
Multipath TCP – documentation

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Multipath TCP is a major extension of the TCP protocol which is used by the majority of Internet applications. Despite the benefits of Multipath TCP, this protocol is not widely deployed. This documentation first presents the the main use cases for Multipath TCP. Then, it describes how Multipath TCP can be used on Linux and Apple devices. Finally, it provides a detailed description of the Multipath TCP protocol and its main design choices. Contributions and comments are more than welcome via <https://github.com/mptcp-apps/mptcp-doc>. A pdf version of this document is always available from `docs/latex/MPTCP-doc.pdf`

USE CASES

The transport layer is an important layer in the TCP/IP protocol stack since this is the first layer that controls the exchange of information between the communicating hosts. It enhances the service provided by the network layer in several ways. First, the transport layer allows to multiplex data exchanged by different applications running on a given pair of communicating hosts. Second, the transport layer improves the reliability of the network layer. A wide range of reliability mechanisms have been proposed and implemented in transport protocols. These include, e.g. the utilization of checksums or CRCs to detect transmission errors, various techniques to recover from packet losses or congestion control mechanism that enable the transport protocol to detect the rate at which a flow can be transmitted without causing congestion. Transport protocols provide different types of services to the applications. The simplest

Application Layer
Transport Layer
Network Layer
Data Link Layer
Physical Layer

Fig. 1.1: The TCP/IP protocol stack

one is the datagram service provided by UDP [1] that uses source and destination ports to provide multiplexing and uses a checksum to detect transmission errors. The real-time transport protocol (RTP) [2] provides services intended for real-time applications such as interactive audio and video. These services include type identification, sequence numbering, timestamping and monitoring using the companion RTCP protocol. The Datagram Congestion Control Protocol (DCCP) [3] mainly adds congestion control capabilities for UDP or RTP sessions. The Transmission Control Protocol (TCP) [4] enables the applications to create connections that support a bidirectional and reliable bytestream. TCP also includes flow-control and congestion control to adapt the transmission rate to current capabilities of the network and the communicating hosts. Nowadays, applications often use Transport Layer Security (TLS) [5] above TCP to secure the exchange of data. The Stream Control Transmission Protocol (SCTP) [6] brought the support for multiple streams and failover capabilities. QUIC [7] the most recent transport protocol standardized within the IETF provides reliably delivery, includes TLS, supports multiple streams and connection migration.

Before looking at how Multipath TCP [8] works in details, it is interesting to first analyze the different use cases where Multipath TCP is used. Some of these use cases have motivated the design of Multipath TCP. Others appeared after the design was complete. Other uses cases will likely appear in the coming years.

As explained in the previous section, with Multipath TCP, hosts can exchanged data over different paths. At a high level, a Multipath TCP implementation lies between an application that uses the socket layer to exchange data over a connection. With TCP, a client establishes a connection with a server. It then uses it to send and receive data reliably thanks to the retransmission, flow and congestion control mechanisms that are included inside TCP. This TCP connection is identified by using four fields that are present in each packet exchanged over the connection:

- client IP address
- server IP address
- client TCP port
- server TCP port

All packets contain these four fields that are often called the four-tuple.

At a high-level, the main difference between TCP and Multipath TCP is that a Multipath TCP connection is in fact a group of one or more TCP connections. These different TCP connections are entirely managed by Multipath TCP and are transparent to the application. The application interacts through the socket layer as if there was a single underlying TCP connection. Multipath TCP manages the underlying TCP connections. More precisely, Multipath TCP includes two different algorithms to control the underlying connections:

- a path manager that decides when an underlying TCP connection should be created or terminated
- a packet scheduler that decides over which underlying connection each new data is transmitted

The path manager and the packet scheduler play a key role in each use case as we will see shortly. To illustrate them, let us consider a very simple and naive application that runs on a dual-stack client and interacts with a dual-stack server. There are two “paths” that the client and the server can use to exchange data : IPv4 and IPv6. Although both the client and the server are attached using a single link to the network, their end-to-end paths might differ through the network and pass through different intermediate routers.

When web browsers run on dual-stack hosts, they usually rely on the Happy Eyeballs mechanism to select between IPv6 and IPv4. In a nutshell, they typically try first to initiate a TCP connection to the server using IPv6. If after some time, e.g. 50 msec, the connection is not established, the client also tries using IPv4. In the end, the first connection to be established is selected and used to exchanged data.

Multipath TCP would also use Happy Eyeballs, but once one connection has been established, say IPv6, the client and the server will exchange their IPv4 addresses over the Multipath TCP connection. A simple path manager running on the client would then establish a second TCP connection using IPv4. This new TCP connection will be part of the Multipath TCP connection that was established over IPv6. The client and the server can now use two different TCP connections to exchange data: a first connection that uses IPv6 and a second connection that uses IPv4. If these connections have similar performance, a simple packet scheduler such as round-robin can be used to distribute the data over them. Such a scheduler alternatively sends data over the IPv4 and the IPv6 connections.

1.1 Improving resilience

One of the first large scale deployment of Multipath TCP was done by Apple. Shortly after the publication of the Multipath TCP specification [8], Apple launched iOS13. This new version added Multipath TCP support for the Siri application. Siri is Apple’s voice assistant. Siri enables users to speak to their phone. In a nutshell, Siri detects that the user is talking to it using a special word, then it simply records the sound and sends it to a server managed by Apple over HTTPS. The server analyzes the received voice samples, extracts the command and return a response to the smartphone. Initially, this service was running over regular TCP, but Apple noticed that when users move, their smartphones sometimes switch from Wi-Fi to cellular or the opposite. These handovers were a nightmare from a user experience viewpoint.

Consider a simple scenario where a user walks out of her home and asks Siri to show her the map to drive to a restaurant before entering her car. When the user leaves her home, her smartphone is connected to Wi-Fi and the voice samples are sent over the Wi-Fi network. Unfortunately, when the smartphone gets out of reach of the Wi-Fi access point and switches to the cellular network, this breaks the connection with the server. The smartphone can establish a new connection and send again the voice samples, but this delays the response from the server and the user gets annoyed.

By using Multipath TCP, Siri solves this annoying problem in an elegant manner. The initial connection with the Siri server is created over the Wi-Fi network. When the smartphone detects the loss of the Wi-Fi network, it switches to

the cellular one and can immediately create a second TCP connection that joins the initial one. This second connection supplements the initial one. The Siri application running on the smartphone or on the server does not detect anything. Multipath TCP sent the voice samples initially over the Wi-Fi network and the last samples over the cellular one. The server responds using the cellular network. The advantage for the user is that the handover from Wi-Fi to cellular does not cause any delay. Siri provides the requested information as if the smartphone had always been attached to a single network. Thus Multipath TCP hides the handovers to the application.

Apple's use case requires a specific path manager and a packet scheduler. Two path managers are possible depending on whether the smartphone can enable both cellular and Wi-Fi or only one radio interface at a time. If the smartphone can simultaneously activate both radio interfaces, then the path manager can create a backup connection over the cellular interface when the Wi-Fi interface is the primary one. This backup connection can be established shortly after the handshake on the Wi-Fi interface. This consumes some data on the cellular network, but this enables the smartphone to immediately send data over the cellular connection if the primary connection over the Wi-Fi interface fails. This path manager implements a *make before break* approach to handovers. Another possibility is to use a *break before make* approach. In this case, the cellular network is not used until the failure of the Wi-Fi network. The path manager detects the failure and immediately creates a connection over the cellular network.

Concerning the packet schedulers, let us first evaluate the round-robin scheduler that we discussed before. Clearly, such a packet scheduler would not make sense to support this application. If the smartphone does not move, it would send part of the data over the cellular and the Wi-Fi networks without any reason. When the user moves, it could continue to use a broken network. A better approach is to prioritize the network interfaces. If the connection over the Wi-Fi network is active, it should be used. The connection using the cellular network should only be used after the failure of the Wi-Fi connection. This works well for the data sent by the smartphone. The smartphone knows from its network cards when the cellular and Wi-Fi networks are active or not. However, on the server side, the situation is more difficult. Consider the case where the smartphone has created a backup connection over the cellular interface and a primary one over the Wi-Fi network. The smartphone has sent most of the data over the Wi-Fi interface and the server has returned all acknowledgments over this interface. Assume that the Wi-Fi network fails abruptly. At this time, the smartphone cannot anymore send information over the Wi-Fi connection, but the server is not aware of the problem. If the server needs to return a response, it will probably send it over the Wi-Fi connection because this is the connection that it has used until now. The corresponding packets will never reach the smartphone. They will need to be retransmitted by the server before reaching the smartphone over the cellular interface. Now consider a slight variation to this situation. The smartphone has sent almost all voice samples over the Wi-Fi interface. This interface fails when Siri collects the last voice sample. The smartphone sends this voice sample over the cellular connection. When the server detects that the smartphone sent data over the cellular interface, it can guess that there has been a problem with the Wi-Fi connection and configure its packet scheduler to prefer the cellular interface. For the Siri application, the best packet on the server is a scheduler that always send data over the connection that received the most recent data or acknowledgment. The arrival of a packet on one connection is a confirmation that it connection currently works.

Apple improved their utilization of Multipath TCP since 2013. Initially, Multipath TCP exposed a private API that was only used by Siri. Nowadays, other Apple applications such as Apple Maps and Apple Music also use Multipath TCP. Third party applications also use Multipath TCP through the Swift API supported by recent versions of iOS.

Although this usage of Multipath TCP has been widely discussed, this was not the first commercial deployment. The first deployment was done by Multipath Networks, an Irish company that saw the potential benefits of Multipath TCP while it was being developed within the IETF. Their use case was very different. Emergency services like police or firemen started to use laptops connected to cellular networks. However, in rural areas, cellular coverage is sometimes flaky and some areas are not fully covered by the different cellular providers. This was a problem for firemen who were operating in an area that was not well covered by their cellular provider. Multipath Networks developed an embedded router that could connect to several cellular providers and would easily switch from one to another when required. They cooperated with the Multipath TCP developers to ensure that Multipath TCP could efficiently detect different types of failures that they had observed in the field.

1.2 Improving quality of experience

Another important use case for Multipath TCP on smartphones is to improve quality of experience. Smartphones can use either Wi-Fi or cellular. There are conditions where cellular provides better performance than Wi-Fi and the opposite is true. Initially, the smartphones preferred Wi-Fi over cellular because Wi-Fi was usually faster and cheaper. The situation changed as cellular network operators deployed 4G and now 5G and offered unlimited data plans. For many users, prioritizing Wi-Fi over cellular is not a requirement anymore. Given that there is no monetary incentive, when should a smartphone use Wi-Fi or cellular ? The answer depends on the current performance of the cellular or Wi-Fi network. There are many situations where a smartphone can use both Wi-Fi or cellular and they provide different performance. Here are a few classical examples. Consider a smartphone who is attached to a Wi-Fi network in a pub that is attached to a low bandwidth ADSL Internet access. While the Wi-Fi signal can be perfect from a radio viewpoint, the end-to-end performance can be very weak due to congestion on the ADSL link. In this case, the smartphone should provide use the cellular network to provide a good user experience. Another example is a smartphone in a company meeting room. The enterprise buildings are far from the cellular base station but the enterprise Wi-Fi is strong. In this case, the smartphone should probably use the Wi-Fi network. Between these two extremes, there are many situations where one network provides better performance than the other, possibly during short periods of time, e.g. due to congestion with other users, due to user mobility, ...

A typical use case are interactive applications such as a map. When a user interacts with such an application, she expects that her gestures will immediately move the map shown on the screen and that any request for a path will be answered immediately. For such an application, the path manager would establish a connection over both networks. The two connections will always be active. TCP's congestion control scheme automatically measures the performance of the two connections (delay, bandwidth, ...) every time they are used to send data. The packet scheduler would then prefer the connection having the smallest delay and would switch from one connection to another if data needs to be retransmitted or delay becomes too high. As an example, Apple's interactive policy prefers the Wi-Fi network as long as its delay is lower than 250 msec. If the delay increases too much, it switches automatically to cellular.

Music and video streaming are another example of a smartphone application that can leverage Multipath TCP when the quality of the Wi-Fi and cellular connections varies. These applications require a regular throughput to stream the music or the video from a server. When a user moves, the quality of the radio signal changes as she moves away from the antenna. In parallel, congestion caused by traffic from other users in the same network can decrease the available throughput. A path manager would support such an application by establishing a connection over both networks. The packet scheduler could initially prefer the Wi-Fi connection that is supposed to be cheaper, but it would monitor the average throughput. If the throughput drops below the bandwidth required to stream the music or the video, then it would start to use the other connection to transmit additional data.

1.3 Improving bandwidth

The previous sections have described use cases where Multipath TCP manages the utilization of networks that have enough capacity to support the applications. However, there are situations where a single network connection is not sufficient.

A first example concerns Internet access in rural areas. Several Internet access technologies are used to provide Internet access to home users and small enterprise networks: fiber, cable, xDSL, fixed wireless access and even satellite. Optical fiber provides the highest throughput, but its deployment cost increases with the distance. Today, it becomes economically feasible to deploy optical fibers in cities. However, the economic factors prevent a wide deployment of optical fibers in rural areas where the distance that needs to be covered to connect a single household is too high. Cable is a bit cheaper than fiber, but usually mainly deployed in cities. xDSL is already deployed in many rural areas since it relies on the telephone lines that have been installed decades ago. Unfortunately, these telephone lines are long and the bandwidth of an xDSL link decreases with the distance. Many users in rural areas are left with bandwidth of 10 Mbps or less. Satellites provided an alternative for isolated rural areas. However, the first deployment were based on geostationary satellites that cover a wide geographical area, but suffer from a high delay. Recently, companies such as

Starlink started to deploy LEO satellites to serve rural areas. These satellites provide much lower delays compared to the geostationary ones.

Wireless is another option to serve rural areas. Some operators have started to deploy Fixed Wireless Access networks. These rely on 4G, 5G or other technologies to serve all the households in the geographical area covered by the antennas. Depending on the population density, this typically requires to increase the capacity of the cellular network since the a household uses much more data than the average mobile user. To cope with this problem, some operators combine xDSL and cellular. In this case, the xDSL network is preferred and the cellular network is used when there is not enough capacity on the fixed network. Different hybrid network solutions have been deployed. One approach leverages Multipath TCP. In this case, two Multipath TCP proxies are used: one in the hybrid CPE and one in a server called the Hybrid Access Gateway (HAG) that is managed by the network provider. The interactions between the enduser device, the HCPE, the HAG and the final server are described in the figure below.

When the user device initiates a TCP connection, this connection is proxied by the HCPE that converts it into a Multipath TCP connection that is itself proxied by the HAG. Since very few servers support Multipath TCP [9], the HAG proxies a regular TCP connection towards the server. As Multipath TCP is used on the connection between the HCPE and the HAG, the HCPE can create a second connection over the cellular network. Two types of path managers can be used in this scenario. A first option is a path manager that immediately creates a connection over the cellular network once the connection over the xDSL network has been accepted. Since network operators usually want to use the cellular network only when the xDSL network is fully used, an other possibility for the path manager is to measure the load on the xDSL link and only enable initiate the connection on the cellular network once the xDSL usage is above some threshold. The packet scheduler also prefers the xDSL connection. It only uses the cellular one once the xDSL connection is fully used.

Another use case that received a lot of attention in the academic community are the datacenters [10]. A datacenter combines a large number of servers that are attached to the network and exchange a lot of data. A key characteristic of datacenters is that there are multiple equal cost IP paths between any pair of servers. Consider the simple datacenter shown in the figure below. Each server is attached to one Top of Rack (ToR) switch that is connected to several distribution switches that are themselves connected to core switches. A server attached to ToR1 can reach a server attached to ToR12 using different paths that go through different core and distribution switches.

In such a datacenter, all inter-switch links have the same bandwidth and the same cost from a routing viewpoint. The ToR switch considers them to equivalent and it can thus load balance the packets that it receives over these different paths. A classical solution to load-balance the packets is to rely on Equal Cost MultiPath (ECMP) [11]. ECMP aims at balancing the load evenly among the different paths that have the same cost while ensuring that all the packets that belong to a given transport flow follow exactly the same path to prevent reordering problems. A simple, but efficient and widely deployed ECMP technique is to hash the source and destination addresses and the source and destination port of each packet and use the computed hash value as an index to select the path to reach the destinations. All the packets that belong to a TCP connection are forwarded over the same path since they all contain the same source and destination address and ports. If the datacenter carries a large number of flows, then the traffic will be typically well balanced. If not, the paths that carry the larger connections could suffer from congestion. Raiciu et al. showed by simulations and measurements that Multipath TCP could improve the utilization of these datacenter networks [10].

Each server is attached to a single ToR switch with a single network interface. Multipath TCP is used over this single interface. The intuition behind the solution proposed by Raiciu et al. is that, in such a datacenter, the path followed by the packets belonging to a TCP connection is function of the four-tuple. The source and destination addresses are fixed for all connections between two servers. The destination port is also fixed for a given server, but the server that initiates a connection can select different source ports. The path manager would be configured to establish a connection using 2, 4 or 8 different source ports. All these connections terminate on the same server, but follow different paths inside the network. If one of the paths becomes congested, then the throughput on the corresponding connection will be limited and the packet scheduler will push data over the other paths. Simulations and measurements showed that this approach helped to improve the utilization of the datacenter network.

Although this approach has been widely cited in the scientific literature, it does not seem to have been adopted by datacenter operators. This lack of deployment was probably caused by two main factors. First, the Multipath TCP implementation in the Linux kernel was only distributed as an unofficial patch for many years. Datacenter operators were reluctant to deploy an unofficial patch on their production server. A second factor is that Multipath TCP increases

datacenter utilization by using buffers on the servers and on the network switches. With Multipath TCP, servers need to reorder the packets received over different paths. Some datacenter operators have considered that this additional delay could be an issue for request response applications that require shorter response times. Recent work on datacenters have focused more on reducing delays than improving network utilization.

USING MULTIPATH TCP ON RECENT LINUX KERNELS

The first version of Multipath TCP on Linux was an off-tree patch initially developed by UCLouvain researchers [12]. This implementation was initially the reference implementation of Multipath TCP. It influenced the design of the protocol as new features were always tested on this implementation.

Starting with version 5.6, the official Linux kernel includes support for Multipath TCP. The set of features supported by this implementation has increased over time as shown by its [ChangeLog](#).

To avoid any interference with regular TCP, this implementation only creates a Multipath TCP connection if the application has created its `socket` using the `IPPROTO_MPTCP` protocol. Applications will probably be modified in the coming months and years to add specific support for Multipath TCP, but in the mean time, the Multipath TCP developers have created a work around to force legacy applications to use Multipath TCP with the `mptcpize` command which is bundled with the `mptcpd` daemon. We use this solution in this section.

To illustrate Multipath TCP, we use a very simple setup with a Linux client using Ubuntu 22 and a Linux server using Debian. The client uses Linux kernel version 5.15 while the server uses version 5.17. The server has a single network interface with an IPv4 and an IPv6 address. The client has both a Wi-Fi and an Ethernet interface. These two interfaces are connected to the same router that allocates IP addresses in the same subnet on both interfaces. The client has both an IPv4 and an IPv6 address.

2.1 Enabling Multipath TCP

Multipath TCP is a feature that needs to be compiled inside the kernel. If you compile your own kernel, you can manually select Multipath TCP.

Most users prefer to rely on already compiled Linux kernels that are included in their distribution. The following distributions support Multipath TCP:

- CentOS starting with
- Debian starting with
- Ubuntu starting with 22.04

You need to install a recent kernel to benefit from Multipath TCP. On some distributions, this installation will be part of the regular upgrade. On other distributions, you will need to add it manually.

Once the kernel has been installed and your computer has rebooted, you first need to verify that Multipath TCP is enabled.

```
sudo sysctl -a | grep mptcp.enabled
net.mptcp.enabled = 1
```

Here, the kernel supports Multipath TCP. If, for any reason, you want to disable Multipath TCP, you need to set this `sysctl` variable to `0`.

To illustrate the basic operation of `mptcpize`, let us first use the `netcat` command over the loopback interface. This is obviously not the target use case for Multipath TCP, but a nice way to perform tests.

Netcat allows to easily launch clients and servers. We start the server using: `mptcpize run nc -l -p 12345`. This is a TCP server that listens on port 12345. The client connects to this server using the `mptcpize run nc 127.0.0.1 12345` command. The connection is established and all text lines sent by the client are printed by the server on standard output.

```
# mptcpize run nc -l -p 12345
Simple test
```

There are several ways to check that Multipath TCP is used for this connection. First, the `ss` command provides information about the status of the different sockets.

```
ss -iaM
State      Recv-Q      Send-Q       Local Address:Port      Peer Address:Port      Process
ESTAB      0            0            127.0.0.1:12345        127.0.0.1:52854
      subflows_max:2 remote_key token:5bba80d9 write_seq:2266a099179e2476 snd_
      ↪una:2266a099179e2476 rcv_nxt:de9999038d0a29a2
ESTAB      0            0            127.0.0.1:52854        127.0.0.1:12345
      subflows_max:2 remote_key token:clf12b87 write_seq:de9999038d0a29a2 snd_
      ↪una:de9999038d0a29a2 rcv_nxt:2266a099179e2476
```

`ss` provides several useful information to debug a Multipath TCP connection. The first column indicates that the connection is in the Established state, which means that it can currently transfer data. It also indicates the length of the Send and Receive queues at the TCP level and the four-tuple that identifies the connection. The next line provides Multipath TCP information with the maximum number of subflows which can be attached to the connection, the token assigned by the remote host and the `write_seq`, `snd_una` and `rcv_next` parameters of the state machine. The next two lines provide information about the other direction of the connection.

It is also possible to capture packets on the loopback interface to verify that Multipath TCP is used. The output below provides the first collected packets:

```
tcpdump: verbose output suppressed, use -v[v]... for full protocol decode listening on
↪lo, link-type EN10MB (Ethernet), snapshot length 262144 bytes
18:43:42.676396 IP 127.0.0.1.52854 > 127.0.0.1.12345: Flags [S], seq 904893125, win
↪65495, options [mss 65495,sackOK,TS val 4026038040 ecr 0,nop,wscale 7,mptcp capable
↪v1], length 0
18:43:42.676426 IP 127.0.0.1.12345 > 127.0.0.1.52854: Flags [S.], seq 1804351310, ack
↪904893126, win 65483, options [mss 65495,sackOK,TS val 4026038040 ecr 4026038040,nop,
↪wscale 7,mptcp capable v1 {0x45edb502d861e7b1}], length 0
18:43:42.676472 IP 127.0.0.1.52854 > 127.0.0.1.12345: Flags [.], ack 1, win 512, options
↪[nop,nop,TS val 4026038040 ecr 4026038040,mptcp capable v1 {0xdbb760db1d55e07b,
↪0x45edb502d861e7b1}], length 0
18:44:59.519697 IP 127.0.0.1.52854 > 127.0.0.1.12345: Flags [P.], seq 1:13, ack 1, win
↪512, options [nop,nop,TS val 4026114884 ecr 4026038040,mptcp capable v1
↪{0xdbb760db1d55e07b,0x45edb502d861e7b1},nop,nop], length 12
18:44:59.519755 IP 127.0.0.1.12345 > 127.0.0.1.52854: Flags [.], ack 13, win 512,
↪options [nop,nop,TS val 4026114884 ecr 4026114884,mptcp dss ack 16040019788386937262],
↪length 0
```

The first packet is the SYN that includes the `MP_CAPABLE` option. The server replies with the SYN+ACK with the `MP_CAPABLE` containing the server key. The client returns the third ACK with the `MP_CAPABLE` and the two keys. As the server did not send any data, the `MP_CAPABLE` option is sent again in the packet containing the `Simple test` string. This packet also contains the DSS option. The server replies with an acknowledgment that carries the DSS option.

