

# Guan-Horng Liu

<https://ghliu.github.io>

## Mailing Address:

MK Bldg. 405, Georgia Tech,  
Atlanta, GA 30332

## Email and Phone:

ghliu@gatech.edu  
(412) 609-2396

## RESEARCH INTERESTS

Deep learning optimization, stochastic optimal control theory, score-based/diffusion generative models, scalable higher-order optimization, Hamilton-Jacobi Bellman principle, forward-backward stochastic differential equations, information-theoretic control, differential geometry.

## EDUCATION

- **Georgia Institute of Technology** Atlanta, GA  
*Ph.D. in Machine Learning (GPA: 4.0/4.0)* from 08/2019
  - Research on Deep Learning and Stochastic Optimal Control Theory
  - Received ICLR2021 Spotlight, ICML2021 Oral, and NeurIPS2021 Spotlight
- **Carnegie Mellon University** Pittsburgh, PA  
*M.S. in Robotics (GPA: 4.0/4.0)* 05/2017
  - Thesis: High-dimensional planning and learning for off-road driving
- **Tokyo Institute of Technology** Tokyo, Japan  
*Research Exchange Program (GPA: 4.0/4.0)* 06/2015
  - Tech report: Autonomous navigation of the unmanned surface vehicle
- **National Taiwan University** Taipei, Taiwan  
*B.S. in Mechanical Engineering (GPA: 3.99/4.0)* 06/2014
  - Graduated Cum Laude; Best Paper Award in 2013 IEEE/SICE ISS.

## PUBLICATIONS LIST

- Conference Papers
  - [1] **G.-H. Liu**, T. Chen, and E. A. Theodorou, “Second-Order Neural ODE Optimizer,” in *Conference on Neural Information Processing Systems (NeurIPS)*, 2021 (**Spotlight presentation**)
  - [2] **G.-H. Liu**, T. Chen, and E. A. Theodorou, “Dynamic Game Theoretic Neural Optimizer,” in *International Conference on Machine Learning (ICML)*, 2021 (**Oral presentation**)
  - [3] **G.-H. Liu**, T. Chen, and E. A. Theodorou, “Differential Dynamic Programming Neural Optimizer,” in *International Conference on Learning Representations (ICLR)*, 2021 (**Spotlight presentation**)
  - [4] Z. Wang\*, O. So\*, J. Gibson, B. Vlahov, M. S. Gandhi, **G.-H. Liu**, and E. A. Theodorou, “Variational Inference MPC using Tsallis Divergence,” in *Robotics: Science and Systems (RSS)*, 2021
  - [5] **G.-H. Liu**, A. Siravuru, S. Prabhakar, M. Veloso, and G. Kantor, “Learning End-to-end Multimodal Sensor Policies for Autonomous Navigation,” in *Conference on Robot Learning (CoRL)*, 2017
  - [6] **G.-H. Liu**, A. Y. Yasutomi, A. Holgado, and E. F. Fukushima, “Autonomous Control of the WAM-V Catamaran Type Unmanned Surface Vehicle: Propulsion System Design,” in *Annual Conference of the Robotics Society of Japan*, 2014
  - [7] **G.-H. Liu**, H.-Y. Lin, H.-Y. Lin, S.-T. Chen, and P.-C. Lin, “Design of a kangaroo robot with dynamic jogging locomotion,” in *Proceedings of the 2013 IEEE/SICE International Symposium on System Integration (ISS)*, 2013 (**Best paper award**)

- Journal Papers

- [8] **G.-H. Liu**, H.-Y. Lin, H.-Y. Lin, S.-T. Chen, and P.-C. Lin, “A bio-inspired hopping kangaroo robot with an active tail,” in *Journal of Bionic Engineering (JBE)*, 2014

- Preprints (\*Equal contribution)

- [9] T. Chen\*, **G.-H. Liu\***, and E. A. Theodorou, “Likelihood Training of Schrödinger Bridge using Forward-Backward SDEs Theory,” *arXiv preprint arXiv:2110.11291*, 2021
- [10] E. N. Evans, O. So, A. P. Kendall, **G.-H. Liu**, and E. A. Theodorou, “Spatio-Temporal Differential Dynamic Programming for Control of Fields,” *arXiv preprint arXiv:2104.04044*, 2021
- [11] **G.-H. Liu** and E. A. Theodorou, “Deep Learning Theory Review: An Optimal Control and Dynamical Systems Perspective,” *arXiv preprint arXiv:1908.10920*, 2019

