SIMPLICIAL COMPLEXES IN MACAULAY2

BEN HERSEY, GREGORY G. SMITH, AND ALEXANDRE ZOTINE

ABSTRACT. This article demonstrates some of the updated features of the SimplicialComplexes package in *Macaulay2*.

This paper gives an overview of the SimplicialComplexes package in *Macaulay2* [M2]. The previous version of this package was developed by Sorin Popescu, Mike Stillman, and Gregory G. Smith in 2010. We have taken the opportunity to clean up the package and perform some maintenance to ensure that it stays computationally relevant and viable. We have also implemented a new data type in SimplicialMap in order to work with simplicial maps, and several constructors for well-known complexes.

An **abstract simplicial complex** Δ is a family of sets closed under taking subsets. Finite sets belonging to the family are referred to as **faces** of Δ . Faces which are not subsets of another face are referred to as **facets**. The **vertex set** of Δ is the union of all of the sets in Δ , and elements of the vertex set are the **vertices** of Δ . The **dimension** of a face $X \in \Delta$ is given by dim X = |X| - 1, and the dimension of Δ is the maximum dimension of its faces—or infinity if they are unbounded in dimension.

1. **Constructors.** One way to represent simplicial complexes algebraically is via Stanley-Reisner ideals: Let Δ be an abstract simplicial complex with vertex set $V = \{v_0, v_1, \dots, v_n\}$, k be any commutative ring (typically $\mathbb Z$ or a field), and set $S = k[x_0, \dots, x_n]$. Then the **Stanley-Reisner ideal**, or **facet ideal** of Δ is defined to be square-free monomial ideal

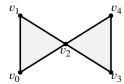
$$I_{\Delta} \coloneqq \left(\prod_{j=1}^k x_{i_j} \;\middle|\; \{v_{i_1}, v_{i_2}, \dots, v_{i_k}\} \not\subset \Delta \right) \subset S,$$

and the **Stanley–Reisner ring** corresponding to Δ is $k[\Delta] = S/I_{\Delta}$. In other words, I_{Δ} consists of generators corresponding to the *non-faces* of Δ . This produces a one-to-one correspondence between simplicial complexes and square-free monomial ideals, which makes it useful for computation in *Macaulay2*. However, we should note that ideal computations with large numbers of variables can be very slow—in particular, this means that our package is not well-suited to deal with large vertex set simplicial complexes, such as those arising from topological data analysis.

In this package, every simplicial complex Δ is defined relative to a polynomial ring, and the vertices of Δ will correspond variables of this ring. The most basic constructor for simplicial complexes is the simplicialComplex method, which accepts two types of inputs. The first type of input is a list of square-free monomials in a polynomial ring. The support of each of these monomials

Date: 2021-07-15.

²⁰²⁰ Mathematics Subject Classification. 05E45, 13F55, 55U10.



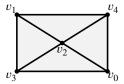


FIGURE 1. The simplicial complex \bowtie (left) and its Alexander dual \bowtie^* (right).

determines a face, and the output is a the smallest simplicial complex containing all of the faces. For example, let's construct "bowtie" complex \bowtie , depicted in Figure 3.

```
i3 : R = QQ[x_0..x_4];

i4 : \mathbf{M} = simplicialComplex\{x_0*x_1*x_2, x_2*x_3*x_4\}

o4 = simplicialComplex \mid x_2x_3x_4 x_0x_1x_2 \mid

o4 : SimplicialComplex
```

The second type of input is a monomial ideal I generated by square-free monomials, and the output is the simplicial complex whose Stanley-Reisner ideal is I. We can also determine the Stanley-Riesner ideal of a simplicial complex, using the ideal method. Let's compute \bowtie again, this time using the Stanley-Riesner ideal as input.

