

# Introduction to Communications Engineering

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ONE LOVE. ONE FUTURE.

# Thông tin chung

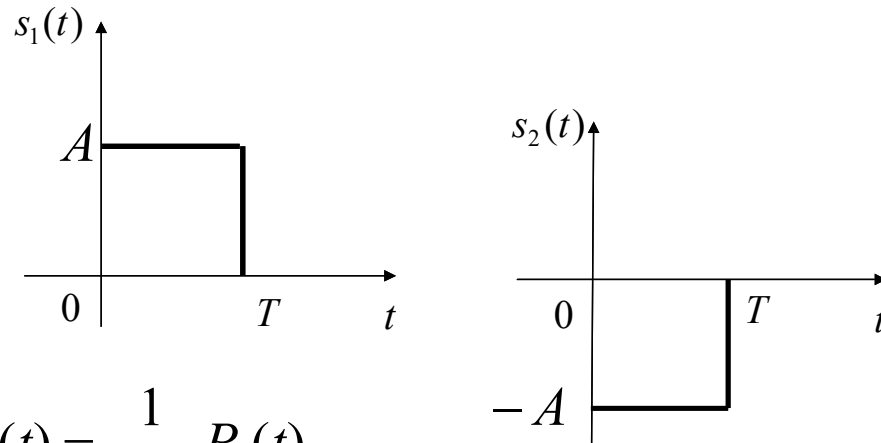
- Tên học phần: **Nhập môn kỹ thuật truyền thông**
- Mã học phần: **IT4593E**
- Khối lượng: **2 TC (2-1-0-4)**
- Lý thuyết và bài tập: **10 buổi lý thuyết, 5 buổi bài tập**
- Đánh giá học phần:
  - 30% QT (kiểm tra + bài tập/project + chuyên cần-quiz )**
  - 70% CK (trắc nghiệm + tự luận)**
- Tài liệu tham khảo:
  - Lecture slides
  - Lecture notes
  - Textbooks, ví dụ ***Communication Systems Engineering***, 2nd Edition, by John G. Proakis Masoud Salehi
  - Internet

*Part 2: Digital Modulations*  
*Lec 9: Pulse Amplitude Modulation*  
*(PAM)*  
*(cont'd)*

# Bipolar NRZ (Non Return to Zero)

Signal set

$$M = \{s_1(t) = +AP_T(t), s_2(t) = -AP_T(t)\}$$



Vector

$$b_1(t) = \frac{1}{\sqrt{T}} P_T(t)$$

Vector set

$$M = \{\underline{s}_1 = (+\alpha), \underline{s}_2 = (-\alpha)\}$$

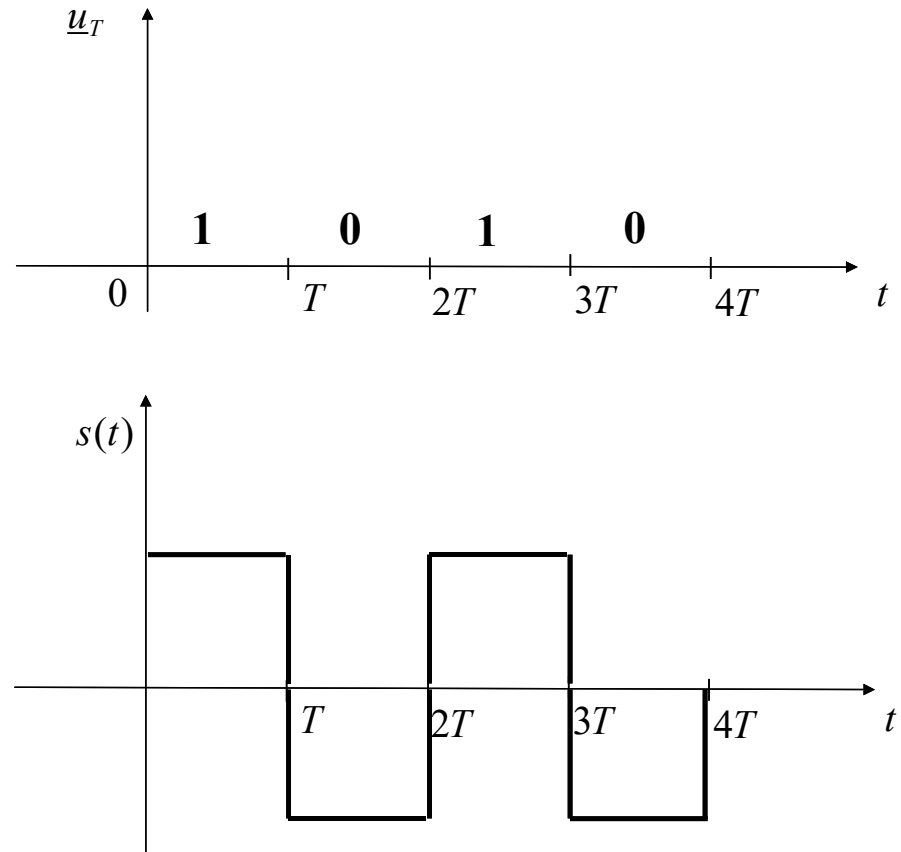
(it coincides with a 2-PAM with rectangular pulse)

# Bipolar NRZ

Transmitted waveform

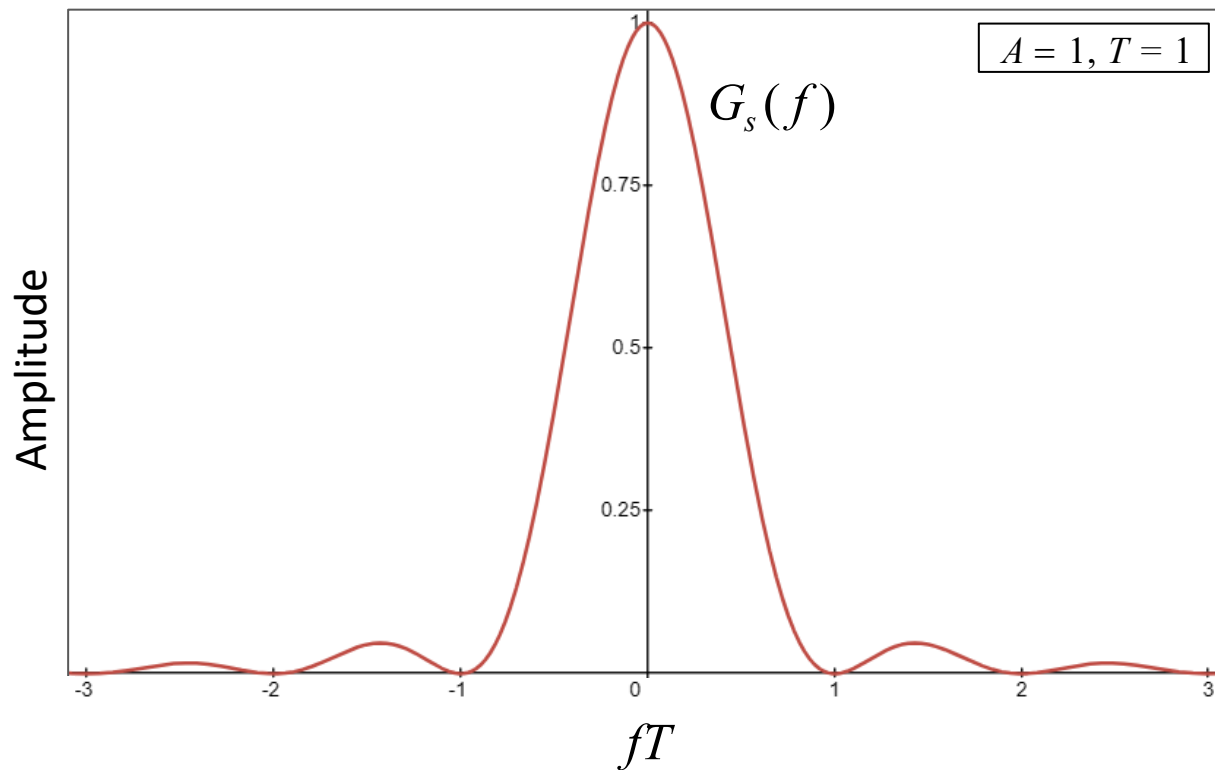
$$s(t) = \sum_n a[n]p(t - nT)$$

$$a[n] \in \{+\alpha, -\alpha\}$$



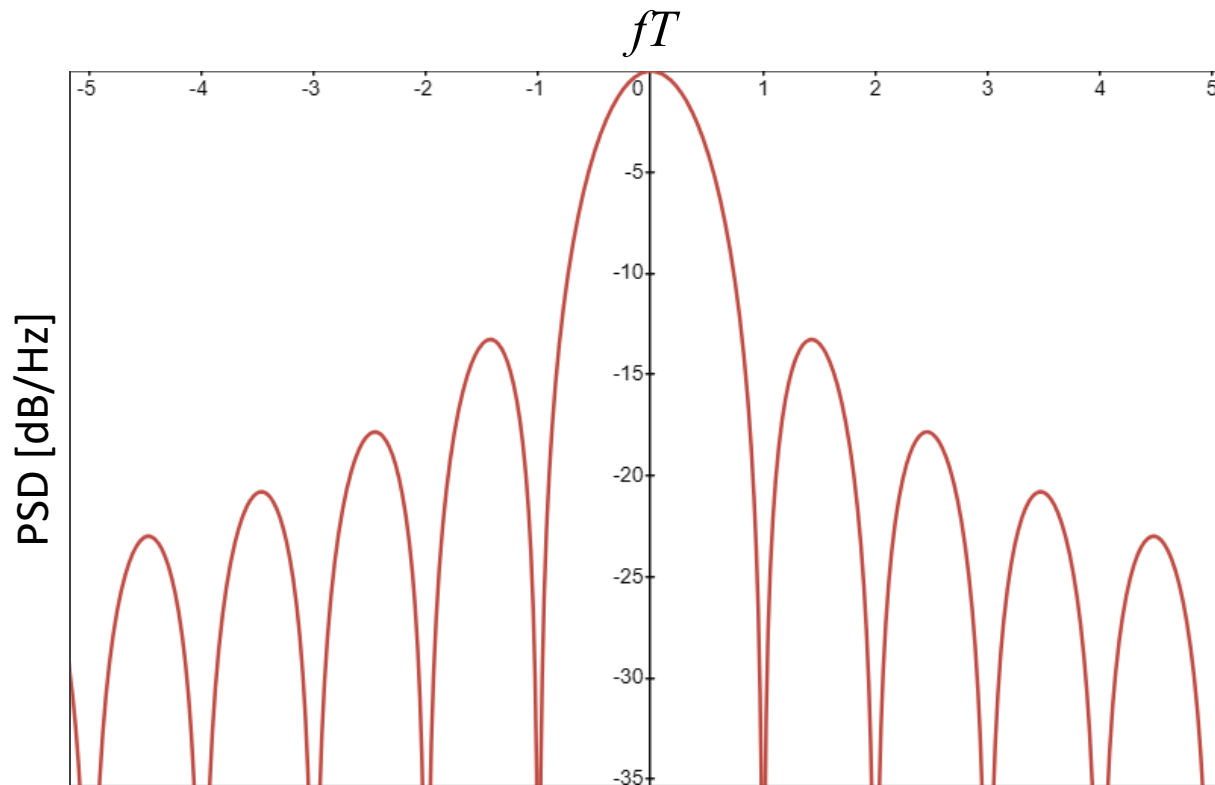
# Bipolar NRZ

Signal spectrum  $G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = A^2 T \text{sinc}^2(fT)$



# Bipolar NRZ

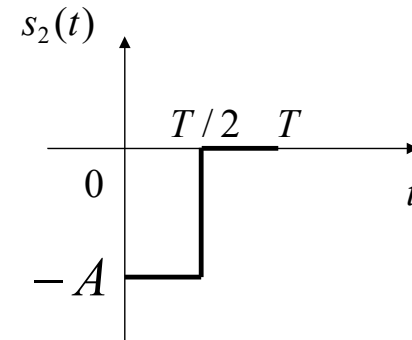
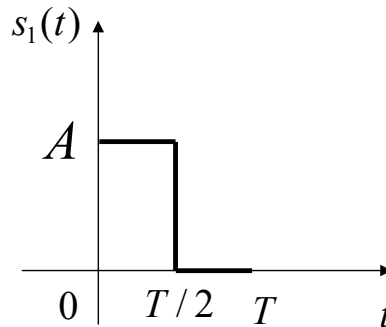
Signal spectrum  $G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = A^2 T \text{sinc}^2(fT)$



