

K -theoretic Catalan functions

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CAGE

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- Schubert calculus
- Catalan functions: a new approach to old problems
- K -theoretic Catalan functions

Overview of Schubert Calculus Combinatorics

Geometric problem

Find $c_{\lambda\mu}^\nu = \#$ of points in intersection of subvarieties in a variety X .

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Representatives

Special basis of polynomials $\{f_\lambda\}$ such that $f_\lambda \cdot f_\mu = \sum_\nu c_{\lambda\mu}^\nu f_\nu$

Overview of Schubert Calculus Combinatorics (cont.)

Combinatorial study of $\{f_\lambda\}$ enlightens the geometry (and cohomology).

Goal

Identify $\{f_\lambda\}$ in explicit (simple) terms amenable to calculation and proofs.

Geometric problem

Find $c_{\lambda\mu}^\nu = \#$ of points in intersection of Schubert varieties $\{X_\lambda\}_{\lambda \subseteq (n^m)}$ in variety $X = \text{Gr}(m, n)$.

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Representatives

Special basis of Schur polynomials $\{s_\lambda\}$ such that $s_\lambda \cdot s_\mu = \sum_\nu c_{\lambda\mu}^\nu s_\nu$ for Littlewood-Richardson coefficients $c_{\lambda\mu}^\nu$.

Next Step: Flag Variety

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Open Problem

Structure constants $\mathfrak{S}_w \mathfrak{S}_u = c_{wu}^v \mathfrak{S}_v$ are combinatorially unknown.

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(Co)homology of Grassmannian	Schur functions
(Co)homology of flag variety	Schubert polynomials
Quantum cohomology of flag variety	Quantum Schuberts
(Co)homology of Types BCD Grassmannian	Schur- P and Q functions
(Co)homology of affine Grassmannian	(dual) k -Schur functions
K -theory of Grassmannian	Grothendieck polynomials
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And many more!

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$$\Phi: QH^*(Fl_{k+1}) \rightarrow H_*(Gr_{SL_{k+1}})_{loc}$$

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where $s_\lambda^{(k)}$ is a k -Schur function.

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Upshot

Computations for (quantum) Schubert polynomials can be moved into symmetric functions.

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- $s_{\lambda}^{(k)} = s_{\lambda}$ as $k \rightarrow \infty$.
- Branching with positive coefficients (Lam et al., 2010):

$$s_{\lambda}^{(2)} = s_{\lambda} + s_{\lambda} + s_{\lambda}$$

The diagram illustrates the branching of the 2-part Schur function $s_{\lambda}^{(2)}$ into three 3-part Schur functions $s_{\lambda}^{(3)}$. On the left, $s_{\lambda}^{(2)}$ is represented by a Young diagram with two rows of two boxes each. This is equal to the sum of three Young diagrams, each representing $s_{\lambda}^{(3)}$. The first diagram has two rows of two boxes. The second and third diagrams have two rows of three boxes each. Braces are used to group the three diagrams on the right under the label $s_{\lambda}^{(3)}$.

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$$s_{\lambda}^{(2)} = s_{\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}} + s_{\begin{smallmatrix} \square & \square & \square \\ \square & \square & \square \end{smallmatrix}} + s_{\begin{smallmatrix} \square & \square & \square & \square \\ \square & \square & \square & \square \end{smallmatrix}}$$

$\underbrace{\hspace{10em}}_{s_{\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}}}^{(3)} \quad \underbrace{\hspace{10em}}_{s_{\begin{smallmatrix} \square & \square & \square \\ \square & \square & \square \end{smallmatrix}}}^{(3)}$

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- Schubert representatives for $H_*(Gr_{SL_{k+1}})$ (Lam, 2008).
- Has a tableaux formulation and Pieri rule: $s_1^r s_{\lambda}^{(k)} = \sum_{\mu} a_{\lambda\mu} s_{\mu}^{(k)}$
- $s_{\lambda}^{(k)} = s_{\lambda}$ as $k \rightarrow \infty$.
- Branching with positive coefficients (Lam et al., 2010):

$$s_{\lambda}^{(2)} = s_{\lambda}^{(3)} + s_{\mu}^{(3)} + s_{\nu}^{(3)}$$

The diagram shows the branching of the 2-partition $(2,2)$ into 3-partitions. On the left is the 2-partition $s_{(2,2)}^{(2)}$ represented as a 2x2 grid. On the right is the sum of three 3-partitions: $s_{(2,2)}^{(3)}$ (a 2x2 grid), $s_{(3,1)}^{(3)}$ (a 2x2 grid with an extra cell to the right of the bottom row), and $s_{(4)}^{(3)}$ (a single row of 4 cells). Brackets below the 3-partitions on the right group them under $s_{(2,2)}^{(3)}$ and $s_{(3,1)}^{(3)}$ respectively.

