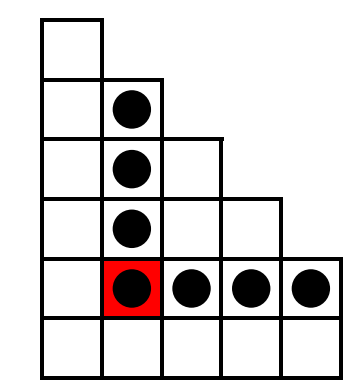


Overview

- Schur functions,  $s_\lambda$ , and Grothendieck polynomials,  $G_\lambda$ , give representatives for cohomology and  $K$ -theory of the Grassmannian.
- Pieri rules determine the structure constants of these rings.
- Representatives are known for (co)homology of affine Grassmannian.
- Aim: develop similar picture for affine  $K$ -theory.

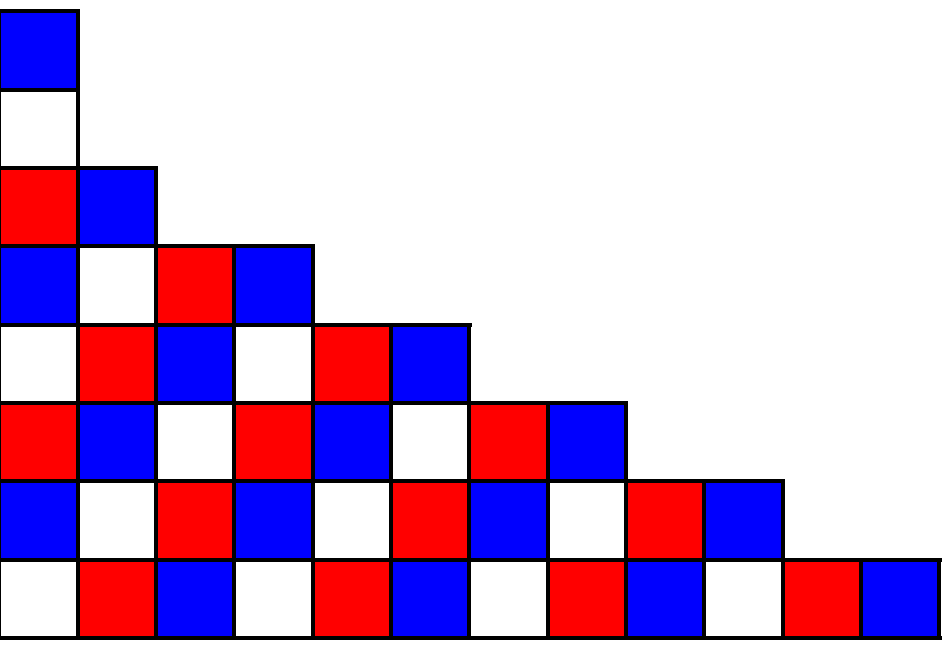
Affine Combinatorics

$w \in \tilde{S}_n \leftrightarrow n$ -cores  
 $n$ -core=partition with no cell of hook-length  $n$   
red cell has hook-length 7



