

# 1/4 Chap III Spectral seq. and Applications.

§ 14. The spectral seq. of a filtered complex.

- The double complex introduced previously is a very degenerate case of spectral seq.
- Chap III constructs the spectral seq. of a filtered complex and applies it to some situations.

## Exact Complexes

~~Definition~~

Def An exact couple is an exact seq. of Ab. gps. of the form

$$\begin{array}{ccc} A & \xrightarrow{i} & A \\ \uparrow k & & \downarrow j \\ & B & \end{array}$$

Define  $d: B \rightarrow B$  by  $d = jk$ .

Then  $d^2 = jkjk = j(kj)k = 0$ .

Hence,  $H(B) = \ker(d) / \operatorname{im}(d)$  is well-defined.

An exact couple  $\begin{array}{ccc} A & \xrightarrow{i} & A \\ \uparrow k & & \downarrow j \\ & B & \end{array}$  gives rise to another exact couple, called the derived couple,

$$\begin{array}{ccc} A' & \xrightarrow{i'} & A' \\ \uparrow k' & & \downarrow j' \\ & B' & \end{array}$$

as follows:

(14.1)

(1)  $A' := i(A)$ ,  $B' := H(B)$ .

(2)  $i'(ia) := i(ia)$ ,  $\forall a \in A$

$j'(ia) := [ja]$ ,  $\forall a \in A$

$k'([b]) := kb$ ,  $\forall b \in \ker(d)$ .

Well-definedness of  $i'$ ,  $j'$ , and  $k'$ :

$i'$   $i(ia) \in i(A)$  since  $ia \in A$ .

$j'$   $d(ja) = jkja = j(kj)a = 0 \Rightarrow ja \in \ker(d)$ .

$ia = i\bar{a} \Rightarrow i(a - \bar{a}) = 0 \Rightarrow a - \bar{a} \in \ker(i) = \operatorname{im}(k) \Rightarrow a - \bar{a} = kb$ , some  $b \in B$ .

$\Rightarrow ja - j\bar{a} = j(a - \bar{a}) = jkb = db \in \operatorname{im}(d)$ .

$k'$   $b \in \ker(d) \Rightarrow db = 0 \Rightarrow jkb = 0 \Rightarrow kb \in \ker(j) = \operatorname{im}(i) = i(A)$ .

$[b] = [\bar{b}] \Rightarrow b - \bar{b} \in \operatorname{im}(d) \Rightarrow b - \bar{b} = d\beta$ , some  $\beta \in B \Rightarrow b - \bar{b} = jk\beta$

$\Rightarrow kb - k\bar{b} = k(b - \bar{b}) = kjk\beta = 0 \Rightarrow kb = k\bar{b}$ .

That the derived complex is exact is easy to check!!

The Spectral Sequence of a Filtered Complex.

(1/6) Def A differential complex  $K$  is an Abelian gp w/ a differential operator  $K \xrightarrow{D} K$  s.t.  $D^2 = 0$ .

⊗ Usually,  $K$  comes w/ a grading:  $K = \bigoplus_{k \in \mathbb{Z}} C^k$ ,  $D: C^k \rightarrow C^{k+1}$ . But this is not absolutely necessary.

A subcomplex of  $K$  is a subgp  $K' \subseteq K$  s.t.  $DK' \subseteq K'$ .

A seq. of subcomplexes  $K = K_0 \supseteq K_1 \supseteq K_2 \supseteq \dots$  is called a filtration of  $K$ .

$K$  w/ a filtration is called a filtered complex.

$GK := \bigoplus_{p=0}^{\infty} K_p / K_{p+1}$  is called the associated graded complex.

$GK$  is again a differential complex w/ diff. operator induced from  $D$ .

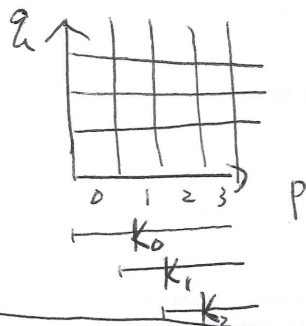
$K_p := K, \forall p < 0$ . (For notational reasons).

Example:

$K = \bigoplus K^{p,q}$ : double complex w/ horizontal operator  $\delta$  and vertical operator  $d$ .

Then  $K = \bigoplus C^k$ , where  $C^k = \bigoplus_{p+q=k} K^{p,q}$ ,  $D: C^k \rightarrow C^{k+1}$  defined by  $D = \delta + (-1)^p d$  makes  $K$  a differential complex.

$K_p := \bigoplus_{i \geq p} \bigoplus_{q \geq 0} K^{i,q}$ ,  $p = 0, 1, 2, \dots$  is then a filtration of  $K$ .



