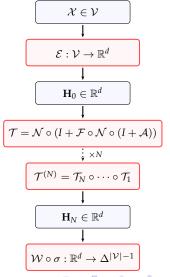
Language-Independent Concept Spaces in Large Language Models

Under advice of Greg Anderson

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Oral Thesis Exam July 14, 2025



1. Safety & Alignment^a

a"We check whether Sparse Autoencoders find features correlated with lying and deception in this out-of-distribution setting." doi.org/10.48550/arXiv.2504.04072

- 1. Safety & Alignment
- 2. Bias & Fairness^a

a"we receive mixed signals as only some subsets of the data are useful in providing insights. To alleviate these two problems, we introduce a more rigorous evaluation dataset and a debiasing method based on Sparse Autoencoders to help reduce bias in models." doi.org/10.48550/arXiv.2410.13146

- 1. Safety & Alignment
- Bias & Fairness
- 3. Compliance with Policies^a

^a"These goals—the targeted removal of information from a model and the targeted suppression of information from a model's outputs—present various technical and substantive challenges." doi.org/10.48550/arXiv.2412.06966

- 1. Safety & Alignment
- 2. Bias & Fairness
- 3. Policy & compliance
- 4. Improving Performance^a

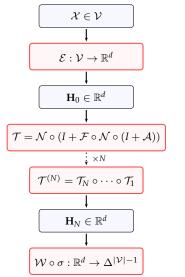
a"We also find more abstract features—responding to things like bugs in computer code." anthropic.com/research/mapping-mindlanguage-model

- 1. Safety & Alignment
- 2. Bias & Fairness
- 3. Policy & compliance
- 4. Improving Performance
- 5. Scientific Insight^a

a"We introduce a non-invasive decoder that reconstructs continuous language from cortical semantic representations recorded using functional magnetic resonance imaging (fMRI). Given novel brain recordings, this decoder generates intelligible word sequences that recover the meaning of perceived speech, imagined speech and even silent videos." doi.org/10.1038/s41593-023-01304-9

Idea: Concept Space

$$\mathcal{C} \stackrel{\mathsf{def}}{=} \mathsf{span} \left[\bigcup_{\mathsf{concepts}} \right]$$

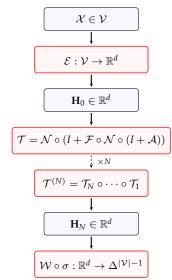


Idea: Concept Space

$$\mathcal{C} \stackrel{\mathsf{def}}{=} \mathsf{span} \left[\bigcup_{\mathsf{concepts}} \right]$$

Where is C?

1.
$$\mathbb{R}^d \stackrel{?}{\cong} \mathcal{C}$$

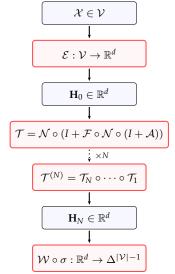


Idea: Concept Space

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Where is C?

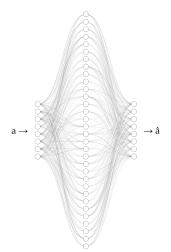
- 1. $\mathbb{R}^d \ncong \mathcal{C}$
- 2. $\mathbb{R}^{d^{x>1}} \cong \mathcal{C}$





SAE: $\mathbb{R}^d \to \mathbb{R}^{d^{x>1}} \to \mathbb{R}^d$

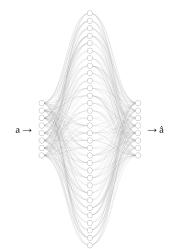
Sparse
 Autoencoders
 (SAEs)



SAE: $\mathbb{R}^d \to \mathbb{R}^{d^{x>1}} \to \mathbb{R}^d$

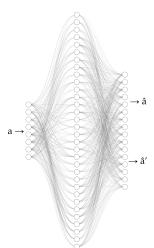
1. Sparse Autoencoders (SAEs)

$$\mathcal{L}(\mathsf{SAE}) = \\ ||\mathbf{a} - \hat{\mathbf{a}}||_2^2 \\ + \lambda \mathsf{sparsity}(\mathsf{SAE}, \mathbf{a})$$



USAE: $\mathbb{R}^d \to \mathbb{R}^{d^{x>1}} \to \mathbb{R}^{2d}$

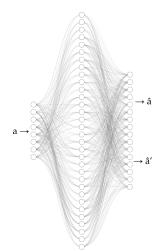
- Sparse Autoencoders
- Universal Sparse Autoencoders (USAEs)



USAE:
$$\mathbb{R}^d \to \mathbb{R}^{d^{x>1}} \to \mathbb{R}^{2d}$$

- 1. Sparse Autoencoders
- Universal Sparse Autoencoders (USAEs)

$$\mathcal{L}(\mathsf{USAE}) = \\ ||\mathbf{a} - \hat{\mathbf{a}}||_2^2 \\ + \lambda \mathsf{sparsity}(\mathsf{USAE}, \mathbf{a}) \\ + \lambda'||\mathbf{a}' - \hat{\mathbf{a}}'||_2^2$$



USAE:
$$\mathbb{R}^d \to \mathbb{R}^{d^{x>1}} \to \mathbb{R}^{2d}$$

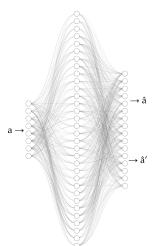
1.
$$\mathcal{L}(\mathsf{SAE}) =$$

 $||\mathsf{a} - \hat{\mathsf{a}}||_2^2 + \lambda \mathsf{sparsity}(\mathsf{SAE}, \mathsf{a})$

2.
$$\mathcal{L}(\mathsf{USAE}) =$$

 $||\mathbf{a} - \hat{\mathbf{a}}||_2^2 + \lambda \mathsf{sparsity}(\mathsf{USAE}, \mathbf{a}) + \lambda' ||\mathbf{a'} - \hat{\mathbf{a}'}||_2^2$

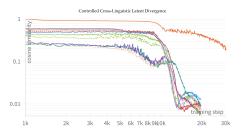
3. USAEs are more interpretable than SAEs.



Approximating $\mathcal C$ with Sparse Coding

- 3. USAEs are more interpretable than SAEs.
 - [i] Concept Convergence
 - [ii] Reconstruction Accuracy
 - [iii] Sparsity

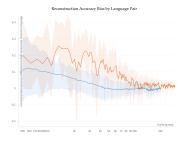
- 3. USAEs are more interpretable than SAEs.
 - [i] Concept convergence



[ii] Reconstruction Accuracy[iii] Sparsity

Approximating $\mathcal C$ with Sparse Coding

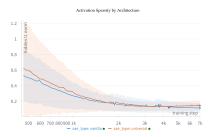
- 3. USAEs are more interpretable than SAEs.
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[iii] Sparsity



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Fin