# THE SIMPLICIAL COMPLEXES PACKAGE FOR MACAULAY2

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ABSTRACT. This article demonstrates some of the updated features of the `SimplicialComplexes` package in *Macaulay2*. todo

## 1. INTRODUCTION

This paper gives an overview of the features of the `SimplicialComplexes` package in *Macaulay2* [M2]. The previous version of this package was developed by Sorin Popescu, Mike Stillman, and Gregory G. Smith in 2010. We have taken the opportunity to clean up the package and perform some maintenance to ensure that it stays computationally relevant and viable. We have also implemented a new data type in `SimplicialMap` in order to work with simplicial maps, and several constructors for well-known complexes.

In this package, simplicial complexes are represented algebraically through Stanley-Reisner ideals: Let $\Delta$ be an abstract simplicial complex with vertex set $V = \{v_0, v_1, ..., v_{n-1}\}$, $k$ be any commutative ring, and set $S = k[x_0, ..., x_n]$. Then the **Stanley-Reisner ideal**, or **facet ideal** of $\Delta$ is defined to be square-free monomial ideal

$$I_\Delta := \left( \prod_{j=1}^{k} x_{i_j} \ \middle| \ \{v_{i_1}, v_{i_2}, ..., v_{i_k}\} \not\subset \Delta \right) \subset S,$$

and the **Stanley-Reisner ring** corresponding to $\Delta$ is $k[\Delta] = S/I_\Delta$. In other words, $I_\Delta$ consists of generators corresponding to the *non-faces* of $\Delta$. This produces a one-to-one correspondence between simplicial complexes and square-free monomial ideals, which makes it useful for computation in *Macaulay2*.

**Example 1.1.** There are two ways of constructing a simplicial complex, one by listing the products of the variables forming faces, or by constructing the Stanley-Reisner ideal (which has generators given by the *non-faces*). For instance, we can construct the 1-skeleton of a 2-simplex using both methods:

```
i2 : S = ZZ[x_0,x_1,x_2];
i3 : Δ = simplicialComplex {x_0*x_1, x_0*x_2, x_1*x_2}
o3 = simplicialComplex {x x , x x , x x }
                         1 2    0 2    0 1
o3 : SimplicialComplex
i4 : IΔ = monomialIdeal {x_0*x_1*x_2};
i5 : Δ' = simplicialComplex IΔ
```

```
o5 = simplicialComplex {x x , x x , x x }
                         1 2   0 2   0 1
o5 : SimplicialComplex
```

◇

In Section **??**, we demonstrate some of the topological features of the package. Namely, the use of the small manifolds database provided by Frank Lutz (cite), and some homological computations. In Section **??** we review some Stanley-Reisner theory and describe how to work with simplicial complexes using their corresponding Stanley-Reisner ideal. We also cover $f$- and $h$-vectors, shellability, and being Cohen-Macaulay. We give examples on how to check if a simplicial complex is Cohen-Macaulay using the package.

Finally, in Section **??**, we overview the various complex constructions we obtain by resolving the corresponding Stanley-Reisner ideals. We demonstrate how to find the minimal free resolution of the irrelevant ideal of $\mathbb{P}^1 \times \mathbb{P}^1$, as well as giving examples of Scarf resolutions, and a Taylor resolution which is not minimal.

## 2. COMBINATORIAL TOPOLOGY

Lutz has provided a database enumerating all of the 2 and 3-manifolds having 10 or less vertices. We have implemented these databases into the package—however we have excluded the database of 3-manifolds with 10 vertices, due to the large number of examples causing long loading times.

