

# Using the Multiple Streams Framework to Connect Policy Adoption to Implementation

Luke Fowler 

*The author furthers research on the multiple streams framework (MSF) by testing hypotheses related to the conditional nature of politics, policy, and problems streams in affecting policy outputs from both policymaking and policy implementation. The author articulates a theoretical model of the policy process where policymaking and policy implementation are separate, but interdependent processes, and generates three hypotheses related to policymaking, policy implementation, and the interdependence of those processes. Then, the author tests hypotheses with state-level implementation of federal environmental policy over a 20 year period. Using seemingly unrelated regression (SUR), the author makes joint estimations of policymaking and policy implementation as separate, correlated processes. Findings indicate how politics affects policymaking and policy implementation is conditional on existing policies and problems, and that these two processes are not independent from each other. Conclusions connect this MSF to the broader literature and how it may address current criticisms.*

**KEY WORDS:** multiple streams framework, policymaking, policy implementation

通过测试与“政治、政策和问题源流在影响政策制定和政策落实所产生的政策输出时的条件性质”相关的理论，作者进一步研究了多源流框架（MSF）。作者阐述了一个政策过程理论模型，在此政策过程中政策制定和落实是相互分离又相互依赖的两个过程，作者提出三个与政策制定、政策落实以及相互依赖性有关的理论。随后，作者用为期20年的州级联邦环境政策落实过程，对理论进行检验。通过使用似不相关回归（SUR）模型，我们将政策制定和政策落实作为分离却相关的过程进行共同预测。结果显示了政治如何影响政策制定，并且政策落实取决于现有政策和问题，以及政策制定和落实这两个过程互不独立。结论将该多源流框架与更广泛的文献进行联系，并探讨了其如何能应对当前批判。

**关键词:** 多源流框架, 政策制定, 政策落实

Los autores investigan más a fondo el Marco de Múltiples Flujos (MSF) probando hipótesis relacionadas con la naturaleza condicional de políticas, políticas y flujos de problemas que afectan los resultados de las políticas tanto de la formulación de políticas como de la implementación de políticas. Los autores articulan un modelo teórico del proceso de políticas donde la formulación de políticas y la implementación de políticas son procesos separados, pero interdependientes, y generan tres hipótesis relacionadas con la formulación de políticas, la implementación de políticas y la interdependencia de esos procesos. Luego, los autores prueban las hipótesis con la implementación a nivel estatal de la política ambiental federal

durante un período de 20 años. Utilizando la Regresión aparentemente no relacionada (SUR), hacemos estimaciones conjuntas de la formulación de políticas y la implementación de políticas como procesos separados y correlacionados. Los resultados indican cómo la política afecta la formulación de políticas y la implementación de políticas está condicionada a las políticas y problemas existentes, y que estos dos procesos no son independientes entre sí. Las conclusiones conectan este MSF con la literatura más amplia y cómo puede abordar las críticas actuales.

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**PALABRAS CLAVES:** marco de múltiples flujos, formulación de políticas, implementación de políticas

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With the multiple streams framework (MSF), John Kingdon (1995) created a sophisticated yet simple theoretical framework to explain how policy actors sort through ambiguous circumstances during policy processes. In the decades following its publication, scholars further developed many key theoretical concepts, including the nature of policy windows; activities of policy entrepreneurs; and the construction of policy, politics, and problem streams (Herweg, Zahariadis, & Zohlnhöfe, 2018). While the crux of this research focuses on agenda-setting and policy adoption, more recent development also applies concepts to understanding policy implementation (e.g., Fowler, 2019). Despite this, critics still question MSF's usefulness for two reasons. First, literature connecting policy adoption and implementation is disjointed, much of the development related to implementation is recent, and there are few examples of policy adoption and implementation being examined in a single model. As such, many still see MSF as only suited to explaining agenda-setting or policy adoption, and not for understanding how collective choices and collective actions occur in conjunction as part of a policy system (Herweg et al., 2018). Second, testable hypotheses are sparse, which creates limitations to providing empirical evidence supporting key theoretical tenets, and much of the research is based on case studies (Engler & Herweg, 2019; Herweg et al., 2018). To this end, a significant step in this line of research is articulating a policy process model that includes both policy adoption and implementation, and empirically testing hypotheses based on that model.

This model will provide a vital step in understanding policy during the governance era by: (i) integrating existing MSF scholarship into a single theoretical policy process model; and, (ii) providing quantitative evidence that supports its validity. As such, we proceed with a discussion of policy adoption and policy implementation as two components of a single policy process through the MSF lens. Then, we develop three hypotheses related to the conditional nature of politics, policy, and problems streams in affecting both policy adoption and implementation, and the interdependent relationship between the two. Finally, we use seemingly unrelated regression (SUR) to test our hypotheses by modeling U.S. state environmental policy as a single process. Findings support hypotheses and indicate that there is an interaction among politics, policies, and problems in predicting major departures from the status quo in both policy adoption and implementation, and policy adoption and implementation are not independent from each other. Conclusions suggest implications for how MSF can further understandings of policy governance.

## The Multiple Streams Framework

### *A Model for Agenda-Setting and Policy Adoption*

MSF scholarship largely assumes that policy processes are characterized by ambiguity, where different ways of thinking about similar circumstances compete for relevance among decision makers. Key sources of ambiguity are: fluid participants (e.g., shifting policy communities), problematic preferences (e.g., ill-defined policy goals), and unclear technology (i.e., uncertainty about what is possible). As a result, there is a constant state of chaos surrounding which problems need to be solved or how to solve them, and where policymakers must make sense of incomplete information to comprehend the world. The ultimate goal of MSF is to add order to this chaos (Herweg et al., 2018). To this end, Kingdon (1995) identifies five structural components in policy processes, where politics, problems, and policies independently stream until policy entrepreneurs couple them together during policy windows. Although this does not guarantee success, policy adoption is much more likely when this occurs (Avery, 2004; Birkland, 2004; Zahariadis, 2005).

First, politics streams encompass the political atmosphere and corresponding competition between value-based decisions that includes, but are not limited to, who should benefit, what issues should be prioritized, and how policy images will be interpreted. Of course, policy communities (or networks) and politicians play key roles in shaping debates surrounding whether problems are worthy of attention or policies are acceptable. Second, problem streams are made up a collection socio-economic and environmental conditions that exist in society. Conditions become problems when they are identified as “non-ideal” and draw attention from policymakers via focusing events, feedback, or indicators. Third, policy streams consist of a cornucopia of ideas for what should be done about problems that (may or may not) exist. Ideas tend to be generated by policy specialists and spread through policy communities based on technical or political feasibility (Herweg et al., 2018; Kingdon, 1995). A central tenet of MSF is that the streams have a life of their own; however, some critics have questioned this assumption (Herweg et al., 2018). For example, Robinson and Eller (2010) find evidence of stream unity in local policy adoption contexts.

Fourth, policy entrepreneurs are actors who attempt to manipulate policy streams in order to advocate for certain perspectives. Their goal is to couple streams together by framing and re-framing perceptions of problems, politics, and policies in order to satisfy policymakers’ problematic preferences. In other words, policy entrepreneurs want to convince decision makers that a certain choice is politically acceptable to solve a salient problem (Zahariadis, 2005). Finally, policy windows are those fleeting opportunities where there is a problem with sufficient attention from policymakers, a policy solution that is feasible (both technically and politically), and politics that are amenable to change. When policy entrepreneurs take advantage of these opportunities, new policies are adopted, but when they are missed, the status quo is maintained (Herweg et al., 2018; Kingdon, 1995). Consequently, there is a conditional nature to how politics, policy, and problem streams affect policy

adoption, which depends on an interaction among the three (Fowler, 2019; Travis & Zahariadis, 2002).

