

Dens, nests, and Catalan animals: a walk through the zoo of shuffle theorems

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Polynomials

- $f \in \mathbb{Q}[x_1, \dots, x_n]$ multivariate polynomial

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- $\sigma \in S_n$ acts as $\sigma.f(x_1, x_2, \dots, x_n) = f(x_{\sigma(1)}, x_{\sigma(2)}, \dots, x_{\sigma(n)})$

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$$e_2 = x_1x_2 + x_1x_3 + x_2x_3 \quad h_2 = x_1^2 + x_1x_2 + x_1x_3 + x_2^2 + x_2x_3 + x_3^2$$

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- $\{f \in \mathbb{Q}[x_1, \dots, x_n] \mid \sigma.f = f \forall \sigma \in S_n\}$ forms a vector space, $\Lambda_{\mathbb{Q}}$.

Combinatorics of Symmetric Polynomials

Generators

$$e_r = \sum_{i_1 < i_2 < \dots < i_r} x_{i_1} x_{i_2} \cdots x_{i_r} \text{ or } h_r = \sum_{i_1 \leq i_2 \leq \dots \leq i_r} x_{i_1} x_{i_2} \cdots x_{i_r}$$

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Symmetric functions are polynomials in the e_1, e_2, \dots , or in the h_1, h_2, \dots

$$3h_2 h_1^2 - h_2^2 + 6h_3 h_1 = 3h_{(211)} - h_{(22)} + 6h_{(31)}$$

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Basis of $\Lambda_{\mathbb{Q}}$?

Partitions

Definition

$n \in \mathbb{Z}_{>0}$, a *partition of n* is $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_\ell > 0)$ such that $\lambda_1 + \lambda_2 + \dots + \lambda_\ell = n$.

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$$5 \rightarrow \square\square\square\square\square$$

$$2 + 2 + 1 \rightarrow \begin{array}{|c|c|c|}\hline \square & \square & \square \\ \hline\end{array}$$

$$4 + 1 \rightarrow \begin{array}{|c|c|c|c|}\hline \square & \square & \square & \square \\ \hline\end{array}$$

$$2 + 1 + 1 + 1 \rightarrow \begin{array}{|c|c|c|c|}\hline \square & \square & \square & \square \\ \hline\end{array}$$

$$3 + 2 \rightarrow \begin{array}{|c|c|c|}\hline \square & \square & \square \\ \hline\end{array}$$

$$1 + 1 + 1 + 1 + 1 \rightarrow \begin{array}{|c|c|c|c|c|}\hline \square & \square & \square & \square & \square \\ \hline\end{array}$$

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Collection is called SSYT(λ).

Tableaux

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- ① strictly increasing down columns
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Collection is called $\text{SSYT}(\lambda)$.

For $\lambda = (2, 1)$,

$\begin{array}{ c c }\hline 1 & 1 \\\hline 2 \\\hline\end{array}$	$\begin{array}{ c c }\hline 1 & 1 \\\hline 3 \\\hline\end{array}$	$\begin{array}{ c c }\hline 2 & 2 \\\hline 3 \\\hline\end{array}$	$\begin{array}{ c c }\hline 1 & 2 \\\hline 2 \\\hline\end{array}$	$\begin{array}{ c c }\hline 1 & 3 \\\hline 3 \\\hline\end{array}$	$\begin{array}{ c c }\hline 2 & 3 \\\hline 3 \\\hline\end{array}$	$\begin{array}{ c c }\hline 1 & 3 \\\hline 2 \\\hline\end{array}$	$\begin{array}{ c c }\hline 1 & 2 \\\hline 3 \\\hline\end{array}$
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Schur functions

Associate a polynomial to SSYT(λ).

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$$\begin{array}{|c|c|} \hline 1 & 1 \\ \hline 2 & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 3 & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 & 2 \\ \hline 3 & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 2 & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 3 & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 & 3 \\ \hline 3 & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array}$$

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$\begin{array}{ c c } \hline 1 & 1 \\ \hline 2 & \\ \hline \end{array}$,	$\begin{array}{ c c } \hline 1 & 1 \\ \hline 3 & \\ \hline \end{array}$,	$\begin{array}{ c c } \hline 2 & 2 \\ \hline 3 & \\ \hline \end{array}$,	$\begin{array}{ c c } \hline 1 & 2 \\ \hline 2 & \\ \hline \end{array}$,	$\begin{array}{ c c } \hline 1 & 3 \\ \hline 3 & \\ \hline \end{array}$,	$\begin{array}{ c c } \hline 2 & 3 \\ \hline 3 & \\ \hline \end{array}$,	$\begin{array}{ c c } \hline 1 & 3 \\ \hline 2 & \\ \hline \end{array}$,	$\begin{array}{ c c } \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array}$	
Weight:	(2,1,0)	(2,0,1)	(0,2,1)	(1,2,0)	(1,0,2)	(0,1,2)	(1,1,1)	(1,1,1)							

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$$s_{(21)}(x_1, x_2, x_3) = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + x_1 x_2^2 + x_1 x_3^2 + x_2 x_3^2 + 2x_1 x_2 x_3$$

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$$s_\lambda = \sum_{T \in \text{SSYT}(\lambda)} x^T \text{ for } x^T = \prod_{i \in T} x_i$$

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Why Schur functions?

Harmonic polynomials

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Explicitly, for

$$\Delta = \det \begin{vmatrix} x_1^2 & x_1 & 1 \\ x_2^2 & x_2 & 1 \\ x_3^2 & x_3 & 1 \end{vmatrix} = x_1^2(x_2 - x_3) - x_2^2(x_1 - x_3) + x_3^2(x_1 - x_2)$$

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$$\begin{aligned} M &= \text{sp} \left\{ \left(\partial_{x_1}^a \partial_{x_2}^b \partial_{x_3}^c \right) \Delta \mid a, b, c \geq 0 \right\} \\ &= \text{sp} \{ \Delta, 2x_1(x_2 - x_3) - x_2^2 + x_3^2, 2x_2(x_3 - x_1) - x_3^2 + x_1^2, \\ &\quad x_3 - x_1, x_2 - x_3, 1 \} \end{aligned}$$

Harmonic polynomials

- ① S_3 action on M fixes vector subspaces!