**Example 2.1.** These databases can be used to find nice testbeds of examples: for instance, we can search for simplicial maps

```
i2 : R = ZZ[a..i];
i3 : S = ZZ[x_0..x_6];
i4 : Γ = smallManifold(2,7,1,S);
i5 : maplist = flatten for i to 2 list (
     for j in subsets(toList(R_0..R_8),7) list (
         phi := map(smallManifold(3,9,i,R),Γ,j);
         if isWellDefined phi then phi else continue
         )
     );
i6 : maplist_0
o6 = | a b e f g h i |
```

By construction, all of these maps should be inclusions.

```
i7 : isInjective\maplist
o7 = {true, true, true, true, true, true}
o7 : List
```

◇

The database also contains many triangulations of various interesting surfaces, such as the torus, Klein bottle, and real projective plane. Here are the smallest indices (and hence minimal triangulations of) these surfaces in the database

**Example 2.2.**
```
            i8 : Torus = smallManifold(2, 7, 6, R);
    i9 : KleinBottle = smallManifold(2, 8, 12, R);
    i10 : RP2 = smallManifold(2, 6, 1, R);
```

We can check that these are the right surfaces by computing their homology. Theorems 6.2, 6.3, and 6.4 from Munkres confirm that they match [Mun18].

```
i11 : for i to 2 list prune HH_i Torus
               2    1
o11 = {0, ZZ , ZZ }
o11 : List
i12 : for i to 2 list prune HH_i KleinBottle
o12 = {0, cokernel | 2 |, 0}
                   | 0 |
o12 : List
i13 : for i to 2 list prune HH_i RP2
o13 = {0, cokernel | 2 |, 0}
o13 : List
```

We can explicitly identify the generators of the homology for the torus.

```
i0 : TODO. Ben's code
```

$\diamond$

## 3. STANLEY-REISNER THEORY

Stanley-Reisner theory connects homological properties of $k[\Delta]$ to combinatorial and topological properties of $\Delta$. We shall discuss some of the consequences here, but a survey of results can be found in [BH93, Sta96, MS05].

Let $\Delta$ be an abstract simplicial complex with vertex set $V = \{v_0, v_1, ..., v_{n-1}\}$, $k$ be any commutative ring, and set $S = k[x_0, ..., x_n]$. Then the **Stanley-Reisner ideal**, or **facet ideal** of $\Delta$ is defined to be square-free monomial ideal

$$I_\Delta := \left( \prod_{j=1}^{k} x_{i_j} \;\middle|\; \{v_{i_1}, v_{i_2}, ..., v_{i_k}\} \not\subset \Delta \right) \subset S,$$

and the **Stanley-Reisner ring** corresponding to $\Delta$ is $k[\Delta] = S/I_\Delta$. In other words, $I_\Delta$ consists of generators corresponding to the *non-faces* of $\Delta$. This produces a one-to-one correspondence between simplicial complexes and square-free monomial ideals, which makes it useful for computation in *Macaulay2*.

If $I = (m_1, ..., m_q) \subset S$ is a monomial ideal, with minimal generators $m_i = \prod x_j^{a_{i_j}}$, then the **Alexander dual** of $I$ is defined to be $I^* := \bigcap_{i=1}^{q} (x_0^{a_{i_1}}, x_1^{a_{i_2}}, ..., x_{n-1}^{a_{i_{n-1}}})$. If $I = I_\Delta$ for some simplicial complex $\Delta$, then $I^*$ is also a square-free monomial ideal and is the Stanley-Reisner ideal of a simplicial complex $\Delta^*$, which we call the **Alexander dual** complex to $\Delta$. There is also a combinatorial description of $\Delta^*$, given by $\Delta^* = \{F \subset V \mid V \setminus F \notin \Delta\}$. One of the attractive features of Alexander duality is the relationship between the cohomology of $\Delta$ and the homology of $\Delta^*$. More

specifically, if $\Delta$ is a simplicial complex on $n$ vertices, then $\widetilde{H}_{i-1}(\Delta^*) = \widetilde{H}^{n-2-i}(\Delta)$ for all $i \in \mathbb{Z}$, see [MS05, Theorem 5.6].