### *Adding in Implementation*

Despite widespread application of the canonical model, a key MSF criticism is the primary focus on agenda-setting and policy adoption, and relatively little consideration of what happens after policy is adopted (Fowler, 2019; Howlett, 2019). In response, recent scholars have begun applying MSF to policy implementation (e.g., Aberbach & Christensen, 2014; Boswell & Rodrigues, 2016; Fowler, 2019; Howlett, McConnell, & Perl, 2015; Sager & Thomann, 2017; Zahariadis & Exadaktylos, 2016). Although this body of scholarship is far less developed than scholarship focusing on policy adoption, there are several key concepts that are consistent. First, ambiguity still reigns, but decision making in policy implementation is different than in agenda-setting or policy adoption. While policymakers tend to be a discrete group, policy implementers include a swath of people with some capacity to impact policy outputs through public service delivery. Similar to policymakers, implementers have problematic preferences caused by uncertainty surrounding policy meanings and competing demands for their attention or resources (Fowler, 2019; Pandey & Wright, 2006). As such, policy entrepreneurs continue to employ strategies to manipulate how policy implementers interpret policies. Their interpretations, in turn, constitute the norms of policies in practice where ideas translate into action, and lead to changes in social conditions (Aberbach & Christensen, 2014; Boswell & Rodrigues, 2016; Fowler, 2019; Zahariadis & Exadaktylos, 2016).

Second, politics, problems, and policy streams manifest differently during policy implementation. Most importantly, policy streams here are not a collection of competing ideas for how to solve problems, but a collection of competing policy interpretations (Fowler, 2019). The inherent ambiguity that exists during policy adoption requires implementers to make sense out of policies when applying them to real-world conditions, which opens the door for administrative discretion in determining appropriate implementation behavior (Lipsky, 2010; Sowa & Selden, 2003). Notably, policymakers have different tools at their disposal to constrain discretion (e.g., incentives, institutional rules, resource allocations), so implementers behave in a way that is consistent with their preferred policy interpretation (Stazyk & Goerdel, 2011; Wood & Waterman, 1991).

In addition, problems streams in implementation are fed by problems identified during agenda-setting processes, as policymakers already sorted through a litany of issues and made choices about where to focus their attention (Fowler, 2019). Since administrators tend to focus on what is being monitored, they naturally prioritize problems that already have attention of policymakers. However, when policies do not outline specific performance indicators, implementers may make their own determinations of which measures are the most pertinent (Brehm & Gates, 1999; Chun & Rainey, 2005). Last, politics streams still consist of competing values and beliefs about how interests should be balanced (Fowler, 2019). Similar

to policymakers, implementers have to sustain political coalitions by being responsive to their environment in order to maintain legitimacy for their administrative decisions. Importantly, if implementers are not responsive to their political environment, they risk challenges to their uses of discretion and renewed interest from policymakers, who may then adjust policies to further constrain their behavior (Davis & Stazyk, 2015; Reed, 2014). Consequently, the politics streams in agenda-setting and program implementation are, to some extent, fused. Notably, this still largely assumes the stream independence, but does suggest a degree of conditionality to which streams affect implementer behavior. In other words, the politics stream in policy adoption and the politics stream in implementation are tied together, but the politics stream remains independent from the problems or policy streams.

Third, by adopting new policies, policymakers open policy windows that create opportunities to change the status quo in implementation behavior. In general, implementer behavior is driven by efforts to maintain the status quo in social conditions, so problems do not catch policymaker attention and force new policies on implementers (Boswell & Rodrigues, 2016; Fowler, 2019; Stazyk & Goerdel, 2011; Zahariadis & Exadaktylos, 2016). This assumes norms of practice should be consistent and stable over time, which should result in stable social conditions (i.e., policy adoption problems stream). Consequently, by maintaining the status quo and not altering policy interpretations, implementers are able to create stasis in policy subsystems and maintain control (Fowler, 2019; Zahariadis & Exadaktylos, 2016).

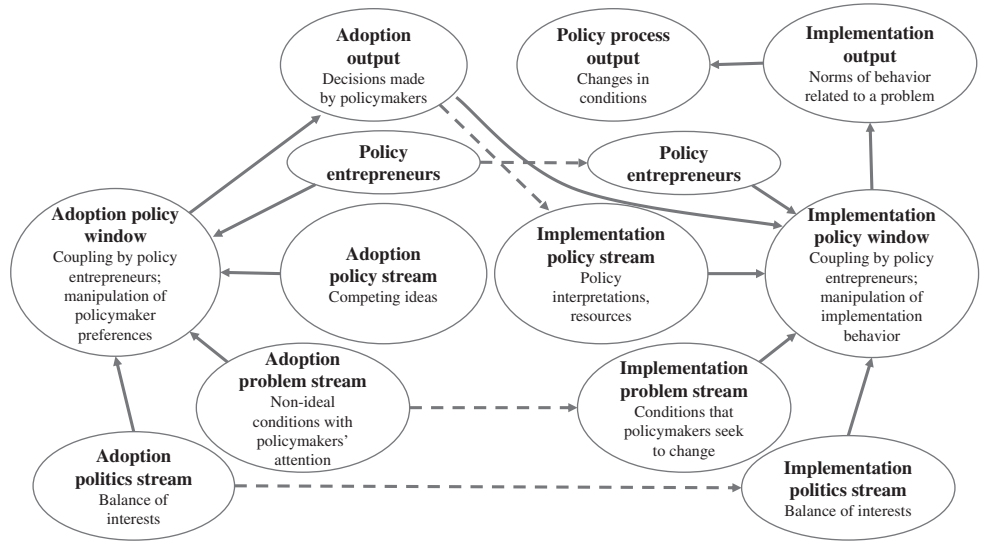
However, “[w]hile implementers may be able to withstand challenges to the status quo from any single stream (i.e., shift in public opinion, focusing events), when coupled, implementers have no choice but to respond. Otherwise, they risk a breakdown of political coalitions, challenges to their legitimacy, implementation failures, or issues returning to the policy agendas as policy entrepreneurs shift pressure back to policymakers” (Fowler, 2019, pp. 7–8). In essence, if policy implementers fail to respond, policy communities who supported adoption are likely to question the efficacy and/or legitimacy of implementers, placing them under intense scrutiny (Fowler, 2019; Reed, 2014). Moreover, without an open policy window, the spotlight is unlikely to be retained on implementation, causing focus to shift back to policy agendas and adoption, relieving any pressure that implementers face. As such, there is an inherently conditional nature to the politics, problems, and policy streams in affecting policy implementation, where effects of one stream depend largely on other streams.

### *Linking Policy Adoption and Implementation*

Although policy adoption and implementation make up two distinct components of policy processes, previous MSF scholarship largely focuses on one or the other, leaving few direct insights into how they may be linked. More recent scholarship on implementation from the MSF literature provides some of the most clearly developed theoretical connections between the two (Fowler, 2019; Howlett, et al., 2015; Zahariadis & Exadaktylos, 2016). This scholarship largely argues that “policy

systems and processes are nested, with policymaking and policy implementation existing in organized interdependent layers” (Fowler, 2019, p. 4). In other words, these two components are essentially subprocesses (i.e., collective choice versus collective action) of a larger process. While interrelated, there are separate outputs for policy adoption and implementation that are linked together and aggregate to policy process outputs. Figure 1 illustrates a MSF policy process model that includes both policy adoption and implementation subprocesses. First, policy adoption outputs are new policies that result from decisions made during policy adoption, which address nonideal social conditions. Second, once new policies are adopted, policy implementers respond. Individual-level behaviors, then, aggregate to the norms of policies in practice, which constitute implementation outputs. Third, implementation outputs lead to changes in behaviors related to social conditions that new policies aim to affect.

