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# PeerSec MatrixSSL APIs

# MatrixSSL 3.1.2

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# Overview

This document is the technical reference for the MatrixSSL C code library API. The functions documented here can be used to add server or client SSL security to any new or existing application on any hardware platform using any data transport mechanism.

#### Who Is This Document For?

- Software developers that are securing applications with MatrixSSL
- Anyone wanting to learn more about MatrixSSL
- Anyone wanting to learn more about the SSL/TLS protocol

## **Documentation Style Conventions**

- File names and directory paths are italicized.
- C code literals are distinguished with the Monaco font.

### **Commercial Version Differences**

Some of the compile options, functions, and structures in this document provide additional features only available in the commercially licensed version of MatrixSSL. Sections of this document that refer to the commercial version will be shaded.

Functionality and features that are available exclusively in the commercial version are not documented here. Below is a partial list of topics available in the PeerSec MatrixSSL documentation library.

- Diffe-Hellman Cipher Suites
- Pre-Shared Key Cipher Suites
- PeerSec Deterministic Memory



# Source Code Notes

## **Package Structure**

MatrixSSL's public interface function prototypes are defined in the *matrixsslApi.h* file. Applications compiling with MatrixSSL APIs only have to include this single header file.

#include "matrixsslApi.h"

The *matrixsslApi.h* file includes other package-specific header files using relative paths based on the default directory structure. All optional product features are enabled and disabled by toggling documented header defines. There is no need to restructure the include logic within the header files or to move the header files from the default directory locations when configuring features.

The C data types used by functions in *matrixsslApi.h* come from a variety of module headers in the package directories. MatrixSSL API custom data types with publicly accessible members are documented in the **Structures** section below.

#### **Integer Sizes**

MatrixSSL was designed without dependency on platform specific integer sizes. MatrixSSL uses the int32 and uint32 type definitions throughout the code to ensure compatibility. These typedefs are contained in the core/osdep.h header file. This layer enables global redefinitions for platforms that do not support 32-bit integer types as the native int type.



# **Configurable Features**

MatrixSSL contains a set of optional features that are configurable at compile time. This allows the user to remove unneeded functionality to reduce footprint. Each of these options are preprocessor defines that can be disabled by simply commenting out the #define in the specified header files. APIs with dependencies on optional features contain a **Define Dependencies** section in the documentation for that function.

USE_FILE_SYSTEM	core/coreConfig.h	Enables file access for parsing X.509 certificates and private keys.
USE_CLIENT_SIDE_SSL	matrixssl/matrixsslConfig.h	Enables client side SSL support
USE_SERVER_SIDE_SSL	matrixssl/matrixsslConfig.h	Enables server side SSL support
USE_TLS	matrixssl/matrixsslConfig.h	Enables TLS protocol support
ENABLE_SECURE_REHANDSHAKES	matrixssl/matrixsslConfig.h	Enable secure rehandshaking as defined in RFC 5746
REQUIRE_SECURE_REHANDSHAKES	matrixssl/matrixsslConfig.h	Halt communications with any SSL peer that has not implemented RFC 5746
ENABLE_INSECURE_REHANDSHAKES	matrixssl/matrixsslConfig.h	Enable legacy renegotiations. NOT RECOMMENDED
HAVE_NATIVE_INT64	core/coreConfig.h	Enable if the platform has a native 64-bit data type (long long)



USE_PEERSEC_MEMORY_MANAGEMENT	core/coreConfig.h	Enables deterministic memory management module. See the specific documentation for this feature.
USE_CLIENT_AUTH	matrixssl/matrixsslConfig.h	Enables two- way(mutual) authentication
USE_MULTITHREADING	core/coreConfig.h	Enables mutex support in the core module for internal locking of shared resources.
USE_PRIVATE_KEY_PARSING	crypto/cryptoConfig.h	Enables X.509 private key parsing
USE_PKCS5	crypto/cryptoConfig.h	Enables the parsing of encrypted X.509 private keys
USE_1024_KEY_SPEED_OPTIMIZATIONS	crypto/cryptoConfig.h	Enables fast math for 1024-bit public key operations



# **Debug Configuration**

MatrixSSL contains a set of optional debug features that are configurable at compile time. Each of these options are pre-processor defines that can be disabled by simply commenting out the #define in the specified header files.

HALT_ON_PS_ERROR	core/coreConfig.h	Enables the osdepBreak platform function whenever a _psError trace function is called. Helpful in debug environments.
USE_CORE_TRACE	core/coreConfig.h	Enables the psTraceCore family of APIs that display function-level messages in the core module
USE_CRYPTO_TRACE	crypto/cryptoConfig.h	Enables the psTraceCrypto family of APIs that display function-level messages in the crypto module
USE_SSL_HANDSHAKE_MSG_TRACE	matrixssl/matrixsslConfig.h	Enables SSL handshake level debug trace for troubleshooting connection problems
USE_SSL_INFORMATIONAL_TRACE	matrixssl/matrixsslConfig.h	Enables SSL function level debug trace for troubleshooting connection problems



## **Cipher Suites**

The user can enable or disable any of the supported cipher suites from the *matrixsslConfig.h* header file. Simply comment out the cipher suites that are not needed. The individual cryptographic algorithms may be enabled and disabled through the *cryptoConfig.h* header file for fine tuning of library size. Below is the list of available cipher suites along with their specification identifiers and cryptographic requirements\*

MatrixSSL Define	Specification ID (decimal)	Must Enable in cryptoConfig.h
USE_TLS_RSA_WITH_AES_128_CBC_SHA	0x002F (47)	USE_RSA USE_AES
USE_SSL_RSA_WITH_3DES_EDE_CBC_SHA	0x000A (10)	USE_RSA USE_3DES
USE_SSL_RSA_WITH_RC4_128_SHA	0x0005 (5)	USE_RSA USE_ARC4
USE_SSL_RSA_WITH_RC4_128_MD5	0x0004 (4)	USE_RSA USE_ARC4
USE_TLS_DHE_RSA_WITH_AES_256_CBC_SHA	0x0039 (57)	USE_RSA USE_AES USE_DH
USE_TLS_DHE_RSA_WITH_AES_128_CBC_SHA	0x0033 (51)	USE_RSA USE_AES USE_DH
USE_SSL_DHE_RSA_WITH_3DES_EDE_CBC_SHA	0x0016 (22)	USE_RSA USE_3DES USE_DH
USE_TLS_RSA_WITH_AES_256_CBC_SHA	0x0035 (53)	USE_RSA USE_AES
USE_TLS_RSA_WITH_SEED_CBC_SHA	0x0096 (150)	USE_RSA USE_SEED
USE_TLS_DHE_PSK_WITH_AES_256_CBC_SHA	0x0091 (145)	USE_AES USE_DH
USE_TLS_DHE_PSK_WITH_AES_128_CBC_SHA	0x0090 (144)	USE_AES USE_DH
USE_TLS_PSK_WITH_AES_256_CBC_SHA	0x008D (141)	USE_AES
USE_TLS_PSK_WITH_AES_128_CBC_SHA	0x008C (140)	USE_AES

<sup>\*</sup> USE\_SHA1, USE\_MD5, and USE\_X509 are required for MatrixSSL builds. USE\_HMAC is required if USE\_TLS is enabled



# MatrixSSL API



## **Functions**

## matrixSslOpen

## **Prototype**

int32 matrixSslOpen(void);

#### **Parameters**

none

#### **Return Values**

PS_SUCCESS	Successful initialization.
PS_FAILURE	Failed core module initialization. Can't continue.

#### **Servers and Clients**

This is the initialization function for the MatrixSSL library. Applications must call this function as part of their own initialization process before any other MatrixSSL functions are called.

## **Memory Profile**

This function internally allocates memory that is freed during matrixSslClose



## matrixSslNewKeys

## **Prototype**

int32 matrixSslNewKeys(sslKeys\_t \*\*keys);

#### **Parameters**

keys	output	Newly allocated structure to use when loading
		key material

#### **Return Values**

PS_SUCCESS	Successful initialization
PS_MEM_FAIL	Failure. Unable to allocate memory for the structure.

