

TRƯỜNG ĐẠI HỌC BÁCH KHOA HÀ NỘI HANOI UNIVERSITY OF SCIENCE AND TECHNOLOGY

ONE LOVE. ONE FUTURE.

Thông tin chung

- Tên học phần: Nhập môn kỹ thuật truyền thông
- Mã học phần: IT4593E
- Khối lượng: 2 TC (2-1-0-4)
- Lý thuyết và bài tập: 10 buổi lý thuyết, 5 buổi bài tập
- Đánh giá học phần:

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30% QT (kiểm tra + bài tập/project + chuyên cần-quiz )
70% CK (trắc nghiệm + tự luận)
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- Tài liệu tham khảo:
 - Lecture slides
 - Lecture notes
 - Textbooks, ví dụ Communication Systems Engineering, 2nd Edition, by John G. Proakis Masoud Salehi
 - Internet



Part 2: Digital Modulations

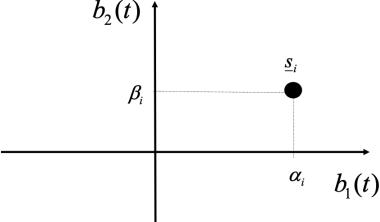
Lec 11: 4-PSK and m-PSK

 Consider a 2-D constellation, suppose that basis signals = cosine and sine

$$b_1(t) = p(t)\cos(2\pi f_0 t) b_2(t) = p(t)\sin(2\pi f_0 t)$$

• Each constellation symbol corresponds to a vector with two real components $b_2(t)$ †

$$M = \{\underline{s_i} = (\alpha_i, \beta_i)\}$$





Binary information sequence

DURATION T $v_T[n] \notin H_k \quad \text{DURATION T}$ $S_T[n] \notin M \subseteq R^2$

Symbol sequence

$$\alpha[n] \in R \qquad \beta[n] \in R$$

DURATION T

Transmitted signal

$$s(t) = \sum_{n} \alpha[n]b_1(t - nT) + \sum_{n} \beta[n]b_2(t - nT) = a(t) + b(t)$$



Spectrum of a(t):

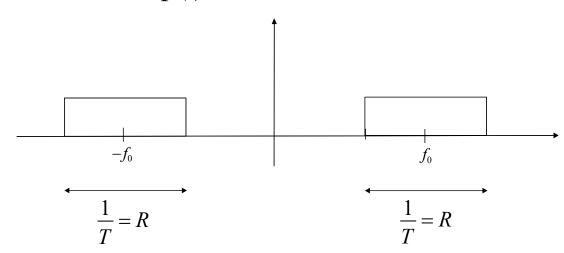


$$a(t) = \sum_{n} \alpha[n]b_1(t - nT) = \left[\sum_{n} \alpha[n]p(t - nT)\right] \cos(2\pi f_0 t)$$

$$G_a = x \left[\left|P(f - f_0)\right|^2 + \left|P(f + f_0)\right|^2\right] \qquad x \in R$$

$$G_a = x \left[\left| P(f - f_0) \right|^2 + \left| P(f + f_0) \right|^2 \right]$$
 $x \in R$

when p(t) = ideal low pass filter





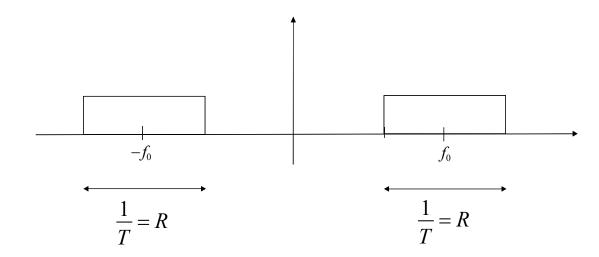
Spectrum of b(t):



$$b(t) = \sum_{n} \beta[n] b_{1}(t - nT) = \left[\sum_{n} \beta[n] p(t - nT) \right] \sin(2\pi f_{0}t)$$

$$G_{b} = y \left[\left| P(f - f_{0}) \right|^{2} + \left| P(f + f_{0}) \right|^{2} \right] \qquad y \in R$$

when p(t) = ideal low pass filter





$$s(t) = a(t) + b(t)$$

It can be proved that

$$G_{s}(f) = G_{a}(f) + G_{b}(f)$$



$$s(t) = a(t) + b(t)$$

$$G_s = G_a + G_b$$

$$G_a = x \Big[|P(f - f_0)|^2 + |P(f + f_0)|^2 \Big] \qquad x \in R$$

$$G_b = y \Big[|P(f - f_0)|^2 + |P(f + f_0)|^2 \Big] \qquad y \in R$$

$$G_s = z \Big[|P(f - f_0)|^2 + |P(f + f_0)|^2 \Big] \qquad z \in R$$

 G_a and G_b have the same shape and live on the same frequencies.

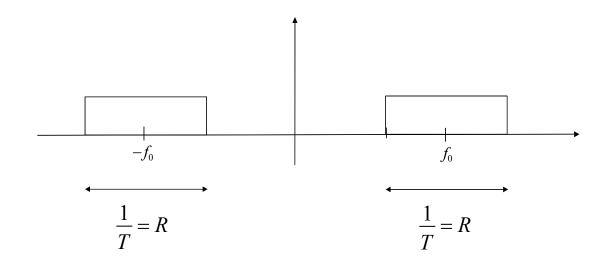
This is also the case for G_s .

The spectrum of s(t) only depends on $|P(f)|^2$.



