## Learning unary automata

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## **Outline**

- Introduction
  - Unary Regular Languages
  - Algorithmic Learning Theory
- Consistency Problems and PAC Learning
  - Minimum Consistent DFA
  - Minimum Consistent NFA
  - PAC Learning and VC Dimension
- 3 Learning with Equivalence Queries

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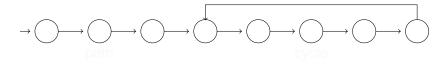
- A unary language is defined over  $\Sigma = \{a\}$ .
- A unary regular language is represented by a DFA:

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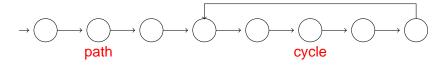
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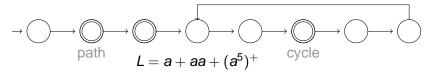
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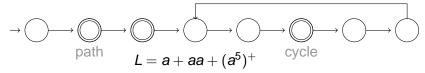
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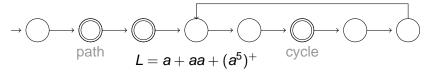
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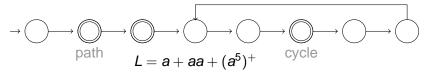
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- Concept  $c \subseteq X$ .
- Concept class  $\mathcal{C} \subseteq \mathcal{P}(X)$ , concept  $c \in \mathcal{C}$ .
- Example x ∈ X is a positive example (for c), if x ∈ c and a negative example, if x ∉ c.
- Common problem: Given a set of classified examples, give a good hypothesis for the concept.

- Concept class: class of unary regular languages representable by automata of a certain size.
- Concept: language from the concept class
- Universe X: set of words {a}\*.
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- Consistency problem (minimum size of a consistent hypothesis)
- PAC learning
- Learning with equivalence queries



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- Consistency problem (minimum size of a consistent hypothesis)
- PAC learning (hypothesis that is correct on most examples with high probability)
- Learning with equivalence queries (every wrong hypothesis is answered with a counterexample)



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- Output: size of a minimum DFA, consistent with P and N
- Known: NP-complete for  $|\Sigma| \ge 2$ . (Gold, 1978)

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Consistency problem for unary example sets

The unary minimum consistent DFA problem is efficiently solvable.



- Easy, if we fix cycle length z first.
  - ▶ We say example lengths x, y collide modulo z, if  $x \equiv y \pmod{z}$
  - If x, y collide modulo z, at least one of them must not reach the cycle in a consistent DFA with cycle length z.
  - ▶ Optimum path length =  $1+\max\{\min(x,y)|x,y \text{ collide modulo } z\}$
  - Optimum size = z+ optimum path length
- Compute the size of a minimum consistent DFA.

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  - ▶ Compute the optimum DFA size for cycle lengths z = 1, 2, ...
  - Stop, if we cannot improve any more, because the cycle length is larger than the best size found so far.

- Number of iterations = optimum size.
- Obvious: optimum size ≤ |longest example| + 1.
- Representing inputs: example lengths are coded in binary input length  $\approx \ell = \sum_{x \in P \cup N} \log x$ .
- Optimum size  $< \ell^3$
- Linear time for each iteration (fixed cycle length).
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- A determines how many examples it needs in dependence on input parameters  $0 < \delta < 1/2$  and  $0 < \epsilon < 1/2$ .
- Classified examples are chosen randomly under some distribution
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- With probability  $\geq 1 \delta$ , A computes a hypothesis that classifies only an  $\epsilon$ -portion (measured under  $\mathcal{D}$ ) of examples incorrectly.
- Relation to the consistency problem:
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## VC Dimension of a Class of Unary Languages

#### VC Dimension of $\mathcal{L}_n$

Let  $\mathcal{L}_n$  be the class of unary languages, accepted by DFAs with at most n states and  $\pi(n)$  be the number of primes  $\leq n$ . Then

$$n-1+|\log(\pi(n)+1)| \leq \frac{VC(\mathcal{L}_n)}{n} \leq n+\log(n)$$
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• Bounds are almost tight:  $\log(\pi(n) + 1) \approx \log n - \log \ln n$ .

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- The VC dimension of  $\mathcal{L}_n$  is  $n + \log n \pm \Theta(\log \log n)$ .
- problem is efficiently solvable and the number of examples
- needed is bounded by  $\Theta(\frac{1}{\epsilon}\log\frac{1}{\delta}+\frac{1}{\epsilon}\log\frac{1}{\epsilon})$
- $(N_n|n \in \mathbb{N})$  is not efficiently PAC learnable, if hypotheses from N are used to learn concepts from  $N_n$  and NP-complete problems are not solvable by Monte-Carlo Turing machines in time  $n^{O(\log n)}$

•  $(\mathcal{N}_n|n\in\mathbb{N})$  is efficiently PAC learnable, if hypotheses from  $\mathcal{N}_{O(n^2)}$  are used to learn concepts from  $\mathcal{N}_n$ .