#### **Servers and Clients**

This is a necessary function that all implementations must call before loading in the specific key material that will be used in the SSL handshake.

After allocating the key structure, the user will load custom key material from files (or memory) using matrixSslLoadRsaKeys, matrixSslLoadDhParams, and/or matrixSslLoadPsk. Loading RSA keys or Dh params may be done once each, and multiple calls can be made to load PSK for a single keys structure.

Once loaded with the key material, the keys structure will be passed to matrixSslNewClientSession or matrixSslNewServerSession to associate them with the SSL session.

#### **Memory Profile**

This function internally allocates memory that is freed during matrixSslDeleteKeys. The caller does not need to free the keys parameter if this function does not return PS\_SUCCESS.



## matrixSslLoadRsaKeys

# Prototype

## **Parameters**

keys	input output	Allocated key structure returned from a previous call to matrixSslNewKeys. Will become input to matrixSslNewClientSession or matrixSslNewServerSession to associate key material with a SSL session.
certFile	input	The fully qualified filename(s) of the PEM formatted X. 509 certificate for this server. NULL if client application. For in-memory support, see matrixSslLoadRsaKeysMem  This parameter is relevant to clients in commercial packages that use two-way authentication.
privFile	input	The fully qualified filename of the PEM formatted PKCS#1 private RSA key that was used to sign the childmost server certFile. NULL if client application.  This parameter is relevant to clients in commercial packages that use two-way authentication.
privPass	input	The plaintext password used to encrypt the private key file.  NULL if private key file is not password protected or if client application. MatrixSSL supports the MD5 PKCS#5 2.0 PBKDF1 password standard.  This parameter is relevant to clients in commercial packages that use two-way authentication.
trustedCAFiles	input	The fully qualified filename(s) of the trusted root certificates for this client. NULL if server application.  This parameter is relevant to servers in commercial packages that use two-way authentication.



#### **Return Values**

PS_SUCCESS	0	Success. All input files parsed and the keys parameter is available for use
PS_CERT_AUTH_FAIL	< 0	Failure. Certificate or chain did not self-authenticate or private key could not authenticate certificate
PS_PLATFORM_FAIL	< 0	Failure. Error locating or opening an input file
PS_ARG_FAIL	< 0	Failure. Bad input function parameter
PS_MEM_FAIL	< 0	Failure. Internal Memory allocation failure
PS_PARSE_FAIL	< 0	Failure. Error parsing certificate or private key buffer
PS_UNSUPPORTED_FAIL	< 0	Failure. Unsupported key algorithm in certificate material

#### **Servers and Clients**

This function is called to load the RSA certificates and private key files from disk that are needed for SSL client-server authentication. The key material is loaded into the keys parameter for input into the subsequent session creation APIs matrixSslNewClientSession or matrixSslNewServerSession. This API can be called at most once for a given sslKeys\_t parameter.

GNU MatrixSSL supports one-way authentication (client authenticates server) so the parameters to this function are specific to the client/server role of the application. The certFile, privFile, and privPass parameters are server specific and should identify the certificate and private key file for that server. The certFile and privFile parameters represent the two halves of the public key so they must both be non-NULL values if either is used.

The trustedCAcertFiles are client specific and should identify the trusted root certificates that will be used to validate the certificates received from a server.

Calling this function is a resource intensive operation because of the file access, parsing, and internal public key authentications required. For this reason, it is advised that this function be called once per set of key files for a given application. All new sessions associated with the certificate material can reuse the existing key pointer. At application shutdown the user must free the key structure using matrixSslDeleteKeys.



#### **Client Authentication**

The commercial version of MatrixSSL supports two-way authentication (also called client authentication or mutual authentication). If this functionality is desired, the certFile and privFile parameters are used to specify the certificate of the local entity on both the client and server sides. Likewise, each entity will need to supply a trustedCAcertFile parameter that lists the trusted CAs so that the certificates may be authenticated. It is easiest to think of client authentication as a mirror image of the normal server authentication when considering how certificate and CA files are deployed.

The MatrixSSL library must be compiled with USE\_CLIENT\_AUTH defined in *matrixsslConfig.h* to enable client authentication support.

#### **Multiple CA Certificates and Certificate Chaining**

It is not uncommon for a server to work from a certificate chain in which a series of certificates form a child-to-parent hierarchy. It is even more common for a client to load multiple trusted CA certificates if numerous servers are being supported.

There are two ways to pass multiple certificates to the matrixSslLoadRsaKeys API. The first is to pass a semi-colon delimited list of files to the certFile or trustedCAcertFiles parameters. The second way is to append several PEM certificates into a single file and pass that file to either of the two parameters. Regardless of which way is chosen, the certFile parameter MUST be passed in a child-to-parent order. The first certificate parsed in the chain MUST be the child-most certificate and each subsequent certificate must be the parent (issuer) of the former. There must only ever be one private key file passed to this routine and it must correspond with the child-most certificate.

## **Encrypted Private Keys**

It is strongly recommended that private keys be password protected when stored in files. The privPass parameter of this API is the plaintext password that will be used if the private key is encrypted. MatrixSSL supports an MD5 based PKCS#5 2.0 PBKDF1 standard for password encryption. The most common way a password is retrieved is through user input during the initialization of an application.

## **Memory Profile**

The keys parameter must be freed with matrixSslDeleteKeys after its useful life.



# **Define Dependencies**

USE_FILE_SYSTEM	must be enabled in <i>coreConfig.h</i>
USE_SERVER_SIDE_SSL	optionally enable in <i>matrixsslConfig.h</i> for SSL server support
USE_CLIENT_SIDE_SSL	optionally enable in <i>matrixsslConfig.h</i> for SSL client support
USE_PKCS5	optionally enable in <i>cryptoConfig.h</i> for password protected private keys
USE_CLIENT_AUTH	optionally enable in <i>matrixsslConfig.h</i> for client authentication



## matrixSslLoadRsaKeysMem

## Prototype

```
int32 matrixSslLoadRsaKeysMem(sslKeys_t *keys,
    unsigned char *certBuf, int32 certLen,
    unsigned char *privBuf, int32 privLen,
    unsigned char *trustedCABuf, int32 trustedCALen);
```

## **Parameters**

keys	input output	Opaque storage from a previous call to matrixSslNewKeys for the parsed certificate and key material. Will become input to matrixSslNewClientSession or matrixSslNewServerSession to associate key material with SSL sessions.
certBuf	input	The X.509 ASN.1 encoded certificate material for this server application. NULL if client application.  This parameter is relevant to clients in commercial packages that use two-way authentication.
certLen	input	Byte length of certBuf
privBuf	input	PKCS#1 ASN.1 encoded private key material for this server. NULL if client application.  This parameter is relevant to clients in commercial packages that use two-way authentication.
privLen	input	Byte length of privBuf
CABuf	input	X.509 ASN.1 encoded Certificate Authority material for this client. NULL if server application.  This parameter is relevant to servers in commercial packages that use two-way authentication.
CALen	input	Byte length of CABuf



#### **Return Values**

PS_SUCCESS	0	Success. All input files parsed and the keys parameter is available for use
PS_CERT_AUTH_FAIL	< 0	Failure. Certificate or chain did not self-authenticate or private key could not authenticate certificate
PS_ARG_FAIL	< 0	Failure. Bad input function parameter
PS_MEM_FAIL	< 0	Failure. Internal Memory allocation failure
PS_PARSE_FAIL	< 0	Failure. Error parsing certificate or private key buffer
PS_UNSUPPORTED_FAIL	< 0	Failure. Unsupported key algorithm in certificate material

#### Servers and Clients

This function is the in-memory equivalent of the matrixSslLoadRsaKeys API to support environments where the certificate material is not stored as files on disk. Please consult the documentation for matrixSslLoadRsaKeys for detailed information on how clients and servers should manage the certificate and private key parameters. This API can be called at most once for a given sslKeys\_t parameter.

The buffers for the certificates and private key must be in the native ASN.1 format of the X.509 v3 and PKCS#1 standards, respectively. Typically, the DER file extension is used for certificate material in this format.