Example when p(t) = ideal low pass filter

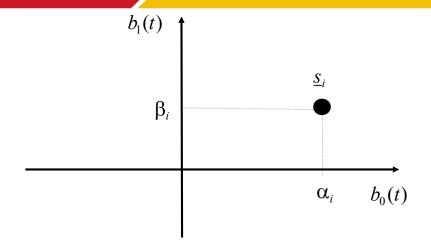
$$G_s = z \Big[|P(f - f_0)|^2 + |P(f + f_0)|^2 \Big]$$
 $z \in R$





I/Q component

Given a quadrature modulation, let us consider its transmitted waveform:



$$s(t) = a(t) + b(t) =$$

$$= \left[\sum_{n} \alpha[n] p(t-nT)\right] \cos(2\pi f_0 t) + \left[\sum_{n} \beta[n] p(t-nT)\right] \sin(2\pi f_0 t)$$

$$i(t)$$

$$q(t)$$

I component (in phase)

Q component (in quadrature)



Complex envelope

$$s(t) = [i(t)]\cos(2\pi f_0 t) + [q(t)]\sin(2\pi f_0 t)$$

Complex envelope

$$\left| \tilde{s}(t) = i(t) - jq(t) \right|$$

$$i(t) = \sum_{n} \alpha[n] p(t - nT)$$

$$q(t) = \sum_{n} \beta[n] p(t - nT)$$

Complex symbol

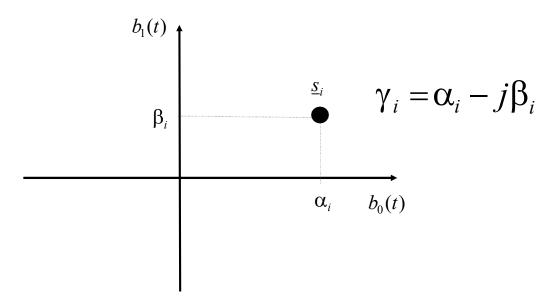
$$\gamma[n] = \alpha[n] - j\beta[n]$$

$$\tilde{s}(t) = \sum_{n} \gamma[n] p(t - nT)$$



Complex envelope

$$\tilde{s}(t) = \sum_{n} \gamma[n] p(t - nT)$$
 $\gamma[n] = \alpha[n] - j\beta[n]$



Quadrature constellation as a set of complex numbers

$$M = \left\{ \gamma_i = \alpha_i - j\beta_i \right\}_{i=1}^m$$



Analytic signal

$$s(t) = [i(t)]\cos(2\pi f_0 t) + [q(t)]\sin(2\pi f_0 t)$$

$$\tilde{s}(t) = i(t) - jq(t)$$

$$s(t) = \operatorname{Re}\left[\tilde{s}(t)e^{j2\pi f_0 t}\right] = \operatorname{Re}\left[\dot{s}(t)\right]$$

Analytic signal

$$\left| \dot{s}(t) = \tilde{s}(t)e^{j2\pi f_0 t} \right|$$

$$\dot{s}(t) = \tilde{s}(t)e^{j2\pi f_0 t} = \left[\sum_{n} \gamma[n]p(t-nT)\right]e^{j2\pi f_0 t}$$



4-PSK: characteristics

- Band-pass modulation
- 2. 2D signal set
- 3. Basis signals $p(t)cos(2\pi f_0 t)$ and $p(t)sin(2\pi f_0 t)$
- 4. Constellation = 4 signals, equidistant on a circle
- 5. Information associated to the carrier phase



SIGNAL SET

$$M = \{s_1(t) = Ap(t)\cos(2\pi f_0 t), s_2(t) = Ap(t)\sin(2\pi f_0 t)$$
$$s_3(t) = -Ap(t)\cos(2\pi f_0 t), s_4(t) = -Ap(t)\sin(2\pi f_0 t)\}$$

If we write
$$S_{1}(t) = Ap(t)\cos(2\pi f_{0}t),$$

$$S_{2}(t) = Ap(t)\sin(2\pi f_{0}t) = Ap(t)\cos\left(2\pi f_{0}t - \frac{\pi}{2}\right),$$

$$S_{3}(t) = -Ap(t)\cos(2\pi f_{0}t) = Ap(t)\cos\left(2\pi f_{0}t - \pi\right),$$

$$S_{4}(t) = -Ap(t)\sin(2\pi f_{0}t) = Ap(t)\cos\left(2\pi f_{0}t - \frac{3\pi}{2}\right)$$

Information associated to the carrier phase



SIGNAL SET

$$M = \{s_i(t) = Ap(t)\cos(2\pi f_0 t - \varphi_i)\}_{i=1}^4$$
$$\varphi_i = (i-1)\frac{\pi}{2}$$

Vectors

$$b_1(t) = p(t)\cos(2\pi f_0 t)$$

$$b_2(t) = p(t)\sin(2\pi f_0 t)$$

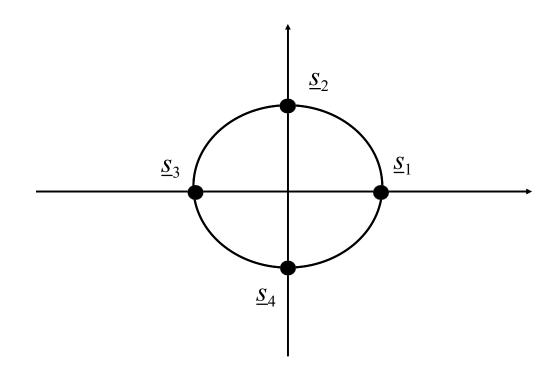
VECTOR SET

$$M = \{\underline{s}_1 = (A,0), \underline{s}_2 = (0,A), \underline{s}_3 = (-A,0), \underline{s}_4 = (0,-A)\} \subseteq \mathbb{R}^2$$



VECTOR SET

$$M = \{\underline{s}_1 = (A,0), \underline{s}_2 = (0,A), \underline{s}_3 = (-A,0), \underline{s}_4 = (0,-A)\} \subseteq \mathbb{R}^2$$





SIGNAL SET (with arbitrary starting phase)

$$M = \{s_i(t) = Ap(t)\cos(2\pi f_0 t - \varphi_i)\}_{i=1}^4$$
$$\varphi_i = \Phi + (i-1)\frac{\pi}{2}$$



$$s_i(t) = (A\cos\varphi_i)p(t)\cos(2\pi f_0 t) + (A\sin\varphi_i)p(t)\sin(2\pi f_0 t)$$