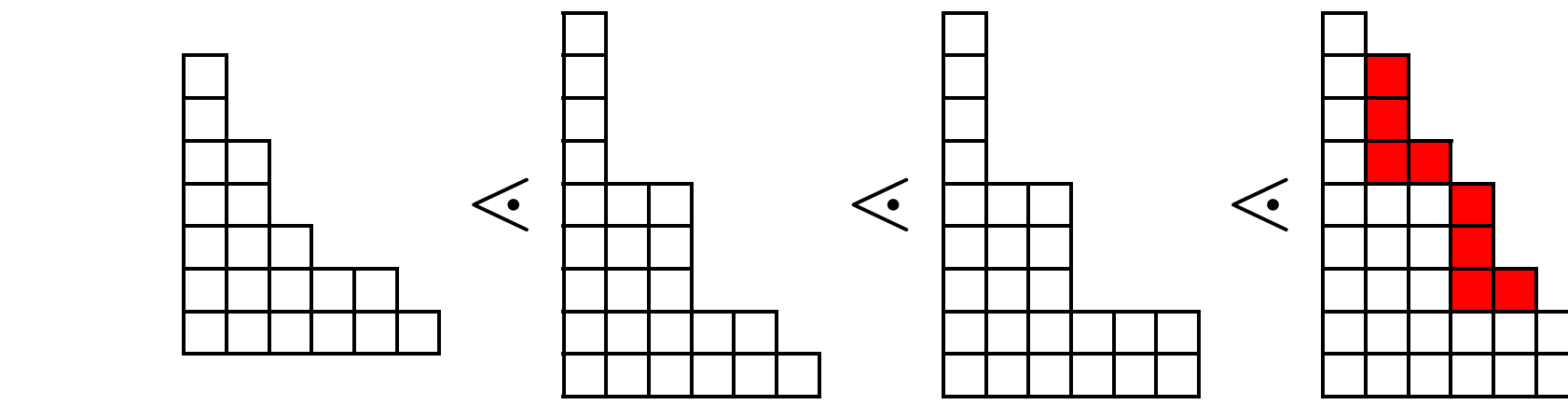
Weak Order

- Covers differ by boxes of same color.

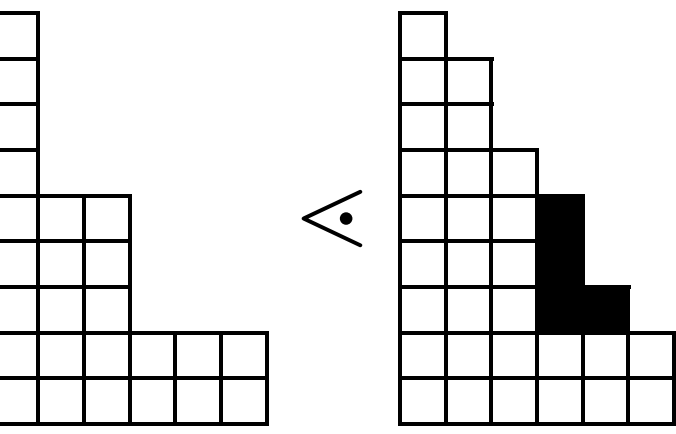


Strong (Bruhat) Order

- Ordered by containment of shapes.
- Covers differ by a ribbon + its copies.



- Marked Cover:** Strong cover with selection of one ribbon



Dual  $k$ -Schur Functions

Generating functions of weak tableaux.

$F_\lambda^{(k)} := \sum x^{\text{weight}(T)}$

**Weak Tableaux:**  
Maximal chains in the weak order.

$\emptyset \subseteq \square \subseteq \begin{smallmatrix} \square \\ \square \end{smallmatrix} \subseteq \begin{smallmatrix} \square & \square \\ \square \end{smallmatrix} \subseteq \begin{smallmatrix} \square & \square & \square \\ \square \end{smallmatrix} \rightarrow \begin{smallmatrix} 3 \\ 2 \\ 1 \end{smallmatrix} \begin{smallmatrix} 3 & 4 \end{smallmatrix}$

Pieri Rule:

$e_r F_\lambda^{(k)} = \sum_{\mu=\lambda+ \text{ strong marked vertical strip of size } r} F_\mu^{(k)} \iff e_r^\perp s_\mu^{(k)} = \sum_{\lambda=\mu- \text{ strong marked vertical strip of size } r} s_\lambda^{(k)}$

where a **strong vertical strip** is a chain of marked covers with markings proceeding north to south.

Affine Grothendieck Polynomials

Generating functions of affine SVTs.

$G_\lambda^{(k)} := \sum (-1)^{|\lambda|+|\text{weight}(T)|} x^{\text{weight}(T)}$

**Affine Set-Valued Tableaux:**  
Each  $T_{\leq x}$  is a  $k+1$ -core.

$T = \begin{smallmatrix} 7 \\ 2,5 & 6 \\ 1 & 2,3 & 4 & 4,6 \end{smallmatrix} \quad T_{\leq 4} = \begin{smallmatrix} 2 \\ 1 & 2,3 & 4 & 4 \end{smallmatrix}$

- $G_\lambda^{(k)} = F_\lambda^{(k)} + \text{higher order terms}$
- $G_\lambda^{(k)} = G_\lambda$  for large  $k$ .

$k$ -Schur Functions

Generating functons of strong tabelaux.