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- No combinatorial interpretation of branching coefficients.

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- Has geometric interpretation.
- No combinatorial interpretation of branching coefficients.
- Definition with t important for Macdonald polynomials.
- Many definitions. A new one makes proofs easier!

- Schubert calculus
- **Catalan functions: a new approach to old problems**
- K -theoretic Catalan functions

Raising Operators on Symmetric Functions

- Raising operators $R_{i,j}$ act on diagrams

$$R_{1,3} \left(\begin{array}{|c|c|c|} \hline \text{red} & & \\ \hline & & \\ \hline & & \\ \hline \end{array} \right) = \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \text{red} \\ \hline & & & \\ \hline \end{array} \quad R_{2,3} \left(\begin{array}{|c|} \hline \text{red} \\ \hline \\ \hline \\ \hline \end{array} \right) = \begin{array}{|c|c|} \hline & \text{red} \\ \hline & \\ \hline \end{array}$$

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- Extend action to a symmetric function f_λ by $R_{i,j}(f_\lambda) = f_{\lambda + \epsilon_i - \epsilon_j}$.

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- For $h_\lambda = s_{\lambda_1} \cdots s_{\lambda_r}$, we have the *Jacobi-Trudi identity*

$$s_\lambda = \prod_{i < j} (1 - R_{ij}) h_\lambda$$

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$$s_\lambda = \prod_{i < j} (1 - R_{ij}) h_\lambda$$

$$s_{22} = (1 - R_{12}) h_{22} = h_{22} - h_{31}$$

$$s_{211} = (1 - R_{12})(1 - R_{23})(1 - R_{13}) h_{211}$$

$$= h_{211} - h_{301} - h_{220} - \text{red } h_{310} + \text{red } h_{310} + \underbrace{h_{32-1}}_{=0} + h_{400} - \underbrace{h_{41-1}}_{=0}$$

some terms cancel

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Gives definition for Schur function indexed by any integer vector $\alpha \in \mathbb{Z}^\ell$.

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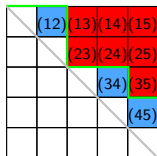
For $\langle s_{1^r}^\perp s_\lambda, s_\mu \rangle = \langle s_\lambda, s_{1^r} s_\mu \rangle$,

$$s_{1^r}^\perp s_\lambda = \sum_{S \subseteq [1, \ell], |S|=r} s_{\lambda - \epsilon_S}$$

$$s_{1^2}^\perp s_{333} = s_{322} + s_{232} + s_{223}$$

Root Ideals

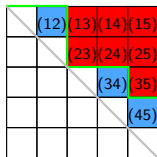
A root ideal Ψ of type $A_{\ell-1}$ positive roots: given by Dyck path (lattice path above diagonal).



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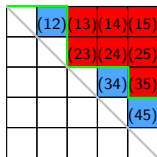
Catalan Function (Chen, 2010; Panyushev, 2010; Blasiak et al., 2019)

For Ψ and $\gamma \in \mathbb{Z}^{\ell}$

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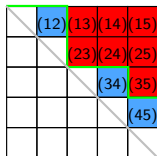
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- $\Psi = \text{all roots} \implies H(\Psi; \gamma) = h_{\gamma}$

k -Schur root ideal for λ

$$\begin{aligned}\psi = \Delta^k(\lambda) &= \{(i, j) : j > k - \lambda_i\} \\ &= \text{root ideal with } k - \lambda_i \text{ non-roots in row } i\end{aligned}$$

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$$\Delta^4(3, 3, 2, 2, 1, 1) =$$

3					
	3				
		2			
			2		
				1	
					1

\leftarrow row i has $4 - \lambda_i$ non-roots

Catalan functions

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k -Schur is a Catalan function (Blasiak et al., 2019).

For partition λ with $\lambda_1 \leq k$,

$$s_{\lambda}^{(k)} = H(\Delta^k(\lambda); \lambda).$$

Key ingredient of branching proof

Dual vertical Pieri rule: $s_{1^r}^\perp s_\lambda^{(k)} = \sum_\mu a_{\lambda\mu} s_\mu^{(k)}$ for $\langle s_{1^r}^\perp f, g \rangle = \langle f, s_{1^r} g \rangle$.

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$$\Delta^4(3, 3, 2, 2, 1, 1) =$$

3					
	3				
		2			
			2		
				1	
					1

$$\Delta^5(4, 4, 3, 3, 2, 2) =$$

4					
	4				
		3			
			3		
				2	
					2

Key ingredient of branching proof

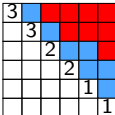
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Pieri:

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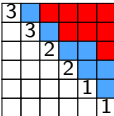
Dual vertical Pieri rule: $s_{1^r}^\perp s_\lambda^{(k)} = \sum_\mu a_{\lambda\mu} s_\mu^{(k)}$ for $\langle s_{1^r}^\perp f, g \rangle = \langle f, s_{1^r} g \rangle$.