Vectors

$$b_1(t) = p(t)\cos(2\pi f_0 t)$$

$$b_2(t) = p(t)\sin(2\pi f_0 t)$$

Vector set

$$M = \{\underline{s}_i = (\alpha_i, \beta_i)\}_{i=1}^4 \subseteq R^2$$

$$|\alpha_i| = A\cos\varphi_i$$

$$\beta_i = A \sin \varphi_i$$

$$\varphi_i = \Phi + (i-1)\frac{\pi}{2}$$



Example: $\Phi = 0$

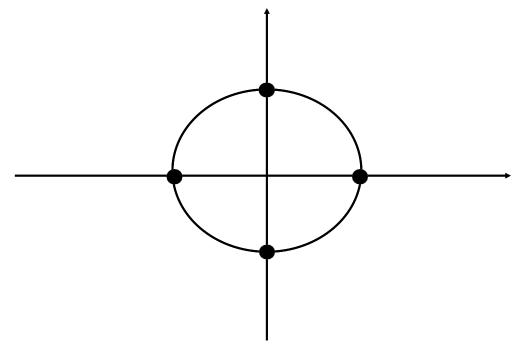
$$M = \{\underline{s}_1 = (A,0), \underline{s}_2 = (0,A), \underline{s}_3 = (-A,0), \underline{s}_4 = (0,-A)\} \subseteq \mathbb{R}^2$$

$$M = \{\underline{s}_i = (\alpha_i, \beta_i)\}_{i=1}^4 \subseteq R^2$$

$$\alpha_i = A\cos\varphi_i$$

$$\beta_i = A\sin\varphi_i$$

$$\varphi_i = (i-1)\frac{\pi}{2} \in \left\{0, \frac{\pi}{2}, \pi, \frac{3\pi}{2}\right\}$$





Example:
$$\Phi = \frac{\pi}{4}$$

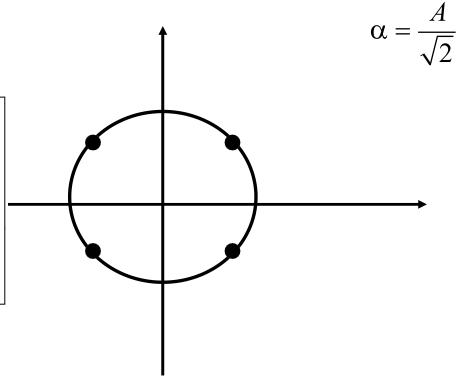
$$M = \{\underline{s}_1 = (-\alpha, -\alpha), \underline{s}_2 = (+\alpha, -\alpha), \underline{s}_3 = (+\alpha, +\alpha), \underline{s}_4 = (-\alpha, +\alpha)\} \subseteq R^2$$

$$M = \{\underline{s}_i = (\alpha_i, \beta_i)\}_{i=1}^4 \subseteq R^2$$

$$\alpha_i = A\cos\varphi_i$$

$$\beta_i = A\sin\varphi_i$$

$$\varphi_i = \frac{\pi}{4} + (i-1)\frac{\pi}{2} \in \left\{\frac{\pi}{4}, \frac{3\pi}{4}, \frac{5\pi}{4}, \frac{7\pi}{4}\right\}$$





4-PSK: binary labeling

Example of Gray labeling

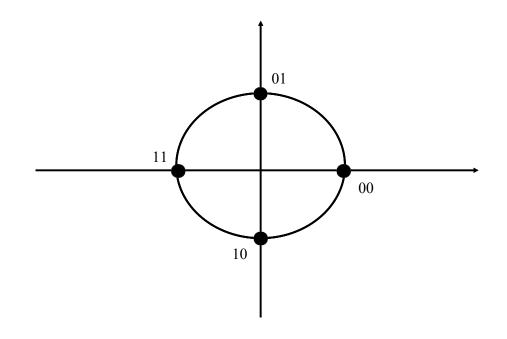
$$e: H_2 \leftrightarrow M$$

$$e(00) = \underline{s}_0$$

$$e(01) = \underline{s}_1$$

$$e(11) = \underline{s}_2$$

$$e(10) = \underline{s}_3$$





4-PSK: transmitted waveform

$$m = 4 \rightarrow k = 2$$

$$T = 2T_b$$

 $R = \frac{R_b}{2}$

Each symbol has duration T Each symbol component (α and β) lasts for T second

Transmitted waveform

$$s(t) = \left[\sum_{n} \alpha[n]p(t-nT)\right] \cos(2\pi f_0 t) + \left[\sum_{n} \beta[n]p(t-nT)\right] \sin(2\pi f_0 t)$$

I component (in phase)

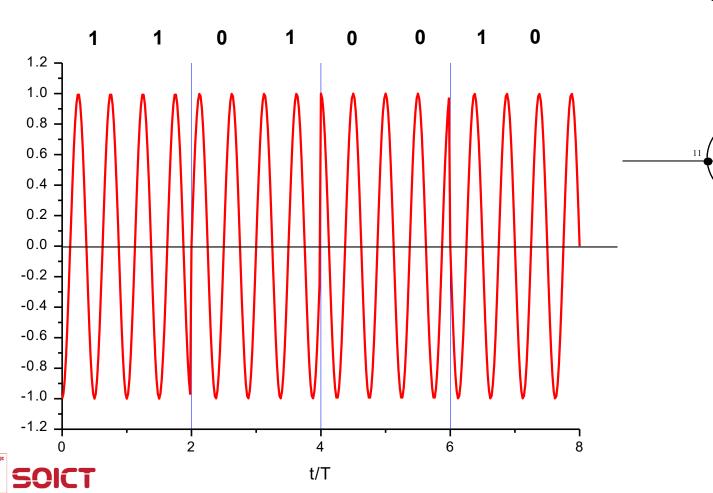
Q component (in quadrature)



4-PSK: transmitted waveform

example for
$$p(t) = \frac{1}{\sqrt{T}} P_T(t)$$

$$f_0 = 2R_b$$
$$\alpha = \sqrt{T}$$



4-PSK: analytic signal

$$s(t) = \left[\sum_{n} \alpha[n]p(t-nT)\right] \cos(2\pi f_0 t) + \left[\sum_{n} \beta[n]p(t-nT)\right] \sin(2\pi f_0 t)$$

$$i(t)$$

$$q(t)$$

$$s(t) = \operatorname{Re}[\dot{s}(t)] = \operatorname{Re}[\tilde{s}(t)e^{j2\pi f_0 t}]$$

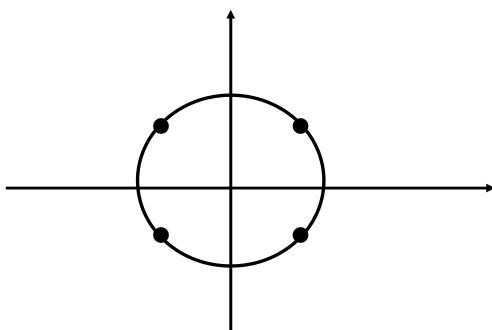
$$\tilde{s}(t) = i(t) - jq(t) = \sum_{n} \gamma[n]p(t - nT) \qquad \gamma[n] = \alpha[n] - j\beta[n]$$