# Bipolar RZ (Return to Zero)

Signal set

$$M = \{s_1(t) = +AP_{T/2}(t), s_2(t) = -AP_{T/2}(t)\}$$



Vector

$$b_1(t) = \sqrt{\frac{2}{T}} P_{T/2}(t)$$

Vector set

$$M = \{\underline{s}_1 = (+\alpha), \underline{s}_2 = (-\alpha)\}$$

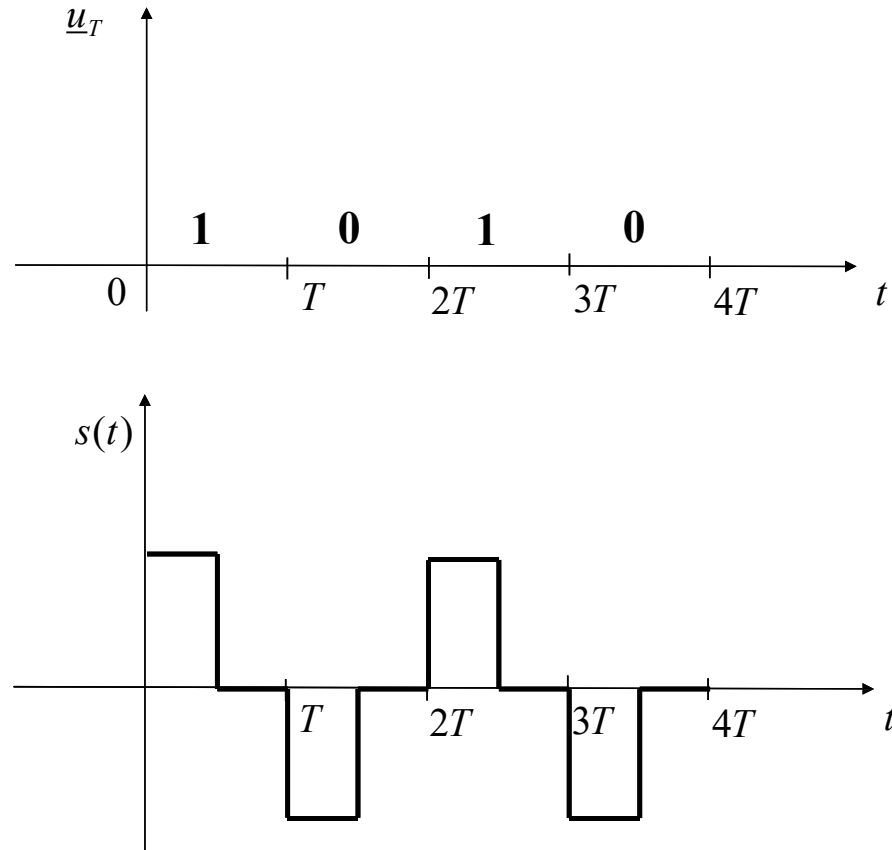


# Bipolar RZ

Transmitted waveform

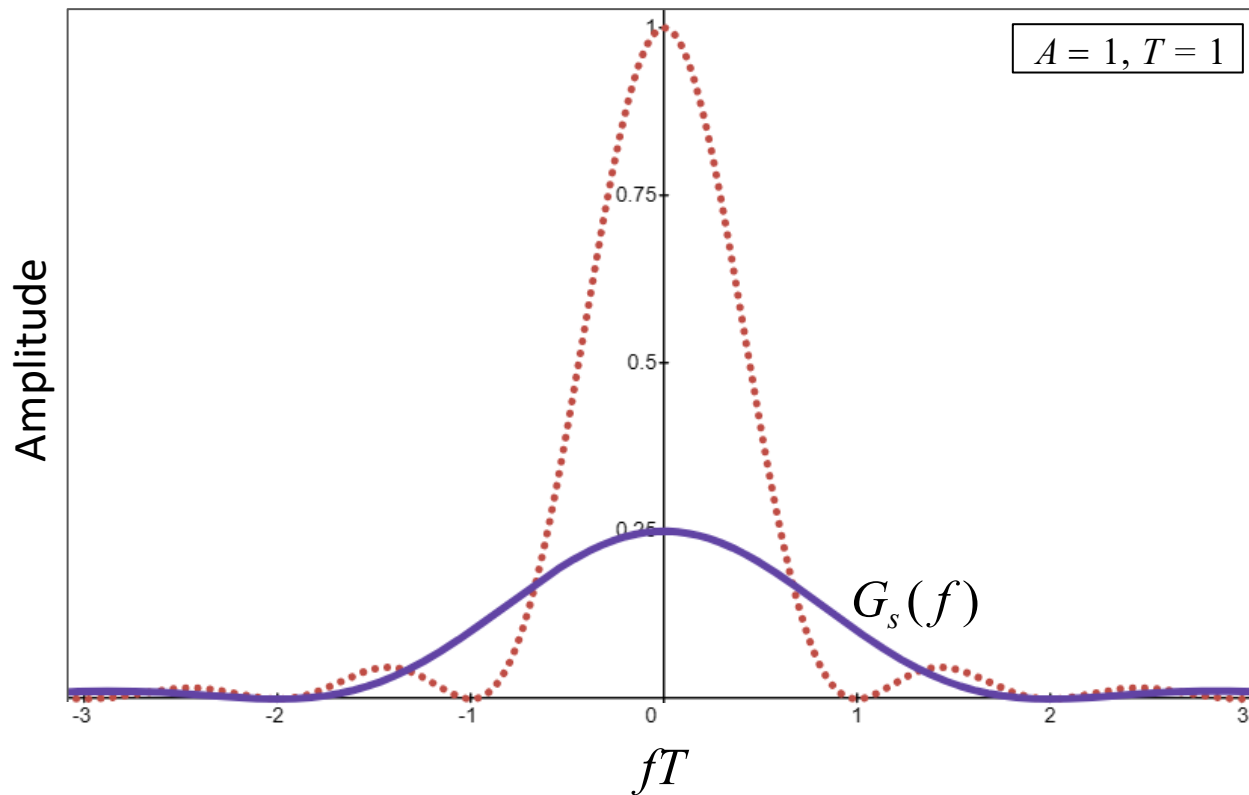
$$s(t) = \sum_n a[n]p(t - nT)$$

$$a[n] \in \{+\alpha, -\alpha\}$$



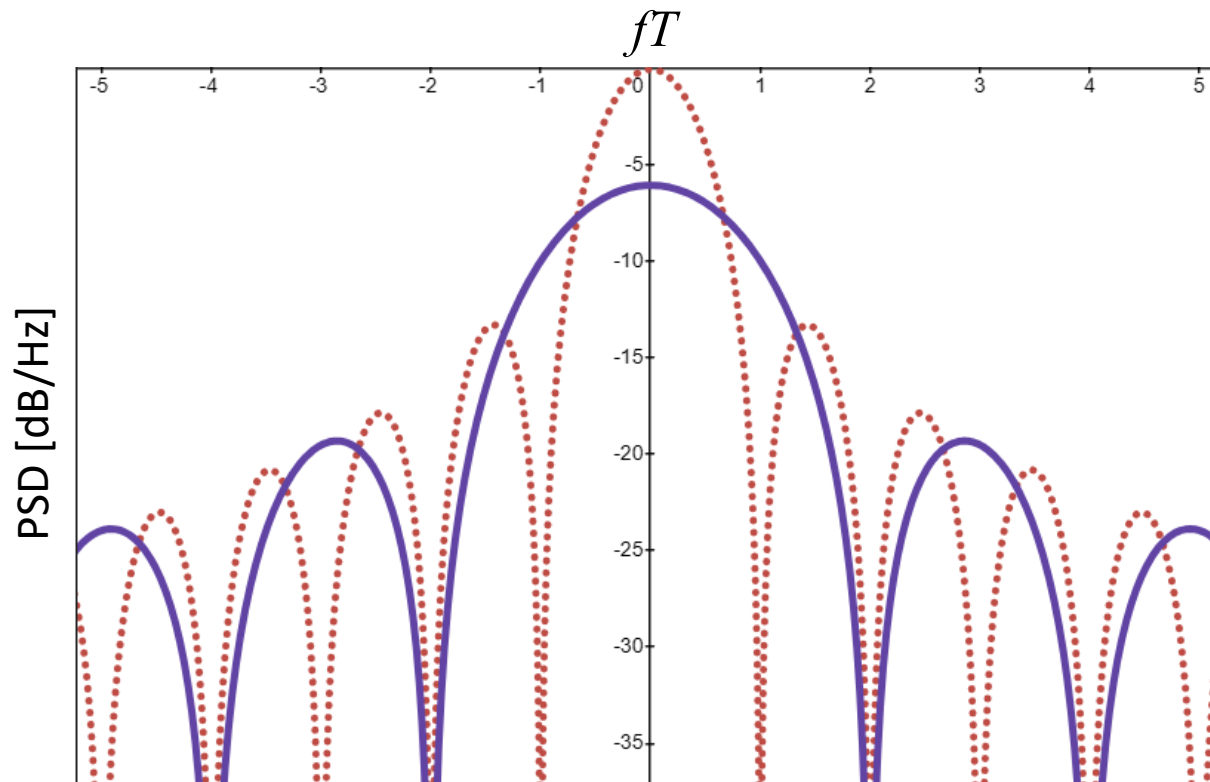
# Bipolar RZ

Signal spectrum  $G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = \frac{A^2 T}{4} \text{sinc}^2(fT/2)$



# Bipolar RZ

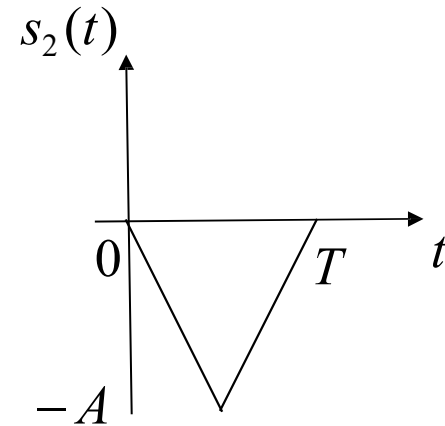
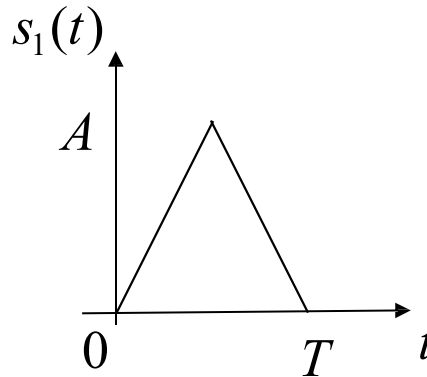
Signal spectrum  $G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = \frac{A^2 T}{4} \text{sinc}^2(fT/2)$



# Example: Bipolar triangular

Signal set

$$M = \{s_1(t) = +A\Delta_T(t), s_2(t) = -A\Delta_T(t)\}$$



Vector

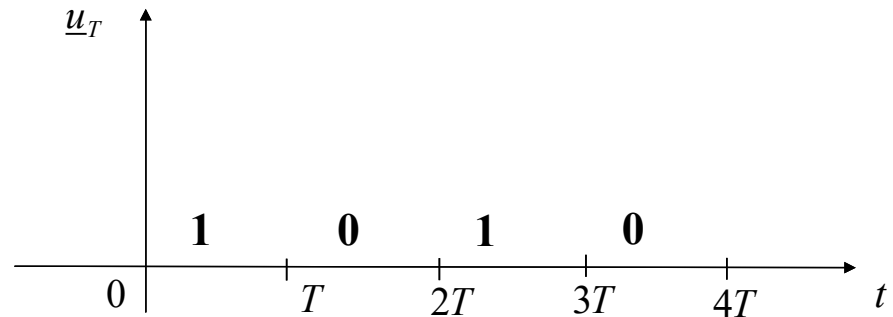
$$b_1(t) = \sqrt{\frac{3}{T}}\Delta_T(t)$$

