# 50.003: Elements of Software Construction

Week 5

Basics of Concurrency: Requirements

## Course Plan

Week	Cohort Class 1	Cohort Class 2	Cohort Class 3	Remarks
1 (Jan 28)	Software Development Process			
2 (Feb 4)	Software Design and UML		Project Meeting I	Problem Set 1
3 (Feb 11)	Software Design and UML			
4 (Feb 18)	Software Testing			Problem Set 2, Quiz 1
5 (Feb 25)	Basics of Concurrency		Project Meeting II	
6 (Mar 4)	Thread Safe Java Class			Problem Set 3
7 (Mar 11)	Recess			Problem Set 4
8 (Mar 18)	Testing Concurrent Programs		Project Meeting III	Quiz 2
9 (Mar 25)	Performance			Problem Set 5
10 (Apr 1)	Design Patterns			Problem Set 6; Quiz 3
11 (Apr 8)	Advanced So	ftware Testing	Project Meeting IV	Problem Set 7
12 (Apr 15)	Software Debugging, Code smells and Maintenance			Quiz 4
13 (Apr 22)	Final Project Presentation (15 minutes for each group)			Project Report/Code Due
14 (May 2)	Final Exam			

## Example

NSA intercepted a RSA-encrypted secrete message which tells the location of a terrorist act, we believe that the act is going to happen one week from now, we need your help in decrypting the message.

# Requirement/Analysis

"Given a semi-prime, your program outputs its prime factors within 6 days."

green: pre-condition

red: post-condition

purple: non-functional requirement

Total Correctness: If the precondition is satisfied, it is guaranteed that the method terminates and satisfies the postcondition.

## Design/Implementation

#### Design

- Use the trial division method
- http://en.wikipedia.org/wiki/Integer\_factoriza tion

#### **Implementation**

FactorPrime.java

## **Testing**

#### **Correctness Testing**

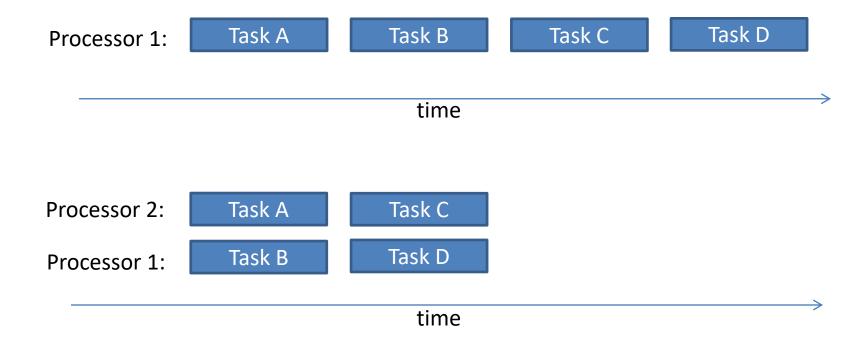
- 4294967297 (famous Fermat Number)
- 1127451830576035879
- 160731047637009729259688920385507056726966793490579598495689711866432421212774967029895340327197901 756096014299132623454583177072050452755510701340673282385647899694083881316194642417451570483466327 782135730575564856185546487053034404560063433614723836456790266457438831626375556854133866958349817 172727462462516466898479574402841071703909138062456567624565784254101568378407242273207660892036869 708190688033351601539401621576507964841597205952722487750670904522932328731530640706457382162644738 538813247139315456213401586618820517823576427094125197001270350087878270889717445401145792231674098 948416888868250143592026973853973785120217077951766546939577520897245392186547279572494177680291506 578508962707934879124914880885500726439625033021936728949277390185399024276547035995915648938170415 663757378637207011391538009596833354107737156273037494727858302028663366296943925008647348769272035 532265048049709827275179381252898675965528510619258376779171030556482884535728812916216625430187039 533668677528079544176897647303445153643525354817413650848544778690688201005274443717680593899

#### **Performance Testing**

FactorPrimeTest.java

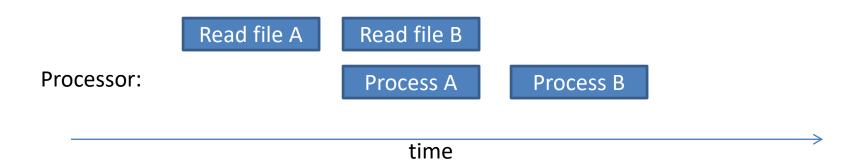
## Concurrency: Benefit

Better resource utilization: with K processors, ideally we can be K times faster\*



## Concurrency: Benefit

Can we get better performance with 1 processor only?