There is no password protection support for private key buffers. It is recommended that the user implement secure storage for the private key material.

### **Multiple CA Certificates and Certificate Chaining**

This in-memory version of the key parser also supports multiple CAs and/or certificate chains. Simply append the ASN.1 certificate streams together for either the certBuf or trustedCAbuf parameters. If using a certificate chain in the certBuf parameter the order of the certificates still MUST be in child-to-parent order with the privBuf being the key associated with the child-most certificate.

## **Memory Profile**

The keys parameter must be freed with matrixSslDeleteKeys after its useful life.



# **Define Dependencies**

USE_SERVER_SIDE_SSL	enable in <i>matrixConfig.h</i> for server support
USE_CLIENT_SIDE_SSL	enable in <i>matrixConfig.h</i> for client support
USE_CLIENT_AUTH	optionally enable in matrixsslConfig.h



## matrixSslNewClientSession

## Prototype

#### **Parameters**

ssl	output	New context for this SSL session
keys	input	Key pointer that has been populated with the necessary key material (see matrixSslNewKeys)
sessionId	input output	Storage location for the session ID. NULL otherwise. Detailed information below.
cipherSuite	input	0 to enable the client to negotiate the cipher suite with the server OR the identifier if requiring a specific cipher suite. See the <b>Cipher Suites</b> section above or <i>matrixssllib.h</i> for values
certValidator	input	The function callback that will be invoked during the SSL handshake to see the internal authentication status of the certificate chain and perform custom validations as needed.
extensions	input	Support for CLIENT_HELLO extensions. See matrixSslNewHelloExtension
extensionCback	input	A function callback that will be invoked during the SSL handshake to see any SERVER_HELLO extensions that have been received.

#### **Return Values**

MATRIXSSL_REQUEST_SEND	> 0	Success. The ssl_t context is initialized and the CLIENT_HELLO message has been encoded and is ready to be sent
PS_ARG_FAIL	< 0	Failure. Bad input function parameter



PS_MEM_FAIL	< 0	Failure. Memory allocation failure
MATRIXSSL_ERROR	< 0	Failure. SSL context is not in the correct state for creating a CLIENT_HELLO message or error encrypting it
PS_UNSUPPORTED_FAIL	< 0	Failure. The requested cipher suite was not found or library not compiled with SSL client support
PS_PLATFORM_FAIL	< 0	Failure. Internal call to psGetEntropy failed while encoding CLIENT_HELLO message

#### Clients

This function is called by clients to start a new SSL session or to resume a previous one. The session context is returned in the output parameter ssl. The CLIENT\_HELLO handshake message is internally generated when this function is called and the typical action to take after this function returns is to retrieve that message with matrixSslGetOutdata and send the returned data to the server.

This function requires a pointer to an sslKeys\_t structure that was returned from a previous call to matrixSslNewKeys.

If the client wishes to resume a session with a server the sessionId parameter can be used. For the initial handshake with a new server this parameter should point to an allocated sslSessionId\_t location in which the client will store the session ID information. The true session ID will not be calculated until later in the handshake and this parameter is simply the memory location of where that session ID will be copied. On subsequent handshakes with the same server, the client can simply pass through this same sessionId memory location and matrixSslNewClientSession will extract the session ID and encode a CLIENT\_HELLO message that will initiate a resumed handshake with the server. The sessionId parameter may be NULL if session resumption is not desired.

If the user wants to ensure the sessionId parameter is initialized or cleared of any previous session ID information, matrixSslInitSessionld should be used to guarantee a full handshake.

The cipherSuite parameter can be used to force the client to send a single cipher to the server rather than the entire set of supported ciphers. Set this value to 0 to send the entire cipher suite list that is enabled in *matrixsslConfig.h*. Otherwise the value is the two byte



value of the cipher suite specified in the standards. The supported values can be found in *matrixssllib.h*.

An explicit cipherSuite will take precedence over the cipher suite in sessionId if they do not match. So if both sessionId and cipherSuite are passed in and the cipherSuite does not match the cipher that is contained in the sessionId parameter, the sessionId will be cleared and the client will encode a new CLIENT\_HELLO with the cipherSuite value. If the cipherSuite value is 0 or if it identically matches the cipher suite in the sessionId parameter, session resumption will be attempted.

This certValidator parameter is used to register a callback routine that will be invoked during the certificate validation portion of the SSL handshake. This optional (but highly recommended) registration will enable the application to see the internal authentication results of the server certificate, perform custom validation checks, and pass certificate information on to end users wishing to manually validate certificates. Additional tests a callback may want to perform on the certificate information might include date validation and host name (common name) verification. If a certificate callback is not registered the internal RSA public-key authentication will determine whether or not to continue the handshake.

Detailed information on the certificate callback routine is found in the section **The Certificate Validation Callback Function** towards the end of this document.

The extensions parameter enables the user to pass CLIENT\_HELLO extensions to the server. See matrixSslNewHelloExtension for more information.

The extensionCback parameter enables the user to register a function callback that will be invoked during the parsing of SERVER\_HELLO if the server has provided extensions. The callback should return < 0 if the handshake should be terminated.

#### **Memory Profile**

The user must free the ssl\_t ssl structure using matrixSslDeleteSession after the useful life of the session. The caller does not need to free the ssl parameter if this function does not return MATRIXSSL\_REQUEST\_SEND.

The keys pointer is referenced in the ssl\_t context without duplication so it is essential the user does not call matrixSslDeleteKeys until all associated sessions have been deleted.



# **Define Dependencies**

USE_CLIENT_SIDE_SSL	enable in <i>matrixConfig.h</i> for client support
USE_CLIENT_AUTH	optionally enable in matrixsslConfig.h



#### matrixSsINewServerSession

#### **Prototype**

#### **Parameters**

ssl	output	New context for this SSL session
keys	input	Key pointer that has been populated with the necessary key material (see matrixSslNewKeys)
certCB	input	The function callback that will be invoked during a client-authentication SSL handshake to see the internal authentication status of the certificate chain and perform custom validations as needed.

#### **Return Values**

PS_SUCCESS	0	Success. The ssl_t context is initialized and ready for use
PS_ARG_FAIL	< 0	Failure. Bad input function parameter
PS_FAILURE	< 0	Failure. Internal memory allocation failure

#### **Servers**

When a server application has received notice that a client is requesting a secure socket connection (a socket accept on a secure port), this function should be called to initialize the new SSL session context. This function will prepare the server for the SSL handshake and the typical action to take after returning from this function is to call matrixSslGetReadbuf to retrieve an allocated buffer in which to copy the incoming handshake message from the client.

This function requires a pointer to an sslKeys\_t structure that was returned from a previous call to matrixSslNewKeys and populated with key material from matrixSslLoadRsaKeys.

In commercials version of MatrixSSL the certValidator parameter may be used to register a callback on the server side if client authentication is being used (the MatrixSSL library must be compiled with USE\_CLIENT\_AUTH defined). Setting a certificate callback is an explicit indication that client authentication will be used for this session.



If a server wants to be able to optionally enable client authentication but not require it for the initial handshake the certificate callback should be included in matrixSslNewServerSession but then matrixSslSetSessionOption with the SSL\_OPTION\_DISABLE\_CLIENT\_AUTH should be called immediately after. When the server later determines client authentication should be used, it can call matrixSslSetSessionOption with SSL\_OPTION\_ENABLE\_CLIENT\_AUTH.

Detailed information on the callback routine can be found below in the section entitled **The Certificate Validation Callback Function**.