If $I=(m_1,m_2,\ldots,m_q)\subset S$ is a monomial ideal, with minimal generators $m_i=\prod x_j^{a_{ij}}$, then the **Alexander dual** of I is defined to be $I^*\coloneqq\bigcap_{i=1}^q(x_0^{a_{i_1}},\ x_1^{a_{i_2}},\ldots,\ x_{n-1}^{a_{i_{n-1}}})$. If $I=I_\Delta$ for some simplicial complex Δ , then I^* is also a square-free monomial ideal and is the Stanley-Reisner ideal of a simplicial complex Δ^* , which we call the **Alexander dual** complex to Δ . There is also a combinatorial description of Δ^* , given by $\Delta^*=\{F\subset V\mid V\setminus F\not\in \Delta\}$. The Alexander dual to \bowtie is also given in Figure 3 and we can compute it in Macaulay2 using the dual method.

```
i133 : dual \bowtie o133 = simplicialComplex | x_1x_2x_4 x_0x_2x_4 x_1x_2x_3 x_0x_2x_3 | o133 : SimplicialComplex i134 : dual(monomialIdeal \bowtie) == monomialIdeal dual \bowtie o134 = true
```

For a simplicial complex Δ and a face $F \in \Delta$, we define the **link** of F to be the subcomplex of Δ defined by $\text{link}_{\Delta}(F) := \{G \in \Delta \mid F \cup G \in \Delta \text{ and } F \cap G = \emptyset\}$. For example The link of v_2 in \bowtie^* is the 4-cycle graph induced on the remaining vertices.

```
i18 : link(dual \mathbf{M}, x_2)
o18 = simplicialComplex | x_1x_4 x_0x_4 x_1x_3 x_0x_3 |
o18 : SimplicialComplex
```

```
i19 : link(dual \mathbf{M}, x_2) === inducedSubcomplex(dual \mathbf{M}, {x_0,x_1,x_3,x_4}) o19 = true
```

There are also constructors for the star of a face in a simplicial complex (star), the wedge product of simplicial complexes (wedge), the join of simplicial complexes (*), the elementary collapse of a free face (elementaryCollapse), and the barycentric subdivision of a simplicial complex (barycentricSubdivision). There are also constructors for some well known examples. As an example we construct the Ziegler ball.

```
i34 : R = QQ[a..j];
i35 : zieglerBallComplex R
o35 = simplicialComplex | bfgj bcgj befj abej abcj aehi adhi aefi abfi abdi
        cfgh degh cdgh bcfh adeh bcdh bcfg adeg acdg abef abcd |
o35 : SimplicialComplex
```

Lutz has provided a database enumerating all of the 2 and 3-manifolds having 10 or less vertices [Lut17]. We have implemented these into the package—however we chose to exclude the database of 3-manifolds with 10 vertices, due to the large number of examples causing long loading times. The database contains many triangulations of various interesting surfaces, such as the torus, Klein bottle, and real projective plane. Below are the smallest indices (and hence minimal triangulations of) these surfaces in the database, and see Figure 2 for a visual representation of the torus triangulation.

```
i8 : Torus = smallManifold(2, 7, 6, R);
i9 : KleinBottle = smallManifold(2, 8, 12, R);
i10 : RP2 = smallManifold(2, 6, 1, R);
```

We can check that these are the right surfaces by computing their homology. Theorems 6.2–6.4 from Munkres confirm that they match [Mun18].

We can explicitly identify the generators of the homology by mapping circles onto the torus.

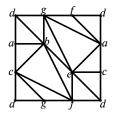


FIGURE 2. The minimal triangulation of the torus. Image credit: [Lut08]

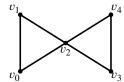
Such databases can be used to find nice testbeds of examples: For instance, we can search for simplicial maps.

By construction, all of these maps should be inclusions.

```
i7 : isInjective\maplist
o7 = {true, true, true, true, true}
o7 : List
```

2. **Stanley–Reisner Theory.** Stanley–Reisner theory connects homological properties of $k[\Delta]$ to combinatorial and topological properties of Δ . In this section will discuss some of these connections and how they interface with the package. Namely we will cover f- and h-vectors, shellability, and being Cohen-Macaulay—but a more detailed survey of results can be found in [BH93, Sta96, MS05].