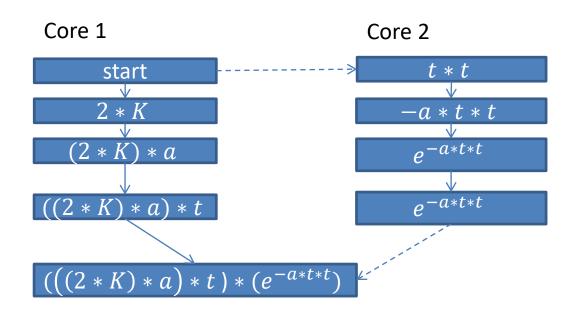
## **Threads**

Example: evaluate  $2 * K * a * t * e^{-a*t*t}$ 

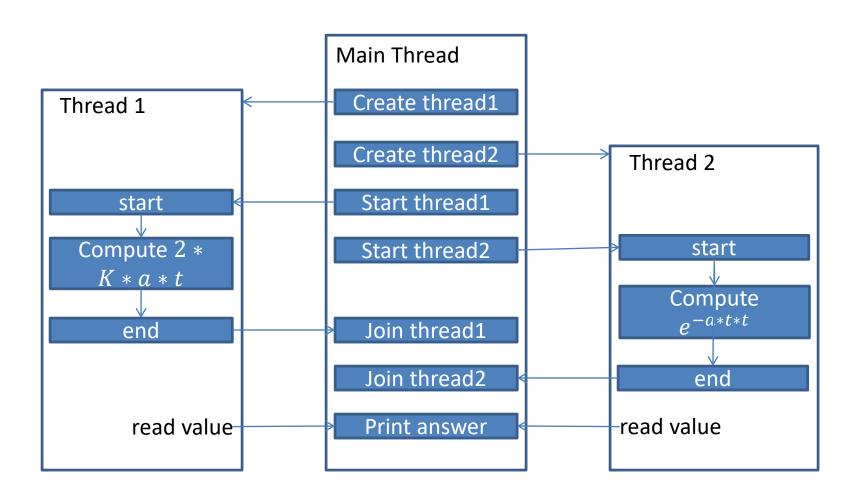
Core 1

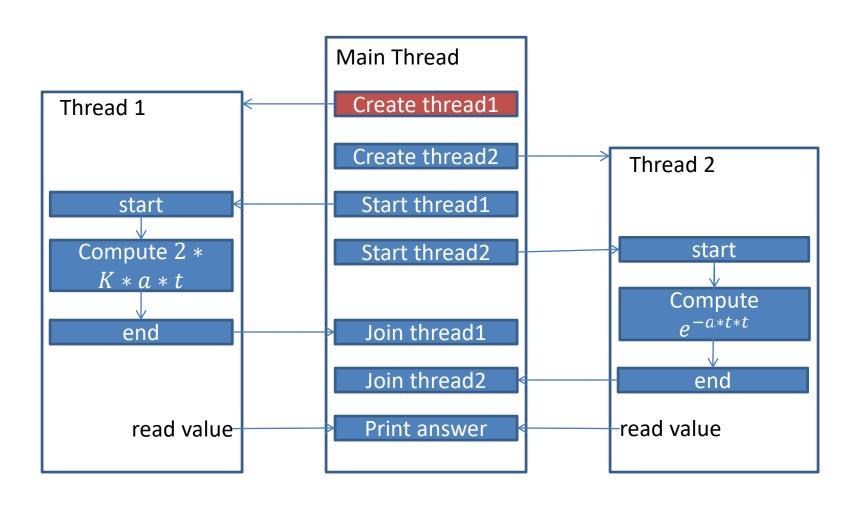
#### **Threads**

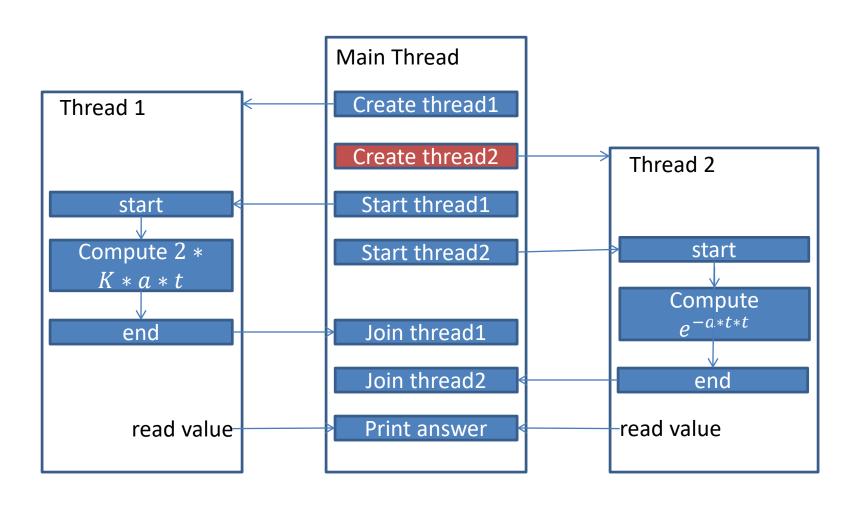
Example: evaluate  $2 * K * a * t * e^{-a*t*t}$ 