We can now use the netcat application to explore the operation of Multipath TCP over the Internet. Let us start with a very simple example.

```
mptcpize run nc servrv4 12345
hello
```

The netcat process listens on port 12345 on the server. This results in the following Multipath TCP connection :

```
09:05:23.695876 IP host-78-129-5-171.dynamic.voo.be.41510 > servrv4.12345: Flags [S],
↳ seq 3525674027, win 64240, options [mss 1460,sackOK,TS val 2619832768 ecr 0,nop,wscale
↳ 7,mptcp capable v1], length 0
09:05:23.696076 IP servrv4.12345 > host-78-129-5-171.dynamic.voo.be.41510: Flags [S.],
↳ seq 1745741580, ack 3525674028, win 65160, options [mss 1460,sackOK,TS val 3069340264
↳ ecr 2619832768,nop,wscale 7,mptcp capable v1 {0x82aa42ef4245f0d0}], length 0
09:05:23.707909 IP host-78-129-5-171.dynamic.voo.be.41510 > servrv4.12345: Flags [.],
↳ ack 1, win 502, options [nop,nop,TS val 2619832783 ecr 3069340264,mptcp capable v1
↳ {0x9dc8e3972e3d9f25,0x82aa42ef4245f0d0}], length 0
09:05:30.776312 IP host-78-129-5-171.dynamic.voo.be.41510 > servrv4.12345: Flags [P.],
↳ seq 1:7, ack 1, win 502, options [nop,nop,TS val 2619839851 ecr 3069340264,mptcp
↳ capable v1 {0x9dc8e3972e3d9f25,0x82aa42ef4245f0d0},nop,nop], length 6
09:05:30.776484 IP servrv4.12345 > host-78-129-5-171.dynamic.voo.be.41510: Flags [.],
↳ ack 7, win 510, options [nop,nop,TS val 3069347345 ecr 2619839851,mptcp dss ack
↳ 1561335003985645838], length 0
```

This is a Multipath TCP connection since it includes the Multipath TCP options, but the client does not create an additional subflow and the server does not announce its other addresses. This is the expected behavior since these operations are controlled by the path manager. On Linux, the Multipath TCP path manager can be configured using the `ip-mptcp` command. This command can be used to configure different parameters that are associated to an IP address. The path manager associates a numeric identifier to each IP address or endpoint. The `ip mptcp endpoint show` command lists the identifiers of the active IP addresses on the host. Here is an example of the output of this command on our client:

```
ip mptcp endpoint show
fe80::3934:7572:b1ff:b555 id 1 dev wlp3s0
192.168.0.43 id 2 dev wlp3s0
fe80::5642:39bd:3390:43d3 id 3 dev enp2s0
192.168.0.37 id 4 dev enp2s0
2a02:2788:10c4:123:3d66:f590:d891:8fb3 id 5 dev wlp3s0
2a02:2788:10c4:123:6636:10c6:692b:18cc id 6 dev enp2s0
2a02:2788:10c4:123:2a09:5ec7:9b99:4a97 id 7 dev enp2s0
```

The two `fe80` addresses are the IPv6 link local addresses configured on the Ethernet (`enp2s0`) and Wi-Fi (`wlp3s0`) interfaces of our client host. There are three flags which can be associated with each endpoint identifier:

- **subflow**. When this flag is set, the path manager will try to create a subflow over this interface when a Multipath TCP is created or the interface becomes active while there was an ongoing Multipath TCP connection. This flag is mainly useful for clients.
- **signal**. When this flag is set, the path manager will announce the address of the endpoint over any Multipath TCP connection created using other addresses. This flag can be used on clients or servers. It is mainly useful on servers that have multiple addresses.
- **backup**. This flag can be combined with the two other flags. When combined with the `subflow` flag, it indicates that a backup subflow will be created. When combined with the `signal` flag, it indicates that the address will be advertised as a backup address.

On our client host, we can configure the Wi-Fi interface as a backup interface that creates subflows as follows :


```

sudo ip mptcp endpoint del id 2
sudo ip mptcp endpoint add 192.168.0.43 dev wlp3s0 subflow backup
sudo ip mptcp endpoint show
fe80::3934:7572:b1ff:b555 id 1 dev wlp3s0
fe80::5642:39bd:3390:43d3 id 3 dev enp2s0
192.168.0.37 id 4 dev enp2s0
2a02:2788:10c4:123:3d66:f590:d891:8fb3 id 5 dev wlp3s0
2a02:2788:10c4:123:6636:10c6:692b:18cc id 6 dev enp2s0
2a02:2788:10c4:123:2a09:5ec7:9b99:4a97 id 7 dev enp2s0
192.168.0.43 id 8 subflow backup dev wlp3s0

```

We had to first remove the configuration for this endpoint because a default one was already active. Then we added the new parameters and verified them.

The path manager also has some limits which can be configured using the `ip mptcp limits` command. Two limits can be set.

- `ip mptcp limits set subflow n` where `n` is an integer. This restricts the Multipath TCP connection to use up to `n` different subflows. Servers should protect themselves by setting this limit to a few subflows. Most use cases would work well with 2 or 4 subflows.
- `ip mptcp limits set add_addr_accepted n` where `n` is an integer. This parameter limits the number of addresses that are learned over each Multipath TCP connection. This parameter could be used to protect the Multipath TCP implementation against attacks where too many addresses are advertised. Most use cases would work with 4 accepted addresses.

These parameters control the path manager, but before creating Multipath TCP subflows over different paths, we need to configure the IP routing table of our client host. Our client host has two network interfaces: Wi-Fi and Ethernet. By default, Linux prefers the Ethernet interface to Wi-Fi. The two interfaces are configured as follows :

```

ip -4 addr show
1: lo: <LOOPBACK,UP,LOWER_UP> mtu 65536 qdisc noqueue state UNKNOWN group default qlen.
↪1000
   inet 127.0.0.1/8 scope host lo
       valid_lft forever preferred_lft forever
2: enp2s0: <BROADCAST,MULTICAST,UP,LOWER_UP> mtu 1500 qdisc fq_codel state UP group.
↪default qlen 1000
   inet 192.168.0.37/24 brd 192.168.0.255 scope global dynamic noprefixroute enp2s0
       valid_lft 75697sec preferred_lft 75697sec
3: wlp3s0: <BROADCAST,MULTICAST,UP,LOWER_UP> mtu 1500 qdisc noqueue state UP group.
↪default qlen 1000
   inet 192.168.0.43/24 brd 192.168.0.255 scope global dynamic noprefixroute wlp3s0
       valid_lft 75696sec preferred_lft 75696sec

```

By default, Linux creates the two following default routes.

```

route -n
Kernel IP routing table

```

Destination	Gateway	Genmask	Flags	Metric	Ref	Use	Iface
0.0.0.0	192.168.0.1	0.0.0.0	UG	100	0	0	enp2s0
0.0.0.0	192.168.0.1	0.0.0.0	UG	600	0	0	wlp3s0

We need to configure the routing tables to be able to use the two interfaces simultaneously. For this, we need to ensure that packets with source address `192.168.0.37` are sent over the `enp2s0` interface while packets with source address `192.168.0.43` are sent over the `wlp3s0` interface. This can be achieved using two different routing tables.


```
# create the two routing tables
ip rule add from 192.168.0.37 table 1
ip rule add from 192.168.0.43 table 2

# Configure routing table 1 for enp2s0
ip route add 192.168.0.0/24 dev enp2s0 scope link table 1
ip route add default via 192.168.0.1 dev enp2s0 table 1

# Configure routing table 2 for wlp3s0
ip route add 192.168.0.0/24 dev wlp3s0 scope link table 2
ip route add default via 192.168.0.1 dev wlp3s0 table 2

# Configure a default route to regular internet
ip route add default scope global nexthop via 192.168.0.1 dev enp2s0
```

We can check the routing tables using the ip command.

```
ip rule show
0:    from all lookup local
32764:    from 192.168.0.43 lookup 2
32765:    from 192.168.0.37 lookup 1
32766:    from all lookup main
32767:    from all lookup default

ip route
default via 192.168.0.1 dev enp2s0
default via 192.168.0.1 dev enp2s0 proto dhcp metric 100
default via 192.168.0.1 dev wlp3s0 proto dhcp metric 600
169.254.0.0/16 dev wlp3s0 scope link metric 1000
192.168.0.0/24 dev enp2s0 proto kernel scope link src 192.168.0.37 metric 100
192.168.0.0/24 dev wlp3s0 proto kernel scope link src 192.168.0.43 metric 600

ip route show table 1
default via 192.168.0.1 dev enp2s0
192.168.0.0/24 dev enp2s0 scope link

ip route show table 2
default via 192.168.0.1 dev wlp3s0
192.168.0.0/24 dev wlp3s0 scope link
```

We can verify that the two routing tables are correct using nc by forcing it to use a specific source address.

```
echo -e "GET / HTTP/1.0\r\n" | nc -4 -s 192.168.0.37 test.multipath-tcp.org 80
HTTP/1.0 200 OK
Content-Type: text/html
ETag: "4215149735"
Last-Modified: Tue, 05 Jul 2022 16:11:47 GMT
Content-Length: 389
Connection: close
Date: Wed, 06 Jul 2022 11:34:24 GMT
Server: lighttpd/1.4.59

<!DOCTYPE html>
```

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```
<html>
<head>
<title>Welcome to test.multipath-tcp.org!</title>
<style>
body {
width: 35em;
margin: 0 auto;
font-family: Tahoma, Verdana, Arial, sans-serif;
}
</style>
</head>
<body>
<h1>Welcome to test.multipath-tcp.org !</h1>
<p>This web server runs Multipath TCP v1</p>

<p><em>Thank you for using Multipath TCP.</em></p>
</body>
</html>
```

You should get the same result when using the second interface, IP address 192.168.0.43 in our example.

```
echo -e "GET / HTTP/1.0\r\n" | nc -4 -s 192.168.0.43 test.multipath-tcp.org 80
```

The next step is to verify that Multipath TCP is working correctly and that two subflows are created. For this, we'll use the `-i` parameter of `nc` to add a delay between the two lines of the HTTP GET. We will leverage this delay to check that MPTCP is correctly working using `ss` or `tcpdump`

```
echo -e "GET / HTTP/1.0\r\n" | mptcpize run nc -4 -i 5 test.multipath-tcp.org 80
```

We can observe the creation of the connection and the subflow using both `ss` and `tcpdump`. `ss` shows that there are two subflows towards `test.multipath-tcp.org`.

```
ss -4 -iatM dst test.multipath-tcp.org
Netid  State  Recv-Q  Send-Q      Local Address:Port      Peer Address:Port  Process
tcp    ESTAB  0        0      192.168.0.43%wlp3s0:34801  5.196.67.207:http
      cubic wscale:7,7 rto:220 rtt:17.439/8.719 mss:1448 pmtu:1500 rcvmss:536
      ↪advms:1448 cwnd:10 bytes_acked:1 segs_out:2 segs_in:2 send 6.64Mbps lastsnd:1776
      ↪lastrcv:1776 lastack:1764 pacing_rate 13.3Mbps delivered:1 rcv_space:14480 rcv_
      ↪sssthresh:64088 minrtt:17.439
tcp    ESTAB  0        0      192.168.0.37:47672      5.196.67.207:http
      cubic wscale:7,7 rto:216 rtt:14/5.405 mss:1448 pmtu:1500 rcvmss:536 advms:1448
      ↪cwnd:10 bytes_sent:16 bytes_acked:17 segs_out:3 segs_in:3 data_segs_out:1 send 8.
      ↪27Mbps lastsnd:1808 lastrcv:1808 lastack:1792 pacing_rate 16.5Mbps delivery_rate_
      ↪790kbps delivered:2 busy:16ms rcv_space:14480 rcv_sssthresh:64088 minrtt:13.905
mptcp  ESTAB  0        0      192.168.0.37:47672      5.196.67.207:http
      subflows:1 subflows_max:8 remote_key token:ele3cdeb write_seq:1045ecfa3f05f4ea snd_
      ↪una:1045ecfa3f05f4ea rcv_nxt:d0568f430363c9aa
```

The line starting with `mptcp` indicates that the Multipath TCP connection above has one additional subflow.

The `tcpdump` output reveals precisely which packets have been sent over each network interface.

```

sudo tcpdump -n -i any host test.multipath-tcp.org and port 80tcpdump: data link type_
↳ LINUX_SLL2
tcpdump: verbose output suppressed, use -v[v]... for full protocol decode
listening on any, link-type LINUX_SLL2 (Linux cooked v2), snapshot length 262144 bytes
13:43:26.620667 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [S], seq_
↳ 3585891423, win 64240, options [mss 1460,sackOK,TS val 3993892549 ecr 0,nop,wscale 7,
↳ mptcp capable v1], length 0
13:43:26.634537 enp2s0 In IP 5.196.67.207.80 > 192.168.0.37.47672: Flags [S.], seq_
↳ 1788691420, ack 3585891424, win 65160, options [mss 1460,sackOK,TS val 1030255900 ecr_
↳ 3993892549,nop,wscale 7,mptcp capable v1 {0x54f04ad5bd2d9f42}], length 0
13:43:26.634609 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [S.], ack 1,_
↳ win 502, options [nop,nop,TS val 3993892563 ecr 1030255900,mptcp capable v1
↳ {0xff2ec3a2f6151881,0x54f04ad5bd2d9f42}], length 0
13:43:26.634718 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [P.], seq 1:17,
↳ ack 1, win 502, options [nop,nop,TS val 3993892563 ecr 1030255900,mptcp capable v1
↳ {0xff2ec3a2f6151881,0x54f04ad5bd2d9f42},nop,nop], length 16: HTTP: GET / HTTP/1.0
13:43:26.649351 enp2s0 In IP 5.196.67.207.80 > 192.168.0.37.47672: Flags [S.], ack 17,_
↳ win 509, options [nop,nop,TS val 1030255916 ecr 3993892563,mptcp dss ack_
↳ 1172603837543216362], length 0
13:43:26.649351 enp2s0 In IP 5.196.67.207.80 > 192.168.0.37.47672: Flags [S.], ack 17,_
↳ win 509, options [nop,nop,TS val 1030255916 ecr 3993892563,mptcp dss ack_
↳ 1172603837543216362], length 0
13:43:26.649498 wlp3s0 Out IP 192.168.0.43.34801 > 5.196.67.207.80: Flags [S], seq_
↳ 2321572505, win 64240, options [mss 1460,sackOK,TS val 1218002018 ecr 0,nop,wscale 7,
↳ mptcp join id 8 token 0xeeef7df2f nonce 0xc0d346f6], length 0
13:43:26.666895 wlp3s0 In IP 5.196.67.207.80 > 192.168.0.43.34801: Flags [S.], seq_
↳ 1973196884, ack 2321572506, win 65160, options [mss 1460,sackOK,TS val 1030255931 ecr_
↳ 1218002018,nop,wscale 7,mptcp join id 0 hmac 0xc7489cf7056428b4 nonce 0xa54f9af],_
↳ length 0
13:43:26.666966 wlp3s0 Out IP 192.168.0.43.34801 > 5.196.67.207.80: Flags [S.], ack 1,_
↳ win 502, options [nop,nop,TS val 1218002035 ecr 1030255931,mptcp join hmac_
↳ 0xb4e6a41bf5861313df7f5f454966998ad7e698a4], length 0
13:43:26.677776 wlp3s0 In IP 5.196.67.207.80 > 192.168.0.43.34801: Flags [S.], ack 1,_
↳ win 510, options [nop,nop,TS val 1030255944 ecr 1218002035,mptcp dss ack_
↳ 1172603837543216362], length 0
13:43:31.635023 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [P.], seq_
↳ 17:18, ack 1, win 502, options [nop,nop,TS val 3993897563 ecr 1030255916,mptcp dss ack_
↳ 56871338 seq 1172603837543216362 subseq 17 len 1,nop,nop], length 1: HTTP
13:43:31.646703 enp2s0 In IP 5.196.67.207.80 > 192.168.0.37.47672: Flags [S.], ack 18,_
↳ win 509, options [nop,nop,TS val 1030260913 ecr 3993897563,mptcp dss ack_
↳ 1172603837543216363], length 0
13:43:31.647276 enp2s0 In IP 5.196.67.207.80 > 192.168.0.37.47672: Flags [P.], seq_
↳ 1:602, ack 18, win 509, options [nop,nop,TS val 1030260914 ecr 3993897563,mptcp dss_
↳ ack 1172603837543216363 seq 15012343925868579242 subseq 1 len 601,nop,nop], length_
↳ 601: HTTP: HTTP/1.0 200 OK
13:43:31.647300 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [S.], ack 602,_
↳ win 501, options [nop,nop,TS val 3993897576 ecr 1030260914,mptcp dss ack_
↳ 15012343925868579843], length 0
13:43:31.647276 enp2s0 In IP 5.196.67.207.80 > 192.168.0.37.47672: Flags [S.], ack 18,_
↳ win 509, options [nop,nop,TS val 1030260914 ecr 3993897563,mptcp dss fin ack_
↳ 1172603837543216363 seq 15012343925868579843 subseq 0 len 1,nop,nop], length 0
13:43:31.647321 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [S.], ack 602,_
↳ win 501, options [nop,nop,TS val 3993897576 ecr 1030260914,mptcp dss ack_
↳ 15012343925868579844], length 0

```

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```

13:43:31.647330 wlp3s0 Out IP 192.168.0.43.34801 > 5.196.67.207.80: Flags [.] , ack 1,
↳win 502, options [nop,nop,TS val 1218002046 ecr 1030255944,mptcp dss ack
↳15012343925868579844], length 0
13:43:31.648565 wlp3s0 In IP 5.196.67.207.80 > 192.168.0.43.34801: Flags [.] , ack 1,
↳win 510, options [nop,nop,TS val 1030255944 ecr 1218002035,mptcp dss fin ack
↳1172603837543216363 seq 15012343925868579843 subseq 0 len 1,nop,nop], length 0
13:43:36.635392 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [.] , ack 602,
↳win 501, options [nop,nop,TS val 3993897576 ecr 1030260914,mptcp dss fin ack
↳15012343925868579844 seq 1172603837543216363 subseq 0 len 1,nop,nop], length 0
13:43:36.635416 wlp3s0 Out IP 192.168.0.43.34801 > 5.196.67.207.80: Flags [.] , ack 1,
↳win 502, options [nop,nop,TS val 1218007017 ecr 1030255944,mptcp dss fin ack
↳15012343925868579844 seq 1172603837543216363 subseq 0 len 1,nop,nop], length 0
13:43:36.636468 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [.] , ack 602,
↳win 501, options [nop,nop,TS val 3993897576 ecr 1030260914,mptcp dss fin ack
↳15012343925868579844 seq 1172603837543216363 subseq 0 len 1,nop,nop], length 0
13:43:36.636482 wlp3s0 Out IP 192.168.0.43.34801 > 5.196.67.207.80: Flags [.] , ack 1,
↳win 502, options [nop,nop,TS val 1218007017 ecr 1030255944,mptcp dss fin ack
↳15012343925868579844 seq 1172603837543216363 subseq 0 len 1,nop,nop], length 0
13:43:36.640425 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [.] , ack 602,
↳win 501, options [nop,nop,TS val 3993897576 ecr 1030260914,mptcp dss fin ack
↳15012343925868579844 seq 1172603837543216363 subseq 0 len 1,nop,nop], length 0
13:43:36.640431 wlp3s0 Out IP 192.168.0.43.34801 > 5.196.67.207.80: Flags [.] , ack 1,
↳win 502, options [nop,nop,TS val 1218007017 ecr 1030255944,mptcp dss fin ack
↳15012343925868579844 seq 1172603837543216363 subseq 0 len 1,nop,nop], length 0
13:43:36.645605 enp2s0 In IP 5.196.67.207.80 > 192.168.0.37.47672: Flags [.] , ack 18,
↳win 509, options [nop,nop,TS val 1030265912 ecr 3993897576,mptcp dss ack
↳1172603837543216364], length 0
13:43:36.645659 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [F.] , seq 18,
↳ack 602, win 501, options [nop,nop,TS val 3993902574 ecr 1030265912,mptcp dss ack
↳15012343925868579844], length 0
13:43:36.645674 wlp3s0 Out IP 192.168.0.43.34801 > 5.196.67.207.80: Flags [F.] , seq 1,
↳ack 1, win 502, options [nop,nop,TS val 1218012014 ecr 1030255944,mptcp dss ack
↳15012343925868579844], length 0
13:43:36.646315 wlp3s0 In IP 5.196.67.207.80 > 192.168.0.43.34801: Flags [.] , ack 1,
↳win 510, options [nop,nop,TS val 1030260930 ecr 1218002046,mptcp dss ack
↳1172603837543216364], length 0
13:43:36.647699 enp2s0 In IP 5.196.67.207.80 > 192.168.0.37.47672: Flags [F.] , seq 602,
↳ack 18, win 509, options [nop,nop,TS val 1030265912 ecr 3993897576,mptcp dss ack
↳1172603837543216364], length 0
13:43:36.647718 enp2s0 Out IP 192.168.0.37.47672 > 5.196.67.207.80: Flags [.] , ack 603,
↳win 501, options [nop,nop,TS val 3993902576 ecr 1030265912,mptcp dss ack
↳15012343925868579844], length 0
13:43:36.648629 wlp3s0 In IP 5.196.67.207.80 > 192.168.0.43.34801: Flags [F.] , seq 1,
↳ack 1, win 510, options [nop,nop,TS val 1030265912 ecr 1218002046,mptcp dss ack
↳1172603837543216364], length 0
13:43:36.648649 wlp3s0 Out IP 192.168.0.43.34801 > 5.196.67.207.80: Flags [.] , ack 2,
↳win 502, options [nop,nop,TS val 1218012017 ecr 1030265912,mptcp dss ack
↳15012343925868579844], length 0
13:43:36.657040 enp2s0 In IP 5.196.67.207.80 > 192.168.0.37.47672: Flags [.] , ack 19,
↳win 509, options [nop,nop,TS val 1030265923 ecr 3993902574,mptcp dss ack
↳1172603837543216364], length 0
13:43:36.662211 wlp3s0 In IP 5.196.67.207.80 > 192.168.0.43.34801: Flags [.] , ack 2,
↳win 510, options [nop,nop,TS val 1030265928 ecr 1218012014,mptcp dss ack
↳1172603837543216364], length 0

```

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If your host is dual stack, you also need to do the same configuration for IPv6 as well. Our test host uses the following IPv6 addresses.

```
ip -6 addr show
1: lo: <LOOPBACK,UP,LOWER_UP> mtu 65536 state UNKNOWN qlen 1000
    inet6 ::1/128 scope host
        valid_lft forever preferred_lft forever
2: enp2s0: <BROADCAST,MULTICAST,UP,LOWER_UP> mtu 1500 state UP qlen 1000
    inet6 2a02:2788:10c4:123:f468:1851:9a9f:7d44/64 scope global temporary dynamic
        valid_lft 592298sec preferred_lft 73422sec
    inet6 2a02:2788:10c4:123:6636:10c6:692b:18cc/64 scope global dynamic mngtmpaddr
    ↪noprofixroute
        valid_lft 1209600sec preferred_lft 604800sec
        inet6 fe80::5642:39bd:3390:43d3/64 scope link noprefixroute
        valid_lft forever preferred_lft forever
3: wlp3s0: <BROADCAST,MULTICAST,UP,LOWER_UP> mtu 1500 state UP qlen 1000
    inet6 2a02:2788:10c4:123:3d66:f590:d891:8fb3/64 scope global dynamic noprefixroute
        valid_lft 1209600sec preferred_lft 604800sec
        inet6 fe80::3934:7572:b1ff:b555/64 scope link noprefixroute
        valid_lft forever preferred_lft forever
```

We thus had to configure the following IPv6 routing tables. This is similar to the commands used to configure the IPv4 routing tables.

```
ip -6 rule add from 2a02:2788:10c4:123:6636:10c6:692b:18cc table 1
ip -6 rule add from 2a02:2788:10c4:123:3d66:f590:d891:8fb3 table 2
ip route add 2a02:2788:10c4:123::/64 dev enp2s0 scope link table 1
ip route add 2a02:2788:10c4:123::/64 dev wlp3s0 scope link table 2
ip route add default via fe80::10:18ff:fe07:fc33 dev enp2s0 table 1
ip route add default via fe80::10:18ff:fe07:fc33 dev wlp3s0 table 2
ip route add default scope global nexthop via fe80::10:18ff:fe07:fc33 dev enp2s0
```

Remember that if you want to create subflows using IPv6 addresses, you also need to configure the stack with `ip mptcp endpoint add` as you did for the IPv4 addresses.

Note: The current versions of the Linux kernel only use one address family at a time. If a connection was created using IPv4, then only IPv4 addresses will be used to create new subflows. Future versions of the kernel will allow to mix IPv4 and IPv6 subflows.

2.2 Analyzing the output of ss

2.3 Analyzing the output of nstat

The Linux TCP/IP stack maintains a lot of counters that track various events inside the kernel. These counters are very useful for system administrators who need to manage Linux hosts and debug some specific networking problems.