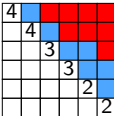
Shift Invariance (Blasiak et al., 2019)

For partition λ of length ℓ with $\lambda_1 \leq k$,

$$s_{1^\ell}^\perp s_{\lambda+1^\ell}^{(k+1)} = s_\lambda^{(k)}.$$

Proof: $k - \lambda_i = (k + 1) - (\lambda_i + 1)$

$$\Delta^4(3, 3, 2, 2, 1, 1) =$$


$$\Delta^5(4, 4, 3, 3, 2, 2) =$$


Branching is a special case of Pieri:

$$s_\lambda^{(k)} = s_{1^\ell}^\perp s_{\lambda+1^\ell}^{(k+1)} = \sum_\mu a_{\lambda+1^\ell, \mu} s_\mu^{(k+1)}$$

- Schubert calculus
- Catalan functions: a new approach to old problems
- ***K*-theoretic Catalan functions**

Dual Grothendieck polynomials

- Inhomogeneous basis: $g_\lambda = s_\lambda + \text{lower degree terms}$.

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- Dual to Grothendieck polynomials G_λ : Schubert representatives for $K^*(Gr(m, n))$

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$$g_1 g_{211}^{(2)} = g_{2111}^{(2)} - 2g_{211}^{(2)}$$

2-bounded partitions \leftrightarrow 3-cores

The diagram illustrates the Pieri rule for K -Schur functions. It shows the product of a 1-strip (g_1) and a 2-bounded partition ($g_{211}^{(2)}$) resulting in the difference of two 2-bounded partitions ($g_{2111}^{(2)} - 2g_{211}^{(2)}$). The partitions are represented as 5x5 grids of colored dots (red, blue, black) with some cells shaded gray to indicate the addition or subtraction of strips.

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Problem

No direct formula for $g_{\lambda}^{(k)}$

An Extra Ingredient: Lowering Operators

Lowering Operators $L_j(f_\lambda) = f_{\lambda - \epsilon_j}$

$$L_3 \left(\begin{array}{|c|c|c|c|} \hline \text{red} & & & \\ \hline & & & \\ \hline & & & \\ \hline \end{array} \right) = \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array}, \quad L_1 \left(\begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline & & \text{red} & \\ \hline \end{array} \right) = \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline & \\ \hline \end{array}$$

K -theoretic Catalan function

Let $\Psi, \mathcal{L} \subseteq \Delta_\ell^+$ be order ideals of positive roots and $\gamma \in \mathbb{Z}^\ell$, then

$$K(\Psi; \mathcal{L}; \gamma) := \prod_{(i,j) \in \mathcal{L}} (1 - L_j) \prod_{(i,j) \in \Delta_\ell^+ \setminus \Psi} (1 - R_{ij}) k_\gamma$$

Affine K -Theory Representatives with Raising Operators

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Example

non-roots of Ψ , roots of \mathcal{L}

	(12)	(13)	(14)	(15)
		(23)	(24)	(25)
			(34)	(35)
				(45)

$$\begin{aligned} K(\Psi; \mathcal{L}; 54332) \\ = (1 - L_4)^2 (1 - L_5)^2 (1 - R_{12}) (1 - R_{34}) (1 - R_{45}) k_{54332} \end{aligned}$$

Answer (Blasiak-Morse-S., 2020)

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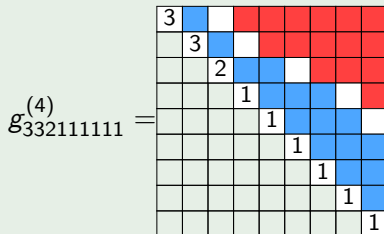
For K -homology of affine Grassmannian, $g_{\lambda}^{(k)} = K(\Delta^k(\lambda); \Delta^{k+1}(\lambda); \lambda)$ since this family satisfies the Pieri rule.

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Example



$$\Delta_9^+ / \Delta^4(332111111), \Delta^5(332111111)$$

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Theorem (Blasiak-Morse-S., 2020)

The branching coefficients in

$$g_\lambda^{(k)} = \sum_{\mu} a_{\lambda\mu} g_\mu^{(k+1)}$$

satisfy $(-1)^{|\lambda|-|\mu|} a_{\lambda\mu} \in \mathbb{Z}_{\geq 0}$.

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Thank you!

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