# 50.040 Natural Language Processing

Lu, Wei



#### Tasks in NLP

**POS Tagging** Chunking **Document Classification** Information Extraction Syntactic Parsing Semantic Parsing Natural Language Generation **Machine Translation** Sentiment Analysis Coreference Resolution **Question Answering** 

Word Clusters
GloVe, word2vec
Topic Modeling
Language Modeling

ELMo, BERT

**Supervised** 

**Unsupervised** 

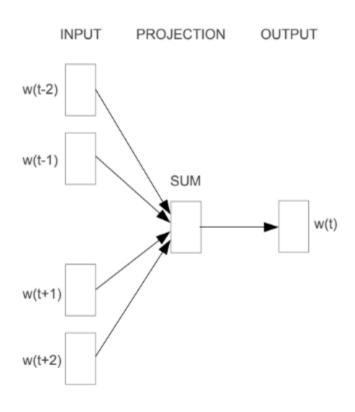
#### Unsupervised Learning

Word Clusters
GloVe, word2vec
Topic Modeling
Language Modeling
ELMo, BERT

**Unsupervised** 

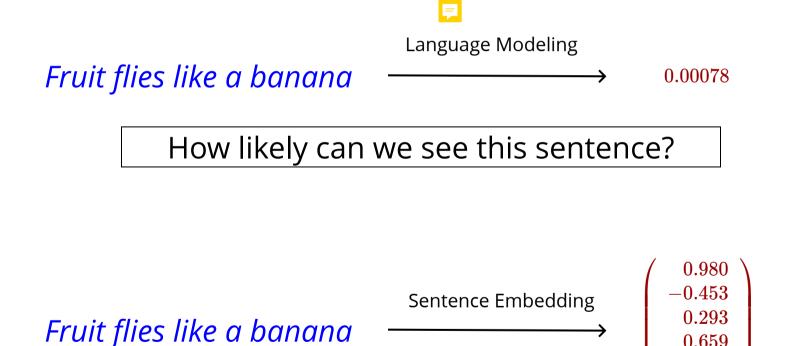
#### Word Embeddings





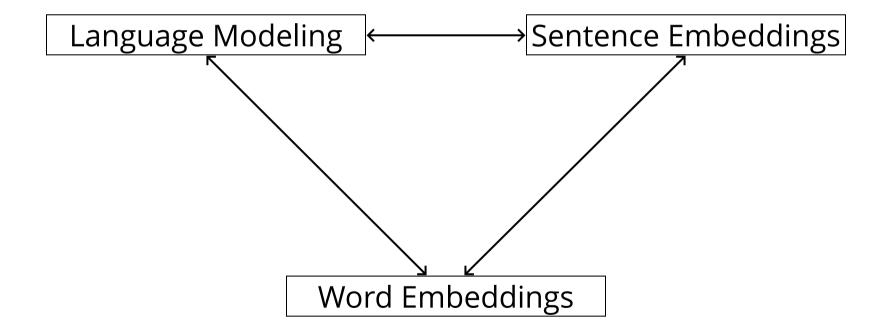
**CBOW** 

#### **How about Sentences**



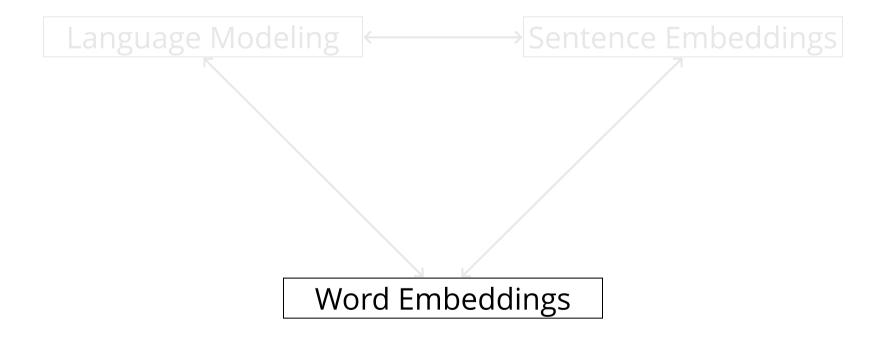
How to represent this sentence?