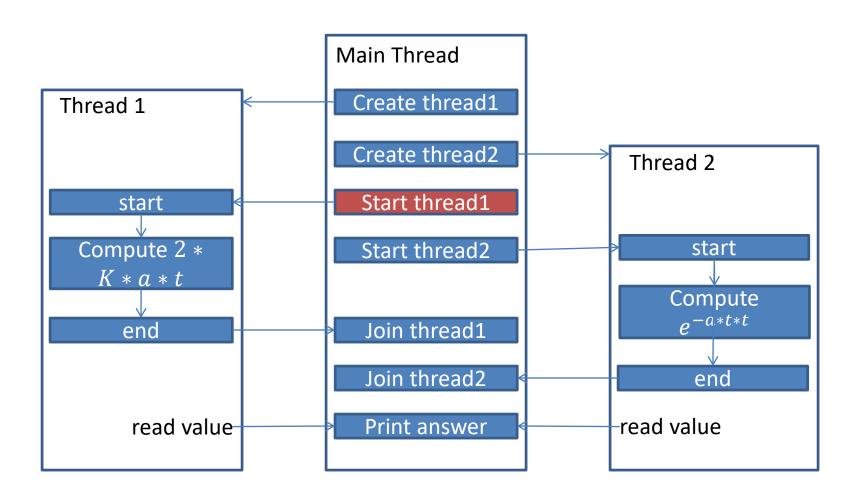


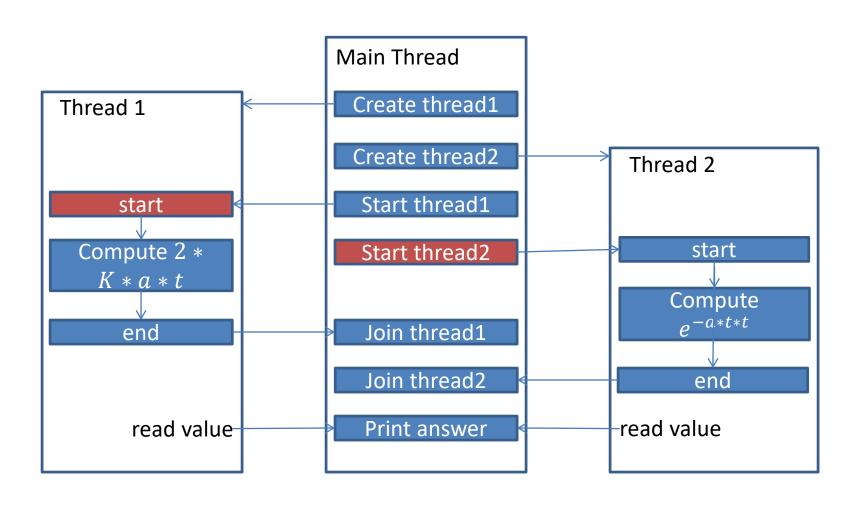
HelloWorldThread.java

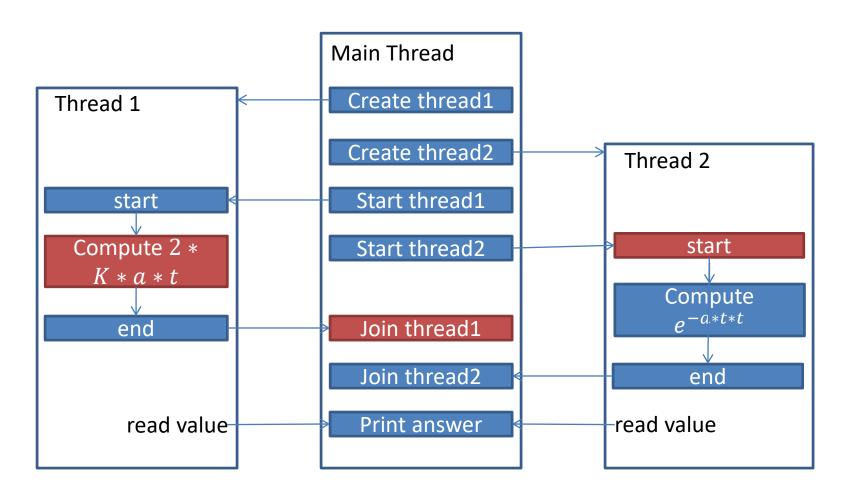




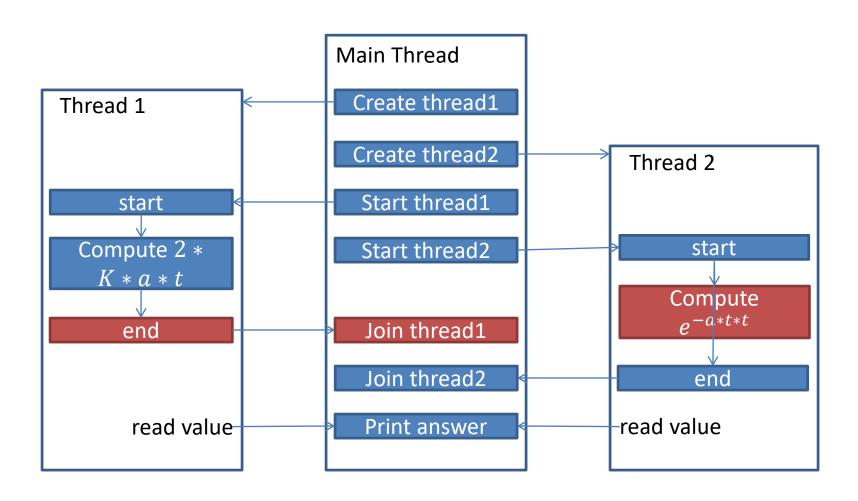


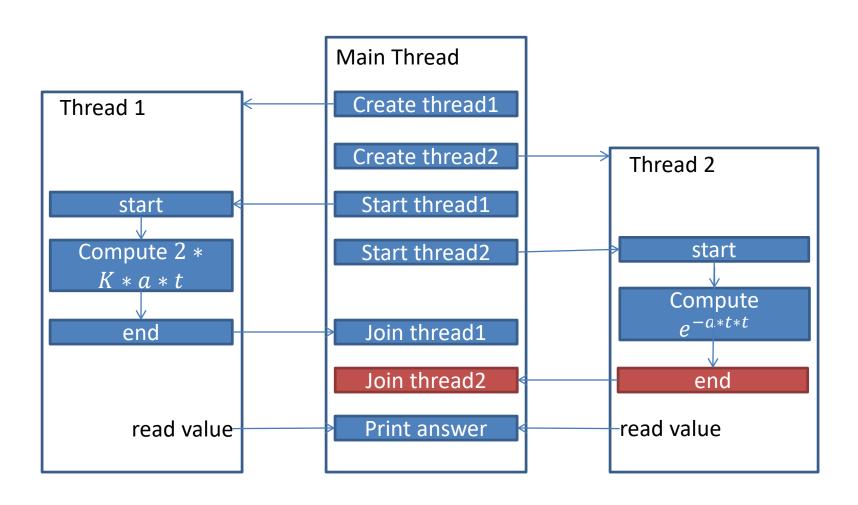


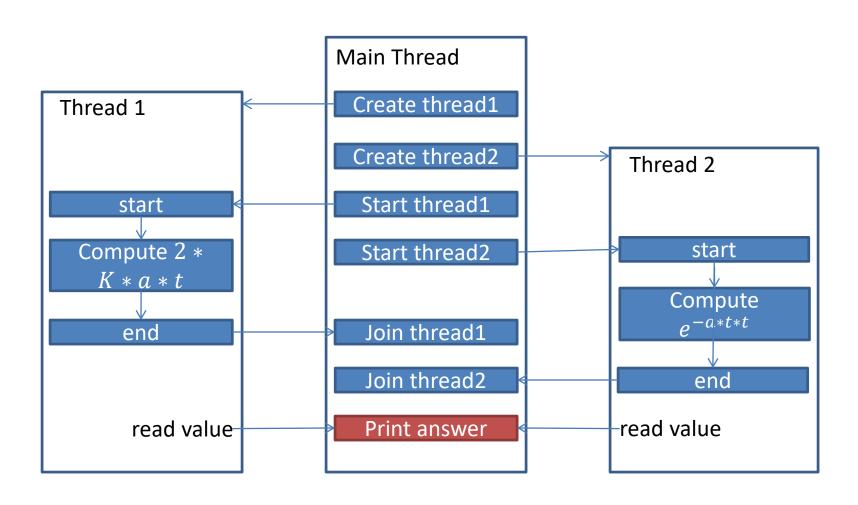




Which thread moves first is non-deterministic







#### Runnable interface

- "implements Runnable" vs. "extends Thread"
  - Use Runnable that you can inherit other classes
  - Runnable allows better separation of the actual computation and thread control.

RunnableExample.java

## **Cohort Exercise 1**

Given FactorPrime.java, write a program so that multi-threads factor the number at the same time. Print the factor as soon as it is found.

## How to Stop a Thread

Given FactorPrime.java, write a program so that multi-threads factor the number at the same time. Print the factor as soon as it is found.

How do we stop a thread as soon as a factor has been found?

# How to Stop a Thread

- No
  - destroy()
  - or stop()
  - or stop(Throwable obj)
  - or suspend()
- Yes
  - Interrupt()

# interrupt()

- Example 1: interrupt() results in Exception
- Example 2: handle interrupt() explicitly in run() by checking Thread.interrupted()

InterruptExample1.java and InterruptExample2.java

## **Cohort Exercise 2**

Continue with Exercise 1, modify your program such that once the factors are found, stop all threads as soon as possible by interrupting the threads. (Use a static Boolean variable found for communication between threads.)

Test your program with FactorPrimeTest.java.

# It's Not That Simple

 Multi-threaded programs could have race conditions, visibility issues, deadlocks, etc.

## **Problem 1: Race Condition**

#### **Example**

Program FirstError.java has 10000 threads which concurrently increment a static variable (initially 0) by 1.