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Schur basis expansion counts multiplicity of irreducible S_n fixed subspaces!

Recap so far

- Combinatorics: Schur functions are weight generating functions of semistandard tableaux.

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Via Frobenius characteristic map, questions about S_n -representations get translated to questions about Schur expansion coefficients in symmetric functions.

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Upshot

Via Frobenius characteristic map, questions about S_n -representations get translated to questions about Schur expansion coefficients in symmetric functions.

Does a symmetric function expand into Schur basis with nonnegative coefficients? Is there a combinatorial description for coefficients?

Getting more information

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Solution: minimal S_n -fixed subspace of degree $d \mapsto q^d s_\lambda$ (graded Frobenius)

$$?? = q^3 s_{\begin{array}{|c|} \hline \square \\ \hline \end{array}} + q^2 s_{\begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array}} + qs_{\begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array}} + s_{\begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \end{array}}$$

Getting more information

Break M up into smallest S_n fixed subspaces

$$\underbrace{\text{sp}\{\Delta\}}_{\begin{array}{|c|} \hline \square \\ \hline \end{array}} \oplus \underbrace{\text{sp}\{2x_1(x_2-x_3)-x_2^2+x_3^2, 2x_2(x_3-x_1)-x_3^2+x_1^2\}}_{\begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \text{deg}=2} \oplus \underbrace{\text{sp}\{x_3-x_1, x_2-x_3\}}_{\begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \text{deg}=1} \oplus \underbrace{\text{sp}\{1\}}_{\begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \end{array}}$$

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Answer: “Hall-Littlewood polynomial” $H_{\begin{array}{|c|} \hline \square \\ \hline \end{array}}(X; q)$.



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- $\tilde{H}_\lambda(X; 1, 1) = e_1^{|\lambda|}$.
- Does there exist a family of S_n -representations whose (bigraded) Frobenius characteristics equal $\tilde{H}_\lambda(X; q, t)$?

Garsia-Haiman modules

- $\mathbb{Q}[x_1, \dots, x_n, y_1, \dots, y_n]$ satisfying $\sigma(x_i) = x_{\sigma(i)}$, $\sigma(y_j) = y_{\sigma(j)}$.

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- No combinatorial description of $\tilde{K}_{\lambda\mu}(q, t)$. (Still open!)

Garsia-Haiman modules

Observation

All of these Garsia-Haiman modules are contained in the module of diagonal harmonics:

$$DH_n = \text{sp}\{f \in \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] \mid \left(\sum_{j=1}^n \partial_{x_j}^r \partial_{y_j}^s \right) f = 0, \forall r + s > 0\}$$

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Question

What symmetric function is the bigraded Frobenius characteristic of DH_n ?

$$\nabla e_n$$

Frobenius characteristic of DH_3

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Frobenius characteristic of DH_3

$$= \frac{t^3 \tilde{H}_{1,1,1}}{-qt^2 + t^3 + q^2 - qt} - \frac{(q^2 t + qt^2 + qt) \tilde{H}_{2,1}}{-q^2 t^2 + q^3 + t^3 - qt} - \frac{q^3 \tilde{H}_3}{-q^3 + q^2 t + qt - t^2}$$

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Compare to

$$e_3 = \frac{\tilde{H}_{1,1,1}}{-qt^2+t^3+q^2-qt} - \frac{(q+t+1) \tilde{H}_{2,1}}{-q^2 t^2 + q^3 + t^3 - qt} - \frac{\tilde{H}_3}{-q^3 + q^2 t + qt - t^2}$$

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Operator ∇

$$\nabla \tilde{H}_\lambda(X; q, t) = q^{n(\lambda)} t^{n(\lambda')} \tilde{H}_\lambda(X; q, t)$$

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Theorem (Haiman, 2002)

The bigraded Frobenius characteristic of DH_n is given by ∇e_n .

A Combinatorial Connection: Shuffle Theorem

Theorem (Carlsson-Mellit, 2018)

$$\nabla e_k(X) = \sum_{\lambda} t^{\text{area}(\lambda)} q^{\text{dinv}(\lambda)} \omega \mathcal{G}_{\nu(\lambda)}(X; q^{-1})$$

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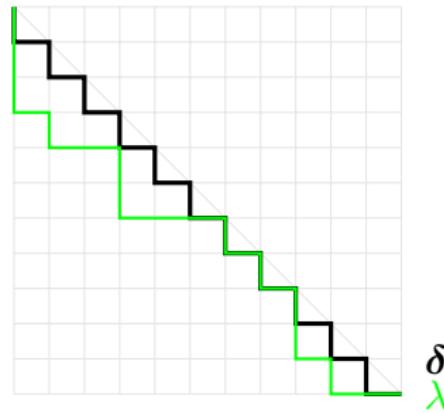
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- $\mathcal{G}_{\nu(\lambda)}(X; q)$ a symmetric LLT polynomial indexed by a tuple of offset rows.

