

## Provision of Transplant Education for Patients Starting Dialysis: Disparities Persist

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### **Short title: Disparities in Transplant Education**

## Abstract

**Background:** All patients starting dialysis should be informed of kidney transplant as a renal replacement therapy option, as required by the Centers for Medicare and Medicaid Services Form CMS-2728. Prior research has shown disparities in provision of this information. In this study, we aimed to identify sociodemographic risk factors and dialysis facility characteristics associated with not receiving transplant information at the time of dialysis initiation. We additionally sought to determine the association of receiving transplant information with waitlist and transplant outcomes.

**Methods:** We retrospectively analyzed CMS-2728 forms filed from 2007 to 2019. The primary outcome was provision of information about transplantation. For patients not informed at the time of dialysis, we collected the reason for not being informed (medically unfit, declined information, unsuitable due to age, psychologically unfit, not assessed, or other). Cox proportional-hazards model estimates were used to study determinants of addition to the waitlist and transplantation (secondary outcomes).

**Results:** Eighteen percent of patients did not receive information about transplantation. Non-informed patients were more likely to be older, female, white, and on Medicare. Patients informed about transplant had a shorter time between end-stage renal disease onset and addition to the waitlist; they also spent a shorter time on the waitlist before receiving a transplant. Patients at chain facilities were more likely to receive information, but this did not translate into higher waitlist or transplantation rates. Patients at independent facilities acquired by chains were more likely to be informed but less likely to be added to the waitlist post acquisition.

**Conclusions:** Disparities continue to persist in providing information about transplantation at initiation of dialysis. Patients who are not informed have reduced access to the transplant waitlist and transplantation. Maximizing the number of patients informed could increase the number of patients referred to transplant

centers, and ultimately transplanted. However, policy actions should account for differences in protocols stemming from facility ownership.

**Key Words**: CMS-2728, Renal Transplant, Patient Education

## **Background**

For the nearly 1 million patients with end stage renal disease (ESRD) in the United States, kidney transplant has several advantages over dialysis, including longer patient survival and improved quality of life.<sup>1-4</sup> Patient education is an important component of making informed decisions about ESRD treatment options, as early access to nephrology care has been shown to result in improved patient satisfaction with ESRD treatment and higher rates of kidney transplant.<sup>5-8</sup> Despite these benefits, a substantial number of patients with ESRD report limited discussions with their doctors about ESRD treatment options and a lack of knowledge about kidney transplant.<sup>9-11</sup>

For patients who lack early access to nephrology care, education about ESRD treatment options should occur at initiation of dialysis.<sup>12</sup> The Centers for Medicare and Medicaid Services (CMS) requires the submission of a Medical Evidence Form (CMS-2728) for all patients with ESRD within 45 days of initiating dialysis. In 2005, the form was amended to include a question about the provision of kidney transplantation information; if information is not provided, the reason for not providing it must be specified. Prior research has found disparities in provision of information about kidney transplant based on social determinants of health including race, gender, and insurance status.<sup>13-15</sup> Several important policy changes have occurred to improve patient education of chronic kidney disease (CKD), ESRD, and transplant, including the 2019 Advancing American Kidney Health Initiative.

We hypothesized that despite 15 years since the modifications to Form 2728, persistent disparities remain in which patients are provided information about transplant at initiation of dialysis. We also hypothesized that a lack of early information about transplant contributes to disparities in kidney transplantation. The purpose of this study was to (1) quantify the provision of transplant information as indicated on the Medical Evidence Form, (2) identify sociodemographic risk factors for not receiving transplant information at the time of dialysis initiation, (3) determine the association of dialysis facility characteristics with provision of transplant information, and (4) determine the association of transplant information with waitlist and transplant outcomes.

## **Methods**

### ***Study design and data source***

This was a retrospective analysis of patients aged 18 to 75 with a newly filed Medical Evidence Form during the study period between 1/1/2008 to 1/1/2019 using data from the United States Renal Data System (USRDS). USRDS includes all patients in the United States who develop ESRD and require renal replacement therapy via either dialysis or a kidney transplant. Because USRDS is a public data set, this study was not submitted to Duke University's Institutional Review Board.

### ***Outcomes, exposures, and covariates***

The primary outcome was provision of transplant information, as indicated on Medical Evidence Form 2728. For patients not informed at the time of dialysis, we collected the reason for not being informed (medically unfit, declined information, unsuitable due to age, psychologically unfit, not assessed, or other). Secondary outcomes were addition to the transplant waitlist and transplant rate.

Characteristics thought to be associated with the likelihood of receiving transplant education included the following: receiving nephrology care pre-ESRD, dialysis facility ownership, initial dialysis access, alcohol dependence, drug dependence, inability to ambulate, inability to transfer, and needing assistance with activities of daily living. The following dialysis facility characteristics were also considered: number of patients per registered nurse, number of patients per technician, and number of patients per social worker.

A complete list of characteristics can be found in Table 1. The models described below are estimated by controlling for all of these characteristics, while only major results/coefficients are reported in the Tables.

### ***Informed about transplant***

Logistic regression models were constructed in which the dependent variable is whether the patient has not been informed of transplant options and each reason for which the patient has not been informed. The models for each reason were run on the subset of patients who have not been informed of transplant options. The reference variables to calculate the odds ratio were the following for their respective categories: cystic kidney for the variable “disease,” arteriovenous fistula for the variable “vascular access,” group (private) for the variable “insurance status,” white for the variable “race,” employed full time for the variable “employment”, and Midwest for the variable “region”.

### ***Time to waitlist***

We calculated Cox proportional-hazards model estimates for the time to waitlist after a patient’s signature of the medical evidence form. We consider as study end date the latest addition date observed in the whole dataset (08/10/2018). For patients who do not reach the event of interest during the study observation period, we record when the patient was censored due to study end date or due to the occurrence of an event that prevents further follow-up of the patient (e.g., death).<sup>16</sup> We also restrict the dataset to patients whose medical evidence form was submitted by 12/31/2015 to account for the fact that those patients who started dialysis towards the end of the study period were not given an adequate opportunity to be waitlisted. A hazard ratio above 1 indicates a covariate that is positively associated with the event probability (i.e., being added to the waitlist), and thus negatively associated with the time to waitlisting.

### ***Time to transplant***

We calculated Cox proportional-hazards model estimates for time to transplant after being added to the waitlist, which was conditional on being added to the waitlist. We consider as study end date the last time a transplant is observed in the dataset (08/10/2018). For patients who do not reach the event of interest during the study observation period, we record when the patient was censored due to study end date or due to the occurrence of an event that prevents further follow-up of the patient (e.g., death ). Moreover,

we restrict the dataset to patients whose medical evidence form was submitted by 12/31/2015 to account for the fact that those patients who started dialysis towards the end of the study period were not given an adequate opportunity to be transplanted. Pre-emptive transplant recipients were excluded from the analysis.

## **Results**

### ***Characteristics of non-informed patients***

Between 2008 and 2019, 944,015 patients initiated renal replacement therapy. Provision of transplant information has increased over study time (**Figure 1**). Of all patients included, 133,414 (14.52%) did not receive information about kidney transplantation (**Table 2**). Non-informed patients were more likely to be female (43 vs 42%, CIs [42.55;43.09] and [41.77;41.99]), white (52 vs 47%, CIs [51.96;52.49] and [46.89;47.11]), and obese (13 vs 12%, CIs [12.81;13.18] and [11.92;12.07]). Non-informed patients were about 3 years older than informed patients, on average. Socioeconomic characteristics were also associated with the provision of information: patients receiving information were more likely to be on private insurance (18 vs 10%, CIs [18.00;18.17] and [9.97;10.30]), and being employed full time (12 vs 5%, CIs [11.99;12.14] and [5.29;5.54]).

Etiology and medical management of ESRD were also associated with whether patients would be informed: informed patients were more likely to have hypertensive nephropathy as the cause of ESRD, more likely to have pre-dialysis access to nephrology care, and more likely to receive dialysis at chain-owned centers. Finally, patients who were informed were less likely to live in the West, to have alcohol dependence, substance dependence, and limited functional status (West region 20 vs 22%, CIs [20.05;20.22] and [21.90;22.35]; inability to ambulate 5 vs 12%, CIs [5.10;5.20] and [11.68;12.03]; inability to transfer 3 vs 7%, CIs [2.48;2.55] and [6.90;7.17]; needing assistance 10 vs 18%, CIs and [9.85;9.98] and [18.09;18.51]).

### ***Predictors of not being informed about transplant***

Overall, the most common reasons for not providing information about transplant were “not assessed” (52%), followed by “medically unfit” (27%) (**Figure 2**). **Table 3** provides estimates of logistic regressions in which we control for several patient characteristics (a complete list can be found in **Table 1**). Characteristics that were strongly associated with being unassessed were race and chain ownership: American Indian, Black, and Hispanic (ORs 1.60 [1.02;1.32], 1.18 [1.14;1.22], 1.30 [1.24;1.37], respectively) patients and those undergoing dialysis at a chain facility (OR 1.45 [1.40;1.50]) were more likely to be uninformed for an unspecified reason (i.e., “not assessed”). Differences also appeared across geographic location, as patients in the South and West are more likely to be unassessed. Not receiving information about transplantation for being medically unfit was associated with BMI >40, having Medicare as insurance, alcohol dependence, inability to ambulate, inability to transfer, needing assistance in activities of daily living, and being located in the Northeast (ORs 1.31[1.25;1.36], 1.16 [1.08;1.24], 1.48 [1.35;1.62], 1.91 [1.79;2.03], 1.55 [1.43;1.67], 1.74 [1.67;1.82] and 1.31 [1.25;1.37], respectively). Being considered psychologically unfit was associated with Black race, being unemployed, drug dependence, needing assistance, and being unable to transfer (ORs 1.11 [1.02;1.21], 2.54 [1.81;3.57], 2.29 [1.94;2.71], 2.90 [2.65;3.18], and 1.18 [1.01;1.38]). Being excluded from information due to advanced age was associated with being older, having Medicare, and being retired (ORs 1.31 [1.30;1.32], 1.40 [1.13;1.75] and 1.52 [1.16;1.99]), as expected, but also with Asian and Hispanic race (ORs 1.38 [1.19;1.60] and 1.60 [1.47;1.75]). Patients who declined to receive information were more likely to be white or American Indian, older than 70, retired, and to need assistance with activities of daily living.

