CS224N Assignment 1: Exploring Word Vectors (25 Points)

Welcome to CS224n!

Editor: Youngmi HuangUpdate time: 2019/1/14

```
# All Import Statements Defined Here
# Note: Do not add to this list.
# All the dependencies you need, can be installed by running .
# -----
import sys
assert sys.version info[0]==3
assert sys.version info[1] >= 5
from gensim.models import KeyedVectors
from gensim.test.utils import datapath
import pprint
import matplotlib.pyplot as plt
plt.rcParams['figure.figsize'] = [10, 5]
import nltk
nltk.download('reuters')
from nltk.corpus import reuters
import numpy as np
import random
import scipy as sp
from sklearn.decomposition import TruncatedSVD
from sklearn.decomposition import PCA
START TOKEN = '<START>'
END TOKEN = '<END>'
np.random.seed(0)
random.seed(0)
# _____
```

Word Vectors

Word Vectors are often used as a fundamental component for downstream NLP tasks, e.g. question answering, text generation, translation, etc., so it is important to build some intuitions as to their strengths and weaknesses. Here, you will explore two types of word vectors: those derived from *co-occurrence matrices*, and those derived via *word2vec*.

Assignment Notes: Please make sure to save the notebook as you go along. Submission Instructions are located at the bottom of the notebook.

Note on Terminology: The terms "word vectors" and "word embeddings" are often used interchangeably. The term "embedding" refers to the fact that we are encoding aspects of a word's meaning in a lower dimensional space. As <u>Wikipedia</u> states, "conceptually it involves a mathematical embedding from a space with one dimension per word to a continuous vector space with a much lower dimension".

Part 1: Count-Based Word Vectors (10 points)

Most word vector models start from the following idea:

You shall know a word by the company it keeps (Firth, J. R. 1957:11)

Many word vector implementations are driven by the idea that similar words, i.e., (near) synonyms, will be used in similar contexts. As a result, similar words will often be spoken or written along with a shared subset of words, i.e., contexts. By examining these contexts, we can try to develop embeddings for our words. With this intuition in mind, many "old school" approaches to constructing word vectors relied on word counts. Here we elaborate upon one of those strategies, *co-occurrence matrices* (for more information, see here).

Co-Occurrence

A co-occurrence matrix counts how often things co-occur in some environment. Given some word w_i occurring in the document, we consider the *context window* surrounding w_i . Supposing our fixed window size is n, then this is the n preceding and n subsequent words in that document, i.e. words $w_{i-n} \ldots w_{i-1}$ and $w_{i+1} \ldots w_{i+n}$. We build a *co-occurrence matrix* M, which is a symmetric word-by-word matrix in which M_{ij} is the number of times w_i appears inside w_i 's window.

Example: Co-Occurrence with Fixed Window of n=1:

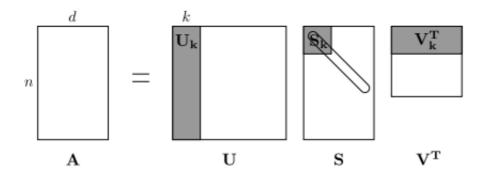
Document 1: "all that glitters is not gold"

Document 2: "all is well that ends well"

*	START	all	that	glitters	is	not	gold	well	ends	END
START	0	2	0	0	0	0	0	0	0	0
all	2	0	1	0	1	0	0	0	0	0
that	0	1	0	1	0	0	0	1	1	0
glitters	0	0	1	0	1	0	0	0	0	0
is	0	1	0	1	0	1	0	1	0	0
not	0	0	0	0	1	0	1	0	0	0
gold	0	0	0	0	0	1	0	0	0	1
well	0	0	1	0	1	0	0	0	1	1
ends	0	0	1	0	0	0	0	1	0	0
END	0	0	0	0	0	0	1	1	0	0

Note: In NLP, we often add START and END tokens to represent the beginning and end of sentences, paragraphs or documents. In thise case we imagine START and END tokens encapsulating each document, e.g., "START All that glitters is not gold END", and include these tokens in our co-occurrence counts.

The rows (or columns) of this matrix provide one type of word vectors (those based on wordword co-occurrence), but the vectors will be large in general (linear in the number of distinct words in a corpus). Thus, our next step is to run *dimensionality reduction*. In particular, we will run *SVD* (*Singular Value Decomposition*), which is a kind of generalized *PCA* (*Principal Components Analysis*) to select the top k principal components. Here's a visualization of dimensionality reduction with SVD. In this picture our co-occurrence matrix is k with k rows corresponding to k words. We obtain a full matrix decomposition, with the singular values ordered in the diagonal k matrix, and our new, shorter length-k word vectors in k.



This reduced-dimensionality co-occurrence representation preserves semantic relationships between words, e.g. *doctor* and *hospital* will be closer than *doctor* and *dog*.

Notes: If you can barely remember what an eigenvalue is, here's <u>a slow, friendly introduction to SVD</u>. If you want to learn more thoroughly about PCA or SVD, feel free to check out lectures <u>7</u>, <u>8</u>, and <u>9</u> of CS168. These course notes provide a great high-level treatment of these general purpose algorithms. Though, for the purpose of this class, you only need to know how to extract the k-dimensional embeddings by utilizing pre-programmed implementations of these algorithms from the numpy, scipy, or sklearn python packages. In practice, it is challenging to apply full SVD to large corpora because of the memory needed to perform PCA or SVD. However, if you only want

the top k vector components for relatively small k — known as $\underline{Truncated\ SVD}$ — then there are reasonably scalable techniques to compute those iteratively.