If $I=(m_1,m_2,\ldots,m_q)\subset S$ is a monomial ideal, with minimal generators $m_i=\prod x_j^{a_{i_j}}$, then the **Alexander dual** of I is defined to be $I^*:=\bigcap_{i=1}^q(x_0^{a_{i_1}},x_1^{a_{i_2}},\ldots,x_{n-1}^{a_{i_{n-1}}})$. If $I=I_\Delta$ for some simplicial complex Δ , then I^* is also a square-free monomial ideal and is the Stanley-Reisner ideal of a simplicial complex Δ^* , which we call the **Alexander dual** complex to Δ . There is also a combinatorial description of Δ^* , given by $\Delta^*=\{F\subset V\mid V\setminus F\not\in \Delta\}$. One of the attractive features



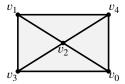


FIGURE 3. The simplicial complex \bowtie (left) and its Alexander dual \bowtie^* (right).

of Alexander duality is the relationship between the cohomology of Δ and the homology of Δ^* . More specifically, if Δ is a simplicial complex on n vertices, then $\widetilde{H}_{i-1}(\Delta^*) = \widetilde{H}^{n-2-i}(\Delta)$ for all $i \in \mathbb{Z}$, see [MS05, Theorem 5.6].

Example 2.0. Consider the simplicial complex \bowtie , depicted in Figure 3. The Stanley-Reisner ideal of \bowtie is $I_{\bowtie} = (x_0x_1x_2, x_0x_3, x_1x_3, x_0x_4, x_1x_4, x_2x_3x_4)$. We can exhibit the correspondence between \bowtie and I_{\bowtie} using the methods simplicialComplex and ideal.

```
i28 : S = QQ[x_0..x_4];

i29 : I\bowtie = monomialIdeal(x_0*x_1*x_2,x_0*x_3,x_1*x_3,x_0*x_4,x_1*x_4,x_2*x_3*x_4);

o29 : MonomialIdeal of S

i30 : \bowtie = simplicialComplex I\bowtie

o30 = simplicialComplex | x_3x_4 x_2x_4 x_2x_3 x_1x_2 x_0x_2 x_0x_1 |

o30 : SimplicialComplex

i31 : I\bowtie == ideal \bowtie

o31 = true
```

We can use the dual method to compute the Alexander dual of \bowtie .

```
i133 : dual \bowtie o133 = simplicialComplex | x_1x_2x_4 x_0x_2x_4 x_1x_2x_3 x_0x_2x_3 | o133 : SimplicialComplex i134 : dual(monomialIdeal \bowtie) == monomialIdeal dual \bowtie o134 = true
```

Moreover, we can verify the combinatorial description of \bowtie^* by showing that the minimal generators of I_{\bowtie} correspond the complements of the facets of \bowtie^* .

Finally, we exhibit the isomorphisms between the cohomology of \bowtie and the homology of \bowtie^* .

```
i94 : all(-1..5, i -> all(-1..5, i -> prune HH^{(3-i)} \bowtie == prune HH_{(i-1)} dual \bowtie) o94 = true
```

For a simplicial complex Δ and a face $F \in \Delta$, we define the **link** of F to be the subcomplex of Δ defined by $\operatorname{link}_{\Delta}(F) \coloneqq \{G \in \Delta \mid F \cup G \in \Delta \text{ and } F \cap G = \emptyset\}$. For the remainder of this section, assume that k is a field. We can now exhibit a more substantive result of Stanley–Reisner theory, which is the "dual version" of Hochster's formula, see [MS05, Corollary 1.40]. This formula allows us to compute the multigraded Betti numbers of I_{Δ} , which are defined to be $\beta_{i,m}(I_{\Delta}) = \operatorname{Tor}_i^S(I_{\Delta}, k)_m$. For a subset $F \subset V$, we will use the notation $\beta_{i,F}$ to refer to the Betti number in homological degree i and multidegree $(a_1, a_2, \ldots, a_n) \in \mathbb{Z}^n$, where $a_i = 1$ if $v_i \in F$ and $a_i = 0$ otherwise.