Vector set

$$M = \{\underline{s}_1 = (+\alpha), \underline{s}_2 = (-\alpha)\}$$

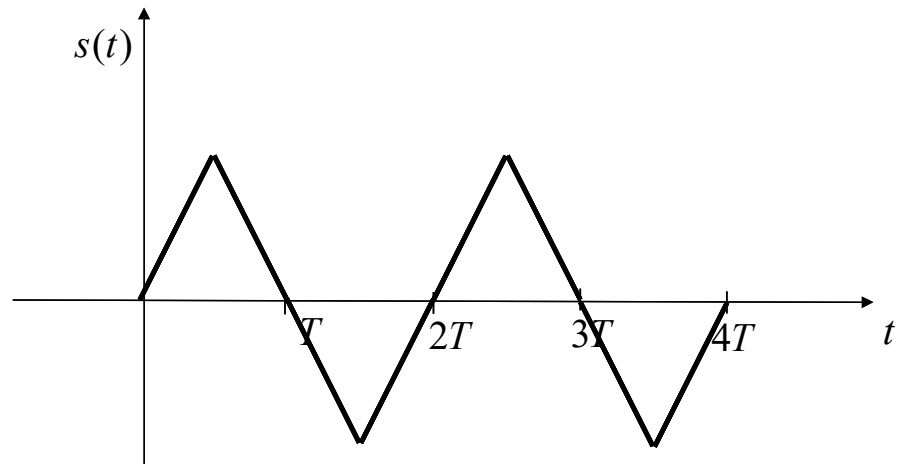
# Example: Bipolar triangular

Transmitted waveform



$$s(t) = \sum_n a[n]p(t - nT)$$

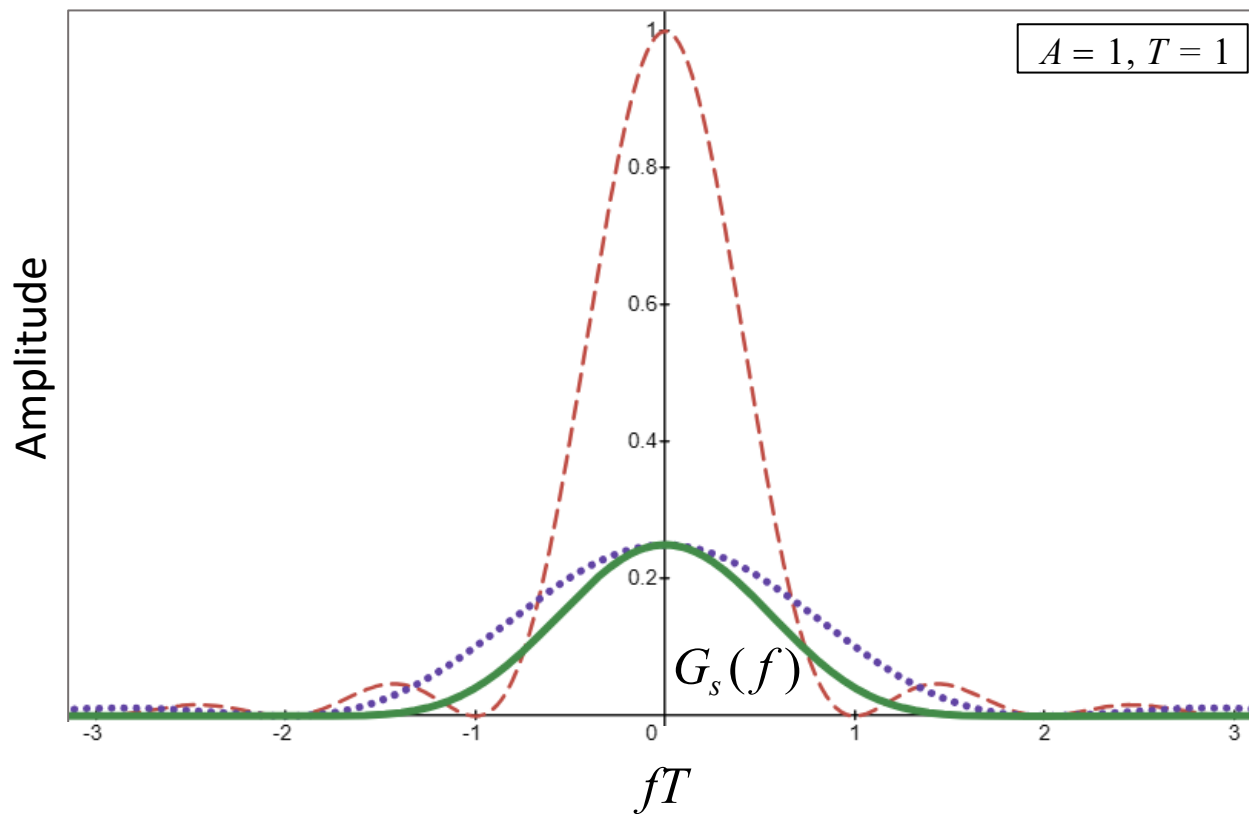
$$a[n] \in \{+\alpha, -\alpha\}$$



# Example: Bipolar triangular

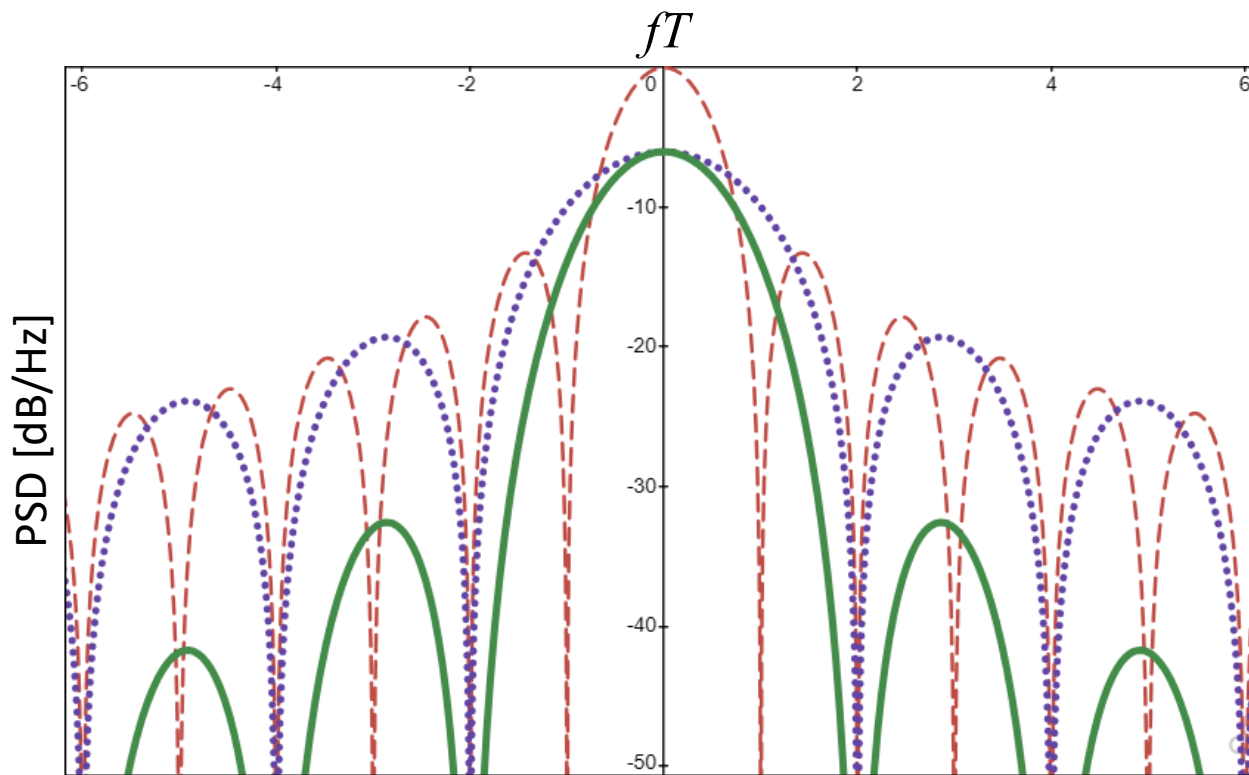
Signal spectrum

$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = \frac{A^2 T}{4} \text{sinc}^4(fT/2)$$



# Example: Bipolar triangular

Signal spectrum  $G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = \frac{A^2 T}{4} \text{sinc}^4(fT/2)$

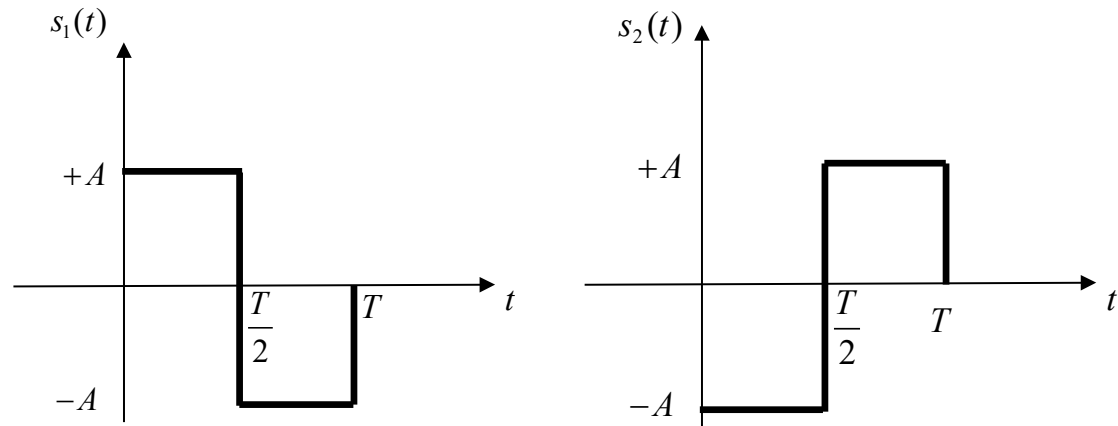


# Manchester (biphase)

Signal set

$$M = \{s_1(t) = +Ax(t), s_2(t) = -Ax(t)\}$$

$$x(t) = [+P_{T/2}(t) - P_{T/2}(t - T/2)]$$



Vector

$$b_1(t) = \frac{1}{\sqrt{T}} [+P_{T/2}(t) - P_{T/2}(t - T/2)]$$

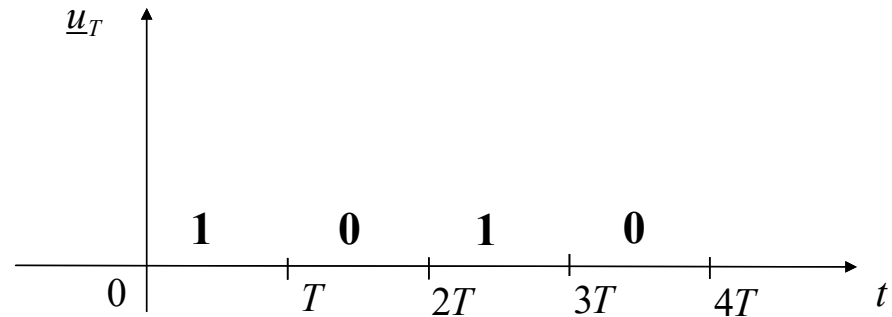
Vector set

$$M = \{\underline{s_1} = (+\alpha), \underline{s_2} = (-\alpha)\}$$



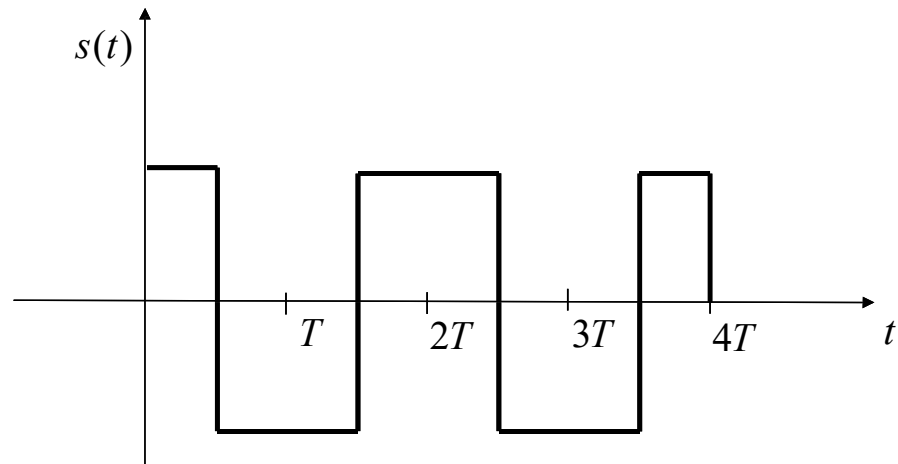
# Manchester (biphase)