Plotting Co-Occurrence Word Embeddings

Here, we will be using the Reuters (business and financial news) corpus. If you haven't run the import cell at the top of this page, please run it now (click it and press SHIFT-RETURN). The corpus consists of 10,788 news documents totaling 1.3 million words. These documents span 90 categories and are split into train and test. For more details, please see https://www.nltk.org/book/ch02.html. We provide a read_corpus function below that pulls out only articles from the "crude" (i.e. news articles about oil, gas, etc.) category. The function also adds START and END tokens to each of the documents, and lowercases words. You do **not** have perform any other kind of pre-processing.

```
def read_corpus(category="crude"):
    """ Read files from the specified Reuter's category.
    Params:
        category (string): category name
    Return:
        list of lists, with words from each of the processed files
    """
    files = reuters.fileids(category)
    return [[START_TOKEN] + [w.lower() for w in list(reuters.words(f))] +
[END_TOKEN] for f in files]
```

Let's have a look what these documents are like....

```
reuters_corpus = read_corpus()
pprint.pprint(reuters_corpus[:3], compact=True, width=100)
```

```
[['<START>', 'japan', 'to', 'revise', 'long', '-', 'term', 'energy',
'demand', 'downwards', 'the',
  'ministry', 'of', 'international', 'trade', 'and', 'industry', '(',
'miti', ')', 'will', 'revise',
  'its', 'long', '-', 'term', 'energy', 'supply', '/', 'demand', 'outlook',
'by', 'august', 'to',
  'meet', 'a', 'forecast', 'downtrend', 'in', 'japanese', 'energy',
'demand', ',', 'ministry',
  'officials', 'said', '.', 'miti', 'is', 'expected', 'to', 'lower', 'the',
'projection', 'for',
  'primary', 'energy', 'supplies', 'in', 'the', 'year', '2000', 'to', '550',
'mln', 'kilolitres',
  '(', 'kl', ')', 'from', '600', 'mln', ',', 'they', 'said', '.', 'the',
'decision', 'follows',
  'the', 'emergence', 'of', 'structural', 'changes', 'in', 'japanese',
'industry', 'following',
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'the', 'rise', 'in', 'the', 'value', 'of', 'the', 'yen', 'and', 'a',
'decline', 'in', 'domestic',
 'electric', 'power', 'demand', '.', 'miti', 'is', 'planning', 'to',
'work', 'out', 'a', 'revised',
 'energy', 'supply', '/', 'demand', 'outlook', 'through', 'deliberations',
'of', 'committee',
 'meetings', 'of', 'the', 'agency', 'of', 'natural', 'resources', 'and',
'energy', ',', 'the',
 'officials', 'said', '.', 'they', 'said', 'miti', 'will', 'also',
'review', 'the', 'breakdown',
 'of', 'energy', 'supply', 'sources', ',', 'including', 'oil', ',',
'nuclear', ',', 'coal', 'and',
  'natural', 'gas', '.', 'nuclear', 'energy', 'provided', 'the', 'bulk',
'of', 'japan', "'", 's',
 'electric', 'power', 'in', 'the', 'fiscal', 'year', 'ended', 'march',
'31', ',', 'supplying',
 'an', 'estimated', '27', 'pct', 'on', 'a', 'kilowatt', '/', 'hour',
'basis', ',', 'followed',
 'by', 'oil', '(', '23', 'pct', ')', 'and', 'liquefied', 'natural', 'gas',
'(', '21', 'pct', '),',
 'they', 'noted', '.', '<END>'],
['<START>', 'energy', '/', 'u', '.', 's', '.', 'petrochemical', 'industry',
'cheap', 'oil',
 'feedstocks', ',', 'the', 'weakened', 'u', '.', 's', '.', 'dollar', 'and',
'a', 'plant',
 'utilization', 'rate', 'approaching', '90', 'pct', 'will', 'propel',
'the', 'streamlined', 'u',
 '.', 's', '.', 'petrochemical', 'industry', 'to', 'record', 'profits',
'this', 'year', ',',
 'with', 'growth', 'expected', 'through', 'at', 'least', '1990', ',',
'major', 'company',
 'executives', 'predicted', '.', 'this', 'bullish', 'outlook', 'for',
'chemical', 'manufacturing',
 'and', 'an', 'industrywide', 'move', 'to', 'shed', 'unrelated',
'businesses', 'has', 'prompted',
 'gaf', 'corp', '&', 'lt', ';', 'gaf', '>,', 'privately', '-', 'held',
'cain', 'chemical', 'inc',
  ',', 'and', 'other', 'firms', 'to', 'aggressively', 'seek',
'acquisitions', 'of', 'petrochemical',
 'plants', '.', 'oil', 'companies', 'such', 'as', 'ashland', 'oil', 'inc',
'&', 'lt', ';', 'ash',
 '>,', 'the', 'kentucky', '-', 'based', 'oil', 'refiner', 'and',
'marketer', ',', 'are', 'also',
 'shopping', 'for', 'money', '-', 'making', 'petrochemical', 'businesses',
'to', 'buy', '.', '"',
 'i', 'see', 'us', 'poised', 'at', 'the', 'threshold', 'of', 'a', 'golden',
'period', ',"', 'said',
 'paul', 'oreffice', ',', 'chairman', 'of', 'giant', 'dow', 'chemical',
'co', '&', 'lt', ';',
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'dow', '>,', 'adding', ',', '"', 'there', "'", 's', 'no', 'major',
'plant', 'capacity', 'being',
 'added', 'around', 'the', 'world', 'now', '.', 'the', 'whole', 'game',
'is', 'bringing', 'out',
 'new', 'products', 'and', 'improving', 'the', 'old', 'ones', '."',
'analysts', 'say', 'the',
 'chemical', 'industry', "'", 's', 'biggest', 'customers', ',',
'automobile', 'manufacturers',
 'and', 'home', 'builders', 'that', 'use', 'a', 'lot', 'of', 'paints',
'and', 'plastics', ',',
 'are', 'expected', 'to', 'buy', 'quantities', 'this', 'year', '.', 'u',
'.', 's', '.',
  'petrochemical', 'plants', 'are', 'currently', 'operating', 'at', 'about',
'90', 'pct',
 'capacity', ',', 'reflecting', 'tighter', 'supply', 'that', 'could',
'hike', 'product', 'prices',
 'by', '30', 'to', '40', 'pct', 'this', 'year', ',', 'said', 'john',
'dosher', ',', 'managing',
 'director', 'of', 'pace', 'consultants', 'inc', 'of', 'houston', '.',
'demand', 'for', 'some',
 'products', 'such', 'as', 'styrene', 'could', 'push', 'profit', 'margins',
'up', 'by', 'as',
 'much', 'as', '300', 'pct', ',', 'he', 'said', '.', 'oreffice', ',',
'speaking', 'at', 'a',
 'meeting', 'of', 'chemical', 'engineers', 'in', 'houston', ',', 'said',
'dow', 'would', 'easily',
 'top', 'the', '741', 'mln', 'dlrs', 'it', 'earned', 'last', 'year', 'and',
'predicted', 'it',
 'would', 'have', 'the', 'best', 'year', 'in', 'its', 'history', '.', 'in',
'1985', ',', 'when',
 'oil', 'prices', 'were', 'still', 'above', '25', 'dlrs', 'a', 'barrel',
'and', 'chemical',
 'exports', 'were', 'adversely', 'affected', 'by', 'the', 'strong', 'u',
'.', 's', '.', 'dollar',
  ',', 'dow', 'had', 'profits', 'of', '58', 'mln', 'dlrs', '.', '"', 'i',
'believe', 'the',
 'entire', 'chemical', 'industry', 'is', 'headed', 'for', 'a', 'record',
'year', 'or', 'close',
 'to', 'it', ',"', 'oreffice', 'said', '.', 'gaf', 'chairman', 'samuel',
'heyman', 'estimated',
 'that', 'the', 'u', '.', 's', '.', 'chemical', 'industry', 'would',
'report', 'a', '20', 'pct',
 'gain', 'in', 'profits', 'during', '1987', '.', 'last', 'year', ',',
'the', 'domestic',
 'industry', 'earned', 'a', 'total', 'of', '13', 'billion', 'dlrs', ',',
'a', '54', 'pct', 'leap',
 'from', '1985', '.', 'the', 'turn', 'in', 'the', 'fortunes', 'of', 'the',
'once', '-', 'sickly',
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'chemical', 'industry', 'has', 'been', 'brought', 'about', 'by', 'a',
'combination', 'of', 'luck',
