# Recursion

Dr. Anirban Ghosh

School of Computing University of North Florida



### What is recursion?

**Recursion** is a technique for solving a computational problem where the final solution to the problem is constructed using the solutions of smaller subproblems, obtained recursively.

#### **Factorial**

For a non-negative integer n, we define n! (read as n factorial) as:

$$n! = 1 \times 2 \times \ldots \times n$$

Factorial can also be defined recursively as:

$$n! = egin{cases} 1 & \text{if } n = 0, 1 \\ n \cdot (n-1)! & \text{otherwise} \end{cases}$$

Expressing using functions we obtain:

$$f(n) = \begin{cases} 1 & \text{if } n = 0, 1 \\ n \cdot f(n-1) & \text{otherwise} \end{cases}$$

#### **Recursive code**

$$f(n) = egin{cases} 1 & \text{if } n = 0, 1 \\ n \cdot f(n-1) & \text{otherwise} \end{cases}$$

```
public class Factorial {
  public static long factorial(int n) {
    if( n < 0 )
        throw new IllegalArgumentException("n must non-negative!");
    else if( n == 0 || n == 1 ) // base cases
        return 1;
    else
        return n * factorial(n-1); // recursive call
  }
  public static void main(String[] args) {
        System.out.println( factorial(5) );
  }
}</pre>
```

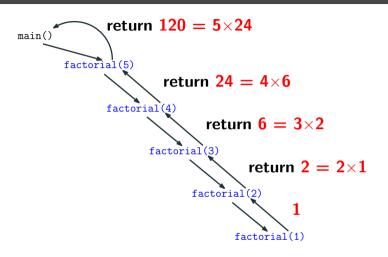
#### **Recursive code**

```
public class Factorial {
  public static long factorial(int n) {
    if( n < 0 )
        throw new IllegalArgumentException("n must non-negative!");
    else if( n = 0 || n = 1 ) // base cases
        return 1;
    else
        return n * factorial(n-1); // recursive call
  }
  public static void main(String[] args) {
        System.out.println( factorial(5) );
  }
}</pre>
```

Every recursive method contains the following two things:

- **Base case(s)**. the case(s) for which we know how to calculate the answer without recursion; at least one base case is always required; every possible chain of recursive calls must eventually reach a base case.
- **Recursive call(s)**. these are the calls to the current method. Each recursive call should be defined so that it makes progress towards a base case.

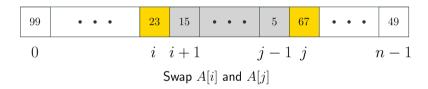
#### Illustration

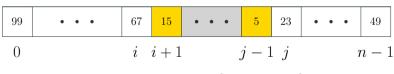


The system uses a **stack** in the background to run recursive code

## Reversing an array

How to recursively reverse the subarray that starts at index i and ends at index j?





Reverse the subarray  $A[i+1\dots j-1]$ 

## **Reversing an array**

```
import java.util.Arrays;
public class ReverseArray{
   public static void reverseArray(int[] A, int i, int j) {
        if (i > j)
          throw new IllegalArgumentException("i <= i is required."):</pre>
        int hold = A[i];
        A[i] = A[i]:
        A[i] = hold:
        if(i + 1 < i - 1)
           reverseArray(A, i + 1, j - 1); // recursive call
   public static void main(String[] args) {
      int[] arr = {10, 20, 30, 40, 50};
      reverseArray(arr.0.arr.length-1):
      System.out.print(Arrays.toString(arr));
```

## Summing up an array

#### **Recursive** idea

To add the numbers inside the subarray A[0] to A[i], first **recursively** add the numbers inside the subarray A[0] to A[i-1] and then add the number A[i] to the result.

```
public class ArraySummer {
  public static int add(int[] A. int i) {
      if ( i < 0 )
         throw new IllegalArgumentException("i should be non-negative."):
      else if( i == 0 )
        return A[0]:
      else
        return add(A. i-1) + A[i]: // recursive call
  public static void main(String[] args) {
      int[] arr = {10, 20, 30, 40, 50};
      System.out.print( add(arr,arr.length-1) );
```