#### matrixSslGetAnonStatus

#### **Prototype**

void matrixSslGetAnonStatus(ssl\_t \*ssl, int32 \*anon);

#### **Parameters**

ssl	Input	The ssl_t identifier for this session
anon	Output	<ul><li>1 - Anonymous</li><li>0 - Authenticated.</li></ul>

#### Clients

This function returns whether or not the provided session is anonymous in the anon output parameter. A value of 1 indicates the connection is anonymous and a value of 0 indicates the connection has been authenticated. An anonymous connection in this case means the calling entity explicitly allowed the SSL handshake to continue despite not being able to authenticate the certificate supplied by the other side with an available Certificate Authority. The mechanism to allow an anonymous connection is for the certificate validation callback function to return SSL\_ALLOW\_ANON\_CONNECTION. See the documentation for matrixSslSetCertValidator in this document for more information on anonymous connections.

matrixSslGetAnonStatus is only meaningful to call after the successful completion of the SSL handshake. Anonymous connections are not normally recommended but can be useful in a scenario in which encryption is the only security concern. Other reasons the caller may choose to use anonymous connections might be to allow a subset of the normal functionality to anonymous connectors or to temporarily accept a connection while a certificate upgrade is being performed.

#### **Servers**

The anonymous status is only relevant to the entity that calls this routine. For example, calling this routine from the server side is meaningless for an implementation that has not performed client authentication because the server can not know if it is anonymous to the client or not. In other words, it is not possible for one side of the connection to know if the other side believes the connection to be anonymous or not. This is an easy rule to remember if you recall the mechanism to allow anonymous connections is controlled through the certificate validation callback routine when the

SSL\_ALLOW\_ANON\_CONNECTION define is returned. Client authentication is only available in the commercial version of MatrixSSL.



#### matrixSslGetReadbuf

### **Prototype**

int32 matrixSslGetReadbuf(ssl\_t \*ssl, unsigned char \*\*buf);

#### **Parameters**

ssl	input	The ssl_t identifier for this session
buf	output	Pointer to memory location where incoming peer data should be read into

#### **Return Values**

> 0	Success. Indicates how many bytes are available in buf for incoming data.
PS_ARG_FAIL	Failure. Bad function parameters.

#### **Servers and Clients**

Any time the application is expecting to receive data from a peer this function must be called to retrieve the memory location where the incoming data should be read into. By providing a buffer to read network data into, the SSL api avoids a buffer copy.

The length of available bytes in buf is indicated in the return code. This is a maximum length and it is the user's responsibility to adhere to this size and not read data bytes beyond the given length. The mechanism for handling incoming data beyond the returned size is discussed below.

Once the user has read data into this buffer, matrixSslReceivedData must be called to process the data in-situ. If the return code from matrixSslReceivedData is MATRIXSSL\_REQUEST\_RECV this indicates that additional data needs to be read. In this case, matrixSslGetReadbuf must be called again for an updated pointer and buffer size to copy the additional data into.



## matrixSslReceivedData

# Prototype

## **Parameters**

ssl bytes	input input	The ssl_t identifier for this session  The number of bytes received
ptbuf	output	If the data being received is an application-level record (or an alert) the unencrypted plaintext will be delivered to the user through this parameter. This will be a read-only pointer into the buffer that the user can process directly or copy locally for parsing at a later time.
ptLen	output	If ptbuf is non-NULL this is the length of the ptbuf data.

## **Return Values**

MATRIXSSL_REQUEST_SEND	Success. The processing of the received data resulted in an SSL response message that needs to be sent to the peer. If this return code is hit the user should call matrixSslGetOutdata to retrieve the encoded outgoing data.
MATRIXSSL_REQUEST_RECV	Success. More data must be received and this function must be called again. User must first call matrixSslGetReadbuf again to receive the updated buffer pointer and length to where the remaining data should be read into.



MATRIXSSL_HANDSHAKE_COMPLETE	Success. The SSL handshake is complete. This return code is returned to client side implementation during a full handshake after parsing the FINISHED message from the server. It is possible for a server to receive this value if a resumed handshake is being performed where the client sends the final FINISHED message.
MATRIXSSL_RECEIVED_ALERT	Success. The data that was processed was an SSL alert message. In this case, the ptbuf pointer will be two bytes (ptLen will be 2) in which the first byte will be the alert level and the second byte will be the alert description. After examining the alert, the user must call matrixSslProcessedData to indicate the alert was processed and the data may be internally discarded.
MATRIXSSL_APP_DATA	Success. The data that was processed was application data that the user should process. In this return code case the ptbuf and ptLen output parameters will be valid. The user may process the data directly from ptbuf or copy it aside for later processing. After handling the data the user must call matrixSslProcessedData to indicate the plain text data may be internally discarded.
PS_MEM_FAIL	Failure. Internal memory allocation error.
PS_ARG_FAIL	Failure. Bad input parameters
PS_PROTOCOL_FAIL	Failure. Internal protocol error.

#### **Servers and Clients**

This function must be called each time data is received from the peer. The sequence of events surrounding this function is to call matrixSslGetReadbuf to retrieve empty buffer space, read or copy the received data from the peer into that buffer, and then call



this function to allow MatrixSSL to decode the peer data. Notice the actual received buffer that is being processed is not passed as an input to this function, since it is internal to the ssl\_t structure. However, it is important that the bytes parameter correctly identifies how many bytes have been received, and thus must be processed.

The return value from this function indicates how the user should respond next:

- MATRIXSSL\_REQUEST\_RECV the user must call matrixSslGetReadbuf again, copy
  additional peer data into the buffer, and call this function again. Typically this indicates
  that a partial record has been received, and more data must be read to complete the
  record. Also it can mean that a internal SSL record was processed internally and
  another record is expected to follow.
- MATRIXSSL\_REQUEST\_SEND the library has internally generated an SSL handshake response message to be sent to the peer. The user must call matrixSslGetOutdata, send the data to the peer, and then call matrixSslSentData.
- MATRIXSSL\_HANDSHAKE\_COMPLETE this is an indication that there are no remaining SSL handshake messages to be sent or received and the first user created message can be sent. This is generally an important return code for a client application to handle because in most protocols it is the client that will be sending the initial application data request (such as an HTTPS GET or POST request). In this typical usage scenario, the user will then encrypt application data using the following steps: Call matrixSslGetWritebuf to retrieve an allocated buffer for outgoing application data, write the plaintext data to this buffer, call matrixSslEncodeWritebuf to encrypt the data, call matrixSslGetOutdata to retrieve the encrypted data, send that encrypted data to the peer, and finally call matrixSslSentData to notify the library the data has been sent.
- MATRIXSSL\_APP\_DATA this means the received data was an application record and the plain text data is available in the ptbuf output parameter for user processing. The length of the plain text application data is indicated by the ptLen parameter. The user can either directly parse the read only data out of this buffer at this time or copy it aside to be parsed later. In either case it is essential the user call matrixSslProcessedData when finished working with it, so the buffer may be internally re-used and tested for the existence of an additional record. The user MUST parse or copy aside all unparsed data in the buffer, as it will be overwritten after the matrixSslProcessedData call.

NOTE: If application data has been appended to a handshake FINISHED message it is possible the MATRIXSSL\_APP\_DATA return code can be received without ever having



received the MATRIXSSL\_HANDSHAKE\_COMPLETE return code. In this case, it is implied that since application data is being received, the handshake must have completed successfully.

• MATRIXSSL\_RECEIVED\_ALERT this means an alert has been decoded that the user should examine. The alert material will always be a two-byte plain text message available in the plainText parameter of the function (ptLen will be 2). The first byte will be the alert level. It will either be SSL\_ALERT\_LEVEL\_WARNING or SSL\_ALERT\_LEVEL\_FATAL. The second byte will be the alert identification as specified in the SSL and TLS RFC documents. It is sometimes possible to continue after receiving a WARNING level alert, but FATAL alerts should always result in the connection being closed. In either case the user should always call matrixSslProcessedData to update the library that the plain text data can be discarded.



#### matrixSslGetOutdata

#### **Prototype**

int32 matrixSslGetOutdata(ssl\_t \*ssl, unsigned char \*\*buf);

#### **Parameters**

ssl	input	The ssl_t identifier for this session
buf	output	Pointer to beginning of data buffer that needs to be sent to the peer.

#### **Return Values**

> 0	The number of bytes in buf that need to be sent	
0	No pending data to send	
PS_ARG_FAIL	Failure. Bad input parameters	

#### Servers and Clients

Any time the application is expecting to send data to a peer this function must be called to retrieve the memory location and length of the encoded SSL buffer. This API can also be polled to determine if there is encoded data pending that should be sent out the network.