$K$ : a filtered complex. ( $K = K_0 \supseteq K_1 \supseteq K_2 \supseteq \dots$ ,  $K_p = K, \forall p < 0$ ).

$A := \bigoplus_{p \in \mathbb{Z}} K_p$ .  $A$  is again a d.c. w/ operator  $D$ .

$i: A \rightarrow A$ , the inclusion:  $K_{p+1} \hookrightarrow K_p$ .

$B :=$  the quotient  $0 \rightarrow A \xrightarrow{i} A \rightarrow B \rightarrow 0$ . i.e.  $B = A/iA$ .

⊗  $B$  is exactly the associated graded complex  $GK$ .

case If  $K$  comes w/ a grading, then  $0 \rightarrow A \xrightarrow{i} A \rightarrow B \rightarrow 0$  induces a long exact seq. of cohomology gps:

$$\dots \rightarrow H^k(A) \xrightarrow{i} H^k(A) \xrightarrow{j} H^k(B) \xrightarrow{K_1} H^{k+1}(A) \rightarrow \dots$$

Writing  $H(A) = \bigoplus H^k(A)$ ,  $H(B) = \bigoplus H^k(B)$ , we then have an exact couple:

(P3)

$$H(A) \xrightarrow{i} H(A)$$

$$\begin{array}{ccc} & \nearrow k_1 & \searrow j_1 \\ & H(B) & \end{array}$$

=:

$$\begin{array}{ccc} A_1 & \xrightarrow{i} & A_1 \\ \nearrow k_1 & & \searrow j_1 \\ & B_1 & \end{array}$$

(The subscript of  $i$  is suppressed to avoid notational cumbersome later).

⊛ This " $i$ " needs no longer to be the inclusion map.

case 2  $K$  does not have a grading.

$$\begin{array}{ccc} A_1 & \xrightarrow{i} & A_1 \\ \nearrow k_1 & & \searrow j_1 \\ & B_1 & \end{array}$$

$$= \begin{array}{ccc} & K_{p+1} \hookrightarrow K_p & \\ & A \hookrightarrow A & \\ \nearrow 0 & & \searrow \text{quotient map} \\ & B & \end{array}$$

, simply writing  $0 \rightarrow A \rightarrow A \rightarrow B \rightarrow 0$  in triangular form.

By (14.1), we obtain a seq. of exact couples:

$$\begin{array}{ccc} A_r & \xrightarrow{i} & A_r \\ \nearrow k_r & & \searrow j_r \\ & B_r & \end{array}$$

不太對;  
要修正!!

Example: (An illustrative example).

$K$ : filtered complex w/ grading and terminates after  $K_3$ :

$$\dots = K_{-1} = K_0 \supseteq K_1 \supseteq K_2 \supseteq K_3 \supseteq 0.$$

Then  $A_1 =$  direct sum of all terms of

$$\dots \leftarrow H(K) \leftarrow H(K) \xleftarrow{i} H(K_1) \xleftarrow{i} H(K_2) \xleftarrow{i} H(K_3) \leftarrow 0,$$

where the arrows is the map  $A_1 \xrightarrow{i} A_1$ .

$$A_2 = \dots$$

$$\dots \leftarrow H(K) \leftarrow H(K) \xleftarrow{i} H(K_1) \xleftarrow{i} H(K_2) \xleftarrow{i} H(K_3) \leftarrow 0,$$

$$\dots \xrightarrow{i} A_2 \xrightarrow{i} A_2.$$

$$A_3 = \dots$$

$$\dots \leftarrow H(K) \leftarrow H(K) \xleftarrow{i} H(K_1) \xleftarrow{ii} H(K_2) \xleftarrow{ii} H(K_3) \leftarrow 0.$$

$$A_4 = \dots$$

$$\dots \leftarrow H(K) \leftarrow H(K) \xleftarrow{i} H(K_1) \xleftarrow{iii} H(K_2) \xleftarrow{iii} H(K_3) \leftarrow 0.$$

⊛ The above seq. are not exact.



$\therefore$  All maps become inclusion in  $A_4$

$\therefore A_4 = A_5 = A_6 = \dots$  i.e.  $A$  is stationary after  $A_4$ .

Define  $A_\infty$  to be the stationary value. (i.e.  $A_\infty := A_4 = A_5 = A_6 = \dots$ )

Consider the exact couple:

$$\begin{array}{ccc} A_4 & \xrightarrow{i} & A_4 \\ \nwarrow K_4 & & \swarrow j_4 \\ & B_4 & \end{array}$$

$\therefore i$  is inclusion and the couple is exact  
 $\therefore K_4 = 0 \Rightarrow K_5 = 0 \Rightarrow K_6 = 0 \Rightarrow K_p = 0, \forall p \geq 4$ .  
 $\Rightarrow d_4 = j_4 K_4 = 0, d_5 = 0 \Rightarrow d_p = 0, \forall p \geq 4$ .