### ***Association between receiving information about transplant and time to waitlist and kidney transplantation***

The goal of estimating Cox proportional-hazards models is to evaluate whether patients who receive transplant information get added to the waitlist or transplanted at a faster rate than non-informed patients while controlling for other relevant characteristics. Informed patients had a shorter time between ESRD onset and addition to the kidney transplant waitlist (hazard ratio 1.62 [1.58;1.65], **Table 4**). This can



be seen graphically in Figure 3, which depicts adjusted survival curves based on regression estimates and the average covariate values in the study group. For example, the probability of not being added to the waitlist from the time the medical form is signed to year 2 is 84% for someone who is informed and 90% for someone who is not informed, holding other characteristics at their mean values. To verify the proportional hazard assumption, we ran a statistical test on scaled Schoenfeld residuals<sup>17</sup>; the test suggests that we should reject the assumption of proportionality. A visual investigation of the Schoenfeld residuals shows that the “cloud” of residuals is negatively sloped at early time points (Figure 4), or that the model underpredicts the marginal effect of transplant information at early time points. This implies that transplant information is especially important soon after it is received. Male, white, Hispanic, and Asian patients waited shorter times to be added to the waitlist relative to Black patients. Unemployed, retired, and homemakers waited longer to be added to the waitlist. The time from addition to the waitlist to transplant was shorter for patients who received transplant information (see Figure 4), male patients, patients with alcohol dependence, and patients with glomerulonephritis. Again, the proportionality assumption is rejected for the variable "Patient informed" after conducting a test on Schoenfeld residuals, implying that the relative importance of the variable changes over time. Minority patients had significantly longer times on the waitlist prior to transplant (Black 38%, Asian 33%, Hispanic 34%, Pacific Islanders 36% reductions in the hazard of receiving a transplant relative to white). Homemakers, unemployed, and retired patients had longer times from listing to transplantation. Similarly, patients on Medicare and/or Medicaid had longer times on the waitlist.

### ***Dialysis Center characteristics and access to information, waitlist, and transplantation***

Dialysis center ownership was a significant contributor to delivery of information to patients. A dialysis center is defined as chain dialysis center if it is owned by one of the two largest for-profit dialysis chains (DaVita and Fresenius), or by smaller for-profit chains. Informed patients were more likely to receive ESRD care at a chain dialysis center than non-informed patients (Table 2) (83% vs 78% CIs [82.67;82.84] vs [78.13;78.60]). Characteristics of the center itself were not associated significantly with whether patients would be informed, as a higher per-patient ratio of nurses, technicians, and social workers did not

correspond to a higher number of patients informed (**Table 2**). The effect of chain ownership also translated into a lower number of patients waitlisted and transplanted in patients undergoing dialysis at a private facility (patients at a chain center were 3% less likely to get listed (**Table 4**) and 5% less likely to get transplanted (**Table 4**). These results are further corroborated by logit models estimated on the subset of independent facilities (**Table 5**). In these models, the dummy variable “Acquired” takes value 1 after an independent facility is acquired by a chain. The odds ratios associated with this variable suggest that the average incident patient is more likely to be informed after acquisition, but also less likely to be added to the waitlist. The coefficients do not change substantially after adding facility and/or time fixed effects to the models, suggesting robustness of these results across different specifications.

## **Discussion**

In our retrospective analysis of 944,015 patients who initiated dialysis between 2008 and 2019, we found that 14.52% did not receive information about kidney transplantation at the time of dialysis initiation. Of these, 52% were not assessed for an unknown reason, and 27% were deemed medically unfit by the nephrologist managing dialysis. Irrespective of receiving information about transplant options, racial and ethnic minorities, unemployed, and publicly insured patients had longer wait times to transplant. Patients who were informed about kidney transplantation were more likely to be added to the transplant waitlist (HR 1.62). Our findings demonstrate an improvement in the provision of transplant education since the work by Kucirka, who reported 69.9% patients were informed between 2005-2007.<sup>18</sup> Our results parallel the findings of Ku and colleagues, who recently reported that among ESRD patients, non-Hispanic Black and Hispanic patients had fewer medical contraindications to transplantation but were relatively less likely to receive a kidney transplant than non-Hispanic White patients.<sup>19</sup>

Policy initiatives implemented during the study period may account for the improvement in access to information about kidney transplant at dialysis initiation. It is possible that increased insurance coverage following the implementation of the Affordable Care Act in 2010 may have decreased barriers related to insurance status. Despite this, we found that patients with Medicare and/or Medicaid were less likely to

be informed about transplantation, and patients with private insurance were more likely to receive information about kidney transplant and access to the waitlist and transplantation (while controlling for several socioeconomic characteristics).<sup>20-22</sup> Older and female patients as well as racial and ethnic minorities spent a longer time on the waitlist, demonstrating that for some minorities the barriers to transplantation are complex and not entirely overcome by gaining access to the waitlist.<sup>23</sup>

It is important to note how the persistent disparities in access to information mostly result from providers' choices, as only a small percentage of patients (less than 2% in all groups) declined information about kidney transplantation. Although some groups were more likely to decline information (female, white, retired), their overall percentage remained small, indicating that a lack of information does not reflect an intentional decision by patients, but rather stems from providers' assessments of perceived barriers to transplantation. Still, gender, age, employment status, type of insurance, type of dialysis center, and pre-dialysis nephrology care were all associated with the receipt of information about kidney transplant, as indicated on the 2728 form. The fact that most patients were excluded from receiving information for a "not assessed" reason suggests that the decision not to inform patients may have been taken after a superficial "gestalt" evaluation. Even when a reason for exclusion was specified, it did not necessarily stem from an accurate evaluation of the patient. For example, of patients who were unable to ambulate, only 30.1% were not informed because they were deemed medically unfit. These findings suggest that there may be significant provider-driven bias when assessing eligibility for transplantation. Another explanation for the high rate of non-assessment is lack of time, and prior studies have found that nephrologists treating predominantly Black, elderly, and Medicaid-insured patients report insufficient time as the primary barrier to transplant education.<sup>24</sup> To ensure fairness in the referral process and to decrease provider bias, some authors have proposed an opt-out referral model as a solution, although this is a subject of debate.<sup>25</sup>

We also found that dialysis center ownership was associated with provision of information about transplantation to ESRD patients. Patients undergoing dialysis at chain facilities were more likely to receive information compared to patients treated at independent facilities, but this did not lead to higher rates of

waitlisting and transplantation. Moreover, independent facilities acquired by chain facilities change their practices considerably, as patients become more likely to be informed but less likely to be added to the waitlist after acquisition. Our finding suggests that, rather than differences in patient populations, for-profit units provide a lower quality of transplant education, as our analysis controls for several medical and socioeconomic patient characteristics. This result, that chains are more likely to inform patients of transplant options but less likely to place patients on the waitlist, is consistent with prior work that found chain ownership comes with firm-wide standards (e.g., operation manuals that dictate treatment protocols) but also causes waitlist and transplant rates to fall; for-profit chains' explicit mandate to maximize profits may lead them to sacrifice patient outcomes in favor of higher reimbursements.<sup>26</sup> Of note, patients who received information were treated in facilities with a slightly lower number of nurses and social workers when compared to patients who did not receive information, as well as higher patient-to-nurse and patient-to-technician ratios. These results are in contrast to a recent publication indicating that a lower patient-to-staff ratio is more likely to be associated with transplant education.<sup>27</sup>

Our study has several additional policy implications. With very limited exceptions, all patients with ESRD should receive access to information about kidney transplantation. Ideally, this would occur well before initiation of dialysis, as pre-emptive transplant is the most ideal renal replacement therapy offered. The purpose of the expanded reimbursement for transplant education and the accompanying change to the CMS-2728 form was to ensure patients are educated about their options for managing ESRD. These options include transplantation, and provision of education about transplant as an option does not require—and we argue should not include—an assessment of whether the patient is a suitable candidate for transplantation, as initiation of renal replacement therapy is not the appropriate setting for a specialized screening. This is especially important considering a recent study showing that dialysis providers themselves had limited knowledge of barriers to transplantation.<sup>28</sup> Ideally, information about transplant as a treatment option for ESRD would be provided well before initiation of dialysis. It is likely, then, that the 2728 falls short of its intended purpose—to ensure timely receipt of information about transplant for patients. One key component of the Advancing American Kidney Health Initiative is the

performance payment adjustment, a positive or negative payment adjustment based on home dialysis rate and transplant rate. The Center for Medicare & Medicaid Innovation additionally will provide financial incentives for improved rates of home dialysis and kidney transplant and expand the use of the kidney disease education benefit to CKD stage 5. These initiatives lend weight to the previously established idea that progression of kidney disease occurs over time and that education about options for renal replacement therapy, including transplantation, should happen at an earlier stage in the disease process. Although our research focused on Form 2728 as a way to quantify delivery of information about transplantation and the disparities associated with it, the time point associated with it (45 days after initiation of dialysis) is probably not the most appropriate. Information about transplantation should ideally happen at an earlier time point, although this would require a shift from the current policy system, where Form 2728 is the latest checkpoint at which all patients should be informed about transplantation. Moreover, our analysis sheds light on differences in attitudes towards transplant information between chain and independent facilities. In fact, policy actions should account for facility ownership differences and ensure that chain protocols do not impede higher information rates to translate into higher waitlist and transplantation rates.

