# Introduction to Communications Engineering

Đỗ Công Thuần, Ph.D.

Dept. of CE, SoICT, HUST

Email: thuandc@soict.hust.edu.vn

IT4593E

ONE LOVE. ONE FUTURE.

### Thông tin chung

- Tên học phần: Nhập môn kỹ thuật truyền thông
- Mã học phần: IT4593E
- Khối lượng: 2 TC (2-1-0-4)
- Lý thuyết và bài tập: 10 buổi lý thuyết, 5 buổi bài tập
- Đánh giá học phần:

```
30% QT (kiểm tra + bài tập/project + chuyên cần-quiz )
70% CK (trắc nghiệm + tự luận)
```

- Tài liệu tham khảo:
  - Lecture slides
  - Lecture notes
  - Textbooks, ví dụ Communication Systems Engineering, 2nd Edition, by John G. Proakis Masoud Salehi
  - Internet



## Lec 03: Digital Communication Systems



### 1. Basic Concepts of Digital Communication Systems



Digital communication systems transmit sequences of symbols belonging to a discrete "alphabet.".

#### **Examples:**

- Human writing
- Morse code telegraphy
- GSM
- CD/DVD



We will focus on systems characterized by two features:

- 1. Discrete alphabet = binary alphabet {0,1}
- → binary data sequences
- 2. Transmission channel = wired or wireless



If analog information needs to be transmitted (e.g., voice, video),



it must go through sampling and quantization (source coding),



resulting in binary data sequences.



### **Examples of digital communication systems:**

- GSM/UMTS
- Telephone Modem
- Optical Fibers
- Wired and Wireless LAN
- GPS/Galileo
- •



## Key parameters of digital communications systems

- Bit-rate
- Bandwidth
- Power
- Error probability
- Complexity



### **Bit-rate**

Binary data sequences are characterized by their bit-rates.

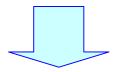
BIT-RATE  $R_b$  [bps]

= number of bits transmitted per second



### **Bandwidth**

### **Binary data sequences**



in order to be transmitted through either a wired or wireless channel, must be converted into a waveform s(t).



### **Bandwidth**

The waveform s(t) is characterized by its power spectral density (PSD) –  $G_s(f)$ .

**BANDWIDTH** B [Hz] = frequency range containing the essential part of  $G_s(f)$ 



### **Power**

The received signal power S [W] [dBm]

depends on the transmitted signal power

characterized by the Signal-to-Noise Ratio (SNR) at the receiver side.



### Error probability

The transmitted binary data sequences  $u_T = (u_T[i])$ 



The transmitted waveform s(t)



The received waveform  $r(t) \neq s(t)$  (in practical, non-ideal channels)



The received binary data sequences

$$u_R = (u_R[i])$$



### Error probability

The transmitted binary data sequences  $u_T = (u_T[i])$ The received binary data sequences  $u_R = (u_R[i])$ 

Bit error probability

$$P(u_R[i] \neq u_T[i])$$



### Complexity

**COMPLEXITY** = implementation difficulty and cost



### Delay

### Delay D [s]

### difference between transmission and reception times

Input (transmitter - TX) Output (receiver, RX)





### Example of System Design

- Design a digital communication system under the following conditions:
  - BIT-RATE  $R_b$  = 34 Mbps
  - BANDWIDTH B = 20MHz, centered at  $f_0 = 18$ GHz
  - Minimum BER = 10<sup>-7</sup> with received POWER S = 40dBm
  - Maximum DELAY D = 500 ms
  - Minimum COMPLEXITY (cost)



### 2. Signal Sets, Labeling, and Transmitted Waveforms



### Binary data sequences: Concept

Binary alphabet  $Z_2 = \{0, 1\}$ 

A binary data sequence:

$$\underline{\mathbf{u}}_{\mathrm{T}} = (u_{T}[0], u_{T}[1], ..., u_{T}[i], ...) \qquad i \in \mathbb{N} \qquad u_{T}[i] \in \mathbb{Z}_{2}$$

Example:  $\underline{u}_T = (1101001...)$ 



$$\underline{\mathbf{u}}_{\mathsf{T}} = (u_T[0], u_T[1], ..., u_T[i], ...)$$

### Bit rate $R_b$ [bps]



Bit duration:  $T_b=1/R_b$  seconds. Each bit  $u_T[i]$  exists in the interval ( $iT_b \le t < (i+1)T_b$ )