 'and', 'planning', ',', 'said', 'pace', "'", 's', 'john', 'dosher', '.',
'dosher', 'said', 'last',
 'year', "'", 's', 'fall', 'in', 'oil', 'prices', 'made', 'feedstocks',
'dramatically', 'cheaper',
 'and', 'at', 'the', 'same', 'time', 'the', 'american', 'dollar', 'was',
'weakening', 'against',
 'foreign', 'currencies', '.', 'that', 'helped', 'boost', 'u', '.', 's',
'.', 'chemical',
 'exports', '.', 'also', 'helping', 'to', 'bring', 'supply', 'and',
'demand', 'into', 'balance',
 'has', 'been', 'the', 'gradual', 'market', 'absorption', 'of', 'the',
'extra', 'chemical',
 'manufacturing', 'capacity', 'created', 'by', 'middle', 'eastern', 'oil',
'producers', 'in',
 'the', 'early', '1980s', '.', 'finally', ',', 'virtually', 'all', 'major',
'u', '.', 's', '.',
 'chemical', 'manufacturers', 'have', 'embarked', 'on', 'an', 'extensive',
'corporate',
 'restructuring', 'program', 'to', 'mothball', 'inefficient', 'plants',
',', 'trim', 'the',
 'payroll', 'and', 'eliminate', 'unrelated', 'businesses', '.', 'the',
'restructuring', 'touched',
 'off', 'a', 'flurry', 'of', 'friendly', 'and', 'hostile', 'takeover',
'attempts', '.', 'gaf', ',',
 'which', 'made', 'an', 'unsuccessful', 'attempt', 'in', '1985', 'to',
'acquire', 'union',
 'carbide', 'corp', '&', 'lt', ';', 'uk', '>,', 'recently', 'offered',
'three', 'billion', 'dlrs',
 'for', 'borg', 'warner', 'corp', '&', 'lt', ';', 'bor', '>,', 'a',
'chicago', 'manufacturer',
 'of', 'plastics', 'and', 'chemicals', '.', 'another', 'industry',
'powerhouse', ',', 'w', '.',
 'r', '.', 'grace', '&', 'lt', ';', 'gra', '>', 'has', 'divested', 'its',
'retailing', ',',
 'restaurant', 'and', 'fertilizer', 'businesses', 'to', 'raise', 'cash',
'for', 'chemical',
 'acquisitions', '.', 'but', 'some', 'experts', 'worry', 'that', 'the',
'chemical', 'industry',
 'may', 'be', 'headed', 'for', 'trouble', 'if', 'companies', 'continue',
'turning', 'their',
 'back', 'on', 'the', 'manufacturing', 'of', 'staple', 'petrochemical',
'commodities', ',', 'such',
 'as', 'ethylene', ',', 'in', 'favor', 'of', 'more', 'profitable',
'specialty', 'chemicals',
 'that', 'are', 'custom', '-', 'designed', 'for', 'a', 'small', 'group',
'of', 'buyers', '.', '"',
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'companies', 'like', 'dupont', '&', 'lt', ';', 'dd', '>', 'and',
'monsanto', 'co', '&', 'lt', ';',
 'mtc', '>', 'spent', 'the', 'past', 'two', 'or', 'three', 'years',
'trying', 'to', 'get', 'out',
 'of', 'the', 'commodity', 'chemical', 'business', 'in', 'reaction', 'to',
'how', 'badly', 'the',
 'market', 'had', 'deteriorated', ',"', 'dosher', 'said', '.', '"', 'but',
'i', 'think', 'they',
 'will', 'eventually', 'kill', 'the', 'margins', 'on', 'the', 'profitable',
'chemicals', 'in',
 'the', 'niche', 'market', '."', 'some', 'top', 'chemical', 'executives',
'share', 'the',
  'concern', '.', '"', 'the', 'challenge', 'for', 'our', 'industry', 'is',
'to', 'keep', 'from',
 'getting', 'carried', 'away', 'and', 'repeating', 'past', 'mistakes',
',"', 'gaf', "'", 's',
 'heyman', 'cautioned', '.', '"', 'the', 'shift', 'from', 'commodity',
'chemicals', 'may', 'be',
 'ill', '-', 'advised', '.', 'specialty', 'businesses', 'do', 'not',
'stay', 'special', 'long',
 '."', 'houston', '-', 'based', 'cain', 'chemical', ',', 'created', 'this',
'month', 'by', 'the',
 'sterling', 'investment', 'banking', 'group', ',', 'believes', 'it',
'can', 'generate', '700',
 'mln', 'dlrs', 'in', 'annual', 'sales', 'by', 'bucking', 'the',
'industry', 'trend', '.',
 'chairman', 'gordon', 'cain', ',', 'who', 'previously', 'led', 'a',
'leveraged', 'buyout', 'of',
 'dupont', "'", 's', 'conoco', 'inc', "'", 's', 'chemical', 'business',
',', 'has', 'spent', '1',
  '.', '1', 'billion', 'dlrs', 'since', 'january', 'to', 'buy', 'seven',
'petrochemical', 'plants',
 'along', 'the', 'texas', 'gulf', 'coast', '.', 'the', 'plants', 'produce',
'only', 'basic',
 'commodity', 'petrochemicals', 'that', 'are', 'the', 'building', 'blocks',
'of', 'specialty',
 'products', '.', '"', 'this', 'kind', 'of', 'commodity', 'chemical',
'business', 'will', 'never',
 'be', 'a', 'glamorous', ',', 'high', '-', 'margin', 'business', ',"',
'cain', 'said', ',',
  'adding', 'that', 'demand', 'is', 'expected', 'to', 'grow', 'by', 'about',
'three', 'pct',
 'annually', '.', 'garo', 'armen', ',', 'an', 'analyst', 'with', 'dean',
'witter', 'reynolds', ',',
 'said', 'chemical', 'makers', 'have', 'also', 'benefitted', 'by',
'increasing', 'demand', 'for',
 'plastics', 'as', 'prices', 'become', 'more', 'competitive', 'with',
'aluminum', ',', 'wood',
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'and', 'steel', 'products', '.', 'armen', 'estimated', 'the', 'upturn',
'in', 'the', 'chemical',
 'business', 'could', 'last', 'as', 'long', 'as', 'four', 'or', 'five',
'years', ',', 'provided',
 'the', 'u', '.', 's', '.', 'economy', 'continues', 'its', 'modest',
'rate', 'of', 'growth', '.',
 '<END>'],
['<START>', 'turkey', 'calls', 'for', 'dialogue', 'to', 'solve', 'dispute',
'turkey', 'said',
 'today', 'its', 'disputes', 'with', 'greece', ',', 'including', 'rights',
'on', 'the',
 'continental', 'shelf', 'in', 'the', 'aegean', 'sea', ',', 'should', 'be',
'solved', 'through',
 'negotiations', '.', 'a', 'foreign', 'ministry', 'statement', 'said',
'the', 'latest', 'crisis',
 'between', 'the', 'two', 'nato', 'members', 'stemmed', 'from', 'the',
'continental', 'shelf',
 'dispute', 'and', 'an', 'agreement', 'on', 'this', 'issue', 'would',
'effect', 'the', 'security',
  ',', 'economy', 'and', 'other', 'rights', 'of', 'both', 'countries', '.',
'"', 'as', 'the',
 'issue', 'is', 'basicly', 'political', ',', 'a', 'solution', 'can',
'only', 'be', 'found', 'by',
 'bilateral', 'negotiations', ',"', 'the', 'statement', 'said', '.',
'greece', 'has', 'repeatedly',
 'said', 'the', 'issue', 'was', 'legal', 'and', 'could', 'be', 'solved',
'at', 'the',
 'international', 'court', 'of', 'justice', '.', 'the', 'two', 'countries',
'approached', 'armed',
 'confrontation', 'last', 'month', 'after', 'greece', 'announced', 'it',
'planned', 'oil',
 'exploration', 'work', 'in', 'the', 'aegean', 'and', 'turkey', 'said',
'it', 'would', 'also',
 'search', 'for', 'oil', '.', 'a', 'face', '-', 'off', 'was', 'averted',
'when', 'turkey',
 'confined', 'its', 'research', 'to', 'territorrial', 'waters', '.', '"',
'the', 'latest',
 'crises', 'created', 'an', 'historic', 'opportunity', 'to', 'solve',
'the', 'disputes', 'between',
 'the', 'two', 'countries', ',"', 'the', 'foreign', 'ministry',
'statement', 'said', '.', 'turkey',
 "'", 's', 'ambassador', 'in', 'athens', ',', 'nazmi', 'akiman', ',',
'was', 'due', 'to', 'meet',
 'prime', 'minister', 'andreas', 'papandreou', 'today', 'for', 'the',
'greek', 'reply', 'to', 'a',
 'message', 'sent', 'last', 'week', 'by', 'turkish', 'prime', 'minister',
'turgut', 'ozal', '.',
 'the', 'contents', 'of', 'the', 'message', 'were', 'not', 'disclosed',
'.', '<END>']]
```