**Example 3.1.** Consider the simplicial complex $\bowtie$, depicted in Figure 1 The Stanley-Reisner ideal of
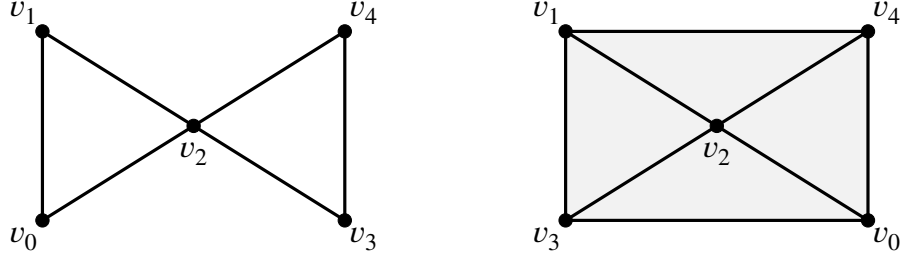


FIGURE 1.  The simplicial complex $\bowtie$ (left) and its Alexander dual $\bowtie^*$ (right).

$\bowtie$ is $I_\bowtie = (x_0 x_1 x_2,\ x_0 x_3,\ x_1 x_3,\ x_0 x_4,\ x_1 x_4,\ x_2 x_3 x_4)$. We can exhibit the correspondence between $\bowtie$ and $I_\bowtie$ using the methods `simplicialComplex` and `ideal`.

```
i28 : S = QQ[x_0..x_4];
i29 : IM = monomialIdeal(x_0*x_1*x_2,x_0*x_3,x_1*x_3,x_0*x_4,x_1*x_4,x_2*x_3*x_4);
o29 : MonomialIdeal of S
i30 : M = simplicialComplex IM
o30 = simplicialComplex | x_3x_4 x_2x_4 x_2x_3 x_1x_2 x_0x_2 x_0x_1 |
o30 : SimplicialComplex
i31 : IM == ideal M
o31 = true
```

We can use the `dual` method to compute the Alexander dual of $\bowtie$.

```
i133 : dual M
o133 = simplicialComplex | x_1x_2x_4 x_0x_2x_4 x_1x_2x_3 x_0x_2x_3 |
o133 : SimplicialComplex
```

which is the simplicial complex By the definition of the Alexander dual, we know that $(I_\bowtie)^* = I_{\bowtie^*}$. We can verify this directly.

```
i134 : dual(monomialIdeal M) == monomialIdeal dual M
o134 = true
```

We can also verify the combinatorial description of $\bowtie^*$ by showing that the minimal generators of $I_\bowtie$ correspond the complements of the facets of $\bowtie^*$,

```
i140 : dualFacets = first entries facets dual M
o140 = {x x x  ,  x x x  ,  x x x  ,  x x x }
          1 2 4     0 2 4     1 2 3     0 2 3
o140 : List
i141 : sort first entries gens IM == sort for F in dualFacets list(
           product for v in vertices M list(
               if member(v, support F) then continue else v)
               )
           )
```

```
o141 = true
```

Finally, we exhibit the isomorphisms between the cohomology of $\bowtie$ and the homology of $\bowtie^*$.

```
i94 : all(-1..5, i -> all(-1..5, i -> prune HH^(3-i) ⋈ == prune HH_(i-1) dual ⋈)
o94 = true
```

<div align="right">◇</div>

For a simplicial complex $\Delta$ and a face $F \in \Delta$, we define the **link** of $F$ to be the subcomplex of $\Delta$ defined by

$$\text{link}_\Delta(F) := \{G \in \Delta \mid F \cup G \in \Delta \ \text{ and } \ F \cap G = \varnothing\}.$$

We can now exhibit a more substantive result of Stanley-Reisner theory, which is the "dual version" of Hochster's formula, see [MS05, Corollary 1.40]. This formula allows us to compute the multigraded Betti numbers of $I_\Delta$, which are defined to be $\beta_{i,m}(I_\Delta) = \left(\text{Tor}_i^S(I_\Delta, k)\right)_m$. For a subset $F \subset V$, we will use the notation $\beta_{i,F}$ to refer to the betti number in homological degree $i$ and multidegree $(a_1, ..., a_n) \in \mathbb{Z}^n$, where $a_i = 1$ if $v_i \in F$ and $a_i = 0$ otherwise.

