



# Topological phases of quantum walks and how they can be detected

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[Phys. Rev. B 95, 201407 (2017)]

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AZ NKFI ALAPBÓL  
MEGVALÓSULÓ  
PROJEKT

# The plan for today

Quantum Walks as simulators for solid state

Topological insulators: interesting Hamiltonians to simulate

Extra topological invariants of quantum walks

Two methods to measure topological invariants, with disorder:

- Using scattering matrices
- Using weak measurement & expected displacement

# **Quantum Walks as simulators for solid state Topological insulators: interesting Hamiltonians to simulate**

Extra topological invariants of quantum walks

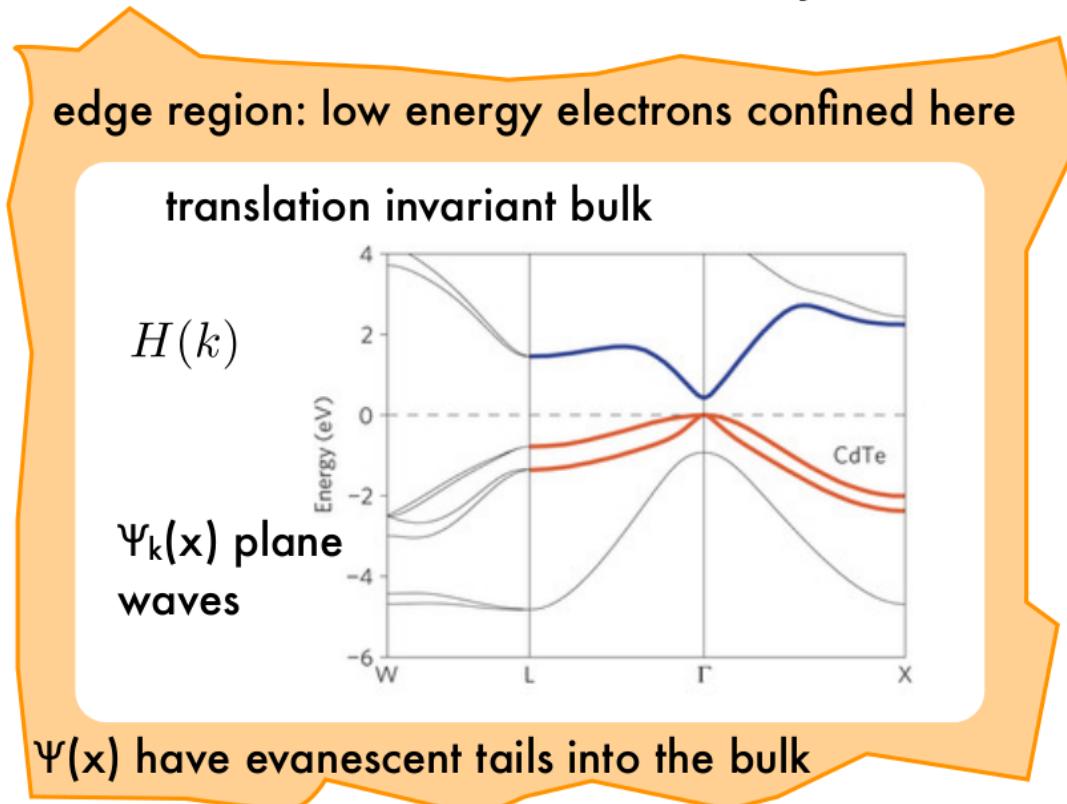
Two methods to measure topological invariants, with disorder:

- Using scattering matrices
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**Insulator:** has bulk energy gap separating fully occupied bands from fully empty ones

$$\hat{H} = \sum_{\langle xx' \rangle} H_{xx'} \hat{c}_{x'}^\dagger \hat{c}_x$$

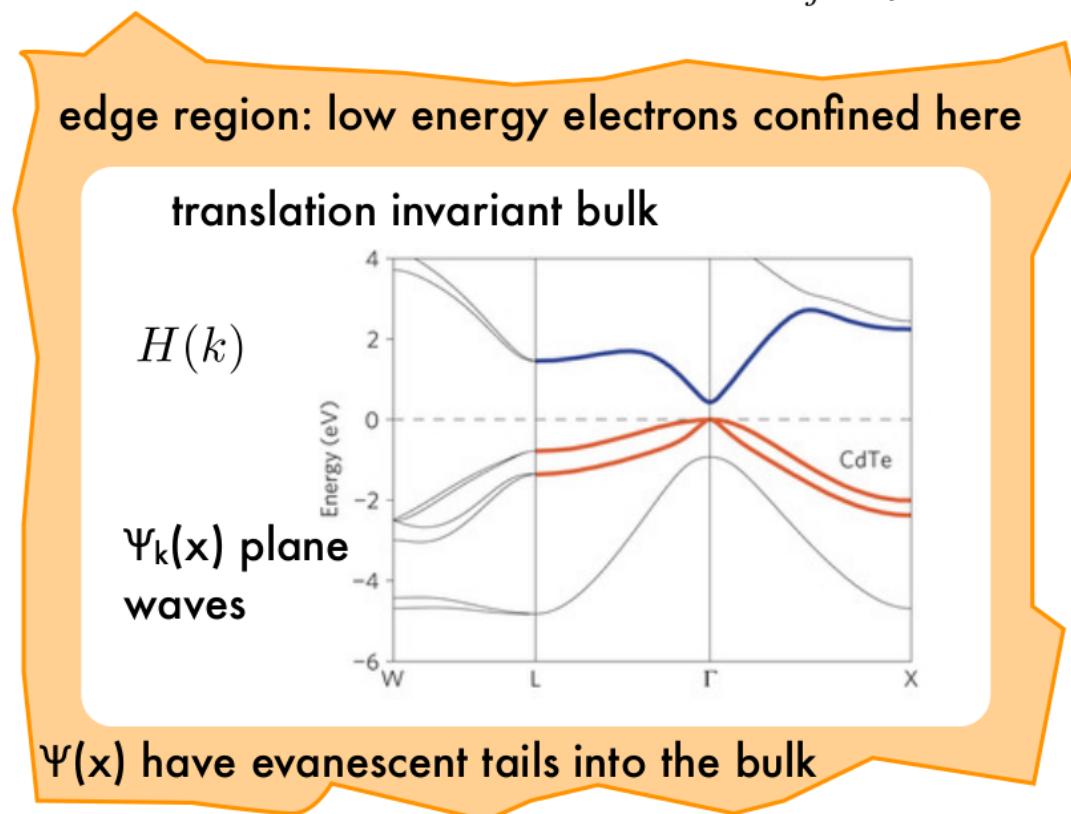
(includes superconductors in mean-field,  
using Bogoliubov-de Gennes trick)

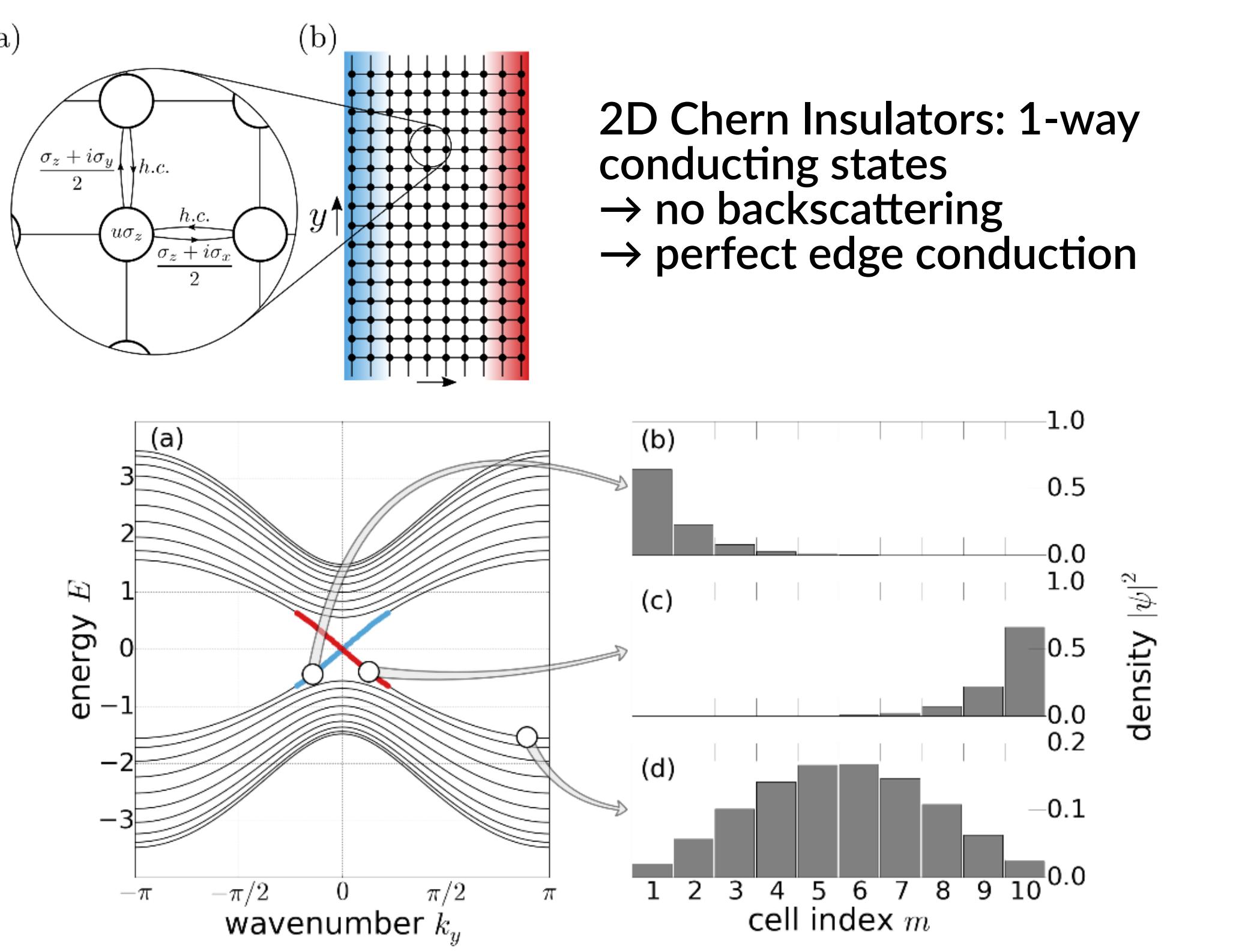


**Bulk:**  
-simple, can be clean,  
-most of the energy states  
-decides insulator/conductor

**Boundary/edge:**  
-disordered  
-few of the energy states  
-can hinder contact

**Topological Insulator:** has protected, extended midgap states on surface, which lead to robust, quantized physics





“Why call them *Topological* Insulators?”