Transmitted waveform



$$s(t) = \sum_n a[n]p(t - nT)$$

$$a[n] \in \{+\alpha, -\alpha\}$$

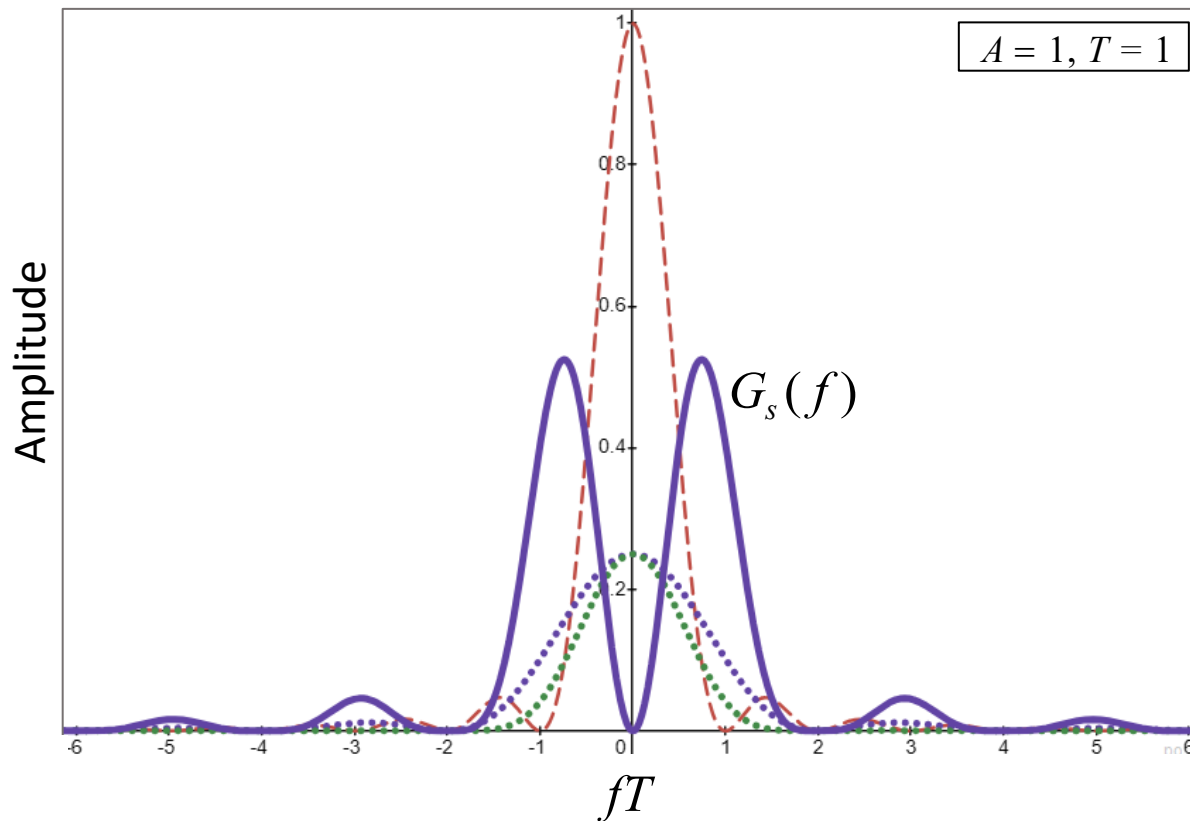


# Manchester (biphase)

Signal spectrum

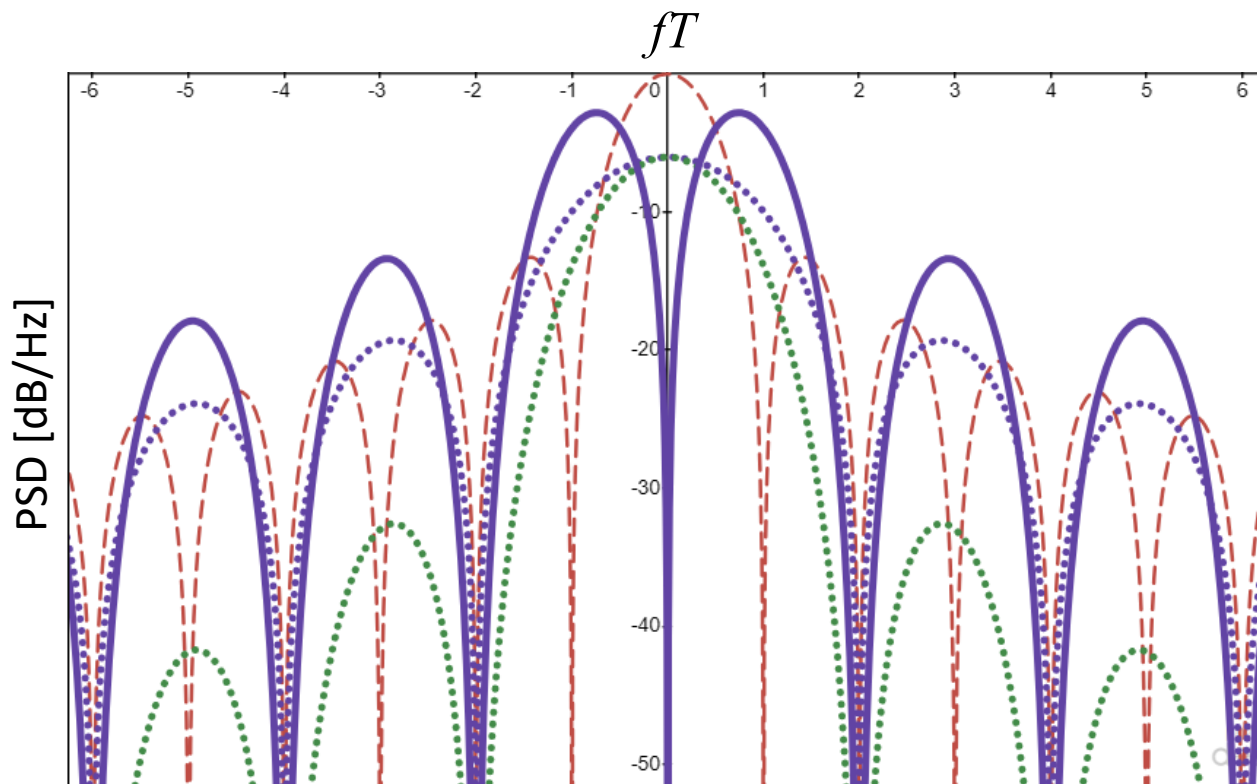
$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = A^2 T \frac{\sin^4(\pi f T / 2)}{(\pi f T / 2)^2}$$

(maximum at  $f \approx 0.74/T$ )



# Manchester (biphase)

Signal spectrum  $G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = A^2 T \frac{\sin^4(\pi fT / 2)}{(\pi fT / 2)^2}$   
(maximum at  $f \approx 0.74/T$ )



# Manchester (biphase)

$$p(t) = b_1(t) = \frac{1}{\sqrt{T}} \left[ +P_{T/2}(t) - P_{T/2}\left(t - \frac{T}{2}\right) \right]$$

$$P(f) = \frac{1}{\sqrt{T}} \left[ +\frac{T}{2} \text{sinc}\left(f \frac{T}{2}\right) \exp\left(-j2\pi f \frac{T}{4}\right) - \frac{T}{2} \text{sinc}\left(f \frac{T}{2}\right) \exp\left(-j2\pi f \frac{3T}{4}\right) \right] =$$

$$= \left[ +\frac{\sqrt{T}}{2} \text{sinc}\left(f \frac{T}{2}\right) \exp\left(-j2\pi f \frac{T}{4}\right) \right] [1 - \exp(-j\pi f T)]$$

$$|P(f)|^2 = \frac{T}{4} \text{sinc}^2\left(f \frac{T}{2}\right) |1 - \cos(-\pi f T) - j \sin(-\pi f T)|^2 =$$

$$= \frac{T}{4} \text{sinc}^2\left(f \frac{T}{2}\right) |1 - \cos(\pi f T) + j \sin(\pi f T)|^2 =$$

$$= \frac{T}{4} \text{sinc}^2\left(f \frac{T}{2}\right) [1 + \cos^2(\pi f T) - 2 \cos(\pi f T) + \sin^2(\pi f T)] =$$

$$= \frac{T}{2} \text{sinc}^2\left(f \frac{T}{2}\right) [1 - \cos(\pi f T)] = T \text{sinc}^2\left(f \frac{T}{2}\right) \sin^2\left(\pi f \frac{T}{2}\right)$$

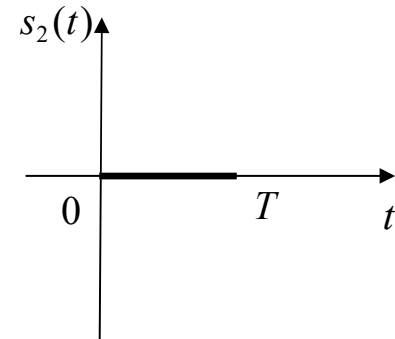
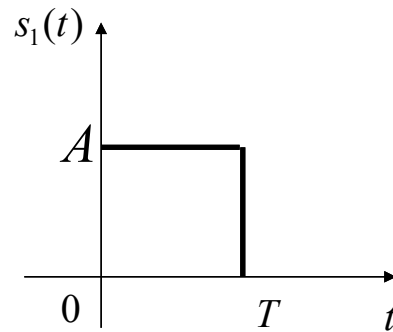
$$\sin\left(\frac{A}{2}\right) = \sqrt{\frac{1 - \cos A}{2}}$$



# Unipolar NRZ

Signal set

$$M = \{s_1(t) = +AP_T(t), s_2(t) = 0\}$$



Vector

$$b_1(t) = \frac{1}{\sqrt{T}} P_T(t)$$

Vector set

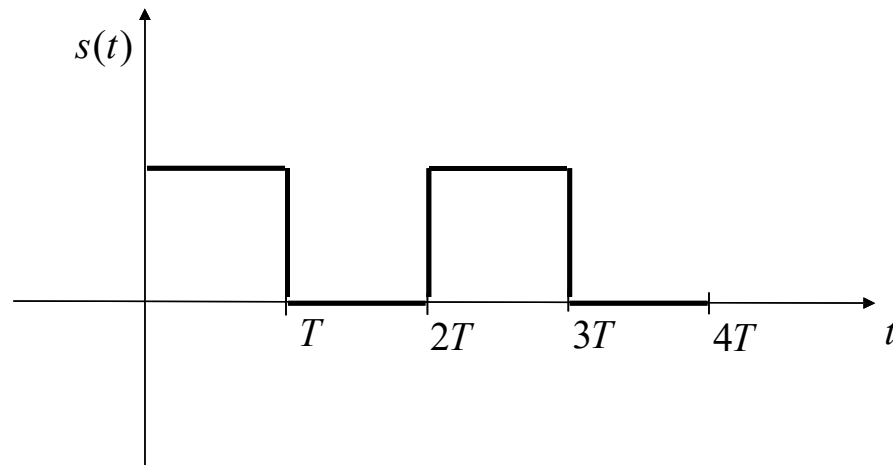
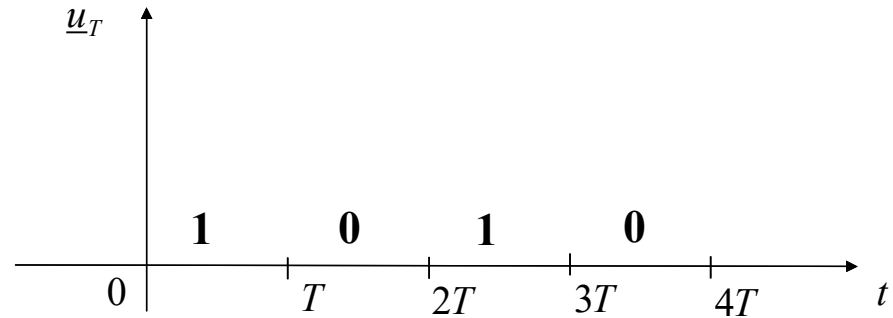
$$M = \{\underline{s}_1 = (+\alpha), \underline{s}_2 = (0)\}$$