As such, we can isolate three different outputs within policy processes that likely result from policy windows: policies as choices (policy adoption outputs), policies as actions (policy implementation outputs), and policies as agents of social change (policy process outputs). Furthermore, there are also links between policy streams. As implied by the relationship between outputs, policy adoption outputs become policy streams during policy implementation. Moreover, politics and problems streams are largely assumed to exist in a very similar state in both policy adoption and implementation. However, other scholars argue that streams and/or coupling processes function differently in policy adoption compared to implementation (Fowler, 2019; Herweg et al., 2018). Importantly, policy process outputs feed into problem streams as both deal with nonideal social conditions. Consequently, policy, politics, and problem streams remain independent within each subprocess



**Figure 1.** MSF Theoretical Model for Policymaking and Policy Implementation Processes.  
*Note:* Solid lines represent a causal relationship among streams, policy windows, and outputs, while dashed lines represent the transition of streams from a policy-making orientation to an implementation orientation.



(i.e., adoption, implementation), but are linked together as focus shifts from one subprocess to the other. Although consistent with other research on links between policy adoption and implementation, there is little empirical evidence surrounding these assumptions.

### Modeling Policy Adoption and Implementation

Although well developed in many areas and widely applied around the world, several important shortcomings are noted by critics. Methodologically, a lack of testable hypotheses limits the sophistication of empirical evidence (Herweg et al., 2018). However, recent scholarship offers hypotheses related to specific parts of MSF, such as interactions between streams, which provide ample ground for empirical testing (Fowler, 2019; Travis & Zahariadis, 2002). Furthermore, much of this research relies on an implicit assumption that each policy process component can be studied in isolation, which does not account for how factors in policy adoption may influence the implementation processes or vice versa. In other words, it is difficult to understand how systems work without examining the system as a whole, which creates distinct limitations to insights that can be gleaned from extant MSF scholarship. Consequently, many scholars question MSF's utility in explaining policy processes and providing guidance on democratic governance that includes both policy decisions and execution (Herweg et al., 2018). A key step in overcoming these challenges is developing and testing hypotheses related to the three elements at hand: adoption, implementation, and links between adoption and implementation.

Specifically, we need hypotheses concerning: how policy choices are made, how policy choices are executed, and how policy choices and execution affect each other. To this end, previous scholarship offers two related hypotheses concerning the conditional nature of politics, policy, and problems streams in affecting outputs from policy adoption and policy implementation processes. As such, the crux of our hypotheses rests on a conditional nature to the effects of streams on policy outputs. Although focused on a limited part of MSF, the theoretical interaction between streams is a crucial part of this framework, so it makes for an ample place to begin developing a more sophisticated policy process model. Consequently, our hypotheses are based on two assumptions. First, it is not necessary to identify individual policy actors (i.e., policymakers, implementers) to investigate how streams impact policy processes (Fowler, 2019; Travis & Zahariadis, 2002). In most cases, it is impossible to identify the influence of individual actors within a chaotic and complex process, but we can assume that individual actions aggregate to process-level outputs. By extension, it is more practical to focus on observing changes in outputs, rather than individual-level changes in behavior (Fowler, 2019). Second, as policy processes do not happen in a vacuum, there is an element of inertia within every policy process, where choices concerning future policies are influenced by current circumstances (Fowler, 2019; Travis & Zahariadis, 2002).

We draw our policy adoption hypotheses from Travis and Zahariadis (2002), which finds that effects of politics and problems streams on future allocation of U.S. aid to foreign countries is conditional on the normal amount of aid received.

Specifically, they find that need is a significant concern to policymakers when allocating aid to poorer countries with less political importance in international relations but other factors become increasingly important as countries become wealthier and more politically relevant. In general terms, there is an interaction among politics, problems, and policies that affects choices concerning future policies made by policymakers, where deviations in the status quo of policies only occur during open windows when policymakers adjust their choices conditional to the coupling of streams. We should then expect to find that the influence of politics on policy adoption outputs is moderated by both current problems and existing policies, where different policy choices occur depending on how significant problems are and what policies are currently in place.

***Policy adoption hypothesis:** Effects of politics on policy adoption outputs are conditional on current problems and policies.*

We draw our policy implementation hypothesis from Fowler (2019). Extending the research design and hypothesis from Travis and Zahariadis (2002) to policy implementation, Fowler (2019) finds evidence of a similar conditional nature for the effects of policy, problems, and politics streams. Specifically, he finds that there are only marginal differences in policy outputs from federal environmental programs when public opinion on the environment, spending, and/or pollutant levels are moderate, but when those factors all reach extreme levels, there are substantive improvements in program effectiveness. In general, Fowler (2019) hypothesizes that “[p]olicy implementers continue the status quo in implementation behavior by not altering their administrative decisions, but during open windows, implementation behavior is adjusted conditional to coupling of problems, policy, and politics streams” (p. 8). We should then expect to find that the influence of politics on policy implementation outputs is moderated by both the current problems and existing policies, where different implementation behavior emerges depending on how significant problems are and what policies are in place.

***Policy Implementation hypothesis:** Effects of politics on policy implementation outputs are conditional on current problems and policies.*

Previous scholarship is limited when it comes to the final element here: linking policy adoption and implementation. However, there is enough on which to base a simple hypothesis. From the MSF perspective, policy adoption and implementation are connected by both policy entrepreneurs and the streams. For instance, policy adoption outputs (i.e., decisions made by policymakers) become policy streams during implementation, as those decisions are a key source of ideas for how implementers translate policies into actions; and, implementation outputs (i.e., norms of practice) feed into problem streams during policy adoption, as conditions policymakers are concerned about. As such, there is an implicit assumption in existing literature that these processes are not independent from each other and there is a degree of mutual influence, even though previous scholars have not explicitly tested



this (Fowler, 2019; Zahariadis & Exadaktylos, 2016). Consequently, we should then expect to find that effects of politics, policy, and problem streams on policy adoption and implementation processes are interdependent.

**Interdependent Processes hypothesis:** Effects of politics, policy, and problem streams on policy adoption outputs are not independent from their effects on policy implementation outputs.