Linux supports a few hundred counters associated to the protocols in the network and transport layers. Other operating systems have defined their own counters to track similar networking events. Fortunately, the IETF has standard some

counters that are common to different operating systems and TCP/IP implementations. These counters are exported as variables which can be queried using a management protocol such as SNMP. This enables a management server to collect statistics for a series of hosts to process and analyze them. Several versions of SNMP exist, but we will not discuss them in details in this document. Instead, we focus on the Linux TCP/IP implementation and explain the different counters that the `nstat` application exposes to the user.

Linux kernel version 5.18 collects 363 different counters that are divided in 7 categories :

- 67 counters track the IPv4 implementation
- 80 counters track the ICMPv4 implementation
- 32 counters track the IPv6 implementation
- 46 counters track ICMPv6
- 135 counters track TCP
- 35 counters track UDP
- 46 counters track Multipath TCP

Some of these counters are part of the Management Information Bases (MIB) defined within the IETF, e.g. [RFC 1213](#) for IPv4 and ICMPv4, [RFC 4293](#) for IPv6 and ICMPv6, [RFC 4022](#) for TCP, [RFC 4113](#) for UDP. As of this writing, there is no official IETF MIB for Multipath TCP.

2.3.1 Using `nstat`

In this document, we describe the counters that are exposed by `nstat` for the different protocols of the TCP/IP stack. Before discussing these counters, it is useful to understand how `nstat` works.

`nstat` is a command line tool that supports a small number of arguments

```
nstat --help
Usage: nstat [OPTION] [ PATTERN [ PATTERN ] ]
  -h, --help                this message
  -a, --ignore               ignore history
  -d, --scan=SECS            sample every statistics every SECS
  -j, --json                 format output in JSON
  -n, --nooutput             do history only
  -p, --pretty               pretty print
  -r, --reset                reset history
  -s, --noupdate             don't update history
  -t, --interval=SECS        report average over the last SECS
  -V, --version              output version information
  -z, --zeros                show entries with zero activity
```

By default, `nstat` displays the counters whose value has changed since the latest invocation of the tool. This is usually a small subset of the counters that depends on the networking activity of the host.

`nstat` can collect historical information and provides average counters.

`nstat` can also list the current value of the different counters.

```
#nstat -az
#kernel
IpInReceives          1073367          0.0
IpInHdrErrors          0                0.0
IpInAddrErrors         0                0.0
```

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IpForwDatagrams	0	0.0
IpInUnknownProtos	0	0.0
IpInDiscards	0	0.0
IpInDelivers	1072518	0.0
IpOutRequests	484889	0.0
IpOutDiscards	0	0.0
IpOutNoRoutes	0	0.0
IpReasmTimeout	0	0.0
IpReasmReqds	0	0.0
IpReasmOKs	0	0.0
IpReasmFails	0	0.0
IpFragOKs	0	0.0
IpFragFails	0	0.0
IpFragCreates	0	0.0
IcmpInMsgs	561	0.0
IcmpInErrors	125	0.0
IcmpInCsumErrors	0	0.0
IcmpInDestUnreachs	6	0.0
IcmpInTimeExcds	125	0.0
IcmpInParmProbs	0	0.0
IcmpInSrcQuenchs	0	0.0
IcmpInRedirects	0	0.0
IcmpInEchos	298	0.0
IcmpInEchoReps	0	0.0
IcmpInTimestamps	33	0.0
IcmpInTimestampReps	0	0.0
IcmpInAddrMasks	99	0.0
IcmpInAddrMaskReps	0	0.0
IcmpOutMsgs	331	0.0
IcmpOutErrors	0	0.0
IcmpOutDestUnreachs	0	0.0
IcmpOutTimeExcds	0	0.0
IcmpOutParmProbs	0	0.0
IcmpOutSrcQuenchs	0	0.0
IcmpOutRedirects	0	0.0
IcmpOutEchos	0	0.0
IcmpOutEchoReps	298	0.0
IcmpOutTimestamps	0	0.0
IcmpOutTimestampReps	33	0.0
IcmpOutAddrMasks	0	0.0
IcmpOutAddrMaskReps	0	0.0
IcmpMsgInType3	6	0.0
IcmpMsgInType8	298	0.0
IcmpMsgInType11	125	0.0
IcmpMsgInType13	33	0.0
IcmpMsgInType17	99	0.0
IcmpMsgOutType0	298	0.0
IcmpMsgOutType14	33	0.0
TcpActiveOpens	3330	0.0
TcpPassiveOpens	252	0.0
TcpAttemptFails	0	0.0
TcpEstabResets	78	0.0

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TcpInSegs	3202615	0.0
TcpOutSegs	6431616	0.0
TcpRetransSegs	7584	0.0
TcpInErrs	0	0.0
TcpOutRsts	102	0.0
TcpInCsumErrors	0	0.0
UdpInDatagrams	18972	0.0
UdpNoPorts	0	0.0
UdpInErrors	0	0.0
UdpOutDatagrams	19257	0.0
UdpRcvbufErrors	0	0.0
UdpSndbufErrors	0	0.0
UdpInCsumErrors	0	0.0
UdpIgnoredMulti	19989	0.0
UdpMemErrors	0	0.0
UdpLiteInDatagrams	0	0.0
UdpLiteNoPorts	0	0.0
UdpLiteInErrors	0	0.0
UdpLiteOutDatagrams	0	0.0
UdpLiteRcvbufErrors	0	0.0
UdpLiteSndbufErrors	0	0.0
UdpLiteInCsumErrors	0	0.0
UdpLiteIgnoredMulti	0	0.0
UdpLiteMemErrors	0	0.0
Ip6InReceives	2198489	0.0
Ip6InHdrErrors	0	0.0
Ip6InTooBigErrors	0	0.0
Ip6InNoRoutes	200	0.0
Ip6InAddrErrors	0	0.0
Ip6InUnknownProtos	0	0.0
Ip6InTruncatedPkts	0	0.0
Ip6InDiscards	0	0.0
Ip6InDelivers	2177604	0.0
Ip6OutForwDatagrams	0	0.0
Ip6OutRequests	1567967	0.0
Ip6OutDiscards	0	0.0
Ip6OutNoRoutes	6	0.0
Ip6ReasmTimeout	0	0.0
Ip6ReasmReqs	0	0.0
Ip6ReasmOKs	0	0.0
Ip6ReasmFails	0	0.0
Ip6FragOKs	0	0.0
Ip6FragFails	0	0.0
Ip6FragCreates	0	0.0
Ip6InMcastPkts	20785	0.0
Ip6OutMcastPkts	13	0.0
Ip6InOctets	2578707266	0.0
Ip6OutOctets	3533261025	0.0
Ip6InMcastOctets	1442288	0.0
Ip6OutMcastOctets	1252	0.0
Ip6InBcastOctets	0	0.0
Ip6OutBcastOctets	0	0.0

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Ip6InNoECTPkts	2060704	0.0
Ip6InECT1Pkts	0	0.0
Ip6InECT0Pkts	137799	0.0
Ip6InCEPkts	0	0.0
Icmp6InMsgs	7525	0.0
Icmp6InErrors	0	0.0
Icmp6OutMsgs	7511	0.0
Icmp6OutErrors	0	0.0
Icmp6InCsumErrors	0	0.0
Icmp6InDestUnreachs	10	0.0
Icmp6InPktTooBig	0	0.0
Icmp6InTimeExcds	0	0.0
Icmp6InParmProblems	0	0.0
Icmp6InEchos	2	0.0
Icmp6InEchoReplies	6	0.0
Icmp6InGroupMembQueries	0	0.0
Icmp6InGroupMembResponses	0	0.0
Icmp6InGroupMembReductions	0	0.0
Icmp6InRouterSolicits	0	0.0
Icmp6InRouterAdvertisements	0	0.0
Icmp6InNeighborSolicits	4316	0.0
Icmp6InNeighborAdvertisements	3189	0.0
Icmp6InRedirects	0	0.0
Icmp6InMLDv2Reports	2	0.0
Icmp6OutDestUnreachs	0	0.0
Icmp6OutPktTooBig	0	0.0
Icmp6OutTimeExcds	0	0.0
Icmp6OutParmProblems	0	0.0
Icmp6OutEchos	6	0.0
Icmp6OutEchoReplies	2	0.0
Icmp6OutGroupMembQueries	0	0.0
Icmp6OutGroupMembResponses	0	0.0
Icmp6OutGroupMembReductions	0	0.0
Icmp6OutRouterSolicits	0	0.0
Icmp6OutRouterAdvertisements	0	0.0
Icmp6OutNeighborSolicits	3179	0.0
Icmp6OutNeighborAdvertisements	4316	0.0
Icmp6OutRedirects	0	0.0
Icmp6OutMLDv2Reports	8	0.0
Icmp6InType1	10	0.0
Icmp6InType128	2	0.0
Icmp6InType129	6	0.0
Icmp6InType135	4316	0.0
Icmp6InType136	3189	0.0
Icmp6InType143	2	0.0
Icmp6OutType128	6	0.0
Icmp6OutType129	2	0.0
Icmp6OutType135	3179	0.0
Icmp6OutType136	4316	0.0
Icmp6OutType143	8	0.0
Udp6InDatagrams	460	0.0
Udp6NoPorts	0	0.0

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Udp6InErrors	0	0.0
Udp6OutDatagrams	95	0.0
Udp6RcvbufErrors	0	0.0
Udp6SndbufErrors	0	0.0
Udp6InCsumErrors	0	0.0
Udp6IgnoredMulti	0	0.0
Udp6MemErrors	0	0.0
UdpLite6InDatagrams	0	0.0
UdpLite6NoPorts	0	0.0
UdpLite6InErrors	0	0.0
UdpLite6OutDatagrams	0	0.0
UdpLite6RcvbufErrors	0	0.0
UdpLite6SndbufErrors	0	0.0
UdpLite6InCsumErrors	0	0.0
UdpLite6MemErrors	0	0.0
TcpExtSyncookiesSent	0	0.0
TcpExtSyncookiesRecv	0	0.0
TcpExtSyncookiesFailed	0	0.0
TcpExtEmbryonicRsts	0	0.0
TcpExtPruneCalled	3791	0.0
TcpExtRcvPruned	0	0.0
TcpExtOfoPruned	0	0.0
TcpExtOutOfWindowIcmps	0	0.0
TcpExtLockDroppedIcmps	0	0.0
TcpExtArpFilter	0	0.0
TcpExtTW	2283	0.0
TcpExtTWRecycled	0	0.0
TcpExtTWKilled	0	0.0
TcpExtPAWSActive	0	0.0
TcpExtPAWSEstab	11	0.0
TcpExtDelayedACKs	31995	0.0
TcpExtDelayedACKLocked	47	0.0
TcpExtDelayedACKLost	282	0.0
TcpExtListenOverflows	0	0.0
TcpExtListenDrops	0	0.0
TcpExtTCPHPHits	699069	0.0
TcpExtTCPPureAcks	997468	0.0
TcpExtTCPHPAcks	1235546	0.0
TcpExtTCPRenoRecovery	0	0.0
TcpExtTCPSackRecovery	2526	0.0
TcpExtTCPSACKReneging	0	0.0
TcpExtTCPSACKReorder	36858	0.0
TcpExtTCPRenoReorder	0	0.0
TcpExtTCPTSReorder	85	0.0
TcpExtTCPFullUndo	1	0.0
TcpExtTCPPartialUndo	67	0.0
TcpExtTCPDSACKUndo	11	0.0
TcpExtTCPLOSSUndo	0	0.0
TcpExtTCPLOSTRetransmit	184	0.0
TcpExtTCPRenoFailures	0	0.0
TcpExtTCPSackFailures	0	0.0
TcpExtTCPLOSSFailures	0	0.0

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TcpExtTCPFastRetrans	7084	0.0
TcpExtTCPSlowStartRetrans	0	0.0
TcpExtTCPTimeouts	168	0.0
TcpExtTCPLOSSProbes	345	0.0
TcpExtTCPLOSSProbeRecovery	82	0.0
TcpExtTCPRenoRecoveryFail	0	0.0
TcpExtTCPSackRecoveryFail	0	0.0
TcpExtTCPRcvCollapsed	0	0.0
TcpExtTCPBacklogCoalesce	10938	0.0
TcpExtTCPDSACKOldSent	300	0.0
TcpExtTCPDSACKOfSent	49	0.0
TcpExtTCPDSACKRecv	317	0.0
TcpExtTCPDSACKOfRecv	2	0.0
TcpExtTCPAbortOnData	25	0.0
TcpExtTCPAbortOnClose	54	0.0
TcpExtTCPAbortOnMemory	0	0.0
TcpExtTCPAbortOnTimeout	4	0.0
TcpExtTCPAbortOnLinger	0	0.0
TcpExtTCPAbortFailed	0	0.0
TcpExtTCPMemoryPressures	0	0.0
TcpExtTCPMemoryPressuresChrono	0	0.0
TcpExtTCPSACKDiscard	0	0.0
TcpExtTCPDSACKIgnoredOld	2	0.0
TcpExtTCPDSACKIgnoredNoUndo	272	0.0
TcpExtTCPSpuriousRTOs	0	0.0
TcpExtTCPMD5NotFound	0	0.0
TcpExtTCPMD5Unexpected	0	0.0
TcpExtTCPMD5Failure	0	0.0
TcpExtTCPSackShifted	34290	0.0
TcpExtTCPSackMerged	11301	0.0
TcpExtTCPSackShiftFallback	40480	0.0
TcpExtTCPBacklogDrop	0	0.0
TcpExtPFMemallocDrop	0	0.0
TcpExtTCPMinTTLDrop	0	0.0
TcpExtTCPDeferAcceptDrop	0	0.0
TcpExtIPReversePathFilter	0	0.0
TcpExtTCPTimeWaitOverflow	0	0.0
TcpExtTCPReqQFullDoCookies	0	0.0
TcpExtTCPReqQFullDrop	0	0.0
TcpExtTCPRetransFail	0	0.0
TcpExtTCPRcvCoalesce	100585	0.0
TcpExtTCPOFOQueue	15954	0.0
TcpExtTCPOFODrop	0	0.0
TcpExtTCPOFOMerge	38	0.0
TcpExtTCPChallengeACK	0	0.0
TcpExtTCPSYNChallenge	0	0.0
TcpExtTCPFastOpenActive	0	0.0
TcpExtTCPFastOpenActiveFail	0	0.0
TcpExtTCPFastOpenPassive	0	0.0
TcpExtTCPFastOpenPassiveFail	0	0.0
TcpExtTCPFastOpenListenOverflow	0	0.0
TcpExtTCPFastOpenCookieReqd	0	0.0

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TcpExtTCPFastOpenBlackhole	0	0.0
TcpExtTCPSpuriousRtxHostQueues	0	0.0
TcpExtBusyPollRxBPackets	0	0.0
TcpExtTCPAutoCorking	73847	0.0
TcpExtTCPFromZeroWindowAdv	40	0.0
TcpExtTCPToZeroWindowAdv	40	0.0
TcpExtTCPWantZeroWindowAdv	2870	0.0
TcpExtTCPSynRetrans	91	0.0
TcpExtTCPOrigDataSent	5948573	0.0
TcpExtTCPHystartTrainDetect	34	0.0
TcpExtTCPHystartTrainCwnd	1880	0.0
TcpExtTCPHystartDelayDetect	3	0.0
TcpExtTCPHystartDelayCwnd	261	0.0
TcpExtTCPACKSkippedSynRecv	0	0.0
TcpExtTCPACKSkippedPAWS	9	0.0
TcpExtTCPACKSkippedSeq	11	0.0
TcpExtTCPACKSkippedFinWait2	0	0.0
TcpExtTCPACKSkippedTimeWait	0	0.0
TcpExtTCPACKSkippedChallenge	0	0.0
TcpExtTCPWinProbe	0	0.0
TcpExtTCPKeepAlive	67	0.0
TcpExtTCPMTUPFail	0	0.0
TcpExtTCPMTUPSuccess	0	0.0
TcpExtTCPDelivered	5951000	0.0
TcpExtTCPDeliveredCE	0	0.0
TcpExtTCPAckCompressed	3021	0.0
TcpExtTCPZeroWindowDrop	0	0.0
TcpExtTCPRcvQDrop	0	0.0
TcpExtTCPWqueueTooBig	0	0.0
TcpExtTCPFastOpenPassiveAltKey	0	0.0
TcpExtTcpTimeoutRehash	72	0.0
TcpExtTcpDuplicateDataRehash	0	0.0
TcpExtTCPDSACKRecvSegs	371	0.0
TcpExtTCPDSACKIgnoredDubious	0	0.0
TcpExtTCPMigrateReqSuccess	0	0.0
TcpExtTCPMigrateReqFailure	0	0.0
IpExtInNoRoutes	0	0.0
IpExtInTruncatedPkts	0	0.0
IpExtInMcastPkts	62	0.0
IpExtOutMcastPkts	24	0.0
IpExtInBcastPkts	19989	0.0
IpExtOutBcastPkts	0	0.0
IpExtInOctets	533061309	0.0
IpExtOutOctets	5153892360	0.0
IpExtInMcastOctets	7448	0.0
IpExtOutMcastOctets	3592	0.0
IpExtInBcastOctets	2082276	0.0
IpExtOutBcastOctets	0	0.0
IpExtInCsumErrors	0	0.0
IpExtInNoECTPkts	1073527	0.0
IpExtInECT1Pkts	0	0.0
IpExtInECT0Pkts	0	0.0

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IpExtInCEPkts	0	0.0
IpExtReasmOverlaps	0	0.0
MPTcpExtMPCapableSYNRX	0	0.0
MPTcpExtMPCapableSYNTAX	2203	0.0
MPTcpExtMPCapableSYNACKRX	2172	0.0
MPTcpExtMPCapableACKRX	0	0.0
MPTcpExtMPCapableFallbackACK	0	0.0
MPTcpExtMPCapableFallbackSYNACK	22	0.0
MPTcpExtMPFallbackTokenInit	0	0.0
MPTcpExtMPTCPRetrans	0	0.0
MPTcpExtMPJoinNoTokenFound	0	0.0
MPTcpExtMPJoinSynRx	0	0.0
MPTcpExtMPJoinSynAckRx	0	0.0
MPTcpExtMPJoinSynAckHMacFailure	0	0.0
MPTcpExtMPJoinAckRx	0	0.0
MPTcpExtMPJoinAckHMacFailure	0	0.0
MPTcpExtDSSNotMatching	0	0.0
MPTcpExtInfiniteMapRx	0	0.0
MPTcpExtDSSNoMatchTCP	0	0.0
MPTcpExtDataCsumErr	0	0.0
MPTcpExtOFOQueueTail	0	0.0
MPTcpExtOFOQueue	0	0.0
MPTcpExtOFOMerge	0	0.0
MPTcpExtNoDSSInWindow	0	0.0
MPTcpExtDuplicateData	0	0.0
MPTcpExtAddAddr	0	0.0
MPTcpExtEchoAdd	0	0.0
MPTcpExtPortAdd	0	0.0
MPTcpExtAddAddrDrop	0	0.0
MPTcpExtMPJoinPortSynRx	0	0.0
MPTcpExtMPJoinPortSynAckRx	0	0.0
MPTcpExtMPJoinPortAckRx	0	0.0
MPTcpExtMismatchPortSynRx	0	0.0
MPTcpExtMismatchPortAckRx	0	0.0
MPTcpExtRmAddr	0	0.0
MPTcpExtRmAddrDrop	0	0.0
MPTcpExtRmSubflow	0	0.0
MPTcpExtMPPrioTx	0	0.0
MPTcpExtMPPrioRx	0	0.0
MPTcpExtMPFailTx	0	0.0
MPTcpExtMPFailRx	0	0.0
MPTcpExtMPFastcloseTx	0	0.0
MPTcpExtMPFastcloseRx	0	0.0
MPTcpExtMPRstTx	17	0.0
MPTcpExtMPRstRx	0	0.0
MPTcpExtRcvPruned	0	0.0
MPTcpExtSubflowStale	0	0.0
MPTcpExtSubflowRecover	0	0.0

Among all these variables, the ones named `*Ext*` are Linux specific variables that are not defined in IETF MIBs. The others are usually defined in an IETF RFC. The counters maintained by the Linux kernel are defined in `include/uapi/linux/snmp.h` and `net/mptcp/mib.h` for the Multipath TCP counters. Each of the counters exposed by `nstat` correspond to one specific identifier in the Linux kernel. For example, the beginning of the IP part of the counters is

defined as follows:

```
enum
{
    IPSTATS_MIB_NUM = 0,
    /* frequently written fields in fast path, kept in same cache line */
    IPSTATS_MIB_INPKTS,           /* InReceives */
    IPSTATS_MIB_INOCTETS,        /* InOctets */
    IPSTATS_MIB_INDELIVERS,      /* InDelivers */
    IPSTATS_MIB_OUTFORWDATAGRAMS, /* OutForwDatagrams */
    IPSTATS_MIB_OUTPKTS,         /* OutRequests */
    IPSTATS_MIB_OUTOCTETS,       /* OutOctets */
    /* other fields */
}
```

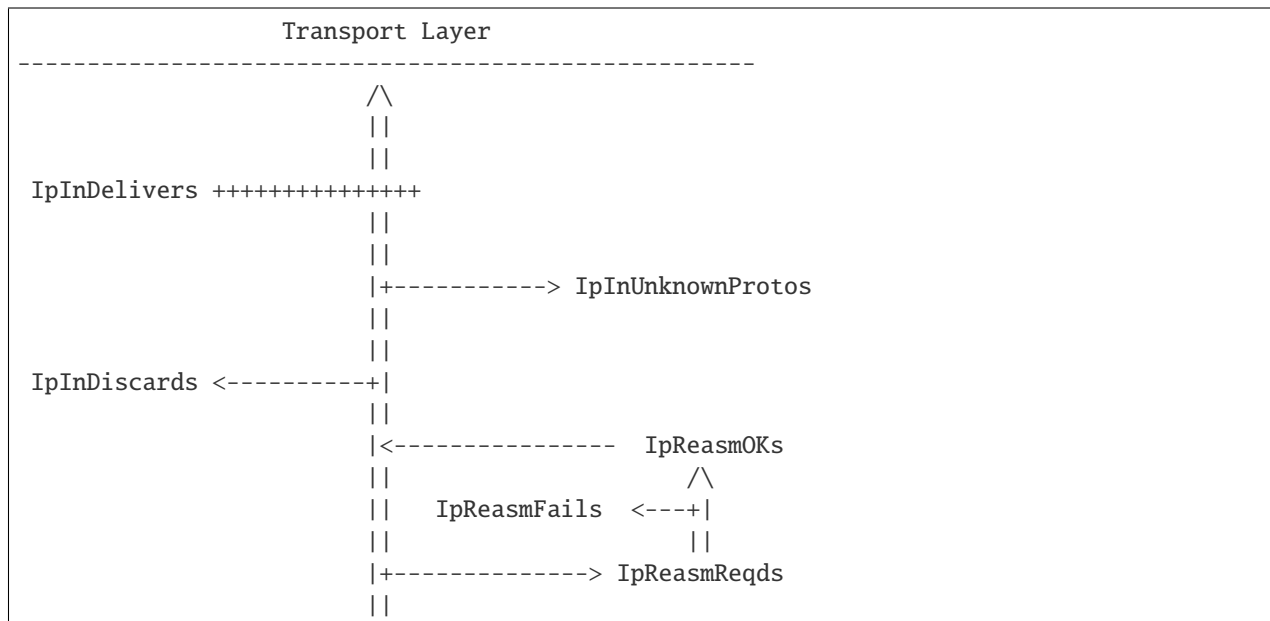
Before looking at the precise meaning of each of the counters managed by `nstat`, it is interesting to recall the definition of the Case diagrams. This graphical representation of SNMP variables can be really useful to understand the meaning of the Linux networking counters.

2.3.2 The Case diagrams

The Case diagrams were introduced by Jeffrey Case and Craig Partridge in 1989 in the paper [Case diagrams: a first step to diagrammed management information bases](#). This article describes a simple but powerful graphical representation of the interactions among the different SNMP variables that a networking stack maintains.