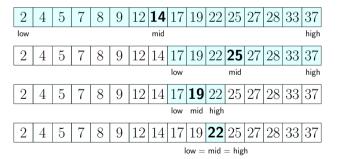
## **Binary search**

- Given a **sorted** array *A* of *n* items, how fast can you search a given element?
- One can search by scanning A from left to right (linear search), but this takes O(n) time
- Can we do it faster? Use the fact that the array is already sorted
- ullet Yes, we can using binary search; runs in  $O(\log n)$  time

### **Binary search**

#### Recursive algorithm (asssumption: A is sorted)

- If the target equals A[mid], then we have found the target!
- If the target is less than A[mid], search recursively in the left half
- Othewise, search recursively in the right half



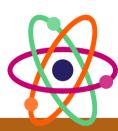
Searching for 22 in the array

#### Code

```
public class BinarySearch {
  public static boolean binarySearchRec(int[] A, int target, int low, int high) {
    if( low > high )
       return false:
    else {
       int mid = (low + high) / 2; // mid takes the floor of (low + high) / 2
       else
                              return binarySearchRec(A, target, mid + 1, high); // recursive call
  public static boolean binarySearch(int[] A, int target) {
    return binarySearchRec(A, target, 0, A,length-1):
  public static void main(String[] args) {
    int[] A = \{2.4.5.7.8.9.12.14.17.19.22.25.27.28.33.37\}:
    System.out.println(binarySearch(A.22)): // prints true: search successful
    System.out.println(binarySearch(A.21)): // prints false: search unsuccessful
```

## Time complexity

- At every recursive call, approximately half of the array is discarded
- Also, at every recursive call, it does a constant amount of work O(1)
- Let m be the number of recursive calls made
- At every recursive call, array size gets halved
- After m recursive calls, array size equals  $n/2^m$
- In the worst case, we stop when  $n/2^m = 1 \implies 2^m = n$
- Taking log of both sides we obtain,  $m = \log_2 n = O(\log n)$
- Time complexity.  $O(\log n) \times O(1) = O(\log n)$



#### **Fun fact**

Number of atoms in this universe:  $10^{80} \approx 2^{266}$ 

Even if we have a dataset as large as this, binary search will make just  $\log(2^{266}) = 266 \cdot \log_2 2 = 266 \cdot 1 = 266$  recursive calls in the worst case!

## Suggested excercise

Write a non-recursive (iterative) binary search

## **Recursive string printer**

For a given value of n, we need to print a string made up of n-1 comps, computing, and n-1 tings; here are few examples for you...

n	Output
1	computing
2	compcomputingting
3	compcompcomputingting
4	compcompcomputingtingting
5	compcompcompcomputingtingtingting

#### Code

#### **Self-referential classes**

```
private static class Node<E> {
   private E element;
   private Node<E> prev, next; // defined recursively

// ...
}
```

A **self-referential class** contains an instance variable that refers to another object of the same class type

## **Using recursion for linked-lists**

```
public class DoublyLinkedList<E> implements Iterable<E>{
   // other methods. variables. classes
   public String print() {
      return (printRecursive(head)).toString();
   private StringBuilder printRecursive(Node<E> n) {
      if( n == null )
         return new StringBuilder();
      StringBuilder s = new StringBuilder(n.element.toString() + " ");
      s.append(printRecursive(n.next));
      return s:
   // other methods, variables, classes
```

#### **Fractals**

#### What are fractals? https://en.wikipedia.org/wiki/Fractal

Fascinating geometric figures that can be drawn recursively



Sierpiński triangle (source: Wikipedia)



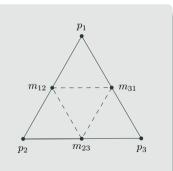
Wacław Sierpiński (source: Wikipedia)

#### Pseudo-code



#### Sierpiński triangle (source: Wikipedia)

```
private static void drawTriangles(Graphics g, int d, Point p1, Point p2, Point p3) {
  if (d == 0) { // depth is 0. draw the triangle: base case
      Polygon P = new Polygon():
      P.addPoint(p1.x.p1.v); P.addPoint(p2.x.p2.v); P.addPoint(p3.x.p3.v);
      g.fillPolygon(P): // draws a filled triangle
      return:
  Point m12 = midpoint(p1,p2);
  Point m23 = midpoint(p2.p3):
  Point m31 = midpoint(p3.p1):
  // Draw 3 Sierpinski triangles recursively of depth d-1
  drawTriangles(q, d - 1, p1, m12, m31); // recursive call 1
  drawTriangles(g, d - 1, m12, p2, m23); // recursive call 2
  drawTriangles(g, d - 1, m31, m23, p3); // recursive call 3
```



