Viewing compliance reports

Cloud Manager

Tom Onacki, Ben Cammett
October 29, 2020

Table of Contents

Viewing compliance reports

Cloud Compliance provides reports that you can use to better understand the status of your organization's data privacy program.

By default, the Cloud Compliance dashboard displays compliance data for all working environments and databases. If you want to view reports that contain data for only some of the working environments, select those working environments.

NetApp can't guarantee 100% accuracy of the personal data and sensitive personal data that Cloud Compliance identifies. You should always validate the information by reviewing the data.

Privacy Risk Assessment Report

The Privacy Risk Assessment Report provides an overview of your organization's privacy risk status, as required by privacy regulations such as GDPR and CCPA. The report includes the following information:

Compliance status

A severity score and the distribution of data, whether it's non-sensitive, personal, or sensitive personal.

Assessment overview

A breakdown of the types of personal data found, as well as the categories of data.

Data subjects in this assessment

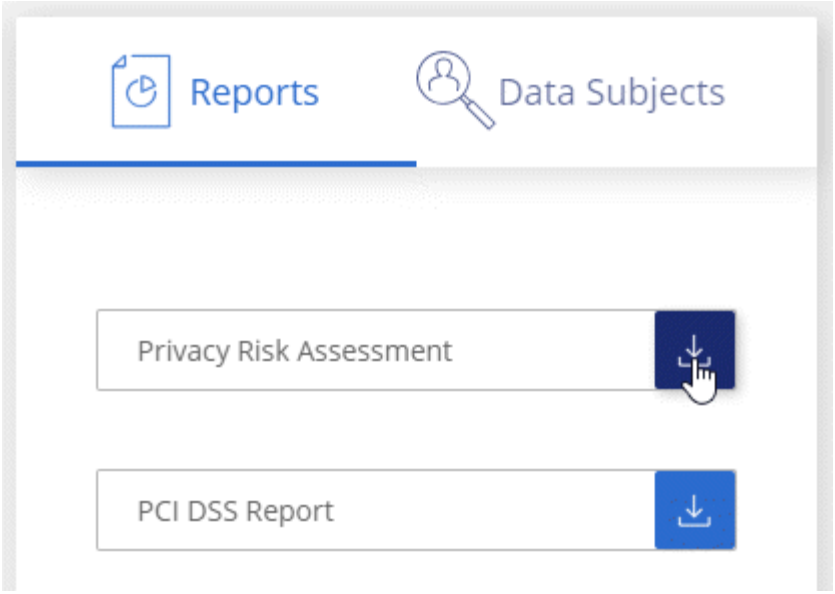
The number of people, by location, for which national identifiers were found.

Generating the Privacy Risk Assessment Report

Go to the Compliance tab to generate the report.

Steps

1. At the top of Cloud Manager, click **Compliance**.
2. Under **Reports**, click the download icon next to **Privacy Risk Assessment**.



Result

Cloud Compliance generates a PDF report that you can review and send to other groups as needed.

Severity score

Cloud Compliance calculates the severity score for the Privacy Risk Assessment Report on the basis of three variables:

- The percentage of personal data out of all data.
- The percentage of sensitive personal data out of all data.
- The percentage of files that include data subjects, determined by national identifiers such as national IDs, Social Security numbers, and tax ID numbers.

The logic used to determine the score is as follows:

Severity score	Logic
0	All three variables are exactly 0%
1	One of the variables are larger than 0%
2	One of the variables are larger than 3%
3	Two of the variables are larger than 3%
4	Three of the variables are larger than 3%
5	One of the variables are larger than 6%
6	Two of the variables are larger than 6%
7	Three of the variables are larger than 6%
8	One of the variables are larger than 15%

Severity score	Logic
9	Two of the variables are larger than 15%
10	Three of the variables are larger than 15%

PCI DSS Report

The Payment Card Industry Data Security Standard (PCI DSS) Report can help you identify the distribution of credit card information across your files. The report includes the following information:

Overview

How many files contain credit card information and in which working environments.

Encryption

The percentage of files containing credit card information that are on encrypted or unencrypted working environments. This information is specific to Cloud Volumes ONTAP.

Ransomware Protection

The percentage of files containing credit card information that are on working environments that do or don't have ransomware protection enabled. This information is specific to Cloud Volumes ONTAP.

Retention

The timeframe in which the files were last modified. This is helpful because you shouldn't keep credit card information for longer than you need to process it.

