Introduction to Communications Engineering

Đỗ Công Thuần, Ph.D.

Dept. of CE, SoICT, HUST

Email: thuandc@soict.hust.edu.vn

IT4593E

ONE LOVE. ONE FUTURE.

Thông tin chung

- Tên học phần: Nhập môn kỹ thuật truyền thông
- Mã học phần: IT4593E
- Khối lượng: 2 TC (2-1-0-4)
- Lý thuyết và bài tập: 10 buổi lý thuyết, 5 buổi bài tập
- Đánh giá học phần:

```
30% QT (kiểm tra + bài tập/project + chuyên cần-quiz )
70% CK (trắc nghiệm + tự luận)
```

- Tài liệu tham khảo:
 - Lecture slides
 - Lecture notes
 - Textbooks, ví dụ Communication Systems Engineering, 2nd Edition, by John G. Proakis Masoud Salehi
 - Internet



Lec 05:

Receiver Performance – Probability of Error

Communication over a Channel

Binary data sequence

 \underline{u}_T



Transmitted waveform

s(t)



AWGN Channel



Received waveform

 $\underline{\mathbf{u}}_{\mathrm{T}} \longrightarrow \mathbf{s}(t) \longrightarrow \mathbf{r}(t) = \mathbf{s}(t) + \mathbf{n}(t)$

$$r(t) = s(t) + n(t)$$



Problem at the Receiver Side

$$\underline{\mathbf{u}}_{\mathrm{T}} \rightarrow \mathbf{s}(t) \rightarrow \mathbf{r}(t) = \mathbf{s}(t) + \mathbf{n}(t)$$

Problem

receive $r(t) \rightarrow$ recover \underline{u}_T



Construct an orthonormal basis B from M (the space spanned by the $s_i(t)$)

Project the received waveform r(t) onto B to form vector \underline{r}

Minimum distance criterion

given
$$\underline{r} = \underline{\rho}$$
 choose $\underline{s}_{\underline{R}} = \arg\min_{\underline{s}_i \in M} d_E^2(\underline{\rho} - \underline{s}_i)$

Can be represented by the Voronoi Region criterion



given
$$\underline{r} = \underline{\rho}$$
 if $\underline{\rho} \in V(\underline{s})$ choose $\underline{s_R} = \underline{s}$



Error probability

To determine the quality of a digital wireless link: we need to calculate the probability of detection error: **there are 2 types**

SYMBOL ERROR RATE = SER =
$$P_s(e)$$
 = $P_s(e) = P(\underline{s_R}[n] \neq \underline{s_T}[n])$

BIT ERROR RATE = BER =
$$P_b(e)$$
 = $P(u_R[i] \neq u_T[i])$



Some Concepts

 R_b

Bit rate

$$T_b = 1/R_b$$

Time to transmit 1 bit

$$T = kT_b$$

Time to transmit one symbol, assuming 1 symbol corresponds to k bits

$$R = 1/T$$

Symbol rate



 E_b Energy to transmit 1 bit

 E_S Energy to transmit 1 symbol

 $S = E_b R_b = E_S R$ Signal power



 N_0

Noise power spectral density (PSD)

B

Signal bandwidth

$$N = N_0 B$$

Noise power



S/N

Signal to Noise ratio

$$E_b/N_0$$

S/N ratio related to 1 bit of information, or in other words, the ratio of energy per bit to noise power spectral density

Relationship:
$$\frac{S}{N} = \frac{E_b}{N_0} \frac{R_b}{B} = \frac{E_b}{N_0} \eta$$

Where,
$$\eta = \frac{R_b}{B}$$
 (spectral efficiency)



System performance is described as a function of $E_b \! / \! N_0$

This ratio is proportional to the received signal power

$$S = \frac{S}{N} N = \frac{E_b}{N_0} \frac{R_b}{B} N_0 B = \frac{E_b}{N_0} R_b N_0$$



SER computation

Concept:

$$P_S(e) = P(\underline{s_R} \neq \underline{s_T})$$

We can express:

$$P_{S}(e) = \sum_{i=1}^{m} P_{S}(e \mid \underline{s_{T}} = \underline{s_{i}}) P(\underline{s_{T}} = \underline{s_{i}}) = \frac{1}{m} \sum_{i=1}^{m} P_{S}(e \mid \underline{s_{T}} = \underline{s_{i}})$$

Therefore, we need to calculate:

$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{i}}) = P(\underline{s_{R}} \neq \underline{s_{T}} \mid \underline{s_{T}} = \underline{s_{i}})$$



SER computation

First expression:

$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{i}}) = P(\underline{s_{R}} \neq \underline{s_{T}} \mid \underline{s_{T}} = \underline{s_{i}}) = 1 - P(\underline{s_{R}} = \underline{s_{T}} \mid \underline{s_{T}} = \underline{s_{i}}) = 1 - P(\underline{\rho} \in V(\underline{s_{i}}) \mid \underline{s_{T}} = \underline{s_{i}})$$

$$= 1 - P(\underline{\rho} \in V(\underline{s_{i}}) \mid \underline{s_{T}} = \underline{s_{i}})$$

Second expression:

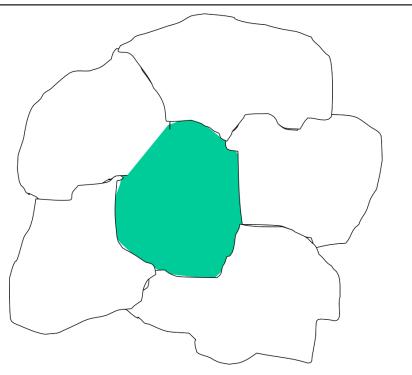
$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{i}}) = P(\underline{s_{R}} \neq \underline{s_{T}} \mid \underline{s_{T}} = \underline{s_{i}}) = P(\underline{\rho} \notin V(\underline{s_{i}}) \mid \underline{s_{T}} = \underline{s_{i}}) =$$

$$= \sum_{j \neq i} P(\underline{s_R} = \underline{s_j} \mid \underline{s_T} = \underline{s_i}) = \sum_{j \neq i} P(\underline{\rho} \in V(\underline{s_j}) \mid \underline{s_T} = \underline{s_i})$$



First expression:

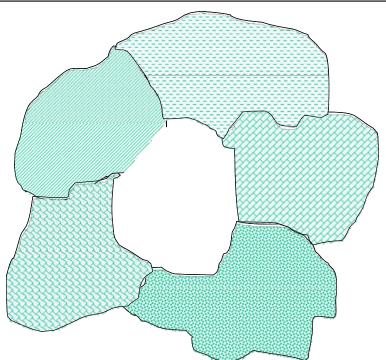
$$|P_S(e \mid \underline{s_T} = \underline{s_i}) = 1 - P(\underline{\rho} \in V(\underline{s_i}) \mid \underline{s_T} = \underline{s_i})|$$





Second expression:

$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{i}}) = P(\underline{\rho} \notin V(\underline{s_{i}}) \mid \underline{s_{T}} = \underline{s_{i}}) = \sum_{j \neq i} P(\underline{\rho} \in V(\underline{s_{j}}) \mid \underline{s_{T}} = \underline{s_{i}})$$





BER computation

When the received signal is correct ($\underline{s}_R = \underline{s}_T$), then the binary sequence (the data of interest) will be correct ($\underline{v}_R = \underline{v}_T$).

When the received signal is wrong ($\underline{s}_R \neq \underline{s}_T$), then the received binary sequence will certainly also be wrong ($\underline{v}_R \neq \underline{v}_T$), ut the number of erroneous bits will depend on the **Hamming labeling** and is represented by:

$$\frac{d_H(\underline{v}_R,\underline{v}_T)}{k}$$

Where d_H is the Hamming distance between \underline{v}_R and \underline{v}_T (number of differing bits between these two vectors/bit clusters)



BER computation

We have

$$P_b(e) = \frac{1}{m} \sum_{i=1}^{m} P_b(e \mid \underline{s_T} = \underline{s_i})$$

Where
$$P_b(e \mid \underline{s_T} = \underline{s_i}) = \sum_{i \neq i} P_b(e, \underline{s_R} = \underline{s_j} \mid \underline{s_T} = \underline{s_i}) =$$

$$= \sum_{j \neq i} \frac{d_H(v_j, v_i)}{k} P\left(\underline{s_R} = \underline{s_j} \mid \underline{s_T} = \underline{s_i}\right) =$$

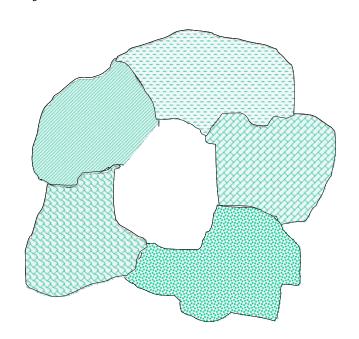
$$= \sum_{j \neq i} \frac{d_H(v_j, v_i)}{k} P(\underline{\rho} \in V(s_j) | \underline{s_T} = s_i)$$

where
$$\underline{v}_i = e^{-1} \left(\underline{s}_i \right)$$
 and $\underline{v}_j = e^{-1} \left(\underline{s}_j \right)$



$$P_b(e) = \frac{1}{m} \sum_{i=1}^{m} P_b(e \mid \underline{s_T} = \underline{s_i})$$

$$P_b(e \mid \underline{s_T} = \underline{s_i}) = \sum_{j \neq i} \frac{d_H(\underline{v_j}, \underline{v_i})}{k} P(\underline{\rho} \in V(\underline{s_j}) \mid \underline{s_T} = \underline{s_i})$$