Theorem 2.1 (Hochster's Formula, dual version). Let Δ be a simplicial complex with vertex set $V = \{v_0, v_1, \dots, v_n\}$ and $S = k[x_0, x_1, \dots, x_n]$, where k is a field. The nonzero multigraded Betti numbers of I_{Δ} and S/I_{Δ} lie in squarefree degrees. Moreover, if $F \subset V$, then

$$\beta_{i,F}(I_{\Delta}) = \beta_{i+1,F}(S/I_{\Delta}) = \dim_{\mathbb{K}} \widetilde{H}_{i-1}(\operatorname{link}_{\Delta^*}(V \setminus F); k).$$

Example 2.2. In Example 2.1, we computed the Alexander dual of the complex \bowtie . We can use the link method to compute the links of various faces. The link of the central vertex v_2 is a square.

```
i27 : link(dual \bowtie, x_2)
o27 = simplicialComplex | x_1x_4 x_0x_4 x_1x_3 x_0x_3 | o27 : SimplicialComplex
```

We can also construct a function that computes the multigraded betti numbers $\beta_{i,F}(S/I_{\bowtie})$.

```
hochster = (i, m) -> (
   G := product select(vertices ⋈, v -> not member(v, support m));
   rank (homology link(dual ⋈, G_S))_i
)
```

Since we have a bound on the nonzero betti numbers of I_{Λ} , we can collect them into a matrix

where the rows are indexed by the homological degree (starting at 0), and the columns are indexed by the squarefree multidegrees.

We say that a simplicial complex Δ is **pure** if all of the facets of Δ have the same dimension. We say that Δ is **shellable** if we can order the facets F_1, \ldots, F_m of Δ so that $\langle F_i \rangle \cap \langle F_1, F_2, \ldots, F_{i-1} \rangle$ is a pure codimension one simplicial complex. We say that a simplicial complex Δ is **Cohen-Macaulay** if $k[\Delta]$ is a Cohen-Macaulay ring. It is known that every shellable simplicial complex is Cohen-Macaulay [BH93, Theorem 5.1.13] and every Cohen-Macaulay simplicial complex is pure [BH93, Corollary 5.1.5].

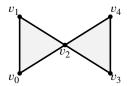


FIGURE 4. The bowtie complex

For Stanley–Reisner rings, we can use the combinatorics of Δ to determine if $k[\Delta]$ is a Cohen-Macaulay ring. Specifically, $k[\Delta]$ is Cohen-Macaulay if and only if $\widetilde{H}_i(\operatorname{link}_{\Delta}(F); k) = 0$ for all $F \in \Delta$ and $i < \dim \operatorname{link}_{\Delta}(F)$, see [BH93, Corollary 5.3.9]. We can also relate the Cohen-Macaulay property to the h-vector of Δ . We can write the Hilbert series of $k[\Delta]$ as a rational function

$$\sum \dim k[\Delta]_i t^i = \frac{h_0 + h_1 t + \dots + h_\ell t^\ell}{(1 - t)^{d+1}}$$

where $d = \dim \Delta$, and $0 \le \ell \le d+1$ is such that $h_{\ell} \ne 0$. We can also compute the h-vector using the combinatorics of Δ . Specifically, if Δ is a d-dimensional simplicial complex, then [BH93, Lemma 5.1.8] tells us that

$$h_{j} = \sum_{i=0}^{j} (-1)^{j-i} \binom{d+1-i}{j-i} f_{i-1}$$

for $j = 0, 1, \dots, d + 1$.

Example 2.3. The simplicial complex ⋈ introduced in example 2.1 is shellable and Cohen-Macaulay. We can verify the Cohen-Macaulay property using [BH93, Corollary 5.3.9].

However, this package does not provide a method for checking that Δ is shellable. Such a method is available in the SimplicialDecomposability package in Macaulay2, see [Coo10].