Distribution of Credit Card Information

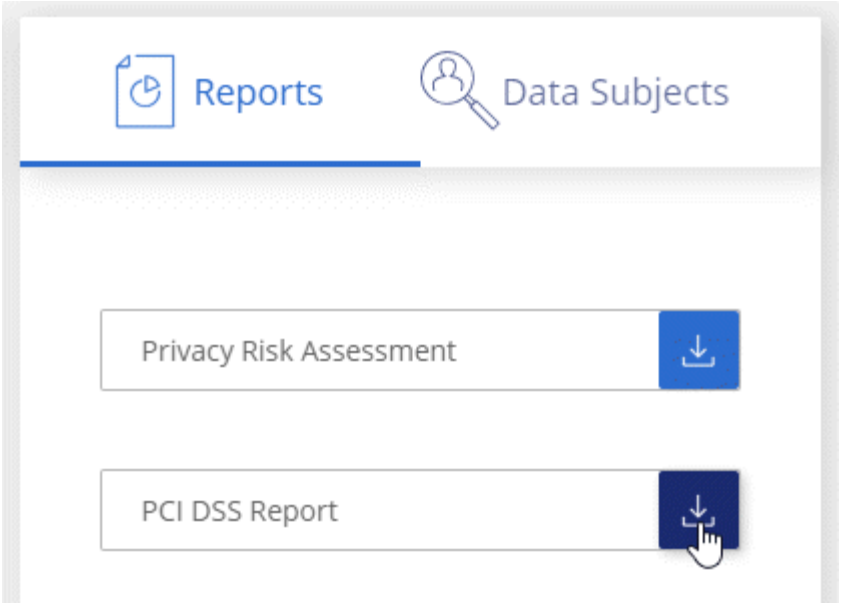
The working environments where the credit card information was found and whether encryption and ransomware protection are enabled.

Generating the PCI DSS Report

Go to the Compliance tab to generate the report.

Steps

1. At the top of Cloud Manager, click **Compliance**.
2. Under **Reports**, click the download icon next to **PCI DSS Report**.



Result

Cloud Compliance generates a PDF report that you can review and send to other groups as needed.

HIPAA Report

The Health Insurance Portability and Accountability Act (HIPAA) Report can help you identify files containing health information. It is designed to aid in your organization's requirement to comply with HIPAA data privacy laws. The information Cloud Compliance looks for includes:

- Health reference pattern
- ICD-10-CM Medical code
- ICD-9-CM Medical code
- HR – Health category
- Health Application Data category

The report includes the following information:

Overview

How many files contain health information and in which working environments.

Encryption

The percentage of files containing health information that are on encrypted or unencrypted working environments. This information is specific to Cloud Volumes ONTAP.

Ransomware Protection

The percentage of files containing health information that are on working environments that do or don't have ransomware protection enabled. This information is specific to Cloud Volumes ONTAP.

Retention

The timeframe in which the files were last modified. This is helpful because you shouldn't keep health information for longer than you need to process it.

Distribution of Health Information

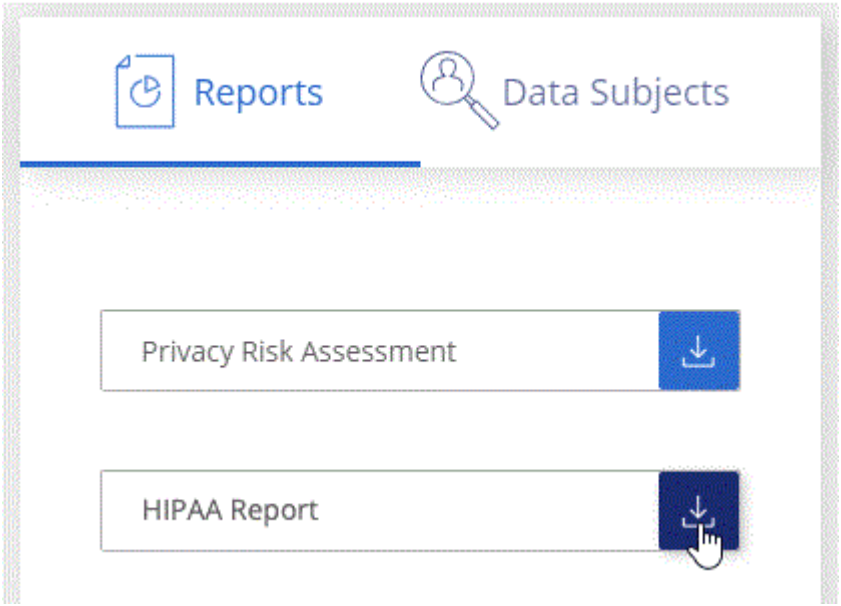
The working environments where the health information was found and whether encryption and ransomware protection are enabled.

Generating the HIPAA Report

Go to the Compliance tab to generate the report.

Steps

1. At the top of Cloud Manager, click **Compliance**.
2. Under **Reports**, click the download icon next to **HIPAA Report**.



Result

Cloud Compliance generates a PDF report that you can review and send to other groups as needed.

