

# (KTXFI2EBNF) Physics II. Lecture

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#### Where are we? I

- Motion of charged particles in electromagnetic fields.
- ► Elements of quantum mechanics. Heisenberg's uncertainty principle. The stationary Schrödinger equation and its applications.
- Limits of the classical conceptual framework. Thermal radiation. Photoelectric effect. Compton effect. The dual nature of electromagnetic radiation. The dual nature of particles.
- Moving reference frames. Inertial forces in accelerating reference frames. Elements of special relativity. Dirac equation, antimatter.
- ► The classical theory of atomic structure (Rutherford, Franck-Hertz experiment, Bohr model, quantum numbers, Pauli exclusion principle).
- Physics of condensed matter. Metallic bonding. Electrical conduction in metals based on the free electron model and the wave model. Hall effect. Band theory of solids.

#### Where are we? II

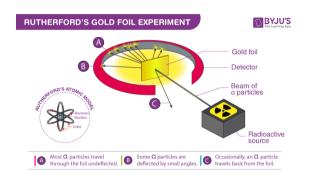
- Semiconductors. Elements of Fermi-Dirac statistics. Thermoelectric phenomena. Magnetic properties.
- ► Ferroelectricity. Piezoelectricity and electrostriction. Liquid crystals. Superconductivity.
- ► Luminescence. Lasers. Basic knowledge of nuclear physics. Basic knowledge of particle physics.

#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle I



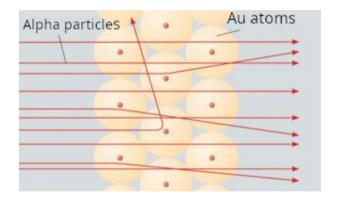
- Plum Pudding Model
  - ▶ J.J. Thomson (1897): The atom's "plum pudding" model.
  - The atom is overall neutral: positive charge distributed uniformly in a sphere.
  - Analogy:
    - ightharpoonup Dough ightarrow positive charge
    - ▶ Raisins → negative electrons

#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle II



- Rutherford Atomic Model (1909–1911)
  - University of Manchester: Hans Geiger, Ernest Marsden under the direction of Ernest Rutherford.
  - Gold foil scattering experiment using alpha particles.
  - Expected: Alpha particles pass through with small deflections.
  - Observed: Some alpha particles scattered at large angles.

#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle III



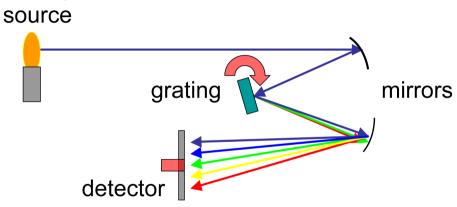
- Explanation of the experiment
  - ► If the atom followed the Plum Pudding Model, alpha particles would not scatter strongly.
  - Observation implies the existence of a massive, positively charged, localized scattering center the nucleus.

#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle IV

- Rutherford Model Characteristics
  - ▶ The atom's mass is concentrated in the nucleus.
  - ▶ Electrons revolve in circular orbits held by electrostatic (Coulomb) attraction.
  - ► Flaw: Accelerating charges radiate energy → the electron would spiral into the nucleus.
  - Since this does not occur, the model is incomplete.

#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle V

Spectral Analysis



# Atomic Models, Quantum Numbers, and Pauli Exclusion Principle VI

- Precursors to the Bohr Model
  - ▶ Johann Balmer (1825–1898): Hydrogen shows a line spectrum.

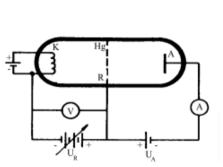
$$\frac{1}{\lambda} = R_H \left( \frac{1}{2^2} - \frac{1}{n^2} \right), \quad n = 3, 4, 5, \dots$$

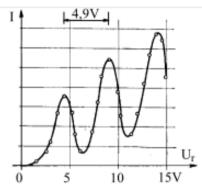
▶ Johannes Rydberg (1854–1919): Extended formula to other atoms.

$$\frac{1}{\lambda} = R_H \left( \frac{1}{n_1^2} - \frac{1}{n_2^2} \right)$$

# Atomic Models, Quantum Numbers, and Pauli Exclusion Principle VII

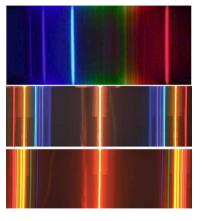
► Franck-Hertz Experiment: Mercury atoms absorb discrete energies, e.g.  $hf = 4.9 \,\mathrm{eV}$ .