Introduction: The erfc function

Consider a Gaussian random variable *n* with

- Mean:

- Variance: σ^2

- PDF:

$$f_n(x) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp(-\frac{(x-\mu)^2}{2\sigma^2})$$

We have

$$P(n > x) = \int_{x}^{+\infty} f_n(x) dx = \frac{1}{2} \operatorname{erfc}\left(\frac{x - \mu}{\sqrt{2}\sigma}\right)$$



The erfc function

With the definition $\operatorname{erfc}(x) = \frac{2}{\sqrt{\pi}} \int_{x}^{+\infty} e^{-t^2} dt$

We have

$$P(n > x) = \int_{x}^{+\infty} f_n(x) dx = \int_{x}^{+\infty} \frac{1}{\sqrt{2\pi\sigma^2}} \exp(-\frac{(x - \mu)^2}{2\sigma^2}) dx$$

$$= \frac{1}{\sqrt{\pi}} \int_{\frac{(x-\mu)}{\sqrt{2}\sigma}}^{+\infty} e^{-t^2} dt = \frac{1}{2} \operatorname{erfc}(\frac{x-\mu}{\sqrt{2}\sigma})$$

In the case of mean = 0 and variance = $N_0/2$, we have:

$$P(n > x) = \frac{1}{2}\operatorname{erfc}\left(\frac{x - \mu}{\sqrt{2}\sigma}\right) = \frac{1}{2}\operatorname{erfc}\left(\frac{x}{\sqrt{N_0}}\right)$$



SER/BER computation for binary antipodal signals

Consider a 1-dimensional signal space (d=1) with 2 signals (m=2), symmetric about the origin:

$$M = \{\underline{s_1} = (+A), \underline{s_2} = (-A)\}$$

The Voronoi region for each signal is defined as follows:

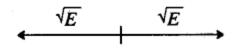
$$V(\underline{s_1}) = {\underline{\rho} = (\rho_1), \rho_1 \ge 0}$$

$$V(\underline{s_2}) = \{ \underline{\rho} = (\rho_1), \rho_1 \le 0 \}$$



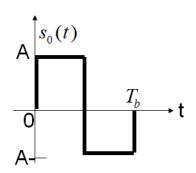
Antipodal signals it's that signal 180 degree opposite to each other. One signal have value on -1 and other on 1 (as exapthis is the mathematical from):

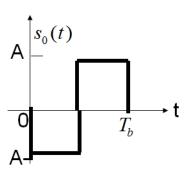
BINARY ANTIPODAL SIGNALING



This signal shown in left, telecommunication used it in digital systems like B-PSK (Binary Phase Shift Keying); the idea from this signal in telecom receiver to know if we get 0 or we get 1. If the value are closer to +E the receiver understand this as 1; if the value are closer to -E the receiver understand it as 0.

NOTE: There is another form for antipodal signals like this:







We have:

$$P_{S}(e) = \frac{1}{m} \sum_{i=1}^{m} P_{S}(e \mid \underline{s_{T}} = \underline{s_{i}}) = \frac{1}{2} \left[P_{S}(e \mid \underline{s_{T}} = \underline{s_{1}}) + P_{S}(e \mid \underline{s_{T}} = \underline{s_{2}}) \right]$$

Therefore, we need to calculate:

$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{1}})$$

And:

$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{2}})$$



For $\underline{s}_T = \underline{s}_1$

$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{1}}) = P(\underline{\rho} \in V(\underline{s_{2}}) \mid \underline{s_{T}} = \underline{s_{1}}) = P(\rho_{1} < 0 \mid \underline{s_{T}} = \underline{s_{1}})$$

We have:

$$\underline{r} = \underline{s_T} + \underline{n}$$
 $\underline{r} = \underline{\rho}$ $\underline{s_T} = \underline{s_1}$

Where

$$\rho = (\rho_1)$$

$$\underline{\rho} = (\rho_1)$$
 $s_1 = (s_{11}) = (+A)$

$$\underline{n} = (n_1)$$

Therefore:

$$\rho_1 = A + n_1$$



$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{1}}) = P(\rho_{1} < 0 \mid \underline{s_{T}} = \underline{s_{1}}) = P(A + n_{1} < 0) = P(n_{1} < -A)$$

 n_I is a Gaussian random variable, with mean = 0 and variance = $N_0/2$

$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{1}}) = P(n_{1} < -A) = P(n_{1} > A) = \frac{1}{2} erfc\left(\frac{A}{\sqrt{N_{0}}}\right)$$