The length of available bytes in buf is indicated in the return code.

There are several ways data can be encoded in Outdata and ready to send:

- 1. After a client calls matrixSslNewClientSession this function must be called to retrieve the encoded CLIENT\_HELLO message that will initiate the handshake
- 2. After a client or server calls matrixSslEncodeRehandshake this function must be called to retrieve the encoded SSL message that will initiate the rehandshake
- 3. If the matrixSslReceivedData function returns MATRIXSSL\_REQUEST\_SEND this function must be called to retrieve the encoded SSL handshake reply.
- 4. After the user calls matrixSslEncodeWritebuf this function must be called to retrieve the encrypted buffer for sending.
- 5. After the user calls matrixSslEncodeClosureAlert to encode the CLOSE\_NOTIFY alert this function must be called to retrieve the encoded alert for sending.

After sending the returned bytes to the peer, the user must always follow with a call to matrixSslSentData to update the number of bytes that have been sent from the returned



buf. Depending on how much data was sent, there may still be data to send within Outbuf, and the function should be called again to ensure 0 bytes remain.



## matrixSslProcessedData

# Prototype

## **Parameters**

ssl	input	The ssl_t identifier for this session
ptbuf	output	If another full application record was present in the buffer that was returned from matrixSslReceivedData, this will be an updated pointer to this next decrypted record. Thus, this parameter is only meaningful if the return value of this function is MATRIXSSL_APP_DATA or MATRIXSSL_RECEIVED_ALERT.
ptlen	output	The length of the ptbuf parameter

## **Return Values**

PS_SUCCESS (0)	Success. This indicates that there are no additional records in the data buffer that require processing. The application protocol is responsible for deciding the next course of action.
MATRIXSSL_APP_DATA	Success. There is a second application data record in the buffer that has been decoded. In this return code case the ptbuf and ptLen output parameters will be valid. The user may process the data directly from ptbuf or copy it aside for later processing. After handling the data the user must call matrixSslProcessedData again to indicate the plain text data may be internally discarded.



MATRIXSSL_REQUEST_SEND	Success. This return code is possible if the buffer contained an application record followed by a SSL handshake message to initiate a rehandshake (CLIENT_HELLO or HELLO_REQUEST). In this case the SSL re-handshake response has been encoded and is waiting to be sent.
MATRIXSSL_REQUEST_RECV	Success. This return code is possible if there is a partial second record that follows in the buffer. Data storage must be retrieved via matrixSslGetReadbuf and passed through the matrixSslReceivedData call again.
MATRIXSSL_RECEIVED_ALERT	Success. There is a second record in the data buffer that is an SSL alert message. In this case, the ptbuf pointer will be two bytes (ptLen will be 2) in which the first byte will be the alert level and the second byte will be the alert description. After examining the alert, the user must call matrixSslProcessedData again to indicate the alert was processed and the data may be internally discarded.
PS_MEM_FAIL	Failure. Internal memory allocation error.
PS_ARG_FAIL	Failure. Bad input parameters
PS_PROTOCOL_FAIL	Failure. Internal protocol error.

#### **Servers and Clients**

This function is called after the user has finished processing plaintext application data that was returned from matrixSslReceivedData. Specifically, this function must be called if the return code from matrixSslReceivedData was MATRIXSSL\_APP\_DATA or MATRIXSSL\_RECEIVED\_ALERT.

Plaintext application data is returned to the user on a per-record basis whose length is stored in the library as part of the buffer management. This is why there are no input parameters regarding the length of the processed data. This function will destroy the plaintext record that was retrieved through the previous matrixSslReceivedData call so if the user requires the data to persist it must be copied aside before calling this function.



## Multi-Record Buffers

The matrixSslReceivedData function will only process a single application data record at a time. However, it is possible there will be more than one record in the buffer. In this case the return code from matrixSslProcessedData will indicate the status of the next record in the buffer. Any return code other than PS\_SUCCESS (0) or a failure code (< 0) is an explicit indication that an additional record is present in the buffer and will inform how it should be handled.

The multi-record return codes are a subset of the matrixSslReceivedData function and should be handled identically so it should be a straightforward code implementation to examine the return codes from this function in the standard processing loop. The *client.c* and *server.c* sample application files are a good reference for how to handle multi-record buffers.



#### matrixSslSentData

## **Prototype**

int32 matrixSslSentData(ssl\_t \*ssl, uint32 bytes);

#### **Parameters**

ssl	input	The ssl_t identifier for this session
bytes	input	Length, in bytes, of how much data has been written out to the peer

#### **Return Values**

MATRIXSSL_REQUEST_SEND	Success. Call matrixSslGetOutdata again and send more data to the peer. The number of bytes sent were not the full amount of pending data.
MATRIXSSL_SUCCESS	Success. No pending data remaining.
MATRIXSSL_REQUEST_CLOSE	Success. If this was an alert message that was being sent, the caller should close the session.
MATRIXSSL_HANDSHAKE_COMPLETE	Success. Will be returned to the peer if this is the final FINISHED message that is being sent to complete the handshake.
PS_ARG_FAIL	Failure. Bad input parameters.

#### **Servers and Clients**

This function must be called each time data has been sent to the peer. The flow of this function is that the user first calls matrixSslGetOutdata to retrieve the outgoing data buffer, the user sends part or all of this data, and then calls matrixSslSentData with how many bytes were actually sent.

The return value from this function indicates how the user should respond next:

• MATRIXSSL\_REQUEST\_SEND - there is still pending data that needs to be sent to the peer. The user must call matrixSslGetOutdata, send the data to the peer, and then call matrixSslSentData again.



- MATRIXSSL\_SUCCESS all of the data has been sent and the application will likely move to a state of awaiting incoming data.
- MATRIXSSL\_REQUEST\_CLOSE all of the data has been sent and the application should close the connection. This will be the case if the data being sent is a closure alert (or fatal alert).
- MATRIXSSL\_HANDSHAKE\_COMPLETE this is an indication that this peer is sending the
  final FINISHED message of the SSL handshake. In general this will be an important
  return code for client applications to handle because most protocols will rely on the
  client sending an initial request to the server once the SSL handshake is complete. If a
  client receives this return code, a resumed handshake has just completed.



### matrixSslGetWritebuf

#### **Prototype**

#### **Parameters**

ssl	input	The ssl_t identifier for this session
buf	output	Pointer to allocated storage that the user will copy plaintext application data to
requestedLen	input	The amount of buffer space the caller would like to use

#### **Return Values**

> 0	Success. The number of bytes available in buf. Might not be the same as requestedLen
PS_MEM_FAIL	Failure. Error on memory allocation
PS_ARG_FAIL	Failure. Bad input parameters.
PS_FAILURE	Failure. Error managing data buffers

#### **Servers and Clients**

This function is called when the user has application data that needs to be sent to the peer. This function will return an allocated buffer in which the user will copy the plaintext data that needs to be encoded and sent to the peer.

The event sequence for sending plaintext application data is as follows:

- 1. The user first determines the length of the plaintext that needs to be sent
- 2. The user calls matrixSslGetWritebuf with that length to retrieve an allocated buffer.
- 3. The user writes the plaintext into the buffer and then calls matrixSslEncodeWritebuf to encrypt the plaintext
- 4. The user calls matrixSslGetOutdata to retrieve the encoded data and len to be sent
- 5. The user sends the out data buffer contents to the peer
- 6. The user calls matrixSslSentData with the number of bytes that were sent



This function is specific to application level data. This function is not necessary during the SSL handshake portion of the connection because any SSL handshake records are internally generated by the MatrixSSL library.



#### matrixSslEncodeWritebuf

## **Prototype**

int32 matrixSslEncodeWritebuf(ssl\_t \*ssl, uint32 len);

#### **Parameters**

ssl	input	The ssl_t identifier for this session
len	input	Length of plaintext data

#### **Return Values**

> 0	Success. The number of bytes in the encoded record to send to the peer. Will be a larger value than the input len parameter.
PS_ARG_FAIL	Failure. Bad input parameters
PS_PROTOCOL_FAIL	Failure. This session is flagged for closure.
PS_FAILURE	Failure. Internal error managing buffers.