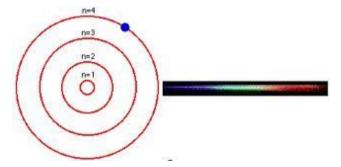
#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle VIII

► Spectra: H, He, and Ne atoms, respectively



#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle IX

- Bohr Atomic Model
  - Atoms exist in stationary states with definite energies  $E_1, E_2, \ldots$  no radiation occurs.
  - ▶ Electrons orbit the nucleus only on specific paths with discrete energies.

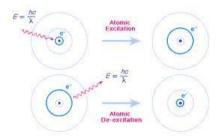


# Atomic Models, Quantum Numbers, and Pauli Exclusion Principle X

- ► Bohr Model: Energy Transitions
  - ► Transition between levels involves photon emission or absorption:

$$W_n - W_k = hf$$

▶ The photon energy equals the energy difference between levels.



#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle XI

▶ Bohr Model: Quantization of Angular Momentum

$$mrv = n\frac{h}{2\pi} = n\hbar$$

- ightharpoonup Only orbits where the electron's angular momentum is an integer multiple of  $\hbar$  are allowed.
- Principal quantum number: n

# Atomic Models, Quantum Numbers, and Pauli Exclusion Principle XII

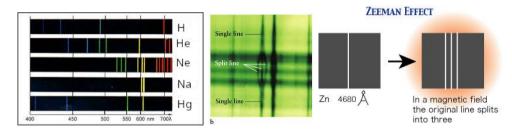
- Bohr–Sommerfeld Model
  - Fine structure of spectral lines observed.
  - Sommerfeld introduced elliptical orbits:

$$L=I\frac{h}{2\pi}$$

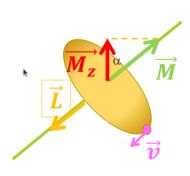
▶ Azimuthal (orbital) quantum number: l = 0, 1, 2, ..., n-1

#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle XIII

- Zeeman Effect
  - ▶ Pieter Zeeman (1865–1943):
  - In a strong magnetic field, spectral lines split into components the normal Zeeman effect.



#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle XIV



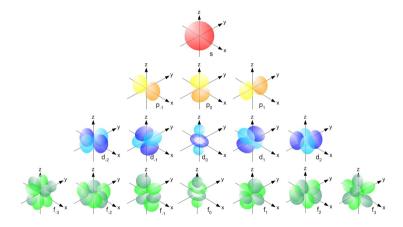
- Magnetic Quantum Number
  - ▶ Bohr magneton:  $M_B = \frac{eh}{4\pi m_e}$
  - Magnetic dipole moment:  $M = M_B I$
  - ightharpoonup z-component:  $M_z = M_B I \cos \alpha$
  - Magnetic quantum number:  $m = -1, \dots, 0, \dots, +1$
- ► Spin
  - Spin angular momentum:  $L_S = \pm \frac{1}{2} \frac{h}{2\pi}$
  - **Spin quantum number:**  $s = \pm \frac{1}{2}$

#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle XV

- ► Pauli Exclusion Principle
  - No two electrons in an atom can share the same set of four quantum numbers.
  - ▶ Only one electron can occupy a given quantum state.

#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle XVI

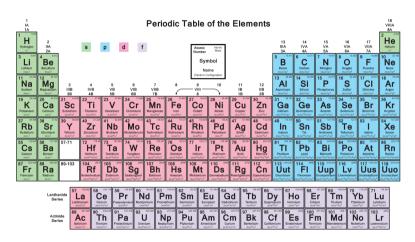
Electron structure



# Atomic Models, Quantum Numbers, and Pauli Exclusion Principle XVII

Principal QN	Orbital QN	Magnetic QN	Subshell	Spin QN	Max No of electrons
1	0	0	1s	$\frac{1}{2} + \frac{1}{2}$	2
2	0	0	2s	$\frac{1}{2} + \frac{1}{2}$	2 } 8
	1	1,0,+1	2p	$\frac{1}{2} + \frac{1}{2}$	6
3	0	0	3s	$\frac{1}{2} + \frac{1}{2}$	2]
	1	1,0,+1	3р	$\frac{1}{2} + \frac{1}{2}$	6 18
	2	2, 1, 0, +1, +2	3d	$\frac{1}{2} + \frac{1}{2}$	10
4	0	0	4s	$\frac{1}{2} + \frac{1}{2}$	2]
	1	1,0,+1	4p	$\frac{1}{2} + \frac{1}{2}$	6 32
	2	2, 1, 0, +1, +2	4d	$\frac{1}{2} + \frac{1}{2}$	10 32
	3	3, 2, 1, 0, +1, +2, +3	4f	$\frac{1}{2} + \frac{1}{2}$	14