For $\underline{s}_T = \underline{s}_2$

$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{2}}) = P(\underline{\rho} \in V(\underline{s_{1}}) \mid \underline{s_{T}} = \underline{s_{2}}) = P(\rho_{1} > 0 \mid \underline{s_{T}} = \underline{s_{2}})$$

We have:

$$\underline{r} = \underline{s_T} + \underline{n}$$
 $\underline{r} = \underline{\rho}$ $\underline{s_T} = \underline{s_2}$

Therefore:

$$\underline{\rho} = (\rho_1) \qquad \underline{s_2} = (s_{21}) = (-A) \qquad \underline{n} = (n_1)$$

$$\rho_1 = -A + n_1$$



$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{2}}) = P(-A + n_{1} > 0) = P(n_{1} > A)$$

$$P_S(e \mid \underline{s_T} = \underline{s_2}) = \frac{1}{2} erfc \left(\frac{A}{\sqrt{N_0}}\right)$$



We have

$$P_{S}(e \mid \underline{s_{T}} = \underline{s_{1}}) = P_{S}(e \mid \underline{s_{T}} = \underline{s_{2}})$$

Therefore:

$$P_{S}(e) = \frac{1}{2} \left[P_{S}(e \mid \underline{s_{T}} = \underline{s_{1}}) + P_{S}(e \mid \underline{s_{T}} = \underline{s_{2}}) \right] = P_{S}(e \mid \underline{s_{T}} = \underline{s_{1}})$$

Therefore:

$$P_S(e) = P_S(e \mid \underline{s_T} = \underline{s_1}) = \frac{1}{2} \operatorname{erfc}\left(\frac{A}{\sqrt{N_0}}\right)$$

Note:

$$P_S(e) = P_S(e \mid \underline{s_T} = \underline{s_1}) = \frac{1}{2} \operatorname{erfc}\left(\frac{d}{2\sqrt{N_0}}\right)$$



We have:

$$P_S(e) = P_S(e \mid \underline{s_T} = \underline{s_1}) = \frac{1}{2} \operatorname{erfc}\left(\frac{A}{\sqrt{N_0}}\right)$$

Write as a function of E_b/N_0 :

$$E(\underline{s_1}) = E(\underline{s_2}) = A^2$$

$$E_S = \frac{E(\underline{s_1}) + E(\underline{s_2})}{2} = A^2$$

$$E_b = \frac{E_S}{k} = E_S = A^2$$



We have:

$$P_{S}(e) = \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{E_{b}}{N_{0}}}\right)$$



For this signal space, we can establish a binary labeling scheme:

$$e: H_1 \Leftrightarrow M$$

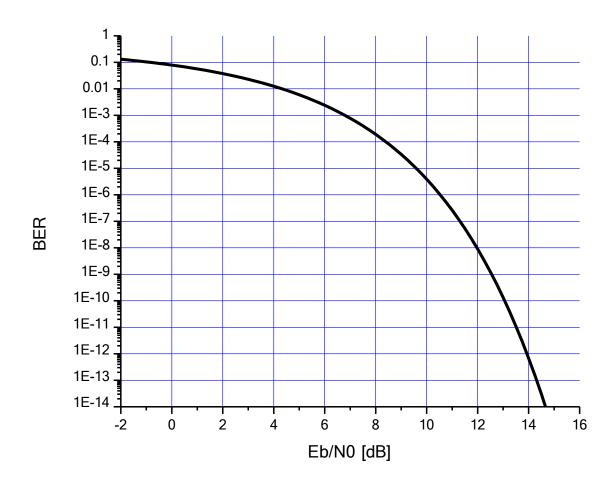
$$\underline{v_1} = (0) \Leftrightarrow \underline{s_1}$$

$$\underline{v_2} = (1) \Leftrightarrow \underline{s_2}$$

And in this scheme, if the signal is wrong then the binary data is certainly also wrong, therefore:

$$P_b(e) = P_S(e) = \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{E_b}{N_0}}\right)$$







Different signal spaces that share the same vector space have the same BER value!!

In the last example, BER does not depend on the waveform of the orthonormal vectors:

$$b_1(t) = \frac{1}{\sqrt{T}} P_T(t)$$

$$b_1(t) = \sqrt{\frac{2}{T}} P_T(t) \cos(2\pi f_0 t)$$