#### Three Tasks



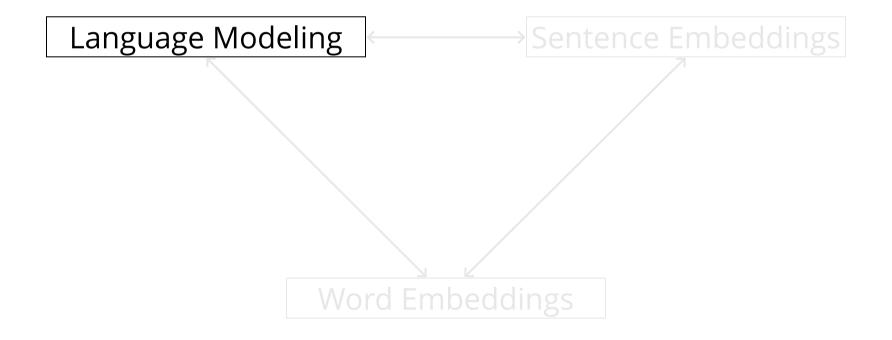
These three tasks are closely related!

#### Three Tasks



We have already looked at word embeddings

#### Three Tasks



Now let us look at language modeling

How likely can we see this sentence?

Fruit flies like a banana	 $\rightarrow$	0.00078
I love NLP	<b>→</b>	0.00428
Fruit flies	$\rightarrow$	????

First of all, similar to HMM, we assume each sentence is attached with a special symbol at its end: **STOP** 

Fruit flies like a banana STOP

I love NIP STOP

Fruit flies STOP

A language model consists of a finite set V, and a function  $p(x_1, x_2, ..., x_m)$  such that:

1. For any sequence  $\langle x_1,\ldots,x_m 
angle \in V^+$ 

$$p(x_1,\ldots,x_m)\geq 0$$

2. In addition,

$$\sum_{\langle x_1,\ldots,x_m
angle\in V^+}p(x_1,x_2,\ldots,x_m)=1$$

Hence,  $p(x_1,\ldots,x_m)$  is a probability distribution over the sentences defined by  $V^+$ .



#### **Question**

How to Learn a Language Model Based on a Corpus?

 $\downarrow$ Data Set  ${\cal D}$ 

A simple Maximum Likelihood Estimator gives:

$$p(x_1,\ldots,x_m) = rac{count(x_1,\ldots,x_m)}{\sum_{s\in\mathcal{D}} count(s)}$$

Is this feasible?

A simple Maximum Likelihood Estimator gives:

$$p(x_1,\dots,x_m) = rac{count(x_1,\dots,x_m)}{\sum_{s\in\mathcal{D}} count(s)}$$

Is this feasible?

NO! The training set does not contain all possible sentences! It does not generalize to new sentences!

#### Alternative Approach

Recall what we did in a Generative Model?

$$p(x_1,x_2,\ldots,x_m)=\prod_{i=1,\ldots,m}p(x_i|x_1,\ldots,x_{i-1})$$

Is this feasible?

#### Alternative Approach

Recall what we did in a Generative Model?

$$p(x_1, x_2, \dots, x_m) = \prod_{i=1,\dots,m} p(x_i | x_1, \dots, x_{i-1})$$

Is this feasible?

NO! The sequence that we condition on may only appear a few times in the training set. Poor generalization again!

#### Markov Assumption

Recall what we did in Naive Bayes / HMM?

$$p(x_1,x_2,\ldots,x_m)=\prod_{i=1,\ldots,m}p(x_i|x_1,\ldots,x_{i-1})$$

In other words, we only consider the previous (n-1) words.

# n-Gram Language Model

$$p(x_1,x_2,\ldots,x_m)=\prod_{i=1,\ldots,m}p(x_i|x_{i-n+1},\ldots,x_{i-1})$$

# Bigram Language Model

$$n=2$$

$$p(x_1,x_2,\ldots,x_m)=\prod_{i=1,\ldots,m}p(x_i|x_{i-1})$$

Similar to what we did for HMM, we may introduce

$$x_0 = \mathtt{START}$$

# Bigram Language Model

START STOP 
$$p(x_0,x_1,x_2,\ldots,x_m) = \prod_{i=1,\ldots,m} p(x_i|x_{i-1})$$

# Question How to Learn a Bigram Language Model?

$$p(x_0, x_1, x_2, \dots, x_m) = \prod_{i=1,\dots,m} p(x_i|x_{i-1})$$

#### Bigram Model

How to do parameter estimation?