## Methodology

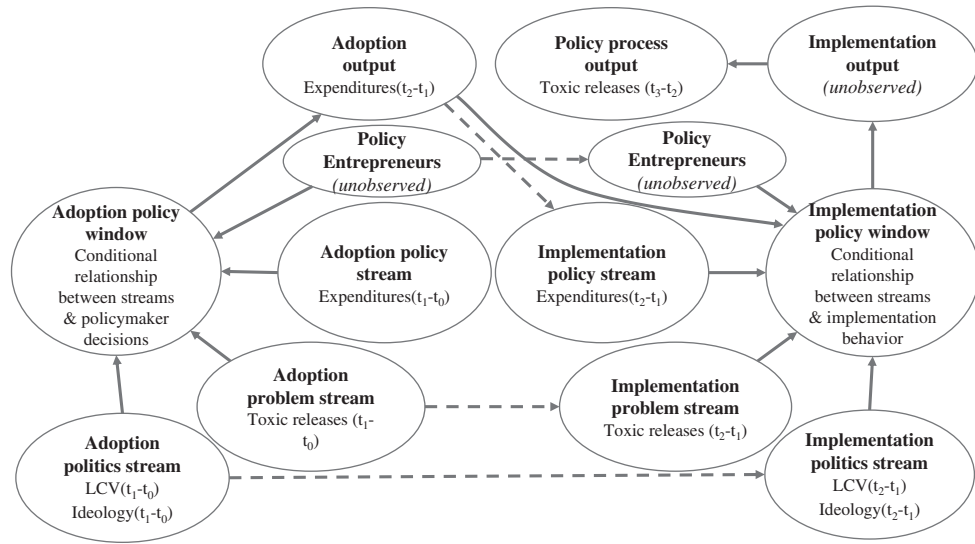
### *Data and Empirical Strategy*

Following Fowler's (2019) empirical strategy, we test our theoretical model by analyzing state implementation of U.S. federal environmental policy for two reasons. First, and most importantly, it is consistent with the most recent and developed empirical testing of MSF hypotheses. As such, this allows us to reduce some of the "white noise" in expanding theoretical concepts, where concerns of whether this is a "good" case for examination are assuaged. Second, the federal-state framework for managing the Clean Air Act (CAA), Clean Water Act (CWA), and Resource Conservation and Recovery Act (RCRA) allows for national and temporal consistency, while also creating variation in policy adoption and implementation at the state level. These three programs represent the backbone of U.S. environmental policy, with the Environmental Protection Agency (EPA) setting broad national standards and states adapting those standards to fit local needs. Previous scholarship argues this framework contributes to 50 different strategies for environmental policy and management, which, in turn, contribute to interstate differences in environmental policy outcomes (Konisky & Woods, 2012; Travis, Morris, & Morris, 2004; Woods, 2006). As such, it allows for a quasi-experiment, where core policy and administrative elements are consistent, but are matched to specific contexts through independent policy adoption and implementation processes.

We use a pooled cross-sectional dataset that includes 50 states over 20 years, creating 1,000 state-year observations. With lagged variables, observations encompass the time period from 1994 to 2016. We obtained data from publicly available databases managed by the EPA, U.S. Census Bureau, U.S. Bureau of Economic Analysis (BEA), League of Conservation Voters (LCV), as well as from previously published material as cited. Figure 2 illustrates our empirical model of MSF applied to U.S. environmental policy.

### *Temporal Dimensions*

As our core interest here concerns changes from the status quo, we rely on a difference-in-difference approach in order to account for how changes in predictor variables correspond to changes in dependent variables. As such, we model our variables as change over time to account for how departures from norms in politics,



**Figure 2.** MSF Empirical Model for Policymaking and Policy Implementation Processes.  
*Note:* Solid lines represent causal relationships among streams, policy windows, and outputs, while dashed lines represent the transition of streams from a policy-making orientation to an implementation orientation.

policies, or problems cause changes in policy adoption or implementation outputs. To this end, we define  $t_1$  as an initial time period in policy processes,  $t_2$  as a time period that directly follows  $t_1$ ,  $t_3$  as a time period that directly follows  $t_2$ , and  $t_0$  as a time period that directly precedes  $t_1$ . Importantly, this incorporates a temporal dimension with changes over time being a distinct point of interest as the status quo is reinforced or replaced. Given that temporal organization of public programs and data reporting tend to occur on a yearly basis, we organize our data so that time periods correspond to years (i.e.,  $t_1$  is the initial year of observation,  $t_2$  the following year, and so on), with our initial observation years as 1995 to 2014. Table 1 provides a description of time periods.

The temporal dimension here is an important theoretical and empirical issue as it relates to serial versus concurrent processes (Baumgartner, Jones, & Mortensen, 2018; Nakamura, 1987). The “textbook perspective” (i.e., stages heuristic) presents policy processes as: policymakers decide on a new policy; then, implementers go about putting it into practice (serial process; Nakamura, 1987; Smith & Larimer, 2009). Moreover, numerous scholars advocate for a more realistic perspective where few policies are completely new and implementers are constantly managing problems while policymakers develop and amend policies (concurrent process). From an empirical perspective, assuming a serial process allows for a “cleaner” approach to modeling a multistep process, and reduces potential autocorrelation issues. However, in doing so, we create theoretical limitations by not incorporating factors in policy adoption and implementation with mutual influence. After balancing competing interests, we elected to model this as a serial process by separating our variables into two stages. In the first stage (i.e., adoption), predictors are modeled as change in value from  $t_0$  to  $t_1$  and the dependent variable as change in value from

Table 1. Temporal Dimensions

Time period	Description
$t_0$	Value of variable preceding initial observation year
$t_1$	Value of variable during initial observation year
$t_2$	Value of variable following initial observation year
$t_3$	Value of variable following $t_2$ (two years after initial observation year)
$(t_1-t_0)$	Change in value from $t_0$ to $t_1$
$(t_2-t_1)$	Change in value from $t_1$ to $t_2$
$(t_3-t_2)$	Change in value from $t_2$ to $t_3$

$t_1$  to  $t_2$ . In the second stage (i.e., implementation), predictors are modeled as change in value from  $t_1$  to  $t_2$  and the dependent variable as change in value from  $t_2$  to  $t_3$ . Figure 2 illustrates our empirical model.

Streams

Although underlying theoretical concepts represented by policy, problem, and politics streams are complex and multi-faceted, we employ an empirical strategy that favors parsimony in order to reduce complexity in testing and interpreting variables with conditional relationships. First, to operationalize problems and policy streams, we again follow Fowler’s (2019) empirical strategy, and use toxic releases and state environmental expenditures, respectively. Toxic releases are a key indicator of “the conditions that policymakers and implementers seek to alter, with [policy adoption and implementation] efforts aimed to that end. As such, existing toxic releases captures the existing problems” with attention from both policymakers and implementers” (Fowler, 2019). Toxic releases are also a key indicator of toxins released into the environment that are correlated with other measures of environmental quality.

In addition, as Fowler (2019) argues, states retain control over program funding, which allows state policymakers to use budgeting to constrict or expand resources in order to affect policies in practice. While the CAA, CWA, and RCRA are federal programs, state legislatures serve as gatekeepers for funding allocations, and states must adopt implementation plans into state law; both of which provide specific opportunities for state policymakers to determine how programs are operationalized within their jurisdictions. Notably, federal funding only partially supports state efforts, and does not include the level of variability across states or over time necessary to identify any specific effects. Moreover, state funding is the primary mechanisms by which legislatures influence program implementation. Furthermore, previous research indicates there is a flypaper effect for state spending, where states spend more in response to EPA grants. Consequently, using state environmental expenditures also allows us to account for how federal grants may feed into state policy processes (Clark & Whitford, 2011). In other words, while the CAA, CWA, and RCRA are federal policies, state funding is part of an annual agenda-setting and policy adoption process which represents decisions concerning how policies should be curtailed to local contexts and the corresponding implementation of those

decisions, from which we can connect an output from agenda-setting and policy adoption processes produced at regular intervals to other factors. We measure both expenditures and toxic releases as 1 year change in per capita rate to make a better comparison between states, with data from the U.S. Census Bureau and EPA, respectively, and expenditures as real 2009 dollars in order to control for inflation.