$s_\lambda^{(k)} := \sum x^{\text{weight}(T)}$

**Strong Tableaux:** Maximal strong order chains of marked covers

$\emptyset \subseteq \blacksquare \subseteq \begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix} \subseteq \begin{smallmatrix} \blacksquare & \blacksquare \\ \blacksquare \end{smallmatrix}$

$\emptyset \subseteq \blacksquare \subseteq \begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix} \subseteq \begin{smallmatrix} \blacksquare & \blacksquare \\ \blacksquare \end{smallmatrix} \rightarrow \begin{smallmatrix} 3 \\ 2 \\ 1 \end{smallmatrix} \begin{smallmatrix} 3 \end{smallmatrix}$

$\emptyset \subseteq \blacksquare \subseteq \begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix} \subseteq \begin{smallmatrix} \blacksquare & \blacksquare \\ \blacksquare \end{smallmatrix}$

Dual Affine Grothendieck Polynomials

$g_\lambda^{(k)}$  is dual basis to  $G_\lambda^{(k)}$ .

- $g_\lambda^{(k)} = s_\lambda^{(k)} + \text{lower order terms}$
- $g_\lambda^{(k)} = g_\lambda$ , dual to  $G_\lambda$ , for large  $k$ .

Open Problem

Find a direct definition of  $g_\lambda^{(k)}$ .

Open Problem

Describe the  $G_\lambda^{(k)}$  Pieri rule.  $\iff$  Describe the  $g_\lambda^{(k)}$  dual Pieri rule.

Branching

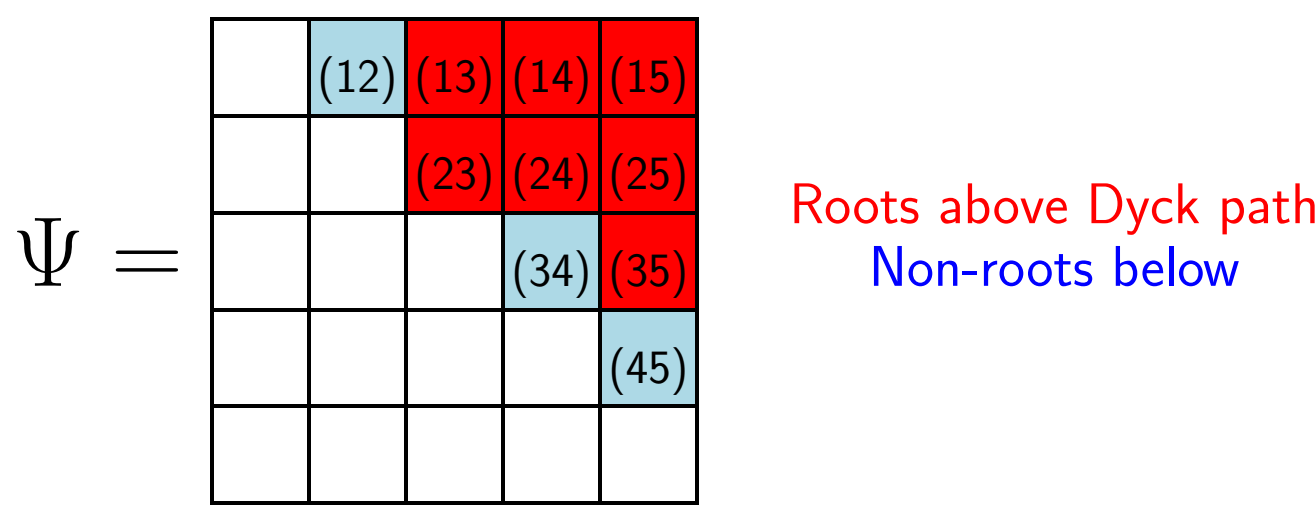
- $k$ -Schur functions are  $k+1$ -Schur positive:  $s_\lambda^{(k)} = \sum a_{\lambda\mu}^{(k)} s_\mu^{(k+1)}$  with  $a_{\lambda\mu}^{(k)} \in \mathbb{Z}_{\geq 0}$ .
- Iteration gives Schur positivity of  $k$ -Schur functions.
- Conjecture:**  $g_\lambda^{(k)}$  is Schur positive.
- Conjecture:**  $g_\lambda^{(k)} = \sum_\mu (-1)^{|\lambda|-|\mu|} b_{\lambda\mu}^{(k)} g_\mu^{(k+1)}$  for  $b_{\lambda\mu}^{(k)} \in \mathbb{Z}_{\geq 0}$ .