$$p(x_0,x_1,x_2,\ldots,x_m) = \prod_{i=1,\ldots,m} rac{p(x_i|x_{i-1})}{igg|}$$

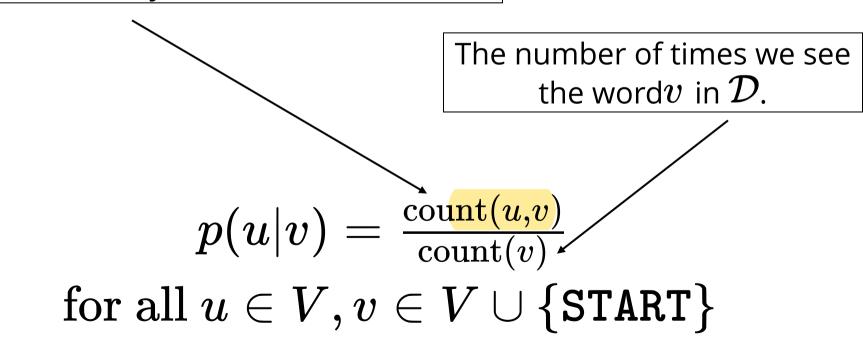
These are the Model
Parameters

Objective:

$$\mathcal{L} = \prod_{\langle x_1, \ldots, x_m 
angle \in \mathcal{D}} p(x_0, x_1, \ldots, x_m)$$
 ,

#### Bigram Model

The number of times we see the word u is followed by the word v in  $\mathcal{D}$ .



# Trigram Model

$$extstylength{\mathsf{START}} extstylength{\mathsf{STOP}} \ p(x_{-1},x_0,x_1,x_2,\ldots,x_m) = \prod_{i=1,\ldots,m} p(x_i|x_{i-2},x_{i-1})$$

$$p(u|w,v) = rac{\mathrm{count}(w,v,u)}{\mathrm{count}(w,v)}, ext{ for all } u \in V, w,v \in V \cup \{\mathtt{START}\}$$

## Unigram Model

$$p(x_1, x_2, \ldots, x_m) = \prod_{i=1,\ldots,m} p(x_i)$$

$$p(u) = rac{\mathrm{count}(u)}{\mathrm{c}}, ext{ for all } u \in V.$$

The total number of words in the corpus  $\mathcal{D}$ .

# n-Grams Are they useful?

#### n-Gram Model

"It must be recognized that the notion 'probability of a sentence' is an entirely useless one, under any known interpretation of this term."

- Noam Chomsky (1967)





#### n-Gram Model

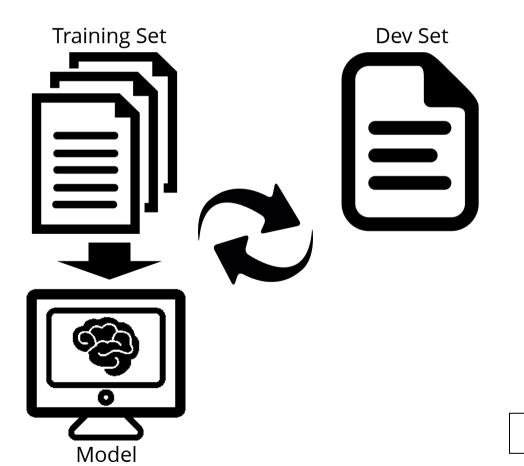
"Every time I fire a linguist, the performance of the recognition system goes up" - Fred Jelinek (1988)





# Language Model Evaluation

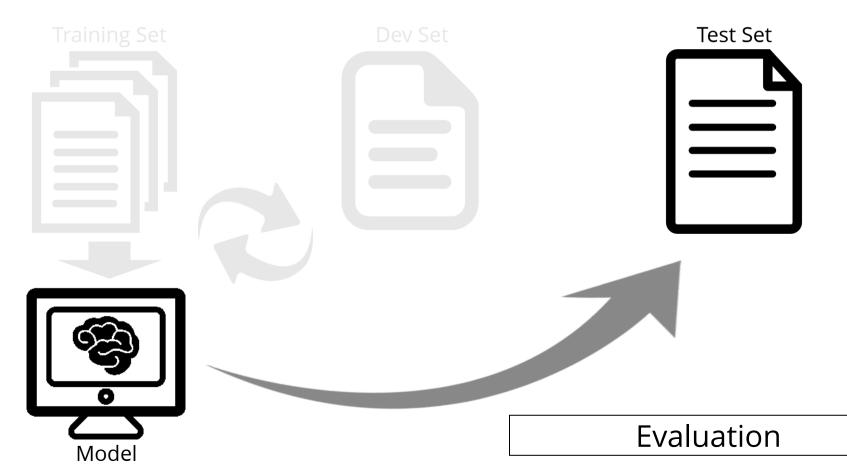
How do we evaluate a model?





# Language Model Evaluation

How do we evaluate a model?