#### **Servers and Clients**

This function is called when the user has application data that needs to be sent to the peer. This function will encrypt the plaintext data that has been copied into the buffer that was previously returned from a call to matrixSslGetWritebuf.

The event sequence for sending plaintext application data is as follows:

- 1. The user first determines the length of the plaintext that needs to be sent
- 2. The user calls matrixSslGetWritebuf with that length to retrieve an allocated buffer.
- 3. The user writes the plaintext into the buffer and then calls matrixSslEncodeWritebuf to encrypt the plaintext
- 4. The user calls matrixSslGetOutdata to retrieve the encoded data to be sent
- 5. The user sends the out data buffer contents to the peer
- 6. The user calls matrixSslSentData with the number of bytes that were sent

This function is specific to application level data. This function is not necessary during the SSL handshake portion of the connection because any SSL handshake records are internally generated by the MatrixSSL library.



## matrixSslEncodeClosureAlert

#### **Prototype**

int32 matrixSslEncodeClosureAlert(ssl\_t \*ssl);

#### **Parameters**

ssl ir	nput	The ssl_t identifier for this session
--------	------	---------------------------------------

#### **Return Value**

MATRIXSSL_SUCCESS	Success. The alert is ready to be retrieved and sent.
MATRIXSSL_ERROR	Failure. SSL context not in correct state to create the alert or there was an error encrypting the alert message.
PS_ARG_FAIL	Failure. Bad input parameter
PS_MEM_FAIL	Failure. Internal memory allocation error

#### **Servers and Clients**

The SSL specification highlights an optional alert message that SHOULD be sent prior to closing the communication channel with a peer. This function generates this CLOSE\_NOTIFY alert that the peer may send to the other side to notify that the connection is about to be closed. Many implementations simply close the connection without an alert, but per spec, this message should be sent first. Our recommendation is to make an attempt to send the closure alert as a non-blocking message and ignore the return value of the attempt. This way, best efforts are made to send the alert before closing, but application code does not block or fail on a connection that is about to be closed.

After calling this function the user must call matrixSslGetOutdata to retrieve the buffer for the encoded alert to send.



## matrixSslEncodeRehandshake

## Prototype

int32 matrixSslEncodeRehandshake(ssl\_t \*ssl, sslKeys\_t \*keys,
 int32 (\*certCb)(ssl\_t \*, psX509Cert\_t \*, int32),
 uint32 sessionOption, uint32 cipherSpec);

## **Parameters**

ssl	input	The ssl_t identifier for this session
keys	input	Populated key structure if changing keys for the re-handshake. NULL if not changing key material.
certCb	input	Certificate callback function for the rehandshake if a change is being made to it. NULL to keep existing callback
sessionOption	input	SSL_OPTION_FULL_HANDSHAKE or 0
cipherSpec	input	Client specific. Cipher suite for the rehandshake. Only meaningful if the sessionOption parameter is set to SSL_OPTION_FULL_HANDSHAKE

## **Return Value**

MATRIXSSL_SUCCESS	Success. Handshake message is encoded and ready for retrieval.
MATRIXSSL_ERROR	Failure. SSL context not in correct state for a rehandshake or buffer management error.
PS_MEM_FAIL	Failure. Internal memory allocation error
PS_ARG_FAIL	Failure. Bad input parameter
PS_UNSUPPORTED_FAIL	Failure. Client specific. Cipher spec could not be found.
PS_PLATFORM_FAIL	Failure. Client specific. Error in psGetEntropy when encoding CLIENT_HELLO



#### Clients and Server

This function may be called by a client or server on an already secure connection to initiate a re-handshake. A re-handshake is an encrypted SSL handshake performed over an existing connection in order to derive new symmetric key material and/or to change the public keys or cipher suite of the secured communications.

A re-handshake can either be a full handshake or a resumed handshake and the determination is made by the input parameters to this function.

A resumed re-handshake will be used if the keys, certCb, sessionOption, and cipherSpec parameters are all set to 0 (or NULL for pointers). This is an indication that there is no underlying security change that is being made to the connection and the intention is simply to re-key the encrypted communications.

If the keys, certCb, or cipherSpec parameters are set, this is an indication that an "upgraded" connection is desired and a full handshake will be performed with the new parameters. A full re-handshake can always be guaranteed if SSL\_OPTION\_FULL\_HANDSHAKE is passed as the sessionOption parameter to this function.

#### Servers

This function is called on the server side to build a HELLO\_REQUEST message to be passed to a client to initiate a re-handshake. This is the only mechanism in the SSL protocol that allows the server to initiate a handshake.

As with matrixSslNewServerSession the nomination of a certCb indicates that a client authentication handshake should be performed.

Note that the SSL specification allows clients to ignore a HELLO\_REQUEST message. The MatrixSSL client does not ignore this message and will send a CLIENT\_HELLO message with the current session ID to initiate a resumed handshake.

#### Clients

If a client invokes this function a new CLIENT\_HELLO handshake message will be internally generated.

For more information about re-handshaking and related security issues, see the Rehandshake section of the MatrixSSL Developers Guide.



### matrixSslDeleteSession

## **Prototype**

void matrixSslDeleteSession(ssl\_t \*ssl);

### **Parameters**

ssl input	The ssl_t identifier for this session
-----------	---------------------------------------

#### **Servers and Clients**

This function is called at the conclusion of an SSL session that was created using matrixSslNewServerSession or matrixSslNewClientSession. This function will free the internally allocated state and buffers associated with the session. It should be called after the corresponding socket or network transport has been closed.



# matrixSslDeleteKeys

## Prototype

void matrixSslDeleteKeys(sslKeys\_t \*keys);

### **Parameters**

keys	input	A pointer to an sslKeys_t value
		returned from a previous call to
		matrixSslNewKeys

## **Servers and Clients**

This function is called to free the key structure and elements allocated from a previous call to matrixSslNewKeys. Any key material that was loaded into the key structure using matrixSslLoadRsaKeys, matrixSslLoadDhParams, or matrixSslLoadPsk will also be freed.



## matrixSslClose

# Prototype

void matrixSslClose(void);

## **Servers and Clients**

This function performs the one-time final cleanup for the MatrixSSL library. Applications should call this function as part of their own de-initialization.



#### matrixSslNewHelloExtension

## **Prototype**

int32 matrixSslNewHelloExtension(tlsExtension\_t \*\*extension);

#### **Parameters**

extension o	-	Newly allocated tlsExtension_t structure to be used as input to matrixSslLoadHelloExtension
-------------	---	---

#### **Return Values**

PS_SUCESS	Success. The extension parameter is ready for use
PS_MEM_FAIL	Failure. Memory allocation failure

#### Clients

Basic support for the client side hello extension mechanism, as defined in RFC 3546. Mechanism does not include the CERTIFICATE\_URL and CERTIFICATE\_STATUS handshake message additions.

This function allocates a new tlsExtension\_t that matrixSslLoadHelloExtension will use to populate with extension data. This populated extension parameter will eventually be passed to matrixSslNewClientSession in the extensions input parameter so that CLIENT\_HELLO will be encoded with the desired hello extensions.

If the client is expecting the server to reply with extension data in the SERVER\_HELLO message, that data may be accessed in the certificate callback routine in the helloExtIn member of the ssl\_t data structure.

#### **Memory Profile**

The user must free tlsExtension\_t with matrixSslDeleteHelloExtension after the useful life. The extension data is internally copied into the CLIENT\_HELLO message during the call to matrixSslNewClientSession so matrixSslDeleteHelloExtension may be called immediately after returning from this function if the user does not require further use.

## **Define Dependencies**

USE_CLIENT_SIDE_SSL	matrixsslConfig.h
---------------------	-------------------



### matrixSslLoadHelloExtension

### **Prototype**

#### **Parameters**

extension	input	Previously allocated tlsExtension_t structure from a call to matrixSslNewExtension
extData	input	A single, fully encoded hello extension to be included in the CLIENT_HELLO message. Formats for extensions can be found in RFC 3546
extLen	input	Length, in byes, of extData
extType	input	The standardized extension type.