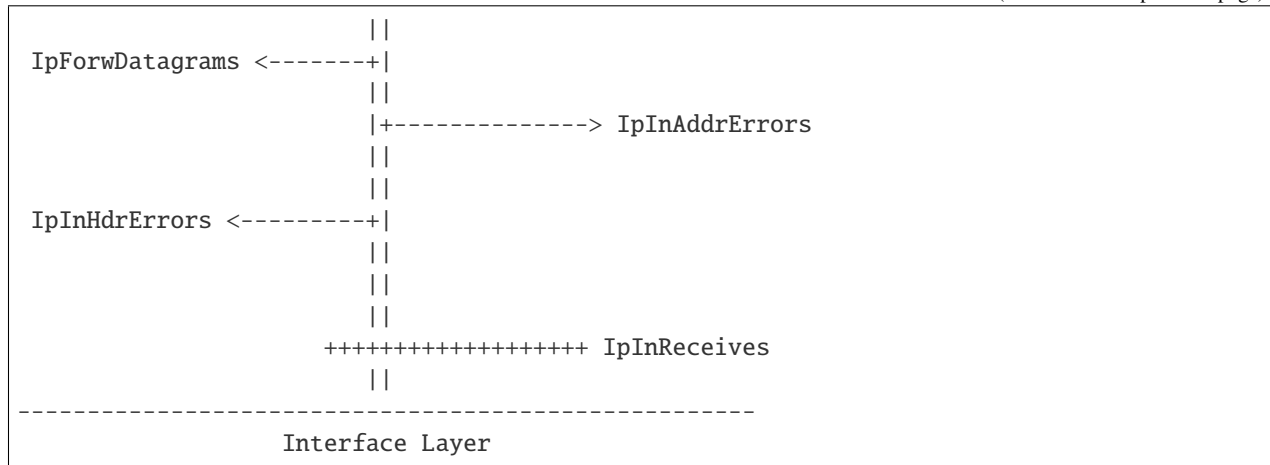
A *Case diagram* represents the flow of packets through a stack and the different variables that are updated as the packet progress through the stack. The incoming packets are represented as progressing from the bottom layer of the stack to the upper layer, while the outgoing packets are represented in the other direction. The progression of these packets is represented using a large arrow. An horizontal line that crosses this arrow indicates the point in the stack where the associated SNMP counter is updated. A small that leaves the main packet processing flow indicates a specific treatment for a packet and a counter that is updated. In some cases, an arrow enters the main workflow and updates the associated counter.

The original paper used the IP counters of the MIB-2 to illustrate the *Case diagrams*. This figure is reproduced below in ASCII format to simplify the updates to the document.



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The *Case diagram* above shows how the packets are processed by the IP stack. First, the Interface layer extracts the payload of the received frame and passes it to the IP layer. At this point, the `IpInReceives` counter is incremented. The processing of the IPv4 packet starts. First, the stack checks for errors inside the IPv4 header. If an error is detected in the IPv4 header, the packet is dropped and `IpInHdrErrors` is incremented. Then, the destination address is checked. If the address is incorrect, the packet processing stops and `IpInAddrErrors` is incremented.

If IP forwarding is enabled and the packet is not destined to this host, then the packet is forwarded using the FIB. The `IpForwDatagrams` counter is incremented.

The next step is to check whether the received packet is a fragment of a larger packet that needs to be reassembled. If the received packet is a fragment, then the `IpReasmReqds` counter is incremented and the packet passed through the reassembly process. This reassembly can take time since more fragments can be required to recover a complete packet. If the packet reassembly succeeds, then `IpReasmOKs` is incremented and the processing of the full packet continues. If the reassembly fails, e.g. because a fragment is missing before the timeout expires, then `IpReasmFails` gets incremented.

At this point, the packets have almost finished to be processed by the IP stack. Most packets will be delivered to the transport layer and increment the `IpInDelivers` counter except if the IP queue becomes full. In this case, the `IpInDiscards` counter is incremented. The incoming packet could also be discarded if its *Protocol* field does not match one of the transport layers supported by the stack (i.e. UDP, TCP, DCCP, ...). In this case, the `IpInUnknownProtos` counter is incremented.

2.4 The Multipath TCP counters

Linux version 5.18 maintains 46 counters for Multipath TCP. These counters correspond to different parts of the protocol and can be organized in four groups. The first group gathers the counters that are incremented when TCP packets containing the `MP_CAPABLE` option are processed. The second group gathers the counters that are incremented when processing packets with the `MP_JOIN` option. The third group gathers the counters that are modified when packets with the `ADD_ADDR`, `RM_ADDR` or `MP_PRIO` option are processed. The fourth group gathers the remaining counters of the Multipath TCP stack.

Two versions of Multipath TCP have been specified within the IETF. Version 0 was initially defined in [RFC 6824](#). The off-tree but well maintained set of patches distributed by <https://www.multipath-tcp.org> implemented this version of Multipath TCP. Based on the experience gathered with this implementation and also Apple's implementation, Multipath TCP evolved and the IETF published version 1 in [RFC 8684](#). The Multipath TCP counters correspond to this version of Multipath TCP.

2.4.1 The MPCapable counters

This group gathers the following counters: `MPTcpExtMPCapableSYNRX`, `MPTcpExtMPCapableSYNTAX`, `MPTcpExtMPCapableSYNACKRX`, `MPTcpExtMPCapableACKRX`, `MPTcpExtMPCapableFallbackACK`, `MPTcpExtMPCapableFallbackSYNACK` and `MPTcpExtMPFallbackTokenInit`. They relate to the establishment of the initial Multipath TCP subflow which is described in the *The Multipath TCP handshake* section.

The `MPTcpExtMPCapableSYNTAX` counter is similar to the `TcpActiveOpens` counter maintained by TCP. It counts the number of Multipath TCP connections that this host has tried to establish. Its value will usually be much smaller than `TcpActiveOpens`. When a Multipath connection is initiated using the `connect` system call, both `MPTcpExtMPCapableSYNTAX` and `TcpActiveOpens` are incremented. Although the name of the counter is `MPTcpExtMPCapableSYNTAX`, it is only incremented once per Multipath TCP connection if the SYN packet needs to be retransmitted.

The `MPTcpExtMPCapableSYNACKRX` counter is incremented every time a Multipath TCP connection is confirmed by the reception of a SYN+ACK with the `MP_CAPABLE` option to a SYN packet that it sent earlier. The value of this counter should be lower than `MPTcpExtMPCapableSYNTAX` since only a subset of the connections initiated by a host will typically reach a Multipath TCP compliant server. If a client receives a SYN+ACK without the `MP_CAPABLE` option in response to a SYN sent with the `MP_CAPABLE` option, then the `MPTcpExtMPCapableFallbackSYNACK` counter is incremented. This counter tracks the Multipath TCP connections that were forced to fall back to regular TCP during the three-way handshake of the initial subflow.

On the other hand, the `MPTcpExtMPCapableSYNRX` counter tracks the number of Multipath TCP connections that were accepted by the host. Its value will usually be much smaller than `TcpPassiveOpens` which tracks all accepted TCP connections. When a Multipath connection is accepted, both `MPTcpExtMPCapableSYNRX` and `TcpPassiveOpens` are incremented. As for `MPTcpExtMPCapableSYNTAX`, the `MPTcpExtMPCapableSYNRX` counter is only incremented once per connection and not each time a packet is received. Upon reception of a SYN with the `MP_CAPABLE` option, a Multipath TCP server returns a SYN+ACK with the `MP_CAPABLE` option. The `MPTcpExtMPCapableACKRX` counter is incremented upon reception of the third ACK containing the `MP_CAPABLE` option. If this option is not present in this ACK, then the `MPTcpExtMPCapableFallbackACK` gets incremented. If this counter increases, it probably indicates some interference with a middlebox that injects acknowledgments during the three-way handshake.

Listing 2.1: The MPCapable counters (active opens)

```

||
||
MPTcpExtMPCapableSYNTAX+++++++
||
||
|+-----> MPTcpExtMPCapableSYNACKRX
||
||
|+-----> MPTcpExtMPCapableFallbackSYNACK
||
||

```

Listing 2.2: The MPCapable counters (passive opens)

```

||
||
MPTcpExtMPCapableSYNRX+++++++
||
||
|+-----> MPTcpExtMPCapableACKRX
||

```

(continues on next page)

(continued from previous page)

```

||
|+-----> MPTcpExtMPCapableFallbackACK
||
||

```

2.4.2 The Join counters

There are thirteen counters in this group. They are incremented when a host processes SYN packets corresponding to additional subflows.

The first counter, `MPTcpExtMPJoinSynRx` is incremented every time a SYN packet with the `MP_JOIN` option is received. Upon reception of a such packet, the host first verifies that it knows the token of the Multipath TCP connection. If so, the processing continues and the host returns a SYN+ACK packet with the `MP_JOIN` option, its random number and a HMAC. Otherwise, the `MPTcpExtMPJoinNoTokenFound` counter is incremented. The host then waits for the third ACK which contains the `MP_JOIN` option and the HMAC computed by the remote host. It then checks the validity of the received HMAC. If the HMAC is invalid, then the `MPTcpExtMPJoinAckHMacFailure` counter is incremented.

The `MPTcpExtMPJoinSynRx` counter will increase on Multipath TCP hosts that accept subflows, typically servers. The value of the `MPTcpExtMPJoinACKRX` counter should be close to the previous one. If the two other counters, `MPTcpExtMPJoinNoTokenFound` or `MPTcpExtMPJoinAckHMacFailure` increase, then the system administrator should probably investigate as these are indication of possible attacks.

Listing 2.3: The Join counters when accepting subflows

```

||
||
MPTcpExtMPJoinSynRX ++++++
||
||
|+-----> MPTcpExtMPJoinNoTokenFound
||
||
MPTcpExtMPJoinACKRX ++++++
||
|+-----> MPTcpExtMPJoinAckHMacFailure
||
||

```

Unfortunately, there is no counter that tracks the creation of new subflows by a host. The TCP stack counts these new subflows as active opens, but there is no specific Multipath TCP counter. However, the `MPTcpExtMPJoinSynAckRX` counter tracks the reception of SYN+ACK packets containing the `MP_JOIN` option. This is thus an indirect way to track the creation of new subflows. Upon reception of such a packet, in response to a previously sent SYN packet with the `MP_JOIN` option, a host checks the validity of the received HMAC. If the HMAC is invalid, the `MPTcpExtMPJoinSynAckHMacFailure` is incremented. This counter should rarely increase. If it increases, then the problem should be investigated by collecting packet traces.

Listing 2.4: The Join counters when initiating subflows

```

||
||
MPTcpExtMPJoinSynAckRX ++++++
||

```

(continues on next page)

(continued from previous page)

```

||
|+-----> MPTcpExtMPJoinSynAckHMacFailure
||
||

```

A Multipath TCP host will usually accept additional subflows on the address and ports where the initial subflow was accepted. The following counters track the arrival of packets destined to different port numbers:

- MPTcpExtMPJoinPortSynRx
- MPTcpExtMPJoinPortSynAckRx MPTcpExtMPJoinPortAckRx

The last two counters, MPTcpExtMismatchPortSynRx and MPTcpExtMismatchPortAckRx are a bit different. They are incremented when a SYN or ACK sent to a different port number are received.

The MP_JOIN option contains a B that indicates whether the new subflow should be considered as a backup subflow or a regular one. This information is used by the path manager, but no counter tracks the value of the backup bit in the MP_JOIN option. Once a subflow has been established, its backup status can be changed using the MP_PRIO option. The MPTcpExtMPPrioTx counter is incremented every time such an option is sent. The MPTcpExtMPPrioRx counter is incremented by each received MP_PRIO option.

2.4.3 The address advertisement counters

There are six counters in this group. The advertisement of addresses by Multipath TCP is described in *ref:Address management <mmtplib:mptcp-addr-management>*.

When a host receives a packet with a valid ADD_ADDR option with its Echo bit set to zero, the MPTcpExtAddAddr counter is incremented. If this option includes an optional port number, the MPTcpExtPortAdd counter is also incremented. In addition to these two counters, the MPTcpExtAddAddrDrop tracks the address advertisements that were received by the host, but not processed by the path manager, e.g. because no user space path manager was active.

Multipath TCP does not track the advertisements of addresses by sending the ADD_ADDR option. However, it tracks the reception of packets containing the ADD_ADDR option with the Echo bit set to one with the MPTcpExtEchoAdd counter. These packets are echoed by the remote host.

Similarly, the MPTcpExtRmAddr counter tracks the number of received RM_ADDR options. These options typically indicate a change in the addresses owned by a remote peer. Mobile hosts are likely to send these options when they move from one type of network to another. The MPTcpExtRmAddrDrop is incremented when the path manager cannot process an incoming RM_ADDR option.

When a host receives a RM_ADDR option from a remote peer, its path manager should remove the subflows associated with this address. The MPTcpExtRmSubflow counter tracks the number of subflows that have been destroyed by a path manager.

2.4.4 The connection termination counters

There are seven counters in this group. They track the abnormal termination of a Multipath TCP connection. A normal Multipath TCP connection should end with the exchange of DATA_FIN in both directions. However, are scenarios are possible. First, one of the hosts may wish to quickly terminate the Multipath TCP connection without having to maintain state. Multipath TCP uses the FAST_CLOSE option in this case. The MPTcpExtMPFastcloseTx and MPTcpExtMPFastcloseRx counters track the transmission and the reception of such options.

Multipath TCP was designed to prevent as much as possible interference from middleboxes, but there are some types of interferences that force Multipath TCP to fallback to regular TCP. In this case, the host that first noticed the interference (e.g. problem during the handshake, DSS checksum problem, ...) sends a packet with the MP_FAIL option.

This forces the Multipath TCP connection to fall back to a regular TCP connection. The `MPTcpExtMPFailTx` and `MPTcpExtMPFailRx` counters track the transmission and the reception of the `MP_FAIL` option. During some types of fall backs, a host may also send an infinite DSS mapping. The `MPTcpExtInfiniteMapRx` counter tracks the reception of such infinite DSS mappings.

An increase of these counters would indicate some type of middlebox interference which should be investigated since it could prevent a complete utilization of Multipath TCP.

Like TCP, Multipath TCP uses TCP RST to terminate subflows. Multipath TCP also defines the `MP_TCP_RST` option which can contain an option reason code and flags indicating some information about the reason for the transmission of the RST. The `MPTcpExtMPRstTx` and `MPTcpExtMPRstRx` counters track the transmission and the reception of such RST packets.

2.4.5 The other counters

The remaining eleven counters are mainly related to processing of data.

If the DSS checksum is enabled, the `MPTcpExtDataCsumErr` is incremented every time a check of the DSS checksum fails. This should be a rare event that likely indicates the presence of middleboxes. It should be correlated with the `MPTcpExtMPFailTx` and `MPTcpExtMPFailRx` counters discussed in the previous section.

Three counters track the DSS option of the incoming packets : `MPTcpExtDSSNotMatching`, `MPTcpExtDSSNoMatchTCP` and `MPTcpExtNoDSSInWindow`. The first counter is incremented when a mapping is received for data that has already been mapped and the new mapping is not the same as the existing one. The second counter is incremented when the TCP sequence numbers found in the mapping do not match with the current TCP sequence numbers. The third counter is incremented upon reception of a packet that indicates a DSS option that is outside the current window. These three counters should rarely increase.

The last counter that tracks data at the Multipath TCP connection level is `MPTcpExtDuplicateData`. It counts the number of received packets whose data has been ignored because it had already been received earlier. Such duplicated data can occur with Multipath TCP when data sent over a subflow is retransmitted over another subflow. It would be interesting to follow the evolution of this counter on a server that interacts with mobile devices.

Multipath TCP tracks losses on the subflows that compose a Multipath TCP connection. If one subflow accumulates losses, it may be marked as stale and the packet scheduler will stop using it to transmit data until the losses have been recovered. The `MPTcpExtSubflowStale` counter is incremented every time a subflow is marked as being stale. The `MPTcpExtSubflowRecover` counter tracks the transitions from stale to active.

Multipath TCP uses an out-of-order queue to reorder the data received over the different subflows. The `MPTcpExtOFOQueueTail` and `MPTcpExtOFOQueue` counters track the insertion of data at the tail and in the out-of-order queue. The `MPTcpExtOFOMerge` is incremented when data present in the out-of-order queue can be merged.

Finally, the `MPTcpExtRcvPruned` tracks the number of packets that were dropped because the memory available for Multipath TCP was full. If this counter increases, you should probably check the memory configuration of your host.

MULTIPATH TCP ON APPLE DEVICES

Apple is among the early adopters of Multipath TCP. The first implementation appeared in iOS7 in September 2013. The implementation has been refined later and incorporated in MacOS as well. As of 2022, it is likely that iOS, iPadOS and MacOS use the same Multipath TCP implementations.

Apple does not publish lots of details about the features of its Multipath TCP implementations, but interested readers can explore the source code of recent OS releases.

3.1 Multipath TCP on MacOS Big Sur

On MacOS, part of the Multipath TCP parameters can be specified using `sysctl` variables. The following variables are supported on Big Sur :

```
sysctl -a | grep mptcp
net.inet.mptcp.dss_csum: 0
net.inet.mptcp.enable: 1
net.inet.mptcp.fail: 1
net.inet.mptcp.keepalive: 840
net.inet.mptcp.mptcp_cap_retr: 4
net.inet.mptcp.probecnt: 5
net.inet.mptcp.probeto: 1000
net.inet.mptcp.rto_thresh: 1500
net.inet.mptcp.rtthist_thresh: 600
net.inet.mptcp.alternate_port: 0
net.inet.mptcp.dbg_area: 31
net.inet.mptcp.dbg_level: 1
net.inet.mptcp.pcbcount: 0
net.inet.mptcp.allow_aggregate: 0
net.inet.mptcp.expected_progress_headstart: 5000
net.inet.mptcp.no_first_party: 0
net.inet.mptcp.nrto: 3
net.inet.mptcp.rto: 3
net.inet.mptcp.tw: 60
```

There is no detailed description of the definition of these `sysctl` variables on MacOS, but some can be inferred based on their names and the sources of the [xnu sources](#).

The first important `sysctl` variable is `net.inet.mptcp.enable`. It controls whether Multipath TCP is enabled (variable set to 1, the default) or not.

The second `sysctl` variable is `net.inet.mptcp.dss_csum`. It controls the utilization of the DSS checksum. By default, this checksum is disabled, but it can be enabled if required.

The third `sysctl` variable, `net.inet.mptcp.mptcp_cap_retr` probably controls the number of SYN with the `MP_CAPABLE` option that are sent before removing this option to prevent broken middleboxes from blocking the establishment of Multipath TCP connections. The default value for this parameter is 4.

The fourth `sysctl` variable, `net.inet.mptcp.allow_aggregate` probably controls whether Multipath TCP can actively use two different subflows to send data. The initial use case for Multipath TCP on Apple devices was mainly failover and the ability to aggregate bandwidth was added later. The default value of this option is 0 which indicates that it is disabled.

3.2 Multipath TCP on MacOS Ventura

3.3 Adding Multipath TCP support to existing applications

Apple exposes some of the Multipath TCP features to applications written in Objective C using the [Network Framework](#). In particular, the [example](#) showing how to implement a `netcat`-like application would be useful for application developers.

3.4 Multipath TCP enabled applications

Apple uses Multipath TCP for some of its own applications, notably:

- Siri
- Apple Maps
- Apple Music

Third party applications can also use Multipath TCP. These include :

- the excellent [Secure ShellFish](#) ssh client

THE TRANSMISSION CONTROL PROTOCOL (TCP)

TCP is a connection-oriented transport protocol. This means that a TCP connection must be established before communicating hosts can exchange data. A connection is a logical relation between the two communication hosts. Each host maintains some state about the connection and uses it to manage the connection.

TCP uses the three-way handshake as shown in Fig. 4.1. To initiate a connection, the client sends a TCP segment with the SYN flag set. Such a segment is usually called a SYN segment. It contains a random sequence number (x in Fig. 4.1). If the server accepts the connection, it replies with a SYN+ACK segment whose SYN and ACK flags are set. The acknowledgment number of this segment is set to $x+1$ to confirm the reception of the SYN segment sent by the client. The server selects a random sequence number (y in Fig. 4.1). Finally, the client replies with an ACK segment that acknowledges the reception of the SYN+ACK segment. TCP was designed to be extensible. The TCP header contains a

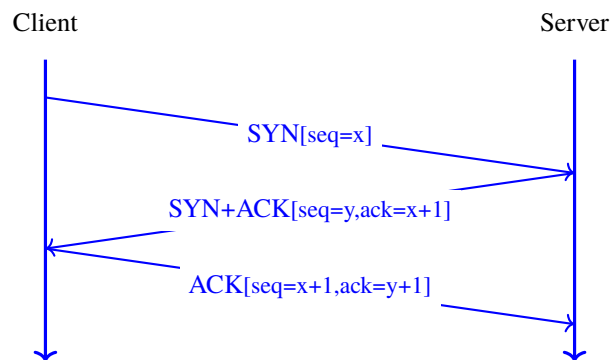


Fig. 4.1: Establishing a TCP connection using the three-way handshake

TCP Header Length (THL) field that indicates the total length of the TCP header in four-bytes words. For the normal header, this field is set to 5, which corresponds to the 20 bytes long TCP header. Larger values of the THL field indicate that the segment contains one or more TCP options. TCP options are encoded as a Type-Length-Value field. The first byte specifies the Type, the second byte indicates the length of the entire TCP option in bytes. The utilization of TCP options is usually negotiated during the three-way-exchange. The client adds a TCP option in the SYN segment. If the server does not recognize the option, it simply ignores it. If the server wants to utilize the extension for the connection, it simply adds the corresponding option in the SYN+ACK segment. This is illustrated in Fig. 4.2 with the Selective Acknowledgments extension [13] as an example. A TCP connection is identified by using four fields that are included inside each TCP packet:

- the client IP address
- the server IP address
- the client-selected port
- the server port

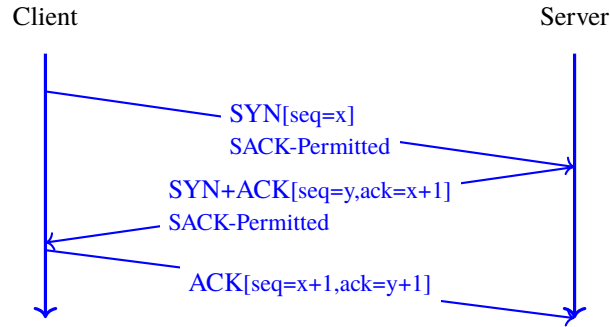


Fig. 4.2: Negotiating the utilization of Selective Acknowledgments during the three-way handshake

All TCP packets that belong to a connection contain these four fields in the IP and TCP header. When a host receives a packet, it

- `snd.una`, the oldest unacknowledged sequence number
- `snd.nxt`, the next sequence number of be sent
- `rcv.win`, the latest window advertised by the remote host

A TCP sender also stores the data that has been sent but has not yet been acknowledged. It also measures the round-trip-time and its variability to set the retransmission timer and maintains several variables that are related to the congestion control scheme.

A TCP receiver also maintains state variables. These include `rcv.next`, the next expected sequence number. Data received in sequence can be delivered to the application while out-of-sequence data must be queued.

Finally, TCP implementations store the state of the connection according to the TCP state machine [4].