4-PSK: analytic signal

$$\tilde{s}(t) = \sum_{n} \gamma[n] p(t - nT)$$

$$\gamma[n] = \alpha[n] - j\beta[n]$$



$$M = \{s_1 = (a - ja), s_2 = (-a - ja), s_3 = (-a + ja), s_4 = (a + ja), \}$$



4-PSK: bandwidth and spectral efficiency

Transmitted waveform

$$s(t) = \left[\sum_{n} \alpha[n]p(t-nT)\right] \cos(2\pi f_0 t) + \left[\sum_{n} \beta[n]p(t-nT)\right] \sin(2\pi f_0 t)$$

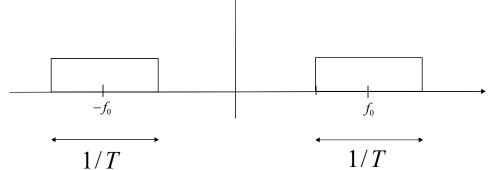
$$G_s(f) = z \Big[|P(f - f_0)|^2 + |P(f + f_0)|^2 \Big]$$
 $z \in R$

Each symbol $\alpha[n]$ and $\beta[n]$ has time duration $T = 2T_b$



4-PSK: bandwidth and spectral efficiency

Case 1: p(t) = ideal low pass filter



Total bandwidth (ideal case)

$$B_{id} = R = \frac{R_b}{2}$$



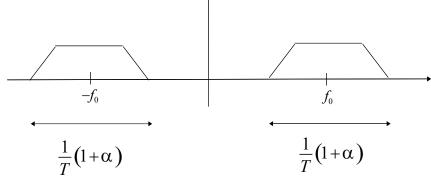
Spectral efficiency (ideal case)

$$\eta_{id} = \frac{R_b}{B_{id}} = 2 bps / Hz$$



4-PSK: bandwidth and spectral efficiency

Case 2: p(t) = RRC filter with roll off α



Total bandwidth

$$B = R(1+\alpha) = \frac{R_b}{2}(1+\alpha)$$



Spectral efficiency
$$\eta = \frac{R_b}{B} = \frac{2}{(1+\alpha)} bps / Hz$$



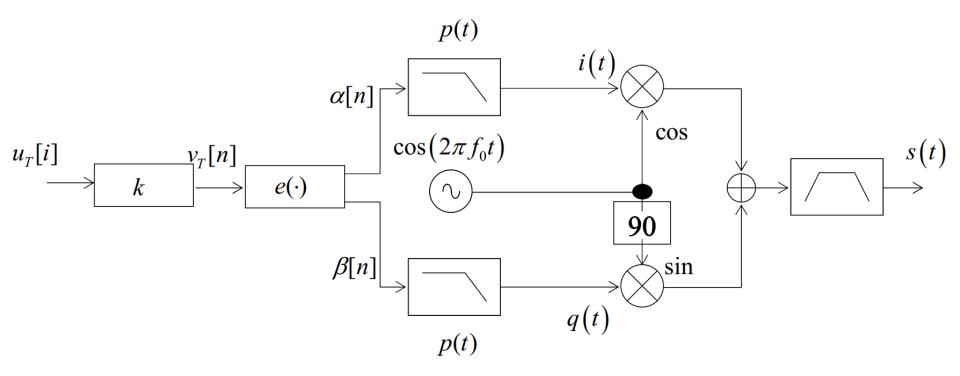
Exercise

Given a bandpass channel with bandwidth B=4000 Hz, centred around $f_0=2$ GHz, compute the maximum bit rate R_b we can transmit over it with a 4-PSK constellation in the two cases:

- Ideal low pass filter
- RRC filter with α =0.25

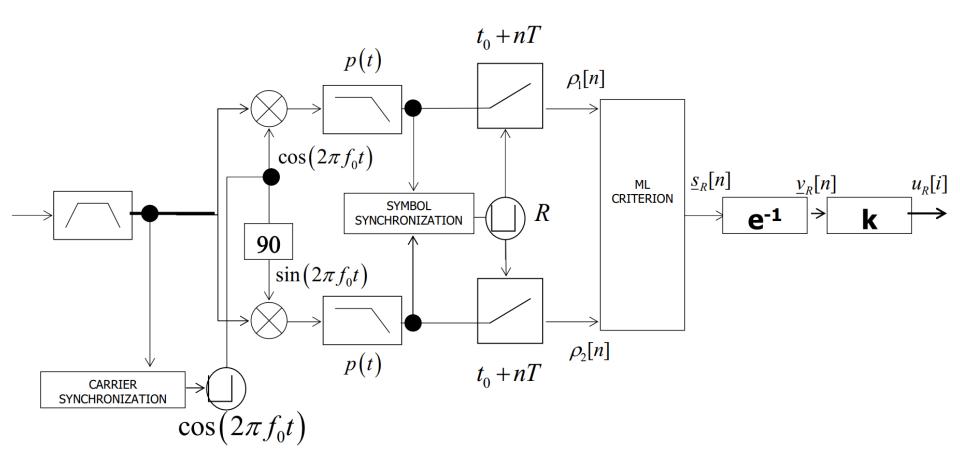


4-PSK: modulator





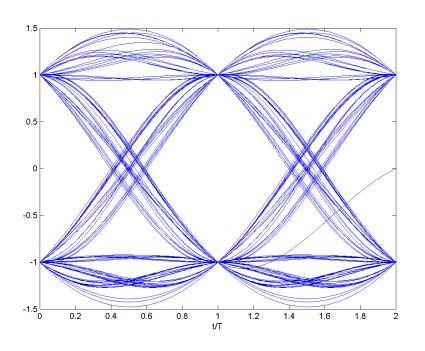
4-PSK: demodulator





4-PSK: Eye diagram

4-PSK constellation with RRC filter (α =0.5)