# Unipolar NRZ

Transmitted waveform

$$s(t) = \sum_n a[n]p(t - nT)$$

$$a[n] \in \{+\alpha, 0\}$$



# Unipolar NRZ

Signal spectrum

$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} + \frac{\mu_a^2}{T^2} \sum_{n=-\infty}^{+\infty} \left| P\left(\frac{n}{T}\right) \right|^2 \delta\left(f - \frac{n}{T}\right)$$

$$|P(f)|^2 = x \operatorname{sinc}^2(\pi fT) \quad x \in R$$

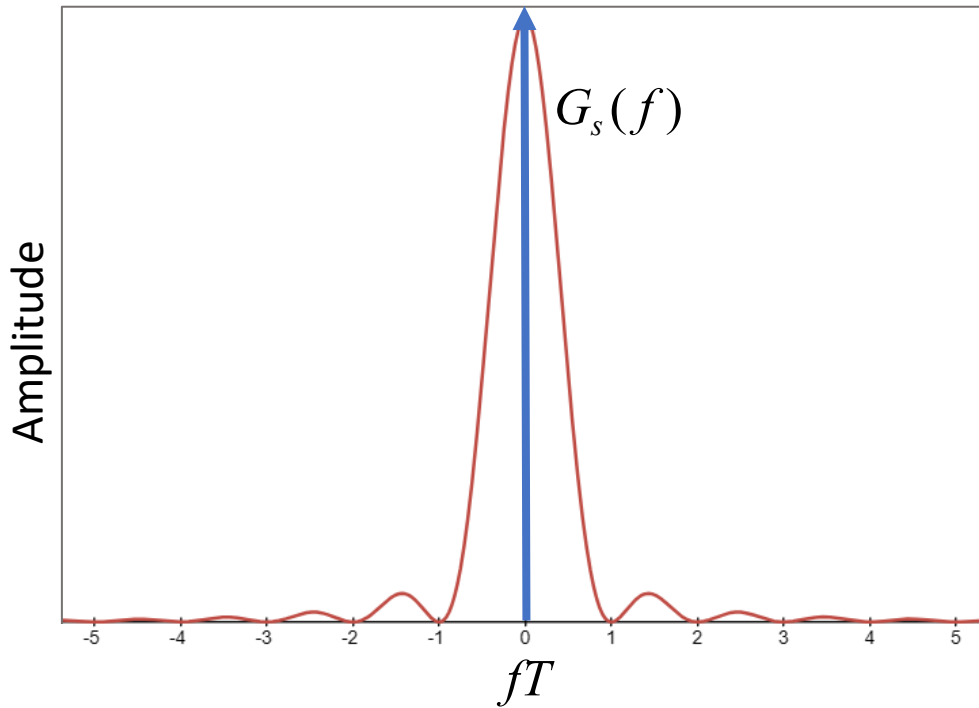
A Dirac delta at zero frequency

$$G_s(f) = \frac{A^2}{4} T \operatorname{sinc}^2(fT) + \frac{A^2}{4} \delta(f)$$

# Unipolar NRZ

Signal spectrum

$$G_s(f) = \frac{A^2}{4} T \text{sinc}^2(fT) + \frac{A^2}{4} \delta(f)$$

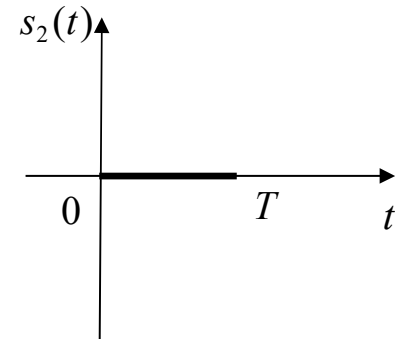
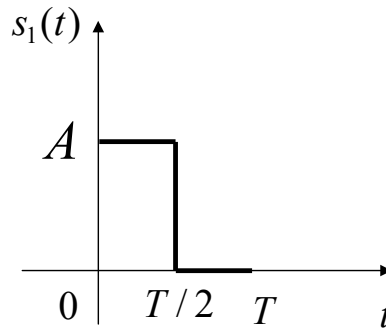




# Unipolar RZ

Signal set

$$M = \{s_1(t) = +AP_{T/2}(t), s_2(t) = 0\}$$



Vector

$$b_1(t) = \sqrt{\frac{2}{T}} P_{T/2}(t)$$

Vector set

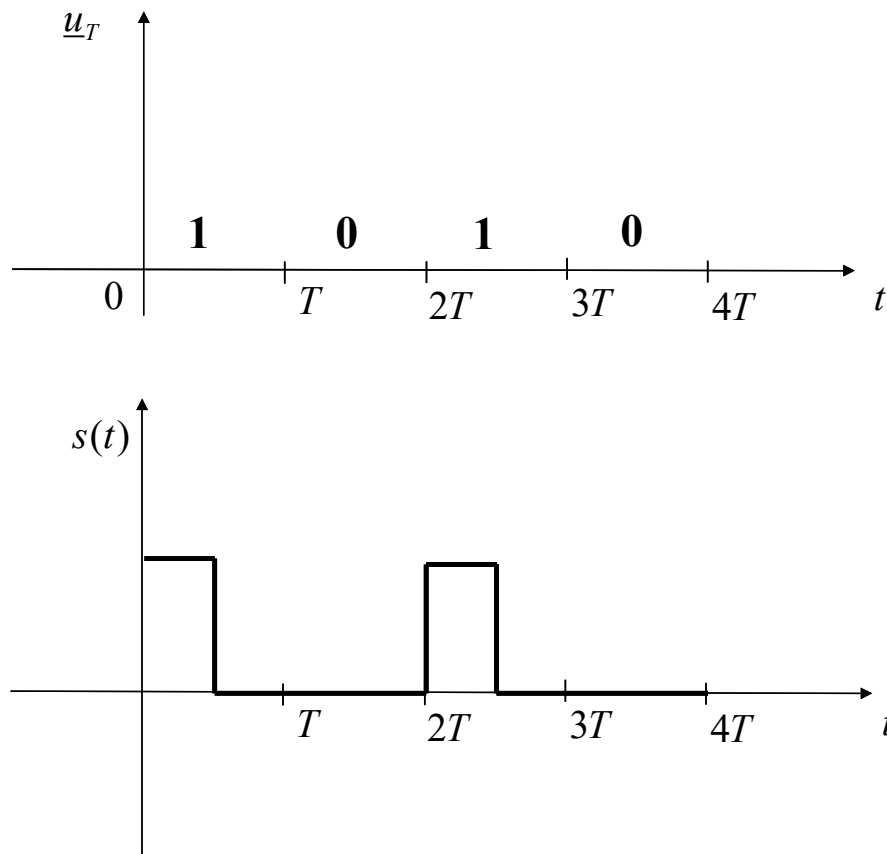
$$M = \{\underline{s}_1 = (+\alpha), \underline{s}_2 = (0)\}$$

# Unipolar RZ

Transmitted waveform

$$s(t) = \sum_n a[n]p(t - nT)$$

$$a[n] \in \{+\alpha, 0\}$$



# Unipolar RZ

Signal spectrum  $G(f) = \sigma_a^2 \frac{|P(f)|^2}{T} + \frac{\mu_a^2}{T^2} \sum_{n=-\infty}^{+\infty} \left| P\left(\frac{n}{T}\right) \right|^2 \delta\left(f - \frac{n}{T}\right)$

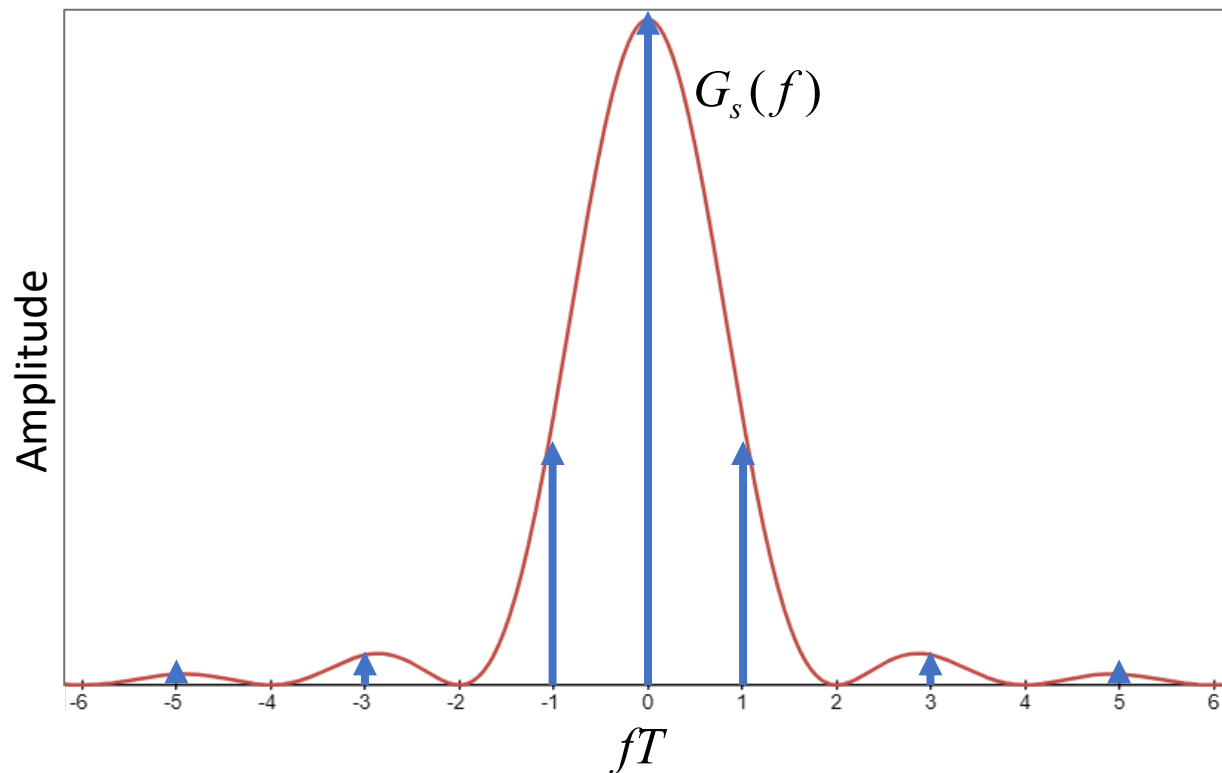
$$|P(f)|^2 = z \left[ \frac{\sin(\pi fT / 2)}{(\pi fT / 2)} \right]^2 \quad (z \in R)$$

Dirac deltas at zero frequency and at odd multiples of  $1/T$

$$G_s(f) = \frac{A^2}{16} T \text{sinc}^2(fT / 2) + \frac{A^2}{16} \sum_{i=-\infty}^{+\infty} \text{sinc}^2\left(\frac{(2i+1)}{2}\right) \delta\left(f - \frac{(2i+1)}{T}\right)$$

# Unipolar RZ

Signal spectrum  $G_s(f) = \frac{A^2}{16} T \text{sinc}^2(fT/2) + \frac{A^2}{16} \sum_{i=-\infty}^{+\infty} \text{sinc}^2\left(\frac{(2i+1)}{2}\right) \delta\left(f - \frac{(2i+1)}{T}\right)$



# m-PAM constellation: characteristics

1. Base-band modulation
  2. One-dimensional signal space
  3.  $m$  signals, symmetrical with respect to the origin
  4. Information associated to the impulse amplitude
- PAM=Pulse Amplitude Modulation

# m-PAM constellation: constellation

SIGNAL SET

$$M = \{s_i(t) = \alpha_i p(t)\}_{i=1}^m$$

Versor

$$b_1(t) = p(t) \quad (d=1)$$

VECTOR SET

$$M = \{\underline{s}_1 = -(m-1)\alpha, \underline{s}_2 = -(m-3)\alpha, \dots, \underline{s}_{m-1} = +(m-3)\alpha, \underline{s}_m = +(m-1)\alpha\} \subseteq R$$

$$k = \log_2(m)$$

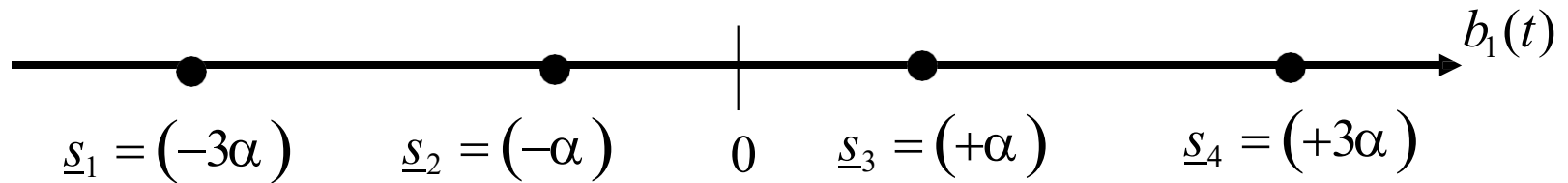
$$T = kT_b$$

$$R = \frac{R_b}{k}$$

# m-PAM constellation: constellation

Example: 4-PAM constellation

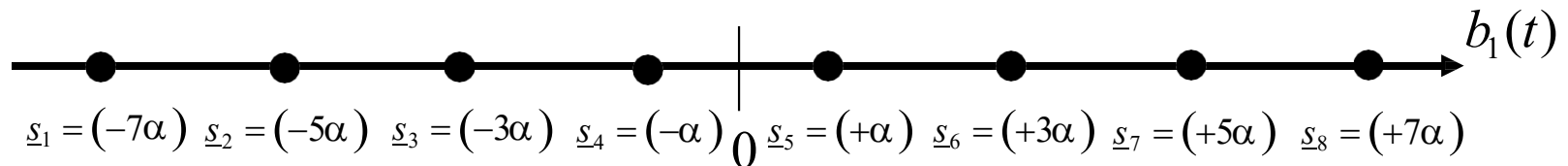
$$M = \{\underline{s}_1 = (-3\alpha), \underline{s}_2 = (-\alpha), \underline{s}_3 = (+\alpha), \underline{s}_4 = (+3\alpha)\} \subseteq R$$