TCP implementations include lots of optimizations that are outside the scope of this brief introduction. Let us know briefly describe how TCP sends data reliably. Consider a TCP connection established between a client and a server. Fig. 4.3 shows a simple data transfer between these two hosts. The sequence number of the first segment starts at 1234, the current value of `snd.nxt`. For TCP, each transmitted byte consumes one sequence number. Thus, after having sent the first segment, the client's `snd.nxt` is set to 1238. The server receives the data in sequence and immediately acknowledges it. A TCP receiver always sets the acknowledgment number of the segments that it sends with the next expected sequence number, i.e. `rcv.nxt`. In practice, TCP implementations use the Nagle algorithm [14]

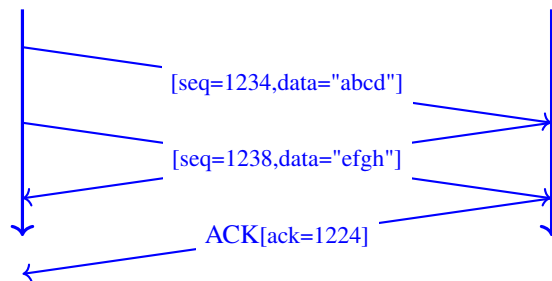


Fig. 4.3: TCP Reliable data transfer

and thus usually try to send full segments. They use the Maximum Segment Size (MSS) option during the handshake and PathMTU discovery to determine the largest segment which can be safely sent over a connection. Furthermore, TCP implementations usually delay acknowledgments and only acknowledge every second segment when these are received in sequence. This is illustrated in Fig. 4.4. TCP uses a single segment type and each segment contains both a sequence number and an acknowledgment number. The sequence number is mainly useful when a segment contains data. A receiver only processes the acknowledgment number if the ACK flag is set. In practice, TCP uses cumulative

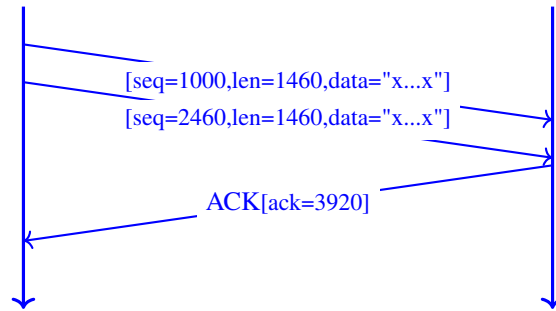


Fig. 4.4: TCP Reliable data transfer with delayed acknowledgments.

acknowledgments and all the segments sent on a TCP connection have their ACK flag set. The only exception is the SYN segment sent by the client to initiate a connection. TCP uses different techniques to retransmit corrupted or lost

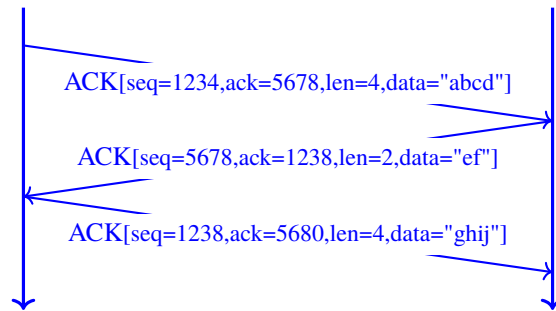


Fig. 4.5: TCP piggybacking.

data. The TCP header contains a 16 bits checksum that is computed over the entire TCP segment and a part of the IP header. The value of this checksum is computed by the sender and checked by the receiver to detect transmission errors. TCP copes with these errors by retransmitting data. The simplest technique is to rely on a retransmission timer. TCP continuously measure the round-trip-time, i.e. the delay between the transmission of a segment and the reception of the corresponding acknowledgment. It then sets a per-connection retransmission timer based on its estimations of the mean rtt and its variance [15]. This is illustrated in Fig. 4.6 where the arrow terminated with red cross corresponds to a lost segment. Upon expiration of the retransmission timer, the client retransmits the unacknowledged segment. For performance reasons, TCP implementations try to avoid relying on the retransmission timer to retransmit the lost segments. Modern TCP implementations use selective acknowledgments which can be negotiated during the handshake. This is illustrated in Fig. 4.7. A selective acknowledgment reports blocks of sequence number that have been received correctly by the receiver. Upon reception of the SACK option, the sender knows that sequence numbers 1234–1237 have not been received while sequence numbers 1238–1250 have been correctly received. When the client and the sender have exchanged all the required data, they can terminate the connection. TCP supports two different methods to terminate a connection. The reliable manner is that each host closes its direction of data transfer by sending a segment with the FIN flag set. The sequence number of this segment marks the end of the data transfer and the recipient of the segment acknowledges it once it has delivered all the data up to the sequence number of the FIN segment to its application. The release of a TCP connection is illustrated in Fig. 4.8. To reduce the size of the figure, we have set the FIN flag in segments that contains data. The server considers the connection to be closed upon reception of the FIN+ACK segment. It discards the state that it maintained for this now closed TCP connection. The client also considers the connection to be closed when it sends the FIN+ACK segment since all data has been acknowledged. However, it does not immediately discard the state for this connection because it needs to be able to retransmit the FIN+ACK segment in case it did not reach the server.

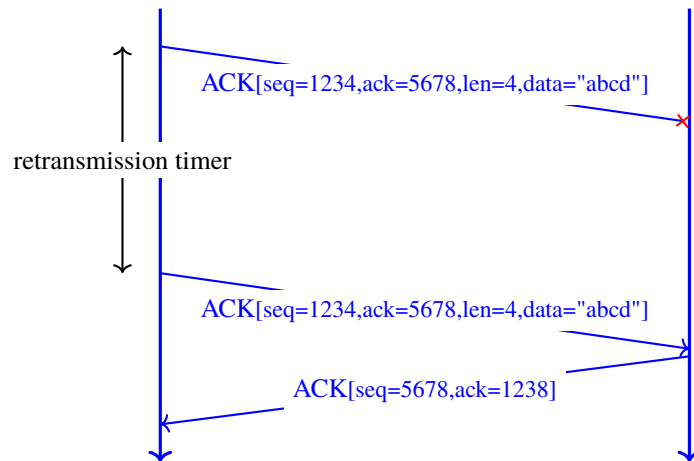


Fig. 4.6: TCP protects data by a retransmission timer

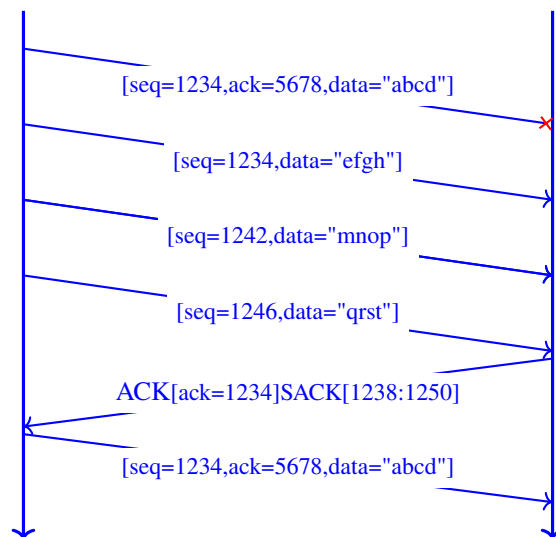


Fig. 4.7: TCP leverages selective acknowledgments to retransmit lost data

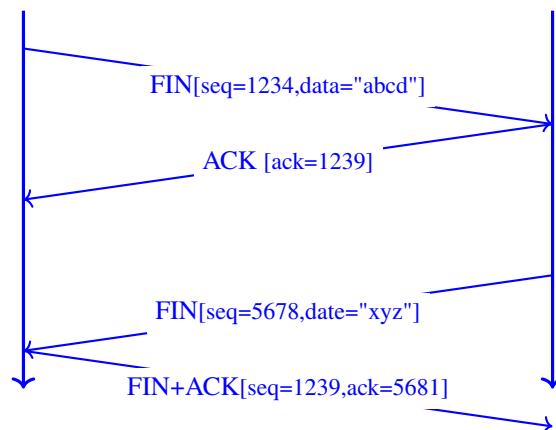


Fig. 4.8: Closing a TCP connection using the FIN flag

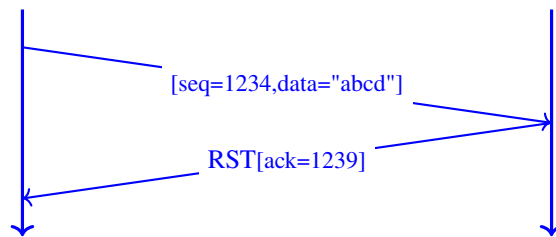


Fig. 4.9: Closing a TCP connection using a RST segment

MULTIPATH TCP

Multipath TCP [16] is an extension to the TCP protocol [4] that was described in chapter *The Transmission Control Protocol (TCP)*. We start with an overview of Multipath TCP. Then we explain how a Multipath TCP connection can be established. Then we analyze how data is exchanged over different paths and explain the multipath congestion control schemes. Finally, we explain how Multipath TCP connections can be terminated.

5.1 A brief overview of Multipath TCP

The main design objective for Multipath TCP [8] was to enable hosts to exchange the packets that belong to a single TCP connection over different network paths. Several definitions are possible for a network path. Considering a TCP connection between a client and a server, a network path can be defined as the succession of the links and routers that create a path between the client and the server. For example, in Fig. 5.1, there are many paths between the client host C and the server S , e.g. $C \rightarrow R1 \rightarrow R2 \rightarrow R4 \rightarrow S$ and $C \rightarrow R1 \rightarrow R3 \rightarrow R4 \rightarrow S$, but also $C \rightarrow R1 \rightarrow R3 \rightarrow R5 \rightarrow R4 \rightarrow S$ or even $C \rightarrow R1 \rightarrow R2 \rightarrow R4 \rightarrow R3 \rightarrow R5 \rightarrow R4 \rightarrow S$. During the first discussions on Multipath

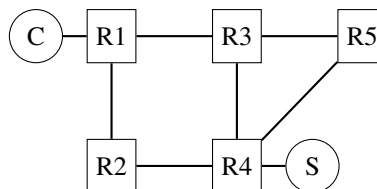


Fig. 5.1: A simple network providing multiple paths between C and S

TCP within the IETF, there was a debate on the types of paths that Multipath TCP could use in IP networks. Although networks provide a wide range of paths between a source and a destination, it is not necessarily simple to use all these paths in a pure IP network. Looking at Fig. 5.1 and assuming that all links have the same IGP weight, packets sent by C will follow one of the two shortest paths, i.e. $C \rightarrow R1 \rightarrow R2 \rightarrow R4 \rightarrow S$ or $C \rightarrow R1 \rightarrow R3 \rightarrow R4 \rightarrow S$. Since routers usually use hash-based load-balancing [11] to distribute packets over equal cost paths, all the packets from a given connection will follow either the first or the second shortest path. In most networks, the path followed by a TCP connection will only change if there are link or router failures on this particular path.

When Multipath TCP was designed, the IETF did not want to design techniques to enable the transport layer to specify the paths that packets should follow. They opted for a very conservative definition of the paths that Multipath TCP can use [17]. Multipath TCP assumes that the endpoints of a TCP connection are identified by their IP addresses. If two hosts want to exchange packets over different paths, then at least one of them must have two or more IP addresses. This covers two very important use cases:

- mobile devices like the smartphones that have a cellular and a Wi-Fi network interface each identified by its own IP address
- dual-stack hosts that have both an IPv4 and an IPv6 address

In this document, we will often use smartphones to illustrate Multipath TCP client hosts. This corresponds to a widely deployed use case that simplifies many of the examples, but is not the only possible deployment.

Note: Using non-equal cost paths with Multipath TCP

When Multipath TCP was designed, there was no standardized solution that enabled a host to control the path followed by its packets inside a network. This is slowly changing. First, the IETF has adopted the Segment Routing architecture [18]. This architecture is a modern version of source routing which can be used in MPLS and IPv6 networks. In particular, using the IPv6 Segment Routing Header [19], a host can decide the path that its packets will follow inside the network. This opens new possibilities for Multipath TCP. Some of these possibilities are explored by the Path Aware Networking Research Group of the Internet Research Task Force.

A second important design question for the Multipath TCP designers was how use two or more paths for a single connection ? As an example, let us consider a smartphone that interacts with a server. This smartphone has two different IP addresses: one over its Wi-Fi interface and one over its cellular interface. A naive way to use these two networks would be to operate as shown Fig. 5.2. The smartphone would initiate a TCP connection over its Wi-Fi interface as shown in blue in Fig. 5.2. This handshake creates a connection and thus some shared state between the smartphone and the server. Given this state, could the smartphone simply sent the next data over the cellular interface (shown in red in Fig. 5.2) ? Unfortunately, this utilization of the two paths between the smartphone and the server

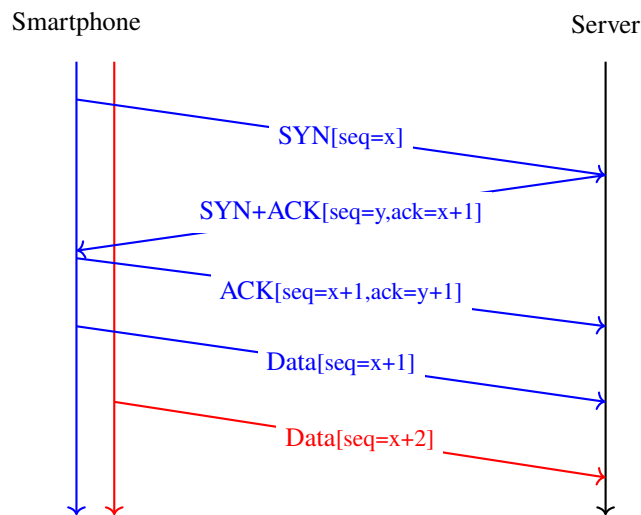


Fig. 5.2: A naive approach to create a Multipath TCP connection

poses different problems. First, the server must be able to accept the packet sent by the smartphone over the cellular interface and associate it with the connection created over the Wi-Fi interface. However, the packets sent over the cellular interface use a different source address than those sent over the Wi-Fi interface. When the server receives such a packet, how can it be associated with an existing connection ? If the server blindly accept this packet from another address than the one used during the handshake, then there are obvious security risks. By sending a single packet, an attacker could inject data inside an existing connection. Furthermore, he could cause a denial of service attack by sending a spoofed packet in an existing connection that requests the server to send a large volume of data to the spoofed address. Furthermore, a middlebox such as a firewall on the cellular path between the smartphone and the server could block the packet because it does not belong to a TCP connection created on the cellular path.

To cope with this problem, the Multipath TCP designers opted for an architecture where a Multipath TCP connection combines several TCP connections that are called subflows over the different paths. In the above example, the smartphone would first create a connection over the Wi-Fi interface. It would later initiate a TCP connection over its cellular interface and use Multipath TCP to link it to the connection created over the Wi-Fi interface.

A Multipath TCP connection starts with a three-way handshake like a regular TCP connection. As with all TCP

extensions, the client uses an option in the SYN to indicate its willingness to use the multipath extensions. The server confirms that it agrees to use this extension by sending the same option in the SYN+ACK. This is illustrated in Fig. 5.3 where the client sends a SYN with the MPC option to negotiate a Multipath TCP connection with a server. If the server replies with the same option, the handshake succeeds and creates the first subflow belonging to this Multipath TCP connection. The client and the server can send data over this connection as over any TCP connection. To use a second path, the client (or the server), must initiate another TCP handshake over the new path. The SYN sent over this second path uses the MPJ option to indicate that this is an additional subflow that must be linked to an existing Multipath TCP connection. This is illustrated in Fig. 5.3. These two three-way handshakes create two TCP connections called subflows

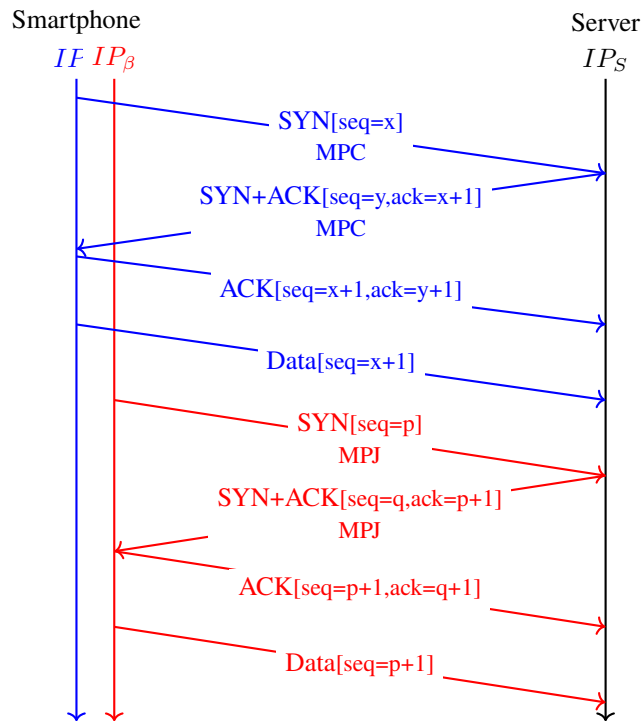


Fig. 5.3: A Multipath TCP connection with two subflows

in the Multipath TCP terminology. It is useful to analyze how these two connections are identified on the server. A host identifies a TCP connection using four identifiers that are present in all the packets of this connection:

- the local IP address
- the remote IP address
- the local port
- the remote port

Assume that the client uses IP address IP_α on its Wi-Fi interface and IP_β on its cellular interface and that p is the port used by the server. If the client used port p_1 to create the initial subflow, then the identifier of this subflow on the server is $\langle IP_S, IP_\alpha, p, p_1 \rangle$. Similarly, the second subflow is identified by the $\langle IP_S, IP_\beta, p, p_2 \rangle$ tuple on the server. Note that these two connection identifiers differ by at least one IP address as specified in [17].

A server usually manages a large number of simultaneous connections. Furthermore, a client may establish several connections with the same server. To associate a new subflow with an existing Multipath TCP connection, a server must be able to link an incoming SYN with the corresponding Multipath TCP connection. For this, the client must include an identifier of the associated Multipath TCP connection in its MPJ option. This identifier must unambiguously identify the corresponding Multipath TCP connection on the server.

A first possible identifier is the four tuple that identifies the initial subflow, i.e. $\langle IP_S, IP_\alpha, p, p_1 \rangle$. If the server

received this identifier in the MPJ option, it could link the new subflow to the previous one. Unfortunately, this solution does not work in today's Internet. The main concern comes from the middleboxes such as NATs and transparent proxies. To illustrate the problem, consider a simple NAT, such as the one used on most home Wi-Fi access points. Fig. 5.4 illustrates a TCP handshake in such an environment. The smartphone uses a private IP address, IP_P and the NAT uses

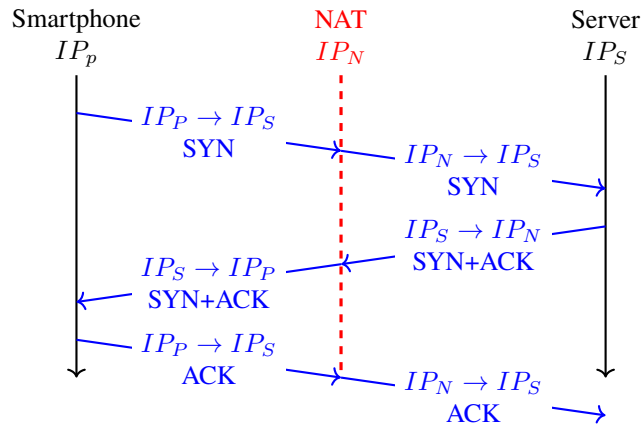


Fig. 5.4: Network Address Translation interferes with TCP

a public address IP_N . If we assume that the NAT only changes the client's IP address, then the connection is identified by the $\langle IP_P, IP_S, p, p_1 \rangle$ tuple on the smartphone and $\langle IP_S, IP_N, p, p_1 \rangle$ on the server. Note some NATs also change the client port. If the smartphone places its local connection identifier inside an MPJ option, the server might not be able to recognize the corresponding connection in the SYN packets that it received.

To cope with this problem, Multipath TCP uses a local identifier, called *token* in the Multipath TCP specification, to identify each Multipath TCP connection. The client assigns its token when it initiates a new Multipath TCP connection. A server assigns its token when it accepts a new Multipath TCP connection. These two tokens are chosen independently by the client and the server. For security reasons, these tokens should be random. The MPJ option contains the token assigned by the remote host. This is illustrated in Fig. 5.5. The server assigns token 456 to the Multipath TCP connection created as the first subflow. It informs the smartphone by sending this token in its MPC option in the SYN+ACK. When the client creates the second subflow, it includes its token in the MPJ option of its SYN.

Note: Multipath TCP in datacenters

The Multipath TCP architecture [17] assumes that at least one of the communicating hosts use different IP addresses to identify the different paths used by a Multipath TCP connection. In practice, this architectural requirement is not always enforced by Multipath TCP implementations. A Multipath TCP implementation can combine different subflows into one Multipath TCP connection provided that each subflow is identified by a different four-tuple. Two subflows between two communicating hosts can differ in their client-selected ports. This solution has been chosen when Multipath TCP was proposed to mitigate congestion in datacenter networks [10].

Several designs exist for datacenter networks, but the fat-tree architecture shown in Fig. 5.6 is a very popular one.

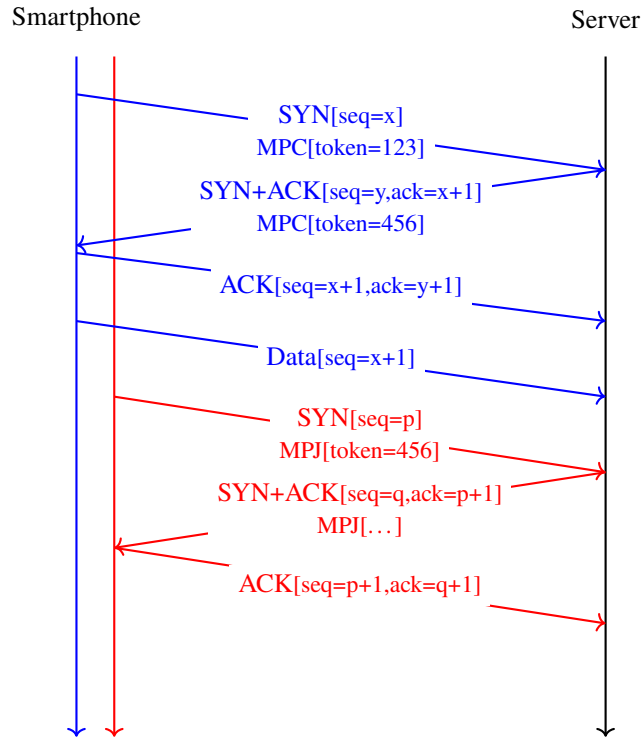


Fig. 5.5: The tokens exchanged during the handshake allow to associate subsequent subflows to existing Multipath TCP connections

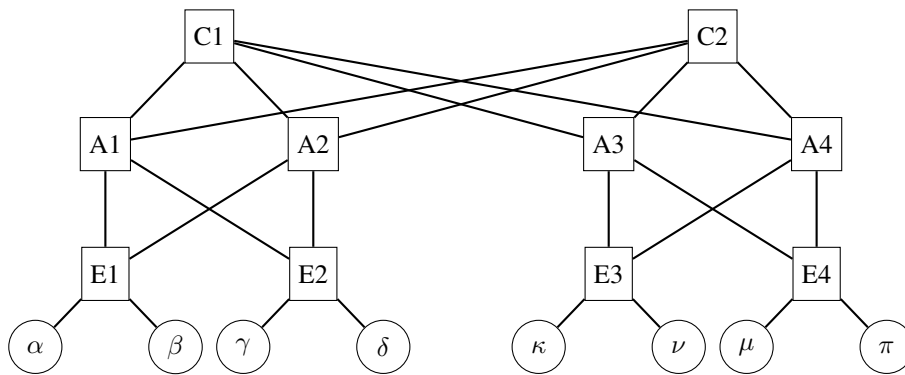


Fig. 5.6: A simple datacenter network

This network topology exposes a large number of equal cost paths between the servers that are shown using circles in Fig. 5.6. For example, consider the paths between the α and π hosts. The paths start at $E1$. This router can reach $E4$ and π via $A1$ or $A2$. Each of these two aggregation routers can reach π via one of the two core routers. These two routers can then balance the flows via both $A3$ and $A4$. There are $2^4 = 16$ different paths between α and π in this very small network. If each of these routers balance the incoming packets using a hash function [11] that takes as input their source and destination addresses and ports, then the subflows of a Multipath TCP connection that use different client problems will be spread evenly across the network topology. Raiciu et al. provide simulations and measurements showing the benefits of using Multipath TCP in datacenters [10].