1.5 0.5 -0.5 -1.5 0 0.2 0.4 0.6 0.8 1 1.2 1.4 1.6 1.8 2

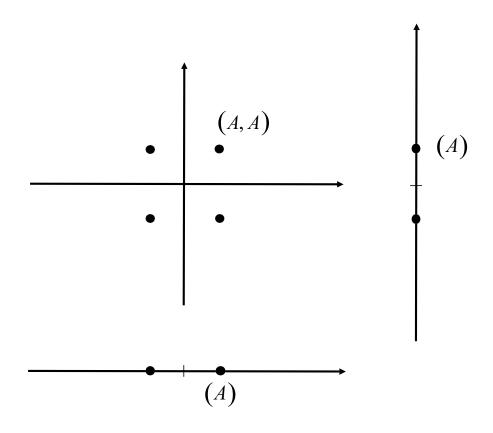
Canale I

Canale Q



4-PSK: intepretation

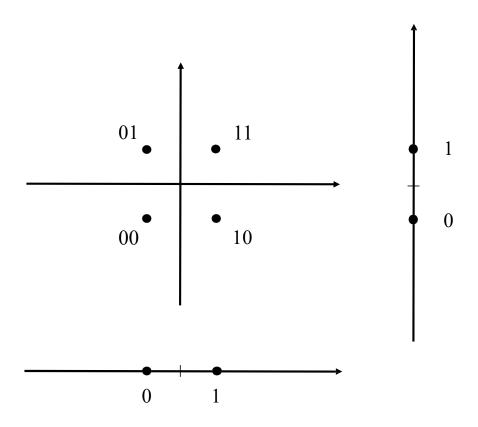
The 4-PSK vector set can be viewed as the **Cartesian product** of two 2-PSK constellations





4-PSK: intepretation

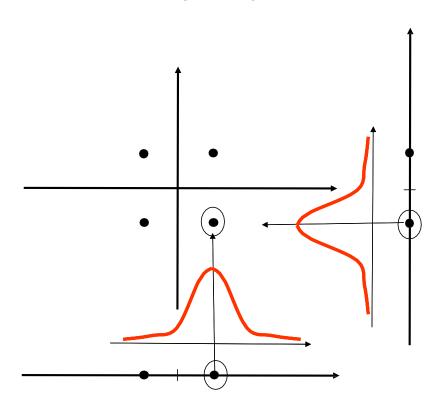
This is also true for the binary Gray labeling (first bit = I component, second bit = Q component)





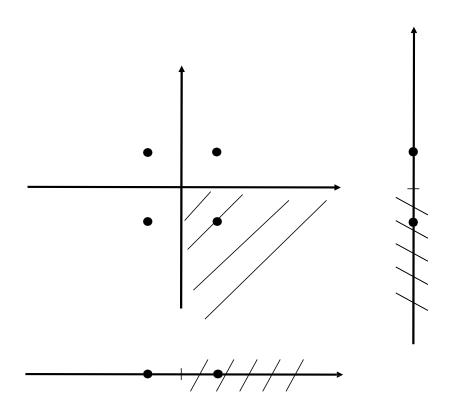
4-PSK: intepretation

The AWGN channel adds two Gaussian components which are statistically independent



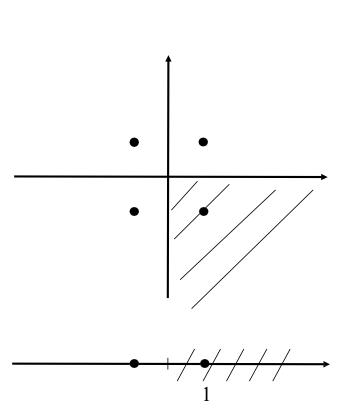


The Voronoi regions of 4-PSK signals are the Cartesian product of the Voronoi regions of the constituent 2-PSK constellations





The Voronoi regions of 4-PSK signals are the Cartesian product of the Voronoi regions of the constituent 2-PSK constellations



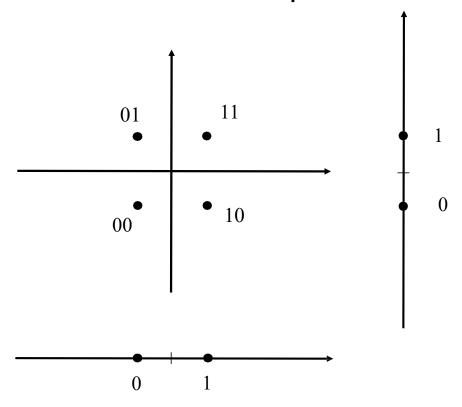
Given the received vector $(\rho_1 \lceil n \rceil, \rho_2 \lceil n \rceil)$

The sign of the first component $\rho_1[n]$ determines the first received bit

The sign of the second component $\rho_2[n]$ determines the second received bit

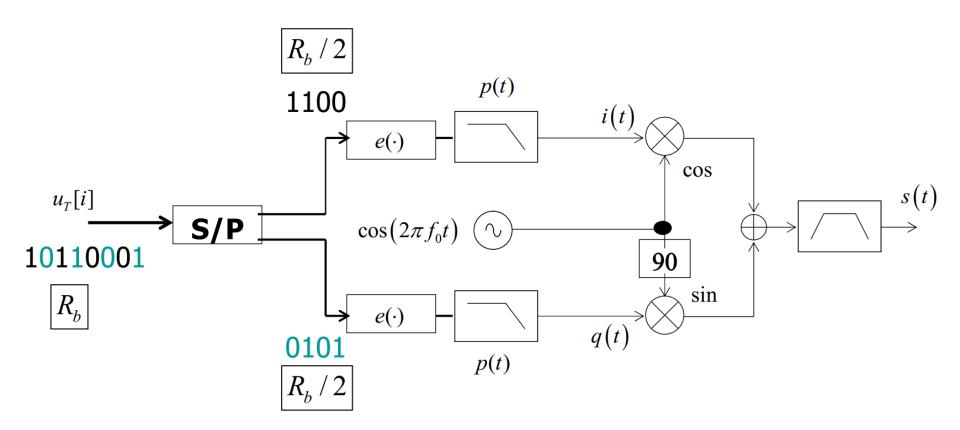


The 4-PSK modulation can be viewed as the Cartesian product of two 2-PSK constellations transmitted over two independent channels



