

# K-THEORETIC CATALAN FUNCTIONS

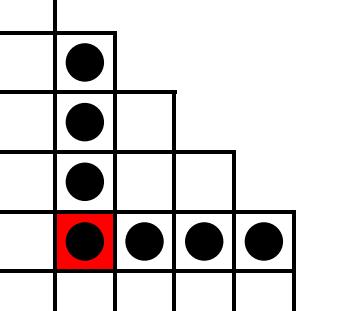
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## Overview

- Schur functions,  $s_\lambda$ , and Grothendieck polynomials,  $G_\lambda$ , give representatives for cohomology and  $K$ -theory of the Grassmannian.
- Pieri rules determine the structure constants of these rings.
- Representatives are known for (co)homology of affine Grassmannian.
- Aim: develop similar picture for affine  $K$ -theory.

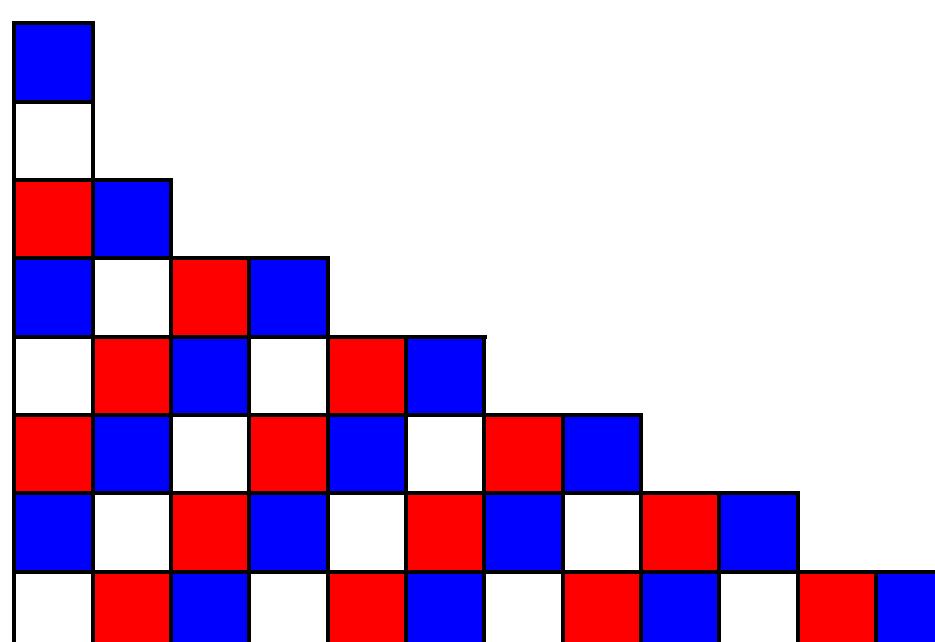
## Affine Combinatorics

$w \in \tilde{S}_n \leftrightarrow n\text{-cores}$   
 $n\text{-core} = \text{partition with no cell of hook-length } n$   
 $\text{red cell has hook-length 7}$



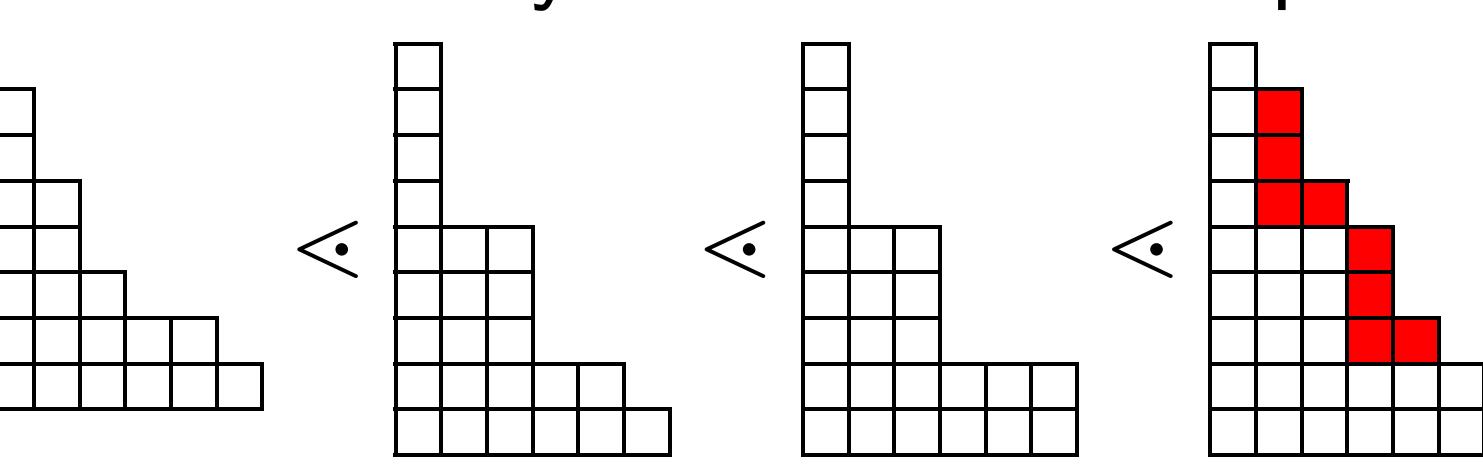
### Weak Order

- Covers differ by boxes of same color.