Once a Multipath TCP connection and the additional subflows have been established, we can use them to exchange data. An important point to remember is that a Multipath TCP connection provides a bidirectional bytestream service

like a regular TCP connection. This service does not change even if Multipath TCP uses different subflows to carry the data between the sender and the receiver. As an example, consider a sender that sends ABCD one byte at a time over a Multipath TCP connection composed of two subflows. A naive approach to send these bytes would be to simply place them in different TCP segments. This is illustrated in Fig. 5.7 where we assume that the two TCP subflows have already been established. In this example, the Smartphone slowly sends data in sequence. The server receives the data

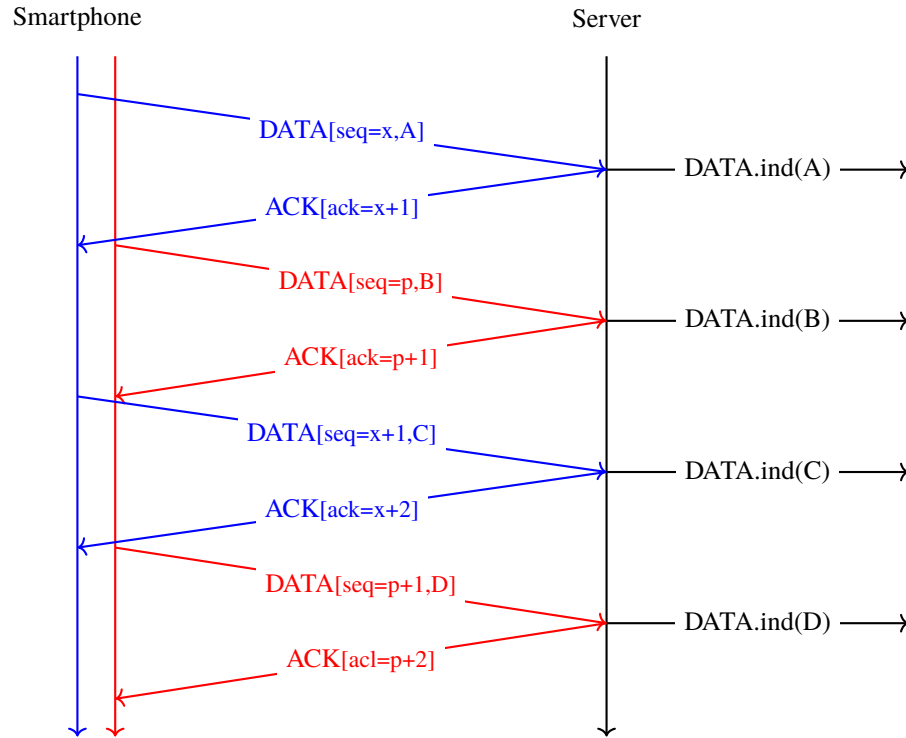


Fig. 5.7: A naive approach to send data over a Multipath TCP connection

in sequence over the two subflows and the server could simply deliver the data as soon as it arrives over each subflow. This is illustrated with the `DATA.ind(...)` primitives that represent the delivery of the data to the server application. However, consider now that the first packet sent on the red subflow is lost and is retransmitted together with the fourth byte as shown in Fig. 5.8. In Fig. 5.8, it is clear that the server cannot simply deliver the data as soon as it receives it to its application. If the server behaves this way, it will deliver ACBD to its application instead of the ABCD bytestream send by the smartphone. To cope with the reordering of the data sent over the different subflows, Multipath TCP includes bytestream-level data sequence numbers that enable it to preserve the ordering of the data sent over the bytestream. This is illustrated in Fig. 5.9 with the bytestream-level sequence number shown as `bseq`. We will detail later how this sequence number is exactly transported by Multipath TCP. Thanks to the bytestream sequence number, the server can reorder the data received over the different subflows and preserve the ordering in the bytestream.

5.2 Creating a Multipath TCP connection

Before delving into the details of how a Multipath TCP connection is created, let us first analyze the main requirements of this establishment and how they can be met without considering all the protocol details. During the three-way handshake, TCP hosts agree to establishment a connection, select the initial sequence number in each direction and negotiate the utilization of TCP extensions. In addition to these objectives, the handshake used by Multipath TCP also allows the communicating hosts to:

- agree to use the Multipath TCP extension

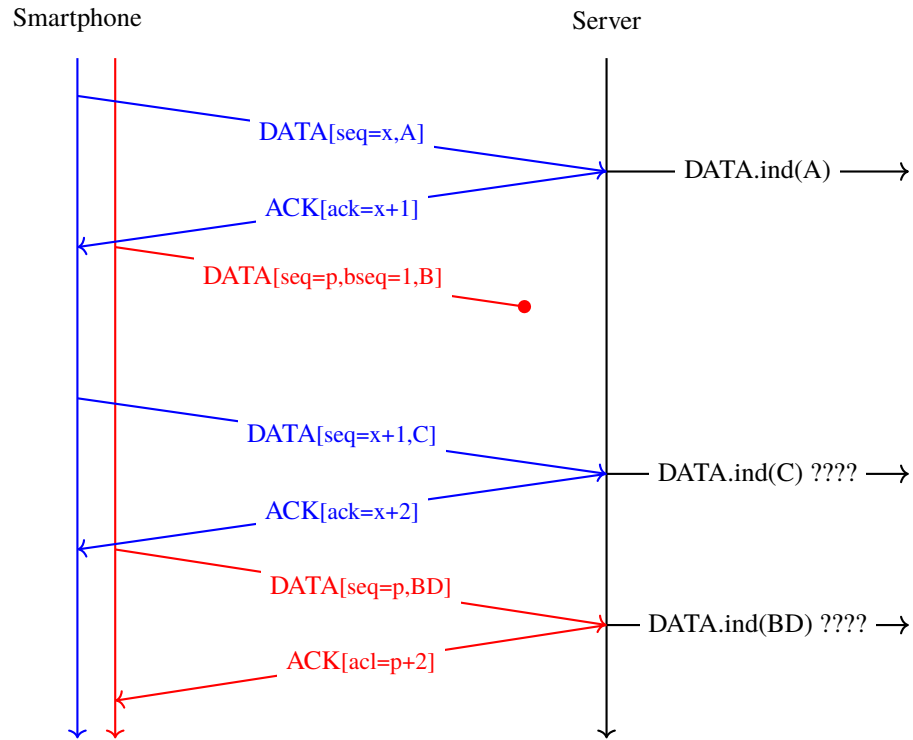


Fig. 5.8: A naive approach to send data over a Multipath TCP connection

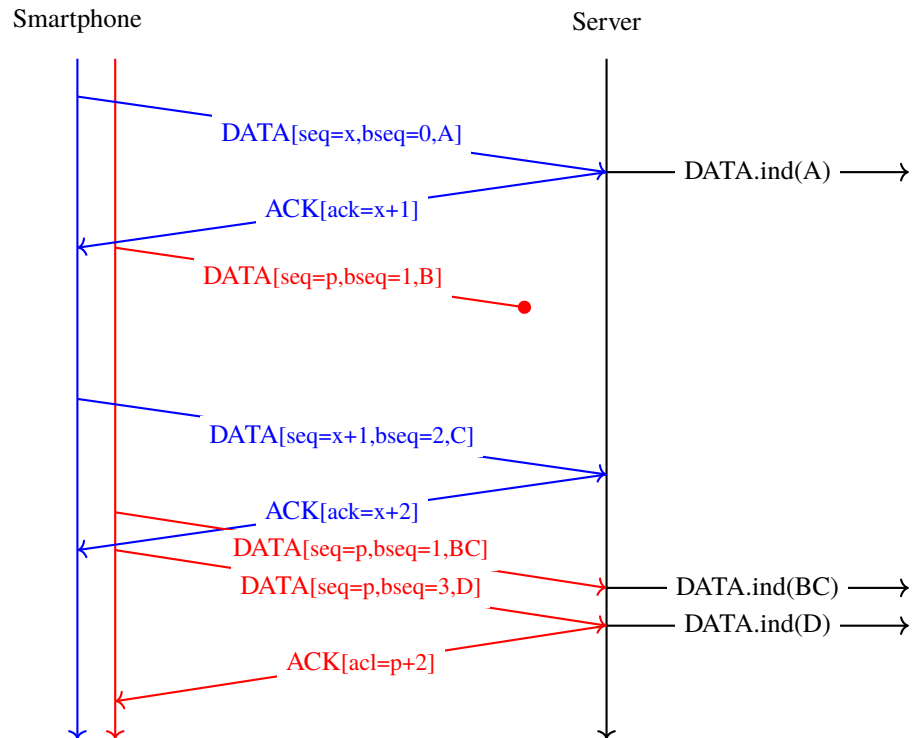


Fig. 5.9: A naive approach to send data over a Multipath TCP connection

- exchange the tokens used to identify the connection
- agree on initial bytestream sequence numbers

To meet the first objective, the client simply needs to send a Multipath TCP option (MPO) in its SYN. If the server supports Multipath TCP, it will respond with a SYN+ACK that carries this option.

To meet the second objective, the simplest solution is reserve some space, e.g. 64 bits, in the MPO option to encode the token chosen by the host that sends the SYN or SYN+ACK. With this approach, each host can autonomously select the token that it uses to identify each Multipath TCP connection. To meet the third objective, the simplest solution is also to place the initial sequence number in the MPO option. Fig. 5.10 illustrates a handshake using the MPO option. The Multipath TCP working group was worried about the risk of attacks with this approach. When the smartphone

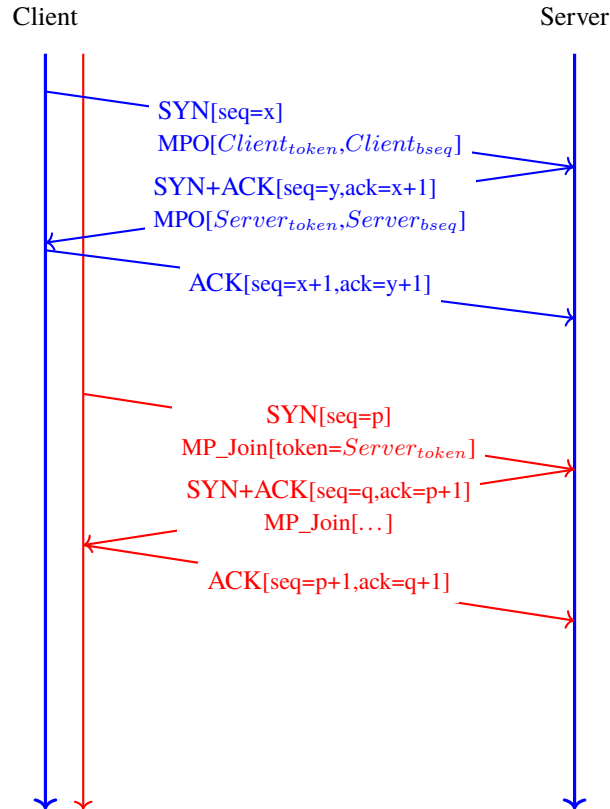


Fig. 5.10: Opening a Multipath TCP connection with a MPO option

creates an additional subflow, it includes the token allocated by the server inside the MP_JOIN option. This token serves two different purposes. First, it identifies the relevant Multipath TCP connection on the server. Second, it also “authenticates” that the SYN also originates from this client. Authenticating the client is a key concern from a security viewpoint. The main risk is that an on-path attacker who has observed the token in the MP_JOIN option can reuse it to create additional subflows from any other source. To cope with this problem, Multipath TCP relies on a shared secret that the client and the server exchange during the initial handshake. The client proposes one half of the secret and the server the other half. This is illustrated in Fig. 5.11. The client proposes its part of the shared secret in the SYN ($Client_{secret}$). The server replies with its part of the secret in the SYN+ACK. Using these two components of the shared secret, the client and the server must be able to authenticate the additional subflows without revealing the shared secret to an attacker who is able to capture packets on the path of the additional subflow. Multipath TCP requires each host to perform a HMAC [20] of a random number to confirm their knowledge of the shared secret. This is illustrated in the second part of Fig. 5.11. To create the additional subflow, the client send a SYN with the MP_JOIN option containing the $Server_{token}$ and a random nonce, $Client_{random}$. The server confirms the establishment of the subflow by sending a SYN+ACK containing the HMAC computed using the $Client_{random}$ and the $Client_{secret}$

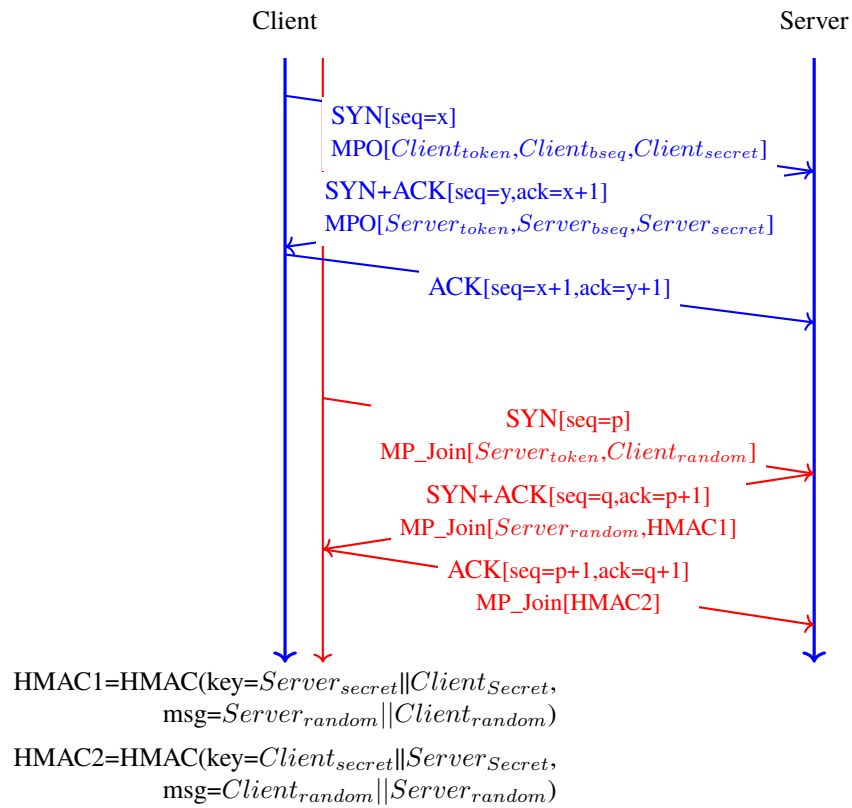


Fig. 5.11: Creating a Multipath TCP connection with a MPO option

and $Server_{secret}$ input. Thanks to this HMAC computation, the server can reveal that it knows $Client_{secret}$ and $Server_{secret}$ without explicitly sending them. The server also places a random number, $Server_{random}$ in the `MP_JOIN` option of the SYN+ACK. The client computes a HMAC and returns it in the third ACK. With these two HMACs, the client and the server can authenticate the establishment of the additional subflow without revealing the shared secret.

Note: The security of Multipath TCP depends on the security of the initial handshake

The ability of correctly authenticate the addition of new subflows to a Multipath TCP connection depends on the secrecy of the $Client_{secret}$ and $Server_{secret}$ exchanged in the SYN and SYN+ACK of the initial handshake. An on-path attacker which is able to capture this initial handshake has all the information required to attach a new subflow to this Multipath TCP connection at any time. Multipath does not include the strong cryptographic techniques (besides HMAC) that would have been required to completely secure the establishment the protocol and the establishment of additional subflows in particular. This threat was considered acceptable for Multipath TCP [21] because an attacker who can capture the packets of a single path TCP connection can also inject data inside this connection. To be fully secure Multipath TCP would need to rely on cryptographic techniques that are similar to those used in Transport Layer Security [5].

The solution described above meets the requirements of the Internet Engineering Task Force. From a security view-point, the $Client_{secret}$, $Server_{secret}$ and the random nonces should be as large as possible to prevent attacks where their values are simply guessed. Unfortunately, since Multipath TCP uses TCP options to exchange all this information, we need to ensure that it fits inside the extended header of a TCP SYN. The TCP specification [4] reserves up to 40 bytes to place the TCP options in a SYN. Today's TCP stacks already consume 4 bytes for the MSS option [4], 3 for the Window Scale option [22], 2 for SACK Permitted [13] and 10 for the timestamp option [22]. This leaves only 20 bytes to encode a Multipath TCP option that must contain an initial sequence number, a token and a secret. Multipath TCP solves this problem by deriving these three values from a single field encoded in a TCP option. Let us now analyze the Multipath TCP handshake in more details.

5.2.1 The Multipath TCP handshake

A Multipath TCP connection starts with a three-way handshake like a regular TCP connection. To indicate that it wishes to use Multipath TCP, the client adds the `MP_CAPABLE` option to the SYN segment. In the SYN segment, this option only contains some flags and occupies 4 bytes. The server replies with a SYN+ACK segment that contains an `MP_CAPABLE` option including a server generated 64 bits random key that will be used to authenticate connections over different paths. The client concludes the handshake by sending an `MP_CAPABLE` option in the ACK segment containing the random keys chosen by the client and the server.

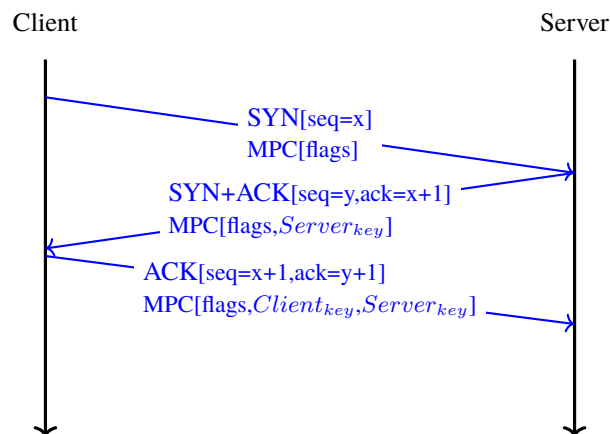


Fig. 5.12: Negotiating the utilization of Multipath TCP during the three-way handshake

Note: Multipath TCP version 0

The first version of Multipath TCP used a slightly different handshake [8]. The MP_CAPABLE option sent by the client contains the 64 bits key chosen by the client. The SYN+ACK segment contains an MP_CAPABLE option with 64 bits key chosen by the server. The client echoes the client and server keys in the third ACK of the handshake.

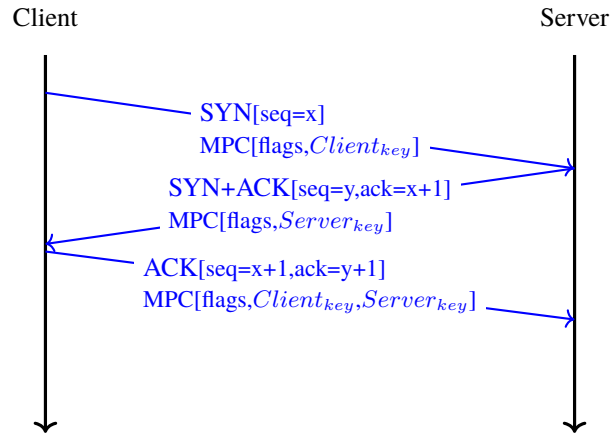


Fig. 5.13: Negotiating the utilization of Multipath TCP version 0

The 64 bits random keys chosen by the client and the server play three different roles in Multipath TCP. Their first role is to identify the Multipath TCP connection to which an additional connection must be attached. Since a Multipath TCP connection can combine several TCP connections, Multipath TCP cannot use the IP addresses and port numbers to identify a TCP connection. Multipath TCP uses a specific identifier that is called a token. For technical reasons, this token is derived from the 64 bits key as the most significant 32 bits of the SHA-256 [23] hash of the key. The second role of the 64 bits keys is to authenticate the establishment of additional connections as we will see shortly. Finally, the keys are also used to compute random initial sequence numbers.

The main benefit of Multipath TCP is that a Multipath TCP connection can combine different TCP connections that potentially use different paths. Starting from now on, we will consider a client with two network interfaces and a server with one network interface. This could for example correspond to a client application running on a smartphone that interacts with a server. We explore more complex scenarios later.

We can now explain how a Multipath TCP connection can combine different TCP connections. According to the Multipath TCP specification, these connections are called subflows [16]. We also adopt this terminology in this document. Fig. 5.14 shows a Multipath TCP that combines two subflows. To establish the Multipath TCP connection, the client initiates the initial subflow by using the MP_CAPABLE option during the three-way handshake. At the end of the initial handshake, the client and the server have exchanged their keys. Based on their keys, they have both computed the token that the remote host uses to identify the Multipath TCP connection.

To attach a second subflow to this Multipath TCP connection, the client needs to create it. For this, it starts a three-way handshake with the server by sending a SYN segment containing the MP_JOIN option. This option indicates that the client uses Multipath TCP and wishes to attach this new connection to an existing Multipath TCP connection. The MP_JOIN option contains two important fields:

- the token that the server uses to identify the Multipath TCP connection
- a random nonce

The client has derived the token from the key announced by the server in the MP_CAPABLE option of the SYN+ACK segment on the initial subflow. Thanks to this token, the server knows to which Multipath TCP connection the new subflow needs to be attached.

The server uses the random nonce sent by the client and its own random nonce to prove its knowledge of the keys exchanged during the initial handshake. The server computes $HMAC(Key = (Server_{key} || Client_{key}), Msg = (nonce_{Server} || nonce_{Client}))$, where $||$ denotes the concatenation operation. It then returns the high order 64 bits of this HMAC in the MP_JOIN option of the SYN+ACK segment together with its 32 bits nonce. The client computes $HMAC(Key = (Client_{key} || Server_{key}), Msg = (nonce_{Client} || nonce_{Server}))$ and sends the 160 bits HMAC in the ACK segment.

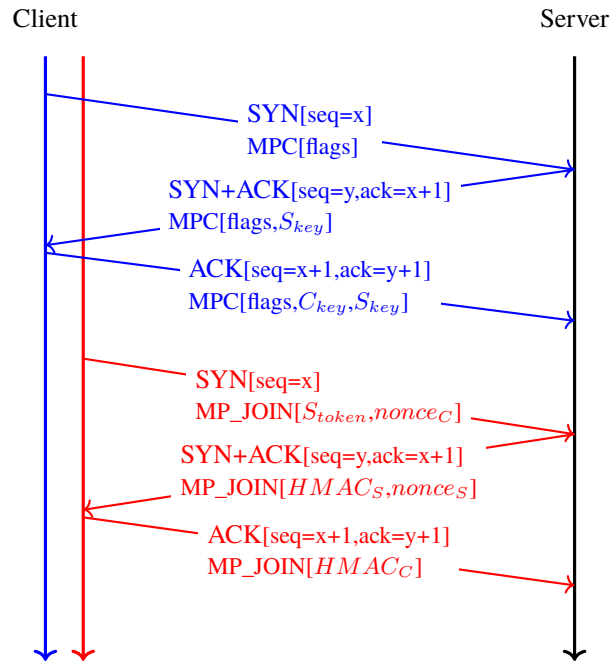


Fig. 5.14: A client creates a second subflow by creating a TCP connection with the MP_JOIN option

Note: Generating Multipath TCP keys

From a security viewpoint, the keys that Multipath TCP hosts exchange in the MP_CAPABLE option should be completely random to prevent them from being guessed by attackers. However, since the token is derived from the key, it cannot be completely random. A host will typically generate a random key and hash it into a token to verify that it does not correspond to an existing connection. On clients, with a few tens of connections, this is not a concern, but on servers, the delay to generate random keys increases with the number of established Multipath TCP connections [24]. This does not prevent servers from supporting large numbers of Multipath TCP connections [25].

A Multipath TCP connection combines a number of subflows which can change during the connection lifetime. It starts with an initial subflow, but this subflow may terminate before the connection. A Multipath TCP connection is a pair of states that are maintained on the client and the server.

The above figure shows how a client adds a subflow to an existing Multipath TCP connection. This is the most common way of adding subflows to a connection. According to the specification, a server could also add subflows to a Multipath TCP connection. For this, the server needs to be able to determine the client addresses. This is the role of the address subflow management parts of Multipath TCP.

5.3 Address and subflow management

Each Internet host has one address per network interface. A smartphone with active Wi-Fi and cellular interfaces has two network addresses. With the advent of IPv6, a large fraction of the hosts are dual-stack and have both an IPv4 and an IPv6 address for each network interface. Multipath TCP specifies options that allow a host to advertise all its addresses to the other host. Given the limited size of the TCP header, these options cannot be exchanged during the handshake. They are typically attached to packets that carry acknowledgments.

Each host maintains a list of its active addresses and associates a numeric identifier to each address. To advertise an address, the host simply adds the Multipath TCP `ADD_ADDR` option to one outgoing packet. This option contains four main fields:

- the IPv4 or IPv6 address of the host
- the numeric identifier of the address
- an optional port number
- a truncated HMAC to authenticate the address advertisement

The IP address is the main information contained in the `ADD_ADDR` option. The identifier allows the host to advertise the list of all its addresses one option at a time. The port number allows to indicate that the host listens to another port number than the one used for the subflow where the option is sent. This can be useful if a client wishes to accept subflows initiated by the server or if a server uses another port to listen for additional subflows. The HMAC is the 64 bits truncation of $HMAC(Key = (Server_{key} || Client_{key}), Msg = (Address_{identifier} || IP_{address} || port))$ when the server advertises an address and $HMAC(Key = (Client_{key} || Server_{key}), Msg = (Address_{identifier} || IP_{address} || port))$ for an address advertised by the client. The HMAC allows to prevent attacks where an attacker sends spoofed packets containing an `ADD_ADDR` option.