# m-PAM constellation: constellation

Example: 8-PAM constellation

$$M = \{\underline{s}_1 = (-7\alpha), \underline{s}_2 = (-5\alpha), \underline{s}_3 = (-3\alpha), \underline{s}_4 = (-\alpha), \underline{s}_5 = (+\alpha), \underline{s}_6 = (+3\alpha), \underline{s}_7 = (+5\alpha), \underline{s}_8 = (+7\alpha)\} \subseteq R$$



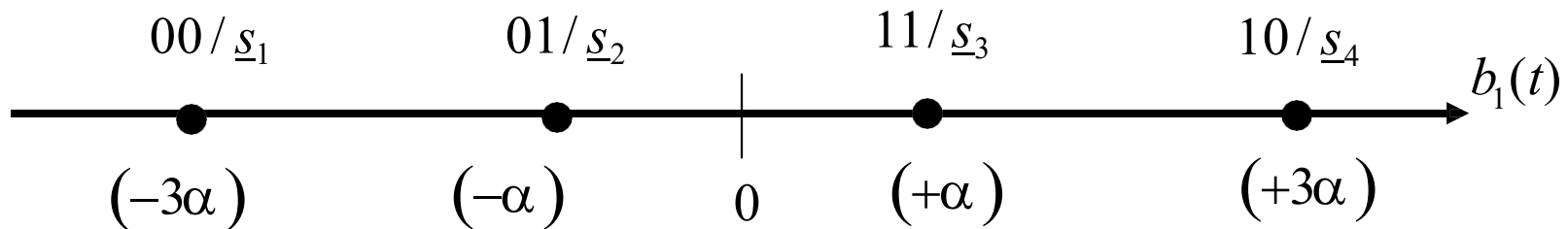


# m-PAM constellation: binary labelling

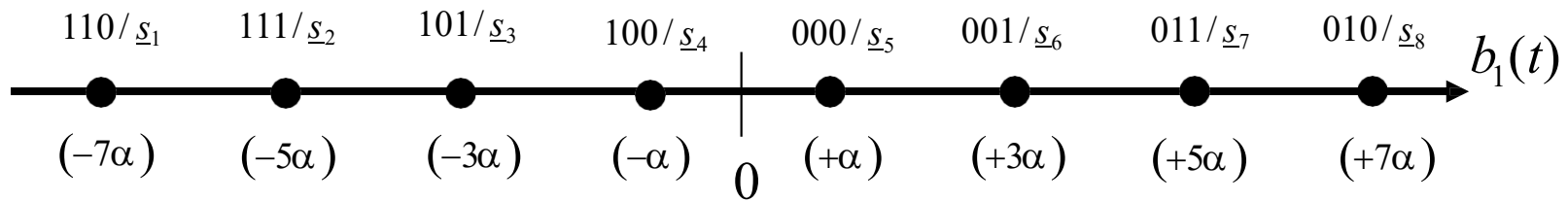
$$e: H_k \leftrightarrow M$$

It is always possible to build a Gray labeling

4-PAM:



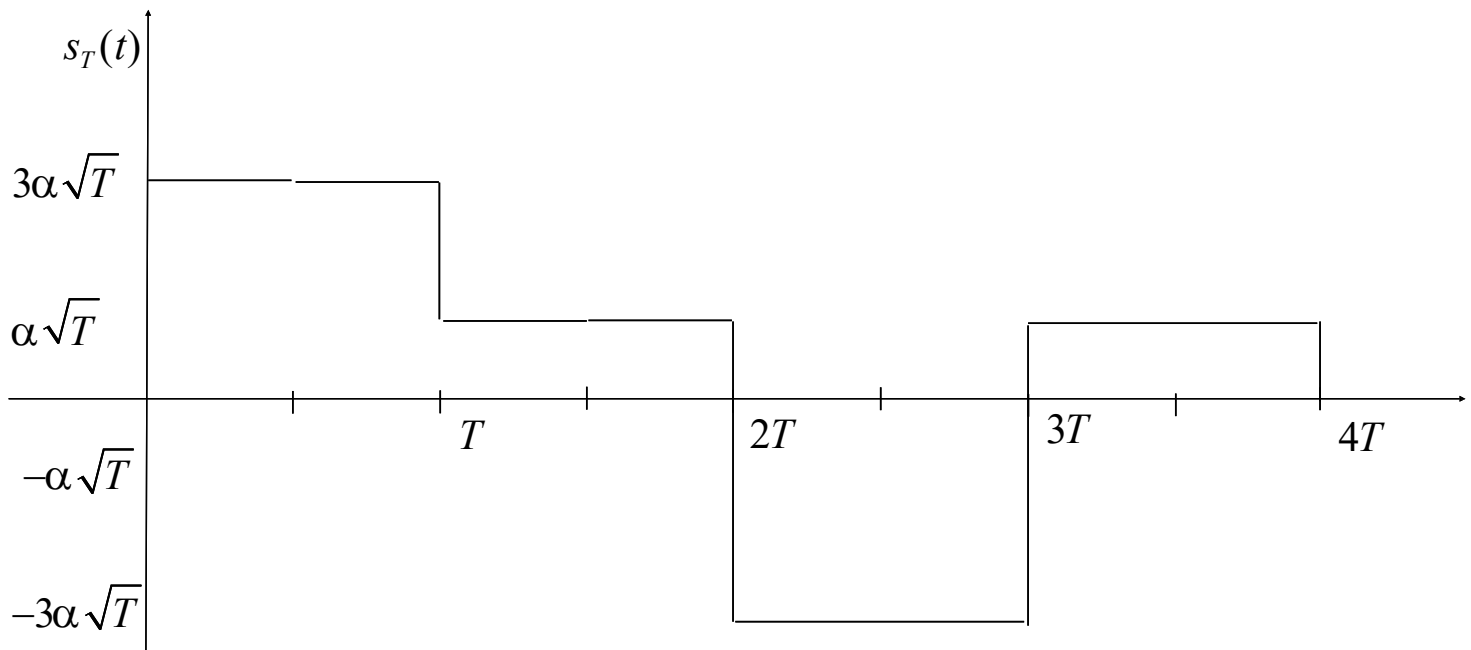
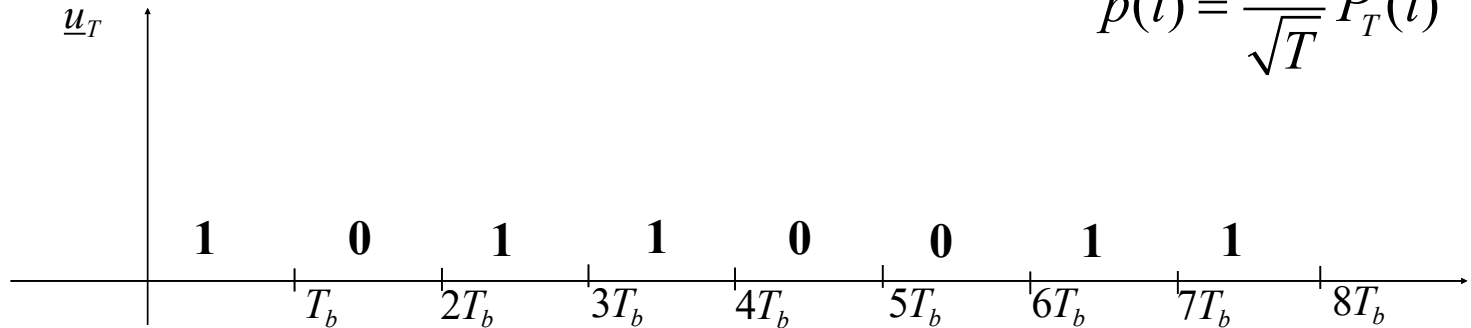
8-PAM:



# m-PAM constellation: transmitted waveform

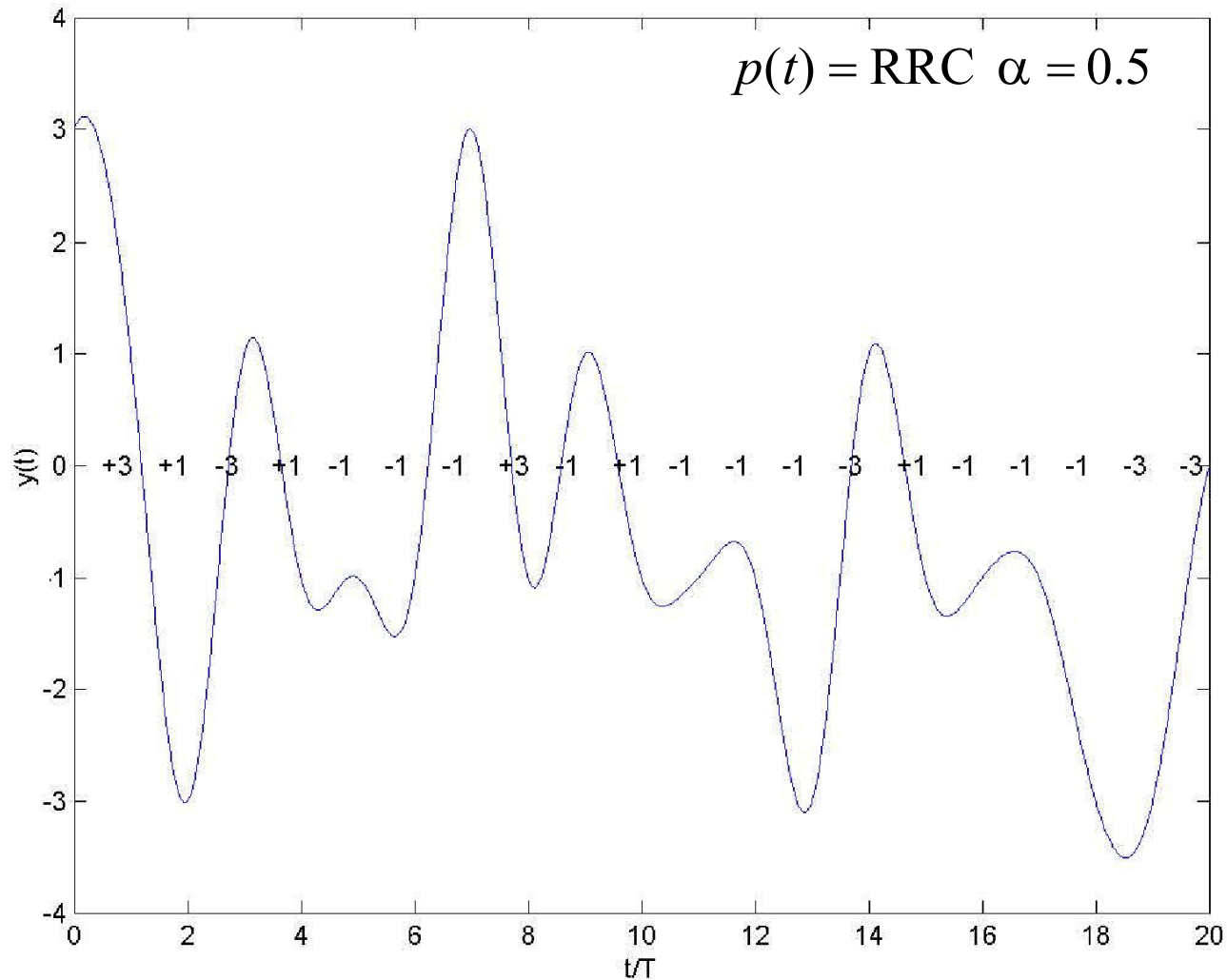
Example: 4-PAM

$$p(t) = \frac{1}{\sqrt{T}} P_T(t)$$



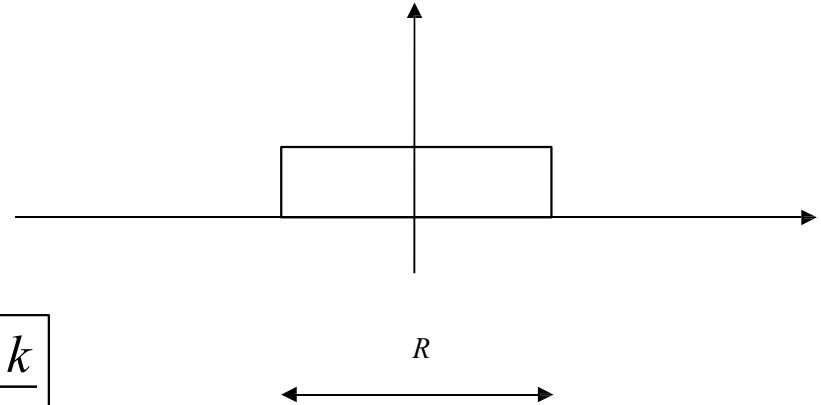
# m-PAM constellation: transmitted waveform

Example: 4-PAM



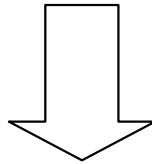
# m-PAM constellation: bandwidth and spectral efficiency