Selecting the working environments for reports

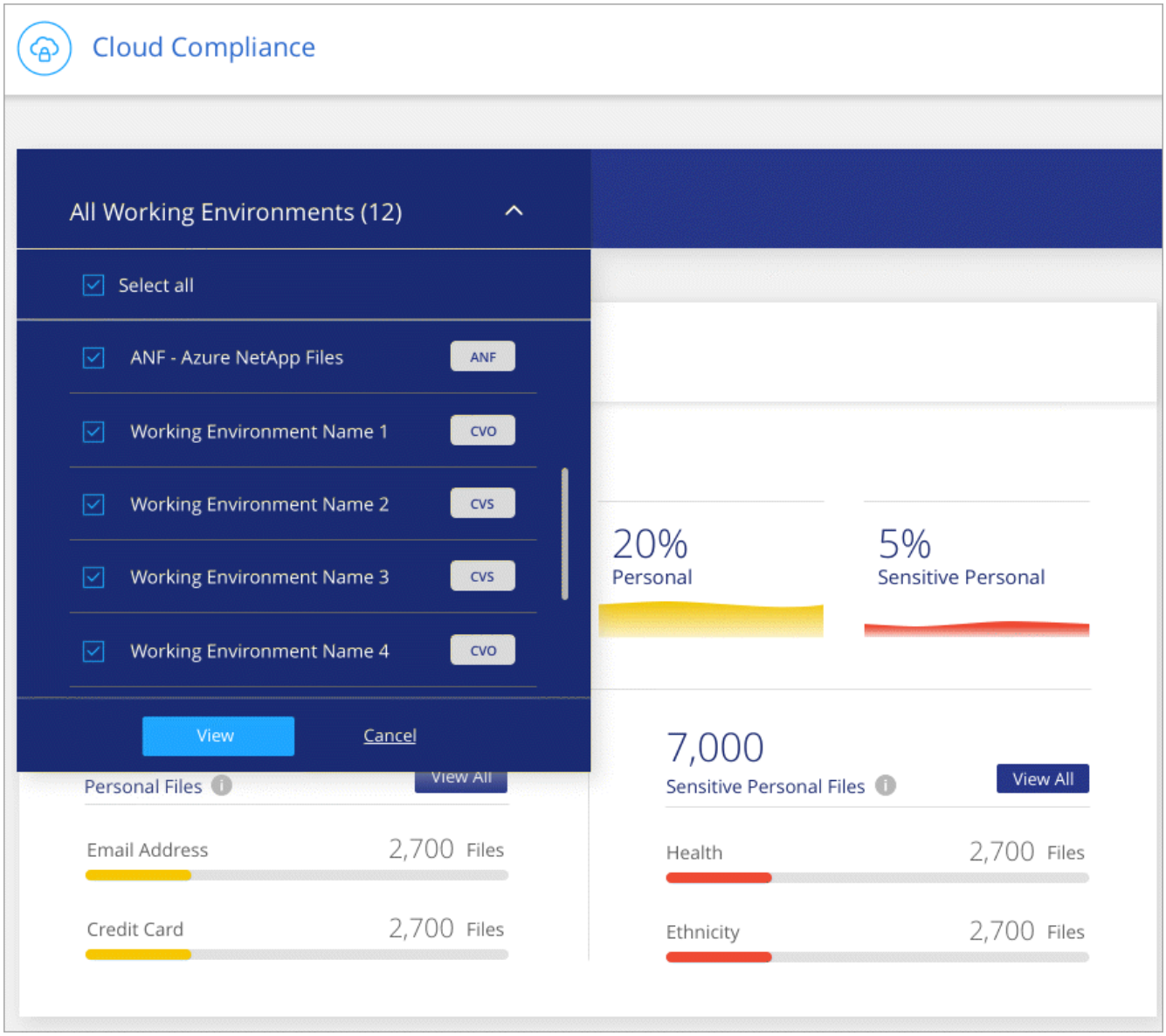
You can filter the contents of the Cloud Compliance dashboard to see compliance data for all working environments and databases, or for just specific working environments.

When you filter the dashboard, Cloud Compliance scopes the compliance data and reports to just those working environments that you selected.

Steps

1. Click the filter drop-down, select the working environments that you'd like to view data for, and

click **View**.


Cloud Compliance
All Working Environments (12)
Select all
ANF - Azure NetApp Files
ANF
Working Environment Name 1
CVO
Working Environment Name 2
CVS
Working Environment Name 3
CVS
Working Environment Name 4
CVO
View
Cancel
20%
Personal
5%
Sensitive Personal
Personal Files
View All
Email Address
2,700 Files
Credit Card
2,700 Files
7,000
Sensitive Personal Files
View All
Health
2,700 Files
Ethnicity
2,700 Files