#### What is the Problem?

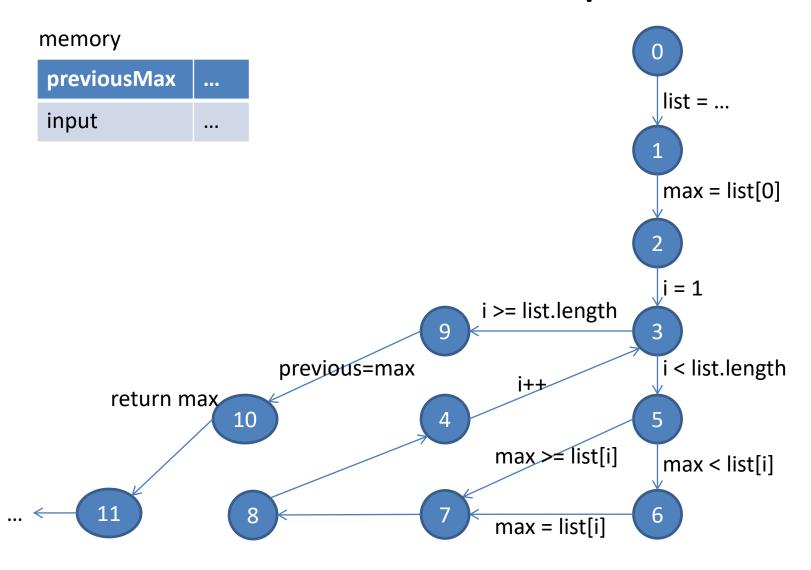
- A sequential program consisted of a sequence of instructions (and a memory), where each instruction executed one after the other (to modify the memory, etc.).
- The sequential paradigm has the following two characteristics: the textual order of statements specifies their order of execution; successive statements must be executed without any overlap (in time) with one another.

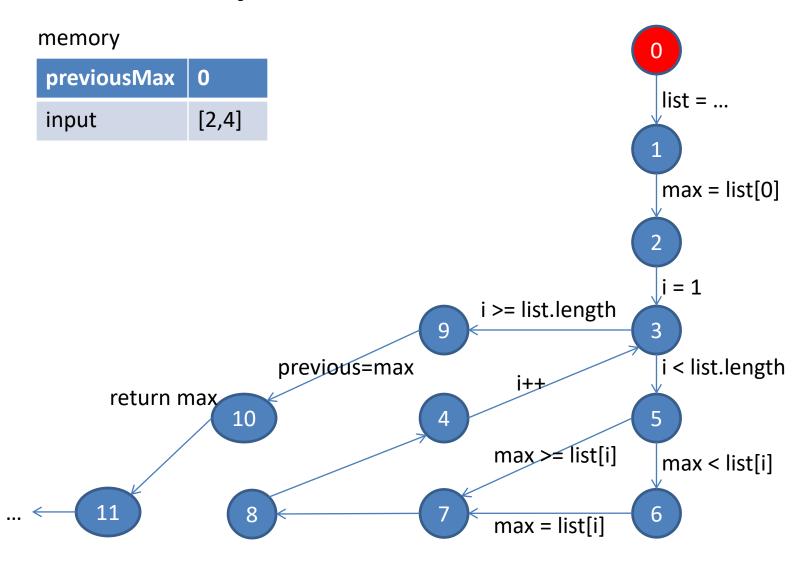
Both are not true in concurrent programs.

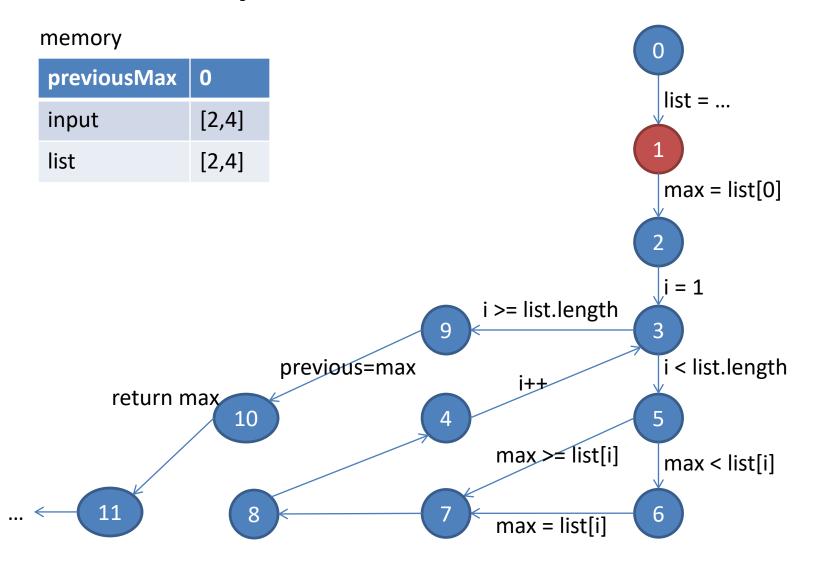
## The Illusion

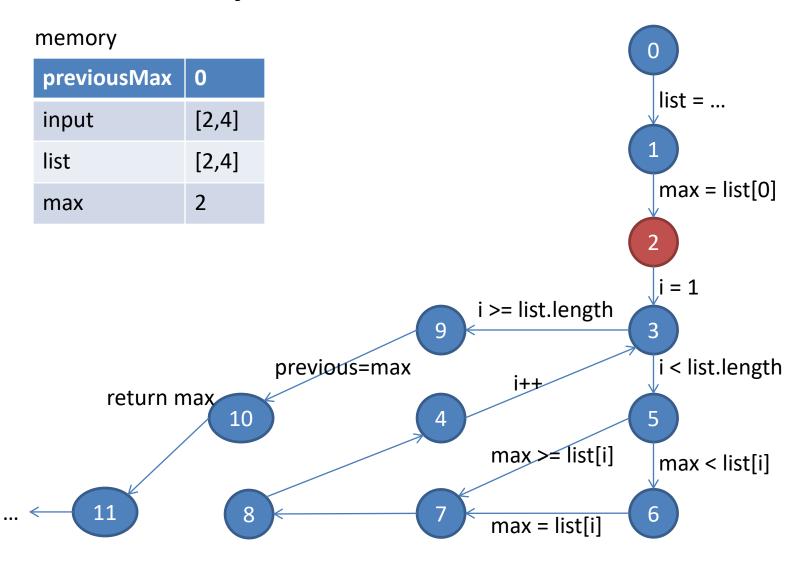
```
int previousMax;
0. public int max (int[] list) {
                                                                         list = ...
     int max = list[0];
     for (int i = 1; 3. i < list.length; 4. i++) {
2.
5.
        if (max < list[i]) {
                                                                         max = list[0]
           max = list[i];
6.
7.
8.
                                                                          = 1
                                                    i >= list.length
9.
     previousMax = max;
                                                                        3
10. return max;
                             previous=max
                                                                         i < list.length
11. }
                                                         i+J
          return max
                                                                        5
                        10
                                                      max >= list[i]
                                                                         max < list[i]
         11
                         8
                                                                        6
                                                      max = list[i]
```