a) Robust physics at the edge (2D: conductance via edge state channels) quantified by small integers

1D, quantum wire:

# of topologically protected  
0-energy states at ends of wire

3D:

# of Dirac cones on surface

Cannot change by continuous deformation that leaves bulk insulating

→ TOPOLOGICAL INVARIANT

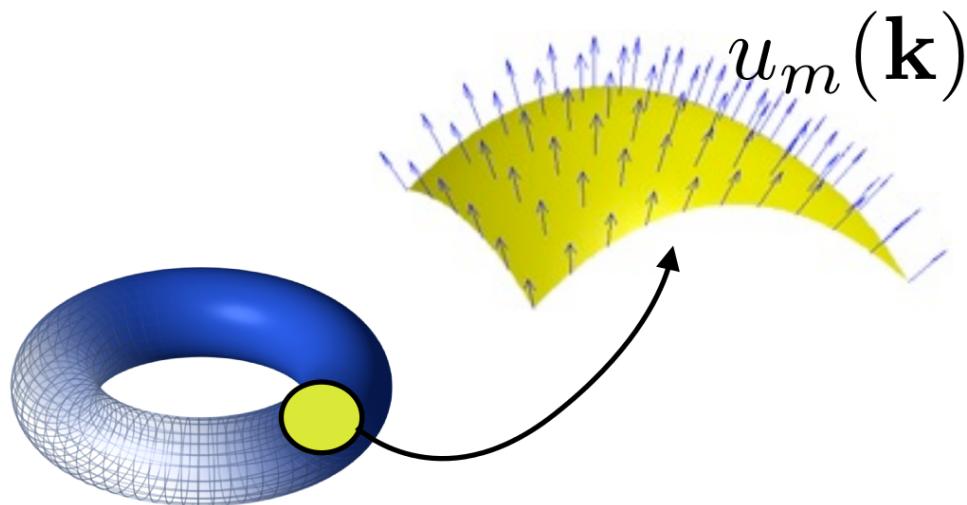
“Why call them *Topological* Insulators?”

b) Bulk description has a topological invariant, generalized “winding” in Brillouin Zone

Example: 2D, two levels:

$$\hat{H}(k) = \vec{h}(k) \hat{\vec{\sigma}}$$

Mapping from d-dimensional torus to Bloch sphere



Brillouin Zone

n : i

More general 2D: Chern number of occupied bands

$$A_\mu^{(n)}(k) = -i\langle n(k) | \partial_{k_\mu} | n(k) \rangle$$

$$F_{xy}^{(n)}(k) = \partial_{k_x} A_y^{(n)} - \partial_{k_y} A_x^{(n)}$$

$$Q^{(n)} = \frac{1}{2\pi} \int_{BZ} d^2 k F_{xy}^{(n)}(k)$$

# Central, beautiful idea of Topological Insulators: Bulk–boundary correspondence: “winding number” of bulk = # of edge states

weeks 1-5: gather tools, build intuition

week 6: Central aim of the course:  
prove bulk–boundary correspondence  
for the 2-dimensional case

weeks 7-10: generalize/understand

Further accessible sources:

- 3 lectures by Charles Kane (youtube)
- online course by Akhmerov&friends [topocondmat.org](http://topocondmat.org)

Lecture Notes in Physics 919

János K. Asbóth  
László Oroszlaný  
András Pályi

## A Short Course on Topological Insulators

Band-Structure and Edge States in One  
and Two Dimensions

 Springer

# Theory of topological insulators is quite developed. Example: periodic table

Symmetry			$\delta=d-D$								
$\Theta^2$	$\Xi^2$	$\Pi^2$	0	1	2	3	4	5	6	7	
0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	0	
0	0	1	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	
1	0	0	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	
1	1	1	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	
0	1	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	
-1	1	1	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	
-1	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	
-1	-1	1	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	
0	-1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	
1	-1	1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	

Schnyder et al, NJP (2010)  
 Teo & Kane, PRB (2010)  
 Fulga et al, PRB (2012)

# Quantum Walks can simulate Topological Insulators. They can be similar to a solid

split-step quantum walk on cubic lattice (3D, 2D, 1D)

Element 1: coin- (spin-) dependent shift,

$$\hat{S}_x = \sum_{\mathbf{r} \in \mathbb{Z}^3} |\mathbf{r} + \mathbf{e}_x, \uparrow\rangle \langle \mathbf{r}, \uparrow| + |\mathbf{r} - \mathbf{e}_x, \downarrow\rangle \langle \mathbf{r}, \downarrow|$$

Element 2: unitary rotation of coin (spin)

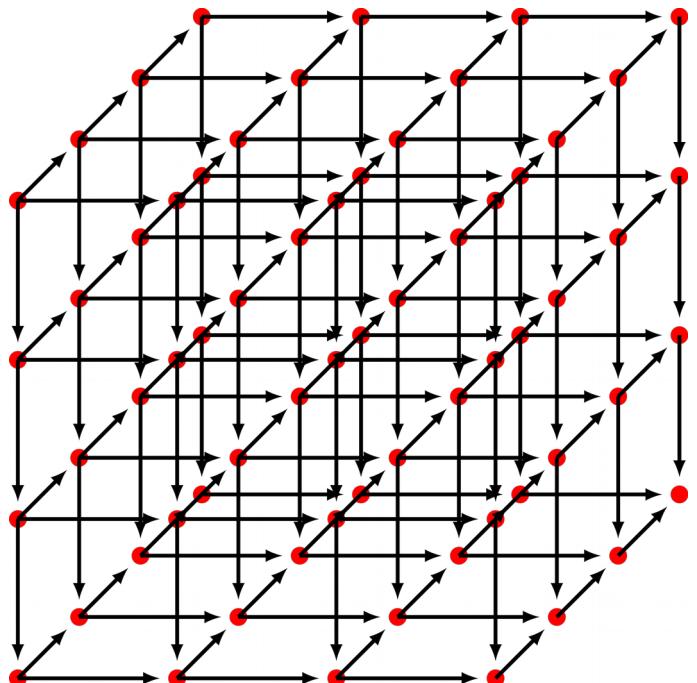
$$\hat{R}(\theta) = \sum_{\mathbf{r} \in \mathbb{Z}^3} |\mathbf{r}\rangle \langle \mathbf{r}| \otimes e^{-i\theta \hat{\sigma}_y} = \hat{1} \otimes \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

Timestep operator:

$$\hat{U} = \hat{S}_z \hat{R}_3 \hat{S}_y \hat{R}_2 \hat{S}_x \hat{R}_1$$

Quantum Walk discrete time evolution:

$$|\Psi(t)\rangle = \hat{U}^t |\Psi(0)\rangle, \quad \text{with } t \in \mathbb{N}$$



# Quantum Walk can simulate topological insulators via the (Floquet) Hamiltonian $H_{\text{eff}}$ . Intuitive understanding

Long-time behaviour: eigenstates of timestep operator  $U$   
Translation invariant “bulk”: momentum  $k$  good quantum number

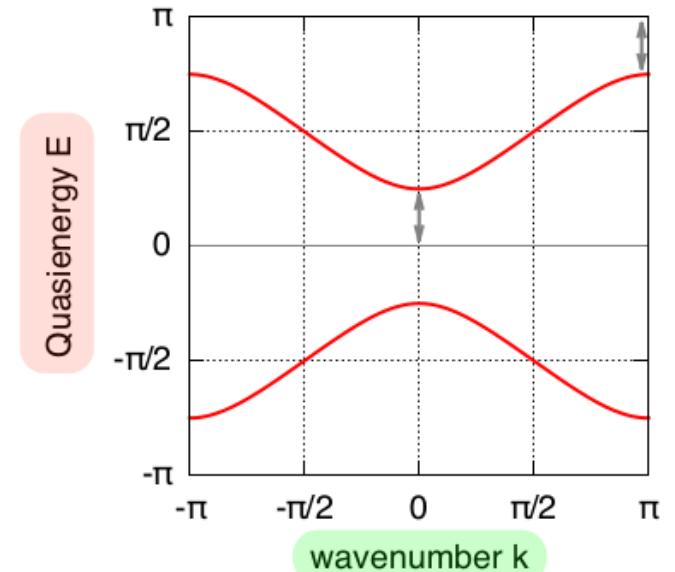
$$\hat{U} = \hat{S}_y \hat{R}_2 \hat{S}_x \hat{R}_1 = e^{-ik_y \hat{\sigma}_z} e^{-i\theta_2 \hat{\sigma}_y} e^{-ik_x \hat{\sigma}_z} e^{-i\theta_1 \hat{\sigma}_y} \quad \hat{H}_{\text{eff}} = i \log \hat{U}$$

$$|\Psi(t)\rangle = \hat{U}^t |\Psi(0)\rangle = e^{-i\hat{H}_{\text{eff}} t} |\Psi(0)\rangle$$

Stroboscopic simulation of time-independent  $H_{\text{eff}}$   
(coincide at integer times  $t$ )