Second, we operationalize the politics streams with two variables: citizen ideology, and League of Conservation Voters (LCV) National Environmental Scorecard. In both cases, variables are modeled as changes from 1 year to the next. We differ from Fowler (2019) in this regard as he relies on a measure of public opinion that is temporally limited to the 2000s and is time invariant. In addition, the politics stream is likely the most nebulous concept to empirically model here, with few measures that capture how interests may be balanced with enough specificity to apply to environmental policy. As such, we first look to a general indicator of interstate political differences: ideology. We use Berry, Fording, Ringquist, Hanson, and Klarner's (2010) measure of state government ideology that places states on a continuum from conservative to liberal based on interest group ratings of Congressional delegations. Berry et al. (2010, 2012) argue this measure can be used to infer ideological orientations of both state elected officials and electorates who elected them. Consequently, we assume liberal states will be more favorable to environmental interests in policy adoption processes and implementers will respond to ideological bents in constructing their policy interpretations. However, the generality of ideology may limit its applicability when examining a specific policy area and, in using it, we must assume a correlation between liberalism and environmentalism.

To provide a second perspective, we also incorporate LCV scorecards, which provides a more specific rating of how often Congressional delegations cast pro-environmental votes on key legislation. We measure this as the annual percentage of pro-environmental votes made by state delegations. Although this measure is not as sophisticated as Berry et al.'s (2010) measure of government ideology, it rests on the same logic in inferring state-level political ideologies based on behavior of Congressional delegations. This provides us with a specific indicator of how elected officials perceive competing interests in environmental policy choices. As such, we assume elected officials in states whose Congressional delegations are rated as more pro-environmental will share a favorable attitude toward environmental interests and implementers will respond accordingly when interpreting policy. Table 2 provides descriptions of variables used in the analysis.

Although this provides us with measures of the streams, it does not account for ambiguity. Unfortunately, ambiguity is nearly impossible to quantitatively model here, but we should note its role in shaping how policy actors identify problems, interpret policies, and decode politics. For instance, there is significant research on social construction of problem indicators and how they spawn value-laden metrics (Pierce et al., 2014; Schneider & Sidney, 2009; Yearley, 2002). While we look at toxic releases as a measure of pollution, it may not be equally weighted across all policy subsystems in the same way, and some policy entrepreneurs may choose to identify other problem indicators as more important (e.g., symbolic thresholds). As such, our measure of problems here does not assume toxic releases are an objective problem

Table 2. Variable Descriptive Statistics

Variable	Description	Time	Mean	Std. Dev.	Min	Max
LCV	One-year change in League of Conservation rating of Congressional Delegation (LCV, 2019)	$(t_1-t_0)$	0.001	0.122	-0.760	0.860
	One-year change in Berry, et al.'s (2012) measure of state government ideology	$(t_2-t_1)$	-0.003	0.117	-0.760	0.860
	One-year change in state spending on natural resources in thousands of (real 2009) dollars per person (U.S. Census Bureau, 2019)	$(t_1-t_0)$	-0.516	6.301	-39.822	28.219
	State spending on natural resources per capita in thousands of (real 2009) dollars per person during initial observation year (U.S. Census Bureau, 2019)	$(t_2-t_1)$	-0.333	6.184	-39.822	28.219
		$(t_1-t_0)$	0.000	0.017	-0.271	0.128
Toxic releases		$(t_2-t_1)$	0.000	0.015	-0.110	0.128
		$(t_1)$	0.091	0.083	0.014	0.624
	One-year change in total on-site toxic releases per capita (in pounds per person; U.S. EPA, 2019b)	$(t_1-t_0)$	1.644	68.027	-1067.149	1447.463
	Total on-site toxic releases per capita (in pounds per person) during initial observation year (U.S. EPA, 2019b)	$(t_2-t_1)$	0.083	72.164	-1067.149	1447.463
		$(t_3-t_2)$	1.180	72.793	-1067.149	1447.463
Program authority		$(t_1)$	36.00	129.399	0.000	1579.948
	Dummy variable comparing states with authority for CAA, CWA, and RCRA to states without authority (base category; U.S. EPA, 2019a)	$(t_1-t_0)$	0.858	0.349	0	1
		$(t_2-t_1)$	0.863	0.344	0	1

that is equally interpreted across all states, but rather, it assumes that policy actors rely on toxic releases as an indicator when interpreting environmental problems. Moreover, if policy actors are unconcerned with toxic releases, then there is unlikely to be any relationship with policy adoption choices or implementation behaviors. Similarly, we must also assume that state-level expenditures, citizen ideology, and environmental voting records are measures that reflect ambiguous contexts in which policy processes play out.

### *Policy Outputs*

Our theoretical model identifies three separate outputs: policy adoption outputs, implementation outputs, and policy process outputs. However, the rather complex and abstract nature of implementation outputs (i.e., norms of implementation behaviors) creates a significant methodological challenge in searching for measurement equivalence between different choice opportunities and uses of discretion (Jilke, Meuleman, & Van de Walle, 2015; Tummers & Bekkers, 2014). In order to address this challenge, we continue to follow Fowler's (2019) empirical strategy and assume that policy process outputs are a result of implementation outputs, but leave implementation outputs unobserved in the model. As such, we infer a causal link between the unobserved variable (i.e., implementation outputs) and the next theoretical step in the process (i.e., policy process outputs). We, therefore, assume that policy process outputs should be a direct result of implementation behaviors. This, of course, presents pros and cons. On the one hand, it allows us to build on Fowler (2019) both theoretically and empirically, and eliminates any "white noise" created by ineffective measures of implementation outputs. On the other hand, it skips over an important theoretical step in the policy process model (Howlett, 2011), and assumes a causal link. Given competing demands, we determined that the probative value of building on Fowler (2019) outweighs limitations of leaving implementation outputs as an unobserved variable; however, we should be circumspect in thinking about findings in light of the limitations that this creates.

Consequently, we focus on policy adoption outputs and policy process outputs as dependent variables, which we measure as state environmental expenditures and toxic releases, respectively. In both cases, our dependent variables are in keeping with Travis and Zahariadis (2002) and Fowler (2019), which are two key empirical analyses of policy adoption and implementation using MSF, respectively. As argued above, control over spending is a key policy tool wielded by state policymakers to influence implementation of federal environmental programs, and toxic releases is an indicator of problems that policymakers and implementers want to affect through policy processes. As such, these largely capture two important dimensions of policy processes in terms of what policy choices are made and how those choices are implemented. We use lagged variables and measure change over time (see Table 1), so dependent variables capture a corresponding change in policy outputs that results from changes in streams. In addition, we measure both expenditures and toxic releases as per capita rates to make a better comparison between states, and expenditures as real 2009 dollars in order to control for inflation.



As we measure policy and problems streams with the same variables (at different time intervals), our assumptions about causal pathways are important here. First, we assume initial spending (politics stream) influences spending the following year (policy adoption outputs), which in turn influences implementer behavior (implementation outputs). Second, we assume toxic releases (problems stream) influence both policymaker choices concerning spending (policy adoption outputs) and implementation behavior (implementation outputs), which affects future toxic releases (policy process outputs). As such, our policy adoption hypothesis is: effects of LCV scores and citizen ideology (politics) on next year's environmental expenditures (policy adoption outputs) are conditional on current expenditure levels (policies) and toxic releases (problems), where different relationships emerge depending on whether current expenditures and toxic releases are moderate (i.e., at the mean), or extremely high (i.e., three standard deviations above the mean or the 99.7th percentile). In addition, our implementation hypothesis is: effects of LCV scores and citizen ideology (politics) on next year's toxic releases (policy process outputs) are conditional on current expenditure levels (policies) and toxic releases (problems), where different relationships emerge depending on whether current expenditures and toxic releases are moderate (i.e., at the mean), or extremely high (i.e., three standard deviations above the mean). Finally, our interdependent process hypothesis is: effects of LCV scores and citizen ideology, expenditures, and toxic releases on future environmental expenditures are not independent from their effects on future toxic releases.