#### **Evaluation Metric**

One idea: the likelihood of the entire test/dev set  $\mathcal{D}'$ !

$$\prod_{j=1}^{|\mathcal{D}'|} p(\mathbf{x}^{(j)})$$

$$\log_2 \prod_{j=1}^{|\mathcal{D}'|} p(\mathbf{x}^{(j)})$$

$$\sum_{j=1}^{|\mathcal{D}'|} \log_2 p(\mathbf{x}^{(j)})$$

### Perplexity

$$\sum_{j=1}^{|\mathcal{D}'|} \log_2 p(\mathbf{x}^{(j)})$$

$$rac{1}{c'} \sum_{j=1}^{|\mathcal{D}'|} \log_2 p(\mathbf{x}^{(j)})$$

Total number of words in the set  $\mathcal{D}'$ .

$$2^{-\ell} ext{ where } \ell = rac{1}{c'} \sum_{j=1}^{|\mathcal{D}'|} \log_2 p(\mathbf{x}^{(j)})$$
 Perplexity

### Perplexity

$$2^{-\ell} ext{ where } \ell = rac{1}{c'} \sum_{j=1}^{|\mathcal{D}'|} \log_2 p(\mathbf{x}^{(j)})$$

$$1/\sqrt[c']{\prod_{j=1}^{|\mathcal{D}'|}p(\mathbf{x}^{(j)})}$$

F

 $c^{\prime}$  terms after expansions

Multiplicative inverse of the geometric mean of the terms  $p(x_k^{(j)}|x_{k-2}^{(j)},x_{k-1}^{(j)})$ .

### Trigram Model

$$p(u|w,v) = rac{\mathrm{count}(w,v,u)}{\mathrm{count}(w,v)}$$

What are the potential problems with such a model (or in general, an *n*-gram model)?

### Trigram Model

$$p(u|w,v) = rac{\mathrm{count}(w,v,u)}{\mathrm{count}(w,v)}$$

What are the potential problems with such a model (or in general, an *n*-gram model)?

Many counts could be zeros!

# Trigram Model

$$p(u|w,v) = rac{\mathrm{count}(w,v,u)}{\mathrm{count}(w,v)}$$

What are the potential problems with such a model (or in general, an *n*-gram model)?

Many counts could be zeros!

One solution: Smoothing

# Smoothing: Interpolation

$$p(u|w,v)=rac{ ext{count}(w,v,u)}{ ext{count}(w,v)}$$
  $p(u|v)=rac{ ext{count}(v,u)}{ ext{count}(v)}$  Smoothed probability  $p(u)=rac{ ext{count}(u)}{c}$   $q(u|w,v)=\lambda_1 p(u)+\lambda_2 p(u|v)+\lambda_3 p(u|w,v)$   $\lambda_1+\lambda_2+\lambda_3=1$  hyperparameters

# Smoothing: Interpolation

$$egin{aligned} q(u|w,v) &= \lambda_1 p(u) + \lambda_2 p(u|v) + \lambda_3 p(u|w,v) \ & \lambda_1 + \lambda_2 + \lambda_3 = 1 \end{aligned}$$

Hyperparameters will be tuned on the development set.

Each is indicating the significance / contribution / confidence on the corresponding model.

### Laplace Smoothing

"Add-one" Smoothing

$$p(u|w,v) = rac{\mathrm{count}(w,v,u)}{\mathrm{count}(w,v)}$$

$$p(u|w,v) = \frac{\operatorname{count}(w,v,u)+1}{\operatorname{count}(w,v)+U}$$

What is  $\it U$ ? The  $\it U$  is chosen such that the sum of these  $\it p$  terms will be 1! In other words,  $\it U=|\it V|$ 



# Smoothing

Other smoothing techniques exist:

- Good-turing smoothing
- Kneser-Ney smoothing
- Witten-Bell smoothing
- Katz smoothing
- Church and Gale smoothing



# Trigram Model

$$p(u|w,v) = rac{\mathrm{count}(w,v,u)}{\mathrm{count}(w,v)}$$

What are the potential problems with such a model (or in general, an *n*-gram model)?

Many counts could be zeros!

One solution:
Smoothing

#### **Question**

After smoothing, how many model parameters do we have to store?

### **Curse of Dimensionality**

in the order of  $|V|^n$ 

Too many model parameters!

One Solution:

Learn language model with word embeddings!

# Neural Language Model

"The model learns simultaneously (1) a distributed representation for each word along with (2) the probability function for word sequences, expressed in terms of these representations."