#### **Return Values**

PS_SUCESS	Success. The data has been added to extension
PS_MEM_FAIL	Failure. Memory allocation failure
PS_ARG_FAIL	Failure. Bad input parameters.

## Clients

Basic support for the client side hello extension mechanism, as defined in RFC 3546.

Extension data to the extData must be formatted per specification. For example, the ServerNameList extension must be encoded in the format per RFC 3546:

```
struct {
    NameType name_type;
    select (name_type) { case host_name: HostName; } name;
} ServerName;
enum { host_name(0), (255) } NameType;
opaque HostName<1..2^16-1>;
struct { ServerName server_name_list<1..2^16-1> } ServerNameList;
```



The extType parameter will also be a value as specified by a standards body. The extensions defined in RFC 3546, for example:

```
enum {
      server_name(0), max_fragment_length(1),
      client_certificate_url(2), trusted_ca_keys(3),
      truncated_hmac(4), status_request(5), (65535)
} ExtensionType;
```

It is possible to call this function multiple times for each extension that needs to be added. On success, this populated extension parameter will be passed to matrixSslNewClientSession in the extensions input parameter so that CLIENT HELLO will be encoded with the desired hello extensions.

Note the current level of support in MatrixSSL does not include the additional handshake messages of CERTIFICATE\_URL and CERTIFICATE\_STATUS that accompany some of these extension types. For information on how to fully support these features, please contact PeerSec Networks.

## **Memory Profile**

The user must free tlsExtension\_t with matrixSslDeleteHelloExtension after the useful life. The extension data is internally copied into the CLIENT\_HELLO message during the call to matrixSslNewClientSession so matrixSslDeleteHelloExtension may be called immediately after returning from this function if the user does not require further use.

#### **Define Dependencies**

USE_CLIENT_SIDE_SSL	matrixsslConfig.h
---------------------	-------------------



### matrixSslDeleteHelloExtension

## **Prototype**

void matrixSslDeleteHelloExtension(tlsExtension\_t \*extension);

#### **Parameters**

extension	input	A pointer to an tlsExtension_t value
		returned from a previous call to
		matrixSslNewHelloExtension

#### Clients

Basic support for the client side hello extension mechanism, as defined in RFC 3546.

This function is called to free the key structure and elements allocated from a previous call to matrixSslNewHelloExtension. Any extension material that was loaded into the key structure using matrixSslLoadHelloExtension will also be freed.

It is possible to call this function immediately after matrixSslNewClientSession returns because the extension data will have been internally copied into the CLIENT\_HELLO message.

## **Define Dependencies**

USE_CLIENT_SIDE_SSL	matrixsslConfig.h
---------------------	-------------------



## The Certificate Validation Callback Function

This section describes the certValidator parameter of the matrixSslNewClientSession and matrixSslNewServerSession functions.

This callback offers an opportunity after receiving the CERTIFICATE handshake message for the user to intervene and determine whether the handshake should continue or whether a fatal alert should be sent and the handshake terminated. The callback will be invoked with the status of the public-key (RSA) authentication performed by the MatrixSSL library.

The registered callback function must have the following prototype:

int32 certValidator(ssl\_t \*ssl, psX509Cert\_t \*certInfo, int32 alert);

The ssl parameter is the session context and must be treated as read-only.

The certInfo parameter is the incoming psX509Cert\_t structure containing information about the server certificate or certificate chain. It is the certificate information in this structure that an application will generally wish to examine. If it is a certificate chain, the next member of the structure will link to the next certificate. This certificate information is read-only from the perspective of the validating callback function. The structure members are specified in the **Structures** section of this document.

The incoming alert parameter will indicate whether or not the certificate chain passed the internal X.509 and RSA (or other public-key authentication) authentication checks. The alert member will be MATRIXSSL\_SUCCESS (0) if the certificate chain was valid and the issuing CA was found. If alert is > 0 the authentication did not succeed and the value is the SSL alert ID and will be set to one of the following.

Possible values for the incoming alert parameter		
0	Authentication success. The certificate chain received from the peer was valid and the issuing CA file was found.	
SSL_ALERT_BAD_CERTIFICATE	Authentication failure. This alert is an indication that the certificate chain from the peer did not self-validate. No attempt to locate the issuing CA for the chain has been made if this alert is present.	



Possible values for the incoming alert parameter		
SSL_ALERT_UNKNOWN_CA	Authentication failure. This alert is an indication that the certificate chain from the peer is valid but the issuing CA could not be found.	

In addition to the alert value the individual certificates in the certInfo parameter will indicate their own authentication status through the authStatus member of the psX509Cert\_t structure. This is particularly useful if certificate chains are being used and the user would like to identify the specific certificate that did not internally authenticate. The callback can walk the subject certificate chain using the next member of the structure to find the first authStatus that is not set to PS\_CERT\_AUTH\_PASS.

Regardless of the internal authentication tests and alert value, the callback function will ultimately determine whether or not to continue the SSL handshake through the return value it chooses.

Meaning of return v	alues from the certificate callback
0	Continue handshake. The user callback is indicating that it accepts the certificate material. If an alert was internally set, it will be ignored and cleared.
> 0	Fail handshake, return a fatal alert, and close connection with peer. The positive value is the SSL alert ID as defined in <i>matrixssllib.h</i> . The incoming alert parameter may be one of SSL_ALERT_BAD_CERTIFICATE or SSL_ALERT_UNKNOWN_CA and it is recommended those be passed through in the return code. Other alert codes can be found in the table below.
< 0	Fail handshake, issue a fatal INTERNAL_ERROR alert, and close connection with peer. This return code should be used if the user callback code itself encounters an unrecoverable error.



Meaning of return values from the certificate callback		
SSL_ALLOW_ANON_CONNECTION	Continue handshake. The user callback is indicating that the certificate has not been authenticated but it is being allowed to continue. See the section immediately below for more information.	

## Anonymous Connections

The callback may also choose to return SSL\_ALLOW\_ANON\_CONNECTION if the user wishes to continue a connection despite a PS\_CERT\_AUTH\_FAIL indication on any of the certificates. If this return value is used, the handshake will continue and will result in a secure (data encryption) but unauthenticated SSL connection. If this return value is used, the matrixSslGetAnonStatus function may be used during the lifetime of the connection to verify the status.

It is important to note that this anonymous connection mechanism is not related to anonymous cipher suites. The certificate validation callback is only invoked for cipher suites that utilize public key authentication. Therefore, it is not advised to allow anonymous connections using this mechanism. If anonymous connections are desired, it is recommended that an anonymous cipher suite be used instead.

Alerts that can be returned from the certificate callback*		
SSL_ALERT_BAD_CERTIFICATE	A certificate was corrupt, contained signatures that did not verify correctly, etc	
	Could be the incoming alert value	
SSL_ALERT_UNSUPPORTED_CERTIFICATE	A certificate was of an unsupported type.	
SSL_ALERT_CERTIFICATE_REVOKED	A certificate was revoked by its signer.	
SSL_ALERT_CERTIFICATE_EXPIRED	A certificate has expired or is not currently valid.	



Alerts that can be returned fro	om the certificate callback*
SSL_ALERT_CERTIFICATE_UNKNOWN	Some other (unspecified) issue arose in processing the certificate, rendering it unacceptable.
SSL_ALERT_UNKNOWN_CA	A valid certificate chain or partial chain was received, but the certificate was not accepted because the CA certificate could not be located or couldn't be matched with a known, trusted CA.  Could be the incoming alert value
SSL_ALERT_ACCESS_DENIED	A valid certificate was received, but when access control was applied, the sender decided not to proceed with negotiation.

<sup>\*</sup> Text taken from TLS specification document RFC 2246.