Some limitations apply to our study. This was an observational analysis based on the CMS-2728 Form as part of the USRDS and is therefore subject to the limitations of large registry data reporting. Moreover, there may be inaccuracies in the way in which certain variables on the CMS-2728 Form are coded.<sup>29</sup> We limited our focus to documenting which patients received information about kidney transplantation—and did not study directly which patients received access to kidney transplant—based on the assumption that every patient with ESRD should be informed, and that the evaluation and candidacy determination should be managed by transplant centers. In addition, the concept of receiving education about kidney transplantation is vague, and there may be differences in what information is provided to patients, as well as what information is actually retained by them.<sup>27</sup> In fact, a recent study found that a significant proportion of patients informed about kidney transplant by their physicians did not recall receiving the

information.<sup>30</sup> Moreover, we did not assess disparities in pre-emptive listing, and it is also likely that patients may receive information about transplantation from sources other than CMS-2728.

The decision not to inform a patient about kidney transplantation is an important one, as it is associated with decreased rates of access to the waitlist and transplantation. It should not be taken lightly. Transplant centers should be entrusted with screening and evaluating patients for transplantation. More patient referrals to transplant centers could motivate patients with modifiable barriers to transplantation to overcome them and increase the number of patients listed and ultimately transplanted.

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## **Tables**

**Table 1. Variables analyzed in the patient population.**

| <b>Variables</b>         | <b>Subcategories</b>           |
|--------------------------|--------------------------------|
| Age                      |                                |
| Gender                   | M                              |
|                          | F                              |
| BMI                      | >40                            |
|                          | <=40                           |
| Race                     | Black                          |
|                          | White                          |
|                          | Asian                          |
|                          | American Indian/Alaskan        |
|                          | Pacific Islander               |
|                          | Hispanic                       |
| Current insurance        | Private                        |
|                          | Medicaid                       |
|                          | Medicare                       |
|                          | Medicare&Medicaid              |
|                          | Medicare&Other                 |
|                          | Other                          |
| Applying for Medicare    | Yes                            |
|                          | No                             |
| Employment status        | Employed full-time             |
|                          | Emp part-time                  |
|                          | Homemaker or Unemployed        |
|                          | Medical Leave of absence (LOA) |
|                          | Other                          |
|                          | Retired                        |
| ESRD case                | Cystic Kidney                  |
|                          | Diabetes                       |
|                          | Glomerulonephritis             |
|                          | Hypertension                   |
|                          | Other urologic                 |
|                          | Other cause                    |
| Nephrology care pre ESRD | Yes                            |
|                          | No                             |
| Facility ownership       | Chain                          |
|                          | Independent                    |
| First access             | Arteriovenous Fistula          |
|                          | Indwelling catheter            |
|                          | Arteriovenous Graft            |
|                          | Other                          |
| Region                   | NE                             |
|                          | MW                             |

|                               |     |
|-------------------------------|-----|
|                               | S   |
|                               | W   |
| Alcohol dependence            | Yes |
|                               | No  |
| Drug dependence               | No  |
|                               | Yes |
| Inability to ambulate         | No  |
|                               | Yes |
| Inability to transfer         | No  |
|                               | Yes |
| Needing assistance in ADLs    | No  |
|                               | Yes |
| Patients per registered nurse |     |
| Patients per technician       |     |
| Patients per social worker    |     |

**Table 2. Medical and sociodemographic characteristics by kidney transplant education**

| Patient characteristics<br>n (%) [95% CI] |          | Informed                             | Not informed                         | CIs (95%) |
|---|----------|--------------------------------------|--------------------------------------|-----------|
| All patients                              |          | 785,382<br>(85.48%)<br>[85.41;85.55] | 133,414<br>(14.52%)<br>[14.45;14.59] |           |
| Gender                                    | F        | 328,884<br>(41.88%)<br>[41.77;41.99] | 57,122<br>(42.82%)<br>[42.55;43.09]  |           |
|   | M        | 410,285<br>(58.12%)<br>[58.01;58.23] | 93,467<br>(57.18%)<br>[56.91;57.45]  |           |
| BMI                                       | <=40     | 686,098<br>(88.01%)<br>[87.93;88.08] | 114,935<br>(87.01%)<br>[86.82;87.19] |           |
|   | >40      | 93,495<br>(11.99%)<br>[11.92;12.07]  | 191,191<br>(12.99%)<br>[12.81;13.18] |           |
| Race                                      | Asian    | 29,726<br>(3.78%)<br>[3.74;3.83]     | 4,148<br>(3.11%)<br>[3.02;3.20]      |           |
|   | Black    | 237,382<br>(30.23%)<br>[30.12;30.33] | 37,585<br>(28.17%)<br>[27.93;28.42]  |           |
|   | Hispanic | 130,634<br>(16.63%)<br>[16.55;16.72] | 18,636<br>(13.97%)<br>[13.78;14.16]  |           |
|   | Other    | 18,533<br>(2.36%)<br>[2.33;2.39]     | 3,367<br>(2.52%)<br>[2.44;2.61]      |           |

|                          |                         |                                      |                                     |  |
|--------------------------|-------------------------|--------------------------------------|-------------------------------------|--|
|                          | White                   | 369,093<br>(47.00%)<br>[46.89;47.11] | 69,672<br>(52.22%)<br>[51.96;52.49] |  |
| Current insurance        | Private                 | 141,114<br>(18.09%)<br>[18.00;18.17] | 13,453<br>(10.13%)<br>[9.97;10.30]  |  |
|                          | Medicare                | 129,922<br>(16.65%)<br>[16.57;16.73] | 24,052<br>(18.12%)<br>[17.91;18.32] |  |
|                          | Other                   | 509,235<br>(65.26%)<br>[65.16;65.37] | 95,254<br>(71.75%)<br>[71.51;71.99] |  |
| Employment status        | Employed full-time      | 94,750<br>(12.06%)<br>[11.99;12.14]  | 7,225<br>(5.42%)<br>[5.29;5.54]     |  |
|                          | Homemaker or Unemployed | 233,369<br>(29.71%)<br>[29.61;29.82] | 38,527<br>(28.88%)<br>[28.63;29.12] |  |
|                          | Other                   | 457,263<br>(58.22%)<br>[58.11;58.33] | 87,662<br>(65.71%)<br>[65.45;65.96] |  |
| Region                   | MW                      | 154,641<br>(20.03%)<br>[19.94;20.11] | 27,671<br>(21.02%)<br>[20.80;21.24] |  |
|                          | NE                      | 121,539<br>(15.74%)<br>[15.66;15.82] | 21,698<br>(16.48%)<br>[16.28;16.68] |  |
|                          | S                       | 340,563<br>(44.10%)<br>[43.99;44.21] | 53,167<br>(40.38%)<br>[40.12;40.65] |  |
|                          | W                       | 155,485<br>(20.13%)<br>[20.05;20.22] | 29,123<br>(22.12%)<br>[21.90;22.35] |  |
| ESRD case                | Diabetes                | 394,704<br>(51.25%)<br>[51.13;51.36] | 65,199<br>(50.54%)<br>[50.27;50.82] |  |
|                          | Hypertension            | 206,324<br>(26.79%)<br>[26.69;26.89] | 32,440<br>(25.15%)<br>[24.91;25.39] |  |
|                          | Other                   | 169,179<br>(21.97%)<br>[21.87;22.06] | 31,355<br>(24.31%)<br>[24.07;24.54] |  |
| Nephrology care pre ESRD | No                      | 199,619<br>(29.12%)<br>[29.02;29.23] | 44,414<br>(39.48%)<br>[39.19;39.76] |  |
|                          | Yes                     | 485,790<br>(70.88%)<br>[70.77;70.98] | 68,090<br>(60.52%)<br>[60.24;60.81] |  |

|                               |             |                                      |                                      |  |
|-------------------------------|-------------|--------------------------------------|--------------------------------------|--|
| Facility ownership            | Chain       | 586,132<br>(82.75%)<br>[82.67;82.84] | 93,514<br>(78.36%)<br>[78.13;78.60]  |  |
|                               | Independent | 122,144<br>(17.25%)<br>[17.16;17.33] | 25,820<br>(21.64%)<br>[21.40;21.87]  |  |
| Alcohol dependence            | No          | 766,783<br>(98.18%)<br>[98.15;98.20] | 128,821<br>(97.20%)<br>[97.11;97.29] |  |
|                               | Yes         | 14,251<br>(1.82%)<br>[1.80;1.85]     | 3,713<br>(2.80%)<br>[2.71;2.89]      |  |
| Drug dependence               | No          | 769,087<br>(98.47%)<br>[98.44;98.50] | 129,560<br>(97.76%)<br>[97.67;97.84] |  |
|                               | Yes         | 11,947<br>(1.53%)<br>[1.50;1.56]     | 2,974<br>(2.24%)<br>[2.16;2.33]      |  |
| Inability to ambulate         | No          | 740,830<br>(94.85%)<br>[94.80;94.90] | 116,829<br>(88.15%)<br>[87.98;88.32] |  |
|                               | Yes         | 40,204<br>(5.15%)<br>[5.10;5.20]     | 15,705<br>(11.85%)<br>[11.68;12.03]  |  |
| Inability to transfer         | No          | 761,401<br>(97.49%)<br>[97.45;97.52] | 123,211<br>(92.97%)<br>[92.83;93.10] |  |
|                               | Yes         | 19,633<br>(2.51%)<br>[2.48;2.55]     | 9,323<br>(7.03%)<br>[6.90;7.17]      |  |
| Needing assistance in ADLs    | No          | 703,595<br>(90.09%)<br>[90.02;90.15] | 108,278<br>(81.70%)<br>[81.49;81.91] |  |
|                               | Yes         | 77,439<br>(9.91%)<br>[9.85;9.98]     | 24,256<br>(18.30%)<br>[18.09;18.51]  |  |
| Patients per registered nurse |             | 17.05<br>[17.03;17.07]               | 16.36<br>[16.31;16.40]               |  |
| Patients per technician       |             | 12.68<br>[12.65;12.70]               | 12.26<br>[12.22;12.31]               |  |
| Patients per social worker    |             | 76.74<br>[76.66;76.82]               | 73.44<br>[73.25;73.63]               |  |
| Age                           |             | 57.46<br>[57.43;57.48]               | 60.86<br>[60.80;60.92]               |  |