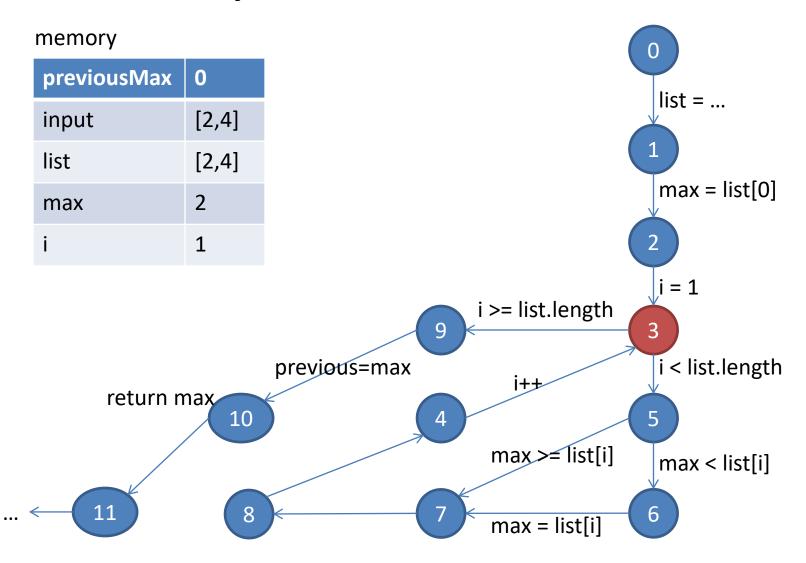
## **Control Flow Graph**

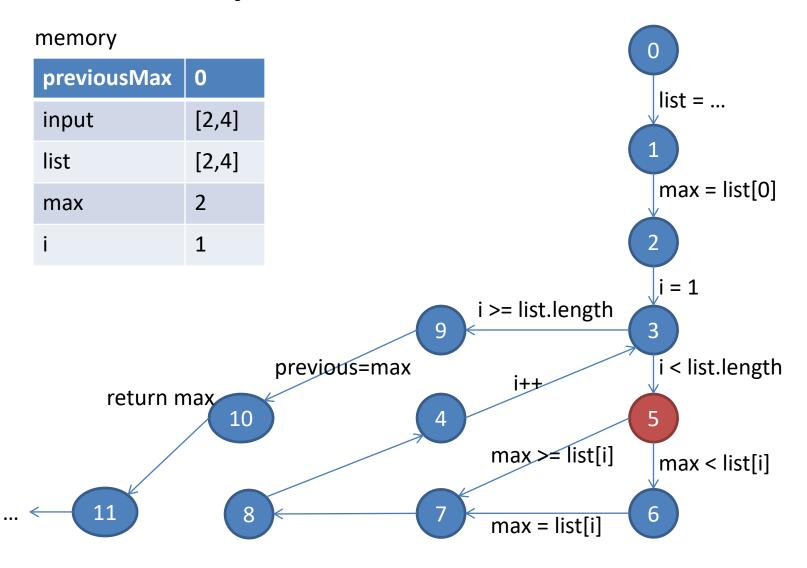


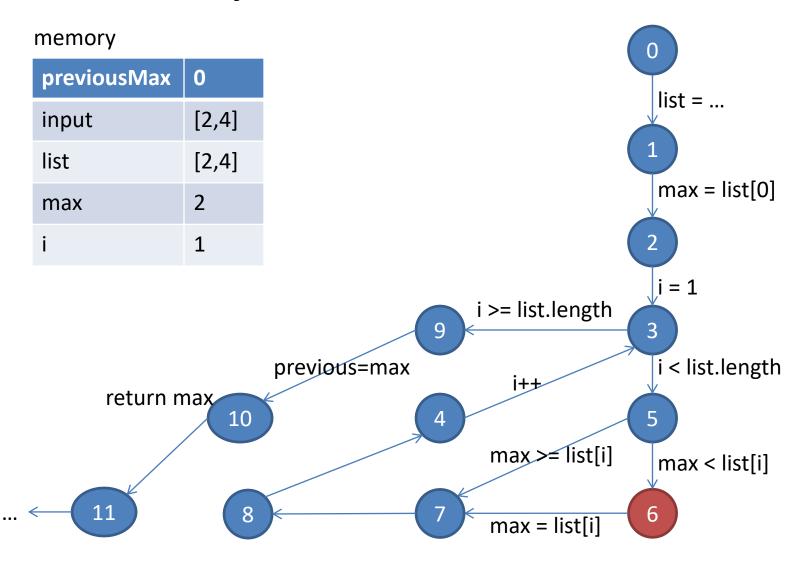


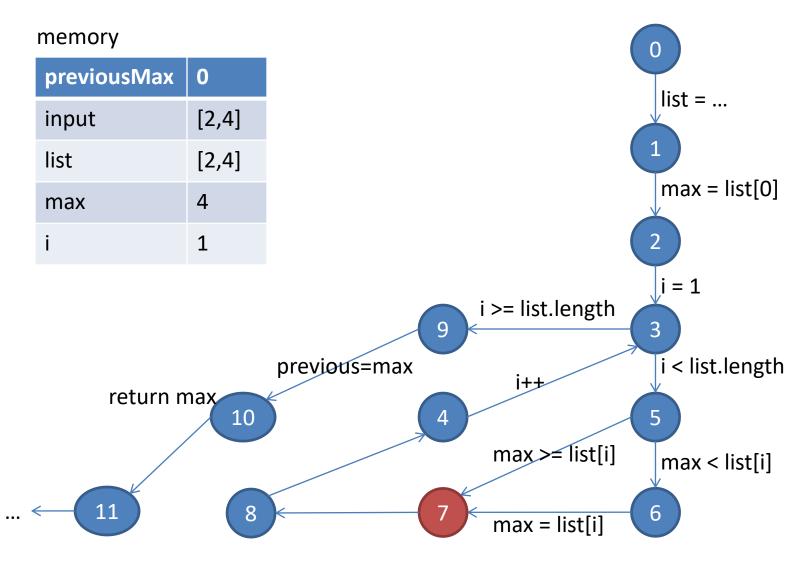


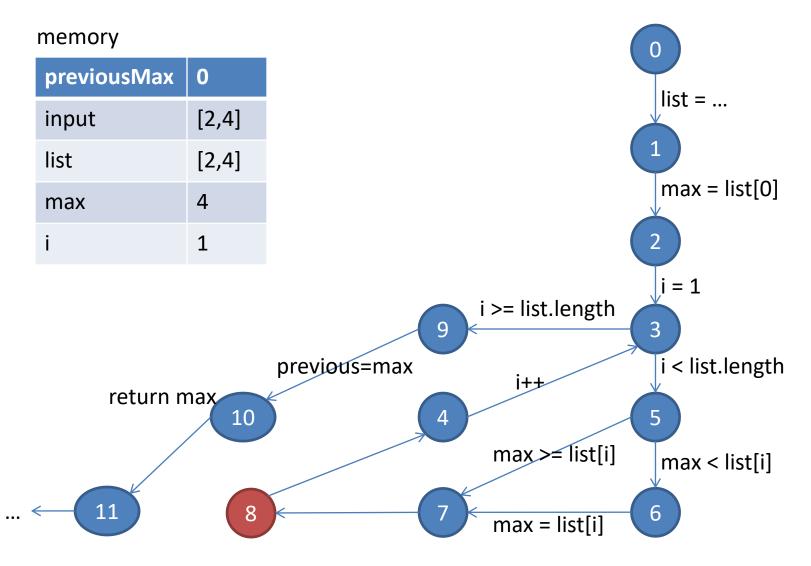


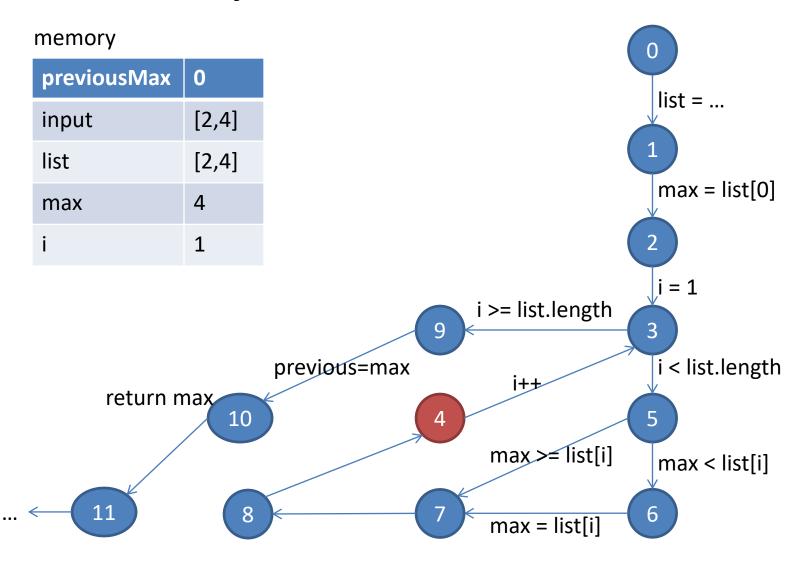


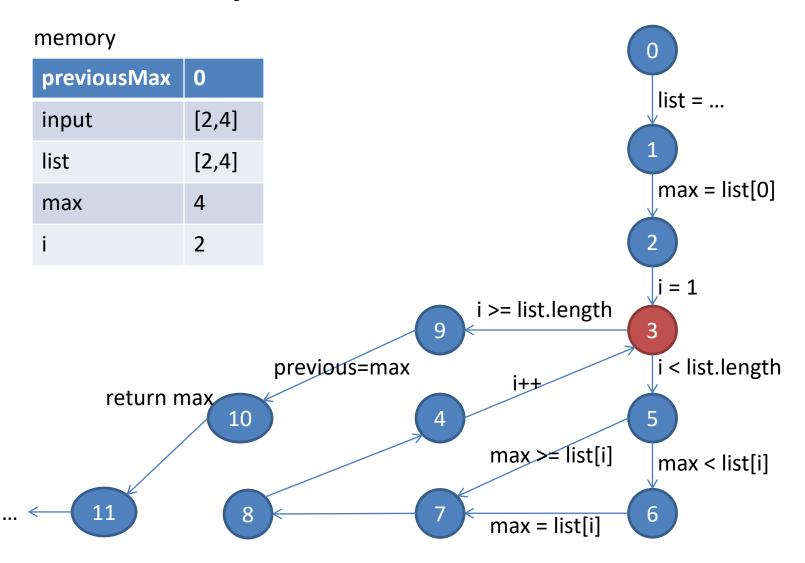


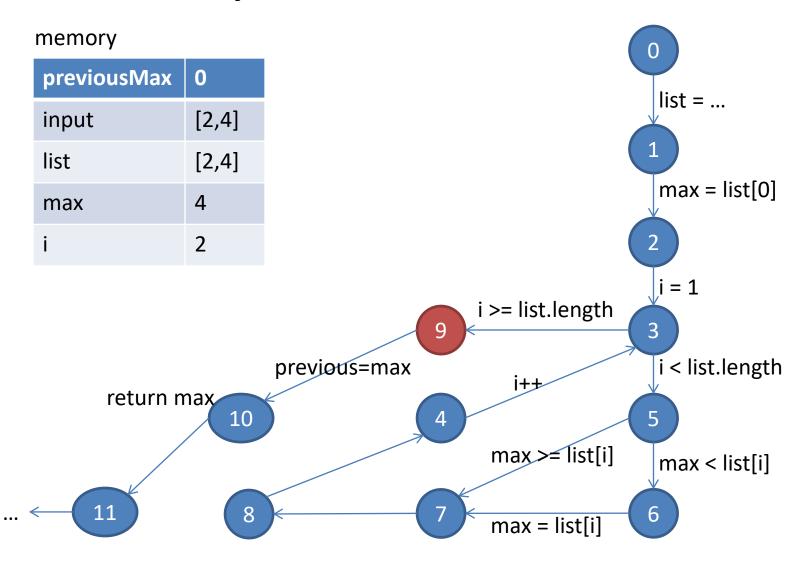




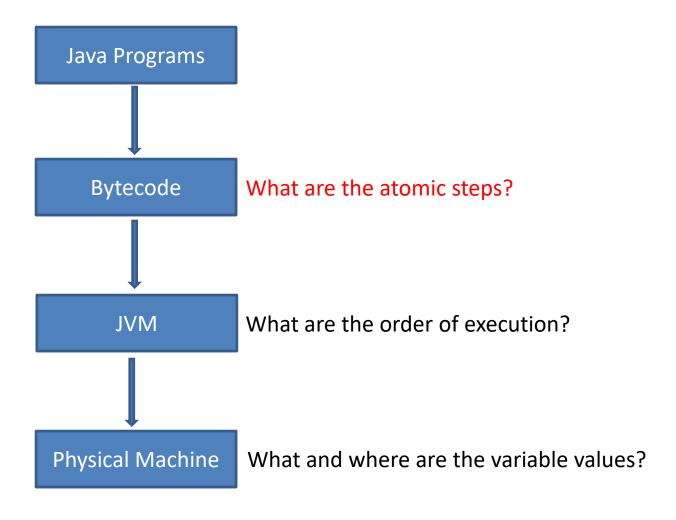




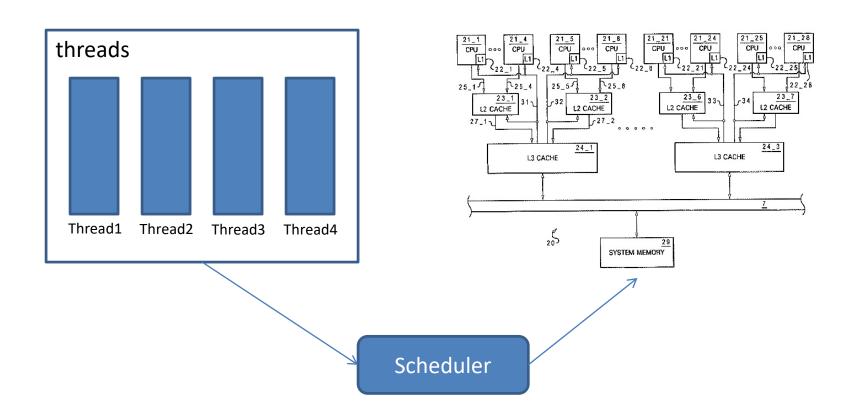




# Reality is Messy

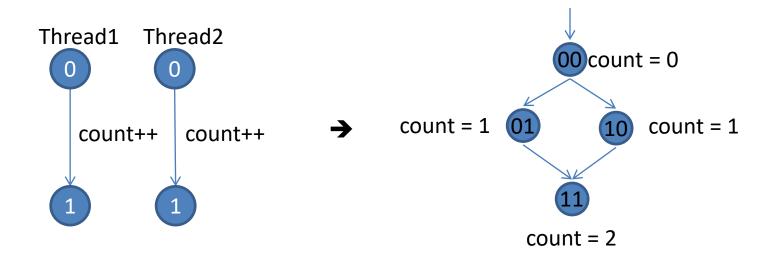


# Scheduling



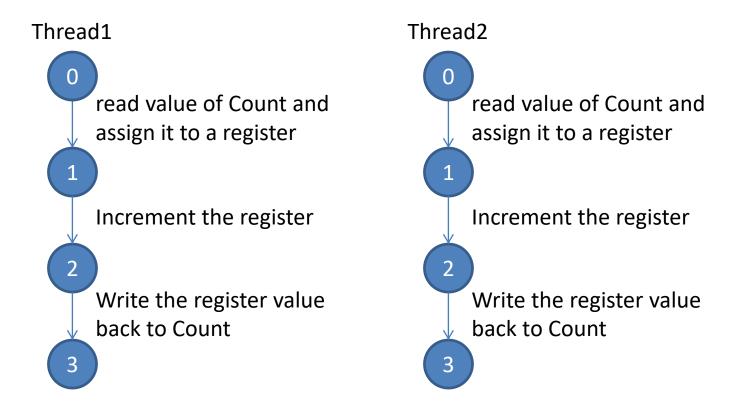
The scheduler is 'un-predictable'

### Is This Real?



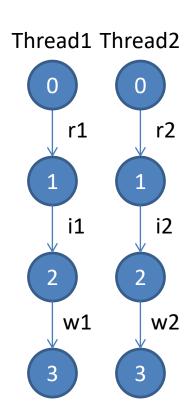
This is assuming that count++ is one step. Or is it?

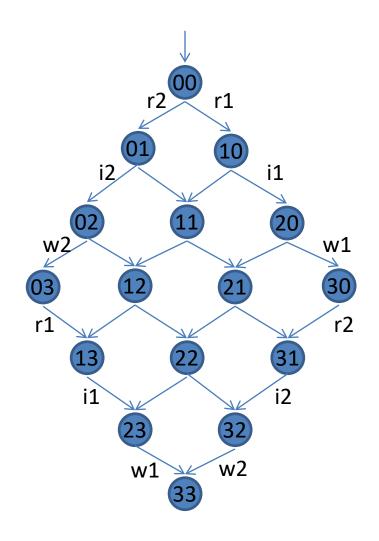
## What Really Happened?



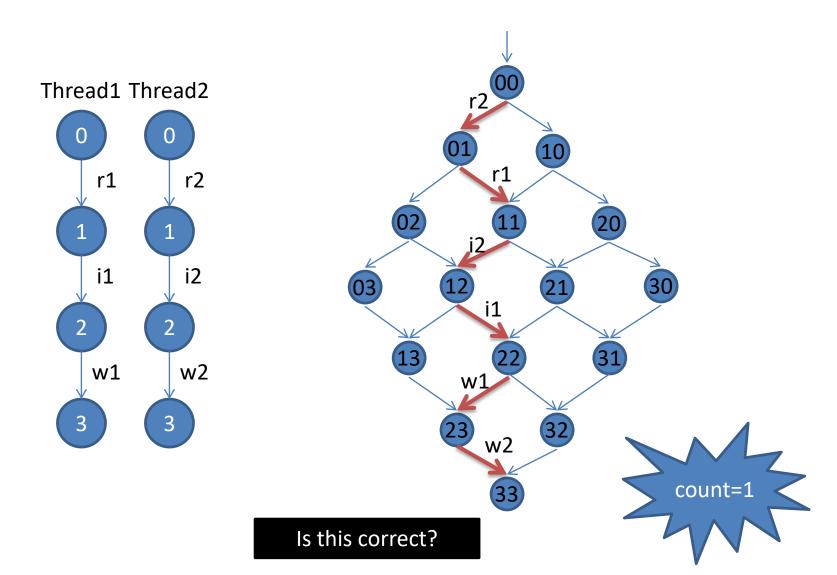