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- The VC dimension of  $\mathcal{L}_n$  is  $n + \log n \pm \Theta(\log \log n)$ .
- $(\mathcal{L}_n|n\in\mathbb{N})$  is efficiently PAC learnable, because the consistency problem is efficiently solvable and the number of examples needed is bounded by  $\Theta(\frac{1}{\epsilon}\log\frac{1}{\delta}+\frac{n+\log n}{\epsilon}\log\frac{1}{\epsilon})$ .

Let  $\mathcal{L}_n$  ( $\mathcal{N}_n$ ) be the class of languages, that are accepted by unary DFAs (NFAs) with at most n states.

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- $(\mathcal{L}_n|n\in\mathbb{N})$  is efficiently PAC learnable, because the consistency problem is efficiently solvable and the number of examples needed is bounded by  $\Theta(\frac{1}{\epsilon}\log\frac{1}{\delta}+\frac{n+\log n}{\epsilon}\log\frac{1}{\epsilon})$ .
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### **Outline**

- Introduction
  - Unary Regular Languages
  - Algorithmic Learning Theory
- Consistency Problems and PAC Learning
  - Minimum Consistent DFA
  - Minimum Consistent NFA
  - PAC Learning and VC Dimension
- 3 Learning with Equivalence Queries

- Learning algorithm submits a hypothesis from  ${\cal H}$  as a query to the oracle.
- ullet Oracle compares the hypothesis with the concept c from  $\mathcal C$  and

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### Angluin, 1990

Non-unary DFAs and NFAs are not learnable from equivalence queries with polynomially many counterexamples and hypotheses of polynomial size.

Let  $C_n = \mathcal{H}_n$  be the class of languages, that are accepted by a cyclic unary DFA with prime cycle length  $\leq n$ .



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### Using larger hypotheses

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### Learning prime cycles with equivalence queries

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### Using larger hypotheses

If we allow unary cyclic DFAs with at most  $n^d$  ( $d \le n$ ) states as hypotheses to learn concepts from  $\mathcal{C}_n$ , then the upper bound is  $O(\frac{n^2}{d})$ , whereas the lower bound is  $\Omega(\frac{n^2}{d} \cdot \frac{\ln d}{(\ln n)^2})$ .

- Produce hypotheses with prime cycle length p consistent with previous counterexamples.
- Colliding examples modulo p indicate that cycle length p is impossible for the concept.
- At most p counterexamples for each prime p until collision.
- The algorithm needs at most

$$\sum_{p < n, p \text{ prime}} p = \Theta\left(\frac{n^2}{\ln n}\right)$$

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- The concept is not fixed, but constructed depending on the queries.
- Just make sure, the concept is consistent with the counterexamples.
- Every counterexample reveals as little information as possible
- Number of counterexamples  $\sum_{p \leq n} (p-2) = \Omega\left(\frac{n^2}{\ln n}\right)$



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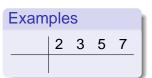




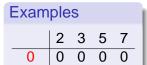
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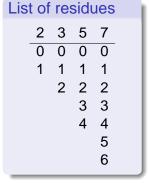


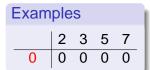


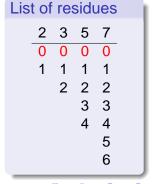


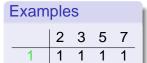


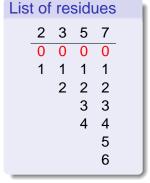


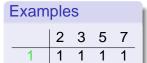


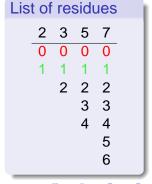


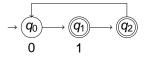


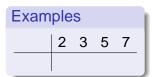


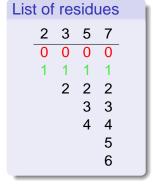




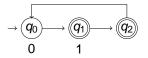








#### Hypothesis



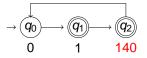
### Examples

	2	3	5	7
140	0	2	0	0

#### List of residues

2	3	5	7
0	0	0	0
1	1	1	1

#### Hypothesis

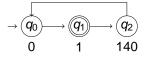


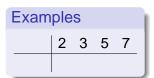
### Examples

	2	3	5	7	
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#### List of residues

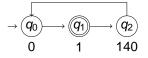
2	3	5	7	
0	0	0	0	
1	1	1	1	
	2	2	2	
		3	3	
		4	4	
			5	
			6	







#### Hypothesis



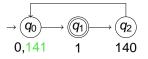
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0	0	0	0
1	1	1	1
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		3	3
		4	4
			5
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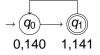