Workers are part time or full time, at the facility level.

**Abbreviations:** ADLs: activity of daily living; ESRD: end-stage renal disease; CI: confidence interval

**Table 3. Predictors of reasons for patients not being informed about kidney transplantation**

| Characteristics       | Not informed  | Medically unfit | Declined      | Unsuitable due to age | Unassessed    | Physically unfit | Other         |
|-----------------------|---------------|-----------------|---------------|-----------------------|---------------|------------------|---------------|
| Alcohol dependence,   |               |                 |               |                       |               |                  |               |
| OR                    | 1.121         | 1.478           | 1.269         | 0.938                 | 0.785         | 1.694            | 0.721         |
| 95% CI                | [1.069,1.176] | [1.348,1.620]   | [0.907,1.775] | [0.716,1.227]         | [0.718,0.859] | [1.428,2.011]    | [0.611,0.851] |
| p-value               | (0.000)       | (0.000)         | (0.164)       | (0.639)               | (0.000)       | (0.000)          | (0.000)       |
| Drug dependence       |               |                 |               |                       |               |                  |               |
| OR                    | 1.313         | 1.222           | 1.157         | 0.853                 | 0.693         | 2.288            | 1.235         |
| 95% CI                | [1.245,1.385] | [1.096,1.363]   | [0.749,1.787] | [0.503,1.447]         | [0.626,0.766] | [1.935,2.706]    | [1.059,1.439] |
| p-value               | (0.000)       | (0.000)         | (0.512)       | (0.556)               | (0.000)       | (0.000)          | (0.007)       |
| Inability to ambulate |               |                 |               |                       |               |                  |               |
| OR                    | 1.341         | 1.906           | 1.147         | 0.960                 | 0.632         | 0.857            | 0.862         |
| 95% CI                | [1.296,1.387] | [1.794,2.026]   | [0.933,1.410] | [0.858,1.075]         | [0.594,0.672] | [0.747,0.984]    | [0.760,0.976] |
| p-value               | (0.000)       | (0.000)         | (0.194)       | (0.480)               | (0.000)       | (0.029)          | (0.019)       |
| Inability to transfer |               |                 |               |                       |               |                  |               |
| OR                    | 1.348         | 1.546           | 0.728         | 1.005                 | 0.673         | 1.182            | 0.890         |
| 95% CI                | [1.292,1.406] | [1.432,1.669]   | [0.560,0.946] | [0.878,1.152]         | [0.621,0.729] | [1.013,1.378]    | [0.758,1.045] |
| p-value               | (0.000)       | (0.000)         | (0.018)       | (0.938)               | (0.000)       | (0.034)          | (0.156)       |
| Needs assistance      |               |                 |               |                       |               |                  |               |
| OR                    | 1.282         | 1.740           | 1.446         | 1.023                 | 0.615         | 2.904            | 0.806         |
| 95% CI                | [1.252,1.313] | [1.666,1.818]   | [1.247,1.677] | [0.946,1.106]         | [0.589,0.642] | [2.648,3.184]    | [0.739,0.878] |
| p-value               | (0.000)       | (0.000)         | (0.000)       | (0.569)               | (0.000)       | (0.000)          | (0.000)       |
| Age at incidence      |               |                 |               |                       |               |                  |               |
| OR                    | 1.019         | 1.025           | 1.028         | 1.305                 | 0.967         | 0.989            | 0.987         |
| 95% CI                | [1.019,1.020] | [1.023,1.027]   | [1.021,1.036] | [1.295,1.315]         | [0.966,0.969] | [0.985,0.993]    | [0.984,0.989] |
| p-value               | (0.000)       | (0.000)         | (0.000)       | (0.000)               | (0.000)       | (0.000)          | (0.000)       |
| Male                  |               |                 |               |                       |               |                  |               |
| OR                    | 0.972         | 1.005           | 0.862         | 0.842                 | 1.028         | 1.045            | 1.091         |
| 95% CI                | [0.958,0.987] | [0.975,1.037]   | [0.772,0.962] | [0.797,0.889]         | [0.999,1.057] | [0.968,1.129]    | [1.036,1.149] |
| p-value               | (0.000)       | (0.740)         | (0.008)       | (0.000)               | (0.062)       | (0.259)          | (0.001)       |
| BMI over 40           |               |                 |               |                       |               |                  |               |
| OR                    | 1.101         | 1.305           | 0.840         | 0.767                 | 0.922         | 0.502            | 0.987         |
| 95% CI                | [1.077,1.125] | [1.249,1.364]   | [0.709,0.995] | [0.697,0.846]         | [0.885,0.961] | [0.438,0.577]    | [0.915,1.064] |
| p-value               | (0.000)       | (0.000)         | (0.044)       | (0.000)               | (0.000)       | (0.000)          | (0.735)       |
| Diabetes              |               |                 |               |                       |               |                  |               |
| OR                    | 1.511         | 1.672           | 1.051         | 1.026                 | 0.707         | 0.655            | 1.204         |
| 95% CI                | [1.412,1.616] | [1.397,2.001]   | [0.603,1.831] | [0.755,1.393]         | [0.611,0.819] | [0.465,0.923]    | [0.933,1.554] |
| p-value               | (0.000)       | (0.000)         | (0.861)       | (0.870)               | (0.000)       | (0.016)          | (0.154)       |
| Glomerulonephritis    |               |                 |               |                       |               |                  |               |
| OR                    | 1.528         | 1.854           | 0.720         | 0.942                 | 0.595         | 0.629            | 1.339         |
| 95% CI                | [1.423,1.642] | [1.536,2.237]   | [0.393,1.318] | [0.679,1.306]         | [0.509,0.694] | [0.434,0.912]    | [1.025,1.749] |
| p-value               | (0.000)       | (0.000)         | (0.287)       | (0.719)               | (0.000)       | (0.014)          | (0.032)       |
| Hypertension          |               |                 |               |                       |               |                  |               |
| OR                    | 1.466         | 1.443           | 0.974         | 1.030                 | 0.736         | 0.825            | 1.228         |
| 95% CI                | [1.369,1.569] | [1.203,1.730]   | [0.556,1.706] | [0.757,1.401]         | [0.635,0.854] | [0.584,1.165]    | [0.949,1.589] |
| p-value               | (0.000)       | (0.000)         | (0.925)       | (0.851)               | (0.000)       | (0.274)          | (0.118)       |
| Other cause           |               |                 |               |                       |               |                  |               |
| OR                    | 2.294         | 3.574           | 0.815         | 0.681                 | 0.349         | 0.548            | 1.468         |
| 95% CI                | [2.140,2.458] | [2.979,4.289]   | [0.461,1.441] | [0.498,0.933]         | [0.300,0.405] | [0.385,0.780]    | [1.132,1.903] |
| p-value               | (0.000)       | (0.000)         | (0.482)       | (0.017)               | (0.000)       | (0.001)          | (0.004)       |
| Other urologic        |               |                 |               |                       |               |                  |               |