Question 1.1: Implement distinct_words [code] (2 points)

Write a method to work out the distinct words (word types) that occur in the corpus. You can do this with for loops, but it's more efficient to do it with Python list comprehensions. In particular, this may be useful to flatten a list of lists. If you're not familiar with Python list comprehensions in general, here's more information.

You may find it useful to use Python sets to remove duplicate words.

```
def distinct_words(corpus):
    """ Determine a list of distinct words for the corpus.
            corpus (list of list of strings): corpus of documents
       Return:
           corpus_words (list of strings): list of distinct words across
the corpus, sorted (using python 'sorted' function)
           num_corpus_words (integer): number of distinct words across the
corpus
   corpus_words = []
   num\_corpus\_words = -1
    # -----
    # Write your implementation here.
    corpus words = [y for x in corpus for y in x]
    corpus words = sorted(list(set(corpus words)))
    num_corpus_words = len(corpus_words)
    return corpus words, num corpus words
```

```
# Test correct number of words
assert(num_corpus_words == ans_num_corpus_words), "Incorrect number of
distinct words. Correct: {}. Yours: {}".format(ans_num_corpus_words,
num_corpus_words)

# Test correct words
assert (test_corpus_words == ans_test_corpus_words), "Incorrect
corpus_words.\nCorrect: {}\nYours: {}".format(str(ans_test_corpus_words),
str(test_corpus_words))

# Print Success
print ("-" * 80)
print("Passed All Tests!")
print ("-" * 80)
```

```
Passed All Tests!
```

Question 1.2: Implement

compute co occurrence matrix [code] (3 points)