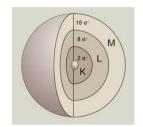
#### Atomic Models, Quantum Numbers, and Pauli Exclusion Principle XVIII

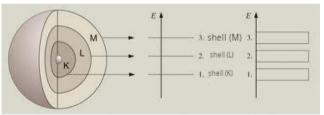


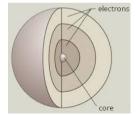
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# Atomic Models, Quantum Numbers, and Pauli Exclusion Principle XIX

Atom	Atomic Orbits	Electron Formula
,H	Is <sup>1</sup>	H·
2He	1s <sup>2</sup>	Hel
3Li	(1s2) 2s1	Li-
4Be	(1s2) 2s2	Bei
5B	(1s2) 2s2, 2p1	IB.
ьC	(1s2) 2s2, 2p2	ıċ.
7N	(1s2) 2s2, 2p3	nN-
,0	(1s2) 2s2, 2p4	ıō.
οF	(1s2) 2s2, 2p5	1Ē.
10Ne	(1s2) 2s2, 2p6	1Ne1







#### Wave-Particle Duality I

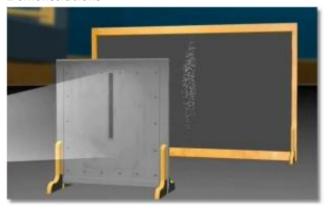
- Light and matter exhibit both particle and wave properties.
- ▶ De Broglie Matter-Wave Theory
  - Louis de Broglie (1892–1987):
  - ▶ Based on light's dual nature, proposed that particles have wavelength:

$$\lambda = \frac{h}{p} = \frac{h}{mv}$$

- ▶ De Broglie wavelength:  $\lambda = h/p$
- ▶ Verified by the Davisson–Germer experiment (electron diffraction).

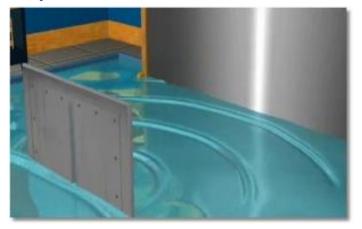
# Wave-Particle Duality II

► Wave-Particle Demonstrations



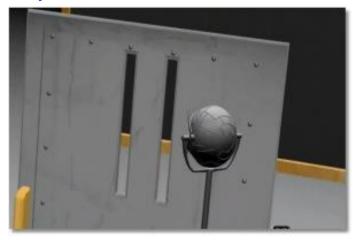
 $1 \; \mathsf{slit} + \mathsf{light} \to \mathsf{particle} \; \mathsf{pattern}$ 

# Wave-Particle Duality III



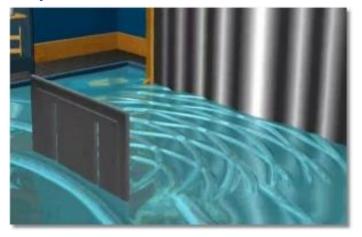
 $1 \; \mathsf{slit} + \mathsf{light} \to \mathsf{waves}$ 

# Wave-Particle Duality IV



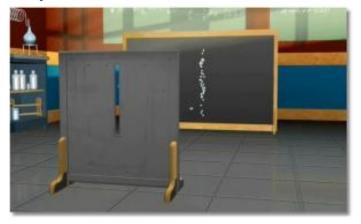
 $2 \text{ slits} + \text{light} \rightarrow \text{interference fringes}$ 

# Wave-Particle Duality V



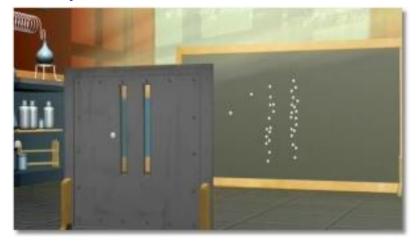
 $2 \text{ slits} + \text{light} \rightarrow \text{interference fringes}$ 