**Theorem 3.2** (Hochster's Formula, dual version)**.** *Let $\Delta$ be a simplicial complex with vertex set $V = \{v_0, v_1, ..., v_{n-1}\}$. The nonzero multigraded Betti numbers of $I_\Delta$ and $S/I_\Delta$ lie in squarefree degrees. Moreover, if $F \subset V$, then*

$$\beta_{i,F}(I_\Delta) = \beta_{i+1,F}(S/I_\Delta) = \dim_k \left( \widetilde{H}_{i-1} \left( \text{link}_{\Delta^*}(V \setminus F)\,;\, k \right) \right).$$

**Example 3.3.** In Example 3.1, we computed the Alexander dual of the complex $\bowtie$. We can use the `link` method to compute the links of various faces. For example, we compute the link of the central vertex $v_2$, whose link is a square.

```
i27 : link(dual ⋈, x_2)
o27 = simplicialComplex | x_1x_4 x_0x_4 x_1x_3 x_0x_3 |
o27 : SimplicialComplex
```

We can also construct a function that computes the multigraded betti numbers $\beta_{i,F}(S/I_\bowtie)$.

```
hochster = (i, m) -> (
    G := product select(vertices ⋈, v -> not member(v, support m));
    rank (homology link(dual ⋈, G_S))_i
    )
```

Since we have a bound on the nonzero betti numbers of $I_\Delta$, we can collect them into a matrix

```
i94 : V = vertices ⋈;
i95 : squarefreeMonomials = unique sort apply(remove(subsets V, 0), m -> lcm m);
i96 : matrix for i to length res I⋈ - 1 list (
          for F in squarefreeMonomials list hochster(i-1,F)
          )
o96 = | 0 0 0 0 0 0 0 1 1 0 1 1 0 0 0 1 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 |
      | 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 1 0 0 1 0 0 1 0 1 1 0 1 1 0 |
      | 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 2 |
                    3           31
o96 : Matrix ZZ   <--- ZZ
```

where the row are indexed by the homological degree (starting at 0), and the columns are indexed by the squarefree multidegrees.            ◇

We say that a simplicial complex $\Delta$ is **pure** if all of the facets of $\Delta$ have the same dimension. We say that **shellable** if we can order the facets $F_1, ..., F_m$ of $\Delta$ so that $\langle F_i \rangle \cap \langle F_1, F_2, ..., F_{i-1} \rangle$ is a pure, codimension 1, simplicial complex. We say that a $\Delta$ is **Cohen-Macaulay** if $k[\Delta]$ is a Cohen-Macaulay ring. It is known that every shellable simplicial complex is Cohen-Macaulay [BH93, Theorem 5.1.13] and every Cohen-Macaulay simplicial complex is pure [BH93, Corollary 5.1.5].

For Stanley-Reisner rings, we can use the combinatorics of $\Delta$ to determine if $k[\Delta]$ is a Cohen-Macaulay ring. Specifically, $k[\Delta]$ is Cohen-Macaulay if an only if $\widetilde{H}_i(\mathrm{link}_\Delta(F); k) = 0$ for all $F \in \Delta$ and $i < \dim \mathrm{Link}_\Delta(F)$, see [BH93, Corollary 5.3.9]. We can also relate the Cohen-Macaulay property to the $h$-vector of $\Delta$. We can write the Hilbert series of $k[\Delta]$ as a rational function