Write a method that constructs a co-occurrence matrix for a certain window-size n (with a default of 4), considering words n before and n after the word in the center of the window. Here, we start to use numpy (np) to represent vectors, matrices, and tensors. If you're not familiar with NumPy, there's a NumPy tutorial in the second half of this cs231n Python NumPy tutorial.

```
Co-occurence matrix of word counts.
               The ordering of the words in the rows/columns should be the
same as the ordering of the words given by the distinct words function.
           word2Ind (dict): dictionary that maps word to index (i.e.
row/column number) for matrix M.
    ....
   words, num words = distinct words(corpus)
   M = None
   word2Ind = {}
   # -----
   # Write your implementation here.
   word2Ind = dict(zip(words, range(num words)))
   M = np.zeros((num_words, num_words))
    for _ , sentences in enumerate(corpus):
       for i in range(len(sentences) - window size +1):
           curr word = sentences[i]
           if i == 0:
                for j in range(min(window size, len(sentences))):
                    neighbor_word = sentences[i+j+1]
                    M[word2Ind[curr word], word2Ind[neighbor word]] = 1
            elif i == len(sentences) - window_size:
                for j in range(min(window_size, len(sentences))):
                    neighbor_word = sentences[i-j-1]
                    M[word2Ind[curr word], word2Ind[neighbor word]] = 1
            else:
                for j in range(min(window size, len(sentences))):
                    neighbor word1 = sentences[i-j-1]
                    neighbor_word2 = sentences[i+j+1]
                    M[word2Ind[curr word], word2Ind[neighbor word1]] = 1
                    M[word2Ind[curr word], word2Ind[neighbor word2]] = 1
   return M, word2Ind
```

```
# ------
# Run this sanity check
# Note that this is not an exhaustive check for correctness.
# ------

# Define toy corpus and get student's co-occurrence matrix
test_corpus = ["START All that glitters isn't gold END".split(" "), "START
All's well that ends well END".split(" ")]
M_test, word2Ind_test = compute_co_occurrence_matrix(test_corpus,
window_size=1)
```

```
# Correct M and word2Ind
M_test_ans = np.array(
    [[0., 0., 0., 1., 0., 0., 0., 0., 1., 0.,],
     [0., 0., 0., 1., 0., 0., 0., 0., 0., 1.,],
     [0., 0., 0., 0., 0., 0., 1., 0., 0., 1.,],
     [1., 1., 0., 0., 0., 0., 0., 0., 0., 0., ],
     [0., 0., 0., 0., 0., 0., 0., 0., 1., 1.,],
     [0., 0., 0., 0., 0., 0., 1., 1., 0.,],
     [0., 0., 1., 0., 0., 0., 0., 1., 0., 0.,],
     [0., 0., 0., 0., 0., 1., 1., 0., 0., 0., ],
     [1., 0., 0., 0., 1., 1., 0., 0., 0., 1.,],
     [0., 1., 1., 0., 1., 0., 0., 0., 1., 0.,]]
word2Ind_ans = {'All': 0, "All's": 1, 'END': 2, 'START': 3, 'ends': 4,
'glitters': 5, 'gold': 6, "isn't": 7, 'that': 8, 'well': 9}
# Test correct word2Ind
assert (word2Ind_ans == word2Ind_test), "Your word2Ind is
incorrect:\nCorrect: {}\nYours: {}".format(word2Ind_ans, word2Ind_test)
# Test correct M shape
assert (M test.shape == M test ans.shape), "M matrix has incorrect
shape.\nCorrect: {}\nYours: {}".format(M_test.shape, M_test_ans.shape)
# Test correct M values
for w1 in word2Ind_ans.keys():
    idx1 = word2Ind ans[w1]
   for w2 in word2Ind_ans.keys():
        idx2 = word2Ind_ans[w2]
        student = M test[idx1, idx2]
        correct = M test ans[idx1, idx2]
        if student != correct:
            print("Correct M:")
            print(M test ans)
            print("Your M: ")
            print(M_test)
            raise AssertionError("Incorrect count at index ({}, {})=({}, {})
in matrix M. Yours has {} but should have {}.".format(idx1, idx2, w1, w2,
student, correct))
# Print Success
print ("-" * 80)
print("Passed All Tests!")
print ("-" * 80)
```

```
Passed All Tests!
```

Question 1.3: Implement reduce_to_k_dim [code] (1 point)

Construct a method that performs dimensionality reduction on the matrix to produce k-dimensional embeddings. Use SVD to take the top k components and produce a new matrix of k-dimensional embeddings.

Note: All of numpy, scipy, and scikit-learn (sklearn) provide *some* implementation of SVD, but only scipy and sklearn provide an implementation of Truncated SVD, and only sklearn provides an efficient randomized algorithm for calculating large-scale Truncated SVD. So please use sklearn.decomposition.TruncatedSVD.

```
# X = sparse_random_matrix(100, 100, density=0.01, random_state=42)
svd = TruncatedSVD(n_components=2, n_iter=10, random_state=42)
X2 = svd.fit_transform(M_test)
svd.fit(M_test)
print(svd.explained_variance_ratio_)
print(svd.explained_variance_ratio_.sum())
print(svd.singular_values_)
```

```
[0.07057732 0.27338415]
0.3439614722211096
[2.71298245 2.19352709]
```

```
def reduce_to_k_dim(M, k=2):
    """ Reduce a co-occurence count matrix of dimensionality
(num_corpus_words, num_corpus_words)
        to a matrix of dimensionality (num_corpus_words, k) using the
following SVD function from Scikit-Learn:
            - http://scikit-
learn.org/stable/modules/generated/sklearn.decomposition.TruncatedSVD.html
        Params:
            M (numpy matrix of shape (number of corpus words, number of
number of corpus words)): co-occurence matrix of word counts
            k (int): embedding size of each word after dimension reduction
        Return:
            M_{reduced} (numpy matrix of shape (number of corpus words, k)):
matrix of k-dimensioal word embeddings.
                    In terms of the SVD from math class, this actually
returns U * S
   n_iters = 10  # Use this parameter in your call to `TruncatedSVD`
   M reduced = None
   print("Running Truncated SVD over %i words..." % (M.shape[0]))
```

```
# ------
# Write your implementation here.
svd = TruncatedSVD(n_components=k, n_iter=10, random_state=42)
M_reduced = svd.fit_transform(M)

# ------
print("Done.")
return M_reduced
```

```
# -----
# Run this sanity check
# Note that this not an exhaustive check for correctness
# In fact we only check that your M_reduced has the right dimensions.
# -----
# Define toy corpus and run student code
test corpus = ["START All that glitters isn't gold END".split(" "), "START
All's well that ends well END".split(" ")]
M_test, word2Ind_test = compute_co_occurrence_matrix(test_corpus,
window_size=1)
M_test_reduced = reduce_to_k_dim(M_test, k=2)
# Test proper dimensions
assert (M_test_reduced.shape[0] == 10), "M_reduced has {} rows; should have
{}".format(M test reduced.shape[0], 10)
assert (M_test_reduced.shape[1] == 2), "M_reduced has {} columns; should
have {}".format(M_test_reduced.shape[1], 2)
# Print Success
print ("-" * 80)
print("Passed All Tests!")
print ("-" * 80)
```

```
Running Truncated SVD over 10 words...

Done.

Passed All Tests!
```

Question 1.4: Implement plot_embeddings [code] (1 point)

Here you will write a function to plot a set of 2D vectors in 2D space. For graphs, we will use Matplotlib (plt).