|                         |               |               |               |               |               |               |               |
|-------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| OR                      | 1.814         | 2.614         | 0.811         | 0.984         | 0.464         | 1.139         | 1.325         |
| 95% CI                  | [1.660,1.982] | [2.117,3.227] | [0.398,1.655] | [0.679,1.425] | [0.387,0.557] | [0.753,1.721] | [0.962,1.825] |
| p-value                 | (0.000)       | (0.000)       | (0.565)       | (0.932)       | (0.000)       | (0.538)       | (0.085)       |
| Cath                    |               |               |               |               |               |               |               |
| OR                      | 1.347         | 1.297         | 0.943         | 0.894         | 0.890         | 0.828         | 1.020         |
| 95% CI                  | [1.317,1.377] | [1.235,1.363] | [0.799,1.113] | [0.828,0.965] | [0.852,0.929] | [0.732,0.936] | [0.940,1.107] |
| p-value                 | (0.000)       | (0.000)       | (0.488)       | (0.004)       | (0.000)       | (0.003)       | (0.635)       |
| Graft                   |               |               |               |               |               |               |               |
| OR                      | 1.208         | 1.336         | 0.679         | 0.945         | 0.809         | 1.154         | 1.011         |
| 95% CI                  | [1.153,1.266] | [1.210,1.475] | [0.454,1.015] | [0.807,1.107] | [0.738,0.885] | [0.923,1.442] | [0.849,1.204] |
| p-value                 | (0.000)       | (0.000)       | (0.059)       | (0.486)       | (0.000)       | (0.208)       | (0.898)       |
| Other                   |               |               |               |               |               |               |               |
| OR                      | 1.452         | 1.041         | 0.963         | 0.622         | 0.929         | 0.404         | 1.453         |
| 95% CI                  | [1.243,1.696] | [0.744,1.457] | [0.304,3.047] | [0.337,1.147] | [0.689,1.254] | [0.127,1.286] | [0.915,2.308] |
| p-value                 | (0.000)       | (0.815)       | (0.949)       | (0.128)       | (0.632)       | (0.125)       | (0.113)       |
| Nephrologist care       |               |               |               |               |               |               |               |
| OR                      | 0.752         | 0.989         | 0.878         | 1.085         | 1.035         | 0.759         | 0.869         |
| 95% CI                  | [0.741,0.764] | [0.957,1.022] | [0.782,0.987] | [1.022,1.152] | [1.004,1.067] | [0.700,0.823] | [0.823,0.917] |
| p-value                 | (0.000)       | (0.503)       | (0.029)       | (0.008)       | (0.028)       | (0.000)       | (0.000)       |
| American Indian/Alaskan |               |               |               |               |               |               |               |
| OR                      | 0.923         | 0.829         | 1.428         | 0.999         | 1.159         | 0.753         | 1.053         |
| 95% CI                  | [0.864,0.986] | [0.721,0.953] | [0.933,2.185] | [0.737,1.352] | [1.019,1.317] | [0.508,1.116] | [0.848,1.308] |
| p-value                 | (0.018)       | (0.008)       | (0.101)       | (0.993)       | (0.024)       | (0.158)       | (0.641)       |
| Asian                   |               |               |               |               |               |               |               |
| OR                      | 0.830         | 0.718         | 0.865         | 1.380         | 1.060         | 0.974         | 1.354         |
| 95% CI                  | [0.796,0.866] | [0.653,0.789] | [0.618,1.212] | [1.191,1.600] | [0.976,1.152] | [0.778,1.221] | [1.189,1.541] |
| p-value                 | (0.000)       | (0.000)       | (0.400)       | (0.000)       | (0.164)       | (0.821)       | (0.000)       |
| Black                   |               |               |               |               |               |               |               |
| OR                      | 0.895         | 0.794         | 0.845         | 1.179         | 1.182         | 1.110         | 0.915         |
| 95% CI                  | [0.880,0.911] | [0.765,0.824] | [0.736,0.970] | [1.101,1.261] | [1.142,1.224] | [1.017,1.213] | [0.858,0.976] |
| p-value                 | (0.000)       | (0.000)       | (0.017)       | (0.000)       | (0.000)       | (0.020)       | (0.007)       |
| Hispanic                |               |               |               |               |               |               |               |
| OR                      | 0.772         | 0.558         | 0.981         | 1.601         | 1.303         | 0.708         | 1.270         |
| 95% CI                  | [0.754,0.790] | [0.529,0.589] | [0.821,1.173] | [1.466,1.747] | [1.244,1.365] | [0.619,0.809] | [1.178,1.370] |
| p-value                 | (0.000)       | (0.000)       | (0.834)       | (0.000)       | (0.000)       | (0.000)       | (0.000)       |
| Other                   |               |               |               |               |               |               |               |
| OR                      | 1.181         | 1.029         | 1.000         | 1.310         | 1.401         | 0.685         | 0.402         |
| 95% CI                  | [0.965,1.444] | [0.680,1.557] | [1.000,1.000] | [0.621,2.765] | [0.942,2.085] | [0.212,2.220] | [0.148,1.094] |
| p-value                 | (0.106)       | (0.893)       | (.)           | (0.478)       | (0.096)       | (0.529)       | (0.075)       |
| Pacific Islander        |               |               |               |               |               |               |               |
| OR                      | 1.014         | 1.117         | 0.457         | 1.222         | 0.794         | 1.541         | 1.138         |
| 95% CI                  | [0.943,1.090] | [0.961,1.299] | [0.203,1.030] | [0.880,1.699] | [0.691,0.911] | [1.104,2.150] | [0.911,1.422] |
| p-value                 | (0.706)       | (0.150)       | (0.059)       | (0.231)       | (0.001)       | (0.011)       | (0.256)       |
| Medicaid                |               |               |               |               |               |               |               |
| OR                      | 1.226         | 1.154         | 1.056         | 1.335         | 0.777         | 2.723         | 1.028         |
| 95% CI                  | [1.187,1.266] | [1.073,1.242] | [0.808,1.381] | [1.024,1.741] | [0.728,0.829] | [2.176,3.407] | [0.923,1.144] |
| p-value                 | (0.000)       | (0.000)       | (0.688)       | (0.033)       | (0.000)       | (0.000)       | (0.614)       |
| Medicare                |               |               |               |               |               |               |               |
| OR                      | 1.135         | 1.156         | 0.895         | 1.404         | 0.764         | 1.812         | 1.007         |
| 95% CI                  | [1.100,1.170] | [1.080,1.238] | [0.697,1.148] | [1.126,1.751] | [0.718,0.813] | [1.442,2.278] | [0.903,1.121] |
| p-value                 | (0.000)       | (0.000)       | (0.383)       | (0.003)       | (0.000)       | (0.000)       | (0.906)       |
| Medicare&Medicaid       |               |               |               |               |               |               |               |
| OR                      | 1.396         | 1.267         | 0.769         | 1.437         | 0.702         | 3.766         | 0.903         |

|                         |               |               |                |                |               |               |               |
|-------------------------|---------------|---------------|----------------|----------------|---------------|---------------|---------------|
| 95% CI                  | [1.352,1.442] | [1.182,1.359] | [0.593,0.996]  | [1.149,1.796]  | [0.659,0.748] | [3.023,4.690] | [0.807,1.010] |
| p-value                 | (0.000)       | (0.000)       | (0.047)        | (0.001)        | (0.000)       | (0.000)       | (0.074)       |
| Medicare&Other          |               |               |                |                |               |               |               |
| OR                      | 1.280         | 1.194         | 0.900          | 1.345          | 0.767         | 1.040         | 0.954         |
| 95% CI                  | [1.236,1.325] | [1.110,1.286] | [0.691,1.173]  | [1.076,1.681]  | [0.716,0.821] | [0.801,1.351] | [0.843,1.080] |
| p-value                 | (0.000)       | (0.000)       | (0.437)        | (0.009)        | (0.000)       | (0.769)       | (0.458)       |
| Other                   |               |               |                |                |               |               |               |
| OR                      | 1.189         | 1.036         | 1.018          | 1.564          | 0.853         | 1.339         | 1.108         |
| 95% CI                  | [1.156,1.222] | [0.973,1.104] | [0.809,1.281]  | [1.258,1.945]  | [0.806,0.903] | [1.074,1.670] | [1.009,1.216] |
| p-value                 | (0.000)       | (0.273)       | (0.880)        | (0.000)        | (0.000)       | (0.010)       | (0.032)       |
| Applying for Medicare   |               |               |                |                |               |               |               |
| OR                      | 0.963         | 1.052         | 0.887          | 0.932          | 1.062         | 0.902         | 0.852         |
| 95% CI                  | [0.947,0.979] | [1.017,1.088] | [0.789,0.997]  | [0.882,0.985]  | [1.029,1.096] | [0.829,0.982] | [0.805,0.901] |
| p-value                 | (0.000)       | (0.004)       | (0.044)        | (0.013)        | (0.000)       | (0.018)       | (0.000)       |
| Emp pt-time             |               |               |                |                |               |               |               |
| OR                      | 1.031         | 1.241         | 1.222          | 1.384          | 0.779         | 1.017         | 1.258         |
| 95% CI                  | [0.968,1.098] | [1.061,1.451] | [0.710,2.105]  | [0.946,2.024]  | [0.682,0.889] | [0.555,1.866] | [1.040,1.522] |
| p-value                 | (0.340)       | (0.007)       | (0.469)        | (0.094)        | (0.000)       | (0.955)       | (0.018)       |
| Med LOA                 |               |               |                |                |               |               |               |
| OR                      | 1.218         | 1.716         | 1.260          | 1.092          | 0.686         | 0.844         | 1.003         |
| 95% CI                  | [1.157,1.283] | [1.517,1.941] | [0.797,1.990]  | [0.682,1.750]  | [0.615,0.764] | [0.486,1.467] | [0.851,1.182] |
| p-value                 | (0.000)       | (0.000)       | (0.323)        | (0.713)        | (0.000)       | (0.548)       | (0.975)       |
| Other                   |               |               |                |                |               |               |               |
| OR                      | 1.079         | 1.355         | 1.388          | 2.125          | 0.802         | 0.511         | 0.593         |
| 95% CI                  | [0.900,1.293] | [0.814,2.254] | [0.188,10.246] | [0.351,12.861] | [0.518,1.242] | [0.069,3.784] | [0.316,1.116] |
| p-value                 | (0.413)       | (0.243)       | (0.748)        | (0.412)        | (0.323)       | (0.511)       | (0.105)       |
| Homemaker or Unemployed |               |               |                |                |               |               |               |
| OR                      | 1.396         | 1.505         | 1.057          | 1.124          | 0.706         | 2.542         | 1.113         |
| 95% CI                  | [1.347,1.447] | [1.373,1.651] | [0.756,1.478]  | [0.849,1.489]  | [0.654,0.763] | [1.809,3.571] | [0.991,1.249] |
| p-value                 | (0.000)       | (0.000)       | (0.746)        | (0.414)        | (0.000)       | (0.000)       | (0.070)       |
| Retired                 |               |               |                |                |               |               |               |
| OR                      | 1.429         | 2.008         | 1.280          | 1.515          | 0.614         | 2.361         | 0.780         |
| 95% CI                  | [1.380,1.480] | [1.836,2.197] | [0.925,1.770]  | [1.155,1.986]  | [0.569,0.662] | [1.681,3.315] | [0.695,0.877] |
| p-value                 | (0.000)       | (0.000)       | (0.137)        | (0.003)        | (0.000)       | (0.000)       | (0.000)       |
| Chain status            |               |               |                |                |               |               |               |
| OR                      | 0.778         | 0.643         | 1.065          | 0.897          | 1.446         | 0.821         | 1.041         |
| 95% CI                  | [0.764,0.792] | [0.621,0.667] | [0.931,1.217]  | [0.840,0.958]  | [1.396,1.496] | [0.752,0.896] | [0.978,1.109] |
| p-value                 | (0.000)       | (0.000)       | (0.358)        | (0.001)        | (0.000)       | (0.000)       | (0.206)       |
| NE                      |               |               |                |                |               |               |               |
| OR                      | 0.951         | 1.306         | 0.837          | 0.485          | 0.877         | 1.357         | 0.886         |
| 95% CI                  | [0.928,0.974] | [1.246,1.369] | [0.709,0.987]  | [0.443,0.531]  | [0.838,0.918] | [1.214,1.517] | [0.812,0.967] |
| p-value                 | (0.000)       | (0.000)       | (0.035)        | (0.000)        | (0.000)       | (0.000)       | (0.007)       |
| S                       |               |               |                |                |               |               |               |
| OR                      | 0.954         | 0.797         | 0.746          | 0.901          | 1.249         | 0.818         | 1.001         |
| 95% CI                  | [0.935,0.973] | [0.766,0.830] | [0.649,0.858]  | [0.842,0.966]  | [1.203,1.298] | [0.738,0.907] | [0.933,1.073] |
| p-value                 | (0.000)       | (0.000)       | (0.000)        | (0.003)        | (0.000)       | (0.000)       | (0.980)       |
| W                       |               |               |                |                |               |               |               |
| OR                      | 1.168         | 0.801         | 0.758          | 0.669          | 1.306         | 0.822         | 1.129         |
| 95% CI                  | [1.141,1.196] | [0.764,0.841] | [0.641,0.897]  | [0.614,0.728]  | [1.249,1.366] | [0.725,0.931] | [1.043,1.221] |
| p-value                 | (0.000)       | (0.000)       | (0.001)        | (0.000)        | (0.000)       | (0.002)       | (0.003)       |
| Constant                |               |               |                |                |               |               |               |
| OR                      | 0.026         | 0.029         | 0.004          | 0.000          | 19.463        | 0.029         | 0.174         |