In addition to these four fields, the `ADD_ADDR` option contains an Echo bit. The `ADD_ADDR` option is usually sent inside a TCP acknowledgment. A host can easily send an acknowledgment even if it did not recently receive data. Unfortunately, TCP acknowledgments are, by design, unreliable. As TCP uses cumulative acknowledgments, the loss of an acknowledgment is compensated by the next acknowledgment. This is true for the acknowledgment number, but not for the options that were contained in the loss packet. The first version of Multipath TCP did not try to deal with the loss of `ADD_ADDR` options. The current version relies on the Echo. A host advertises an address by sending its `ADD_ADDR` option with the Echo bit set to 0. To confirm the reception of this address, the peer simply replies with an acknowledgment containing the same option but with its Echo bit set to one. A host that sent an `ADD_ADDR` option needs to retransmit it if it does not receive it back. This is illustrated in Fig. 5.15. Thanks to the `ADD_ADDR` option, a host can advertise all its addresses at the beginning of a Multipath TCP connection. Since the option can be sent at any time, a mobile host that learns a new address, e.g. a smartphone attached to a new Wi-Fi network, can advertise it immediately. This makes Multipath TCP agile on mobile hosts. A host may also stop being able to use an IP address. This occurs when a mobile host goes away from a wireless network. In this case, the host should inform its peer about the loss of the corresponding address. This is the role of the `REMOVE_ADDR` option that contains the numeric identifier of the removed address. In contrast with the `ADD_ADDR` option, the `REMOVE_ADDR` option is not authenticated using a truncated HMAC. The protocol specification suggests that when a host receives a `REMOVE_ADDR` option, it should first check whether it is currently used by an active subflow. If no, the address can be removed. If yes, it should send a TCP Keepalive on this subflow to verify whether the address still works. If it does not receive a response to its keepalive, the address can be removed and the associated subflow is reset. Otherwise, the `REMOVE_ADDR` option is ignored.

Multipath TCP hosts use the `ADD_ADDR` and `REMOVE_ADDR` options to maintain the list of addresses used by their peer. However, this is not the only source of information that Multipath TCP uses. A Multipath TCP host also learns the source addresses of the established subflows. The first addresses are those used for the initial subflow. The client remembers the server's address as address 0 on this Multipath TCP connection. The server does the same with the client address. When the client creates a new subflow, it places the numeric identifier of the source address of this subflow in the `MP_JOIN` option. This enables the server to learn additional addresses and their associated numeric identifiers. This is illustrated in Fig. 5.16. The server first learns that the client is reachable via the address used for

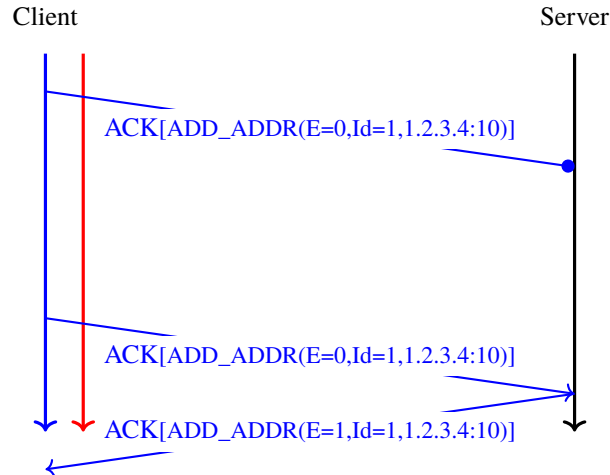


Fig. 5.15: Thanks to the Echo bit, a Multipath TCP host can retransmit lost ADD_ADDR options.

the initial subflow (IP_A). The identifier of this address is 0. Then, the server learns that the client is also reachable through IP address IP_B . Thanks to the identifier contained in the MP_JOIN option, the server also learns the identifier (2) of this address. Then, the server learns the third address ($\$IP_{\{C\}}\$$) using the ADD_ADDR option.

Note: Is the ADD_ADDR option required on all Multipath TCP hosts ?

The previous section has explained how Multipath TCP hosts learn the addresses of their peers by using the ADD_ADDR and REMOVE_ADDR options. These options are important for a server that has multiple addresses (e.g. an IPv4 and an IPv6 address) and wants to advertise them to its clients. On the other hand, servers rarely create subflows and thus they do not really need to learn the client addresses. In fact, Apple’s implementation of Multipath TCP on the iPhones does not use the ADD_ADDR option. iPhones simply create subflows over the cellular and Wi-Fi interfaces as when needed and the server relies on the MP_JOIN option to validate these subflows. It is interesting to note that the REMOVE_ADDR option remains useful even if the ADD_ADDR option is not used. Consider a smartphone that has created an initial subflow over its Wi-Fi interface and a second subflow over the cellular one. If the smartphone loses its Wi-Fi interface, it can send a REMOVE_ADDR option over the subflow that uses the cellular interface to inform the server that it cannot be reached anymore through its Wi-Fi interface.

5.4 Data transfer

Thanks to the MP_CAPABLE and MP_JOIN option, Multipath TCP hosts can associate one or more subflows to a Multipath TCP connection. Each host can send and receive data on any of the established subflows. As these subflows follow different paths, packets experience different delays. To preserve the in-order bytestream, the receiver must be able to reorder the data received over the different subflows.

A simple approach to perform this reordering would be to rely on the TCP sequence number that is included in the TCP header. This approach is illustrated in Fig. 5.17. The client creates two subflows and uses the same initial sequence numbers on the different subflows. The server also selects the same initial sequence numbers. The client then sends three bytes: A over the initial subflow, B over the second subflow and C over the initial one. Each byte has its own sequence number and the receiver can reorder them. However, note that sequence number $x+2$ is not sent over the initial subflow. Furthermore, sequence numbers $x+1$ and $x+3$ are not sent over the second subflow. Unfortunately, this simple approach suffers from several problems. First, it assumes that the client and the server use the same initial sequence numbers. On the client side, this might be feasible, but on the server side, this would prohibit the utilization of techniques such as SYN cookies that are important to protect from denial of service attacks. Another concern is

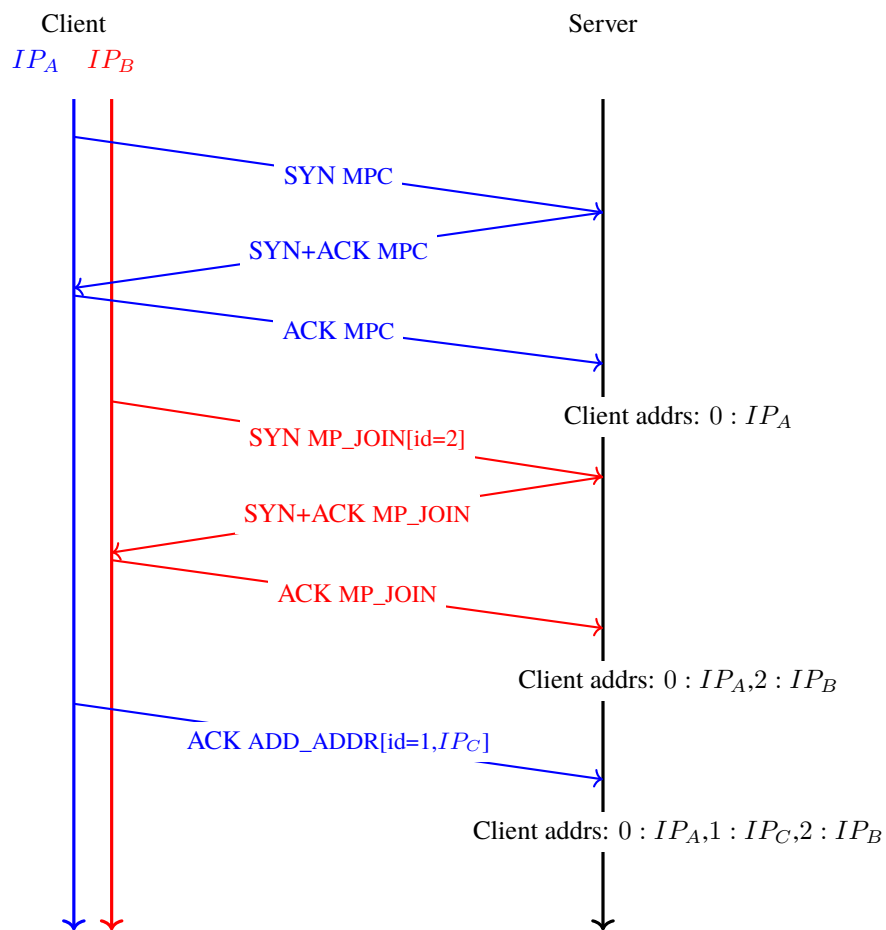


Fig. 5.16: A Multipath TCP hosts remembers the addresses used by its peer

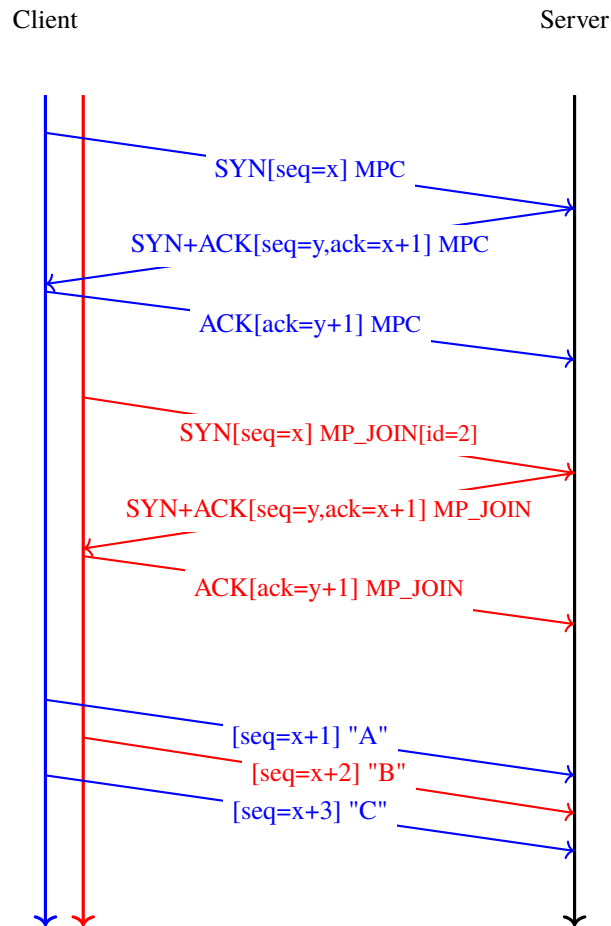


Fig. 5.17: A naive approach to exchange data over different subflows

that there will be gaps in the sequence numbers that are used over each path. These gaps might cause problems with middleboxes such as firewalls. The same problem applies for the acknowledgments. Although TCP supports selective acknowledgments [RFC 2018](#), these were not designed to support a large number of gaps.

Multipath TCP solves these problems by using a second level of sequence numbers that are encoded inside TCP options. Conceptually, Multipath TCP associates a data sequence number to the first byte of the payload of each TCP packet. Each Multipath TCP packet carries two different sequence numbers. The first is the sequence number that is included in the TCP header and is called the subflow sequence number. This sequence number plays the same role as in a regular TCP connection. It enables the receiver to reorder the received packets on a given subflow and detect losses. The data sequence number corresponds to the bytestream. It indicates the position of the first byte of the payload of the TCP packet in the bytestream. This data sequence number is used by the receiver to reorder the data received over different subflows and detect losses at this level. Multipath TCP also uses acknowledgments to confirm the reception of data. At the subflow level these are regular TCP acknowledgments (or selective acknowledgments if this extension is active). At the Multipath TCP connection level, the receiver always returns a data acknowledgment that contains the next expected in-sequence data sequence number. This is illustrated in [Fig. 5.18](#).

The client sends the first byte of the bytestream over the initial subflow. This byte is sent in a TCP packet whose sequence number is $x+1$. It carries a Multipath TCP option that contains the data sequence number, i.e. 0 since this is the first byte of the bytestream. The server returns an acknowledgment that indicates that the $x+2$ is the next expected sequence number over the initial subflow. This TCP ACK also contains a Multipath TCP option that indicates that 1 is the next expected data sequence number. The client sends the second byte over the second subflow. For this, it sends a packet whose sequence number is set to $w+1$, i.e. the first sequence number over this subflow. This packet contains a Multipath TCP option that indicates that this is the second byte (data sequence set to 1) of the bytestream. The server confirms the reception of this packet with an acknowledgment. [Fig. 5.19](#) shows a slightly different example where the first data packet sent by the client is lost. When the server receives the second byte of the bytestream on the second subflow, it acknowledges it at the subflow level ($\text{ack}=w+2$) but not at the connection level since the previous byte of the bytestream is missing. The server stores the received byte in the reordering buffer associated with the connection. When the server receives the second packet sent over the initial subflow, it stores it in the buffer associated with the initial subflow. Since it has neither received the byte that has sequence number $x+1$ on the initial subflow, it cannot update its acknowledgment number. It could send a selective acknowledgment if these were enabled on the connection. The retransmission of the first data packet sent over the initial subflow fills the buffer associated to this subflow. The server can thus update the subflow level acknowledgment number ($\text{ack}=x+2$). The data received in order can now be passed to the connection-level buffer. The data at this level is also in-sequence and the server returns a data acknowledgment indicating that the next data sequence number it expects is 3. The three bytes ABC are delivered in sequence to the server application. The example of [Fig. 5.19](#) showed how Multipath TCP copes with packet losses. These are frequent events on a TCP connection. A Multipath TCP only needs to cope with the loss of an entire subflow. Consider the same example as above, but the initial subflow was established over a Wi-Fi interface that stops shortly after the reception of the acknowledgment for the second data packet. The client detects the problem and sends a `REMOVE_ADDR` over the second subflow. It also retransmits the first packet that had not been acknowledged, but this time over the second subflow. Conceptually, a Multipath TCP implementation can be viewed as composed of a set of queues. On the sender side, the bytestream is pushed in a queue that keeps the data until it has been acknowledged at the connection level. A packet scheduler extracts blocks of data from this queue and places them with the associated data sequence numbers in the per-subflow queues that represent the sending buffers associated to each subflow. TCP uses these per-subflow queues to send the data and perform the retransmission when required. On the receiver side, there is one queue associated with each subflow. This queue corresponds to the TCP receive buffer. TCP uses this queue to reorder the received data based on their TCP sequence numbers, but does not deal with the data sequence numbers that are contained in TCP options. Once data is in-order in a subflow receive buffer, it goes in the connection-level reorder queue that uses the data sequence numbers contained in TCP options to recover the bytestream. Multipath TCP creates the data sequence acknowledgments from the data contained in this buffer. Once data is in-sequence inside this buffer, it is passed to the application through a `recv` system call.

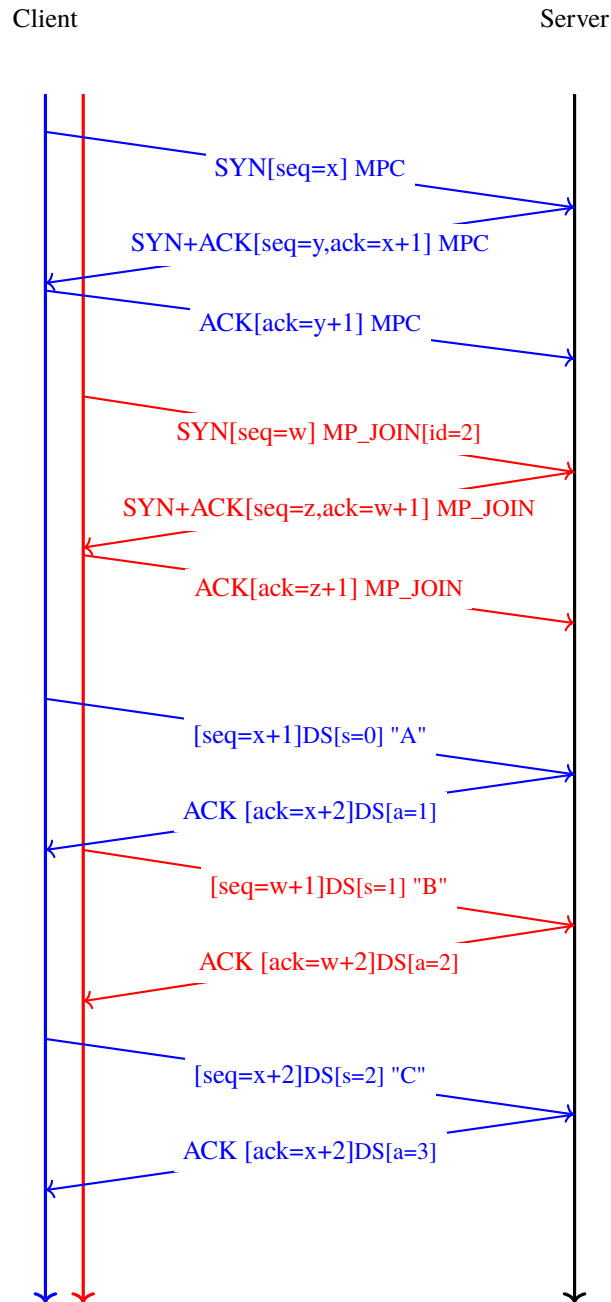


Fig. 5.18: Multipath TCP relies on data sequence numbers and acknowledgments

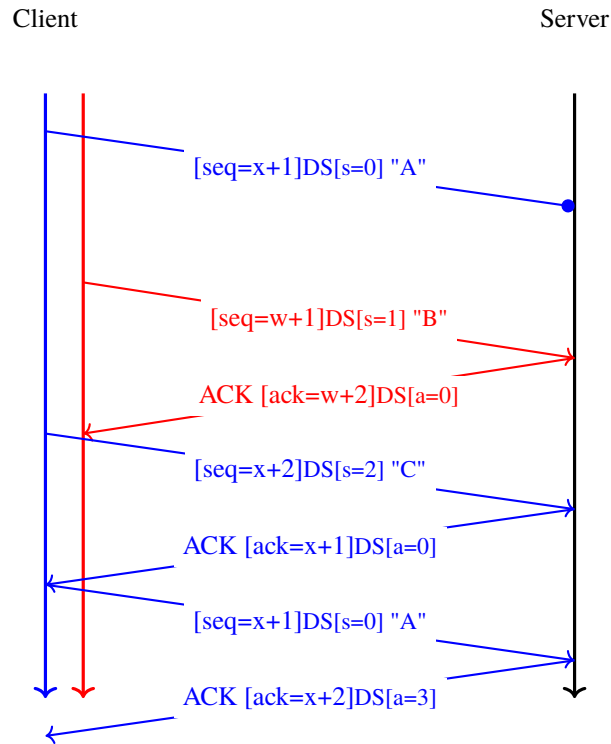


Fig. 5.19: Multipath TCP copes with packet losses

5.5 Congestion control

5.5.1 LIA

[26] and [27]

5.5.2 OLIA

[28]

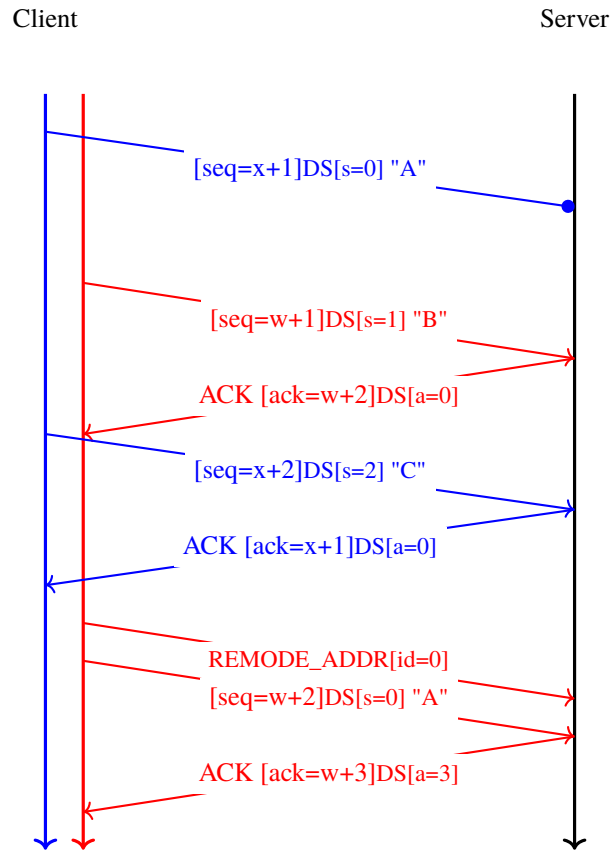


Fig. 5.20: Multipath TCP copes with subflow failures

figure from slide

Fig. 5.21: Architecture of a Multipath TCP implementation

5.5.3 BALIA

[29]

5.5.4 MPCC

[30]

5.6 Connection release

A TCP connection starts with a three-way handshake and ends with either the exchange of FIN packets to gracefully terminate the connection or when one of the hosts sends a RST packet. The main benefit of the graceful termination is that both hosts receive the confirmation that all the data that they have sent over the connection has been correctly received. Multipath also supports a graceful termination of the connection. As in regular TCP, this graceful termination is implemented by using a flag that indicates the end of the bytestream. This flag is included in the Data Sequence Number option.

Fig. 5.22 illustrates a graceful Multipath TCP connection release. We assume that the connection has two active subflows. The client sends XYZ over the initial subflow. Since this is the last byte sent over the bytestream, it adds the DATA_FIN flag to the data sequence option. This flag consumes one data sequence number as the FIN flag in the TCP header. The server returns an acknowledgment that confirms the reception of the three bytes at the subflow level ($\text{ack}=\text{x}+3$). At the connection level, four sequence numbers are acknowledged ($\text{a}=\text{y}+4$) since the DATA_FIN flag consumes one sequence number. The server decides to close its bytestream by sending its last byte, M, over the second subflow with the DATA_FIN flag set. At this point, the Multipath TCP has been gracefully closed. No data will be exchanged over the different subflows. The client and/or the server can terminate the subflows by using packets

with either the FIN or the RST flag in the TCP header. The main drawback of exchanging DATA_FINS to terminate a

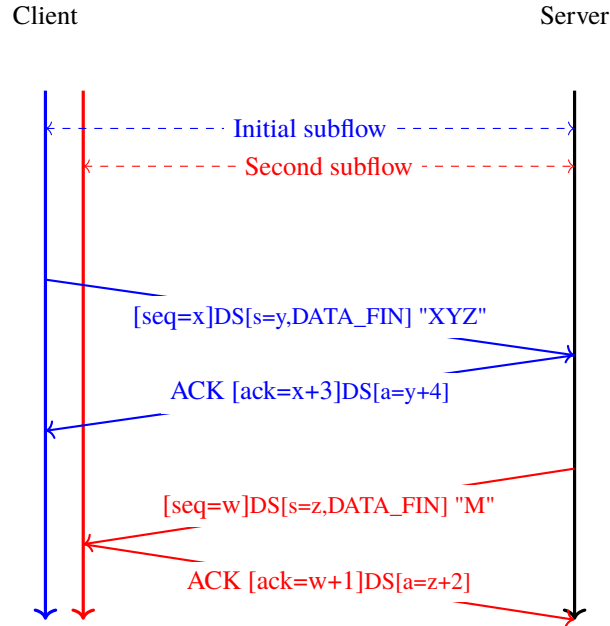


Fig. 5.22: Graceful termination of a Multipath TCP connection

Multipath TCP is that this takes time. Busy servers might not be willing to spend a long time waiting for the exchange of all these packets if the application already guarantees the correct delivery of the data. A regular TCP server would send a RST packet to quickly terminate such a connection. However, such RST packets can lead to denial of service attacks [31]. A regular TCP receiver mitigates these attacks by checking the sequence number of the RST packet [32]. The Multipath TCP designers did not consider this approach to be safe since an attacker who is able to observe the packets on one path could send a RST packet that would terminate all the subflows used by the connection.

