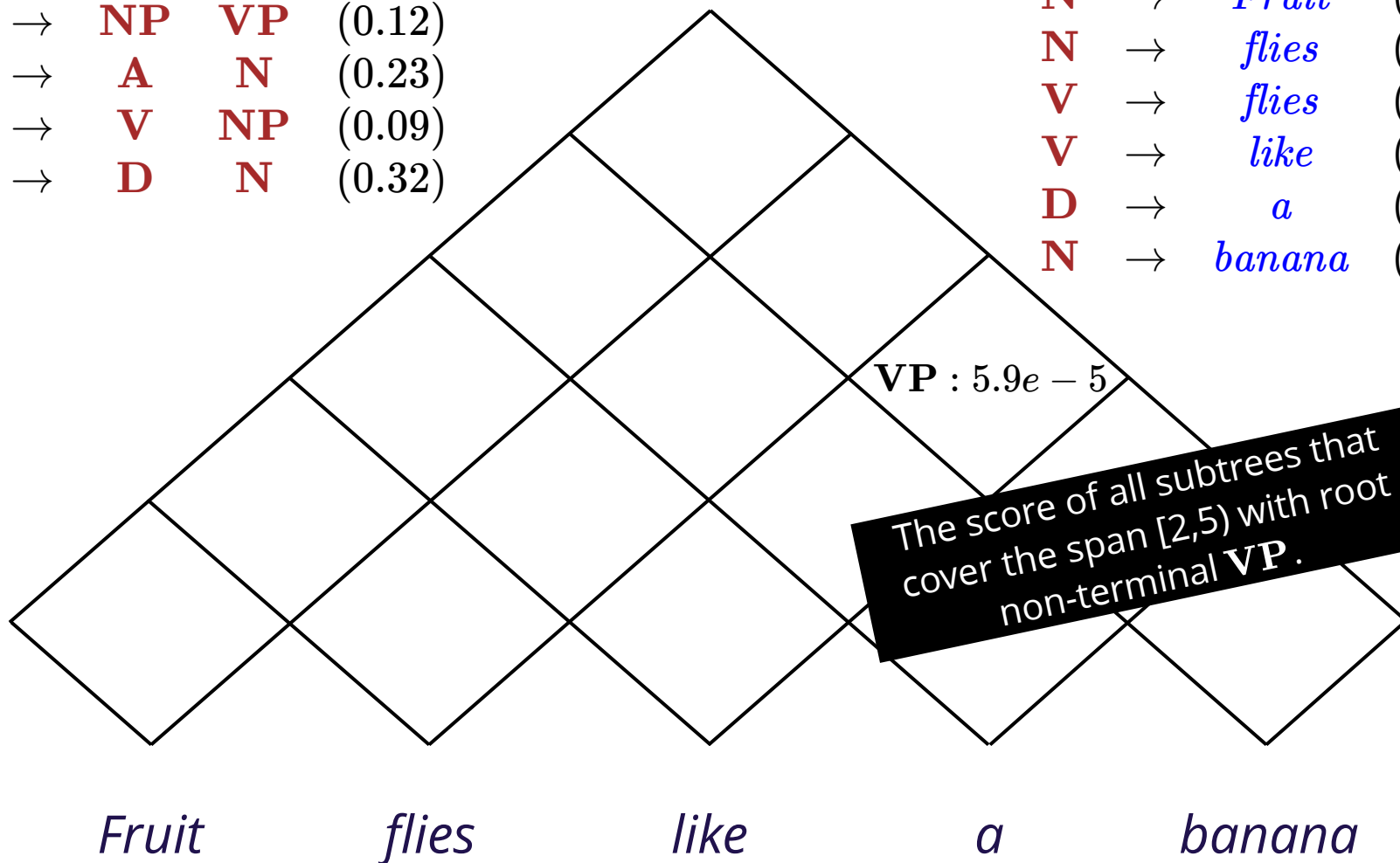
$$\text{totalScore}[1, 5, \mathbf{VP}] = \sum_{1 \leq k \leq 4} (0.29 \times \text{totalScore}[1, k, \mathbf{V}] \times \text{totalScore}[k, 5, \mathbf{NP}]) \\ + \sum_{1 \leq k \leq 4} (0.12 \times \text{totalScore}[1, k, \mathbf{V}] \times \text{totalScore}[k, 5, \mathbf{VP}]) \\ + \sum_{1 \leq k \leq 4} (0.08 \times \text{totalScore}[1, k, \mathbf{V}] \times \text{totalScore}[k, 5, \mathbf{PP}])$$