Eigenstates of the walk are eigenstates of  $H_{\text{eff}}$

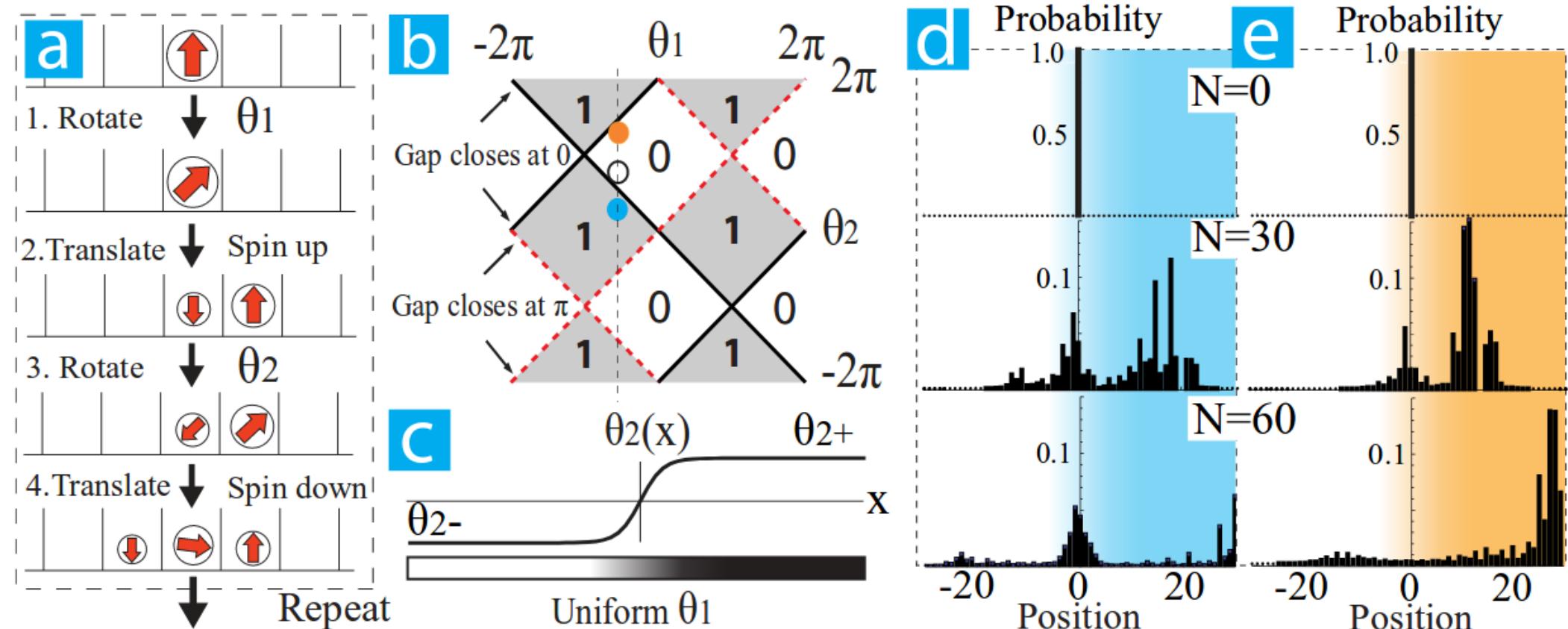
Explains ballistic spread



Discrete time  $\Rightarrow$  quasienergy, restricted  
to energy Brillouin zone:  $-\pi < E < \pi$

Discrete positions  $\Rightarrow$  quasimomentum,  
restricted to Brillouin zone:  $-\pi < k < \pi$

# Kitagawa et al, 2010: recipes for quantum walks to simulate topological insulators via Heff



Recipes in 1D, 2D: how to realize all symmetry classes

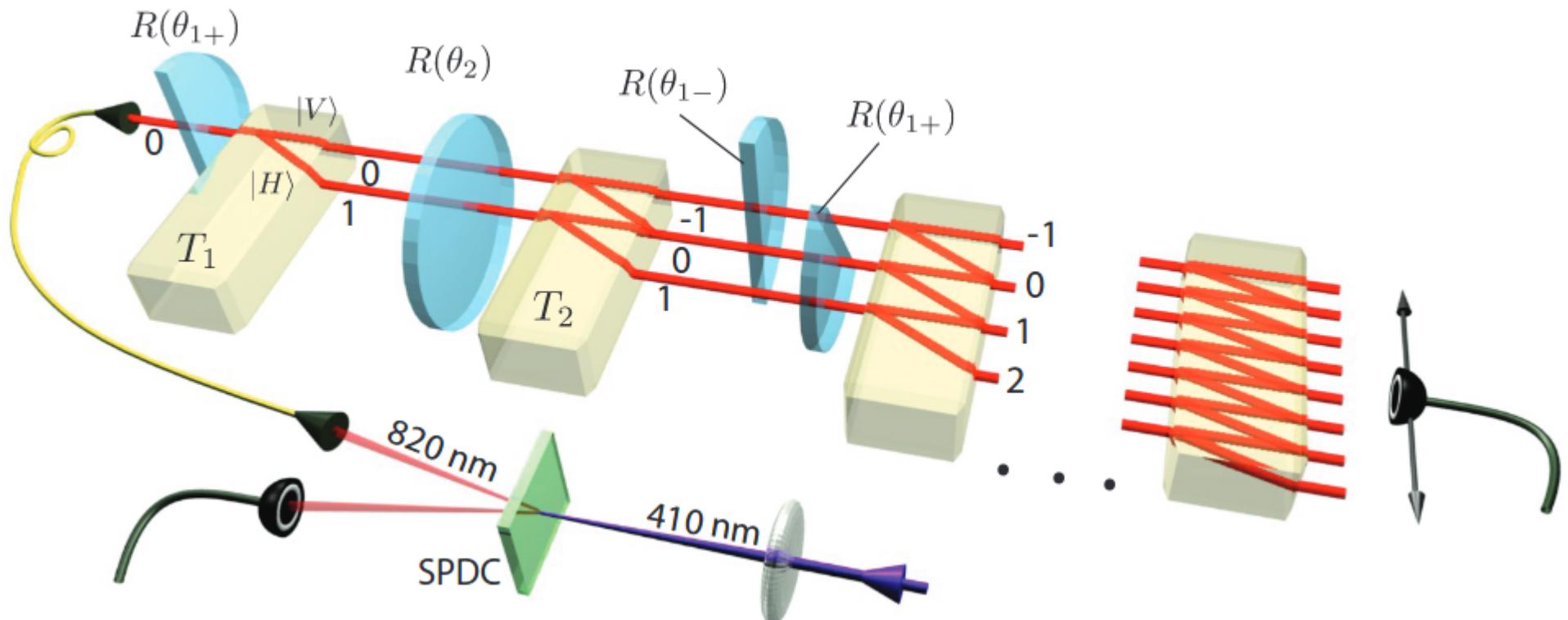
[Kitagawa, Rudner, Berg, Demler, PRA (2010)] → 233 citations

# Experiment, 2011 (White's group): 1-D split-step quantum walk on photons ...

1-D split-step quantum walk, create interface by tuning  $\theta_2$

$$\hat{U} = \hat{S}_y \hat{R}_2 \hat{S}_x \hat{R}_1$$

$$\hat{R}_j = e^{-i\theta_j \hat{\sigma}_y / 2}$$



[Kitagawa et al, Nat Comm (2012)]

# Quantum Walks as simulators for solid state Topological insulators: interesting Hamiltonians to simulate **Extra topological invariants of quantum walks**

Two methods to measure topological invariants, with disorder:

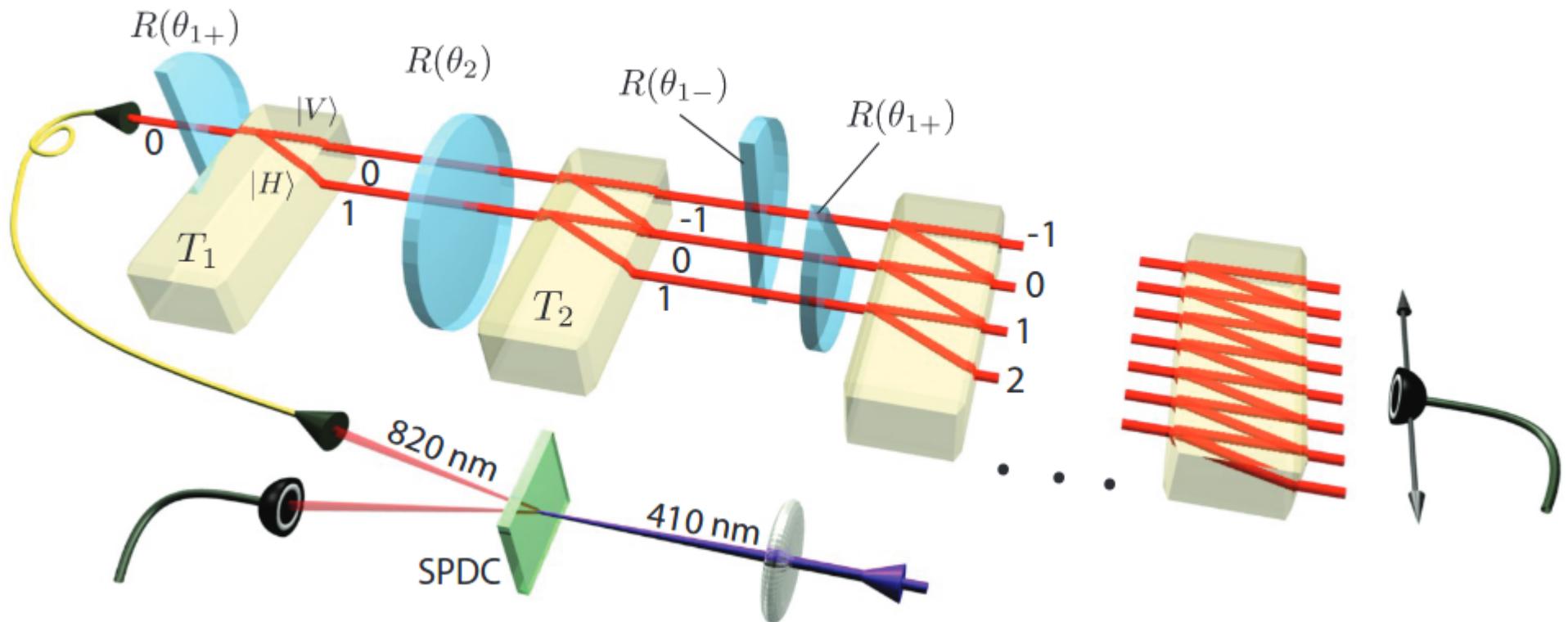
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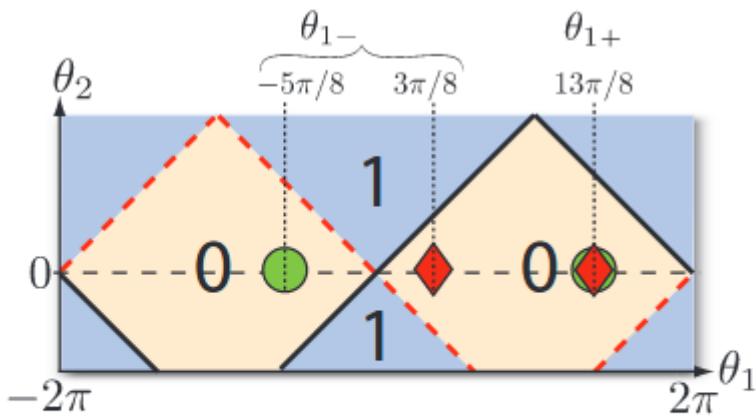
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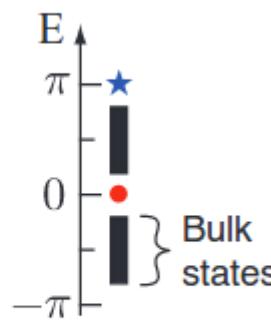
[Kitagawa et al, Nat Comm (2012)]