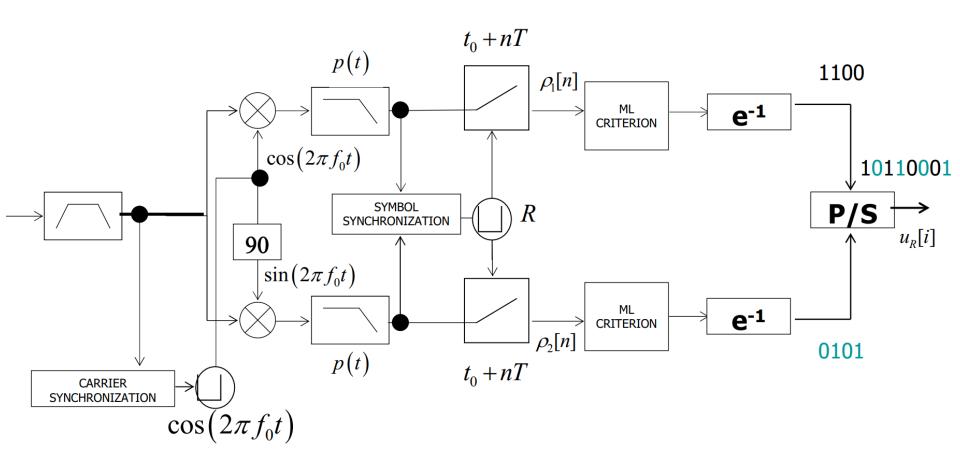


4-PSK: modulator





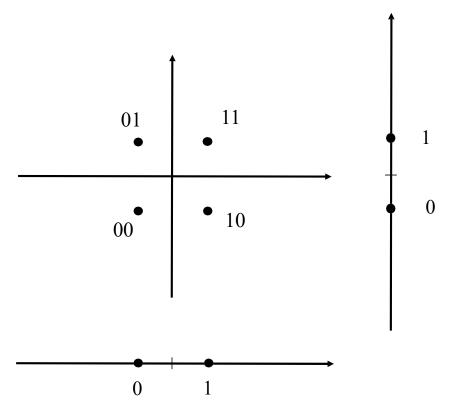
4-PSK: demodulator





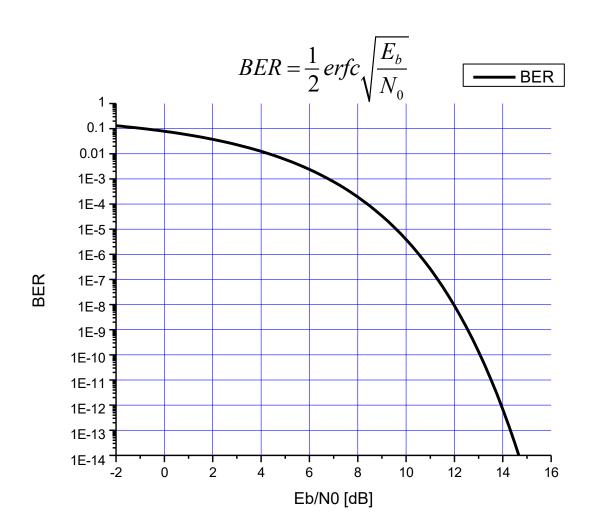
The Cartesian product interpretation clarifies why a 4-PSK constellation

- 1. Has the same BER performance of a 2-PSK
- 2. Has double spectral efficiency (two sequences with half bit-rate transmitted on the same frequencies)





4-PSK: error probability





4-PSK: applications

Probably the most used digital modulation

- Satellite links
- Terrestrial radio links (with low spectral efficiency)
- GPS/Galileo
- UMTS
- ...



m-PSK: characteristics

- Band-pass modulation
- 2. 2D signal set
- 3. Basis signals $p(t)cos(2\pi f_0 t) \& p(t)sin(2\pi f_0 t)$
- 4. Costellation = m signals, equidistant on a circle
- 5. Information associated to the carrier phase



m-PSK: constellation

SIGNAL SET

$$M = \{s_i(t) = Ap(t)\cos(2\pi f_0 t - \phi_i)\}_{i=1}^m$$

$$\phi_i = \Phi + (i-1)\frac{2\pi}{m}$$

Information associated to the carrier phase



m-PSK: constellation

$$|s_i(t) = Ap(t)\cos(2\pi f_0 t - \varphi_i)|$$

$$\varphi_i = \Phi + (i-1) \frac{2\pi}{m}$$

We can write

$$s_i(t) = (A\cos\varphi_i)p(t)\cos(2\pi f_0 t) + (A\sin\varphi_i)p(t)\sin(2\pi f_0 t)$$

Clearly, we have two vectors

$$b_1(t) = p(t)\cos(2\pi f_0 t)$$

$$b_2(t) = p(t)\sin(2\pi f_0 t)$$



m-PSK: constellation

$$M = \{s_i(t) = Ap(t)\cos(2\pi f_0 t - \varphi_i)\}_{i=1}^m$$

$$\varphi_i = \Phi + (i-1)\frac{2\pi}{m}$$

VECTORS

$$b_1(t) = p(t)\cos(2\pi f_0 t)$$

$$b_2(t) = p(t)\sin(2\pi f_0 t)$$

VECTOR SET

$$M = \{\underline{s}_i = (\alpha_i, \beta_i)\}_{i=1}^m \subseteq R^2$$

$$\alpha_i = A\cos\varphi_i$$

$$\beta_i = A \sin \varphi_i$$

$$\beta_{i} = A \sin \varphi_{i}$$

$$\varphi_{i} = \Phi + (i - 1) \frac{2\pi}{m}$$

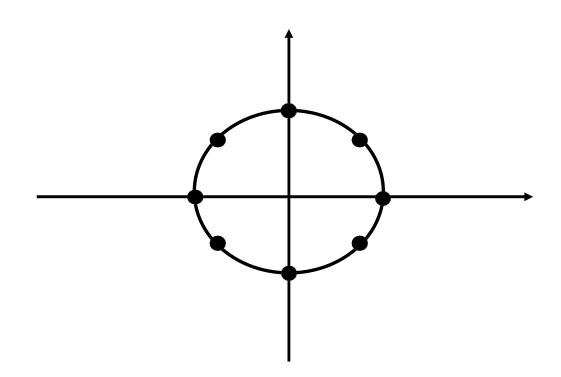


Example

8-PSK

$$\Phi = 0$$

$$M = \{\underline{s}_1 = (A,0), \underline{s}_2 = (A/\sqrt{2}, A/\sqrt{2}), \underline{s}_3 = (0,A), \underline{s}_4 = (-A/\sqrt{2}, A/\sqrt{2}), \underline{s}_5 = (-A,0), \underline{s}_6 = (-A/\sqrt{2}, -A/\sqrt{2}), \underline{s}_7 = (0,-A), \underline{s}_8 = (A/\sqrt{2}, -A/\sqrt{2})\} \subseteq R^2$$