Dyck paths

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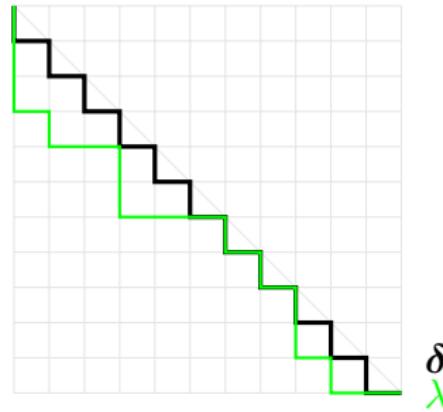
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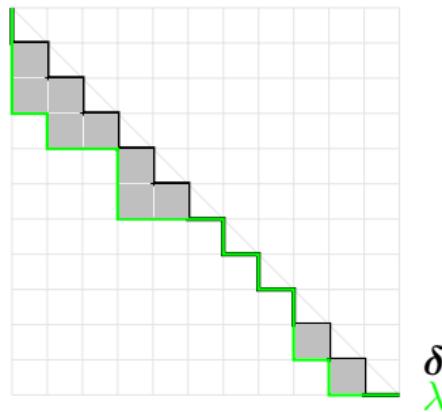


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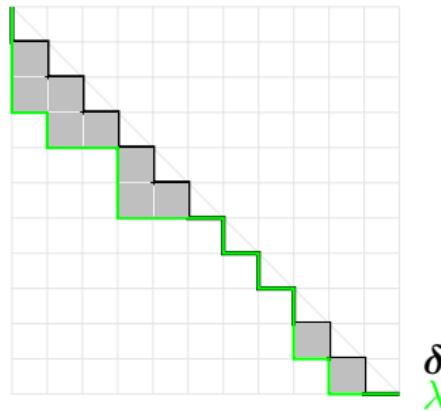


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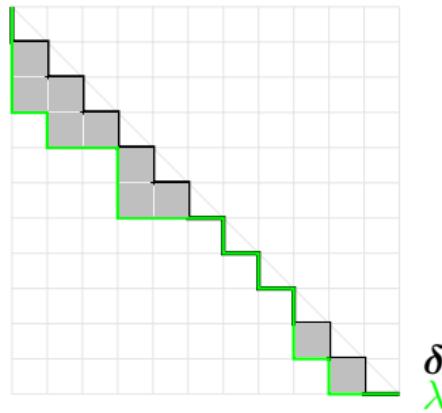


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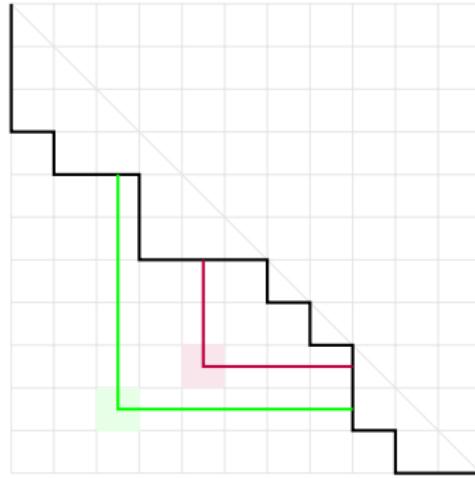
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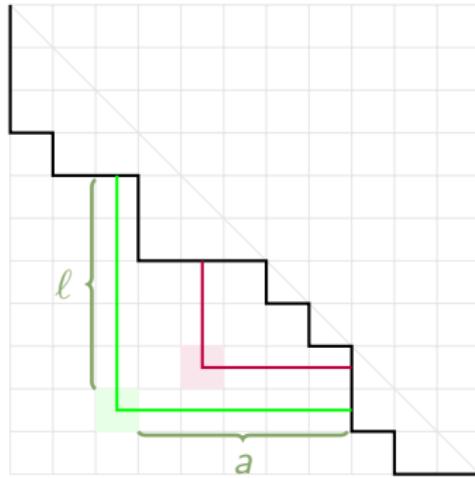
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Balanced hook is given by a cell below λ satisfying

$$\frac{\ell}{a+1} < 1 - \epsilon < \frac{\ell+1}{a}, \quad \epsilon \text{ small.}$$

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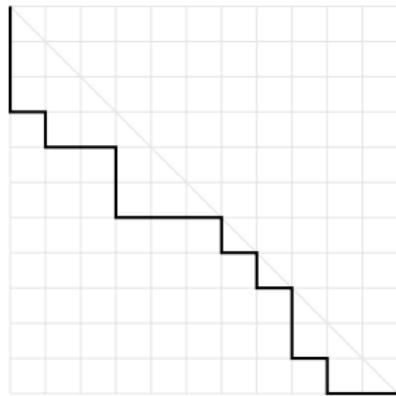
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- \mathcal{G}_ν is Schur-positive for any tuple of skew shapes ν [Grojnowski-Haiman, 2007].

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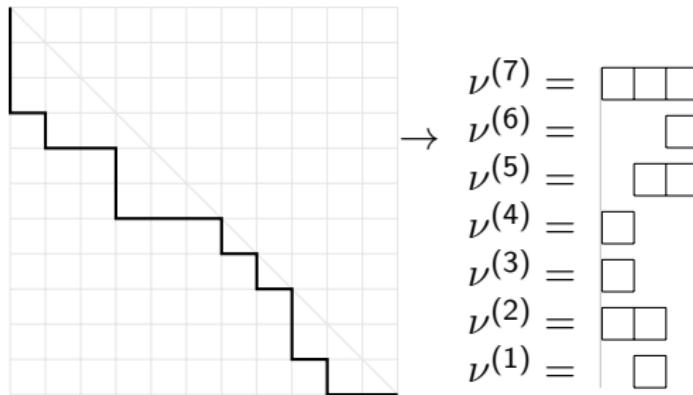
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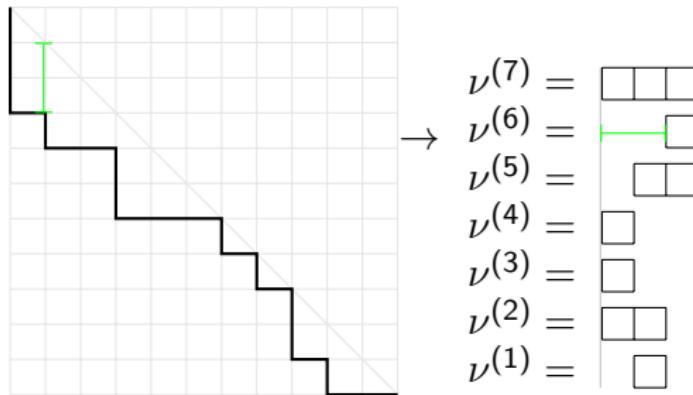
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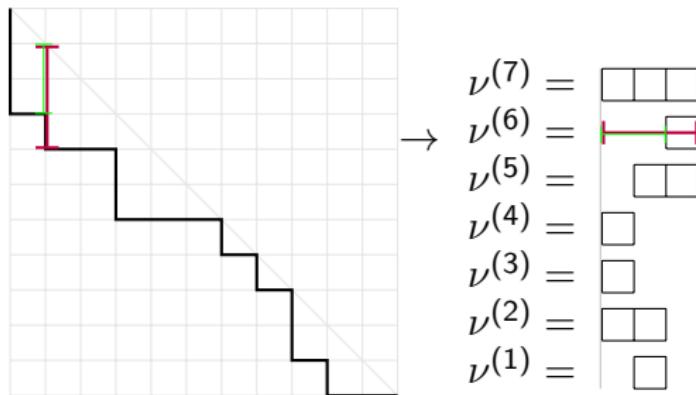
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for T a weakly increasing filling of rows and $i(T)$ the number of attacking inversions:

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2	4	4	7	8	9	9

$$T = \begin{matrix} & 1 & 1 & 6 & 7 & 7 & 7 \\ & 1 & 1 & 6 & 7 & 7 & 7 \end{matrix}$$

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for T a weakly increasing filling of rows and $i(T)$ the number of attacking inversions:

1	2	3	3	5
---	---	---	---	---

2	4	4	7	8	9	9
---	---	---	---	---	---	---

$$T = \begin{array}{|c|c|c|c|c|c|c|} \hline 1 & 1 & 6 & 7 & 7 & 7 \\ \hline \end{array} \rightarrow q^{i(T)} x^T = q^{18} x_1^3 x_2^2 x_3^2 x_4^2 x_5 x_6 x_7^4 x_8 x_9^2$$



$$\mathcal{G}_{\square\square}(x_1, x_2; q) = x_1^3 + x_1^2 x_2 + x_1 x_2^2 + x_2^3 + q x_1^2 x_2 + q x_1 x_2^2$$



1	1	1	2	1	2	2	2	1	1	2	2
1	1	1	2	2	2	1	2	1	2	1	1

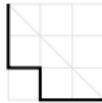
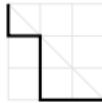
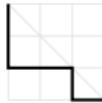
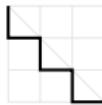
$$= s_3 + q s_{2,1}$$

Example ∇e_3

$$\lambda \quad q^{\text{dinv}(\lambda)} t^{\text{area}(\lambda)} \quad q^{\text{dinv}(\lambda)} t^{\text{area}(\lambda)} \mathcal{G}_{\nu(\lambda)}(X; q^{-1})$$

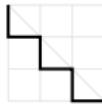
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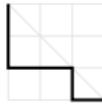


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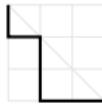
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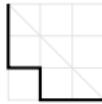
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$$q^2 t$$



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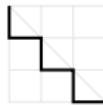
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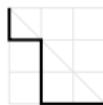
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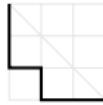
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- Entire quantity is q, t -symmetric
- Coefficient of $s_{1,1,1}$ in sum is a “ (q, t) -Catalan number”
 $(q^3 + q^2 t + qt + qt^2 + t^3)$.

Generalizing Shuffle Theorem

When a problem is too difficult, try generalizing!

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For m, n coprime, the operator $e_k[-MX^{m,n}]$ acting on Λ satisfies

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- \mathcal{E} contains subalgebra $\Lambda(X^{m,n}) \cong \Lambda$ for each coprime pair $(m, n) \in \mathbb{Z}^2$.
- In general, \mathcal{E} -action can be a pain to compute in a nice way, but sometimes it is nice!

Welcome to the Zoo: Catalanimals

Fix $I \in \mathbb{Z}_{>0}$. Let $R_+ = \{(i, j) \mid 1 \leq i < j \leq I\}$.

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- We can take “polynomial part” (restrict to only polynomial GL_I -characters) to get a symmetric function.

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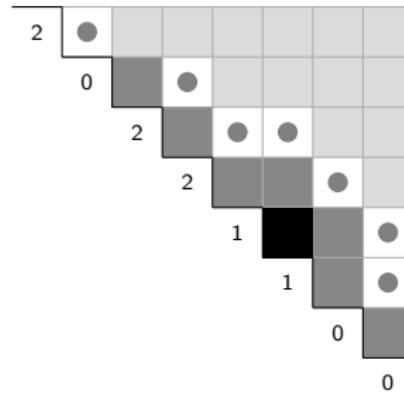
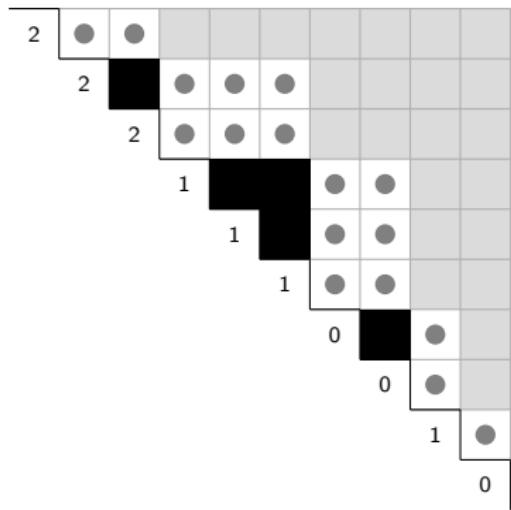
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- In this case, we set $\text{cub}(H) = f$.
- The cuddly conditions allow a nice coproduct formula for $f[X + Y]$ in terms of cubs of “restrictions” of H .