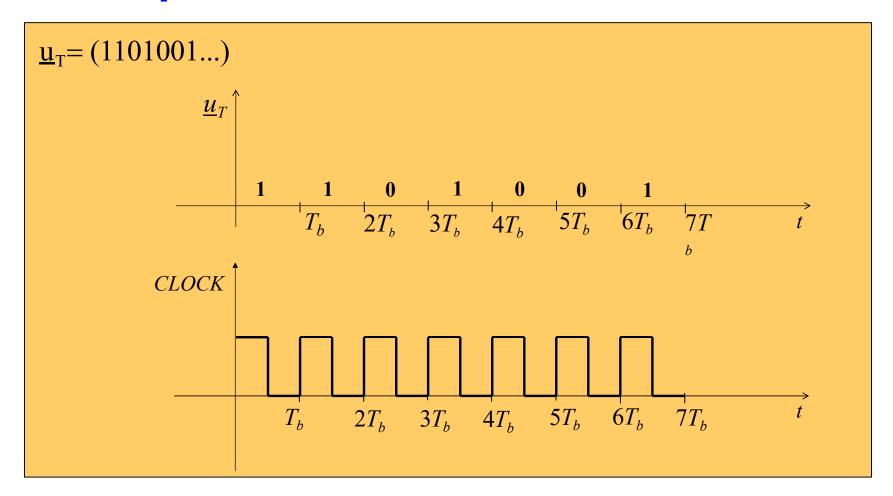


A binary data sequence  $\underline{u}_T$  is characterized as follows::

- Its data bits  $U_T[i]$
- The transmission clock pulse, with frequency  $R_b$



### **Example:**





$$\underline{\mathbf{u}}_{\mathsf{T}} = (u_{\mathsf{T}}[0], u_{\mathsf{T}}[1], ..., u_{\mathsf{T}}[i], ...)$$

Random binary data sequences are assumed statistically independent with equal probability of 0s and 1s.



- $P(u_{\tau}[i] \mid (u_{\tau}[j]) = P(u_{\tau}[i])$
- $P(u_T[i] = 0) = P(u_T[i] = 1) \ \forall i$



### **Transmitted Waveforms**

Binary data sequence:  $\underline{U}_{T}$ 

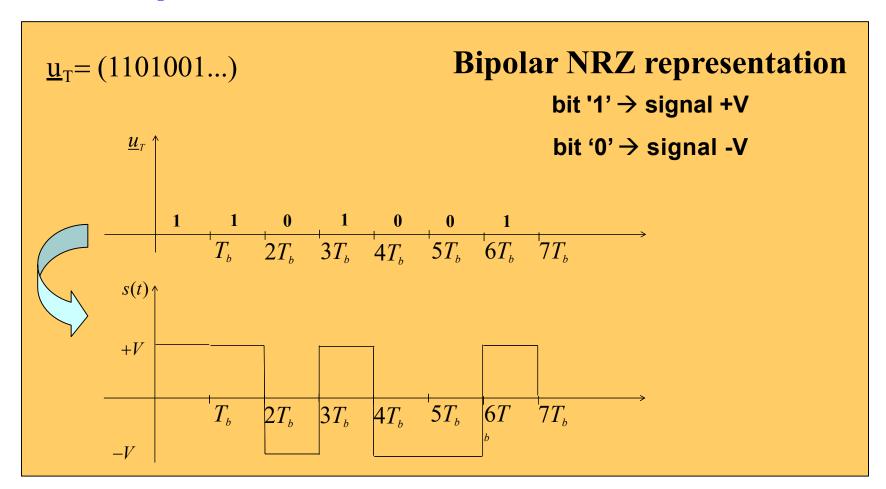


The transmitted signal s(t)

is a real-valued function of time derived from the binary data sequence.