### *Other Co-variants*

To control for an initial baseline of policy adoption and policy process outputs, we include control variables for expenditures and toxic releases during the initial observation year (i.e.,  $t_1$ ), respectively. We measure expenditures as per capita spending in real 2009 dollars, and toxic releases as thousands of pounds per person. In addition, while EPA delegates authority over the CAA, CWA, and RCRA to most states, it retains authority in a few. As such, we control for program authority using a dummy variable comparing states with authority over CAA, CWA, and RCRA programs to those without authority (U.S. EPA, 2019a). Note that unlike other variables, co-variants are not measured as change over time, but are time variant.

### *Analysis*

Given that we are interested in examining two processes that are separate but not independent, we test our data using SUR. SUR builds on ordinary least squares (OLS) regression by creating a joint estimate of two or more equations through a single iterative procedure. Previous scholarship argues that SUR is more efficient than single equation estimators by utilizing relevant information from cross-regression correlations when determining parameters for each equation (Andrews & Van de Walle, 2013; Jackson, 2002; Martin & Smith, 2005; Mintz & Huang, 1990;

Zellner, 1962). Specifically, “SUR estimation transforms the errors so that they all have the same variance and are uncorrelated. This transformation is then applied to the other variables in each equation, and OLS is applied to these transformed variables. This procedure ... offers more precise parameter estimates than single equation least squares because it incorporates the additional information provided by the correlation between the individual equation errors” (Martin & Smith, 2005, p. 604). However, SUR estimations are only more efficient than OLS when correlations are significantly different from zero, and most software packages (e.g., Stata) will default to OLS if predictor variables are identical across equations (Mintz & Huang, 1990; StataCorp, 2017).

Since our hypotheses focus on a conditional nature of stream effects on policy outputs, we use interaction terms to test relationships between our predictors and dependent variables. As interaction terms can cause inflated standard errors, we cluster standard errors at the state level, which assumes standard errors are correlated within states over time but not across states. In addition, although SUR provides some correction for potential serial correlation issues that may exist in pooled cross-sectional datasets, this provides an extra safeguard against biased estimation of standard errors (Beck & Katz, 1995, 1996; Fowler, 2019; Primo, Jacobsmeier, & Milyo, 2007; Travis & Zahariadis, 2002). Finally, coefficients for interaction terms can be difficult to interpret, so we use standardized coefficients and graph interaction effects (Fowler, 2019; Travis & Zahariadis, 2002). As we use two dependent variables, standardized coefficients allow for some comparison of effects within and between models, which would otherwise be inappropriate (King, 1986). Graphs compare effects when predictor variables are at mean values to values three standard deviations above the mean in order to illustrate differences that emerge between moderate and extreme values. We present two models: model 1 uses LCV scores to measure the politics stream, and model 2, citizen ideology.

## Results

Table 3 displays results for both models, while Figure 3 graphs results for both dependent variables in model 1 and Figure 4, results for model 2. First, findings in both models indicate effects of politics on policy adoption outputs are conditional on existing policies and problems, which supports our policy adoption hypothesis. Statistically significant interactions between stream variables denote effects of both LCV scores and citizen ideology on future environmental expenditures are conditional on current expenditures and toxic releases. As coefficients for interaction terms are difficult to interpret directly, Figures 3 and 4 illustrate these relationships. Consistently in both figures, we see only marginal differences emerge in the relationship between both politics stream variables and future expenditures when either current expenditures or toxic releases are at the mean. However, when both current expenditures and toxic releases values are at three standard deviations above the mean, a definite positive relationship between both politics variables and future expenditures becomes evident, where increased political value on environmentalism is correlated with increased spending. In addition, there also appears to be little

Table 3. SUREG Model Results (Serial)

	Model 1	Model 2
Dependent variable: Expenditures ( $t_2-t_1$ )		
LCV ( $t_1-t_0$ )	-0.023 (0.030)	
Ideology ( $t_1-t_0$ )		0.067 (0.030)*
Expenditures ( $t_1-t_0$ )	-0.173 (0.030)***	-0.180 (0.031)***
Toxic releases ( $t_1-t_0$ )	-0.197 (0.034)***	0.096 (0.059)
Expenditures ( $t_1-t_0$ ) × Toxic releases ( $t_1-t_0$ )	0.157 (0.019)***	0.189 (0.034)***
LCV ( $t_1-t_0$ ) × Expenditures ( $t_1-t_0$ )	0.151 (0.031)**	
LCV ( $t_1-t_0$ ) × Toxic releases ( $t_1-t_0$ )	-0.087 (0.044)*	
LCV ( $t_1-t_0$ ) × Expenditures ( $t_1-t_0$ ) × Toxic releases ( $t_1-t_0$ )	0.131 (0.024)***	
Ideology ( $t_1-t_0$ ) × Expenditures ( $t_1-t_0$ )		0.088 (0.027)***
Ideology ( $t_1-t_0$ ) × Toxic releases ( $t_1-t_0$ )		0.197 (0.047)***
Ideology ( $t_1-t_0$ ) × Expenditures ( $t_1-t_0$ ) × Toxic releases ( $t_1-t_0$ )		0.053 (0.019)**
Expenditures ( $t_1$ )	0.059 (0.031)	0.023 (0.032)
Program authority ( $t_1$ )	0.039 (0.088)	0.063 (0.089)
Constant	-0.031 (0.081)	-0.044 (0.082)
R <sup>2</sup>	0.116	0.088
Chi-squared	170.33***	112.78***
Dependent variable: Toxic releases ( $t_3-t_2$ )		
LCV ( $t_2-t_1$ )	-0.011 (0.024)	
Ideology ( $t_2-t_1$ )		0.0004 (0.026)
Expenditures ( $t_2-t_1$ )	0.294 (0.025)***	0.295 (0.025)***
Toxic releases ( $t_2-t_1$ )	-0.403 (0.028)***	-0.383 (0.027)***
Expenditures ( $t_2-t_1$ ) × Toxic releases ( $t_2-t_1$ )	-0.195 (0.014)***	-0.185 (0.013)***
LCV ( $t_2-t_1$ ) × Expenditures ( $t_2-t_1$ )	-0.106 (0.023)***	
LCV ( $t_2-t_1$ ) × Toxic releases ( $t_2-t_1$ )	-0.093 (0.036)**	
LCV ( $t_2-t_1$ ) × Expenditures ( $t_2-t_1$ ) × Toxic releases ( $t_2-t_1$ )	-0.017 (0.018)	
Ideology ( $t_2-t_1$ ) × Expenditures ( $t_2-t_1$ )		-0.009 (0.034)***
Ideology ( $t_2-t_2$ ) × Toxic releases ( $t_2-t_1$ )		0.500 (0.192)**
Ideology ( $t_2-t_1$ ) × Expenditures ( $t_2-t_1$ ) × Toxic releases ( $t_2-t_1$ )		-0.457 (0.185)*
Toxic releases ( $t_1$ )	-0.073 (0.028)**	-0.027 (0.029)
Program authority ( $t_2$ )	-0.197 (0.073)**	-0.191 (0.075)*
Constant	0.162 (0.068)	0.165 (0.069)*
R <sup>2</sup>	0.419	0.404
Chi-squared	809.18***	728.21***
N	1000	1000
BIC	5071.981	5170.738
Correlation of residuals	-0.127	-0.079
Breusch-Pagan test of independence	16.015***	6.237*

Note: Standard errors in parentheses.

\* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

difference in future expenditures when both expenditures and toxic releases are at three standard deviations above the mean if either LCV scores or citizen ideology are at the mean.

Second, findings for both models suggest effects of politics on policy process outputs are conditional on existing policies and problems, which supports our implementation hypothesis. Statistically significant interactions between stream variables indicate effects of both LCV scores and citizen ideology on future toxic releases are conditional on current expenditures and toxic releases. Although interactions between LCV scores and other stream variables individually are statistically significant, the interaction between all three stream variables is not statistically significant

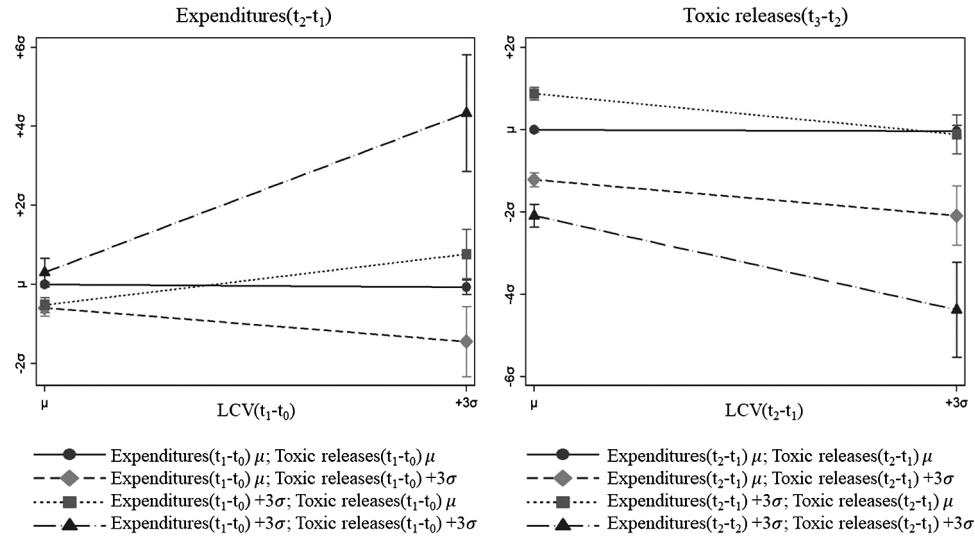


Figure 3. Graph of Interaction Effects from Model 1.

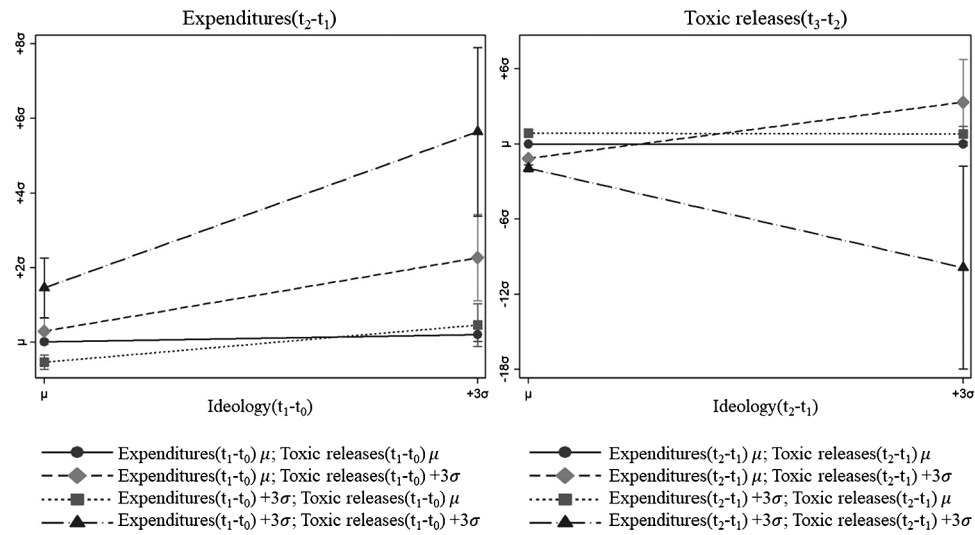


Figure 4. Graph of Interaction Effects from Model 2.

in model 1. Moreover, all interaction terms are statistically significant when ideology is used to measure politics in model 2. Similar to findings for policy adoption outputs in Figures 3 and 4, we see only marginal differences emerge in the relationship between both politics stream variables and future toxic releases when either current expenditures or toxic releases are at the mean. However, when both current expenditures and toxic releases are at three standard deviations above the mean, negative relationships between politics variables and future expenditures begin to emerge, where increased political value on environmentalism is correlated with decreased toxic releases. In addition, there appears to be little difference in future toxic releases

when both expenditures and toxic releases are at three standard deviations above the mean if either LCV scores or citizen ideology are at the mean.

Third, results of the Breusch–Pagan test are statistically significant for both models, which supports our interdependent process hypothesis. Findings indicate that residuals from both equations are correlated, which suggests that although separate, policy adoption and implementation processes are not independent from each other. Unfortunately, this test is limited in offering additional inferences about the relationship between policy adoption and implementation, but other model statistics offer further insights. Notably, there is a negative correlation of residuals in both models, which suggests that as one equation becomes more efficient, the other becomes less efficient. In addition,  $R^2$  statistics indicate that our models are much better predictors of policy process outputs than policy adoption outputs. Taken together, this suggests that while there is overlap in how predictors affect both policy adoption and policy process outputs, there is also a significant degree of divergence. In other words, even though the same factors likely exist in both, policy adoption streams likely materialize differently than implementation streams. Despite this, it appears that interactions between expenditures and toxic releases are particularly important indicators of both policy adoption and policy process outputs across the board. Finally, findings for program authorities indicate that increased state responsibility for federal environmental programs leads to both more expenditures and lower toxic releases; however, effects are not statistically significant for policy adoption, so they should be interpreted with caution.

## Discussion

Findings provide support for our policy adoption, implementation, and interdependent process hypotheses. In sum, effects of politics on policy adoption outputs and policy implementation are conditional on current policies and problems, and those effects are correlated with each other. Specifically, when environmental expenditures (policies), toxic releases (problems), or LCV scores or citizen ideology (politics) are at moderate levels, there are only marginal differences across policy outputs. Moreover, when all three increase to extreme levels, significant departures from status quo emerge. While our empirical models provide statistical evidence, it is also important to think about how this process plays out in practical terms. To start, if political winds shift in a pro-environment direction, legislatures may not respond by increasing spending, particularly if indicators do not suggest an environmental problem or they operate in an atmosphere of budget austerity. However, if this is coupled with both increasing pollution and nonincremental spending increases from previous years, then state legislatures are faced with a unique situation: there is a problem that is getting worse that has political support and that legislatures have already invested substantial resources into. Consequently, legislatures are likely to respond by further investing resources in hopes that it spurs improvements in the problem, or at least, dissipates political interest.

By extension, without new resources flooding into environmental agencies, implementers are unlikely to shift their behavior or norms of practice, so the status quo

remains intact. Moreover, when new resources are coupled with a growing political interest in environmental quality and rising pollution levels, implementers are forced to respond by changing the norms of how they monitor and enforce environmental regulations in order to improve environmental conditions. Nevertheless, if pollution levels off, then implementers will likely maintain their current approach by rationalizing that changing may aggravate the problem or that what they are doing works. In addition, if new resources from policymakers dissipate political interest in the environment, then there is no pressure on implementers to change in the first place. In sum, it takes a unique set of conditions to trigger both collective choices to change social conditions and the necessary collective actions to put those choices into practice.