# Merge sort

### **Merge sort**

- Merge sort runs in  $O(n \log n)$  time
- It uses a linear-time algorithm known as **merging** for sorting the input
- Let us begin by understanding what is meant by merging two sequences ...

Given two **sorted** sequences  $S_1, S_2$ , how fast can you **merge** them into one final sorted sequence S?

$$S_1$$
 244 311 478  $S_2$  324 415 499 505 666  $S$  244 311 324 415 478 499 505 666

Assume that  $S_1$  has  $k_1$  elements and  $S_2$  has  $k_2$  elements Clearly, S has  $k_1 + k_2$  elements

We need to do it in  $O(k_1 + k_2)$  time





$S_1$	244	<u>311</u>	478				
$S_2$	<u>324</u>	415	499	505	666		
S	244						

$S_1$	244	311	<u>478</u>				
$S_2$	<u>324</u>	415	499	505	666		
S	244	311					

$S_1$	244	311	<u>478</u>				
$S_2$	324	415	499	505	666		
S	244	311	324				

$S_1$	244	311	<u>478</u>				
$S_2$	324	415	<u>499</u>	505	666		
S	244	311	324	415			

$S_1$	244	311	478				
$S_2$	324	415	<u>499</u>	505	666		
S	244	311	324	415	478		

$S_1$	244	311	478				
$S_2$	324	415	499	<u>505</u>	666		
S	244	311	324	415	478	499	

$S_1$	244	311	478					
$S_2$	324	415	499	505	<u>666</u>			
S	244	311	324	415	478	499	505	

$S_1$	244	311	478					
$S_2$	324	415	499	505	666			
S	244	311	324	415	478	499	505	666

## Time complexity

Merging takes time proportional to the total number of blue cursors movements, and they move  $k_1+k_2$  times to the right in total. Further, at each cursor movement, we spend O(1) time for comparing two elements, sending an element to S, and incrementing a blue pointer.

So, the time complexity amounts to 
$$(k_1 + k_2) \times O(1) = O(k_1 + k_2)$$

### **Merge sort**

- It is a recursive divide and conquer sorting algorithm
- Runs in  $O(n \log n)$  time (faster than Insertion, Bubble, and Selection sorts)

### The algorithm

Let the input be denoted by S

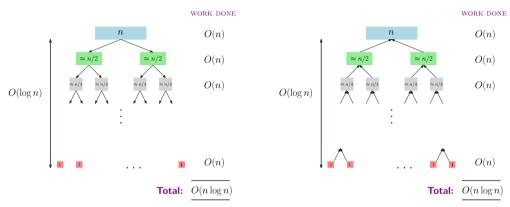
- **1 Divide. Split** the array into two halves  $S_1, S_2$
- 2 Conquer.
  - **1** Recursively sort the left half  $S_1$
  - **2** Recursively sort the right half  $S_2$
- **3 Combine. Merge** the two sorted halves  $S_1, S_2$  into S

#### **Visualization**

https://opendsa-server.cs.vt.edu/embed/mergesortAV

Try: 85 24 63 45 17 31 96 50 67 88 11

# Time complexity of merge sort



Left: total time spent to create the two halves  $S_1, S_2$  at every recursive call; Right: total time spent for merging.