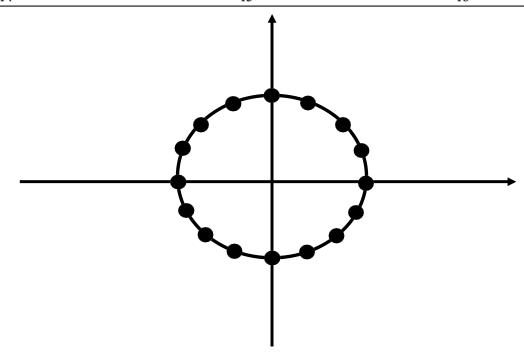


Example

16-PSK

$$\Phi = 0$$

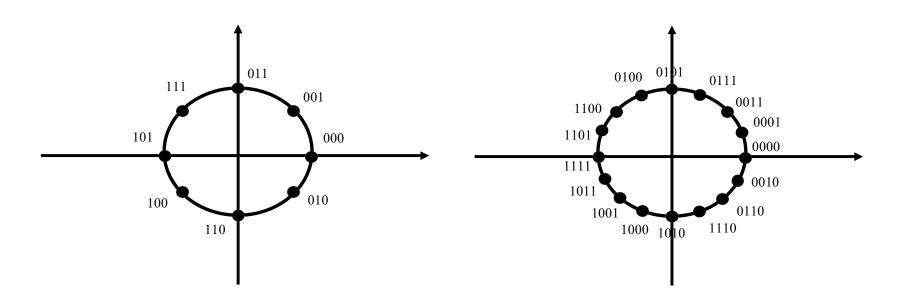
$$M = \{\underline{s}_1 = (A,0), \underline{s}_2 = (0.924A, 0.383A), \underline{s}_3 = (A/\sqrt{2}, A/\sqrt{2}), \underline{s}_4 = (0.383A, 0.924A), \\ \underline{s}_5 = (0,A), \underline{s}_6 = (-0.383A, 0.924A,), \underline{s}_7 = (-A/\sqrt{2}, A/\sqrt{2}), \underline{s}_8 = (-0.924A, 0.383A), \\ \underline{s}_9 = (-A,0), \underline{s}_{10} = (-0.924A, -0.383A), \underline{s}_{11} = (-A/\sqrt{2}, -A/\sqrt{2}), \underline{s}_{12} = (-0.383A, -0.924A), \\ \underline{s}_{13} = (0,-A), \underline{s}_{14} = (0.383A, -0.924A,), \underline{s}_{15} = (A/\sqrt{2}, -A/\sqrt{2}), \underline{s}_{16} = (0.924A, -0.383A)\} \subseteq R^2$$



m-PSK: binary labeling

$$e: H_k \leftrightarrow M$$

It is always possible to build Gray labelings





m-PSK: transmitted waveform

$$k = \log_2 m$$

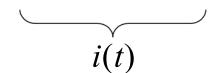
$$T = kT_b$$

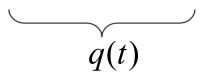
$$R = \frac{R_b}{k}$$

Each symbol has duration T Each symbol component (α and β) lasts for T second

Transmitted waveform

$$s(t) = \left[\sum_{n} \alpha[n]p(t-nT)\right] \cos(2\pi f_0 t) + \left[\sum_{n} \beta[n]p(t-nT)\right] \sin(2\pi f_0 t)$$





I component (in phase)

Q component (in quadrature)



m-PSK: analytic signal

$$s(t) = \left[\sum_{n} \alpha[n]p(t-nT)\right] \cos(2\pi f_0 t) + \left[\sum_{n} \beta[n]p(t-nT)\right] \sin(2\pi f_0 t)$$

$$i(t)$$

$$q(t)$$

$$s(t) = \operatorname{Re}[\dot{s}(t)] = \operatorname{Re}[\tilde{s}(t)e^{j2\pi f_0 t}]$$

$$\tilde{s}(t) = i(t) - jq(t) = \sum_{n} \gamma[n] p(t - nT) \qquad \qquad \gamma[n] = \alpha[n] - j\beta[n]$$



m-PSK: bandwidth and spectral efficiency

Transmitted waveform

$$s(t) = \left[\sum_{n} \alpha[n] p(t - nT)\right] \cos(2\pi f_0 t) + \left[\sum_{n} \beta[n] p(t - nT)\right] \sin(2\pi f_0 t)$$

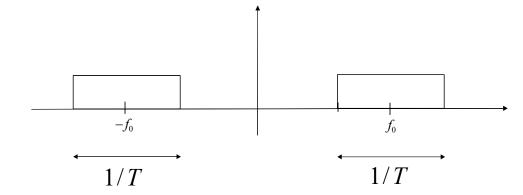
$$G_s(f) = z \Big[|P(f - f_0)|^2 + |P(f + f_0)|^2 \Big]$$
 $z \in R$

Each symbol $\alpha[n]$ and $\beta[n]$ has time duration $T = kT_b$



m-PSK: bandwidth and spectral efficiency

Case 1: p(t) = ideal low pass filter



Total bandwidth (ideal case)

$$B_{id} = R = \frac{R_b}{k}$$



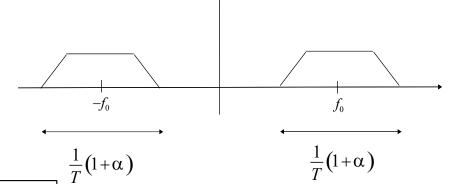
Spectral efficiency (ideal case)