Of course, ambiguity plays a key role here, and we assume that our measures reflect facets of policy adoption and implementation contexts that create an objective comparison across states. Ambiguity is difficult to account for though, and mechanisms by which policy actors interpret their surroundings is unclear. For instance, toxic releases may not be an important problem indicator for some. While our analysis provides empirical evidence to support our narrative above, it only begins to unravel the black box of policy processes that includes both decision making and execution. For instance, this does not directly account for the often changing and sometimes contradictory regulatory demands placed on state agencies by federal agencies. Our dataset covers three presidencies with different approaches to environmental policy, which reverberated through the federal system (e.g., Konisky & Woods, 2016; Rabe, 2007). As we examine program management in a federal system, it behooves us not to overlook intergovernmental dimensions that play an important role in shaping policy outcomes when attempting to build theory around policy implementation.

Most importantly, while our findings do provide evidence that policy adoption and implementation are correlated with each other, our observations do not provide much depth to the exact nature of that relationship. Our research design is based on a two-stage process with implementation following policy adoption, where we assume “a spending bill passed today is driven by environmental conditions of yesterday, but affects implementation and policy outputs of tomorrow” (Fowler, 2019, p. 15). However, some scholars argue that in reality implementation tends to coincide with policy adoption, where administrators work to manage problems at the same time policymakers are deciding what to do. We also assume that politics and problem streams exist in much the same way for policy adoption and implementation. However, some scholars argue that politics and problem streams are constructed differently during implementation than during policy adoption, particularly as policymakers have different relationships with citizens than policy implementers (e.g., Boswell & Rodrigues, 2016).

In addition, we leave policy implementation outputs (i.e., norms of policies in practice) unobserved in the model, and assume a direct correlation with policy process outputs (i.e., toxic releases). While our assumptions create a parsimonious research design to test our hypotheses and can be justified, they also create important limitations for our findings and the inferences that can be made about policy processes. Unfortunately, this is a common shortcoming, and “management and managerial behaviors are crucial but underexplored and underexamined variables in the policy literature” (Howlett & Walker, 2012, p. 212). While some scholars offer



suggestions for resolving the problem with the “missing” public manager variable, there are few good methodological solutions to empirically modeling how policy implementers apply administrative discretion in an ambiguous and chaotic environment (Howlett, 2011; Meier, 2009; Robichau & Lynn, 2009). Certainly, this element requires extensive additional research, but its absence from our empirical models does not negate the novelty of our findings. At minimum, using SUR here allows us to show that the conditional relationship among policy, politics, and problems is not isolated to policy adoption and has an interdependent relationship with policy process outputs. Even if we disregard the implementation element (which we caution readers not to), this finding alone is noteworthy, as MSF scholars work to develop and quantitatively test hypotheses and to expand MSF beyond policy adoption so that it becomes a more robust theory.

Finally, if we assume that ambiguity shapes interpretations of environmental conditions, then we also have to question whether using a measure such as change in toxic releases is an effective problem indicator. For instance, limiting climate change to two degrees Celsius has long been a climate policy goal, but in many ways, this is a symbolic threshold as there is a significant degree of uncertainty surrounding actual climate thresholds (Keller, Bolker, & Bradford, 2004). Toxic releases are not framed by the same type of symbolic thresholds, so we are unable to examine it here; other more specific forms of pollution are though. For example, air quality is measured by pollutant concentrations, but some scholars argue that managers are focused on how often pollutant concentrations exceed recommended levels, rather than marginal changes in pollutant concentration (Fowler, 2016). Given this, additional research is necessary to examine whether conditional relationships between streams and the interdependence of policy processes is altered by symbolic thresholds.

## Conclusions

A key contribution of this research is providing empirical evidence that supports a MSF theoretical model of a whole policy process, which is an important expansion that addresses key criticisms of existing scholarship. Most importantly, this builds a deeper understanding of how collective choices lead to collective actions, and in turn, solve social problems. Although previous scholarship is well developed in many areas related to this, there are few examples of models that incorporate both policy choices and execution. As such, our examination provides new insights into how ambiguous circumstances surrounding two distinct subprocesses can be integrated in order to understand a single complex process. As governance schemes evolve and become reliant on nongovernmental organizations and networks, understanding how to connect choices and actions will become an important determinant of success. Although our analysis focuses on state governments here, MSF is not exclusive to public agencies or traditional policy-making bodies, which allows it to incorporate institutional arrangements that include myriad organizations working to manage public problems. This will likely be important as we further consider how political power is used to manipulate policy choices and public service delivery in nonhierarchical arrangements with pluralistic interests.

This examination begins to build a bridge between MSF scholarship and democratic governance from which advice and guidance can be derived. From our findings, we can glean that windows open for both policy choices and execution when politics, problems, and policies come together, and this is a key step in successful policy governance. We can also infer that while policy windows can be utilized to increase program resources, those new resources may not affect policy outputs unless the right political and problem circumstances exist. As such, policy entrepreneurs should follow policy ideas through adoption and into implementation in order to ensure social problems actually improve. Otherwise, they may be successful in having policies adopted only to see those policies fail in practice. While our findings are limited in providing specific guidance beyond this point, scholars have vigorously explored other MSF concepts (Herweg et al., 2018). As such, our theoretical model also makes it possible to integrate bodies of literature to create a cohesive explanation of policy processes.

Nevertheless, additional research is necessary. First, core MSF concepts that have previously been seen as self-contained within agenda-setting and policy adoption or policy implementation need to be considered within a broader policy process. For example, existing research considers how policy entrepreneurs operate during both policy process stages, but those concepts can be more effectively integrated. While scholarship is well developed in terms of policy entrepreneurship during agenda-setting or policy adoption, there is far less insight into how transitions between two interdependent processes impact strategies or tactics (Frisch-Aviram, Beerli, & Cohen, 2019; Roberts & King, 1991; Zahariadis, 2005; Zahariadis & Exadaktylos, 2016). As such, scholars should examine how policy entrepreneurs switch focus from policymakers to policy implementers, and whether some policy entrepreneurs limit themselves to one part of the process or another.

Second, scholarship should integrate findings and synthesize advice and guidance for democratic governance, so practitioners can more effectively navigate ambiguous policy environments. Ideally, by better understanding how the whole policy process comes together, policy actors can have a more acute understanding of their role in it and how to achieve outcomes that improve our society. To do so requires scholars to bridge the gap between theory and practice, so scholarship is palatable for non-academics. Finally, as this is only an initial examination of this model, further research is necessary to experiment with alternative research designs (e.g., conditional path analysis or conditional mixed-process modeling) that provide for more sophisticated modeling of relationships, such as concurrent processes. Although MSF is already widely used by students of public policy, expanding theoretical models to incorporate both policy adoption and implementation addresses key criticisms and increases its utility in understanding policy processes.

**Luke Fowler** is associate professor in public policy and administration and director of the MPA program at Boise State University. His research interests include policy implementation, collaboration and collective action, energy and environmental policy, and state and local government. His work has appeared in the *American Review of Public Administration*, *Environmental Politics*, *Governance*, *State & Local Government*

*Review, Publius, Public Works Management & Policy, Public Performance & Management Review, Review of Policy Research, and Review of Public Personnel Administration.*

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