## psX509Cert\_t

#### **Header File**

*x509.h* (pscrypto module)

### **Function Context**

Client	matrixSslNewClientSession
Server	matrixSslNewServerSession

### **Definition**

```
typedef struct psCert {
      int32
                               version;
      unsigned char
                               *serialNumber;
      int32
                               serialNumberLen;
      x509DNattributes_t
                               issuer;
      x509DNattributes_t
                               subject;
      int32
                               timeType;
                               *notBefore;
      char
                               *notAfter;
      char
      psPubKey_t
                               publicKey;
      int32
                               pubKeyAlgorithm;
      int32
                               certAlgorithm;
      int32
                               sigAlgorithm;
                               *signature;
      unsigned char
      int32
                               signatureLen;
      unsigned char
                               sigHash[SHA1_HASH_SIZE];
      unsigned char
                               *uniqueIssuerId;
      int32
                               uniqueIssuerIdLen;
      unsigned char
                               *uniqueSubjectId;
      int32
                               uniqueSubjectIdLen;
      x509v3extensions_t
                               extensions;
      int32
                               authStatus;
      unsigned char
                               *unparsedBin;
      int32
                               binLen;
      struct psCert
                               *next;
} psX509Cert_t;
```



```
typedef struct {
      char
                   *country;
                   *state;
      char
      char
                   *locality;
                   *organization;
      char
      char
                   *orgUnit;
      char
                   *commonName;
                   hash[SHA1_HASH_SIZE];
      char
      char
                   *dnenc;
      int32
                   dnencLen;
} x509DNattributes_t;
typedef struct {
      x509extBasicConstraints_t
                                     bc;
      x509SubjectAltName_t
                                      *san;
} x509v3extensions_t;
typedef struct {
      int32
                   ca;
      int32
                  pathLenConstraint;
} x509extBasicConstraints_t;
typedef struct psSubjectAltNameEntry {
      int32
                                      id;
      unsigned char
                                      name[16];
      unsigned char
                                      *data;
      int32
                                      dataLen;
      struct psSubjectAltNameEntry
                                     *next;
} x509SubjectAltName_t;
```

## **Description**

This is the data type that stores the parsed information from an X.509 certificate file. The X.509 format is somewhat complex, so we document the most important fields here.

This data type is most important in the context of the session creation APIs in which the application registers a custom function to be invoked during the SSL handshake to



validate the peer certificate. This registered callback function may wish to perform custom checks on the individual members of the psX509Cert\_t structures that are passed in.

In commercial versions of MatrixSSL in which client authentication is desired, the server can also register a callback to validate the client's certificate during the handshake protocol.

## psX509Cert\_t Members

version	X.509 version. MatrixSSL supports v3 certificates. $\emptyset$ = v1, 1 = v2, 2 = v3	
serialNumber	Serial number issued to this certificate. Some certificates insert non-integer values for this member	
serialNumberLen	Byte length of serialNumber	
issuer	Distinguished Name of the CA that issued this certificate. See x509DNattributes_t	
subject	Distinguished Name of this certificate. See x509DNattributes_t	
timeType	Format specification for the notBefore and notAfter members of this structure. Either ASN_UTCTIME or ASN_GENERALIZEDTIME	
notBefore	NULL terminated UTCTime or GeneralizedTime indicating the valid start date for the certificate	
notAfter	NULL terminated UTCTime or GeneralizedTime indicating the valid end date for the certificate	
publicKey	The public key of this certificate. See psPubKey_t	
pubKeyAlgorithm	The algorithm identifier for the public key encryption mechanism this certificate is using. RSA is the standard and the possible values may be found in $x509.h$ in the section /* Public key algorithms */	



certAlgorithm	The algorithm identifier the issuing CA used to sign this certificate. Supported values are found in the /* Signature algorithms */ section of the x509.h file. This value must match sigAlgorithm and that is tested internally during certificate parsing.
sigAlgorithm	The verification of the signature algorithm the issuing CA used for this certificate. The /* Signature algorithms */ section of the <i>x509.h</i> file defines the possible values. This value must match certAlgorithm and that is tested during certificate parsing.
signature	The full CA-generated digital signature for this certificate that binds the subject to the CA private key
signatureLen	The byte length of signature
sigHash	The digest hash portion of the signature used internally for public key authentication
uniqueIssuerId	Optional certificate field to handle possible reuse of the issuer name. See section 4.1.2.8 of RFC 3280 for more information.
uniqueIssuerIdLen	Byte length of uniqueIssuerId
uniqueSubjectId	Optional certificate field to handle possible reuse of the subject name. See section 4.1.2.8 of RFC 3280 for more information.
uniquesSubjectIdLen	Byte length of uniqueSubjectId
extensions	The X.509 certificate extensions for this certificate. See x509v3extensions_t



authStatus	This flag is set on subject certificates when psX509AuthenticateCert is called. The value indicates the public key authentication status of whether the issuer certificate is the CA of the subject certificate. MatrixSSL calls this internally before the user's custom certificate verification callback is invoked so the user can examine it. The value may be;
	PS_FALSE = untested (chain validation stops on first certificate to fail so this should only be set on certificates beyond the one that did not pass)
	PS_CERT_AUTH_PASS = successfully authenticated
	PS_CERT_AUTH_FAIL_BC = failed authentication because the issuing certificate did not have CA permissions
	PS_CERT_AUTH_FAIL_DN = failed authentication because the Distinguished Name of the issuer did not match the DN of the issuer
	PS_CERT_AUTH_FAIL_SIG = failed authentication because the public key signature did not validate
unparsedBin	The raw ASN.1 binary stream of this certificate (if applicable).
binLen	Byte length of bin
next	Pointer to the next psX509Cert_t if this is a chain of certificates



# x509DNattributes\_t Members

country state locality organization orgUnit commonName	The self-identifying collection of supported string attributes that comprise the Distinguished Name. Distinguished Names are used to identify the subject and issuer of an X. 509 certificate.
hash	A digest representation of the above attributes used for easy comparisons of DN
dnenc	The unparsed ASN.1 stream of the DN (if applicable)
dnencLen	Byte length of dnenc

## x509v3extensions\_t Members

bc	The critical Basic Constraints extension. See x509extBasicConstraints_t	
san	The Subject Alternative Name extension. This extension is used to associate additional identities with the certificate subject. Common alternate identities include email addresses and IP addresses. See x509SubjectAltName_t	

# x509extBasicConstraints\_t Members

сА	Boolean to indicate whether this certificate is a Certificate Authority.
pathLenConstraint	If cA is true, this member indicates the maximum length that a certificate chain may extend beyond this CA.



# x509SubjectAltName\_t Members

id	Integer identifier of the name type.  id to name mappings  0 = "other"  1 = "email"  2 = "DNS"  3 = "x400Address"  4 = "directoryName"  5 = "ediPartyName"  6 = "URI"  7 = "iPAddress"  8 = "registeredID"
name	x = "unknown"  String identifier for the name type. Possible values are the quoted names from the list above.
data	The data value for the alternate name
dataLen	Byte length of data
next	The next x509SubjectAltName_t alternate name in this extension.



# **Quick Reference**

API	Description	API Dependencies
matrixSslOpen matrixSslClose	One time initialization and clean up for MatrixSSL	
matrixSslNewKeys matrixSslDeleteKeys matrixSslLoadRsaKeys	Key management functions	matrixSslNewKeys Must be called prior to calling matrixSslLoadRsaKeys
matrixSslNewClientSession matrixSslNewServerSession matrixSslDeleteSession	Respective session initialization and common session deletion	
matrixSslGetOutdata	Retrieve encoded data that is ready to be sent out over the wire to the peer	Must be followed by a call to matrixSslSentData
matrixSslReceivedData	Any data received from the peer must be passed to this function	An empty data buffer must have been retrieved by a prior call to matrixSslGetReadbuf
matrixSslProcessedData	Must be called each time the application is done processing plaintext data	Plaintext data will only be given to the application when the return code from matrixSslReceivedData or matrixSslProcessedData is MATRIXSSL_APP_DATA or MATRIXSSL_RECEIVED_ALERT
matrixSslGetWriteBuf matrixSslEncodeWriteBuf	Used for encoding plaintext application data after SSL handshake that will be sent to the peer	matrixSslGetWriteBuf must be called to get an empty buffer in which to copy plaintext. matrixSslEncodeWriteBuf must be called to do the actual encryption. Encrypted data must be retrieved with matrixSslGetOutdata