- Yoshua Bengio et al. (2003)

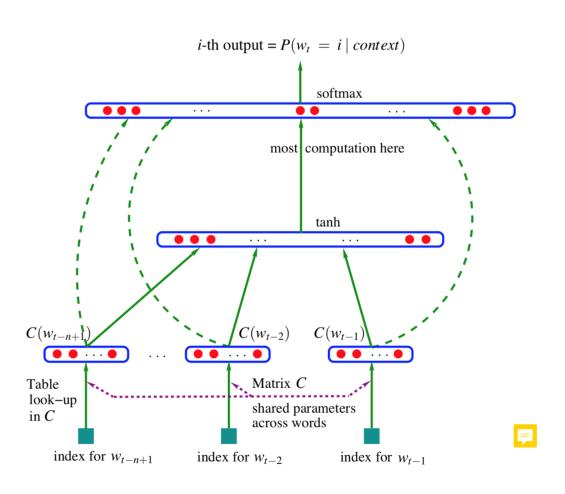


# Neural Language Model

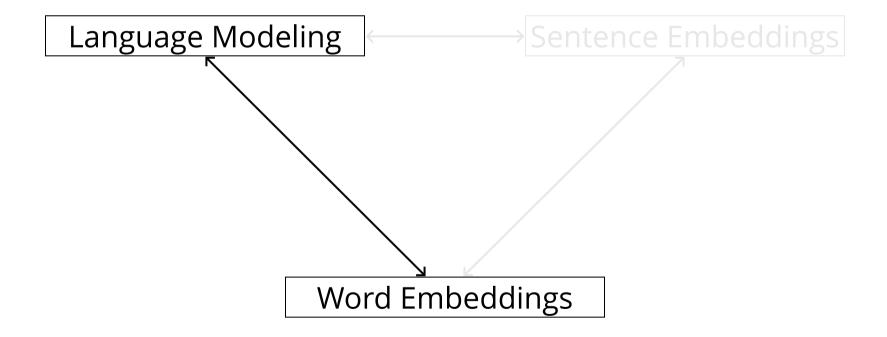
Bengio et al. (2003)

- **1.** associate with each word in the vocabulary a distributed word embedding,
- 2. express the joint probability function of word sequences in terms of the embeddings of these words in the sequence, and
- 3. learn simultaneously the word embeddings and the parameters of that probability function.

### Neural Language Model

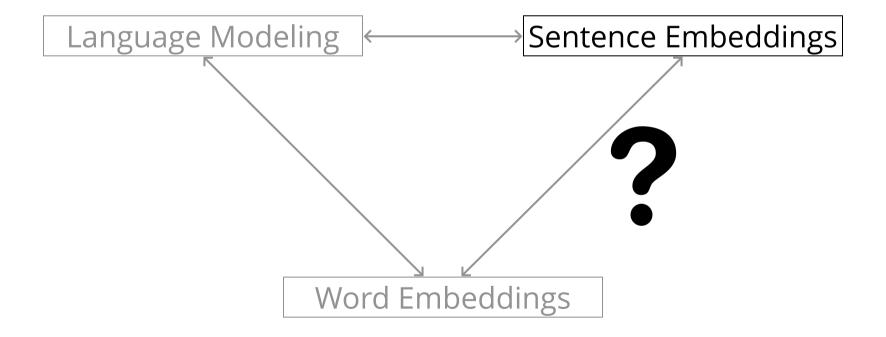


#### Three Tasks



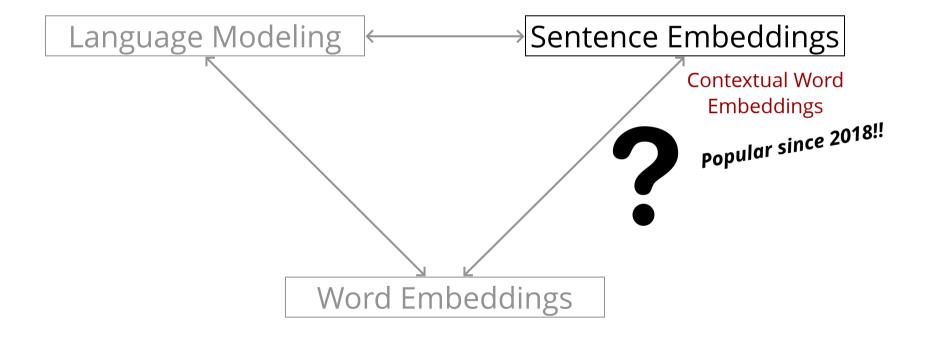
These three tasks are closely related!

#### Three Tasks



These three tasks are closely related!

#### Three Tasks



These three tasks are closely related!