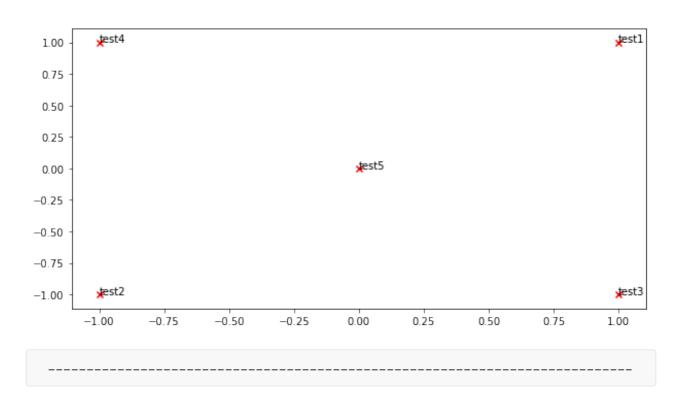
For this example, you may find it useful to adapt <u>this code</u>. In the future, a good way to make a plot is to look at <u>the Matplotlib gallery</u>, find a plot that looks somewhat like what you want, and adapt the code they give.

```
def plot_embeddings(M_reduced, word2Ind, words):
    """ Plot in a scatterplot the embeddings of the words specified in the
list "words".
        NOTE: do not plot all the words listed in M_reduced / word2Ind.
        Include a label next to each point.
       Params:
           M_reduced (numpy matrix of shape (number of corpus words, k)):
matrix of k-dimensioal word embeddings
           word2Ind (dict): dictionary that maps word to indices for matrix
           words (list of strings): words whose embeddings we want to
visualize
    # -----
    # Write your implementation here.
    X,Y = [], []
   for word in words:
        X.append(M reduced[word2Ind[word], 0])
        Y.append(M_reduced[word2Ind[word], 1])
    X = np.array(X)
    fig, ax = plt.subplots()
    ax.scatter(X, Y, marker='x', color='red')
    for i, name in enumerate(words):
        ax.annotate(name, (X[i], Y[i]))
   plt.show()
```

```
# ------
# Run this sanity check
# Note that this not an exhaustive check for correctness.
# The plot produced should look like the "test solution plot" depicted below.
# -------
print ("-" * 80)
print ("Outputted Plot:")
```

```
M_reduced_plot_test = np.array([[1, 1], [-1, -1], [1, -1], [-1, 1], [0, 0]])
word2Ind_plot_test = {'test1': 0, 'test2': 1, 'test3': 2, 'test4': 3,
  'test5': 4}
words = ['test1', 'test2', 'test3', 'test4', 'test5']
plot_embeddings(M_reduced_plot_test, word2Ind_plot_test, words)
print ("-" * 80)
```

```
Outputted Plot:
```



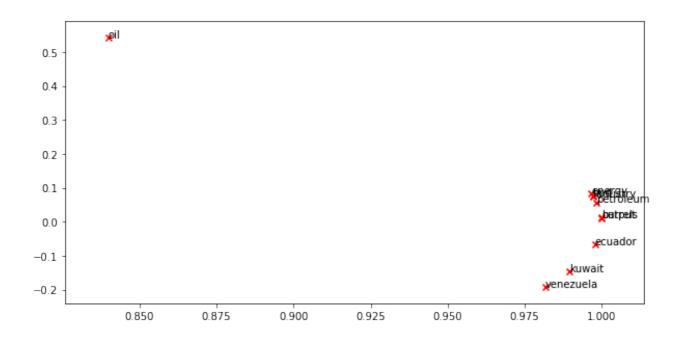
Question 1.5: Co-Occurrence Plot Analysis [written] (3 points)

Now we will put together all the parts you have written! We will compute the co-occurrence matrix with fixed window of 5, over the Reuters "crude" corpus. Then we will use TruncatedSVD to compute 2-dimensional embeddings of each word. TruncatedSVD returns U*S, so we normalize the returned vectors, so that all the vectors will appear around the unit circle (therefore closeness is directional closeness). **Note**: The line of code below that does the normalizing uses the NumPy concept of *broadcasting*. If you don't know about broadcasting, check out <u>Computation on Arrays:</u> <u>Broadcasting by Jake VanderPlas</u>.

Run the below cell to produce the plot. It'll probably take a few seconds to run. What clusters together in 2-dimensional embedding space? What doesn't cluster together that you might think should have? **Note:** "bpd" stands for "barrels per day" and is a commonly used abbreviation in crude oil topic articles.

```
Running Truncated SVD over 8185 words...
Done.
```

```
/Users/youngmihuang/pyenv36/lib/python3.6/site-
packages/ipykernel_launcher.py:10: RuntimeWarning: invalid value encountered in true_divide
# Remove the CWD from sys.path while we load stuff.
```



Part 2: Prediction-Based Word Vectors (15 points)

As discussed in class, more recently prediction-based word vectors have come into fashion, e.g. word2vec. Here, we shall explore the embeddings produced by word2vec. Please revisit the class notes and lecture slides for more details on the word2vec algorithm. If you're feeling adventurous, challenge yourself and try reading the <u>original paper</u>.