Let us also consider the "bowtie" simplicial complex \bowtie , which is obtained by filling in the loops of the simplicial complex \bowtie , see Figure 4. Since the intersection of the two facets of \bowtie is a single point, which has codimension two, the bowtie complex is not shellable. The simplicial complex \bowtie is not Cohen-Macaulay either, which we can verify by computing the h-vector of \bowtie .

```
i3 : IM = monomialIdeal(x_0*x_3, x_1*x_3, x_0*x_4, x_1*x_4);
o3 : MonomialIdeal of S
i4 : M = simplicialComplex IM;
i5 : kM = S/IM;
i6 : d = dim M;
i7 : fM = fVector M
o7 = {1, 5, 6, 2}
o7 : List
```

Since the h-vector of \bowtie has a negative entry, this simplicial complex cannot be Cohen-Macaulay, by [BH93, Lemma 5.1.10].

Some other notable examples of simplicial complexes that are Cohen-Macaulay, but not shellable, are the Rudin ball and the Ziegler ball. Both of these complexes are included in the package and can be constructed using the methods rudinBallComplex and zieglerBallComplex respectively.

3. **Resolutions of Monomial Ideals.** In this section, we will overview some chain complex constructions arising from simplicial complexes. We give an example of ideal homogenization and resolutions supported on a simplicial complex, and we provide examples of Taylor, Lyubeznik, and Buchberger resolutions; as well as Scarf complexes.

Let Δ be a simplicial complex with q vertices and let $\widetilde{C}(\Delta;k)$ be the augmented chain complex of Δ with incidence function ε . We will let $S = k[x_0, \dots, x_n]$ be a polynomial ring over the commutative ring k, which is typically a field. We assume that the ring S has the fine \mathbb{N}^{n+1} grading.

For a monomial ideal $I \subset S$, minimally generated by m_1, m_2, \ldots, m_q , a **labelling** of Δ by I is a bijection which assigns a minimal generator of I to each vertex of Δ . Without loss of generality, we will assume this assignment is $v_i \longmapsto m_i$ for $i = 1, 2, \ldots, q$. For each face $F \in \Delta$ we can construct the monomial $m_F = \text{lcm}(m_i \mid i \in F)$. The I-homogenization of $\widetilde{C}(\Delta; k)$ is the chain complex (\mathbf{G}, d) such that

$$G_0 = S$$
 and $G_i = \bigoplus_{\dim(F)=i-1} S(m_F)$.

We will use $\{f_F \mid F \in \Delta\}$ to denote the canonical basis of G and we define the differential of G using

$$d(f_F) = \sum_{\dim(F)=i-1} \frac{m_F}{m_{F'}} \cdot \varepsilon(F, F') f_{F'}.$$

We say that I has a **resolution supported on** Δ if the I-homogenization of $\widetilde{C}(\Delta;k)$ is a free resolution for some labelling of the vertices of Δ . For further details on I-homogenization, we refer the reader to any one of [BPS98, MS05, Pee11, PV11].

Example 3.4. Let $S = \mathbb{Q}[x_0, x_1, x_2, x_3]$, let $I = (x_0x_1, x_0x_2, x_0x_3, x_1x_2x_3)$, and let Γ be the simplicial complex with facets $\{v_1, v_2, v_3\}$ and $\{v_2, v_4\}$. If we use the labelling $v_1 \longmapsto x_0x_1, v_2 \longmapsto x_0x_2, v_3 \longmapsto x_0x_3$, and $v_4 \longmapsto x_1x_2x_3$, we get the labelled simplicial complex shown in Figure 5. We can use Macaulay2 to compute both $\widetilde{C}(\Delta; \mathbb{Q})$ and the I-homogenization of Δ relative to this labelling, which is the minimal free resolution of S/I.

```
i9 : R = ZZ/101[y_0..y_13];

i10 : S = QQ[x_0..x_3];

i11 : \Delta = simplicialComplex\{R_0*R_1*R_2, R_2*R_3\};

i12 : I = ideal(x_0*x_1,x_0*x_2,x_0*x_3,x_1*x_2*x_3);
```