# Wave-Particle Duality VI



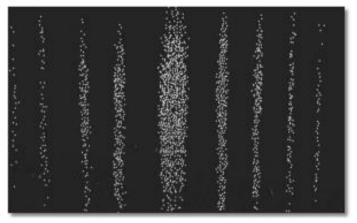
 $1 \ \mathsf{slit} + \mathsf{electron} o \mathsf{particle} \ \mathsf{pattern}$ 

# Wave-Particle Duality VII



 $2 \text{ slits} + \text{electrons} \rightarrow \text{interference pattern}$ 

# Wave-Particle Duality VIII



2 slits + electrons  $\rightarrow$  interference pattern **Conclusion:** Electrons have wave properties!

#### Elements of Quantum Mechanics I

- ► Fundamental principles governing atomic and subatomic systems.
- ► Heisenberg Uncertainty Principle
  - Certain pairs of quantities (e.g. position and momentum) cannot be measured simultaneously with arbitrary precision.
  - ► Relations:

$$\Delta t \Delta E \geq h, \qquad \Delta x \Delta p \geq h$$

#### Elements of Quantum Mechanics II

► Heisenberg's first uncertainty principle:

$$t = \frac{1}{f} \Rightarrow \Delta t \geq \frac{1}{f}$$

$$W = h \cdot f \Rightarrow \Delta W = h \cdot \Delta f \Rightarrow \frac{1}{\Delta f} = \frac{h}{\Delta W},$$

therefore,  $\Delta t \leq \frac{h}{\Delta W}$ . So,

$$\Delta t \cdot \Delta W \leq h$$
.

In the physical description of micro-objects, the product of the uncertainties in energy and time cannot be smaller than Planck's constant. Example: The exact energy of a photon could only be determined from measurements carried out over an infinitely long period.

#### Elements of Quantum Mechanics III

► Heisenberg's second uncertainty principle:  $f = \frac{\nu}{\lambda} \Rightarrow$  the finite difference with respect to  $\lambda$ :  $\frac{\Delta f}{\Delta \lambda} = -\frac{\nu}{\lambda^2}$ . Rearranging:

$$-\frac{\Delta f \cdot \lambda^2}{\Delta \lambda} = \nu$$
$$-\frac{\lambda^2}{\Delta \lambda} = \frac{\nu}{\Delta f}.$$

The de Broglie equation:  $p = \frac{h}{\lambda}$ . Take the finite difference with respect to  $\lambda$ :

$$\frac{\Delta p}{\Delta \lambda} = -\frac{h}{\lambda^2}.$$

Rearranging:

$$-\frac{\Delta p \cdot \lambda^2}{\Delta \lambda} = h$$

# Elements of Quantum Mechanics IV

$$-\frac{\lambda^2}{\Delta\lambda} = \frac{h}{\Delta p}.$$

► Heisenberg's second uncertainty principle:

$$-\frac{\lambda^2}{\Delta\lambda} = \frac{\nu}{\Delta f}$$

and

$$-\frac{\lambda^2}{\Delta\lambda} = \frac{h}{\Delta p}.$$

Therefore

$$rac{
u}{\Delta f} = rac{h}{\Delta p}.$$

So,

#### Elements of Quantum Mechanics V

$$v\cdot \Delta t \geq \frac{h}{\Delta p}$$
.

However,

$$v \cdot \Delta t = \Delta x$$
,

hence:

$$\Delta x \geq \frac{h}{\Delta p'},$$

or

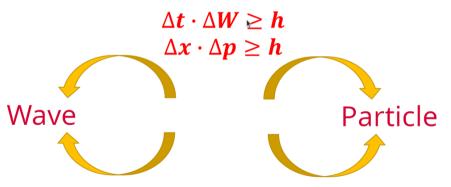
$$\Delta x \cdot \Delta p \geq h$$
.

In the physical description of micro-objects, the product of the uncertainties of momentum and position cannot be smaller than Planck's constant.

Example: the orbit of an electron cannot be precisely described. We cannot, for instance, determine its exact velocity at a given position.

#### Elements of Quantum Mechanics VI

- ► Bohr's Complementarity Principle
  - ▶ Wave and particle properties are complementary aspects of microscopic objects.
  - ▶ Both are needed for a complete description.