$$\sum \dim k[\Delta]_i \, t^i = \frac{h_0 + h_1 t + \cdots h_k t^k}{(1-t)^d}$$

where $d = \dim \Delta + 1$, and $0 \le k \le d$ is such that $h_k \ne 0$. If $\Delta$ is a $d$-dimensional Cohen-Macaulay complex with $n$ vertices, then [BH93, Lemma 5.1.10] proves that

$$0 \le h_i \le \binom{n-d+i}{i}$$

for $i = 0, 1, ..., d+1$. We can also compute the $h$-vector using the combinatorics of $\Delta$. Specifically, if $\Delta$ is a $d$-dimensional simplicial complex, then [BH93, Lemma 5.18] tells us that

$$h_j = \sum_{i=0}^{j} (-1)^{j-1} \binom{d+1-i}{j-1} f_{i-1}$$

for $j = 0, 1, ..., d+1$.

**Example 3.4.** The simplicial complex ⋈ introduced in example 3.1 is Shellable and Cohen-Macaulay. We can verify the Cohen-Macaulay property using [BH93, Corollary 5.3.9].

```
i43 : faceList = flatten for i from -1 to dim ⋈ list (faces ⋈)#i
o43 = {1, x , x , x , x , x , x x , x x , x x , x x , x x , x x }
           0   1   2   3   4   0 1   0 2   1 2   2 3   2 4   3 4
o43 : List
i44 : all(faceList, F -> all(0..dim(link(⋈,F))-1, i -> HH_i link(⋈,F) == 0))
o44 = true
```

This simplicial complex is also shellable, but this package does not provide a method for determining this quality. However, such a method is available in the `SimplicialDecomposability` package in `Macaulay2`, see [Coo10].

Let's also consider the "bowtie" simplicial complex ⋈, which is obtained by filling in the loops of the simplicial complex ⋈, see Figure 2. Since the intersection of the two facets of ⋈ is a single point, which has codimension 2, the bowtie complex is not shellable. The simplicial complex ⋈ is not Cohen-Macaulay either, which we can verify by computing the $h$-vector of ⋈.
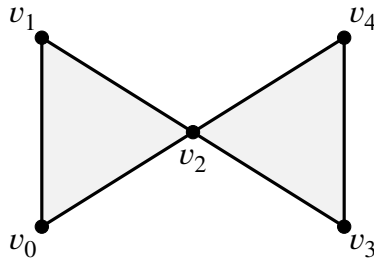
FIGURE 2. The bowtie complex

```
i3 : IM = monomialIdeal(x_0*x_3, x_1*x_3, x_0*x_4, x_1*x_4 );

o3 : MonomialIdeal of S

i4 : M = simplicialComplex IM;

i5 : kM = S/IM;

i6 : d = dim M;

i7 : fM = fVector M

o7 = {1, 5, 6, 2}

o7 : List

i8 : hM = for j to d+1 list(
          sum for i to j list (-1)^(j-i)*binomial(d+1-i,j-i)*(fM#(i))
          )

o8 = {1, 2, -1, 0}

o8 : List
```

Since the *h*-vector of M has a negative entry, this simplicial complex cannot be Cohen-Macaulay, by [BH93, Lemma 5.1.10].

Some notable examples of simplicial complexes that are Cohen-Macaulay, but not shellable, are the Rudin ball and the Ziegler ball. Both of these complexes are included in the SimplicialComplexes and can be constructed using the methods rudinBallComplex and zieglerBallComplex respectively.                                                                                     ◇

## 4. RESOLUTIONS OF MONOMIAL IDEALS
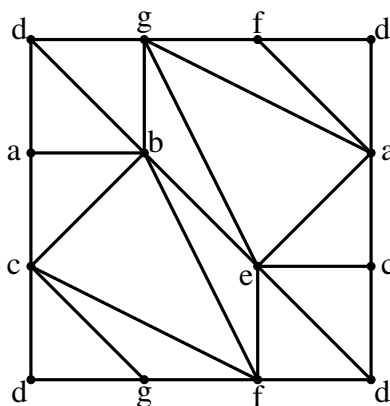
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