 $ext{ Merge sort runs in } O(n \log n) + O(n \log n) = 2 \times O(n \log n) = O(n \log n) time$ 

# Space complexity of merge sort

#### **Merge sort**

- For creating the two subsequences  $S_1, S_2$  we need O(n/2) + O(n/2) = O(n) extra space.
- So, the total amount of extra space needed by a series of recursive call from the root to a leaf of the recursion tree also amounts to O(n) since

$$O(n) + O\left(\frac{n}{2}\right) + O\left(\frac{n}{4}\right) + \ldots + O(1) = O\left(n + \frac{n}{2} + \frac{n}{4} + \ldots + 1\right) = O(2n) = O(n)$$

- ullet For recursion, a stack is needed of size  $O(\log n)$
- Total space complexity:  $O(n) + O(\log n) = O(n)$

# The Comparable interface in Java

https://docs.oracle.com/en/java/javase/17/docs/api/java.base/java/lang/Comparable.html

#### Why you should use the Comparable interface?

If we ever need to compare two objects of a class, there must be a comparison method for the class. This interface forces the class to define such a method if it is not already defined inside it. For the wrapper classes such as **Integer**, **Double**, **Character** etc. comparison methods are already defined. Generic sorting methods in Java use the comparison method for sorting by comparisons.

The comparison method must be named **compareTo**, as declared inside the **Comparable** interface

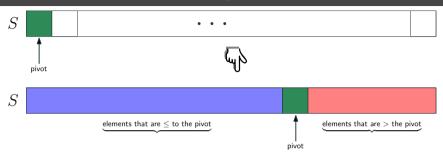
```
obj1.compareTo(obj2) < 0 if obj1 is less than obj2;
obj1.compareTo(obj2) == 0 if obj1 is equals obj2;
obj1.compareTo(obj2) > 0 if obj1 is greater than obj2;
```

# **Merge sort**

See the class MergeSort

# **Quick sort**

# Partitioning an array

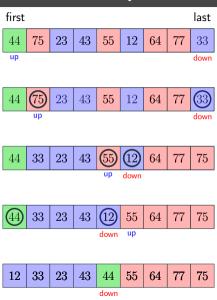


#### The algorithm

Denoted the input by S

- 1 Select the first element in S; call it **pivot**
- 2 Find the elements in S that are less than equal to pivot and send them to the left part of S and the ones that are greater than the pivot to the right part of S

#### An example



#### **Pseudocode**

- 1 pivot = S[first], up = first, down = last
- 2 do
  - 2.1 Increment up until up selects the first element greater than the pivot value or up has reached last
  - 2.2 Decrement down untill down selects the first element less than or equal to the pivot value or down has reached first
  - **2.3** if up < down, exchange S[up] and S[down]
- 3 while up is to the left of down
- 4 Exchange S[first] and S[down]

Note that the index down is a correct place for the pivot since in that case, everything to its left will be less than pivot and everything to its right will be greater than pivot

#### **Partition**

```
private static <K extends Comparable<K>> void swapTheItemsAt(K[] S, int i, int j) {
  K hold = S[i];
   S[i] = S[i]:
   S[i] = hold:
private static <K extends Comparable<K>> int partition(K[] S, int first, int last) {
  K pivot = S[first]:
   int up = first, down = last;
  do {
      while( (up < last) && (pivot.compareTo(S[up]) >= 0))
         up++:
      while( pivot.compareTo(S[down]) < 0)</pre>
         down--:
      if( up < down )</pre>
         swapTheItemsAt(S.up.down):
   }while(up < down);</pre>
   swapTheItemsAt(S.first.down):
   return down;
```

#### **Quick sort**

- It is another divide and conquer sorting algorithm
- Runs in  $O(n^2)$  time (explained next)

#### The algorithm

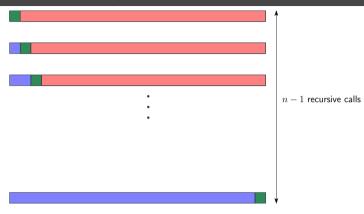
Let the input be denoted by S[first,...,last]

- 1 Divide. Partition the array so that the pivot item reaches its correct place in the array (its index is pivIndex)
- 2 Conquer.
  - Recursively sort the subarray first,...,pivIndex-1 (the subarray to the left of pivot)
  - **2 Recursively** sort the subarray pivIndex+1,...,last (the subarray to the right of pivot)

# **Quick sort**

See the class QuickSort

# Time and space complexities of quick sort



- When the array is sorted, at every recursive call, we find that all the other elements are bigger than the pivot! This is the worst case in fact
- So, we make n-1=O(n) recursive calls; we spend O(n) time for paritioning at every level
- Total time taken  $O(n^2)$
- Space complexity: O(n) since recursion depth can be at most n-1

# **Speed comparison**

already sorted subarray

A[i] items yet to be considered

# Insertion sort, needed for comparison; runs in $O(n^2)$ time

**Input**: An array A of n comparable elements

for i = 1 to n - 1 do

Insert A[i] at the proper spot within the sorted subarray  $A[0], A[1], \ldots, A[i]$ :

 $\square$  If A is already sorted then every A[i] is already in its correct position. As a result, every iteration of the for-loop runs in O(1) time. Consequently, insertion sort takes O(n) time when A is already sorted.