... experiment saw edge states where theory did not predict them

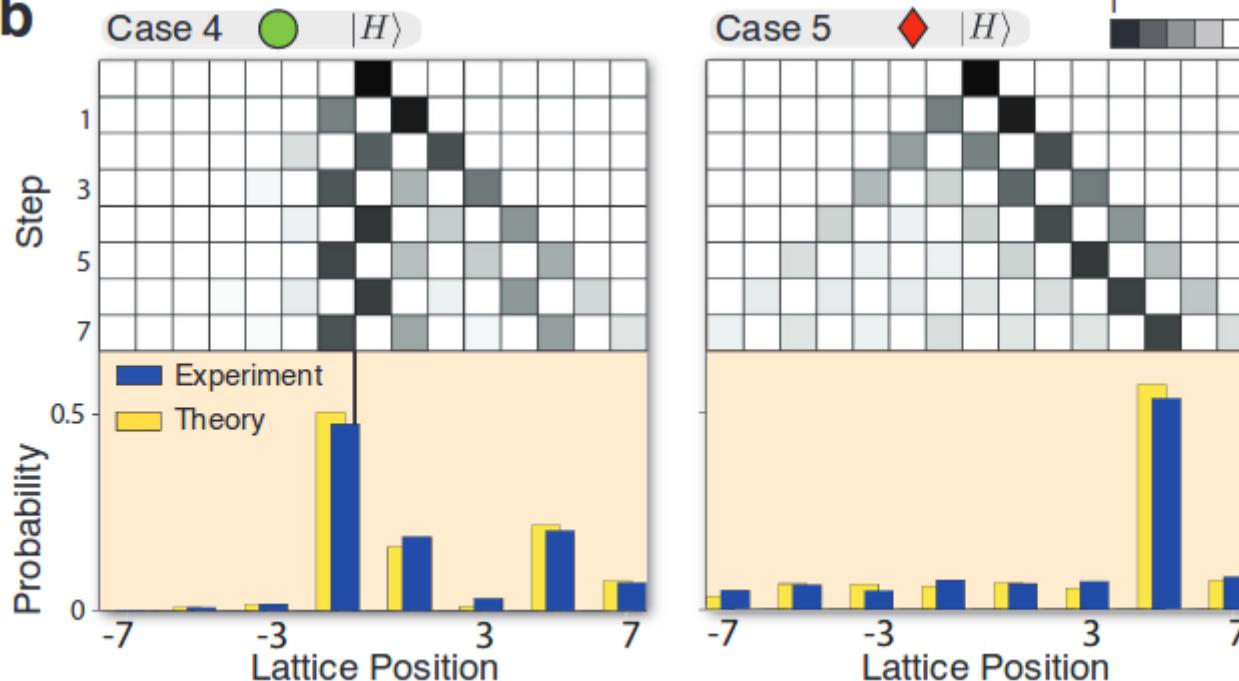
a



c



b



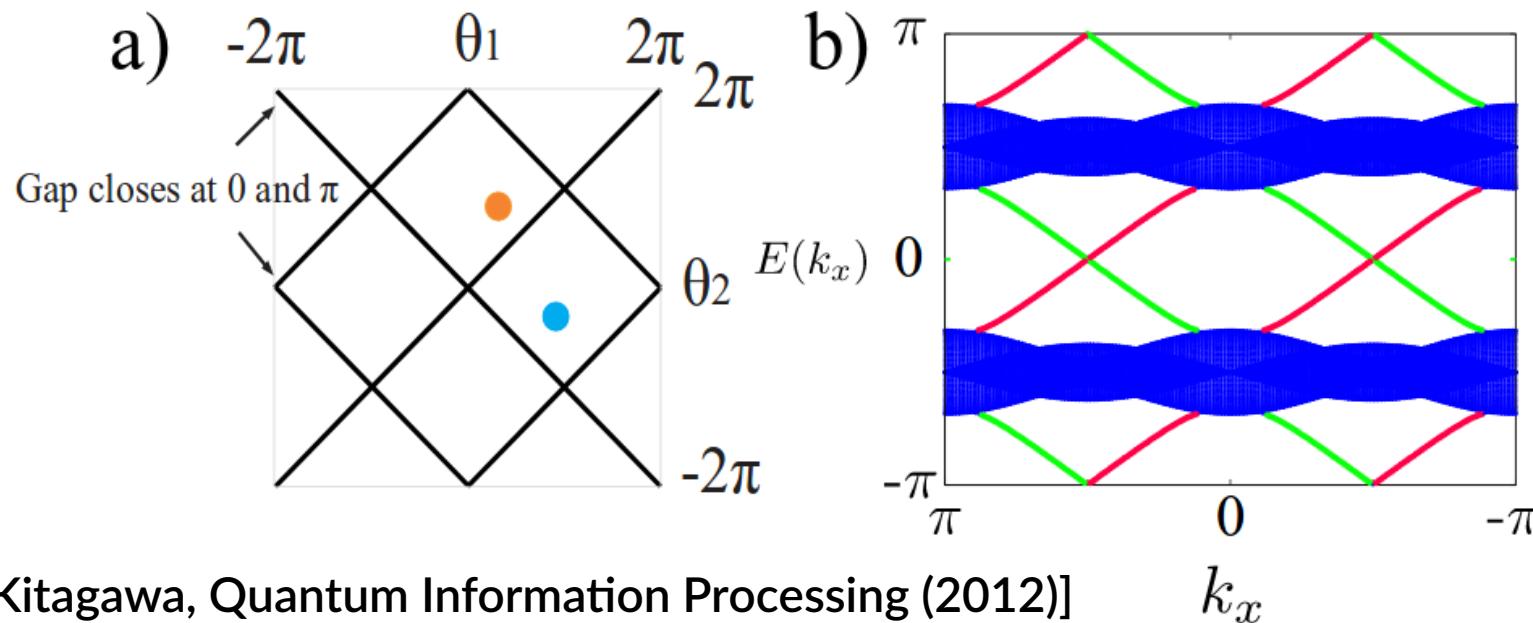
Pair of bound states at  
quasienergy 0 and  $\pi$   
protected, but not  
predicted

What is the bulk  
topological invariant?

# Kitagawa, 2011: protected edge state in 2-dimensional quantum walk, no bulk topological invariant

2-D split-step quantum walk has edge states at interface, even though Chern number = 0

$$\hat{U} = \hat{S}_y \hat{R}_2 \hat{S}_x \hat{R}_1 \quad \hat{R}_j = e^{-i\theta_j \hat{\sigma}_y / 2}$$



[Kitagawa, Quantum Information Processing (2012)]

What is the bulk topological invariant?

# We found the bulk topological invariant for both mysterious types of edge states

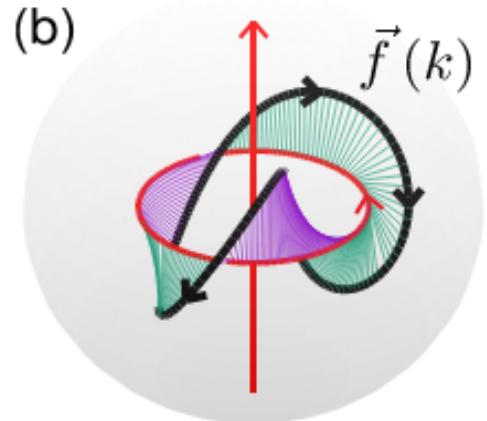
1-dimensional chiral symmetric quantum walks:

2 topological invariants

[Asboth & Obuse Phys Rev B (2013)]

[Asboth, Tarasinski, Delplace, Phys Rev B (2014)]

(b)

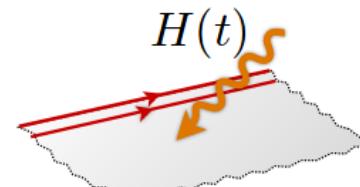
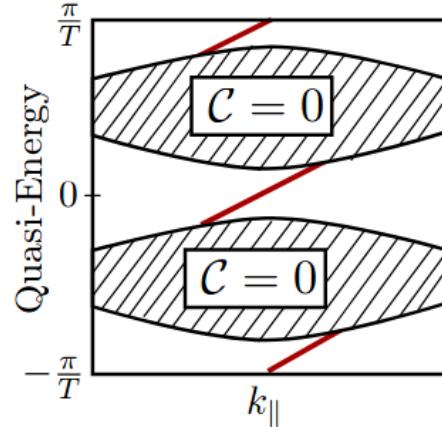


2-dimensional quantum walks without symmetry:

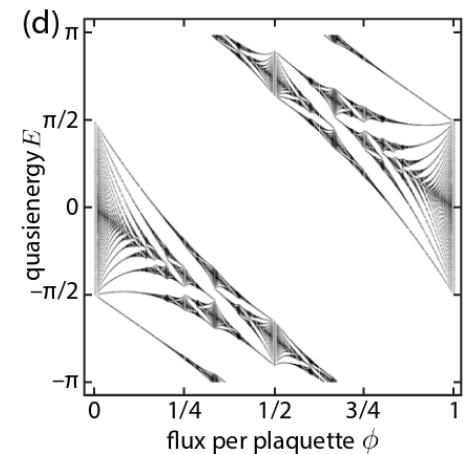
[Asboth & Edge, Phys Rev A (2015)]

by mapping to model of Rudner et al, Phys. Rev. X (2013)

- affects localization in 2D quantum walks [Edge & Asboth, Phys Rev B (2015)]
- can be measured by pseudomagnetic field [Asboth & Alberti, Phys Rev Lett (2017)]



Chiral edge modes  
for  $\mathcal{C} = 0$  bands



Quantum Walks as simulators for solid state  
Topological insulators: interesting Hamiltonians to simulate  
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**Two methods to measure topological invariants, with disorder:**

- Using scattering matrices
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Scattering theory of topological phases in discrete-time quantum walks  
B Tarasinski, JK Asbóth, JP Dahlhaus, Phys Rev A (2014)

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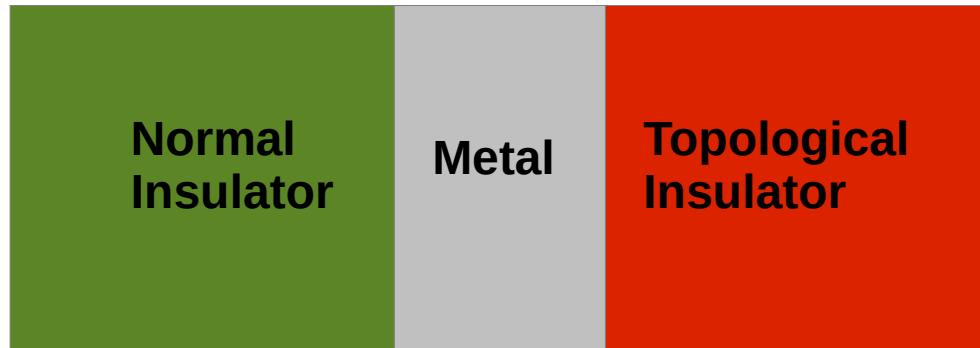
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# First method, borrowed from Hamiltonians: measure the scattering matrix



$$S = \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}$$

Are there bound states at zero energy between the two insulators?

Does an electron interfere constructively with itself? Bohr-Sommerfeld quantization

$$\det(1 - r_N r_{TI}) = 0$$

Simple formulas for all symmetry classes in 1D

[Fulga, Hassler, Akhmerov, Beenakker, Phys. Rev. B (2011)]

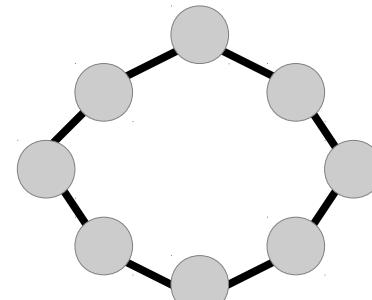
Generalizes via dimensional reduction to all dimensions, symmetry classes

[Fulga, Hassler, Akhmerov, Phys. Rev. B (2012)]

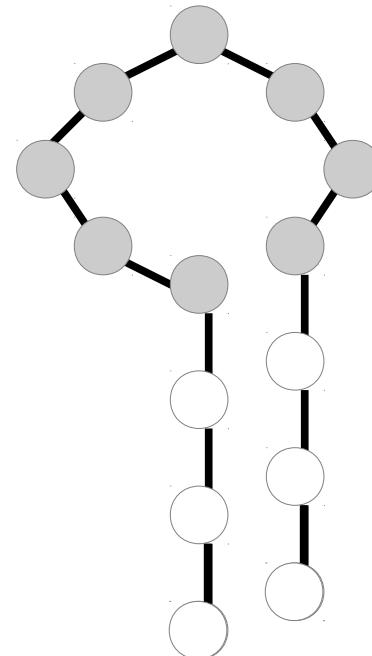
To define the scattering matrix, the system needs to be “opened up”

- 1) Open up the system
- 2) Attach leads
- 3) Define scattering matrix  $S$

1



2



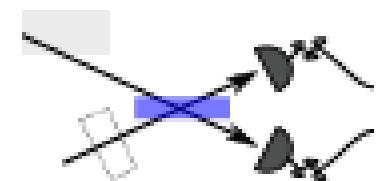
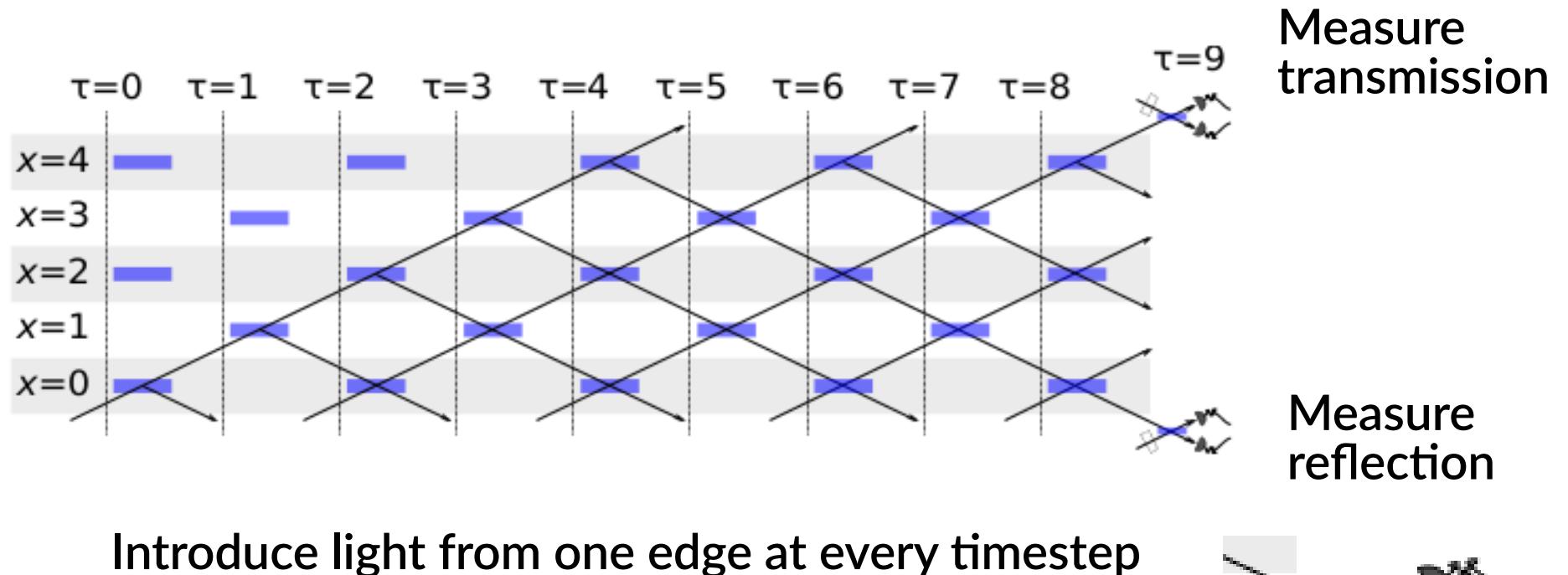
Mahaux-Weidmüller formula for continuous-time systems:

$$S = 1 + 2\pi i W^\dagger (\tilde{H} - i\pi W W^\dagger)^{-1} W.$$

Rewritten for discrete-time systems by Fyodorov&Sommers:

$$S(\epsilon) = \sigma_x e^{i\epsilon} \left[ w_2 \frac{1}{e^{-i\epsilon} - A} w_1 + S_0 \right]$$

# Can be transcribed to quantum walk on beam splitter array

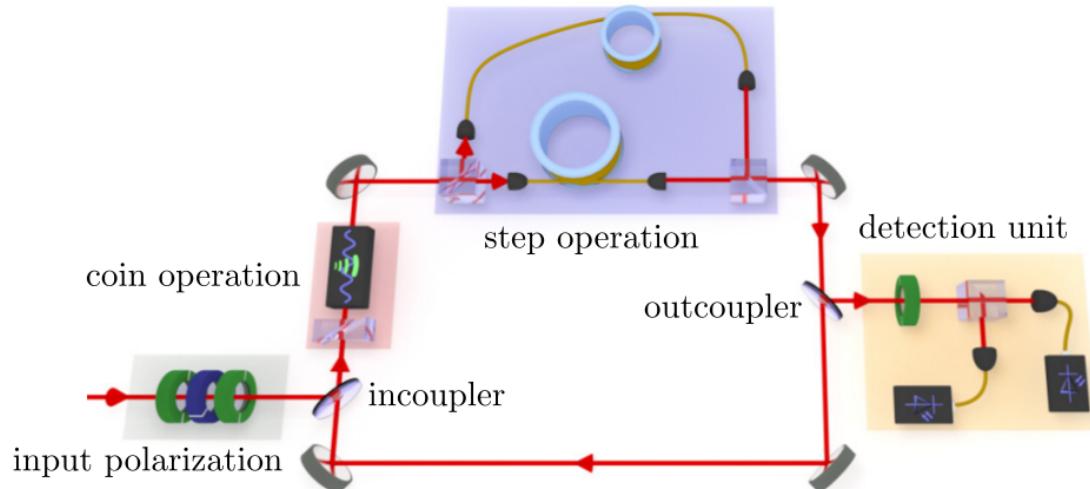


Introduce light only at  $t=0$ ,  
→ Measure reflection at every  $t$

$$r(\varepsilon) = \sum_{\tau=0}^{\infty} e^{i\varepsilon\tau} r(\tau)$$

[B Tarasinski, JK Asbóth, JP Dahlhaus, Phys Rev A (2014)]