|              |               |               |               |               |                 |               |               |
|--------------|---------------|---------------|---------------|---------------|-----------------|---------------|---------------|
| 95% CI       | [0.024,0.028] | [0.023,0.037] | [0.002,0.008] | [0.000,0.000] | [16.069,23.574] | [0.017,0.049] | [0.127,0.239] |
| p-value      | (0.000)       | (0.000)       | (0.000)       | (0.000)       | (0.000)         | (0.000)       | (0.000)       |
| Observations | 611211        | 91193         | 91074         | 91193         | 91193           | 91193         | 91193         |
| chi2         | 19851.5       | 13171.2       | 271.2         | 13634.0       | 11296.2         | 2415.9        | 1076.7        |
| chi2type     | LR            | LR            | LR            | LR            | LR              | LR            | LR            |

**Abbreviation:** CI: confidence interval; OR: odds ratio



**Table 4. Cox proportional-hazards model estimate of time to waitlist and time to transplant**

| Characteristics       | Time to waitlist | Time to transplant |
|-----------------------|------------------|--------------------|
| Patient informed      | 1.617            | 1.032              |
|                       | [1.582,1.652]    | [0.999,1.067]      |
|                       | (0.000)          | (0.057)            |
| Alcohol dependence    | 0.719            | 1.131              |
|                       | [0.678,0.763]    | [1.034,1.238]      |
|                       | (0.000)          | (0.007)            |
| Drug dependence       | 0.361            | 0.892              |
|                       | [0.335,0.389]    | [0.795,1.002]      |
|                       | (0.000)          | (0.055)            |
| Inability to ambulate | 0.447            | 0.811              |
|                       | [0.416,0.479]    | [0.715,0.919]      |
|                       | (0.000)          | (0.001)            |
| Inability to transfer | 0.678            | 1.170              |
|                       | [0.603,0.763]    | [0.958,1.429]      |
|                       | (0.000)          | (0.123)            |
| Needs assistance      | 0.603            | 0.894              |
|                       | [0.582,0.625]    | [0.842,0.949]      |
|                       | (0.000)          | (0.000)            |
| Age at incidence      | 0.965            | 0.984              |
|                       | [0.965,0.966]    | [0.983,0.985]      |
|                       | (0.000)          | (0.000)            |
| Male                  | 1.138            | 1.024              |
|                       | [1.124,1.152]    | [1.005,1.043]      |
|                       | (0.000)          | (0.013)            |
| BMI over 40           | 0.428            | 0.782              |
|                       | [0.418,0.438]    | [0.754,0.811]      |
|                       | (0.000)          | (0.000)            |
| Diabetes              | 0.455            | 0.787              |
|                       | [0.443,0.468]    | [0.759,0.816]      |
|                       | (0.000)          | (0.000)            |
| Glomerulonephritis    | 0.664            | 1.050              |
|                       | [0.644,0.684]    | [1.011,1.091]      |
|                       | (0.000)          | (0.011)            |
| Hypertension          | 0.495            | 0.891              |
|                       | [0.481,0.509]    | [0.858,0.925]      |
|                       | (0.000)          | (0.000)            |
| Other cause           | 0.415            | 1.070              |
|                       | [0.401,0.430]    | [1.024,1.118]      |
|                       | (0.000)          | (0.003)            |
| Other urologic        | 0.454            | 0.888              |
|                       | [0.427,0.483]    | [0.819,0.963]      |
|                       | (0.000)          | (0.004)            |
| Cath                  | 0.652            | 1.118              |
|                       | [0.642,0.661]    | [1.094,1.142]      |
|                       | (0.000)          | (0.000)            |
| Graft                 | 0.805            | 1.038              |
|                       | [0.776,0.835]    | [0.983,1.095]      |
|                       | (0.000)          | (0.179)            |
| Other                 | 0.750            | 1.241              |
|                       | [0.667,0.842]    | [1.062,1.451]      |

|                         |               |               |
|-------------------------|---------------|---------------|
|                         | (0.000)       | (0.007)       |
| Nephrologist care       | 1.499         | 1.041         |
|                         | [1.477,1.521] | [1.019,1.064] |
|                         | (0.000)       | (0.000)       |
| American Indian/Alaskan | 0.794         | 0.659         |
|                         | [0.748,0.842] | [0.600,0.724] |
|                         | (0.000)       | (0.000)       |
| Asian                   | 1.476         | 0.672         |
|                         | [1.436,1.517] | [0.645,0.700] |
|                         | (0.000)       | (0.000)       |
| Black                   | 0.943         | 0.616         |
|                         | [0.929,0.957] | [0.603,0.630] |
|                         | (0.000)       | (0.000)       |
| Hispanic                | 1.207         | 0.662         |
|                         | [1.186,1.229] | [0.644,0.680] |
|                         | (0.000)       | (0.000)       |
| Other                   | 1.033         | 0.616         |
|                         | [0.897,1.189] | [0.501,0.758] |
|                         | (0.652)       | (0.000)       |
| Pacific Islander        | 0.943         | 0.639         |
|                         | [0.888,1.002] | [0.580,0.704] |
|                         | (0.057)       | (0.000)       |
| Medicaid                | 0.519         | 0.746         |
|                         | [0.508,0.531] | [0.722,0.771] |
|                         | (0.000)       | (0.000)       |
| Medicare                | 0.534         | 0.806         |
|                         | [0.521,0.548] | [0.775,0.838] |
|                         | (0.000)       | (0.000)       |
| Medicare&Medicaid       | 0.467         | 0.722         |
|                         | [0.454,0.480] | [0.691,0.754] |
|                         | (0.000)       | (0.000)       |
| Medicare&Other          | 0.727         | 0.915         |
|                         | [0.706,0.749] | [0.875,0.956] |
|                         | (0.000)       | (0.000)       |
| Other                   | 0.700         | 0.868         |
|                         | [0.688,0.713] | [0.847,0.888] |
|                         | (0.000)       | (0.000)       |
| Applying for Medicare   | 1.032         | 1.038         |
|                         | [1.017,1.047] | [1.016,1.061] |
|                         | (0.000)       | (0.001)       |
| Emp full-time           | 1.000         | 1.000         |
|                         | [1.000,1.000] | [1.000,1.000] |
|                         | (.)           | (.)           |
| Emp pt-time             | 0.961         | 0.993         |
|                         | [0.932,0.992] | [0.952,1.036] |
|                         | (0.013)       | (0.750)       |
| Med LOA                 | 0.838         | 0.888         |
|                         | [0.816,0.859] | [0.857,0.920] |
|                         | (0.000)       | (0.000)       |
| Other                   | 0.743         | 1.040         |
|                         | [0.695,0.794] | [0.959,1.128] |
|                         | (0.000)       | (0.345)       |
| Homemaker or Unemployed | 0.639         | 0.851         |
|                         | [0.626,0.652] | [0.828,0.875] |

|              |               |               |
|--------------|---------------|---------------|
|              | (0.000)       | (0.000)       |
| Retired      | 0.636         | 0.877         |
|              | [0.623,0.649] | [0.853,0.902] |
|              | (0.000)       | (0.000)       |
| Chain status | 0.973         | 0.948         |
|              | [0.959,0.988] | [0.928,0.969] |
|              | (0.000)       | (0.000)       |
| NE           | 1.340         | 0.794         |
|              | [1.315,1.366] | [0.773,0.816] |
|              | (0.000)       | (0.000)       |
| S            | 0.866         | 0.886         |
|              | [0.851,0.881] | [0.865,0.908] |
|              | (0.000)       | (0.000)       |
| W            | 1.007         | 0.753         |
|              | [0.987,1.027] | [0.732,0.775] |
|              | (0.512)       | (0.000)       |
| Observations | 478746        | 109048        |