To still allow a host to quickly terminate a Multipath TCP connection, Multipath TCP must be able to verify the validity of a packet that terminates a connection. For this, Multipath TCP defines the FAST_CLOSE option that includes a 64 bits security key. These keys are exchanged during the initial handshake and included in the state associated to a Multipath TCP connection. To quickly close a connection, a host simply needs to send the key of the remote host in a FAST_CLOSE option sent over one of the active subflows. The Multipath TCP specification defines two different methods to use the FAST_CLOSE option.

The first solution is to send the FAST_CLOSE option inside an ACK. Upon reception of such a packet, a host sends a RST over all active subflows. This is illustrated in Fig. 5.23.

5.7 Coping with middlebox interference

The previous sections have explained how Multipath TCP operates at a high level. They assume a simple network that is mainly composed of hosts, switches and routers. TCP and Multipath TCP are used by the hosts. They rely on IP packets that contain the TCP segments. These packets are forwarded by IP routers and possibly switches at layer-2 before reaching their final destination. In a network that uses layered protocols, the switches only inspect the layer-2 headers, the routers only read and change the layer-2 and layer-3 headers. Neither the switches nor the routers read or modify the payload of the packets that they forward. Unfortunately, this assumption is not true on the global Internet and in enterprise networks. Besides switches and routers, these networks contains other types of equipment that process packets [33]. These devices are usually called middleboxes because they reside in the middle of the network and process packets in different ways. A detailed survey of all the different types of middleboxes is outside the scope

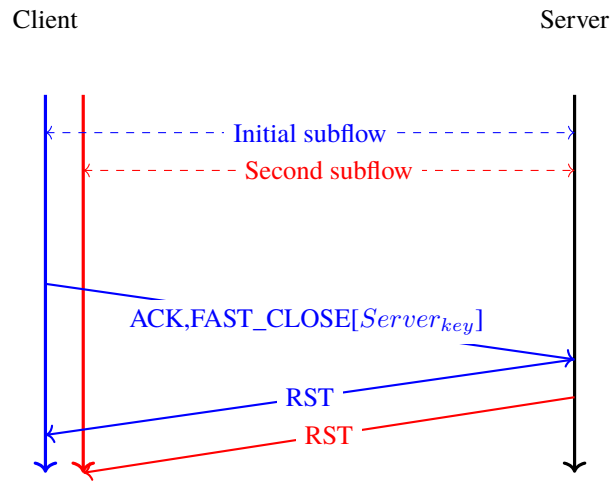


Fig. 5.23: Abrupt release of a Multipath TCP connection by sending FAST_CLOSE inside an ACK

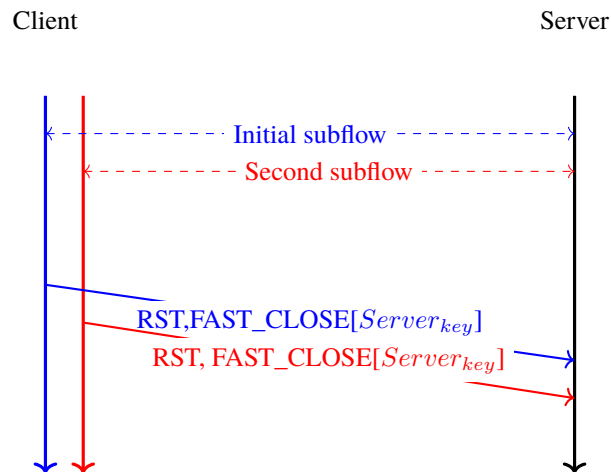


Fig. 5.24: Abrupt release of a Multipath TCP connection by sending a RST with FAST_CLOSE on all subflows

of this document. We discuss below some of the popular middleboxes and analyze how they have influenced the design of Multipath TCP.

Our first middlebox is a firewall. A firewall is a device that receives packets, analyzes their contents and then forwards or blocks the packet. The simplest firewalls are the stateless firewalls that accept or reject each individual packet. Such a firewall can accept packet based on the source or destination addresses or port numbers. Some firewalls also check the flags or the IP header or reassemble the received packet fragments. Others analyze the TCP header and verify the utilization of the TCP options. A firewall can be configured using a white list or a black list. A white list specifies all the packet fields that are valid and all the others are invalid. On the other hand, a black list specifies the packets that must be rejected by the firewall and all the others are accepted. Many firewalls use a small white list that defines the TCP options that the firewall accepts. This list typically includes the widely deployed options such as MSS [4], timestamps [34], windows scale [35] and selective acknowledgments [13]. TCP options are encoded using the Kind, Length, Value format shown in Fig. 5.25. It is interesting to explore how such a firewall reacts when it receives a packet

Kind	Length	Value ...
------	--------	-----------

Fig. 5.25: Generic format for TCP options

containing a TCP option that is not part of its whitelist. There are two possibilities. Some firewalls simply drop the packet, but this blocks a connection that could be totally legitimate. Other firewalls remove the option from the TCP header. This can be done by either removing the bytes that contain the unknown TCP option, adjust the Length field of the IP header, the TCP Header length (and possibly update the padding) and update the TCP checksum. A simpler approach is to replace the bytes of the option with byte 1. This corresponds to the standard No-Operation TCP option [4]. The advantage of this approach is that the firewall only has to recompute the TCP checksum and does not need to adjust the packet length and move data.

The removal of TCP options by firewalls has influenced the design of Multipath TCP. Multipath TCP uses TCP options to exchange different types of information. The information carried in a SYN is not the same as the one exchanged in data packets. The selective acknowledgments TCP extension [13] defines two different options: a two bytes long `SACK permitted` that is used inside SYN and a variable length SACK option that carries the selective acknowledgments during the data transfer. The first versions of Multipath TCP used a similar approach with different TCP options kinds. However, the Multipath TCP designers feared that some firewalls could accept some of the Multipath TCP options and drop the others. For example, the Multipath TCP option used in the SYN could pass a firewall that would later drop the options used in data packets. It would have been very difficult for a Multipath TCP implementation to deal with all the corner cases that could happen since Multipath TCP [16] currently defines 9 different options. To prevent such problems, Multipath TCP uses a single TCP option kind and each Multipath TCP option contains a subtype field. This increases the length of the Multipath TCP options, but minimizes the risk of middlebox interference. Before looking

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	
Kind								Length								Subtype															
Sub-type specific data																															

Fig. 5.26: The generic format for Multipath TCP options

at other middleboxes, it is interesting to analyze how a router forwards an IP packet that contains a TCP segment. Consider a router that receives a packet such as the one shown in Fig. 5.27. When a router forwards such a packet, it will read the IP header and may modify the fields highlighted in red:

- the Differentiated Services Codepoint (DSCP)
- the Explicit Congestion Notification flags (the CE bit)
- decrement the Time to Live
- update the IP header checksum

A router will never change any other field of the IP header and will not read the packet payload. Today, most TCP stacks

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Ver		IHL		DSCP				ECN		Length																					
Identification										Flags		Offset																			
TTL				Protocol						IP Checksum																					
Source Address																															
Destination Address																															
Source Port																Destination Port															
Sequence number																															
Acknowledgment number																															
Offset		Res				U R G				A P P R O P R I E T Y				S E Q U E N C E N C E				Window													
TCP Checksum																Urgent Pointer															

Fig. 5.27: Fields of an IPv4 packet carrying a TCP segment which can be modified by a router

set the Don't Fragment flag when sending TCP packets. This implies that IPv4 routers will not fragment the packet. Even if a router fragments an IPv4 packet, this is transparent for the TCP stack since the IP stack on the receiver will reassemble the packet before passing its contents to TCP.

Unfortunately, deployed networks also contain Network Address Translators (NAT) [36]. We consider three different types of NATs because they interfere in different ways with TCP extensions such as Multipath TCP. A NAT is usually located at the boundary between a private network and the Internet. The hosts of the private network use private IP addresses [37] and the NAT is configured with a pool of public addresses. When the NAT receives an IP packet from a host in the private network, it maps the source IP address to a public one and rewrites the packet before forwarding it to the public Internet. When the NAT receives a packet from the Internet, it checks if there is a mapping for the packet's destination address. If so, the destination address is translated and the packet is forwarded to the private host. As illustrated in Fig. 5.28, this NAT updates the source or destination address of the packet depending on the

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Ver		IHL		DSCP				ECN		Length																					
Identification										Flags		Offset																			
TTL				Protocol						IP Checksum																					
Source Address																															
Destination Address																															
Source Port																Destination Port															
Sequence number																															
Acknowledgment number																															
Offset		Res				U R G K H T N								A P R S S Y I N								Window									
TCP Checksum																Urgent Pointer															

Fig. 5.28: Fields of an IPv4 packet carrying a TCP segment which can be modified by a simple NAT

packet direction. This modification forces the NAT to recompute the IP checksum but also the TCP checksum since

it covers the TCP packet and a pseudo header that includes the IP addresses [4]. In practice, these two checksums are incrementally updated [36] and do not need to be recomputed.

Multipath TCP copes with these NATs by associating an identifier to each address that is used to create a subflow or advertise an address using the `ADD_ADDR` option. NAT are not aware of these identifiers and they do not modify them. The `REMOVE_ADDR` option only contains the identifier of the address that was removed. With this information, the receiver of the option can easily determine the affected subflows.

Most NAT deployments, in particular with IPv4, use a pool a public addresses that is much smaller than the set of private addresses that need to be mapped. These middleboxes also need to translate the source ports used by the internal hosts to map different private addresses to the same public addresses. These Network Address and Port Translators (NAPT) also modify the source or destination ports in the same way as they modify the addresses. Multipath TCP copes with simple NAPT as with simple NATs. Unfortunately, most NATs and NAPT also include Application-Level Gateways (ALG). ALGs were designed to enable applications such as the File Transfer Protocol (FTP) [38] to be used through NATs and NAPT. FTP and a few other protocols use IP addresses as parameters of the application-level messages that are exchanged within the bytestream. A simple FTP session is shown in Listing 5.1. In contrast with many application-level protocols, FTP uses several TCP connection. A FTP sessions starts with a TCP connection established by the client. This connection is called the control connection [38]. This connection is used to exchange simple commands and the associated responses. The client issues commands such as `USER` (to indicate the client username) or `PASS` (to provide a password) as a single ASCII line sent over this connection. The server replies with one line that starts with a decimal number that indicates the success of the failure of the command and a textual explanation. However, this is not the only connection used between the client and the server. The client and the server also use data connections. If the client wants to retrieve a file or simply list the names of the files in a given directory, it needs to issue two successive commands. The first command (`PORT`) indicates the data connection that will be used to exchange the result of the subsequent command. The client listens on a local port and provides its IP address and port number as parameters of the `PORT` command. Upon reception of this command, the server establish a TCP connection towards the port specific by the client. If the client is behind a NAT, its private IP address and the local port must be translated by the NAT to support the establishment of a server-initiated connection.

Listing 5.1: Simple ftp session

```
#ftp -4d ftp.belnet.be
Connected to ftp-brudie.belnet.be.
220-Welcome to the Belnet public FTP server ftp.belnet.be !

All access is logged.

Currently used storage capacity : 38T / 100T on /ftp
220 193.190.198.27 FTP server ready
Name (ftp.belnet.be): anonymous
---> USER anonymous
331 Anonymous login ok, send your complete email address as your password
Password:
---> PASS XXXX
230 Anonymous access granted, restrictions apply
---> SYST
215 UNIX Type: L8
Remote system type is UNIX.
Using binary mode to transfer files.
ftp> dir
---> PORT 192,168,0,37,133,67
200 PORT command successful
---> LIST
150 Opening ASCII mode data connection for file list
```

(continues on next page)

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```

lrw-r--r--  1 ftp      ftp      16 Feb 24  2021 arcolinux -> mirror/arcollinux
drwxr-xr-x  3 ftp      ftp      101 Jan 12  2021 belnetstyle
lrw-r--r--  1 ftp      ftp      13 Feb  1  2021 debian -> mirror/debian
226 Transfer complete
ftp> quit
---> QUIT
221 Goodbye.
    
```

It is interesting to analyze how an ALG modifies a packet that carries such a PORT command. Let us assume that the PORT 192,168,0,37,133,67 command is sent in a single TCP packet for simplicity. Fig. 5.29 shows the contents of the packet sent by the client. Fig. 5.30 shows the packet after its translation by the NAT, assuming that the NAT maps IP address 192.168.0.37 onto address 5.6.7.8. The packet sent by client contains 26 bytes of payload. The IP packet is thus 66 bytes long. The PORT 192,168,0,37,133,67 indicates that the client listens on IP address 192.168.0.37

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Ver		IHL		DSCP			ECN		len=66																						
Identification														Flags		Offset															
TTL				Protocol						IP Checksum																					
192.168.0.37																															
193.190.198.27																															
57258																21															
seq=12300																															
ack=5678																															
Offset		Res			U A G		R C K		P S T		R S T		F I N		16384																
TCP Checksum														Urgent Pointer																	
P				O				R				T																			
				1				9				2																			
,				1				6				8																			
,				0				,				3																			
7				,				1				3																			
3				,				6				7																			
CR				LF																											

Fig. 5.29: Packet carrying a PORT command sent by a client

and on port $133 * 256 + 67 = 34115$. Let us assume that the NAT maps this IP address on address 5.6.7.8 and port 34115 on port $31533 = 123 * 256 + 45$. In ASCII, the PORT command becomes PORT 5,6,7,8,123,45 and the NAT sends the packet shown in Fig. 5.30. The fields shown in red have been translated by the NAT. An important point to note contains 21 bytes of payload and not 66 as the packet sent by the client. This implies that the packet sent by the NAT contains the bytes having sequence numbers 12300 to 12320 while the original packet covered sequence numbers 12300 to 12325. The NAT will thus need to adjust the sequence number of the subsequent packets sent by the client and also the acknowledgments returned by the server. As shown by the example above, an ALG can change bytes in the bytestream. It can also remove bytes from the bytestream and also add bytes in the bytestream. This happens notably when the ASCII representation of the public IP address of the NAT is longer than the private IP address of the internal host. This modification of the bytestream had a major impact on the design of Multipath TCP. It mainly affects the

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Ver		IHL			DSCP				ECN		len=61																				
Identification														Flags		Offset															
TTL				Protocol				IP Checksum																							
5.6.7.8																															
193.190.198.27																															
57258																21															
seq=12300																															
ack=5678																															
Offset		Res			U	A	P	R	S	F	16384																				
					R	C	S	S	T	I																					
					G	K	H	T	N	N																					
TCP Checksum																Urgent Pointer															
P		O				R														T											
		5				,														6											
,		7				,														8											
,		1				2														3											
,		4				5														CR											
LF																															

Fig. 5.30: Packet carrying a PORT command modified by the FTP ALG used by a NAT

Data Sequence Number option that carries the data sequence numbers and acknowledgments. To detect modifications from ALGs and other middleboxes, this option covers a range of sequence numbers in the bytestream and includes an optional checksum that is computed by the Multipath TCP sender and checked by the receiver. If there is a mismatch between the checksum of the option and the data, the receiver stops using Multipath TCP and falls back to regular TCP to preserve the established connection. We discuss this fallback in more details later.

Our third type of middlebox that splits or coalesces TCP packets. This is not a router that performs IPv4 fragmentation or a host that splits a large IPv6 packets in fragments. In-network fragmentation is mainly disabled in IPv4 network since modern TCP stacks set the DF flag of the IP header. Those middleboxes do not reside in the middle of the network. They are typically included in the network adapter used by servers and even client hosts. Measurement studies have shown that hosts can reach a higher throughput when sending and receiving large packets. For example, a recent study [39] reveals that over a 100 Gbps interface, a server was able to reach 25 Gbps with a single TCP connection using 1500 bytes packets. The same connection reached 40 Gbps by using jumbo frames, i.e. 9000 bytes packets. The jumbo frames are supported on modern Gigabit Ethernet networks but they are rarely used outside datacenters because most Internet paths still only supports 1500 bytes packets.

Modern network adapters support TCP Segmentation Offload (TSO) to improve the throughput of TCP connection are reduce the CPU load. In a nutshell, when TSO is enabled, the network adapter exposes a large maximum packet size, e.g. 16 KBytes to more, to the network stack. When the host sends such a large packet, it is automatically segmented in a sequence of small IP packets. On the receiver side, the network adapter performs the reverse operation. It coalesces small received packets into a larger one. Fig. 5.31 shows a large (2 KBytes long) TCP packet. It is interesting to analyze how the key fields of this packet will be processed by TSO to segment it in the two smaller packets. To segment the packet shown in Fig. 5.31 in two smaller packets, TSO creates two 1040 bytes long IPv4 packets. The two small packets have a different IP Identification than the large one. TSO computes an IP checksum for each small packet. It then copies the TCP header of the large packet in both small ones, but with a few adjustments. The sequence number of the first small packet is the same as the large one. The sequence number of the second small packet is the one of the

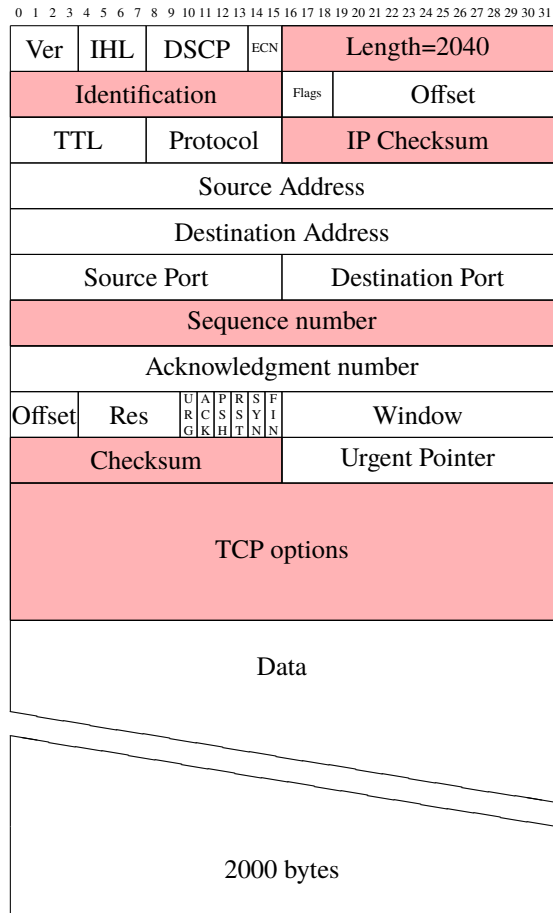


Fig. 5.31: A large IP packet containing TCP header and data

first packet increased by 1000. Concerning the TCP options, TSO could analyze the contents of the option and handle each option in a specific manner. For example, TSO could adjust the TCP timestamp option of successive packets. In practice, measurements indicate that TSO simply copies the TCP options field of the large packet in all small packets [40]. TSO places the first 1000 bytes of the payload of the large packet in the first small one and the last 1000 bytes in the second one. Finally, TSO needs to update the TCP checksum in all the small packets.

The receiver side of these network adapters implement Large Receive Offload (LRO). This basically coalesces the packets that were segmented by TSO. In this case, coalescing packets that carry different TCP options could be problematic since some of the TCP options would be lost in this process. Measurements with different TCP options show that LRO only coalesces packets that have exactly the same set of TCP implementations.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31																
Source Port																Destination Port																															
Sequence number																																															
Acknowledgment number																																															
Offset		Res		U	A	P	R	S	F	Window																																					
				R	C	S	S	S	I																																						
Checksum																Urgent Pointer																															
TCP options																																															
																																Padding															

Fig. 5.32: The TCP header

5.8 The protocol details

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31										
Kind				Length=12				0x1				rsv				B				Address ID																					
Receiver's token																																									
Sender's random nonce																																									

Fig. 5.33: The MP_JOIN option in a SYN packet

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Kind		Length=12				0x1				rsv				B		Address ID															
Sender's truncated HMAC 64 bits																															
Sender's random nonce																															

Fig. 5.34: The MP_JOIN option in a SYN+ACK packet

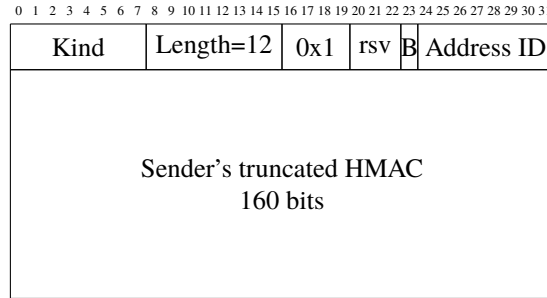


Fig. 5.35: The MP_JOIN option in the initiator's first ACK

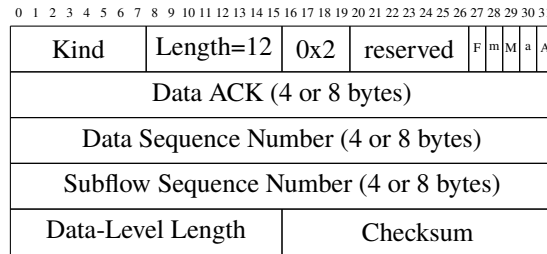


Fig. 5.36: The Data Sequence Signal option

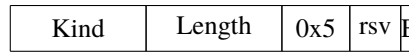


Fig. 5.37: The MP_PRIO option

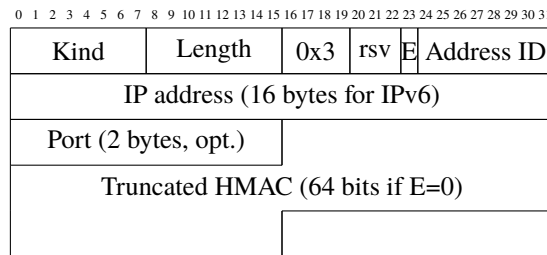


Fig. 5.38: The ADD_ADDR option

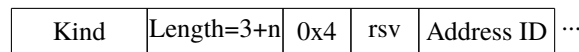


Fig. 5.39: The REMOVE_ADDR option

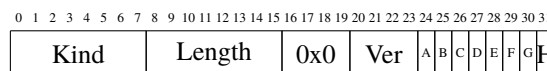


Fig. 5.40: The MP_CAPABLE option in a SYN packet

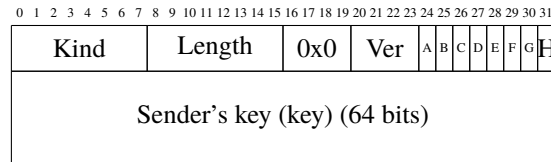


Fig. 5.41: The MP_CAPABLE option in SYN+ACK packet

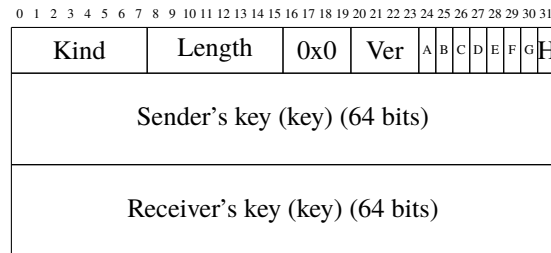


Fig. 5.42: The MP_CAPABLE option in initiator's first ACK (without data)

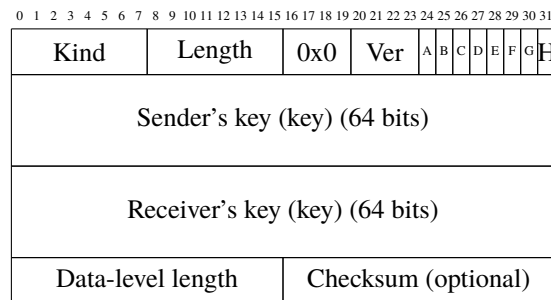


Fig. 5.43: The MP_CAPABLE option in initiator's first ACK (with data)

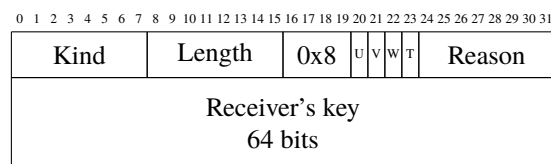


Fig. 5.44: The MP_TCPRST option

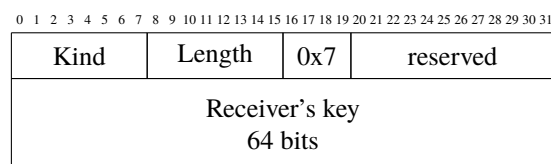


Fig. 5.45: The FAST_CLOSE option

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