First make sure that you have downloaded the word2vec embeddings from https://drive.google.c. om/file/d/0B7XkCwpI5KDYNINUTTISS21pQmM/edit

Then run the following cells to load the word2vec vectors into memory. **Note**: This might take several minutes.

```
# Fill this variable with the path to your downloaded and unzipped
embeddings (`GoogleNews-vectors-negative300.bin` file).
#
# For Windows users place the `GoogleNews-vectors-negative300.bin` file in
your conda environment's installation of gensim:
# `envs/{conda_env_name}/lib/site-packages/gensim/test/test_data`
#
# For Mac/Linux users, you can place the `GoogleNews-vectors-
negative300.bin` file anywhere on your machine.
#
embeddings_fp = "/Users/youngmihuang/Downloads/GoogleNews-vectors-
negative300.bin"
```

```
# -----
# Run Cell to Load Word Vectors

# Note: This may take several minutes
# -----
wv_from_bin = load_word2vec()
```

```
Loading 3 million word vectors from file...

Loaded vocab size 3000000
```

Reducing dimensionality of Word2Vec Word Embeddings

Let's directly compare the word2vec embeddings to those of the co-occurrence matrix. Run the following cells to:

- 1. Put the 3 million word2vec vectors into a matrix M
- 2. Run reduce_to_k_dim (your Truncated SVD function) to reduce the vectors from 300-dimensional to 2-dimensional.

```
def get_matrix_of_vectors(wv_from_bin):
    """ Put the word2vec vectors into a matrix M.
            wv from bin: KeyedVectors object; the 3 million word2vec vectors
loaded from file
        Return:
            M: numpy matrix shape (num words, 300) containing the vectors
            word2Ind: dictionary mapping each word to its row number in M
    words = list(wv_from_bin.vocab.keys())
    print("Putting %i words into word2Ind and matrix M..." % len(words))
    word2Ind = {}
   M = []
    curInd = 0
    for w in words:
        try:
            M.append(wv_from_bin.word_vec(w))
            word2Ind[w] = curInd
            curInd += 1
        except KeyError:
            continue
    M = np.stack(M)
    print("Done.")
    return M, word2Ind
```

```
Putting 3000000 words into word2Ind and matrix M...

Done.

Running Truncated SVD over 3000000 words...

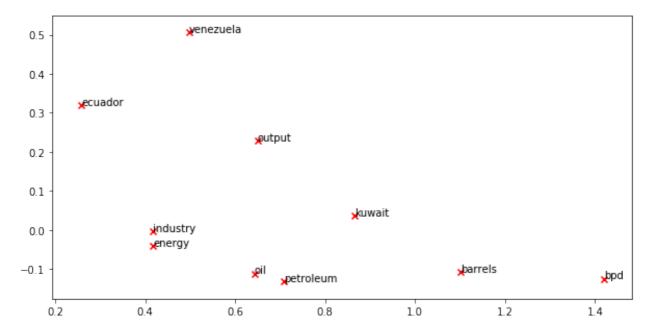
Done.
```

Question 2.1: Word2Vec Plot Analysis [written] (4 points)

```
Run the cell below to plot the 2D word2vec embeddings for ['barrels', 'bpd', 'ecuador', 'energy', 'industry', 'kuwait', 'oil', 'output', 'petroleum', 'venezuela'].
```

What clusters together in 2-dimensional embedding space? What doesn't cluster together that you might think should have? How is the plot different from the one generated earlier from the co-occurrence matrix?

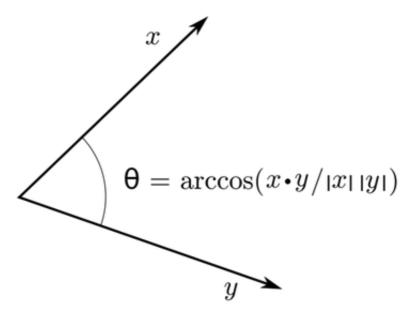
```
words = ['barrels', 'bpd', 'ecuador', 'energy', 'industry', 'kuwait', 'oil',
  'output', 'petroleum', 'venezuela']
plot_embeddings(M_reduced, word2Ind, words)
```



Cosine Similarity

Now that we have word vectors, we need a way to quantify the similarity between individual words, according to these vectors. One such metric is cosine-similarity. We will be using this to find words that are "close" and "far" from one another.

We can think of n-dimensional vectors as points in n-dimensional space. If we take this perspective L1 and L2 Distances help quantify the amount of space "we must travel" to get between these two points. Another approach is to examine the angle between two vectors. From trigonometry we know that:



Instead of computing the actual angle, we can leave the similarity in terms of $similarity = cos(\Theta). \ \text{Formally the } \underline{\text{Cosine Similarity}} \ s \ \text{between two vectors} \ p \ \text{and} \ q \ \text{is defined as:} \\ s = \frac{p \cdot q}{||p||||q||}, \ \text{where} \ s \in [-1,1]$

Question 2.2: Polysemous Words (2 points) [code + written]

Find a <u>polysemous</u> word (for example, "leaves" or "scoop") such that the top-10 most similar words (according to cosine similarity) contains related words from *both* meanings. For example, "leaves" has both "vanishes" and "stalks" in the top 10, and "scoop" has both "handed_waffle_cone" and "lowdown". You will probably need to try several polysemous words before you find one. Please state the polysemous word you discover and the multiple meanings that occur in the top 10. Why do you think many of the polysemous words you tried didn't work?

Note: You should use the wv_from_bin.most_similar(word) function to get the top 10 similar words. This function ranks all other words in the vocabulary with respect to their cosine similarity to the given word. For further assistance please check the **GenSim documentation**.

```
# -----
# Write your polysemous word exploration code here.

wv_from_bin.most_similar(["energy"])
# ------
```

```
[('renewable_energy', 0.6721636056900024),
    ('enery', 0.6289607286453247),
    ('electricity', 0.6030439138412476),
    ('enegy', 0.6001754403114319),
    ('Energy', 0.595537006855011),
    ('fossil_fuel', 0.5802257061004639),
    ('natural_gas', 0.5767925381660461),
    ('renewables', 0.5708995461463928),
    ('fossil_fuels', 0.5663810968399048)]
```

Question 2.3: Synonyms & Antonyms (2 points) [code + written]

When considering Cosine Similarity, it's often more convenient to think of Cosine Distance, which is simply 1 - Cosine Similarity.

Find three words (w1,w2,w3) where w1 and w2 are synonyms and w1 and w3 are antonyms, but Cosine Distance(w1,w3) < Cosine Distance(w1,w2). For example, w1="happy" is closer to w3="sad" than to w2="cheerful".

Once you have found your example, please give a possible explanation for why this counter-intuitive result may have happened.

