

Pro

Assignment - 4Problem - 1 Architectural Approaches

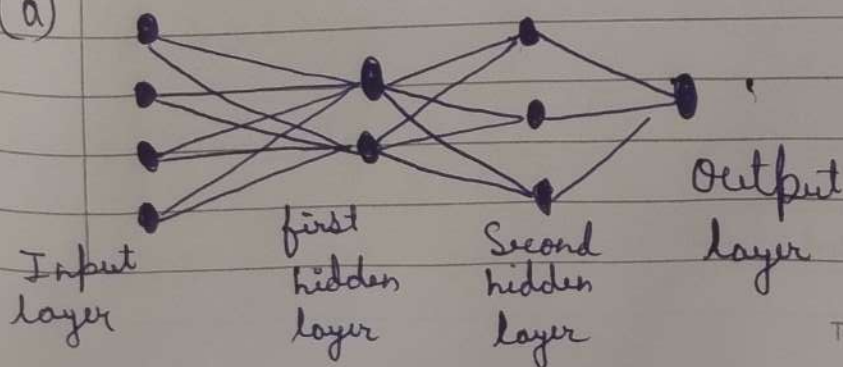
Approach A treat it as a regression task ~~one~~ whereas approach B treat it as a classification task

- Implied Ordinality :- This approach assumes there's an ordinal relational b/w digits which is meaning less in digit recognition
- Loss function behaviour :- if the network sees an image of a '9' but predicts '1', the error is massive if compared to predicting '8'. However misclassifying '9' as '1' is as wrong as misclassifying it to be '8'.
- Approach B is superior :- Classification using one hot encoding and softmax treats each digit as a distinct, independent class, which correctly aligns with the nature of the problem.

~~Problem 1~~Problem 2

Neural Network

(a)



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(b) let  $u \in \mathbb{R}^4$  be the input vector  $u = (u_1, u_2, u_3, u_4)^T$

First layer hidden layer (2 units)

$$W^{(1)} \in \mathbb{R}^{2 \times 4} \quad b^{(1)} \in \mathbb{R}^2$$

Pre-activation

$$z_1^{(1)} = \sum_{j=1}^4 w_{1j}^{(1)} u_j + b_1^{(1)} \quad z_2^{(1)} = \sum_{j=1}^4 w_{2j}^{(1)} u_j + b_2^{(1)}$$

Activation  $h_1^{(1)} = \sigma(z_1^{(1)}) \quad h_2^{(1)} = \sigma(z_2^{(1)})$

Second hidden layer (3 units)

Pre-activations

$$z_1^{(2)} = w_{11}^{(2)} h_1^{(1)} + w_{12}^{(2)} h_2^{(1)} + b_1^{(2)}$$

$$z_2^{(2)} = w_{21}^{(2)} h_1^{(1)} + w_{22}^{(2)} h_2^{(1)} + b_2^{(2)}$$

$$z_3^{(2)} = w_{31}^{(2)} h_1^{(1)} + w_{32}^{(2)} h_2^{(1)} + b_3^{(2)}$$

Activation  $h_k^{(2)} = \sigma(z_k^{(2)}), \quad k = 1, 2, 3$

Output layer  $f(u) = w_1^{(3)} h_1^{(2)} + w_2^{(3)} h_2^{(2)} + w_3^{(3)} h_3^{(2)} + b^{(3)}$

Fully explicitly

$$f(u) = \sum_{k=1}^3 w_k^{(3)} \max\left(0, \sum_{i=1}^2 w_{ki}^{(2)} \max\left(0, \sum_{j=1}^4 w_{ij}^{(1)} u_j + b_i^{(1)}\right) + b_k^{(2)}\right) + b^{(3)}$$

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(c) Calculated value of  $f(x)$

$$\text{input: } x = [1, 1, 1, 1]^T$$

All weights = 1

All biases:  $b = 0$

1. Hidden layer 1:

$$z_1 = \sum u_i = 1 + 1 + 1 + 1 = 4$$

Activation  $\sigma(4) = 4$  Since there are 2 units,  
output vector  $h^{(1)} = [4, 4]^T$

2. Hidden layer 2

$$z_2 = (1 \cdot 4) + (1 \cdot 4) = 8$$

Activation:  $\sigma(8) = 8$  Since there are 3 units  
 $h^{(2)} = [8, 8, 8]^T$

3. Output layer Input is  $[8, 8, 8]^T$

$$y = (1 \cdot 8) + (1 \cdot 8) + (1 \cdot 8) = 24$$

(d) Total parameter

Input  $\rightarrow$  Hidden 1:  $(4 \text{ inputs} \times 2 \text{ neurons}) + 2 \text{ biases} = 10$

Hidden 1  $\rightarrow$  Hidden 2:  $(2 \text{ inputs} \times 3 \text{ neurons}) + 3 \text{ biases} = 9$

Hidden 2  $\rightarrow$  Output:  $(3 \text{ inputs} \times 1 \text{ neuron}) + 1 \text{ bias} = 4$

Total:  $10 + 9 + 4 = 23$  parameters

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## The 'Dead ReLU' Problem

$$f(z) = \max(0, z)$$

(a) 
$$f'(z) = \begin{cases} 1 & \text{if } z > 0 \\ 0 & \text{if } z < 0 \\ \text{undefined (or 0 by convention)} & \text{if } z = 0 \end{cases}$$

(b) Value of the gradient if  $z < 0$  if the pre-activation sum  $z$  is negative for every example, then  $f'(z) = 0$  always. By the chain rule, the gradient of the loss  $L$  w.r.t weight is:

$$\frac{\partial L}{\partial w_i} = \frac{\partial L}{\partial f} \cdot f'(z) \cdot x_i \quad f'(z) = 0$$

(c) Will the weights change? No. Since gradient is zero the gradient Descent update term will be zero. The weight remains unchanged. This neuron is dead. It will never activate and never learn.

## Problem 4: L2 Regularization and Weight Decay

$$\text{Total } J_{\text{total}}(w) = J_{\text{data}}(w) + \frac{\lambda}{2} w^2$$

(a) Gradient Descent update Rule first we find the gradient of the total loss with respect to  $w$ :

$$\frac{\partial J_{\text{total}}}{\partial w} = \frac{\partial J_{\text{data}}}{\partial w} + \frac{\partial}{\partial w} \left( \frac{\lambda w^2}{2} \right)$$

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The gradient descent update rule is  $w_{\text{new}} = w$   
 $w_{\text{new}} = w_{\text{old}} - \eta \cdot \nabla J$ . Substituting our gradient:

$$w_{\text{new}} = w_{\text{old}} - \eta \left( \frac{dJ_{\text{data}}}{dw} + \lambda w_{\text{old}} \right)$$

- (b) Rearranged Eq<sup>n</sup> all distribute the learning rate  $\eta$  and group the  $w_{\text{old}}$  terms:

$$w_{\text{new}} = w_{\text{old}} - \eta \frac{dJ_{\text{data}}}{dw}$$

$$w_{\text{new}} = w_{\text{old}} (1 - \eta \lambda) - \eta \frac{dJ_{\text{data}}}{dw}$$

- (c) ~~It is~~ <sup>is</sup> called Weight Decay because before adding the data gradient, the current weight  $w_{\text{old}}$  is multiplied by a factor of  $(1 - \eta \lambda)$  which is less than 1 causing the weight to 'decay' or shrink towards zero at every step.