For double type, even read/write is not atomic!

## What Really Happened?





## What Really Happened?



#### A Joke

Some people, when confronted with a problem, think, 'I know, I'll use threads' - and then two they hav erpoblesms.

#### **AtomicXXX**

If the shared variable is of a type Boolean, int, int array, and etc., use classes in package java.util.concurrent.atomic

Example:

AtomicInteger x = new AtomicInteger(0) x.incrementAndGet() //increments x by 1 atomically

Example: FirstErrorFixed.java

## **Compound Actions**

Sometime it is not sufficient to simply use an AtomicXXX object.

```
//withdraw from a bank account
//check and update
if (amount >= 1000) {
         amount = amount - 1000;
}
```

Refer to example: SecondError.java

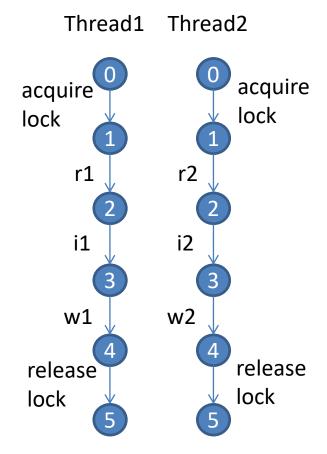
### **Intrinsic Locks**

• Every Java object can implicitly act as a lock for purposes of synchronization.

```
synchronized (lock) {
    //Access shared state guarded by lock
}
```

- Intrinsic locks acts as mutexes (mutual exclusion locks), i.e., at most one thread may own the lock.
- Since only one thread at a time can execute a block of code guarded by a given lock, the synchronized blocks guarded by the same lock execute atomically with respect to one another.

### **How Lock Works**



SecondErrorFixed.java

### **Cohort Exercise 3**

Assuming that we would like to maintain that "saving + cash = 5000" always, fix the following class: LockStaticVariables.java.



Hint: Think about what is the lock?

if synchronise (this)
it will not work for the amount thing
cause there will be different locks, you are only
sync-ing your thread with ur thread.