You should use the the wv_from_bin.distance(w1, w2) function here in order to compute the cosine distance between two words. Please see the **GenSim documentation** for further assistance.

```
Synonyms good, bad have cosine distance: 0.2809948791723764
Antonyms good, well have cosine distance: 0.5221630697849623
```

Solving Analogies with Word Vectors

Word2Vec vectors have been shown to sometimes exhibit the ability to solve analogies.

As an example, for the analogy "man: king:: woman: x", what is x?

In the cell below, we show you how to use word vectors to find x. The <code>most_similar</code> function finds words that are most similar to the words in the <code>positive</code> list and most dissimilar from the words in the <code>negative</code> list. The answer to the analogy will be the word ranked most similar (largest numerical value).

Note: Further Documentation on the <code>most_similar</code> function can be found within the **GenSim documentation**.

```
# Run this cell to answer the analogy -- man : king :: woman : x
pprint.pprint(wv_from_bin.most_similar(positive=['woman', 'king'], negative=
['man']))
```

```
[('queen', 0.7118192911148071),
   ('monarch', 0.6189674139022827),
   ('princess', 0.5902431011199951),
   ('crown_prince', 0.5499460697174072),
   ('prince', 0.5377321243286133),
   ('kings', 0.5236844420433044),
   ('Queen_Consort', 0.5235945582389832),
   ('queens', 0.518113374710083),
   ('sultan', 0.5098593235015869),
   ('monarchy', 0.5087411999702454)]
```

Question 2.4: Finding Analogies [code + written] (2 Points)

Find an example of analogy that holds according to these vectors (i.e. the intended word is ranked top). In your solution please state the full analogy in the form x:y :: a:b. If you believe the analogy is complicated, explain why the analogy holds in one or two sentences.

Note: You may have to try many analogies to find one that works!

```
[('deductions', 0.5444034934043884),
  ('non_itemizers', 0.49198558926582336),
  ('charitable_deductions', 0.47393813729286194),
  ('itemized_deductions', 0.47378605604171753),
  ('deductibility', 0.4682449400424957),
  ('deduct', 0.46792444586753845),
  ('taxable_income', 0.46297961473464966),
  ('itemized_deduction', 0.43621140718460083),
  ('itemizers', 0.4104243516921997),
  ('IRC_§', 0.4091982841491699)]
```

tried:

- ['frog', 'prince'], ['man']
- ['data', 'science'], ['human']
- ['data', 'science'], ['rule']
- ['math', 'engineer'], ['humanity']
- ['math', 'physics'], ['language']

Question 2.5: Incorrect Analogy [code + written] (1 point)

Find an example of analogy that does *not* hold according to these vectors. In your solution, state the intended analogy in the form x:y :: a:b, and state the (incorrect) value of b according to the word vectors.

```
[('girl', 0.6578706502914429),
  ('teenage_girl', 0.6336765289306641),
  ('mother', 0.5775366425514221),
  ('man', 0.568334698677063),
  ('housewife', 0.5531024932861328),
  ('lady', 0.5468086004257202),
  ('policewoman', 0.5339931845664978),
  ('teenager', 0.5294537544250488),
  ('grandmother', 0.5235830545425415),
  ('daughter', 0.5214080214500427)]
```

- Only one words "man" show up in the result of the pre-trained model, it's incorrect.
- There shuold be more words like boy, man, and so on in the list. Because the gender can't be

Question 2.6: Guided Analysis of Bias in Word Vectors [written] (1 point)

It's important to be cognizant of the biases (gender, race, sexual orientation etc.) implicit to our word embeddings.

Run the cell below, to examine (a) which terms are most similar to "woman" and "boss" and most dissimilar to "man", and (b) which terms are most similar to "man" and "boss" and most dissimilar to "woman". What do you find in the top 10?

```
# Run this cell
# Here `positive` indicates the list of words to be similar to and
`negative` indicates the list of words to be
# most dissimilar from.
pprint.pprint(wv_from_bin.most_similar(positive=['woman', 'boss'], negative=
['man']))
print()
pprint.pprint(wv_from_bin.most_similar(positive=['man', 'boss'], negative=
['woman']))
```

```
[('bosses', 0.5522644519805908),
 ('manageress', 0.49151360988616943),
 ('exec', 0.459408164024353),
('Manageress', 0.45598435401916504),
('receptionist', 0.4474116861820221),
 ('Jane_Danson', 0.44480547308921814),
('Fiz Jennie McAlpine', 0.44275766611099243),
('Coronation_Street_actress', 0.44275569915771484),
 ('supremo', 0.4409852921962738),
 ('coworker', 0.4398624897003174)]
[('supremo', 0.6097397804260254),
 ('MOTHERWELL_boss', 0.5489562153816223),
 ('CARETAKER boss', 0.5375303626060486),
 ('Bully_Wee_boss', 0.5333974361419678),
 ('YEOVIL_Town_boss', 0.5321705341339111),
 ('head honcho', 0.5281980037689209),
 ('manager_Stan_Ternent', 0.525971531867981),
 ('Viv_Busby', 0.5256163477897644),
 ('striker Gabby Agbonlahor', 0.5250812768936157),
 ('BARNSLEY boss', 0.5238943099975586)]
```

• "supremo" in the "man" and "boss" order is higher than "woman" and "boss". It exists the transparent ceiling.

Question 2.7: Independent Analysis of Bias in Word Vectors [code + written] (2 points)

Use the most_similar function to find another case where some bias is exhibited by the vectors. Please briefly explain the example of bias that you discover.

```
[('mentors', 0.45489686727523804),

('protege', 0.45211589336395264),

('mentored', 0.44998103380203247),

('protégé', 0.4228099584579468),

('protégés', 0.41135916113853455),

('confidant', 0.399966299533844),

('mentoring', 0.38033223152160645),

('protg', 0.37131157517433167),

('consigliere', 0.35010719299316406),

('mentorship', 0.3453369736671448)]
```

• mentor should be more similar to teacher, coach...etc. Not only related to protege and the analogy of "protage"

Question 2.8: Thinking About Bias [written] (1 point)

What might be the cause of these biases in the word vectors?

• the numerical ratio of most_similar even larger than 0.5. And maybe the word is not well trained in word2vec model (the potential reason: low frequency in the news corpus and not