Cuddly Catalanimals with cub e_k

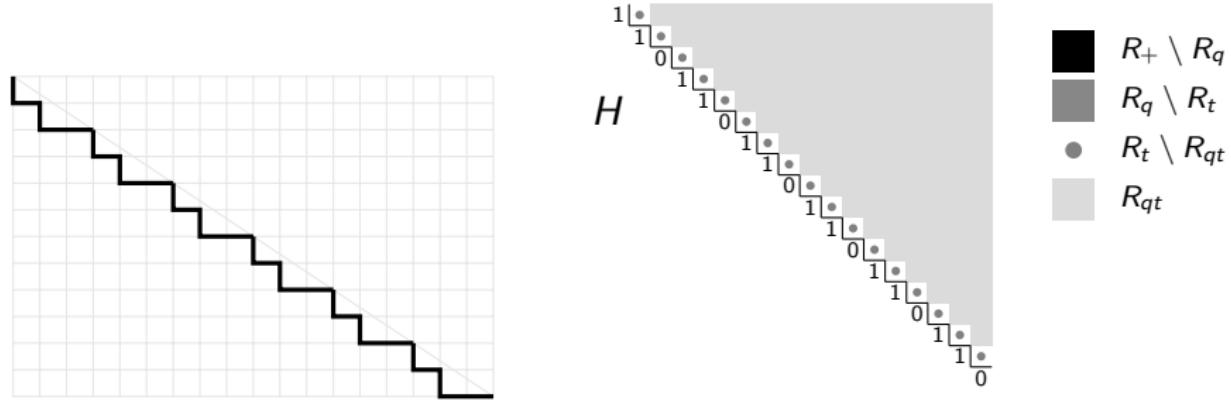
- $H(R_+, R_+, [R_+, R_+], (1^k))$ is $(1, 1)$ -cuddly with cub e_k .

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$$\delta = (1, 1, 0, 1, 1, 0, 1, 1, 0, 1, 1, 0, 1, 1, 0, 1, 1, 0) \text{ and} \\ e_6[-MX^{3,2}] \cdot 1 = \omega \text{ pol}_X H$$

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- Can construct root sets and weight from the content diagonals of μ .

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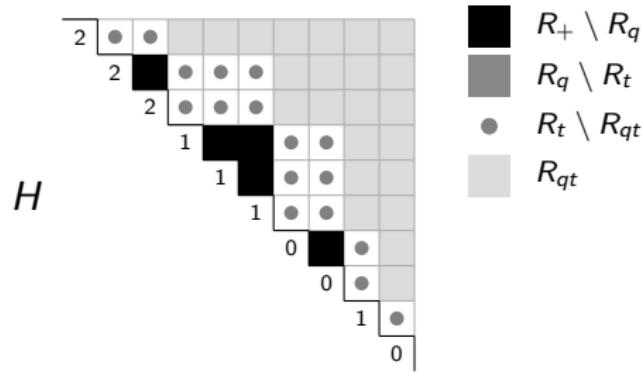
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- $\mu = \begin{array}{|c|c|c|}\hline & & \\ \hline & & \\ \hline\end{array} \rightarrow \begin{array}{|c|c|c|}\hline + & . & . & - \\ \hline + & . & - & \\ \hline + & - & - & \\ \hline + & - & - & \\ \hline\end{array} \rightarrow \begin{array}{|c|c|c|c|}\hline 1 & 0 & 1 & 0 \\ \hline 2 & 1 & 0 & \\ \hline 2 & 2 & 1 & \\ \hline\end{array}$.

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Dens and nests

Theorem (Blasiak-Haiman-Morse-Pun-S. (2021⁺))

For every partition μ and coprime positive integers m, n , we have

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- Conjectured by Loehr-Warrington (2008) when $n = 1$ with different combinatorics (but bijectively related).

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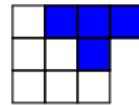
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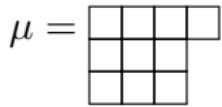


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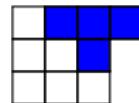
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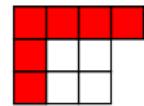
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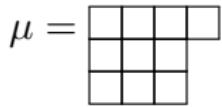