**Applet.** https://visualgo.net/en/sorting

Now see the class SortingSpeedComparison

# Quick sort vs Merge sort, output in some run, n=1M

```
n = 1,000,000
```

QuickSort  $(0(n^2))$ : 624 ms

MergeSort (O(n\*log n)): 1264 ms



Quick sort **beats** merge sort in practice on randomly ordered arrays despite having worse time complexity!

# Merge sort vs. Quick sort vs. Insertion sort

```
n = 100,000
QuickSort (O(n^2)): 85 ms
MergeSort (O(n log n)): 124 ms
InsertionSort (O(n^2)): 19002 ms
```

Insertion sort is unusable in general when n is large. However, if the input was already sorted, it could finish in just 10 ms when n=100K. In such cases, every iteration of the for-loop takes O(1) time since A[i] is already at its correct spot! As result, insertion sort takes O(n) time on sorted inputs.

# Quick sort performs terribly when the input is already sorted!

#### Output, n = 10K

```
n = 10,000
QuickSort (O(n^2)) on a random array: 6 ms
QuickSort on a sorted array: 200 ms
```

When the input is sorted, quick sort runs in quadratic time

# What happens when the input size is 50,000?

```
n = 50.000
Exception in thread "main" java.lang.StackOverflowError
  at recursion.QuickSort.recurseAndSort(QuickSort.java:12)
  at recursion.QuickSort.recurseAndSort(QuickSort.java:13)
  at recursion.QuickSort.recurseAndSort(QuickSort.java:13)
  at recursion.OuickSort.recurseAndSort(OuickSort.java:13)
  at recursion.QuickSort.recurseAndSort(QuickSort.java:13)
  at recursion.QuickSort.recurseAndSort(QuickSort.java:13)
  at recursion.OuickSort.recurseAndSort(OuickSort.java:13)
```

The call stack runs out of space since quick sort strives to make around 50K calls in this case!

#### Avoiding StackOverflowError exception

#### How to avoid StackOverflowError exception?

Make quick sort non-recursive by using a stack explicitly

```
LinkedStack<Pair> stack = new LinkedStack<);
stack.push( new Pair(0,S.length-1)); // push the left and right indices of the source array

while(!stack.isEmpty()) {
    var currentPair = stack.pop(); // pop a pair from the stack

    if( currentPair.leftIndex >= currentPair.rightIndex ) continue;

    int pivotIndex = partition(S, currentPair.leftIndex, currentPair.rightIndex);
    stack.push( new Pair(currentPair.leftIndex, pivotIndex - 1) ); // push the left and right indices of the left chunk
    stack.push( new Pair(pivotIndex+1, currentPair.rightIndex) ); // push the left and right indices of the right chunk
}
```

- See the class NonRecursiveQuickSort
- The non-recursive version is still slow on sorted inputs but unlike the recursive version, it doesn't crash by throwing a StackOverflowError exception
- Now, NonRecursiveQuickSort can sort a 50K-sized sorted input in 2608 ms

# How to 'almost' avoid quadratic runtime in practice?

#### **Speeding up quick sort in practice**

- Quick sort slows down on sorted inputs because of the bad pivots which generate empty left chunks (all the other elements goes to the right chunks)
- Idea. choose pivots randomly instead of sticking to the first element every time
  - It will be then **unlikely** a bad pivot is chosen every time a partition is executed
- It can be shown theoretically that this small change will result in  $O(n \log n)$  behavior in practice (proof is out of scope)
- See the class RandomizedQuicksort (recursive)
- Random generator = new Random();
  int pivotIndex = generator.nextInt(first, last + 1); // generate a random index for pivot selection
  K pivot = S[pivotIndex];
- The randomized **recursive** version can sort a 50K-sized sorted input in well under 30 ms (previously it took 2608 ms!)