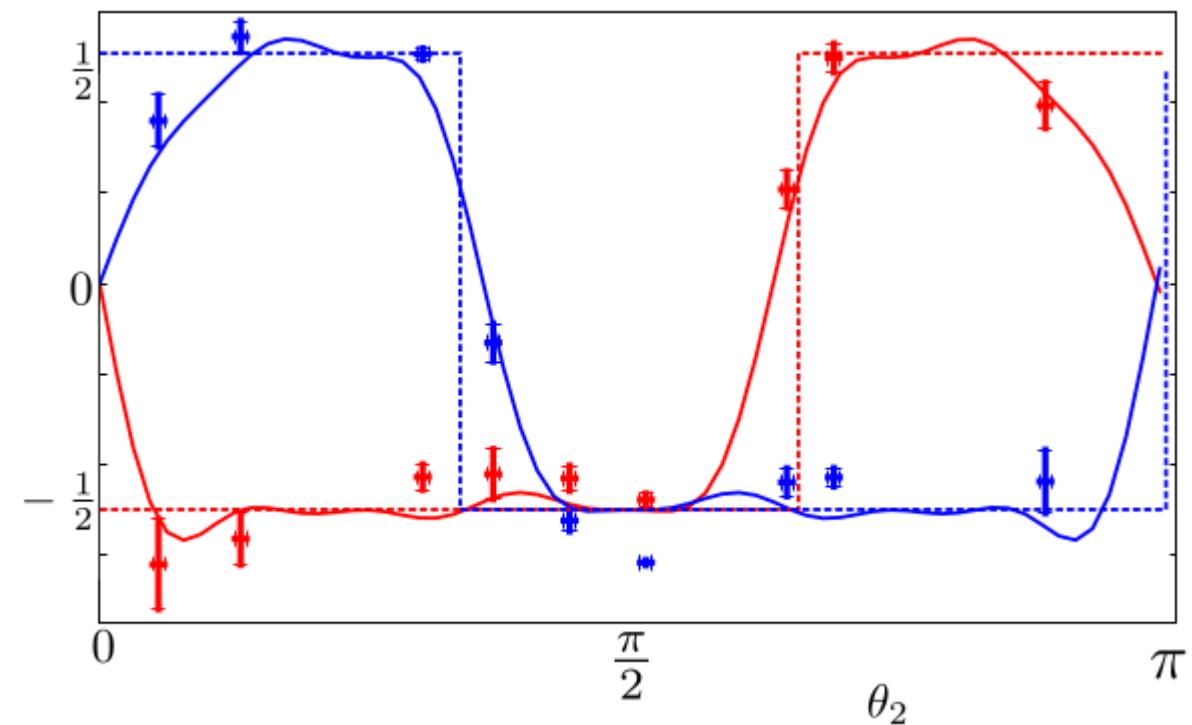
# Experiment using our proposal: 2017, Silberhorn group



Previously demonstrated:  
fluctuating disorder  
→ diffusion  
time-independent disorder  
→ Anderson localization  
[Schreiber et al, PRL (2011)]

- Implemented scattering setup
- Quantized reflection amplitudes
- Also with time-independent disorder (localized)
- Transition smoothed by finite sampling time

[Barkhofen et al, Phys. Rev. A (2017)]



Quantum Walks as simulators for solid state

Topological insulators: interesting Hamiltonians to simulate

Extra topological invariants of quantum walks

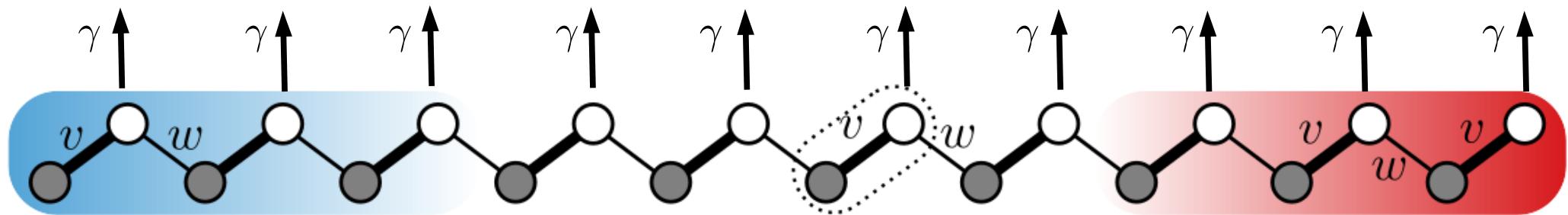
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# Second method, generalizing results of Rudner & Levitov about non-Hermitian SSH model

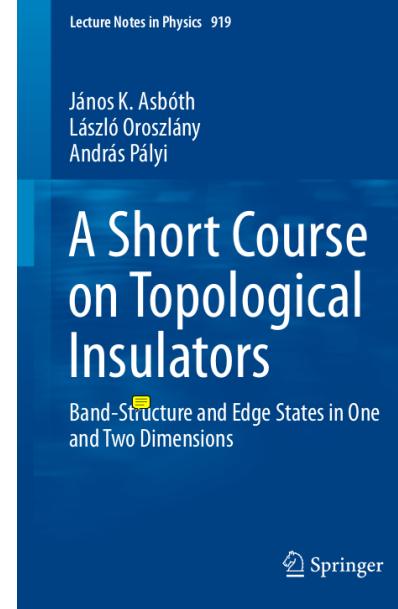


$$\hat{H} = v \sum_{m=1}^L (|m, B\rangle\langle m, A| + h.c.) + w \sum_{m=1}^{L-1} |m, B\rangle\langle m+1, A| + h.c.) - i\gamma \sum_{m=1}^L |m, B\rangle\langle m, B|$$

$\gamma=0$  : Su-Schrieffer-Heeger (SSH) model for polyacetylene (1979)  
mother of all topological insulators

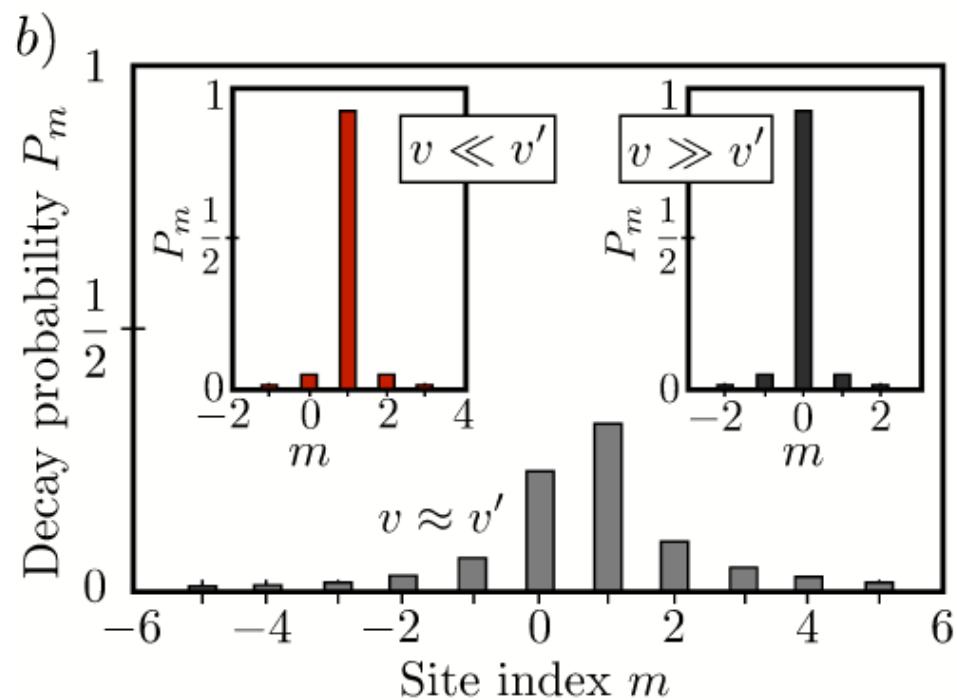
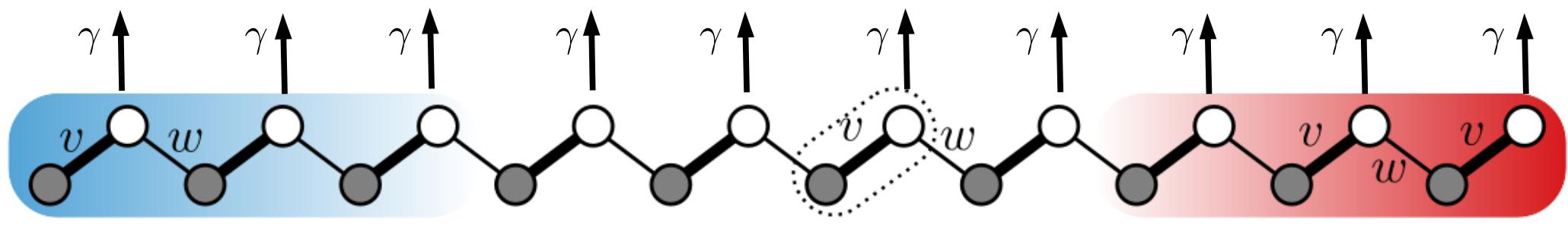
$\gamma>0$  : added by Rudner & Levitov to represent losses  
→ Nonhermitian Hamiltonian for conditional time evolution.  
Condition: no decay events.  
Norm of wavefunction = prob(condition holds)

[Rudner and Levitov, Phys. Rev. Lett. (2009)]