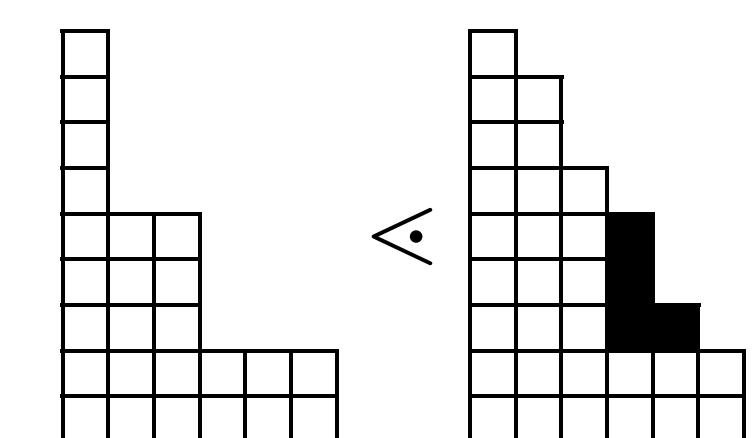


### Strong (Bruhat) Order

- Ordered by containment of shapes.
- Covers differ by a ribbon + its copies.



- **Marked Cover:** Strong cover with selection of one ribbon



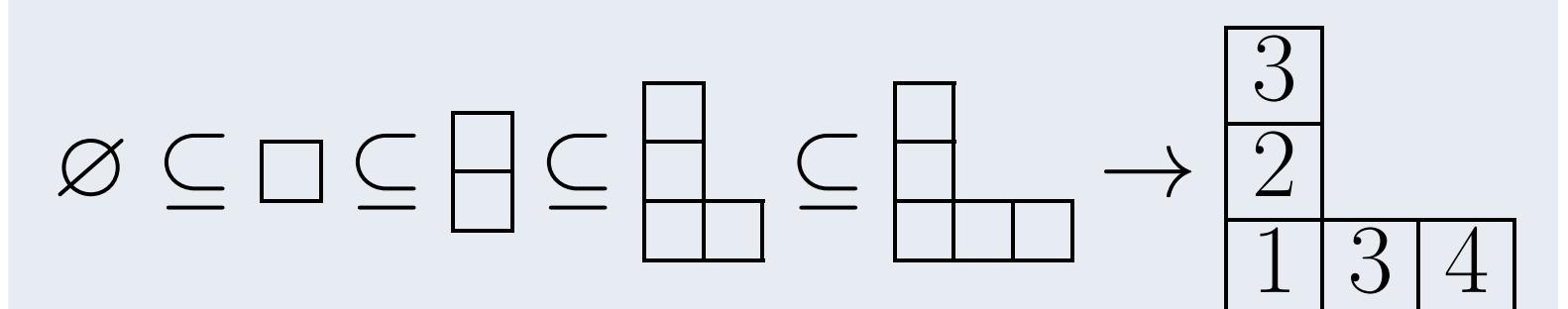
## Dual $k$ -Schur Functions

Generating functions of weak tableaux.

$$F_\lambda^{(k)} := \sum x^{\text{weight}(T)}$$

### Weak Tableaux:

Maximal chains in the weak order.



### Pieri Rule:

$$e_r F_\lambda^{(k)} = \sum_{\mu=\lambda+ \text{strong marked vertical strip of size } r} F_\mu^{(k)} \iff e_r^\perp s_\mu^{(k)} = \sum_{\lambda=\mu- \text{strong marked vertical strip of size } r} s_\lambda^{(k)}$$

where a **strong vertical strip** is a chain of marked covers with markings proceeding north to south.

## Affine Grothendieck Polynomials

Generating functions of affine SVTs.

$$G_\lambda^{(k)} := \sum (-1)^{|\lambda| + |\text{weight}(T)|} x^{\text{weight}(T)}$$

### Affine Set-Valued Tableaux:

Each  $T_{\leq x}$  is a  $k+1$ -core.

$$T = \begin{matrix} 7 \\ 2,5 \quad 6 \\ 1,2,3 \quad 4,4,6 \end{matrix} \quad T_{\leq 4} = \begin{matrix} 2 \\ 1,2,3 \quad 4,4 \end{matrix}$$

- $G_\lambda^{(k)} = F_\lambda^{(k)} + \text{higher order terms}$
- $G_\lambda^{(k)} = G_\lambda$  for large  $k$ .