Catalan Functions

For  $\gamma \in \mathbb{Z}^\ell$ ,

$H(\Psi; \gamma) := \prod_{(i,j) \notin \Psi} (1 - R_{ij}) h_\gamma$

- Raising operators  $R_{i,j}(h_\lambda) = h_{\lambda+\epsilon_i-\epsilon_j}$   
 $R_{1,3} \left( \begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix} \right) = \begin{smallmatrix} \blacksquare & \blacksquare & \blacksquare \\ \blacksquare \end{smallmatrix} \quad R_{2,3} \left( \begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix} \right) = \begin{smallmatrix} \blacksquare & \blacksquare \\ \blacksquare \end{smallmatrix}$
- Root ideal  $\Psi$ : given by Dyck path.

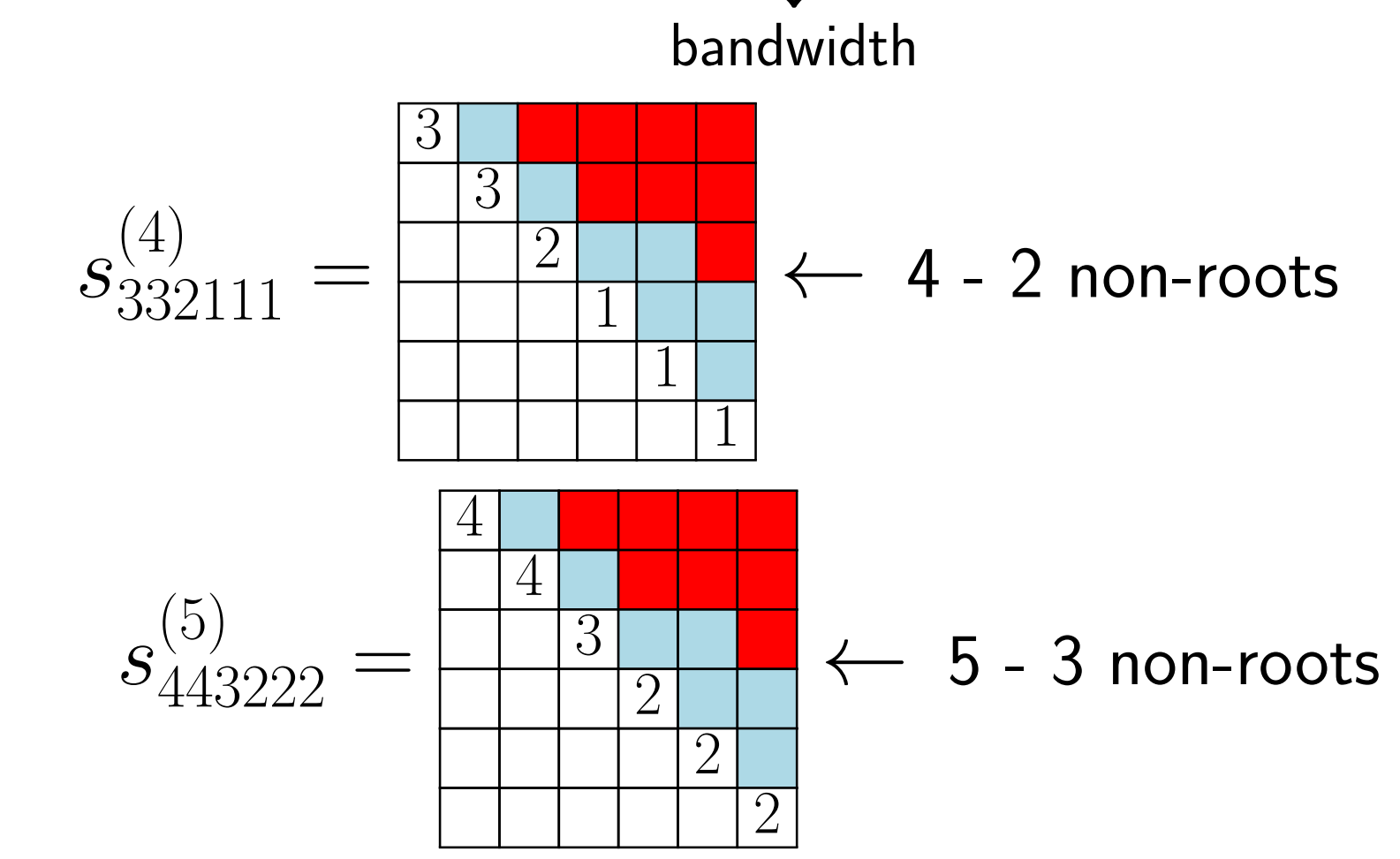


$H(\Psi; 54332)$   
 $= (1 - R_{12})(1 - R_{34})(1 - R_{45}) h_{54332}$   
 $= h_{54332} - h_{45332} - h_{54422} - h_{54341}$   
 $+ h_{45422} + h_{45341} + h_{54431} - h_{45431}$