## wait() and notify()

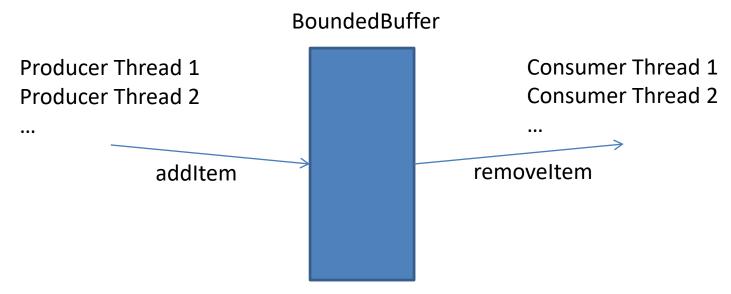
- Busy waiting is not efficient
  - Consider a voting system with two threads. One collects votes and the other is waiting to count the votes when the voting is completed.

Use wait()/nofityAll() to avoid busy waiting

Voting.java

### **Cohort Exercise 4**

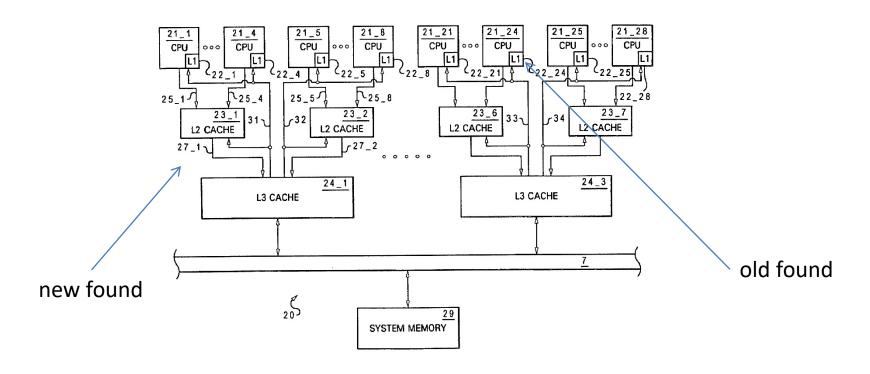
Producer/Consumer Pattern



 Exercise: fixed the Buffer class in BufferExample.java so that it is thread-safe and efficient



# **Problem 2: Visibility**



How could we know where?

Example: FactorThread.java

## **Problem 3: Execution Ordering**

```
Thread 1 Thread 2
1: r2 = A; 3: r1 = B;
2: B = 1; 4: A = 2;
```

- Initially A, B, r1 and r2 are all 0.
- What are the values of the variables after both threads complete?
- Is it possible to have B = 1 and r2 = 2 and A = 2 and r1 = 1?

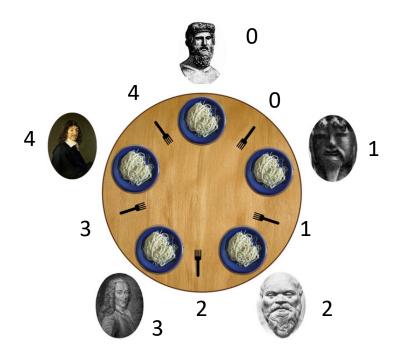


### What are the order of execution?

- Java compiler might switch the order of sequential statements (e.g., for efficiency)
- Example: line 2 and line 3 might be switched
  - 1. x++;
  - 2. y++;
  - 3. x++;

How could we know the order of execution? Self-read: Java Memory Model

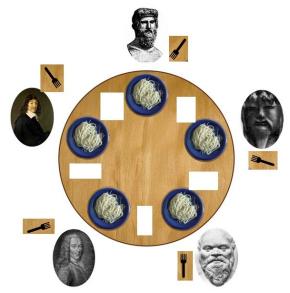
### Problem 4: Deadlock



- Each philosopher needs two forks to eat.
- Each philosopher picks the one on the left first.

#### Deadlock

 Deadlock is the situation when two or more threads are both waiting for the others to complete, forever.

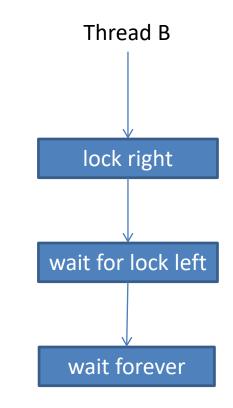


### **Cohort Exercise 5**

Given DiningPhil.java, modify it so as to demonstrate the deadlock.

# Lock-Ordering Deadlock

```
public class LeftRightDeadlock {
     private final Object left = new Object ();
     private final Object right = new Object ();
                                                            Thread A
     public void leftRight () {
             synchronized (left) {
                    synchronized (right) {
                           doSomething();
                                                             lock left
     public void rightLeft () {
                                                        try to lock right
             synchronized (right) {
                    synchronized (left) {
                           doSomethingElse();
                                                           wait forever
```



## Example

```
public void transferMoney (Account from, Account to, int amount) {
    synchronized (from) {
                                                         deadlock when you try
         synchronized (to) {
                                                         to transfer from A to B
              if (from.getBalance() < amount) {</pre>
                                                         and B to A.
                  //raiseException
                                                         A \rightarrow B
              else {
                                                         lock A
                   from.debit(amount);
                                                          wait for B
                   to.credit(amount)
                                                         B->A
                                                         lock B
                                                           wait for A
                                       Is it deadlocking?
```

## Example

```
public void transferMoney (Account from, Account to, int amount) {
    synchronized (from) {
         synchronized (to) {
             if (from.getBalance() < amount) {</pre>
                  //raiseException
             else {
                  from.debit(amount);
                  to.credit(amount)
                        How can transferMoney deadlock?
                        Thread A: transferMoney(myAccount, yourAccount, 1)
                        Thread B: transferMoney(yourAccount, myAccount, 1)
                        Check out: DemonstrateDeadlock.java
```

### Cohort Exercise 6

- Given DLExample.java, explain whether it is possibly deadlocking.
- Write a test case which potentially demos the deadlock.

to explain deadlock, need 2 threads and 2 locks each thread, which is calling which methods, lock they hold

if only 1 lock, worst case is you having to wait till the other thread is done.

### Other Liveness Hazards

- Deadlock is the most widely encountered liveness hazard.
- Starvation occurs when a thread is denied access to resources it needs in order to make progress.
  - Often caused by use of thread priority or executing infinite loops with a lock held.

Avoid using thread priority, since they increase platform dependence and can cause liveness problems.

## Other Liveness Hazards (cont'd)

- Poor responsiveness
  - may be caused by poor lock management.
- Livelock: a thread, while not blocked, still cannot make progress because it keeps retrying an operation that will always fail.
  - e.g., when two overly polite people are walking in the opposite direction in a hallway.

## Requirements

Multi-threaded programs have at least the following additional requirements.

- No race condition
- No visibility issue
- No execution ordering problem
- No deadlocks
- Efficiency!

How do we make sure our multi-threaded program satisfies these requirements?