**Table 5. Logit model estimate of transplant information and addition to the waitlist (conditional on independent facilities)**

| Characteristics       | Not informed  | Added to waitlist |
|-----------------------|---------------|-------------------|
| Acquired              | 0.322         | 0.711             |
|                       | [0.257,0.404] | [0.588,0.861]     |
|                       | (0.000)       | (0.000)           |
| Alcohol dependence    | 1.020         | 0.756             |
|                       | [0.929,1.120] | [0.673,0.851]     |
|                       | (0.678)       | (0.000)           |
| Drug dependence       | 1.645         | 0.270             |
|                       | [1.492,1.814] | [0.232,0.314]     |
|                       | (0.000)       | (0.000)           |
| Inability to ambulate | 1.481         | 0.409             |
|                       | [1.386,1.583] | [0.357,0.468]     |
|                       | (0.000)       | (0.000)           |
| Inability to transfer | 1.339         | 0.508             |
|                       | [1.235,1.452] | [0.409,0.632]     |
|                       | (0.000)       | (0.000)           |
| Needs assistance      | 1.263         | 0.543             |
|                       | [1.206,1.323] | [0.507,0.582]     |
|                       | (0.000)       | (0.000)           |
| Age at incidence      | 1.018         | 0.948             |
|                       | [1.017,1.020] | [0.946,0.949]     |
|                       | (0.000)       | (0.000)           |
| Male                  | 0.980         | 1.177             |
|                       | [0.950,1.010] | [1.141,1.215]     |
|                       | (0.185)       | (0.000)           |
| BMI over 40           | 1.085         | 0.372             |
|                       | [1.037,1.134] | [0.352,0.393]     |
|                       | (0.000)       | (0.000)           |
| Diabetes              | 1.655         | 0.292             |
|                       | [1.435,1.907] | [0.268,0.318]     |
|                       | (0.000)       | (0.000)           |
| Glomerulonephritis    | 1.774         | 0.555             |
|                       | [1.528,2.061] | [0.505,0.610]     |

|                         |               |               |
|-------------------------|---------------|---------------|
|                         | (0.000)       | (0.000)       |
| Hypertension            | 1.564         | 0.346         |
|                         | [1.354,1.807] | [0.317,0.378] |
|                         | (0.000)       | (0.000)       |
| Other cause             | 2.845         | 0.248         |
|                         | [2.460,3.289] | [0.225,0.273] |
|                         | (0.000)       | (0.000)       |
| Other urologic          | 2.117         | 0.274         |
|                         | [1.769,2.534] | [0.235,0.319] |
|                         | (0.000)       | (0.000)       |
| Cath                    | 1.381         | 0.523         |
|                         | [1.317,1.447] | [0.503,0.543] |
|                         | (0.000)       | (0.000)       |
| Graft                   | 1.206         | 0.742         |
|                         | [1.087,1.339] | [0.675,0.815] |
|                         | (0.000)       | (0.000)       |
| Other                   | 1.358         | 0.588         |
|                         | [0.976,1.890] | [0.427,0.809] |
|                         | (0.069)       | (0.001)       |
| Nephrologist care       | 0.752         | 1.627         |
|                         | [0.727,0.776] | [1.570,1.687] |
|                         | (0.000)       | (0.000)       |
| American Indian/Alaskan | 0.964         | 0.736         |
|                         | [0.859,1.081] | [0.646,0.838] |
|                         | (0.528)       | (0.000)       |
| Asian                   | 0.686         | 2.110         |
|                         | [0.631,0.746] | [1.967,2.263] |
|                         | (0.000)       | (0.000)       |
| Black                   | 0.853         | 1.048         |
|                         | [0.822,0.885] | [1.009,1.088] |
|                         | (0.000)       | (0.014)       |
| Hispanic                | 0.681         | 1.495         |
|                         | [0.649,0.716] | [1.428,1.564] |
|                         | (0.000)       | (0.000)       |
| Other                   | 0.841         | 1.531         |
|                         | [0.555,1.274] | [1.056,2.219] |
|                         | (0.414)       | (0.025)       |
| Pacific Islander        | 0.956         | 1.107         |
|                         | [0.817,1.119] | [0.947,1.294] |
|                         | (0.574)       | (0.202)       |
| Medicaid                | 1.202         | 0.427         |
|                         | [1.122,1.287] | [0.404,0.452] |
|                         | (0.000)       | (0.000)       |
| Medicare                | 1.238         | 0.455         |
|                         | [1.158,1.324] | [0.427,0.484] |
|                         | (0.000)       | (0.000)       |
| Medicare&Medicaid       | 1.423         | 0.380         |
|                         | [1.331,1.523] | [0.356,0.406] |
|                         | (0.000)       | (0.000)       |
| Medicare&Other          | 1.240         | 0.664         |
|                         | [1.155,1.330] | [0.622,0.710] |
|                         | (0.000)       | (0.000)       |
| Other                   | 1.337         | 0.651         |
|                         | [1.261,1.418] | [0.622,0.681] |

|                         |               |                 |
|-------------------------|---------------|-----------------|
|                         | (0.000)       | (0.000)         |
| Applying for Medicare   | 0.927         | 1.050           |
|                         | [0.894,0.960] | [1.011,1.091]   |
|                         | (0.000)       | (0.011)         |
| Emp pt-time             | 1.114         | 0.876           |
|                         | [0.984,1.262] | [0.804,0.955]   |
|                         | (0.089)       | (0.003)         |
| Med LOA                 | 1.376         | 0.761           |
|                         | [1.238,1.529] | [0.706,0.819]   |
|                         | (0.000)       | (0.000)         |
| Other                   | 1.073         | 0.863           |
|                         | [0.745,1.545] | [0.677,1.100]   |
|                         | (0.705)       | (0.234)         |
| Homemaker or Unemployed | 1.496         | 0.512           |
|                         | [1.388,1.613] | [0.485,0.540]   |
|                         | (0.000)       | (0.000)         |
| Retired                 | 1.554         | 0.500           |
|                         | [1.444,1.672] | [0.474,0.527]   |
|                         | (0.000)       | (0.000)         |
| chain_status=1          | 1.771         | 1.031           |
|                         | [1.407,2.230] | [0.850,1.251]   |
|                         | (0.000)       | (0.757)         |
| NE                      | 0.962         | 1.364           |
|                         | [0.922,1.004] | [1.307,1.422]   |
|                         | (0.074)       | (0.000)         |
| S                       | 0.797         | 0.783           |
|                         | [0.765,0.831] | [0.750,0.816]   |
|                         | (0.000)       | (0.000)         |
| W                       | 1.379         | 0.924           |
|                         | [1.317,1.444] | [0.880,0.970]   |
|                         | (0.000)       | (0.002)         |
| Constant                | 0.023         | 59.517          |
|                         | [0.019,0.028] | [51.989,68.135] |
|                         | (0.000)       | (0.000)         |
| Observations            | 133888        | 133891          |
| chi2                    | 7202.1        | 28885.0         |
| chi2type                | LR            | LR              |