$$\eta_{id} = \frac{R_b}{B_{id}} = k \ bps / Hz$$



m-PSK: bandwidth and spectral efficiency

Case 2: p(t) = RRC filter with roll off α



Total bandwidth

$$B = R(1 + \alpha) = \frac{R_b}{k}(1 + \alpha)$$



Spectral efficiency
$$\eta = \frac{R_b}{B} = \frac{k}{(1+\alpha)} bps / Hz$$



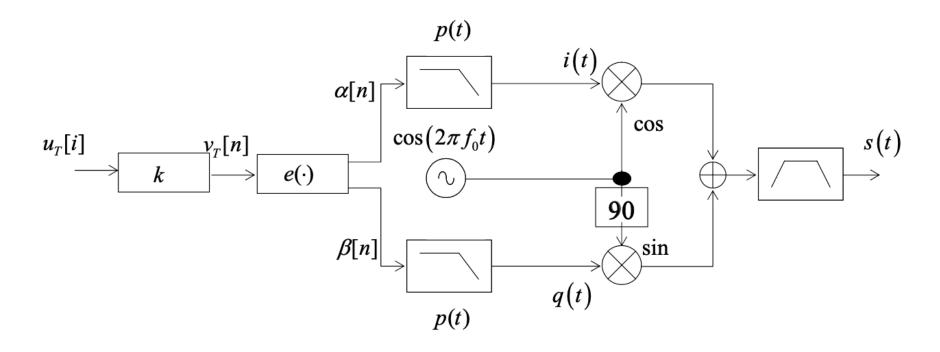
Exercise

Given a bandpass channel with bandwidth B=4000 Hz, centred around $f_0=2$ GHz, compute the maximum bit rate R_b we can transmit over it with an 8-PSK constellation or a 16-PSK constellation in the two cases:

- Ideal low pass filter
- RRC filter with α =0.25



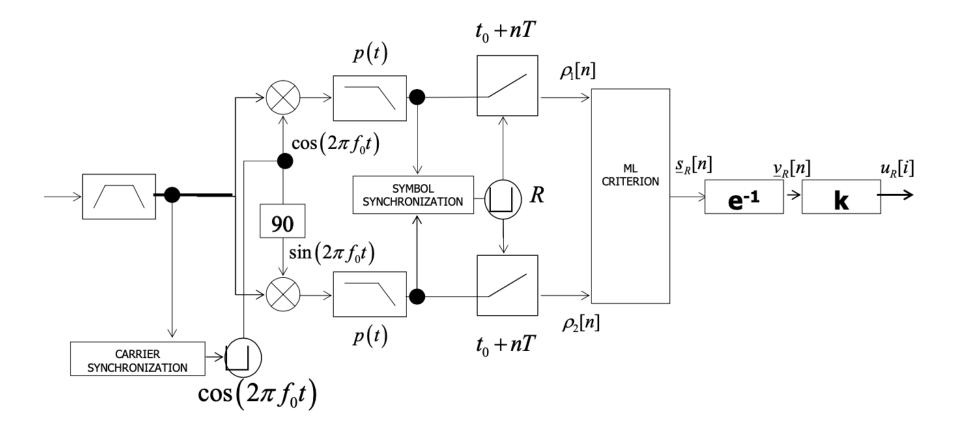
m-PSK: modulator



FOR m > 4 NOT CARTESIAN PRODUCT



m-PSK: demodulator



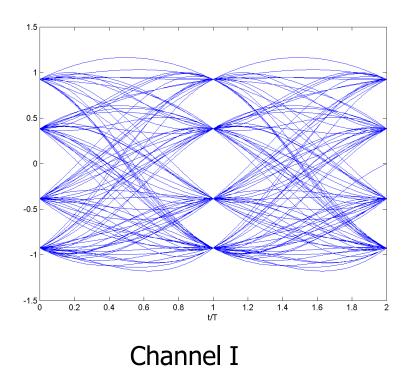
FOR m > 4 NOT CARTESIAN PRODUCT Voronoi regions = plane sectors

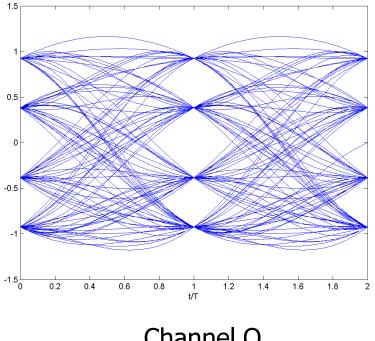


m-PSK: eye diagram

8-PSK constellation with RRC filter (hệ số cuộn=0.5)

[α and β components = 0.924,0.383,-0.383,-0.924]



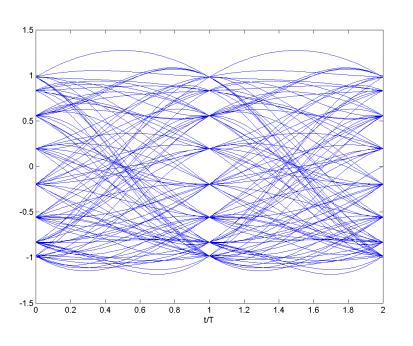


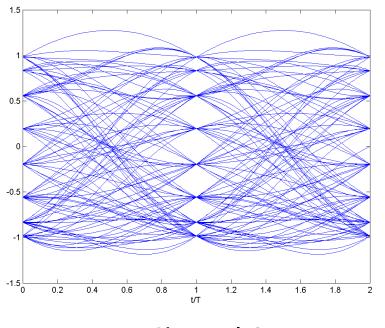
Channel Q

m-PSK: eye diagram

16-PSK constellation with RRC filter (hệ số cuộn=0.5)

[α and β components = 0.981,0.832,0.556,0.195,-0.195,-0.556,-0.832,-0.981]





Channel I

Channel Q



m-PSK constellation: error probability

By applying the asymptotic approximation we can obtain

$$P_b(e) \approx \frac{1}{k} erfc \left(\sqrt{k \frac{E_b}{N_0} \sin^2 \left(\frac{\pi}{m} \right)} \right)$$

The performance decreases for increasing m

(minimum distance decreases)



m-PSK constellation: error probability

4-PSK:
$$P_b(e) \approx \frac{1}{2} erfc \left(\sqrt{\frac{E_b}{N_0}} \right)$$

8-PSK:
$$P_b(e) \approx \frac{1}{3} erfc \left(\sqrt{0.439 \frac{E_b}{N_0}} \right)$$
 -3.6 dB with respect to 4-PSK

16-PSK:
$$P_b(e) \approx \frac{1}{4} erfc \left(\sqrt{0.152 \frac{E_b}{N_0}} \right)$$
 -4.6 dB with respect to 8-PSK

No one uses m-PSK for m > 16: very poor BER performance



m-PSK constellation: error probability