- Workshop Papers & Technical Reports

- [12] T. Chen\*, **G.-H. Liu\***, and E. A. Theodorou, “Likelihood Training of Schrödinger Bridge using Forward-Backward SDEs Theory,” in *NeurIPS Wrokshop: Optimal Transport and Machine Learning*, 2021
- [13] **G.-H. Liu**, T. Chen, and E. A. Theodorou, “Differential Dynamic Programming Neural Optimizer,” in *NeurIPS Wrokshop: Optimization for Machine Learning*, 2020 (**Spotlight presentation**)
- [14] **G.-H. Liu**, A. Siravuru, S. Prabhakar, G. Kantor, and M. Veloso, “Multi-modal Deep Reinforcement Learning with a Novel Sensor-based Dropout,” in *Multi-disciplinary Conference on Reinforcement Learning and Decision Making*, 2017
- [15] **G.-H. Liu**, “High Dimensional Planning and Learning for Off-Road Driving,” *CMU Robotics Institute Master Thesis*, 2017

## HONERS & AWARDS

- **NeurIPS 2021 Spotlight**, acceptance rate 3% 09/2021
- **ICML 2021 Oral**, acceptance rate 3% 05/2021
- **ICLR 2021 Spotlight**, acceptance rate 3.8% 01/2021
- **Best Paper Award**, IEEE/SICE International Symposium on System Integration 12/2013
- **Third Prize**, Chuian-Yan Technical Thesis Paper Competition, Taipei, Taiwan 10/2013
- **Third Prize**, NTU Robot Design Competition, Taipei, Taiwan 04/2012
- **Japan Student Service Organization Scholarship**, government scholarship 11/2013
- **Presidential Awards (Received 4 times)**, Top 5% in class, NTU 09/2009 – 06/2014

## SEMINAR TALKS

- **Higher-Order Optimization of Neural ODEs via Optimal Control Principle** 12/2021  
*DataSig: Rough Path Interest Group, invited talk*
- **Likelihood Training of Schrödinger Bridge using Forward-Backward SDEs Theory** 11/2021  
*Dr. Molei Tao’s Reading Group, invited talk*
- **Optimal Control Theoretic Neural Optimizer** 10/2021  
*GaTech ML PhD Seminar, contributed talk*

## RESEARCH EXPERIENCES

- **Georgia Institute of Technology** Atlanta, GA  
*Graduate Research Assistant* from 08/2019  
Advisor: Evangelos A. Theodorou
  - Aligned existing deep learning theory through optimal control principle [11]
  - Presented a new DNN optimizer with control-theoretic (*i.e.* Bellman) optimality [3]
  - Proposed a new game-theoretic optimizer for cooperative (*i.e.* Nash) training [2]
  - Derived a new higher-order computation framework for training Neural ODEs [1]
  - Generalized score-based generative models with Schrödinger bridge [9]
  - Constructed connection between statistical inference and model-predictive control [4]
  - Facilitated optimal control algorithms for field PDE dynamics, *e.g.* fluid [10]
- **Uber Advanced Technology Group** Pittsburgh, PA  
*Robotics Research Engineer* 09/2017 – 12/2018  
Mentors: Mike Phillips, Tony Stentz
  - Developed motion planning algorithm and software libraries for self-driving vehicles
  - Designed modules that quantify safe and comfortable autonomous lane changing behavior
  - Modeled human preference and generated motion primitives for trajectory optimization
- **Carnegie Mellon University** Pittsburgh, PA  
*Graduate Research Assistant* 09/2015 – 07/2017  
Advisor: George Kantor
  - Proposed a stochastic technique for sensor fusion in multimodal deep reinforcement learning [5]
  - Improved performance in noisy environments from 50% to 10% on simulation of racing car [14]
  - Constructed an off-road terrain traversability by learning human preference from demonstration [15]
  - Built off-road high-speed maneuvering planner on a full-size all-terrain vehicle
- **Aptiv Mobility Group** Pittsburgh, PA  
*Robotics Research Intern* 06/2016 – 08/2016  
Mentor: Wenda Xu
  - Developed a parking planner using strategy-guided finite state machine as searching template
  - Developed an advanced planner interface for exhaustive testing and drag-and-drop reconfiguration
  - Researched human-like driving strategies using inverse reinforcement learning algorithm
- **Tokyo Institute of Technology** Tokyo, Japan  
*Graduate Research Assistant* 09/2013 – 06/2014  
Advisor: Edwardo F. Fukushima
  - Developed autonomous navigation algorithms to compete in Maritime Robotx Challenge
  - Designed wave-adaptive propulsion system and power configuration [6]
- **National Taiwan University** Taipei, Taiwan  
*Undergraduate Research Assistant* 01/2012 – 10/2013  
Advisor: Pei-Chun Lin
  - Built independently-designed kangaroo robot with dynamic jogging characteristic [7]
  - Derived dynamic robot leg movement based on reduced-order dynamic model [8]