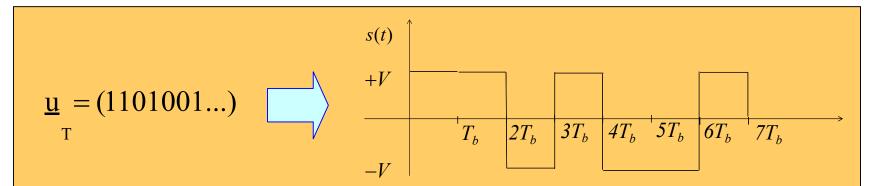


### Example:

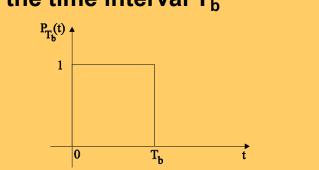




### **Example:**



### A rectangular pulse within the time interval T<sub>b</sub>



### Two signals exist:



$$u_T[i] = 1 \rightarrow +VP_{T_b}(t - iT_b)$$

$$u_T[i] = 0 \to -VP_{T_b}(t - iT_b)$$



### Signal Sets

### A signal set M

$$M = \{s_1(t), ..., s_i(t), ..., s_m(t)\}$$

contains:  $|M| = m = 2^k$  waveforms



$$M = \{s_1(t), ..., s_i(t), ..., s_m(t)\}$$

**Assume**: Each signal  $s_i(t)$  has finite duration

$$0 \le t < T = kT_b$$



### Example:

$$M = \{s_1(t) = +VP_T(t), s_2(t) = -VP_T(t)\}$$
  $m = 2$ 

$$M = \{s_1(t) = VP_T(t)\cos(2\pi f_0 t), s_2(t) = VP_T(t)\sin(2\pi f_0 t),$$
  
$$s_3(t) = -VP_T(t)\cos(2\pi f_0 t), s_4(t) = -VP_T(t)\sin(2\pi f_0 t)\}$$

m=4



### **Hamming Space**

A k-bit binary vector

$$\underline{v} = (u_0, ..., u_i, ... u_{k-1}) \ u_i \in Z_2$$

### **Hamming Space**

$$H_k = \{ \underline{v} = (u_0, ..., u_i, ..., u_{k-1}) \mid u_i \in Z_2 \}$$

contains:  $|H_k| = 2^k$  vectors



### Example:

$$H_1 = \{ (0) (1) \} = \mathbb{Z}_2$$

$$H_2 = \{ (00) (01) (10) (11) \}$$

$$H_3 = \{ (000) (001) (010) (011) (100) (101) (110) (111) \}$$



### **Binary Labeling**

A signal set M contains  $2^k$  signals.

A Hamming space  $H_k$  contains  $2^k$  vectors.

1-1 mapping

**Binary Labeling** 

$$e: H_k \leftrightarrow M$$

$$\underline{v} \in H_k \leftrightarrow s(t) = e(\underline{v}) \in M$$



### Example:

$$M = \{s_1(t) = +VP_T(t), s_2(t) = -VP_T(t)\}$$

$$m=2 \rightarrow k=1$$

$$H_1 = \{(0), (1)\}$$

$$e: H_1 \leftrightarrow M$$

$$(0) \leftrightarrow s_1(t)$$

$$(1) \leftrightarrow s_2(t)$$



### Example:

$$M = \{s_1(t) = VP_T(t)\cos(2\pi f_0 t), s_2(t) = VP_T(t)\sin(2\pi f_0 t),$$

$$s_3(t) = -VP_T(t)\cos(2\pi f_0 t), s_4(t) = -VP_T(t)\sin(2\pi f_0 t)\}$$

$$m = 4 \implies k = 2$$

$$H_2 = \{(00), (01), (11), (10)\}$$

$$e: H_2 \longleftrightarrow M$$

$$(00) \longleftrightarrow s_1(t)$$

$$(01) \longleftrightarrow s_2(t)$$

$$(10) \longleftrightarrow s_3(t)$$

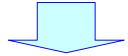
$$(11) \longleftrightarrow s_4(t)$$



### **Transmitted Waveforms**

#### **Assume:**

- Binary data sequence: <u>u</u>T
- Signal set: M
- Binary labeling: e



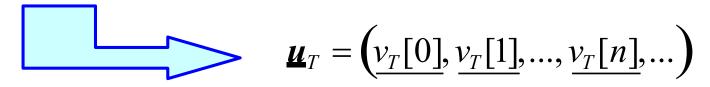
Constructing the transmitted waveform s(t) is a fairly straightforward task.



M contains  $2^k$  vectors  $\Longrightarrow$   $e: H_k \leftrightarrow M$ 

Split  $\underline{u}_T$  into k-bit vectors

$$\underline{\boldsymbol{u}}_T = (u_T[0], u_T[1], ..., u_T[i], ...)$$



Vector [0] 
$$\underline{v}_T[0] = (u_T[0], \dots, u_T[k-1])$$

Vector [n] 
$$\underline{v}_T[n] = (u_T[nk], \dots, u_T[(n+1)k-1])$$



Each bit exists in  $T_b$  seconds Each k-bit vector exists in  $kT_b$ =T seconds