# Rudner and Levitov (2009): Nonhermitian SSH, expected displacement until decay = top. inv.

When decay happens, collect particle. Position of decay=displacement until decay



Insert single particle  
at  $m=0, A$

$$\bar{m} = \langle \Delta x \rangle = \nu$$

topological proof: mapping to a winding number

# Our questions

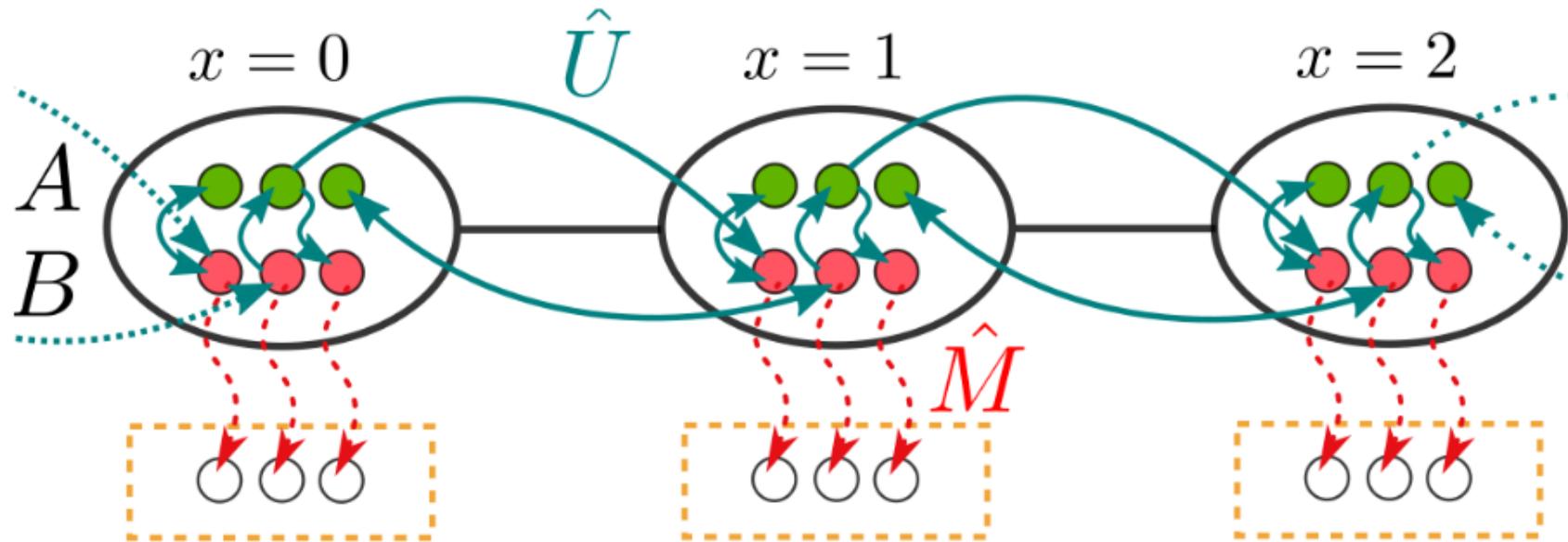
- Is Rudner & Levitov result general, or only specific to two-band model? (Their proof only works for two-band model)
- Is it valid for disordered systems?
- How to translate this to periodically driven systems?

$$\hat{H}(t) = \hat{H}(t + 1) \quad \hat{U} = \mathcal{T}e^{-i \int_0^1 \hat{H}(t) dt} = e^{-i \hat{H}_{\text{eff}}}$$

energy  $\rightarrow$  quasienergy  $E$

pair of winding numbers at  $E=0, E=\pi$  [Asboth & Obuse, PRB (2013)]

The way to realize losses is by weak measurement on sublattice B at the end of each driving cycle

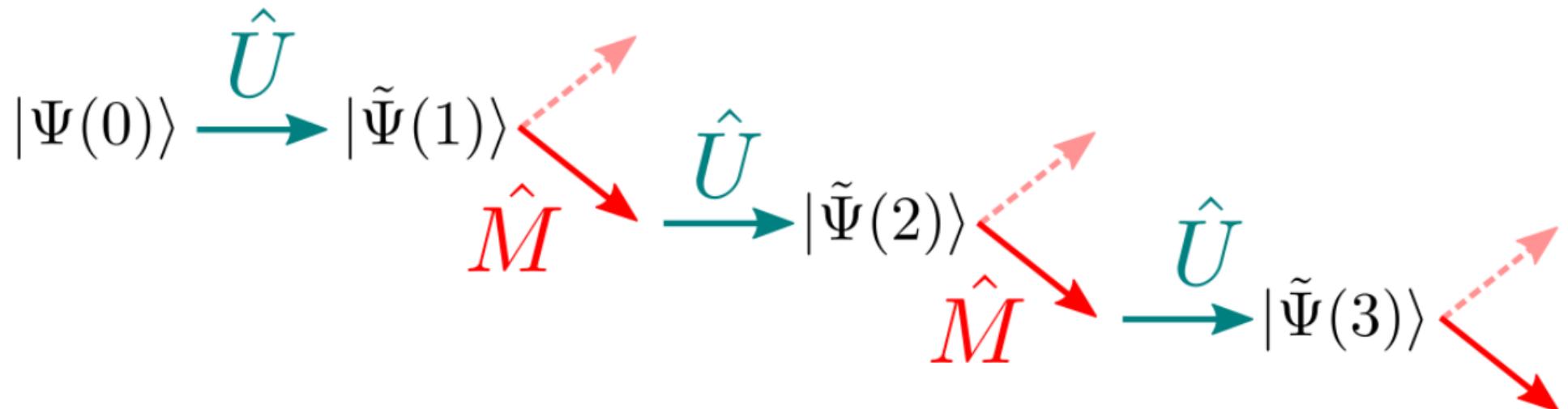


Effect of negative measurement:  
(particle not detected)

$$\hat{M} = \hat{P}_A + \sqrt{1 - p_M} \hat{P}_B$$

Measurement efficiency

# Continue time evolution until particle is detected



Conditional wavefunction:

$$|\tilde{\Psi}(t)\rangle = \hat{U} \left[ \hat{M} \hat{U} \right]^{t-1} |\Psi(0)\rangle$$

$$\hat{M} = \hat{P}_A + \sqrt{1 - p_M} \hat{P}_B$$

Static case: period time  $\rightarrow 0$ ,  $p_M \rightarrow 0$

# Expected displacement

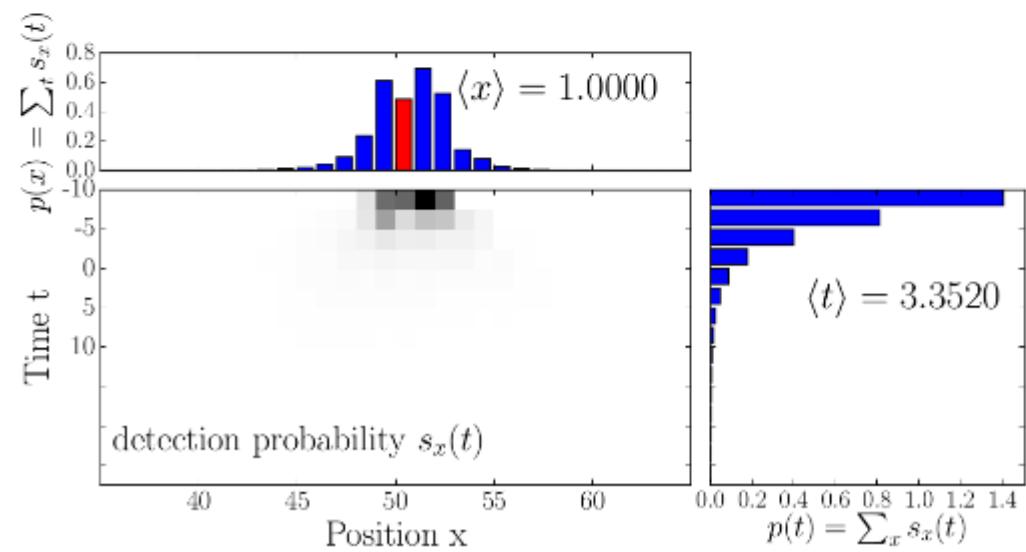
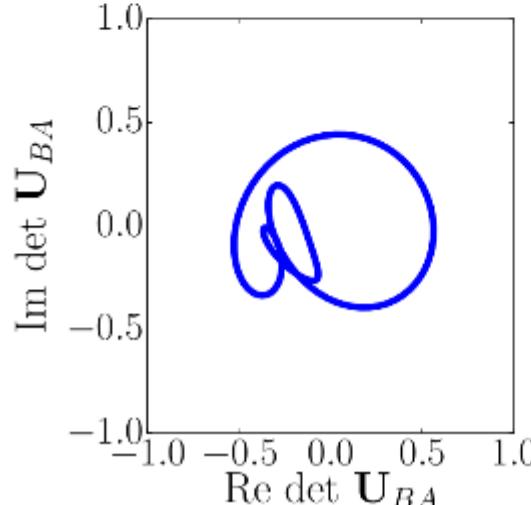
$\langle \Delta x \rangle = \text{topological invariant } u/N$

Expectation value of measured position:

$$\langle x \rangle \equiv \frac{p_M}{N} \sum_{t \in \mathbb{Z}^+} \sum_{x \in \mathbb{Z}} x \sum_{b=N+1}^{2N} \sum_{a=1}^N \left| \langle x, b | \hat{U}[\hat{M}\hat{U}]^{t-1} | x_0, a \rangle \right|^2$$

Translation invariance  $\rightarrow$

$$\langle \Delta x \rangle \equiv \langle x \rangle - x_0 = \nu/N$$

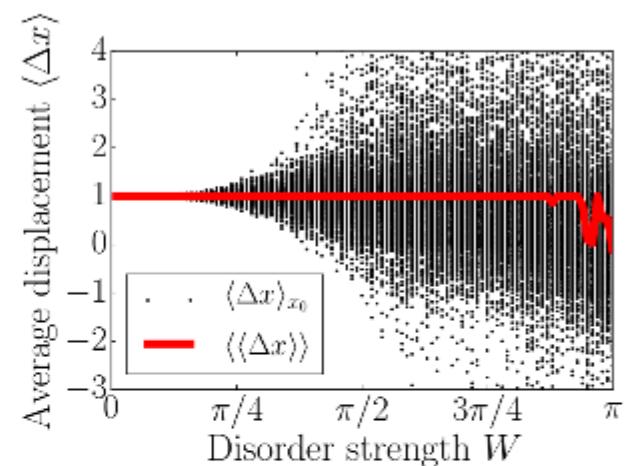


In the disordered case, averaging over initial position is needed:  $\langle\langle \Delta x \rangle\rangle = u/N$