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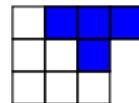
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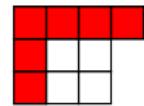
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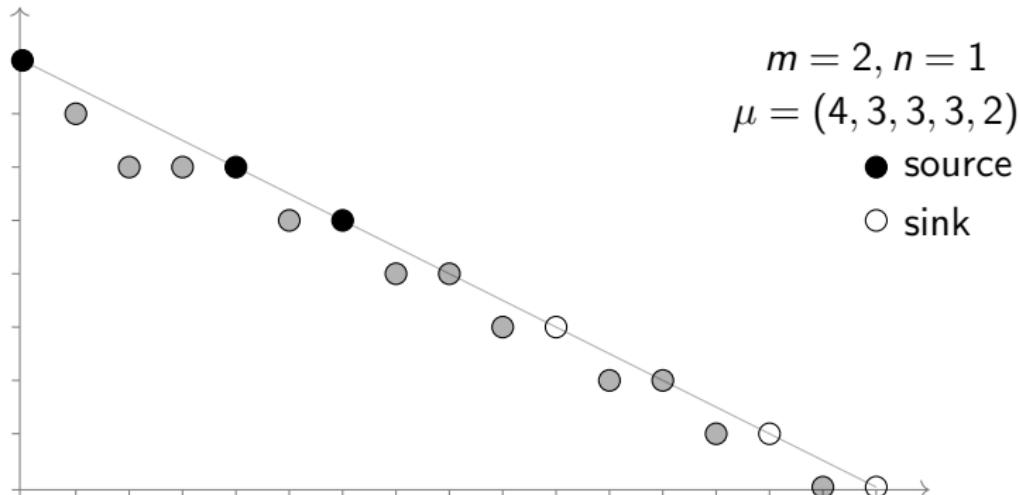
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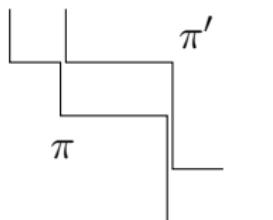
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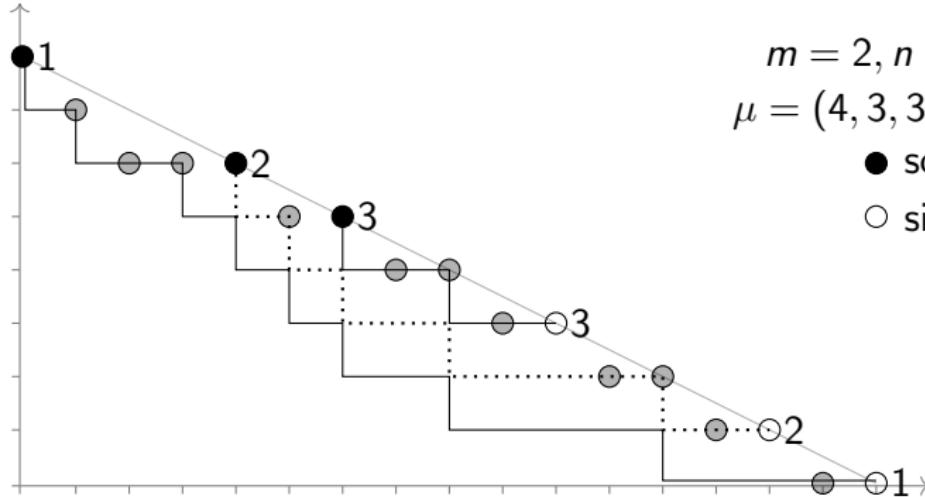
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Dens and nests

Example of the “highest nest” π^0



$$m = 2, n = 1$$

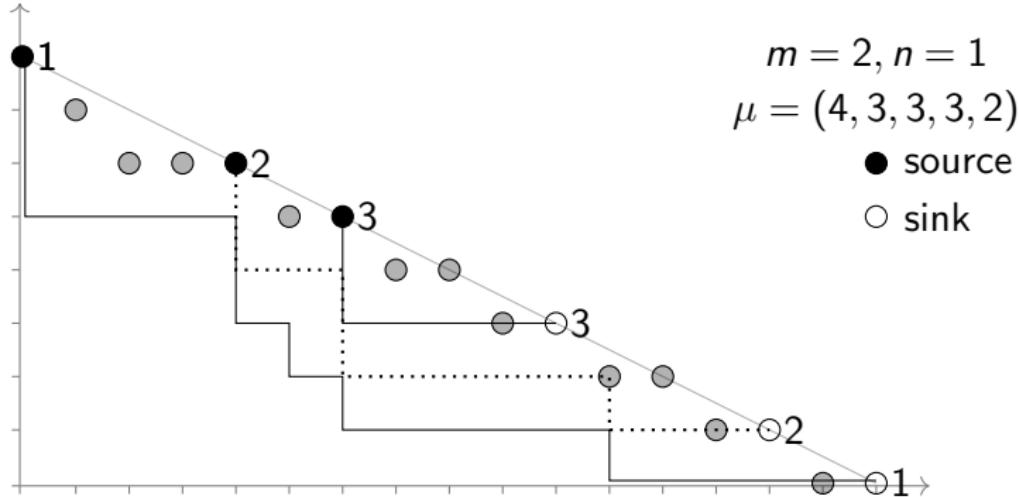
$$\mu = (4, 3, 3, 3, 2)$$

● source

○ sink

Dens and nests

Example of another nest.