Figure 1. Trends in the proportion of patients being informed

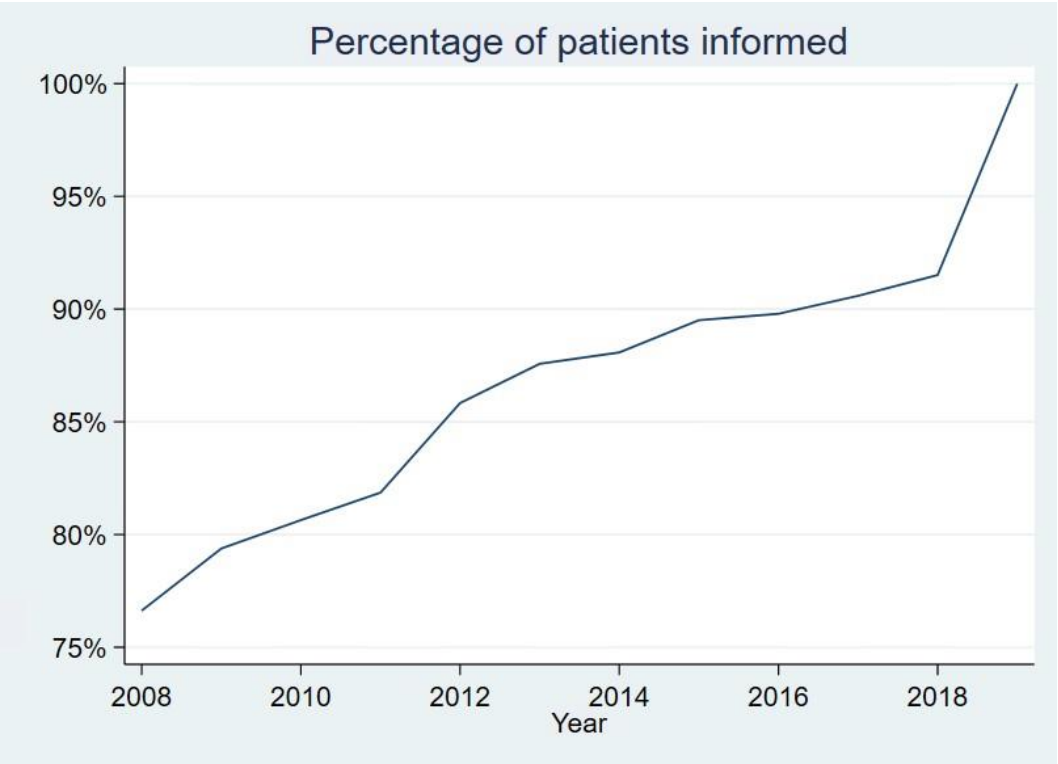
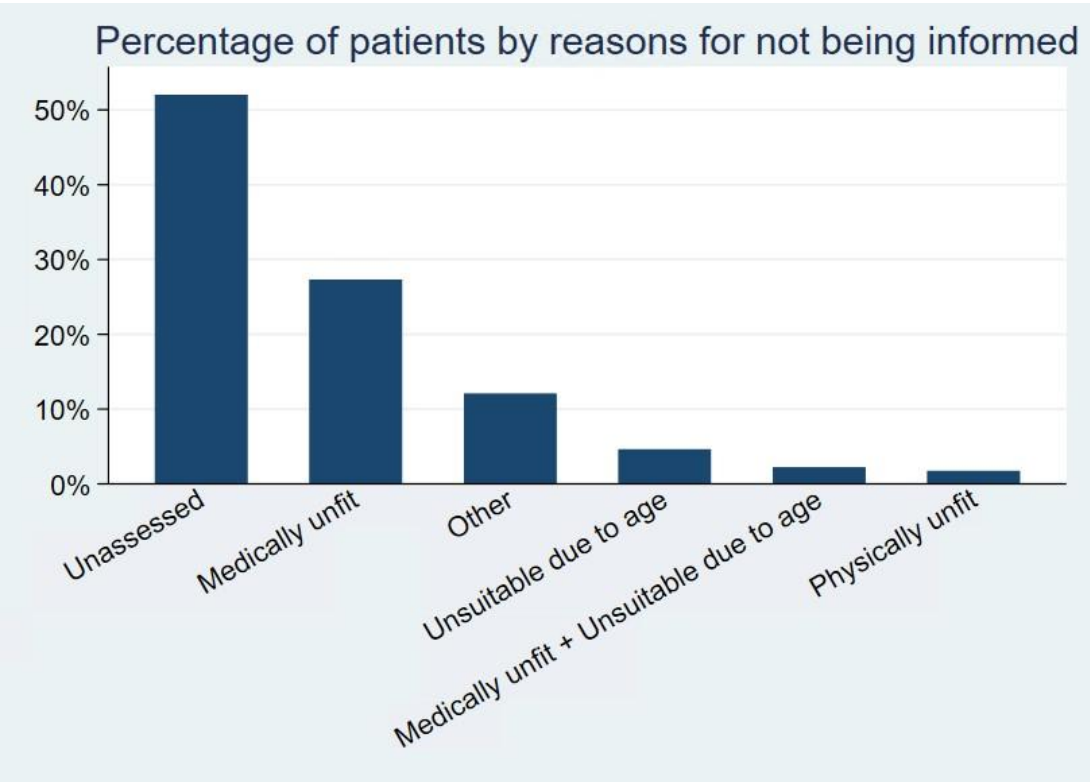
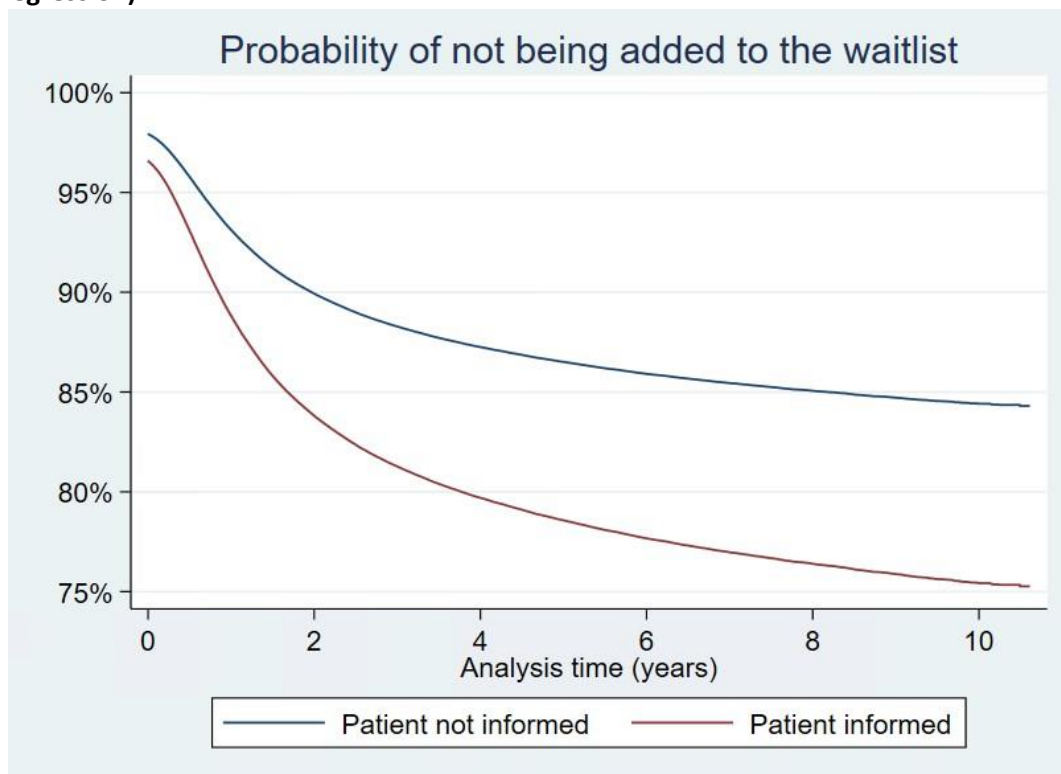


Figure 2. Combinations of frequency of reasons for not being informed



**Figure 3. Probability of not being added to the waitlist by transplant information (Cox proportional hazards regression)**



**Figure 4. Testing proportional hazards (PH) assumption for the variable "Patient Informed"**

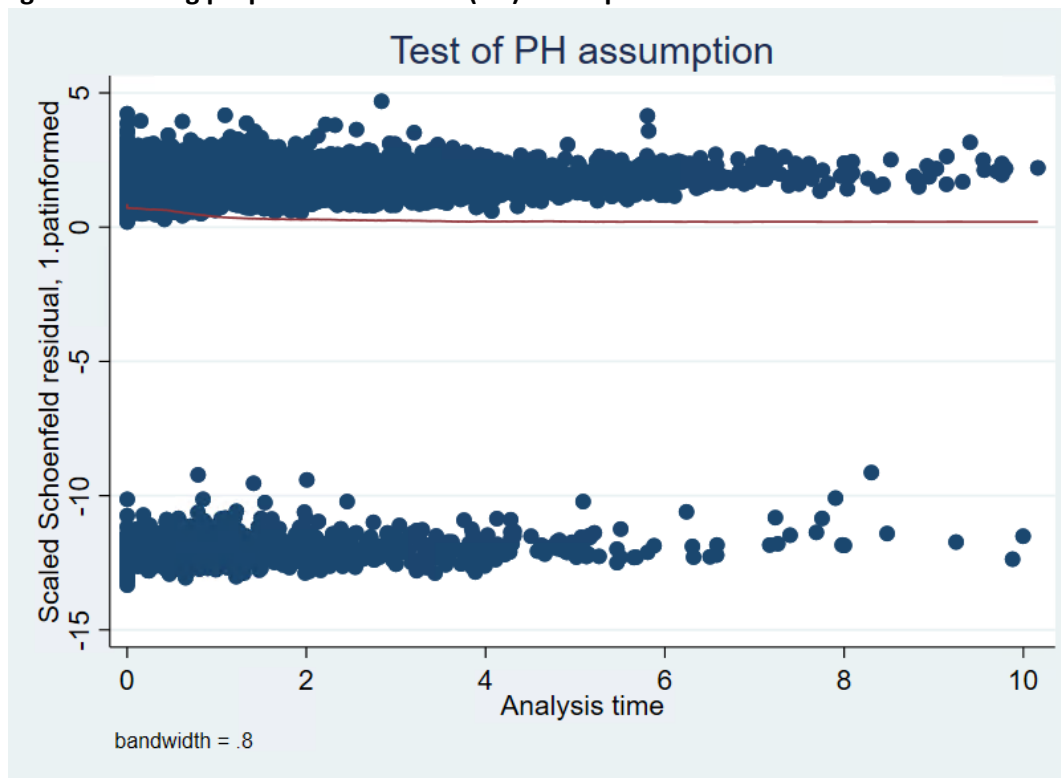


Figure 5. Probability of not being transplanted