Disorder  $\rightarrow$  Displacement depends on starting position

So let's average over them!

$$\langle\langle \Delta x \rangle\rangle = \frac{1}{L} \sum_{x_0} \langle \Delta x \rangle_{x_0}$$



Most general statement:

$$\langle\langle \Delta x \rangle\rangle = \frac{-2}{LN} \text{Tr} \left\{ \hat{X} \hat{G} \hat{P}_{(E>0)} \right\} = \frac{\nu}{N}$$

$$\hat{G} = \hat{P}_A - \hat{P}_B$$

We proved  $\langle\langle \Delta x \rangle\rangle = v$  using non-commutative geometry formulation of winding number

Noncommutative geometry for topological insulators: Lori & Hastings, Prodan  
for chiral symmetric (AIII): Mondragon-Shem et al, PRL (2014)

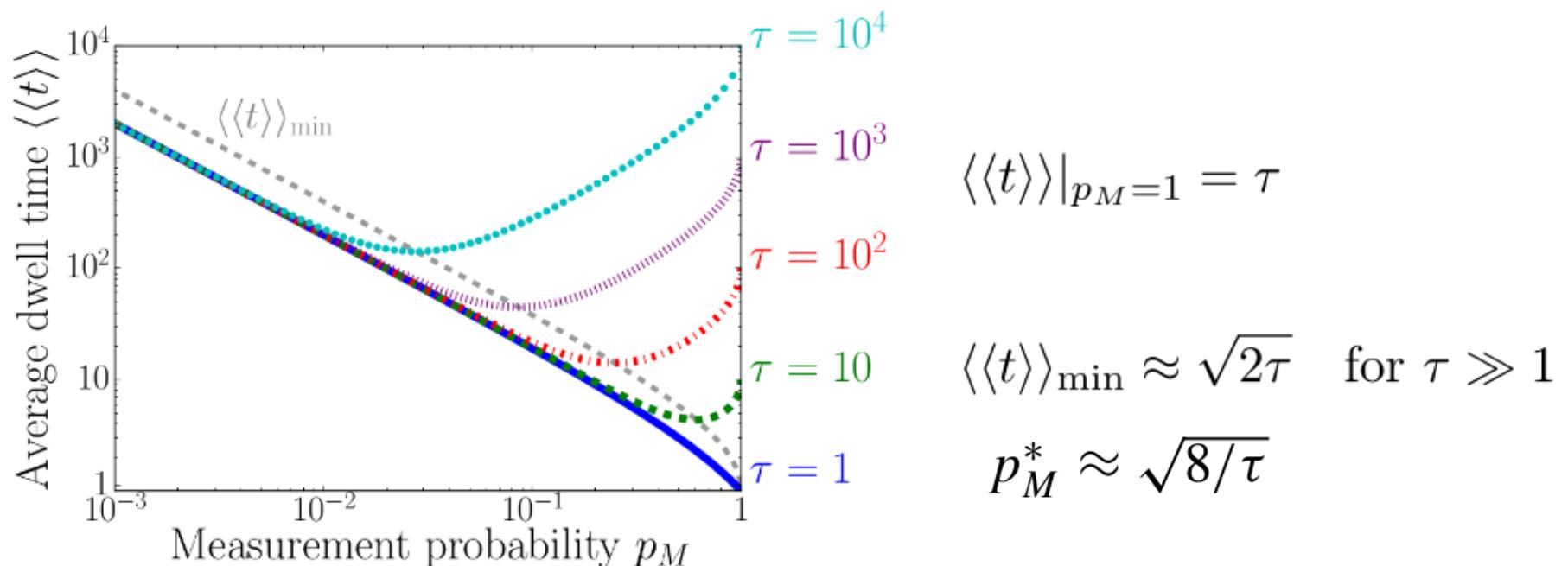
$$\nu = \frac{-(\pi i)^n}{(2n+1)!!} \sum_{\rho} (-1)^{\rho} \mathcal{T} \left\{ \prod_{i=1}^{2n+1} Q_{-+}[X_{\rho_i}, Q_{+-}] \right\}$$

Used this before on quantum walk, compared to scattering formulation of topological invariant [Rakovszky & Asboth, PRA (2015)]

# Fast readout can require weak measurement, if almost-dark states are present

Average dwell time:

$$\langle\langle t \rangle\rangle = \frac{p_M}{(1 + \sqrt{1 - p_M})^2} \underbrace{\int_{E=0}^{\pi} \frac{\rho(E)}{\sin^2 E} dE}_{\tau} + \frac{2\sqrt{1 - p_M}}{p_M}$$



# Experiment using our proposal: 2017, Peng Xue's group

PRL 119, 130501 (2017)

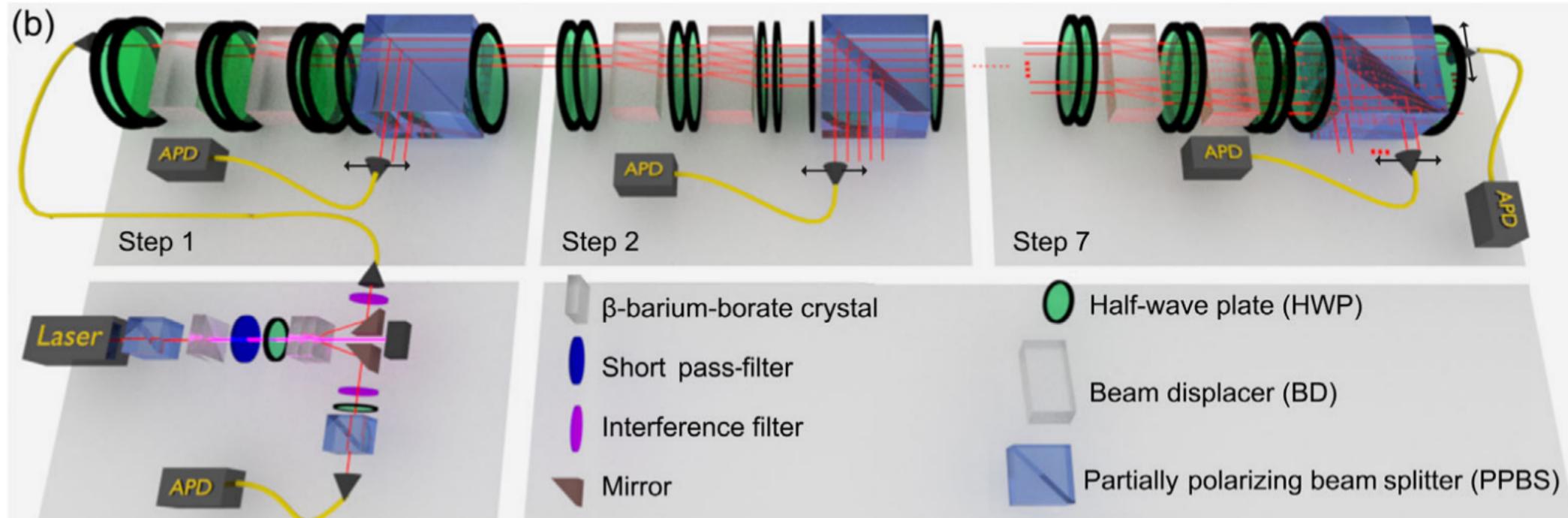
PHYSICAL REVIEW LETTERS

week ending  
29 SEPTEMBER 2017

## Detecting Topological Invariants in Nonunitary Discrete-Time Quantum Walks

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# Topological invariants using displacement: Open questions, related work

- Does something like this work in 3 dimensions?
- Massignan & collaborators have since found similar results for  $\langle \Delta x \rangle$  defined for Hermitian Hamiltonians, in long-time limit. Precise equivalence?

1. arXiv:1802.02109 [pdf, other]

## Observation of the topological Anderson insulator in disordered atomic wires

Eric J. Meier, Fangzhao Alex An, Alexandre Dauphin, Maria Maffei, Pietro Massignan, Taylor L. Hughes, Bryce Gadway

Comments: 6 pages, 3 figures; 9 pages of supplementary materials

Subjects: Quantum Gases (cond-mat.quant-gas); Disordered Systems and Neural Networks (cond-mat.dis-nn); Quantum Physics (quant-ph)

2. arXiv:1708.02778 [pdf, other]

## Topological characterization of chiral models through their long time dynamics

Maria Maffei, Alexandre Dauphin, Filippo Cardano, Maciej Lewenstein, Pietro Massignan

Journal-ref: New J. Phys. 20, 013023 (2018)

Subjects: Other Condensed Matter (cond-mat.other); Mesoscale and Nanoscale Physics (cond-mat.mes-hall); Quantum Gases (cond-mat.quant-gas); Quantum Physics (quant-ph)

3. arXiv:1610.06322 [pdf, other]

## Detection of Zak phases and topological invariants in a chiral quantum walk of twisted photons

F. Cardano, A. D'Erico, A. Dauphin, M. Maffei, B. Piccirillo, C. de Lisio, G. De Filippis, V. Cataudella, E. Santamato, L. Marrucci, M. Lewenstein, P. Massignan

Comments: 10 pages, 7 color figures (incl. appendices) Close to the published version

Journal-ref: Nature Commun. 8, 15516 (2017)

Subjects: Mesoscale and Nanoscale Physics (cond-mat.mes-hall); Quantum Gases (cond-mat.quant-gas); Optics (physics.optics); Quantum Physics (quant-ph)

# Summary of this talk

- Quantum Walks as simulators for solid state  
Topological insulators: interesting Hamiltonians to simulate
- Extra topological invariants of quantum walks
- Two methods to measure topological invariants, with disorder:
  - Using scattering matrices
  - Using weak measurement & expected displacement

Scattering theory of topological phases in discrete-time quantum walks  
B Tarasinski, JK Asbóth, JP Dahlhaus, Phys Rev A (2014)

Detecting topological invariants in chiral symmetric insulators via losses  
T Rakovszky, JK Asbóth, A Alberti, Phys Rev B (2017)

# My collaborators on these projects



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