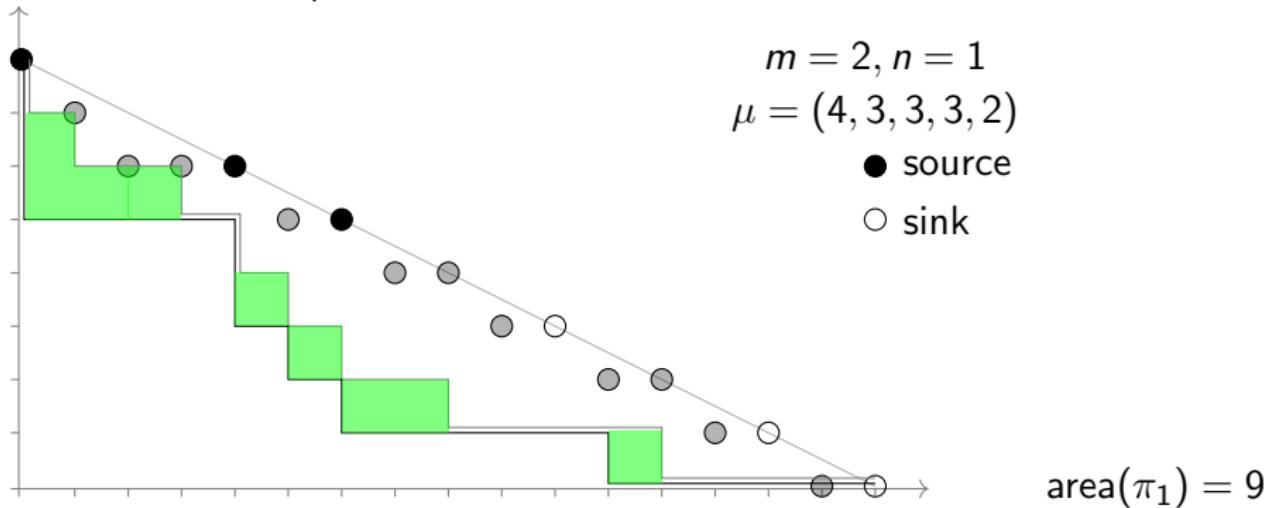


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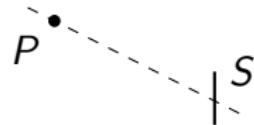
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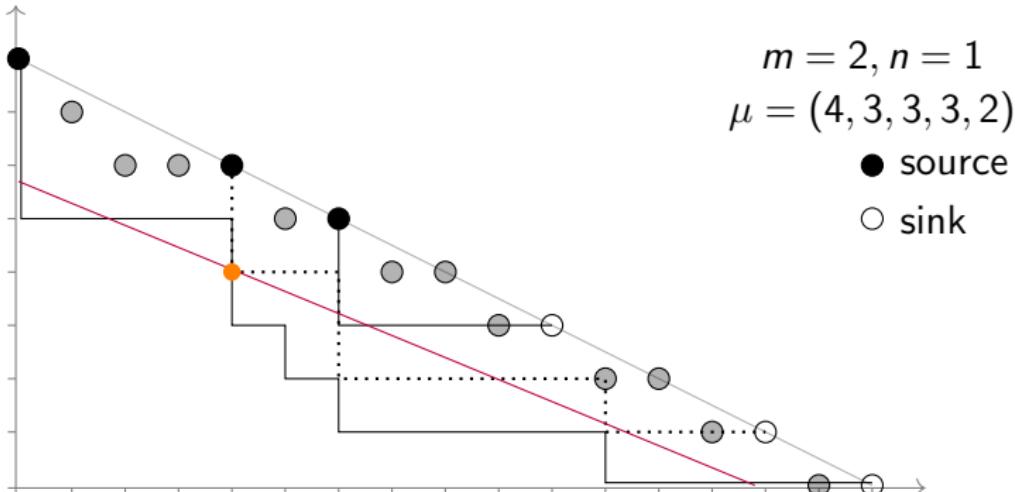
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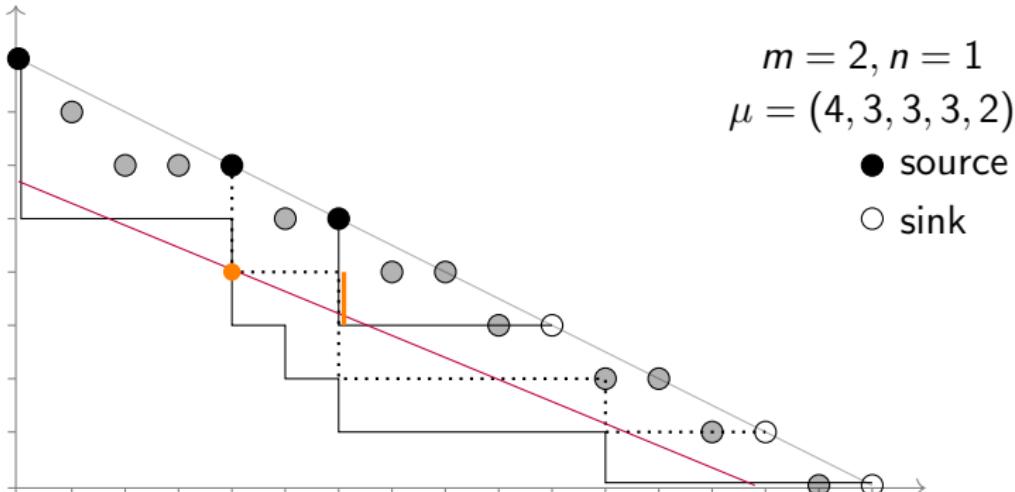


dinv



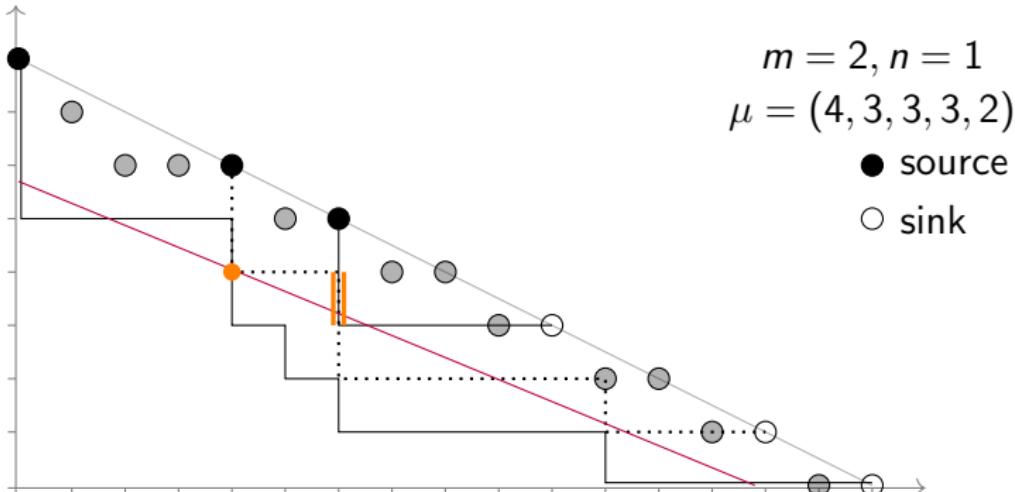
Contributes 3 to the dinv.