Hence,  $B_4 = B_5 = B_6 = \dots$  i.e.  $B$  is stationary after  $B_4$ .

Define  $B_\infty = B_4 (= B_5 = B_6 = \dots)$ .

After the 4th step, we have stationary exact couple:

$$\begin{array}{ccc} A_\infty & \xrightarrow{i_\infty} & A_\infty \\ \nwarrow K_\infty = 0 & & \swarrow j_\infty \\ & B_\infty & \end{array}$$

$A_\infty =$  direct sum of

$$\dots = H(K) = H(K) \supseteq iH(K_1) \supseteq iiH(K_2) \supseteq iiiH(K_3) \supseteq \dots \quad (14.4)$$

$i_\infty =$  inclusion and identity map in (14.4).

Note the above exact couple is equivalent to

$$0 \rightarrow A_\infty \xrightarrow{i_\infty} A_\infty \rightarrow B_\infty \xrightarrow{K_\infty} 0.$$

Hence,  $B_\infty = A_\infty / i_\infty A_\infty =$  associated graded complex of the filtration (14.4) on  $H(K)$ .

We now turn to general case:

$K$ : filtered complex w/ grading:  $\dots = K = K \supseteq K_1 \supseteq K_2 \supseteq K_3 \supseteq \dots$

$$\rightsquigarrow \dots \Leftarrow H(K) \Leftarrow H(K) \xleftarrow{i} H(K_1) \xleftarrow{i} H(K_2) \xleftarrow{i} H(K_3) \Leftarrow \dots$$

$F_p :=$  image of  $H(K_p)$  in  $H(K)$  ( $= \underbrace{ii \dots i}_p H(K_p)$ )

Then we have a filtration

$$H(K) = F_0 \supseteq F_1 \supseteq F_2 \supseteq F_3 \supseteq \dots \quad (14.5)$$

called the induced filtration on  $H(K)$ .

Def A filtration  $K_p$  on  $K$  has length  $l$  if  $K_l \neq 0$  but  $K_p = 0, \forall p > l$ .

Rmk: By the same arguments in "illustrative example",

if a filtration on  $K$  has finite length, then

①  $A_r, B_r$ : eventually stationary, denoted by  $A_\infty$  and  $B_\infty$

②  $B_\infty = \bigoplus F_p / F_{p+1}$ , the associated graded complex of (14.5).

Conventionally, we write  $E_r$  for  $B_r$  so that

$$E_1 = H(B) \text{ w/ } d_1 = j_1 k_1,$$

$$E_2 = H(E_1) \text{ w/ } d_2 = j_2 k_2,$$

$$E_3 = H(E_2), \text{ etc.}$$

"abelian" gps w/ differential.

Def A seq. of differential groups  $\{E_r, d_r\}$  is called a spectral sequence

$$\text{if } E_r = H(E_{r-1}), \forall r.$$

If  $E_r$  : eventually stationary, denote the stationary value by  $E_\infty$ .

If  $E_\infty$  = associated graded gp of some filtered gp  $H$ , we then say that

the spectral seq. converges to  $H$ .

i.e.  $E_\infty = G H$ , where  $H$  is some filtered gp.

1/4

Def  $K$  : filtered complex w/ a grading.

$K = \bigoplus_{n \in \mathbb{Z}} K^n$ . We shall call  $n$  the dimension to distinguish it from the filtration deg  $p$ .

$\{K_p\}$  : filtration on  $K$ .

$K_p^n := K^n \cap K_p$ . Then  $\{K_p^n\}_p$  : filtration on  $K^n$ .

Thm (14.6)

$K = \bigoplus_{n \in \mathbb{Z}} K^n$  : graded filtered complex w/ filtration  $\{K_p\}$ .

$H_D^*(K)$  : cohomology of  $K$  w/ filtration given by (14.5).

$$\text{i.e. } H_D^*(K) = F_0 \supseteq F_1 \supseteq F_2 \supseteq \dots$$

For each dim.  $n$ , the filtration  $\{K_p^n\}$  has finite length.

$\Rightarrow$  the short exact seq.

$$0 \rightarrow \bigoplus K_{p+1}^n \rightarrow \bigoplus K_p^n \rightarrow \bigoplus K_p^n / K_{p+1}^n \rightarrow 0$$

induces a spectral seq. which converges to  $H_D^*(K)$ .