## Open Problem

Find a direct definition of  $g_\lambda^{(k)}$ .

Describe the  $G_\lambda^{(k)}$  Pieri rule.  $\iff$  Describe the  $g_\lambda^{(k)}$  dual Pieri rule.

## Branching

- $k$ -Schur functions are  $k+1$ -Schur positive:  $s_\lambda^{(k)} = \sum a_{\lambda\mu}^{(k)} s_\mu^{(k+1)}$  with  $a_{\lambda\mu}^{(k)} \in \mathbb{Z}_{\geq 0}$ .
- Iteration gives Schur positivity of  $k$ -Schur functions.
- **Conjecture:**  $g_\lambda^{(k)}$  is Schur positive.
- **Conjecture:**  $g_\lambda^{(k)} = \sum_\mu (-1)^{|\lambda|-|\mu|} b_{\lambda\mu}^{(k)} g_\mu^{(k+1)}$  for  $b_{\lambda\mu}^{(k)} \in \mathbb{Z}_{\geq 0}$ .

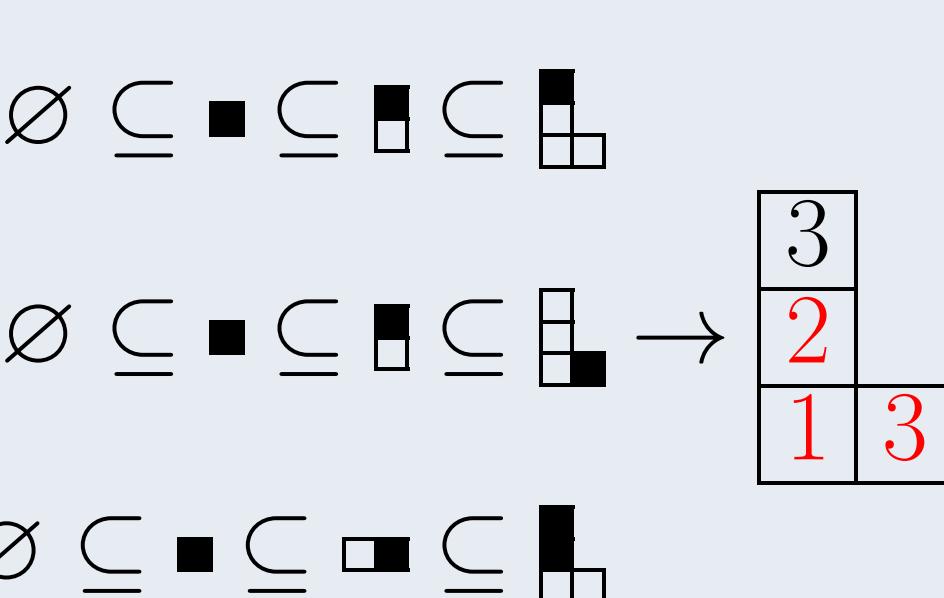
## $k$ -Schur Functions

Generating functions of strong tabelaux.

$$s_\lambda^{(k)} := \sum x^{\text{weight}(T)}$$

### Strong Tableaux:

Maximal strong order chains of marked covers



## Dual Affine Grothendieck Polynomials

### $k$ -Schur Catalans

For  $\gamma \in \mathbb{Z}^\ell$ ,

$$H(\Psi; \gamma) := \prod_{(i,j) \notin \Psi} (1 - R_{ij}) h_\gamma$$

- Raising operators  $R_{i,j}(h_\lambda) = h_{\lambda+\epsilon_i-\epsilon_j}$

$$R_{1,3} \left( \begin{matrix} \textcolor{red}{\square} \\ \square \end{matrix} \right) = \begin{matrix} \square & \square & \square \\ \square & \textcolor{red}{\square} & \square \end{matrix} \quad R_{2,3} \left( \begin{matrix} \textcolor{red}{\square} \\ \square \end{matrix} \right) = \begin{matrix} \square \\ \textcolor{red}{\square} \end{matrix}$$

- Root ideal  $\Psi$ : given by Dyck path.

$$\Psi = \begin{matrix} (12) & (13) & (14) & (15) \\ (23) & (24) & (25) \\ (34) & (35) \\ (45) \end{matrix}$$

Roots above Dyck path  
Non-roots below

$$H(\Psi; 54332)$$

$$\begin{aligned} &= (1 - R_{12})(1 - R_{34})(1 - R_{45})h_{54332} \\ &= h_{54332} - h_{45332} - h_{54422} - h_{54341} \\ &\quad + h_{45422} + h_{45341} + h_{54431} - h_{45431} \end{aligned}$$