dinv



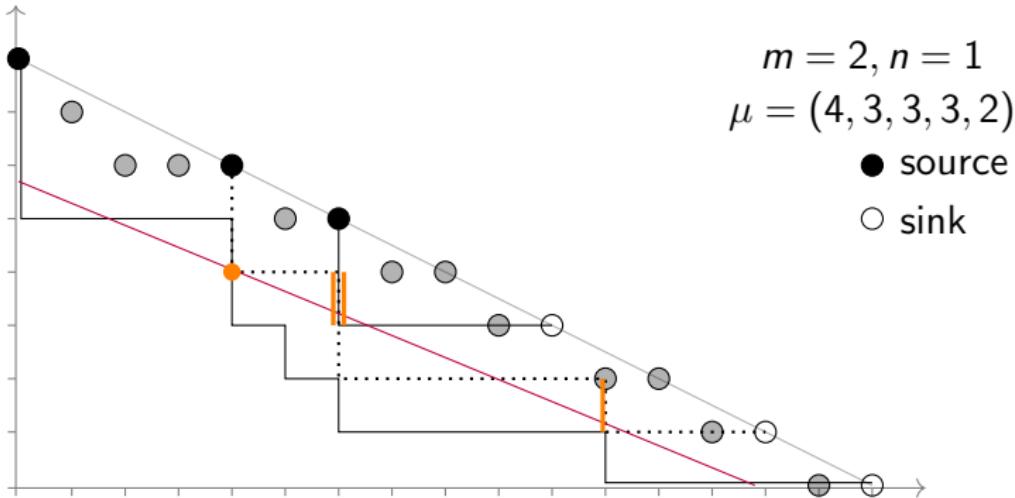
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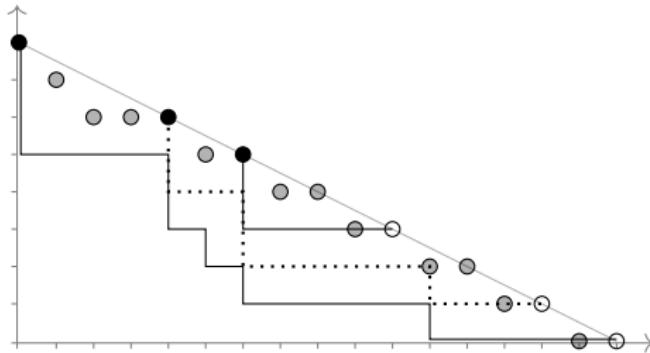
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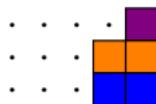
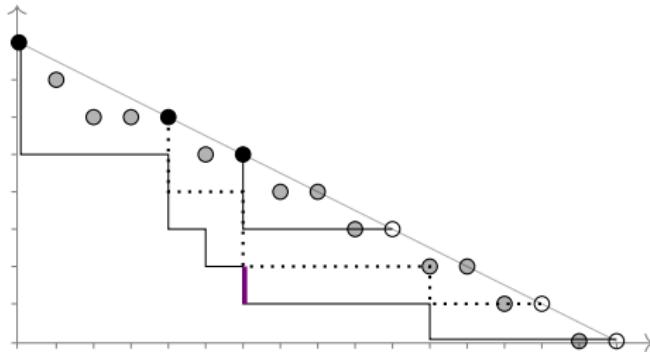
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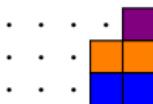
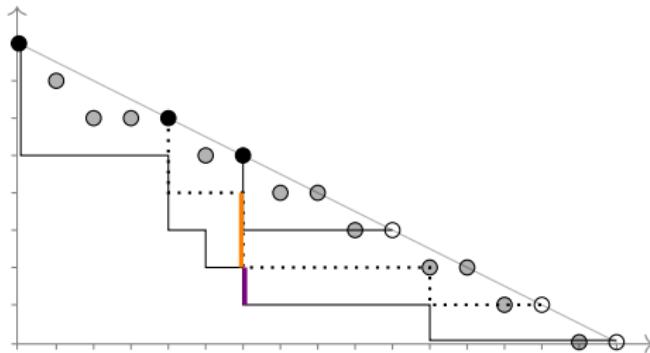
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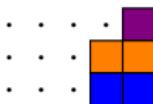
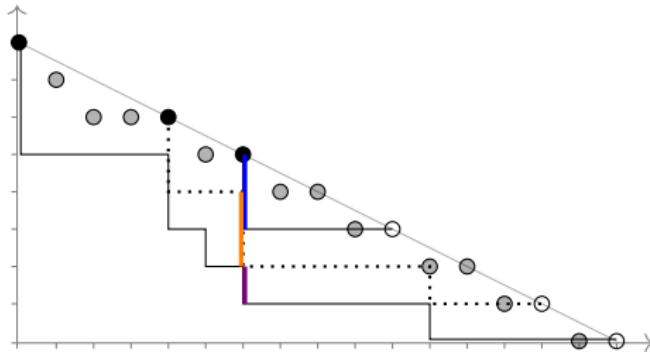
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Dens and nests

- In our paper, we provide a more general definition of den as a tuple of data $(h, p, d, e) \in \mathbb{Z}_{>0} \times (\mathbb{R} \setminus \mathbb{Q}) \times \mathbb{Z}^{h+1} \times \mathbb{Z}^{h+1}$ subject to some conditions.

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- To each den we can associate a tame Catalanimal H and give a corresponding shuffle theorem as a sum over the nests of the den.
- These results hold “stably.” In other words, a stronger result is proven before applying polynomial truncation.
- This allows us to simultaneously generalize the $s_\lambda[-MX^{m,n}]$ formula and our “shuffle theorem for paths under any line” formula (BHMP).

Other exhibits for next time

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- Special cases include Schur functions and Hall-Littlewood polynomials.
- Unicorn Catalanimals (or Catalan functions) where $R_t = R_{qt} = \emptyset$ also have a rich (older) results and combinatorics, but served as inspiration. (Chen-Haiman, Blasiak-Morse-Pun-Summers, Blasiak-Morse-Pun)

Future work: exit through the gift shop

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- What connections do Catalanimals have with machinery used to prove other shuffle theorems, such as work by Carlsson-Mellit?

Thank you for visiting!

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