- $H(\emptyset; \lambda) = s_\lambda$  (Jacobi-Trudi Identity)

$k$ -Schur Catalans

$s_\lambda^{(k)} = H(\Psi; \lambda)$  for particular  $\Psi$ , defined by  $\lambda_i + \underbrace{\#\text{non-roots in row } i}_{\text{bandwidth}} = k$ .



Why use Catalan  $k$ -Schurs?

**Shift Invariance:**  
 $e_\ell^\perp s_{\lambda+1^\ell}^{(k+1)} = s_\lambda^{(k)}$

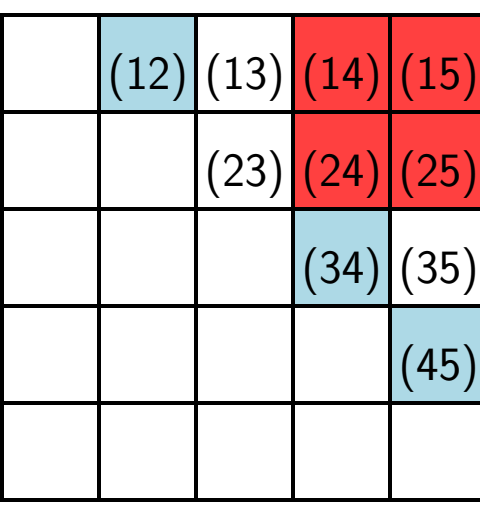
**Corollary**  
Dual Pieri rule  $\implies s_\lambda^{(k)}$  branching!  
 $s_\lambda^{(k)} = e_\ell^\perp s_{\lambda+1^\ell}^{(k+1)} = \sum_\mu a_{\lambda\mu}^{(k)} s_\mu^{(k+1)}$   
where  $a_{\lambda\mu}^{(k)}$  counts strong vertical strips.

K-theoretic Catalan Functions

For  $\gamma \in \mathbb{Z}^\ell$ , root ideals  $\Psi, \mathcal{L}$

$K(\Psi; \mathcal{L}; \gamma) := \prod_{(i,j) \in \mathcal{L}} (1 - L_j) \prod_{(i,j) \notin \Psi} (1 - R_{ij}) K h_\gamma$

- Lowering operators  
 $L_j(K h_\lambda) = K h_{\lambda-\epsilon_j}$   
 $L_3 \left( \begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix} \right) = \begin{smallmatrix} \blacksquare & \blacksquare \\ \blacksquare \end{smallmatrix} \quad L_1 \left( \begin{smallmatrix} \blacksquare & \blacksquare \\ \blacksquare \end{smallmatrix} \right) = \begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix}$
- non-roots of  $\Psi$ , roots of  $\mathcal{L}$



$K(\Psi; \mathcal{L}; 54332)$   
 $= (1 - L_4)^2 (1 - L_5)^2$   
 $\cdot (1 - R_{12})(1 - R_{34})(1 - R_{45}) K h_{54332}$   
  
 $K(\emptyset; \emptyset; \lambda) = g_\lambda$ .

$\mathfrak{g}_\lambda^{(k)} := K(\Psi; \mathcal{L}; \lambda)$  with  $\text{band}(\Psi) = k$ ,  $\text{band}(\mathcal{L}) = k+1$

$\mathfrak{g}_{332111}^{(4)} = \begin{smallmatrix} 3 & & & & \\ & 3 & & & \\ & & 2 & & \\ & & & 1 & \\ & & & & 1 \end{smallmatrix}$

**Theorem: Shift Invariance**  
 $G_{1^\ell}^\perp \mathfrak{g}_{\lambda+1^\ell}^{(k+1)} = \mathfrak{g}_\lambda^{(k)}$

**Corollary**  
 $\mathfrak{g}_\lambda^{(k)}$  branching follows from dual Pieri rule.

**Conjecture**  
 $\mathfrak{g}_\lambda^{(k)} = g_\lambda^{(k)}$