$$\underline{\boldsymbol{u}}_{T} = (\underline{v_{T}[0]}, \underline{v_{T}[1]}, \dots, \underline{v_{T}[n]}, \dots)$$

$$T \qquad T$$

Each signal  $s_i(t) \in M$  exists in T seconds

$$0 \le t < T = kT_b$$



## Transmitted waveform

Binary labeling  $e: H_k \leftrightarrow M$ 

$$\underline{\boldsymbol{u}}_{T} = (\underbrace{\boldsymbol{v}_{T}[0]}, \underbrace{\boldsymbol{v}_{T}[1]}, \dots, \underbrace{\boldsymbol{v}_{T}[n]}, \dots)$$

$$e(\underbrace{\boldsymbol{T}}, e(\underbrace{\boldsymbol{T}}, e(\underbrace{\boldsymbol{T}}, \dots, e(\underbrace{\boldsymbol{T}}, \dots)))$$

$$s(t) = (\underbrace{\boldsymbol{s}[0](t)}, \underbrace{\boldsymbol{s}[1](t)}, \dots, \underbrace{\boldsymbol{s}[n](t)}, \dots)$$

Correct alignment:  $s[n](t) = e(\underline{v}_T[n])$ ???



# **Problem:** The signal set

$$M = \{ s_1(t), ..., s_i(t), ..., s_m(t) \}$$

is defined in the interval

$$0 \le t < T = kT_b$$

but only the first binary vector is represented (mapped) during this interval.

$$\underline{\boldsymbol{u}}_{T} = (\underbrace{\boldsymbol{v}}_{T}[0], \underbrace{\boldsymbol{v}}_{T}[1], \dots, \underbrace{\boldsymbol{v}}_{T}[n], \dots)$$

$$e^{\sqrt[3]{T}} \quad e^{\sqrt[3]{T}} \quad e^{\sqrt[3]{T}} \quad e^{\sqrt[3]{T}}$$

$$s(t) = (\underbrace{s[0](t)}, \underbrace{s[1](t)}, \dots, \underbrace{s[n](t)}, \dots)$$



# Correct alignment is achieved: $s[n](t) = T_n(e(\underline{v}_T[n]))$

if

$$T_n(y(t)) = y(t - nT)$$



Binary labeling  $e: H_k \leftrightarrow M$ 

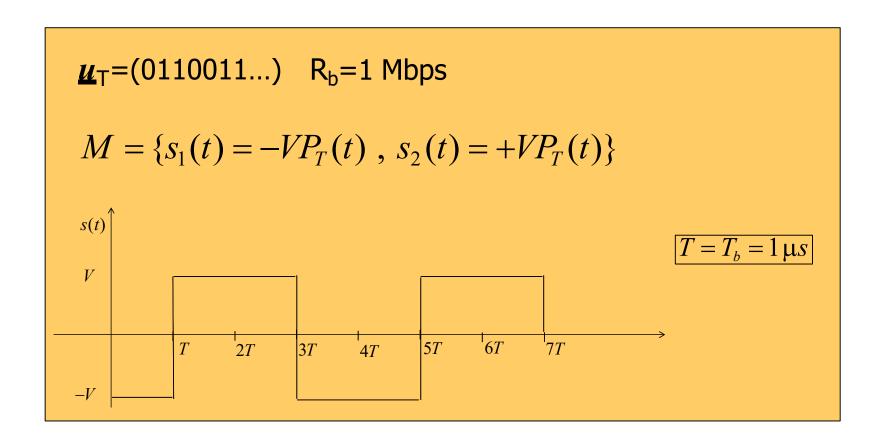
$$\underline{u}_{T} = (\underbrace{v_{T}[0]}, \underbrace{v_{T}[1]}, \dots, \underbrace{v_{T}[n]}, \dots)$$

$$\underline{s}(t) = (\underbrace{s[0](t)}, \underbrace{s[1](t)}, \dots, \underbrace{s[n](t)}, \dots)$$

Correct alignment:  $s[n](t) = T_n(e(\underline{v}_T[n])$ 



# Example:





### **Exercise**

$$\underline{u}_{T}$$
=(10011100...)  $R_{b}$ =1 Mbps

$$M = \{s_1(t) = VP_T(t)\cos(2\pi f_0 t), s_2(t) = VP_T(t)\sin(2\pi f_0 t),$$
  
$$s_3(t) = -VP_T(t)\cos(2\pi f_0 t), s_4(t) = -VP_T(t)\sin(2\pi f_0 t)\}$$

$$(f_0 = 1 \text{MHz})$$

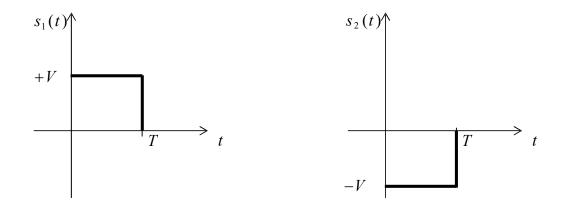
Draw the transmitted waveform s(t).