- $H(\emptyset; \lambda) = s_\lambda$  (Jacobi-Trudi Identity)

## $k$ -Schur Catalans

$s_\lambda^{(k)} = H(\Psi; \lambda)$  for particular  $\Psi$ , defined by  $\underbrace{\lambda_i + \#\text{non-roots in row } i}_{\text{bandwidth}} = k$ .

$$s_{332111}^{(4)} = \begin{matrix} 3 & \textcolor{teal}{3} & \textcolor{red}{2} & \textcolor{red}{1} & \textcolor{teal}{1} \\ 3 & \textcolor{teal}{2} & \textcolor{red}{1} & \textcolor{teal}{1} & \textcolor{teal}{1} \\ 2 & \textcolor{teal}{1} & \textcolor{red}{1} & \textcolor{teal}{1} & \textcolor{teal}{1} \\ 1 & \textcolor{teal}{1} & \textcolor{teal}{1} & \textcolor{teal}{1} & \textcolor{teal}{1} \end{matrix} \quad \leftarrow 4 - 2 \text{ non-roots}$$

$$s_{443222}^{(5)} = \begin{matrix} 4 & \textcolor{teal}{4} & \textcolor{red}{3} & \textcolor{red}{2} & \textcolor{teal}{2} \\ 4 & \textcolor{teal}{3} & \textcolor{red}{2} & \textcolor{teal}{2} & \textcolor{teal}{2} \\ 3 & \textcolor{teal}{2} & \textcolor{teal}{2} & \textcolor{teal}{2} & \textcolor{teal}{2} \end{matrix} \quad \leftarrow 5 - 3 \text{ non-roots}$$

## Why use Catalan $k$ -Schurs?

### Shift Invariance:

$$e_\ell^\perp s_{\lambda+1^\ell}^{(k+1)} = s_\lambda^{(k)}$$

## Corollary

For  $\gamma \in \mathbb{Z}^\ell$ , root ideals  $\Psi, \mathcal{L}$

$$K(\Psi; \mathcal{L}; \gamma) := \prod_{(i,j) \in \mathcal{L}} (1 - L_j) \prod_{(i,j) \notin \Psi} (1 - R_{ij}) K h_\gamma$$

- Lowering operators  $L_j(K h_\lambda) = K h_{\lambda-\epsilon_j}$

$$L_3 \left( \begin{matrix} \textcolor{red}{\square} \\ \square \end{matrix} \right) = \begin{matrix} \square & \square & \square \\ \square & \square & \textcolor{red}{\square} \end{matrix} \quad L_1 \left( \begin{matrix} \textcolor{red}{\square} \\ \square \end{matrix} \right) = \begin{matrix} \square \\ \textcolor{red}{\square} \end{matrix}$$

- non-roots of  $\Psi$ , roots of  $\mathcal{L}$

$$\begin{matrix} (12) & (13) & (14) & (15) \\ (23) & (24) & (25) \\ (34) & (35) \\ (45) \end{matrix}$$

$$K(\Psi; \mathcal{L}; 54332)$$

$$\begin{aligned} &= (1 - L_4)^2 (1 - L_5)^2 \\ &\quad \cdot (1 - R_{12})(1 - R_{34})(1 - R_{45}) K h_{54332} \end{aligned}$$

- $K(\emptyset; \emptyset; \lambda) = g_\lambda$ .

$\mathfrak{g}_\lambda^{(k)} := K(\Psi; \mathcal{L}; \lambda)$  with  $\text{band}(\Psi) = k$ ,  $\text{band}(\mathcal{L}) = k+1$

$$\mathfrak{g}_{332111}^{(4)} = \begin{matrix} 3 & \textcolor{teal}{3} & \textcolor{red}{2} & \textcolor{red}{1} & \textcolor{teal}{1} \\ 3 & \textcolor{teal}{2} & \textcolor{red}{1} & \textcolor{teal}{1} & \textcolor{teal}{1} \\ 2 & \textcolor{teal}{1} & \textcolor{red}{1} & \textcolor{teal}{1} & \textcolor{teal}{1} \\ 1 & \textcolor{teal}{1} & \textcolor{teal}{1} & \textcolor{teal}{1} & \textcolor{teal}{1} \end{matrix}$$

## Theorem: Shift Invariance

$$G_{1^\ell}^\perp \mathfrak{g}_{\lambda+1^\ell}^{(k+1)} = \mathfrak{g}_\lambda^{(k)}$$

## Corollary

$\mathfrak{g}_\lambda^{(k)}$  branching follows from dual Pieri rule.

## Conjecture

$$\mathfrak{g}_\lambda^{(k)} = g_\lambda^{(k)}$$