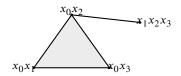


FIGURE 5. The homogenization of Γ

```
o12 : Ideal of S
i13 : C = chainComplex \Delta
      ZZ 1 ZZ 4
                        ZZ 4 ZZ 1
013 = (---) <-- (---) <-- (---)
             101 101 101
     -1
                       1
o13 : ChainComplex
i24 : G = chainComplex(\Delta, Labels => \{x_0*x_1, x_0*x_2, x_0*x_3, x_1*x_2*x_3\});
i25 : G.dd
o25 = 0 : S <----- S : 1
            | x_0x_1 x_0x_2 x_0x_3 x_1x_2x_3 |
     1 : S <----- S : 2
             {2} | -x_2 -x_3 0 0
             \{2\} | x_1 0 - x_3 0
             \{2\} \mid 0 \quad x_1 \quad x_2 \quad -x_1x_2 \mid
             {3} | 0 0 0 x_0
                          1
     2 : S <----- S : 3
             {3} \mid x_3 \mid
             {3} \mid -x_2 \mid
             {3} \mid x_1 \mid
             {4} | 0
o25 : ChainComplexMap
i15 : (res (S^1/I)) == G
o15 = true
```

The *I*-homogenization of Δ is dependent on how you label the vertices, and that is reflected by the ordering of the monomials in the Labels argument. Indeed, if we swap the labels of v_1 and v_4 , then the *I*-homogenization is no longer a resolution of S/I.

Given a monomial ideal I, there are several algorithms that will produce a simplicial complex Δ and a labelling of Δ by the minimal generators of I such that the I-homogenization of $\widetilde{C}(\Delta;k)$ is a free resolution of S/I, though often non-minimally. Examples of such constructions are the Taylor resolution, Lyubeznik resolution, and the Buchberger resolution, all of which are implemented in SimplicialComplexes. We have also implemented a constructor for the Scarf complex, which is a complex that is not always a free resolution of S/I, but when it is a free resolution it is minimal. We will not describe these constructions here, but a concise description of the Taylor resolution, Lyubeznik resolution, and Scarf complex is given in [Mer12], and a description of the Buchberger resolution is given in [OW16].

Example 3.5. Consider the monomial ideal $I = (x_1x_3, x_2^2, x_0x_2, x_1^2, x_0^2) \subset \mathbb{C}[x_0, \dots, x_3]$. The Taylor resolution of I can be realized as an I-homogenization of the 4-simplex.

The Buchberger simplicial complex is a subcomplex of the 4-simplex, and the Buchberger resolution is an I-homogenization of the Buchberger simplicial complex. For this example, the Buchberger resolution is the minimal free resolution of S/I, but this is not always the case.

Lyubeznik simplicial complexes and resolutions are constructed relative to a total order on the minimal generators of I. Every ordering will produce a resolution, but these resolutions need not be isomorphic. When no ordering is given, the methods lyubeznikSimplicialComplex and lyubeznikResolution will order the generators relative to the monomial order on S which, in Macaulay2, is graded revlex by default. The option MonomialOrder reorders the minimal generators of I relative to the monomial ordering on S. For example, MonomialOrder => $\{2,1,0,3,4\}$ refers to the total ordering $x_0x_2 < x_2^2 < x_1x_3 < x_1^2 < x_0^2$ on the minimal generators of I. We see

that by changing the ordering we can both produce the worst case (Taylor resolution) and best case (minimal free resolution).

The Scarf simplicial complex of I starts with the labelled 4-simplex and removes any faces F, F' such that $m_F = m_{F'}$. The I-homogenization of the Scarf simplicial complex is the Scarf chain complex. It is often the case that the Scarf chain complex is not a free resolution of S/I, but when it is a resolution, it is minimal, see [BPS98, Lemma 3.1].

```
i16 : scarfSimplicialComplex(J,R)
o16 = simplicialComplex | acde abcd |
o16 : SimplicialComplex
i17 : scarfChainComplex J == buchbergerResolution J
o17 = true
```

Acknowledgements. All three authors were partially supported by the Natural Sciences and Engineering Research Council of Canada (NSERC).

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DEPARTMENT OF MATHEMATICS AND STATISTICS, QUEEN'S UNIVERSITY, KINGSTON, ONTARIO, K7L 3N6; hersey.b@queensu.ca, ggsmith@mast.queensu.ca, 18az45@queensu.ca.