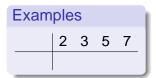
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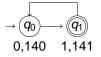
2	3	5	7
0	0	0	0
1	1	1	1
	2	2	2
		3	3
		4	4
			5
			6







#### Hypothesis



### Examples

	2	3	5	7	
106	0	1	1	1	

#### List of residues

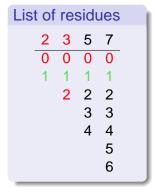
2	3	5	7
0	0	0	0
1	1	1	1
	2	2	2
		3	3
		4	4
			5
			6

#### Hypothesis

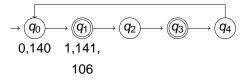


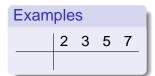
# Examples 2 3 5 7

106 0



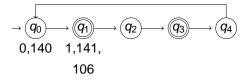
#### Hypothesis







#### Hypothesis



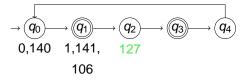
## **Examples**

	2	3	5	7	
127	1	1	2	1	-

#### List of residues

2	3	5	7
0	0	0	0
1	1	1	1
	2	2	2
		3	3
		4	4
			5
			6

#### Hypothesis



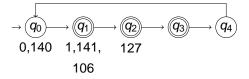
## **Examples**

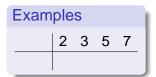
	2	3	5	7	
127	1	1	2	1	

#### List of residues

2	3	5	7
0	0	0	0
1	1	1	1
	2	2	2
		3	3
		4	4
			5
			6

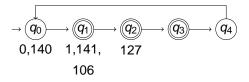
### Hypothesis







#### Hypothesis



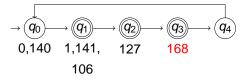
## Examples

		3	5	7
168	0	0	3	0

#### List of residues

2	3	5	7	
0	0	0	0	
1	1	1	1	
	2	2	2	
		3	3	
		4	4	
			5	
			6	

#### Hypothesis



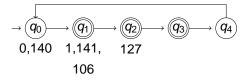
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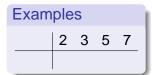
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		3	3	
		4	4	
			5	
			6	

#### Hypothesis



... At least  $\sum_{p \le n} (p-2) = \Omega\left(\frac{n^2}{\ln n}\right)$  counterexamples.





2	3	5	7
0	0	0	0
4	4	4	4

## Consistency and PAC learning for DFAs

The consistency problem for unary DFAs becomes simple

The volumension of unary DFAS with \( \sigma \) States is

 $n + \log n \perp O(\log \log n)$ .

Unary DFAs are efficiently PAC learnable

Consistency and PAC learning for NFAs

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## Consistency and PAC learning for DFAs

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 The consistency problem remains hard for unary NFAs, but can efficiently be approximated quadratically.

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## Consistency and PAC learning for DFAs

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- The VC dimension of unary DFAs with ≤ n states is n + log n ± Θ(log log n).
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## Consistency and PAC learning for NFAs

- The consistency problem remains hard for unary NFAs, but can efficiently be approximated quadratically.
- It is hard to PAC learn unary NFAs with small hypotheses.
- Unary NFAs are efficiently PAC learnable with quadratically larger hypotheses.



## Learning cyclic DFAs by equivalence queries

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#### Learning cyclic DFAs by equivalence queries

- Learn DFAs with  $p \le n$  states by hypotheses with  $p \le n$  states:
  - $\Theta\left(\frac{n^2}{\ln n}\right)$  counterexamples are sufficient and necessary.

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### Learning cyclic DFAs by equivalence queries

- Learn DFAs with  $p \le n$  states by hypotheses with  $p \le n$  states:  $\Theta\left(\frac{n^2}{\ln n}\right)$  counterexamples are sufficient and necessary.
- Learn DFAs with at most n states by hypotheses with at most n<sup>d</sup> states (d ≤ n):
  - $O(\frac{n^2}{d})$  counterexamples are sufficient and  $\Omega(\frac{n^2}{d} \cdot \frac{\ln d}{(\ln n)^2})$  are necessary.

- Learning non-cyclic unary DFAs with equivalence queries.
- Learning unary NFAs with equivalence gueries.
- Learning unary PFAs for fixed isolation



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- Learning non-cyclic unary DFAs with equivalence queries.
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- Learning non-cyclic unary DFAs with equivalence queries.
- Learning unary NFAs with equivalence queries.
- Learning unary PFAs for fixed isolation. (Consistency, PAC, equivalence queries.)



- Learning non-cyclic unary DFAs with equivalence queries.
- Learning unary NFAs with equivalence queries.
- Learning unary PFAs for fixed isolation. (Consistency, PAC, equivalence queries.)

Thanks for the attention.