# The Dirac Equation and Its Physical Meaning I

▶ Dirac equation (in covariant form):

$$(i\hbar\gamma^{\mu}\partial_{\mu}-mc)\,\psi=0$$

- Explanation of symbols:
  - $\psi$ : Dirac spinor (a four-component wave function describing spin- $\frac{1}{2}$  particles, e.g. electrons)
  - m : rest mass of the particle
  - c : speed of light in vacuum
  - $\hbar$ : reduced Planck constant  $(\hbar = \frac{h}{2\pi})$
  - $ightharpoonup \partial_{\mu}$  : four-gradient operator

$$\partial_{\mu} = \left( rac{1}{c} rac{\partial}{\partial t}, -
abla 
ight)$$

# The Dirac Equation and Its Physical Meaning II

 $ightharpoonup \gamma^{\mu}$  : Dirac gamma matrices ( $\mu=0,1,2,3$ ), satisfying

$$\{\gamma^{\mu},\gamma^{\nu}\}=2\mathsf{g}^{\mu\nu}\mathsf{I},$$

where  $g^{\mu\nu}$  is the Minkowski metric tensor and I is the identity matrix.

Alternative (Hamiltonian) form:

$$i\hbar \frac{\partial \psi}{\partial t} = \left(-i\hbar c \, \boldsymbol{\alpha} \cdot \nabla + \beta \, mc^2\right) \psi,$$

where  $\alpha$  and  $\beta$  are 4 × 4 Dirac matrices defined by  $\alpha^i = \gamma^0 \gamma^i$  and  $\beta = \gamma^0$ .

# The Dirac Equation and Its Physical Meaning III

- Physical meaning:
  - ▶ The Dirac equation unifies quantum mechanics and special relativity.
  - It correctly describes particles with spin  $\frac{1}{2}$  and predicts their intrinsic magnetic moment.
  - ▶ It naturally introduces the concept of **antimatter** particles with the same mass but opposite charge (e.g. the positron).
  - ▶ The equation ensures that the probability density remains positive and conserved under Lorentz transformations.
  - At low velocities ( $v \ll c$ ), it reduces to the Schrödinger equation, ensuring consistency with non-relativistic quantum mechanics.

# Consequences of the Dirac Equation I

- Existence of spin:
  - ▶ The Dirac equation naturally describes particles with **intrinsic spin**  $\frac{1}{2}$ .
  - ▶ The spin is a purely quantum mechanical property with no classical analogue.
  - ▶ The spin arises from the four-component structure of the Dirac spinor.
- Magnetic moment:
  - ▶ The equation predicts the correct magnetic moment of the electron:

$$\mu = g \frac{e\hbar}{2m} \mathbf{S}$$
, with  $g = 2$ .

► This prediction agrees very closely with experimental data (after quantum electrodynamic corrections).

# Consequences of the Dirac Equation II

- ► Negative energy solutions:
  - ▶ The Dirac equation allows both positive and negative energy states:

$$E = \pm \sqrt{p^2 c^2 + m^2 c^4}.$$

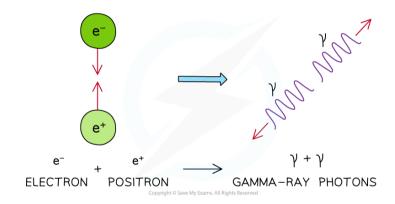
Initially a theoretical puzzle, later interpreted as the existence of antiparticles.

#### ► Antimatter:

- ► The negative-energy solutions correspond to particles with the same mass but opposite charge.
- ► This led to the prediction and later experimental discovery of the positron in 1932 (by Carl D. Anderson).

#### Consequences of the Dirac Equation III

Annihilation



# Consequences of the Dirac Equation IV

- ► Relativistic quantum field theory:
  - ▶ The Dirac equation laid the foundation for **Quantum Electrodynamics (QED)**.
  - It describes interactions between charged spin- $\frac{1}{2}$  particles and electromagnetic fields.
  - ► This framework explains atomic structure, scattering, and vacuum fluctuations with exceptional precision.

#### Summary:

- ► The Dirac equation unifies:
  - Quantum mechanics (wave-particle duality),
  - Special relativity (Lorentz invariance),
  - ▶ and Intrinsic spin (a fundamental property of matter).
- It remains one of the cornerstones of modern physics.

# The End

Thank you for your attention!