

## TD 4: Büchi automata

**Exercise 1** (Succintness of LTL+P). Let  $\text{AP}_{n+1} = \{p_0, \dots, p_n\} = \text{AP}_n \cup \{p_n\}$  be a set of atomic propositions, defining the alphabet  $\Sigma_{n+1} = 2^{\text{AP}_{n+1}}$ . We want to show the existence of an  $O(n)$ -sized formula with past such that any equivalent pure future LTL formula is of size  $\Omega(2^n)$ .

First consider the following LTL formula of exponential size:

$$\phi_n = \bigwedge_{S \subseteq \text{AP}_n} \left( \left( \bigwedge_{p_i \in S} p_i \wedge \bigwedge_{p_j \notin S} \neg p_j \wedge p_n \right) \Rightarrow \mathbf{G} \left( \bigwedge_{p_i \in S} p_i \wedge \bigwedge_{p_j \notin S} \neg p_j \right) \Rightarrow p_n \right) \wedge \left( \bigwedge_{p_i \in S} p_i \wedge \bigwedge_{p_j \notin S} \neg p_j \wedge \neg p_n \right) \Rightarrow \mathbf{G} \left( \bigwedge_{p_i \in S} p_i \wedge \bigwedge_{p_j \notin S} \neg p_j \right) \Rightarrow \neg p_n \right)$$

Done in TD2:

1. Describe which words of  $\Sigma_{n+1}^\omega$  are the models of  $\phi_n$ .
2. Give an LTL formula with past operators which checks whether the current position is the initial position of the word. Give an LTL formula with past operators  $\psi_n$  of size  $O(n)$  initial equivalent to  $\phi_n$ .

*The goal now is to show that any pure future LTL formula satisfying  $\phi_n$  must have size  $\Omega(2^n)$ .*

1. Consider the language  $L_n = \{\sigma \in \Sigma_{n+1}^\omega \mid \sigma \models \mathbf{G} \phi_n\}$ . We want to show that any generalized Büchi automaton that recognises  $L_n$  has at least  $2^{2^n}$  states.

Fix a permutation  $a_0 \dots a_{2^n-1}$  of the symbols of  $\Sigma_n$  and consider all possible subsets  $K$  of  $\{0, \dots, 2^n - 1\}$ . For each  $K$ , define the word  $w_K = b_0 \dots b_{2^n-1}$  such that for  $0 \leq i \leq 2^n - 1$ ,

$$b_i = \begin{cases} a_i & \text{if } i \in K, \\ a_i \cup \{p_n\} & \text{otherwise.} \end{cases}$$

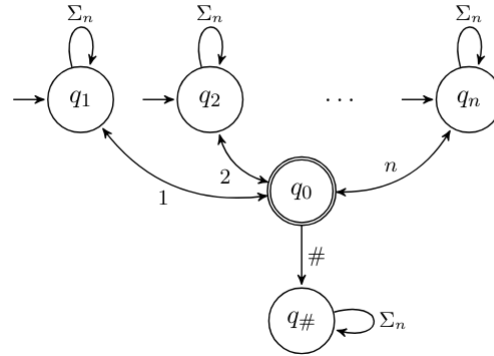
Using the words  $w_K$  for different subsets  $K$ , show that all generalized Büchi automata for  $\mathbf{G} \phi_n$  require at least  $2^{2^n}$  states.

2. Deduce the lower bound on the size of any LTL formula equivalent to  $\phi_n$ .

**Exercise 2.** Build the Büchi automaton for LTL formula  $a \mathbf{U} (\mathbf{X} b \wedge \neg a)$  using the method seen during the course.

**Exercise 3** (Complementation is expensive). Consider the alphabet  $\Sigma_n = \{1, 2, \dots, n, \#\}$  and the language  $L_n \subseteq \Sigma_n^\omega$  defined by the Büchi automaton (note the bi-directional transitions) in the given figure.

*Our goal is to prove that the complement of  $L_n$  in  $\Sigma_n^\omega$  cannot be recognized by a Büchi automaton having less than  $n!$  states.*



1. Let  $(i_1, \dots, i_n)$  be a permutation of the elements of  $\{1, \dots, n\}$ . Show that the infinite word  $(i_1 \dots i_n \#)^\omega$  is *not* in  $L_n$ .
2. Let  $a_1 \dots a_k$  be a finite word in  $\{1, \dots, n\}^*$ . Show that all infinite words described by  $(\Sigma_n^* a_1 a_2 \Sigma_n^* a_2 a_3 \Sigma_n^* \dots \Sigma_n^* a_{k-1} a_k \Sigma_n^* a_k a_1)^\omega$  is in  $L_n$ .
3. Consider two different permutations  $(i_1, \dots, i_n)$  and  $(j_1, \dots, j_n)$  of  $\{1, \dots, n\}$ . The words  $\rho = (i_1 \dots i_n \#)^\omega$  and  $\sigma = (j_1 \dots j_n \#)^\omega$  are recognized by all Büchi automata  $\mathcal{B}$  that recognize the complement of  $L_n$ . Show that if  $\rho$  loops infinitely in a subset  $R$  and  $\sigma$  loops infinitely in a subset  $S$  of the states of  $\mathcal{B}$ , then  $R$  and  $S$  must be disjoint. (Note:  $\rho$  visits each state of  $R$  infinitely often. Same for  $\sigma$ .)
4. Conclude.

**Exercise 4.** For the LTL formula  $\phi_n = (p \wedge X^n p) \vee (\neg p \wedge X^n \neg p)$ ,

1. Give a Büchi automaton for  $\phi_n$  with  $O(n)$  states.
2. Show that all Büchi automata for  $\mathbf{G} \phi_n$  must have at least  $2^n$  states.
3. Deduce that the Büchi automaton of the complement of a language of a Büchi automaton with  $O(n)$  states can have an exponentially more number of states.

## Homework

To hand in on October 24 at the beginning of the exercise session or anytime sooner by email at [nicolas.dumange@ens-paris-saclay.fr](mailto:nicolas.dumange@ens-paris-saclay.fr), with file name of the form "First-nameLastname.pdf". Answers can be written in French or in English.

**Homework 1.** Build the Büchi automaton for the following formulae using the method seen during the course: (1)  $\mathbf{G}(a \rightarrow (b \cup c))$ , and (2)  $\mathbf{G} \mathbf{F} b \rightarrow \mathbf{F} \mathbf{G} a$ .

**Homework 2.** Give an LTL formula  $\varphi_n$  of size  $O(n)$  over  $\text{AP} = \{p_0, \dots, p_{n-1}\}$  that simulates an  $n$ -bit counter. To be more precise, the models of  $\varphi_n$  are exactly the infinite words in  $(2^{\text{AP}})^\omega$  where, at each position  $i$ , the valuation of  $(p_0, \dots, p_{n-1})$  encodes an  $n$ -bit binary number  $v_i$ , and for all  $i \geq 0$ ,  $v_{i+1} = (v_i + 1) \pmod{2^n}$ .

Also provide a tight lower bound on the size of any equivalent Büchi automaton.