# Examples of signal sets in practice

#### **Bipolar Non Return to Zero**

$$M = \{s_1(t) = +VP_T(t), s_2(t) = -VP_T(t)\}$$

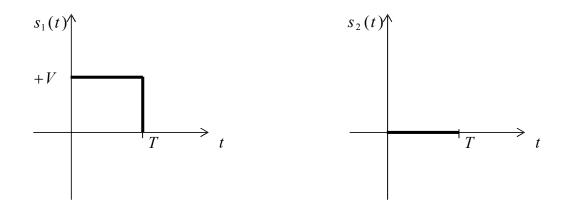


$$m=2 \rightarrow k=1 \rightarrow T=T_h$$



## **Unipolar Non Return to Zero**

$$M = \{s_1(t) = +VP_T(t), s_2(t) = 0\}$$

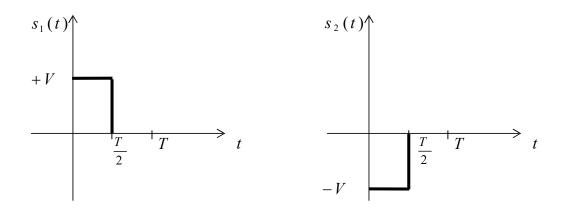


$$m = 2 \rightarrow k = 1 \rightarrow T = T_b$$



### **Bipolar Return to Zero**

$$M = \{s_1(t) = +VP_{T/2}(t), s_2(t) = -VP_{T/2}(t)\}$$

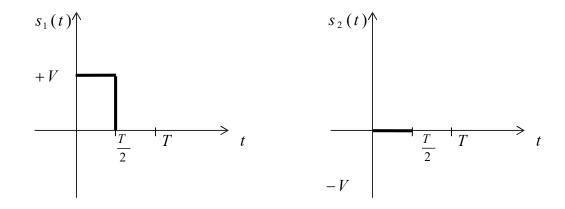


$$m = 2 \rightarrow k = 1 \rightarrow T = T_b$$



### **Unipolar Return to Zero**

$$M = \{s_1(t) = +VP_{T/2}(t), s_2(t) = 0\}$$



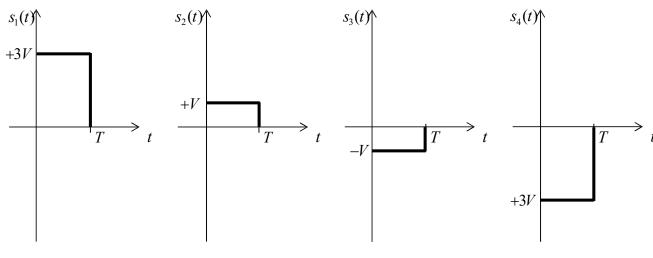
$$m=2 \rightarrow k=1 \rightarrow T=T_b$$



### m-PAM (Pulse Amplitude Modulation)

#### **Example: 4-PAM**

$$M = \left\{ s_1(t) = +3VP_T(t), s_2(t) = +VP_T(t), s_3(t) = -VP_T(t), s_4(t) = -3VP_T(t) \right\}$$



$$m = 4 \rightarrow k = 2 \rightarrow T = 2T_b$$



# m-ASK (Amplitude Shift Keying)

#### **Example: 4-ASK**

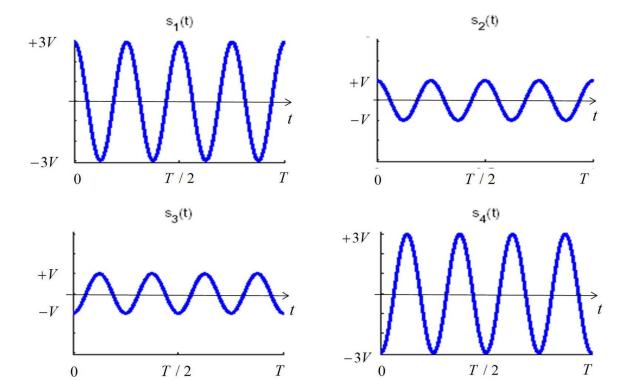
$$M = \left\{ s_1(t) = +3VP_T(t)\cos(2\pi f_0 t), s_2(t) = +VP_T(t)\cos(2\pi f_0 t), s_3(t) = -VP_T(t)\cos(2\pi f_0 t), s_4(t) = -3VP_T(t)\cos(2\pi f_0 t) \right\}$$