Optional

# Inside Algorithm

**S** → **NP** **VP** (0.12)  
**NP** → **A** **N** (0.23)  
**VP** → **V** **NP** (0.09)  
**NP** → **D** **N** (0.32)

**A** → *Fruit* (0.221)  
**N** → *Fruit* (0.711)  
**N** → *flies* (0.112)  
**V** → *flies* (0.291)  
**V** → *like* (0.108)  
**D** → *a* (0.209)  
**N** → *banana* (0.089)





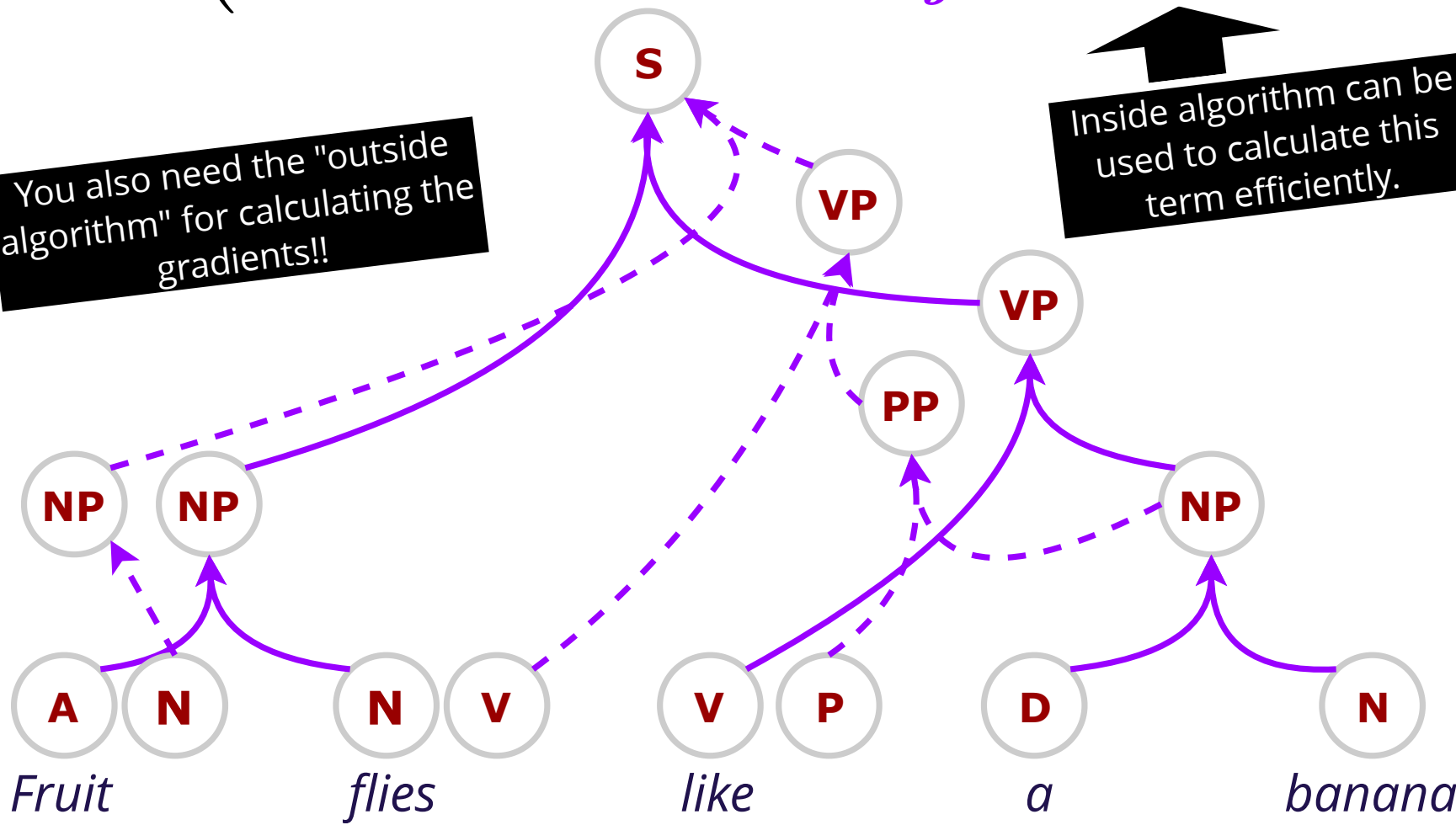
Optional

# Inside Algorithm

$$\min_{\theta} \sum_i \left( -\mathbf{f}(\mathbf{x}_i, \mathbf{y}_i) \cdot \theta + \log \sum_y \exp(\mathbf{f}(\mathbf{x}_i, \mathbf{y}) \cdot \theta) \right)$$

You also need the "outside algorithm" for calculating the gradients!!

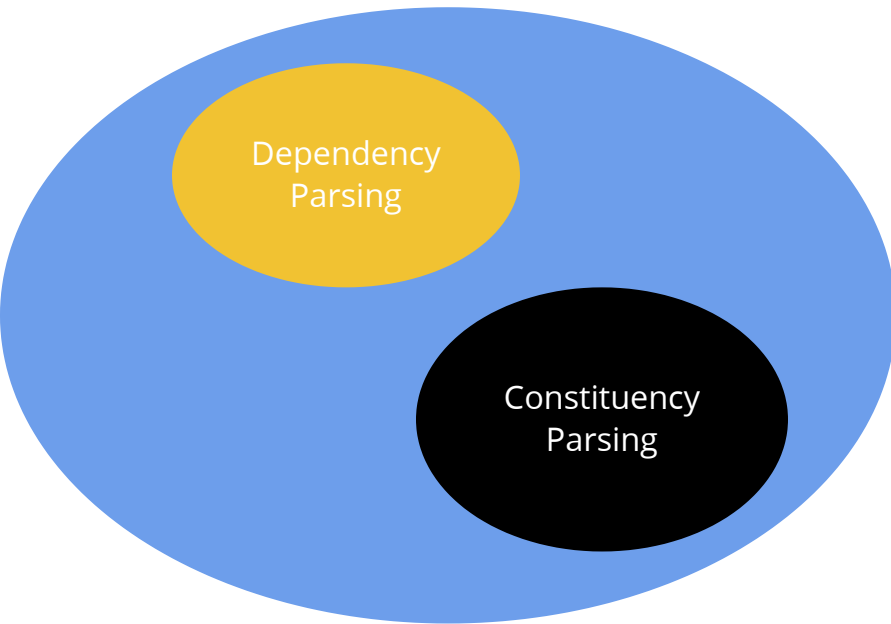
Inside algorithm can be used to calculate this term efficiently.



# Discriminative Parsing Summary

Approaches	Pros	Cons
Discriminative Reranking	Easy to implement, able to exploit arbitrary, global features	There is no guarantee the desired output is within n-best list
Global Discriminative Models (Structured Perceptron, Parsing CRF ...)	Able to consider all possible structures, without relying on n-best list from the generative model	Must design local features so as to perform dynamic programming

# Syntactic Parsing



**Syntactic Parsing**

## Techniques

Probabilistic (lexicalized) CFG

~~Adapter Grammars~~

Discriminative Rerankers

~~Parsing CRF~~

~~Max-margin/Perceptron Parser~~

Shift-Reduce/Transition-based Parser

# Structured Prediction