#### Avoiding StackOverflowError exceptions

- Although very unlikely, bad pivots can still be chosen resulting in StackOverflowError exceptions since RandomizedQuicksort is recursive
- **Solution.** make it non-recursive
- See the class NonRecursiveRandomizedQuickSort
- No more stack overflows and painful slowdowns on sorted datasets are unlikely!

# Making quick sort faster in practice

#### Median of three heuristic

Use the median of the three items S[first], S[(first+last)/2], S[last] as the pivot. In this case, median is the second item of the sorted sequence of the above three items.

- In the randomized version, we choose pivots randomly
- Finding a pivot using random number generator is **slower** than computing the median of the above three items since only comparison operators and swapping can be used to select the median

- Also, this results in careful selection of pivots in practice
- Consequently, quick sort runs surprisingly faster!

# **Further optimization**

- Insertion sort works very fast for small inputs
- We leverage insertion sort in the algorithm
- When array size is at most 50 (other small numbers may work as well), do not partition anymore, use insertion sort instead

```
if( (currentPair.rightIndex - pivotIndex + 1) > 50)
    stack.push(new Pair(pivotIndex, currentPair.rightIndex));
else
    insertionSort(S,pivotIndex,currentPair.rightIndex);

if( (pivotIndex - currentPair.leftIndex) > 50)
    stack.push(new Pair(currentPair.leftIndex, pivotIndex - 1));
else
    insertionSort(S,currentPair.leftIndex,pivotIndex-1);
```

#### See the class MedOfThreeNonRecQuickSort

#### Demonstration

#### n = 10,000,000, randomly generated integer array

```
n = 10,000,000
```

NonRecursiveRandomizedQuickSort (O(n log n) behavior expected): 6723 ms

MedOfThreeNonRecQuickSort (O(n log n) behavior expected): 4971 ms

MergeSort (O(n log n)): 5195 ms

Arrays.sort() (0(n log n)): 5435 ms

#### $\overline{n}=10,000,000,$ sorted integer array

```
n = 10,000,000
```

NonRecursiveRandomizedQuickSort (O(n log n) behavior expected): 3654 ms

MedOfThreeNonRecQuickSort (O(n log n) behavior expected): 1498 ms

MergeSort (0(n log n)): 4411 ms

Arrays.sort() (0(n log n)): 161 ms

Moral of the story. Arrays.sort() is hard to beat in general

# Using multiple cores on your machine to sort faster

#### n = 10,000,000, randomly generated integer array

```
n = 10,000,000
```

Arrays.sort() (0(n log n)): 5564 ms

Arrays.parallelSort() (O(n log n)): 488 ms

# **Suggested exercise**

# Implement the selection sort algorithm using the Comparable interface

https://en.wikipedia.org/wiki/Selection\_sort

# Timsort (optional, for algorithm lovers only)

Java uses **Timsort** for sorting an array of non-primitives https://en.wikipedia.org/wiki/Timsort

#### **Generic binary search**

```
public class GenericBinarySearch {
  private static <K extends Comparable<K>>> boolean binarySearchRec(K[] A, K target, int low, int high) {
      if( low > high )
         return false:
      else {
         int mid = (low + high) / 2; // mid takes the floor of (low + high) / 2
         if( target.equals(A[mid]) )
                                          return true:
         else if( target.compareTo( A[mid] ) < 0 ) return binarySearchRec(A, target, low, mid - 1 ); // recursive call</pre>
         else return binarySearchRec(A, target, mid + 1, high );// recursive call
  public static <K extends Comparable<K>> boolean search(K[] A, K target) {
      return binarySearchRec(A, target, 0, A,length-1):
```

The Comparable interface also helps us to implement a generic binary search

# **Recursion tips**

- Make sure every chain of recursive calls eventually reach at least one base case
- Long chains of recursive calls can throw **StackOverflowError**; be careful!
- If such long chains cannot be avoided, make your code iterative (non-recursive)

# Reading

https://opendsa-server.cs.vt.edu/ODSA/Books/Everything/html/RecIntro.html