Case 1:  $p(t)$  = ideal low pass filter



Total bandwidth  
(ideal case)

$$B_{id} = \frac{R}{2} = \frac{R_b / k}{2}$$

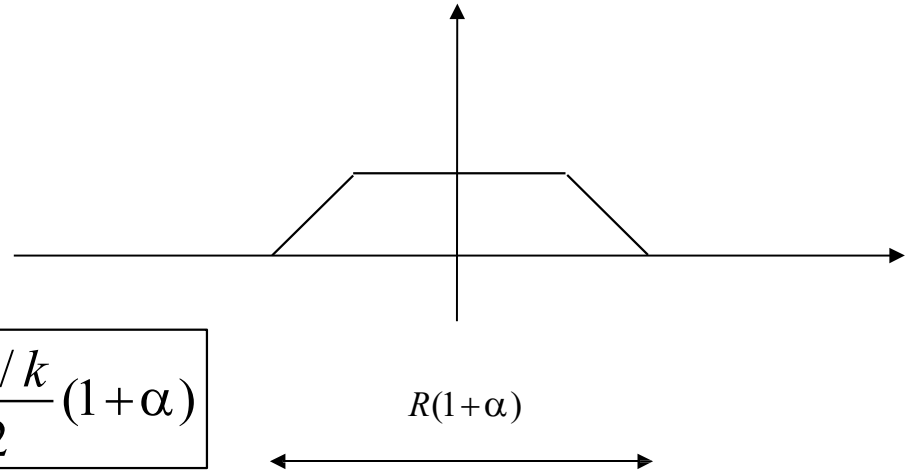


Spectral efficiency  
(ideal case)

$$\eta_{id} = \frac{R_b}{B_{id}} = 2k \text{ bps} / \text{Hz}$$

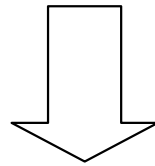
# m-PAM constellation: bandwidth and spectral efficiency

Case 2:  $p(t)$  = RRC filter roll off  $\alpha$



Total bandwidth

$$B = \frac{R}{2}(1+\alpha) = \frac{R_b / k}{2}(1+\alpha)$$



Spectral efficiency

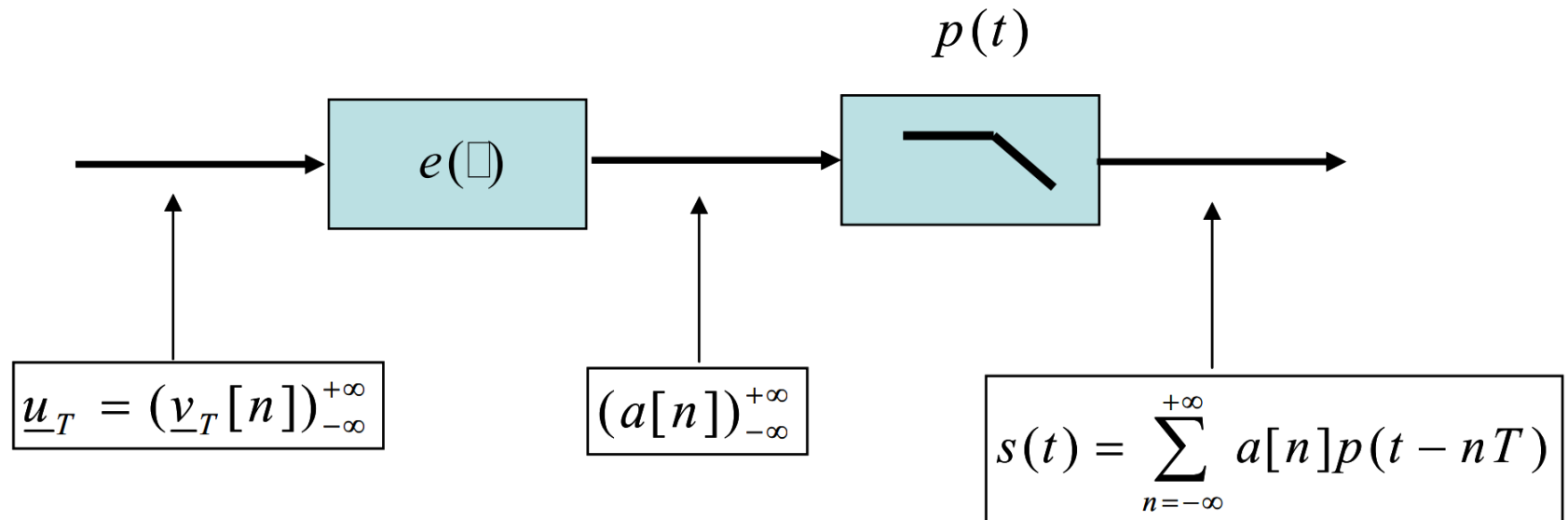
$$\eta = \frac{R_b}{B} = \frac{2k}{(1+\alpha)} \text{ bps / Hz}$$

# Exercise

Given a baseband channel with bandwidth  $B$  up to 4000 Hz, compute the maximum bit rate  $R_b$  we can transmit over it with a 256-PAM constellation in the two cases:

- Ideal low pass filter
- RRC filter with  $\alpha = 0.25$

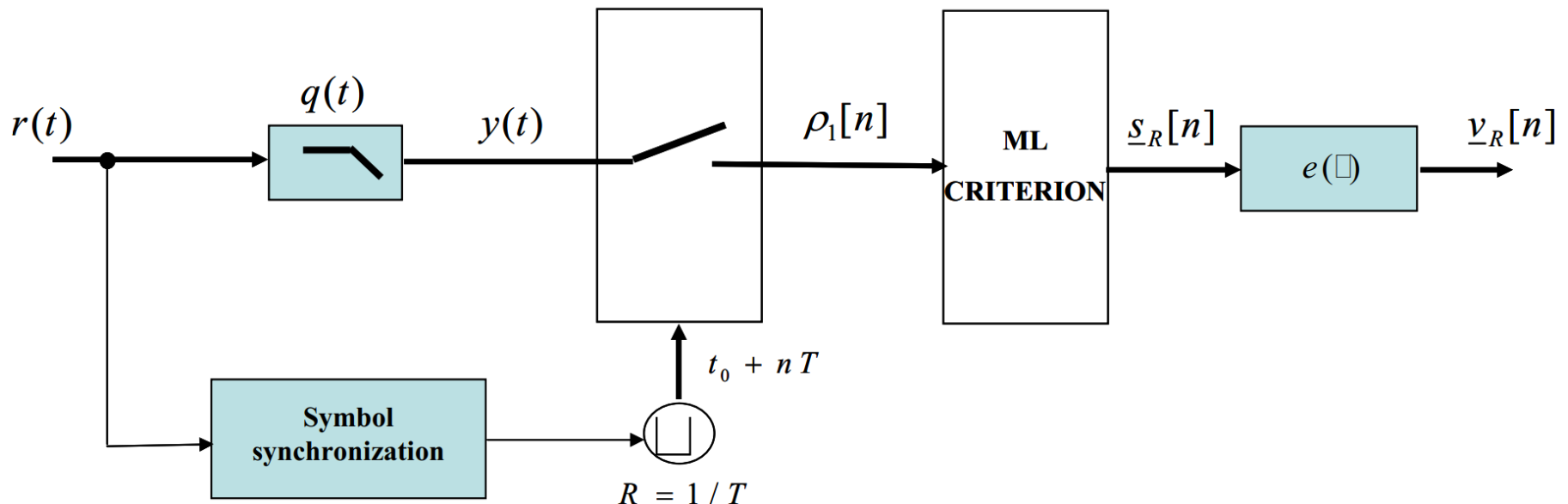
# m-PAM constellation: modulator



Equal to 2-PAM, but we have  $m$  possible levels:

$$a[n] \in \{-(m-1)\alpha, -(m-3)\alpha, \dots, +(m-3)\alpha, +(m-1)\alpha\}$$

# m-PAM constellation: demodulator



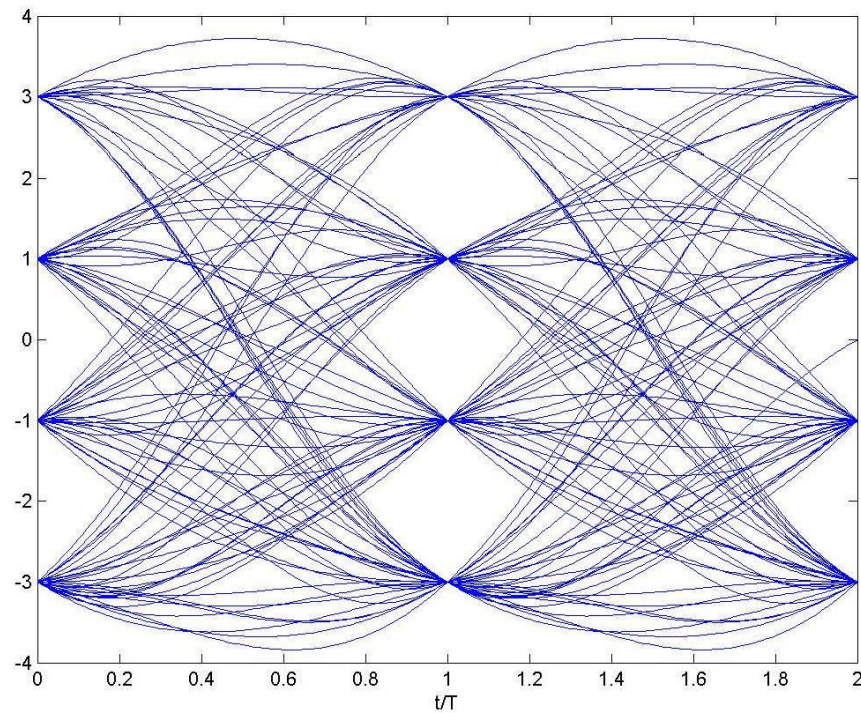
Equal to 2-PAM, but we have  $m$  possible levels:

$$a[n] \in \{-(m-1)\alpha, -(m-3)\alpha, \dots, +(m-3)\alpha, +(m-1)\alpha\}$$



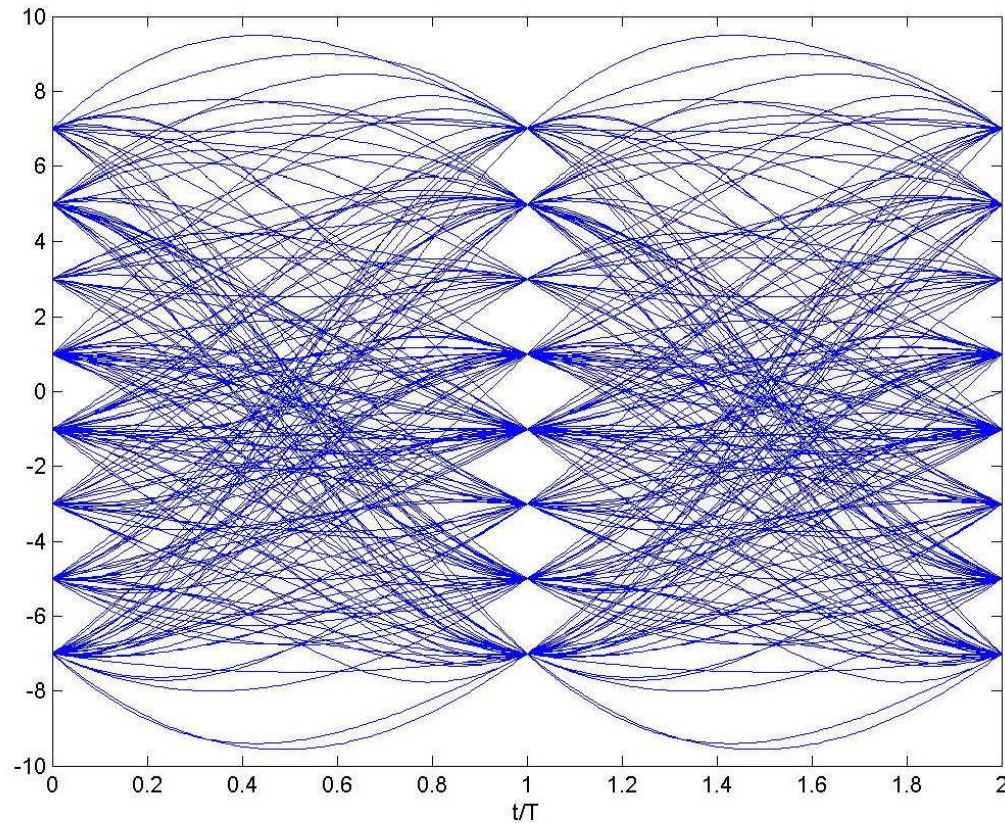
# m-PAM constellation: eye diagram

4-PAM,  $p(t)$  = RRC with  $\alpha=0.5$



# m-PAM constellation: eye diagram

8-PAM,  $p(t) = \text{RRC with } \alpha=0.5$



# m-PAM constellation: error probability

By applying the asymptotic approximation we can obtain:

$$P_b(e) \approx \frac{m-1}{mk} \operatorname{erfc} \left( \sqrt{\frac{3k}{m^2-1} \frac{E_b}{N_0}} \right)$$