$$m = 4 \rightarrow k = 2 \rightarrow T = 2T_b$$



### 4-ASK

$$f_0 = 2R_b$$





## m-PSK (Phase Shift Keying)

**Example: 2-PSK** 

$$M = \{s_1(t) = +VP_T(t)\cos(2\pi f_0 t), s_2(t) = -VP_T(t)\cos(2\pi f_0 t)\} =$$

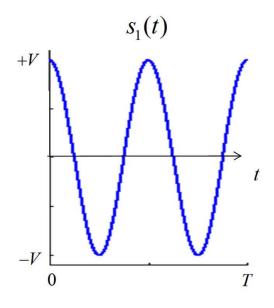
$$= \{s_1(t) = +VP_T(t)\cos(2\pi f_0 t), s_2(t) = +VP_T(t)\cos(2\pi f_0 t - \pi)\}\$$

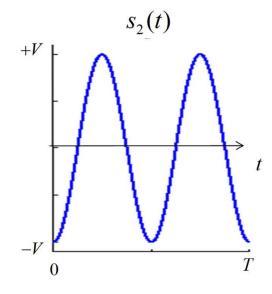
$$m = 2 \rightarrow k = 1 \rightarrow T = T_b$$



### 2-PSK

$$f_0 = 2R_b$$







#### Example: 4-PSK

$$M = \begin{cases} s_1(t) = +VP_T(t)\cos(2\pi f_0 t), s_2(t) = +VP_T(t)\sin(2\pi f_0 t), \\ s_3(t) = -VP_T(t)\cos(2\pi f_0 t), s_4(t) = -VP_T(t)\sin(2\pi f_0 t) \end{cases} =$$

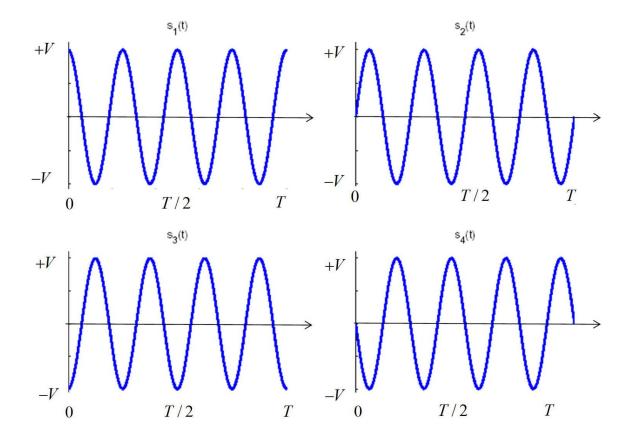
$$= \begin{cases} s_1(t) = +VP_T(t)\cos\left(2\pi f_0 t\right), s_2(t) = +VP_T(t)\cos\left(2\pi f_0 t - \frac{\pi}{2}\right), \\ s_3(t) = +VP_T(t)\cos\left(2\pi f_0 t - \pi\right), s_4(t) = VP_T(t)\cos\left(2\pi f_0 t - \frac{3\pi}{2}\right) \end{cases}$$

$$m = 4 \rightarrow k = 2 \rightarrow T = 2T_b$$



#### 4-PSK

$$f_0 = 2R_b$$





## m-FSK (Frequency Shift Keying)

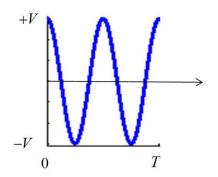
**Example: 2-FSK** 

$$M = \{s_1(t) = +VP_T(t)\cos(2\pi f_1 t), s_2(t) = +VP_T(t)\cos(2\pi f_2 t)\}$$

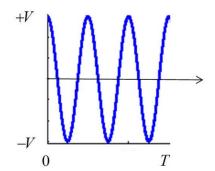
$$m = 2 \to k = 1 \to T = T_b$$



#### 2-FSK



$$f_1 = 2R_b$$



$$f_2 = 3R_b$$

# **Exercise**

$$\underline{u}_{T}$$
=(10011100...)  $R_{b}$ =1 Mbps

Draw the waveform of all the signal sets listed above.