# m-PAM constellation: error probability

Comparison: 2-PAM vs. 4-PAM

$$2\text{-PAM: } P_b(e) = \frac{1}{2} \operatorname{erfc} \left( \sqrt{\frac{E_b}{N_0}} \right)$$

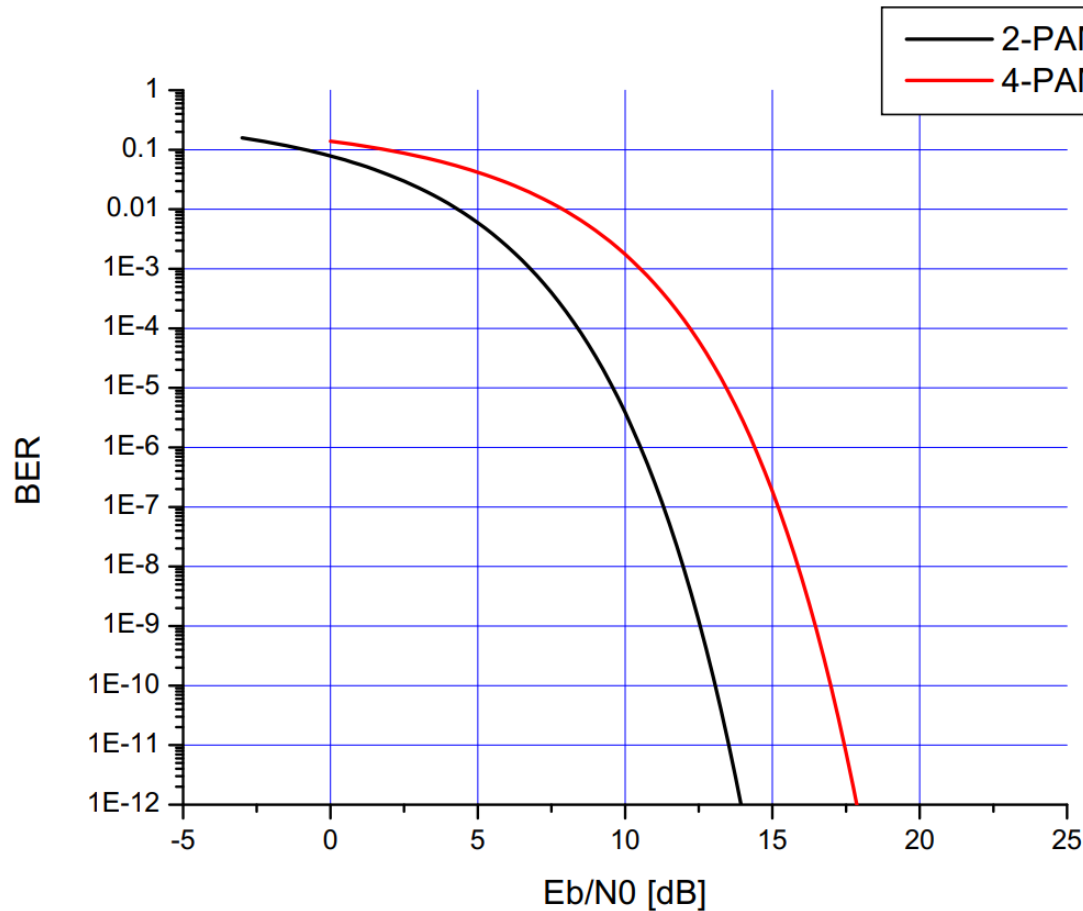
$$4\text{-PAM: } P_b(e) \approx \frac{3}{8} \operatorname{erfc} \left( \sqrt{\frac{2}{5} \frac{E_b}{N_0}} \right)$$

The 2-PAM constellation has better performance

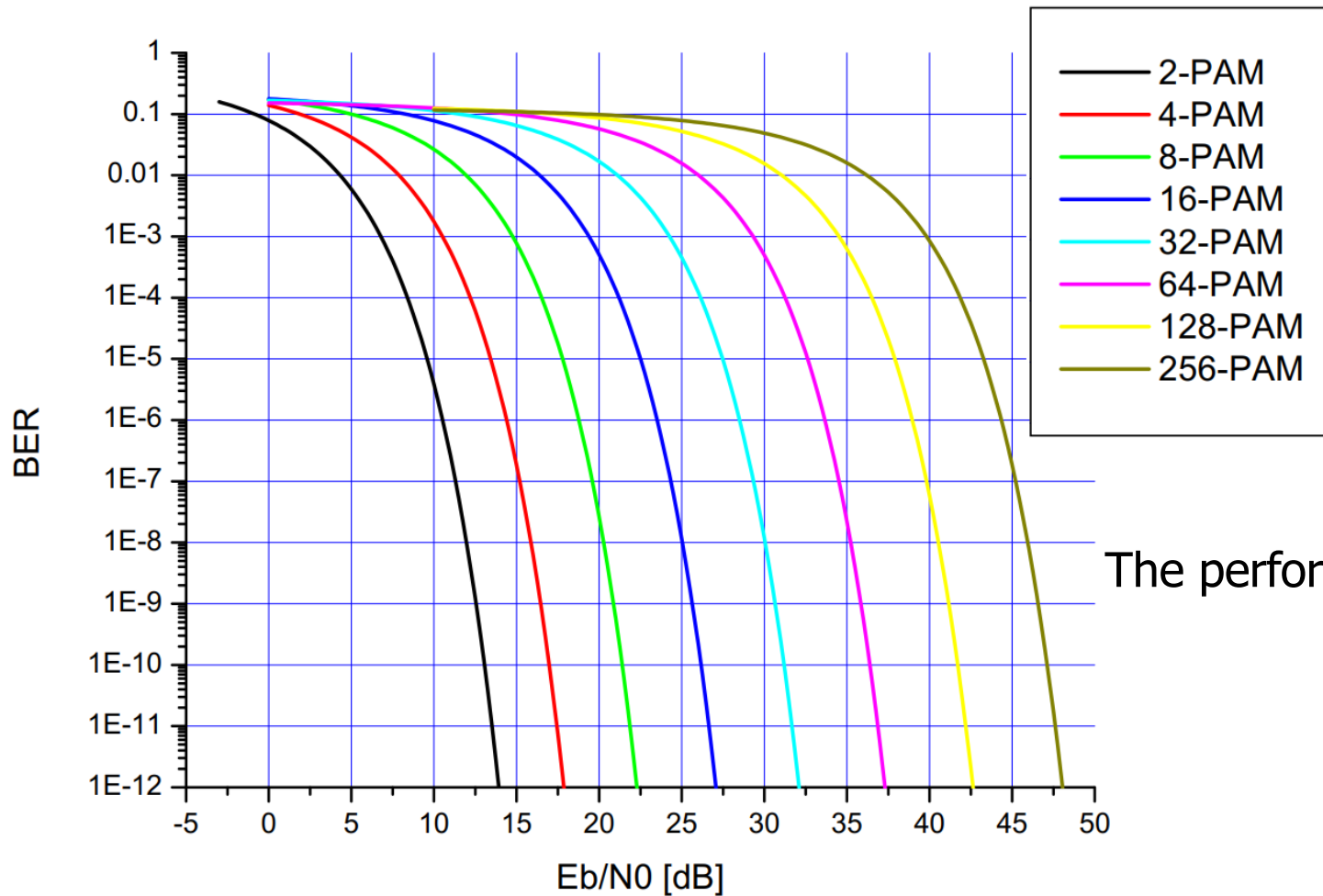
The constellation gain is in the order of  $10 \log(5/2) = 4 \text{ dB}$

# m-PAM constellation: error probability

Comparison: 2-PAM vs. 4-PAM



# m-PAM constellation: error probability



The performance decrease  
for increasing  $m$

## m-PAM constellation: performance/spectral efficiency trade-off

Given a baseband channel with bandwidth  $B$  and an  $m$ -PAM constellation, by increasing the number of signals  $m=2^k$ , we **increase the spectral efficiency**

$$\eta_{id} = R_b / B = 2k \text{ bps / Hz}$$

then we **can transmit a higher bit rate**  $R_b$ .

**Unfortunately, the performance decreases:**

fixed a BER value, the signal-to-noise ratio  $E_b/N_0$  necessary to achieve it increases with  $m$ .

# Example

Suppose  $B=4\text{kHz}$ .

With a (ideal) 2-PAM we transmit  $R_b = 8\text{ kbps}$

With a (ideal) 256-PAM we transmit  $R_b = 64\text{ kbps}$

However, fixed a target BER (e.g.  $\text{BER}=1\text{e-}10$ ), a 256-PAM requires a larger ratio  $E_b/N_0$  (34 dB of difference!).

As an example, at the parity of transmitted power, the link distance is very lower (by a factor of 50!)



# Linear modulation

An  $m$ -PAM constellation is a base-band modulation characterized by a low pass TX filter  $p(t)$ .

Let us suppose to change this TX filter from  $p(t)$  to  $p(t)\cos(2\pi f_0 t)$

- The constellation stays unchanged → **the BER performance are the same**
- **The signal spectrum changes**

# Linear modulation

$$s(t) = \sum_n a[n]p(t - nT)$$

$$G(f) = \sigma_a^2 \frac{|P(f)|^2}{T}$$

$$\left. \begin{aligned} s'(t) &= \sum_n a[n]p'(t - nT) \\ p'(t) &= p(t) \cos(2\pi f_0 t) \end{aligned} \right\}$$

$$G'(f) = \frac{1}{4} [G(f - f_0) + G(f + f_0)]$$

The signal spectrum is translated around frequency  $f_0$

# Linear modulation

A linear modulation simply **translates the spectrum around frequency  $f_0$**   
(carrier frequency or Intermediate Frequency (IF))

The modulation formats obtained by **applying a linear modulation to  $m$ -PAM modulations are called  $m$ -ASK** (Amplitude Shift Keying).

The only one really important is 2-ASK, which is always called 2-PSK  
(Phase Shift Keying).

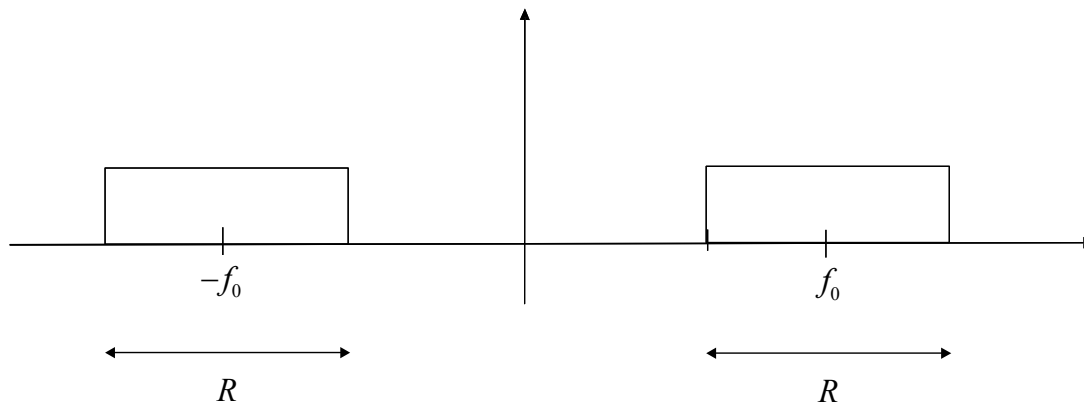
# m-ASK constellation: characteristics

1. One-dimensional constellation identical to  $m$ -PAM
2. Vector  $b_1(t) = p'(t) = p(t) \cos(2\pi f_0 t)$
3. Signal spectrum centred around  $f_0 \rightarrow$  bandpass modulations
4. ASK (Amplitude Shift Keying)

# m-ASK constellation: signal spectrum

$$G_s(f) = x \left[ |P(f - f_0)|^2 + |P(f + f_0)|^2 \right] \quad x \in R$$

Example:  $p(t)$  = ideal low pass filter



$$B_{id} = R = \frac{R_b}{k}$$

$$\eta_{id} = \frac{R_b}{B_{id}} = k \text{ bps / Hz}$$

# m-ASK constellation: properties

## Properties

- **Spectral efficiency halved with respect to  $m$ -PAM**
- BER performance identical to  $m$ -PAM
- No practical applications  
(only exception 2-ASK which is always called 2-PSK)