A Raising Operator Formula for Macdonald Polynomials (and The Journey There)

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Outline

- Background on symmetric functions and Macdonald polynomials
- Shuffle theorems, combinatorics, and LLT polynomials
- A new formula for Macdonald polynomials

• Polynomials $f \in \mathbb{Q}(q,t)[x_1,\ldots,x_n]$ satisfying $\sigma.f = f$ for all $\sigma \in S_n$.

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• E.g. for n = 3,

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$$e_2 = x_1x_2 + x_1x_3 + x_2x_3 \quad h_2 = x_1^2 + x_1x_2 + x_1x_3 + x_2^2 + x_2x_3 + x_3^2$$

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- Λ is a $\mathbb{Q}(q, t)$ -algebra.

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 \implies any basis of symmetric functions is indexed by partitions.

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2	3	3	2	3	3	2	3
11,	11,	22,	12,	1 3	2 3	1 3	1 2

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- s_{λ} is a symmetric function.
- $\{s_{\lambda}\}_{\lambda}$ forms a basis for $\Lambda_{\mathbb{O}}$.

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Hidden Guide: Schur Positivity

"Naturally occurring" symmetric functions which are non-negative (coefficients in \mathbb{N}) linear combinations in Schur polynomial basis are interesting since they could have representation-theoretic models.

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$$M = \operatorname{sp}\left\{ \left(\partial_{x_1}^a \partial_{x_2}^b \partial_{x_3}^c \right) \Delta \mid a, b, c \ge 0 \right\}$$

= $\operatorname{sp}\{\Delta, 2x_1(x_2 - x_3) - x_2^2 + x_3^2, 2x_2(x_3 - x_1) - x_3^2 + x_1^2, x_3 - x_1, x_2 - x_3, 1 \}$

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1 Break M up into irreducible S_n -representations.

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Remark: $M \cong \mathbb{C}[x_1, x_2, x_3]/(\mathbb{C}[x_1, x_2, x_3]_+^{S_3})$ is a "regular representation."

Break M up into smallest S_n fixed subspaces

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Solution: irreducible S_n -representation of polynomials of degree $d\mapsto q^ds_\lambda$ (graded Frobenius)

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Answer: Hall-Littlewood polynomial $H_{\square}(X; q)$.

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- $\bullet \ \tilde{H}_{\lambda}(X;1,1)=e_1^{|\lambda|}.$
- Does there exist a family of S_n -regular representations whose bigraded Frobenius characteristics equal $\tilde{H}_{\lambda}(X;q,t)$?

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Irreducible S_n -representation with bidegree $(a,b)\mapsto q^at^bs_\lambda$

- $\mathbb{Q}[x_1,\ldots,x_n,y_1,\ldots,y_n]$ with $\sigma(x_i)=x_{\sigma(i)},\ \sigma(y_j)=y_{\sigma(j)}.$
- Garsia-Haiman (1993): $M_{\mu} = \text{span of partial derivatives of}$ $\Delta_{\mu} = \det_{(i,j)\in\mu,k\in[n]}(x_k^{i-1}y_k^{j-1})$

$$\Delta = \det \begin{vmatrix} 1 & y_1 & x_1 \\ 1 & y_2 & x_2 \\ 1 & y_3 & x_3 \end{vmatrix} = x_3 y_2 - y_3 x_2 - y_1 x_3 + y_1 x_2 + y_3 x_1 - y_2 x_1$$

$$M_{2,1} = \underbrace{\mathsf{sp}\{\Delta_{2,1}\}}_{\mathsf{deg}=(1,1)} \oplus \underbrace{\mathsf{sp}\{y_3 - y_1, y_1 - y_2\}}_{\mathsf{deg}=(0,1)} \oplus \underbrace{\mathsf{sp}\{x_3 - x_1, x_1 - x_2\}}_{\mathsf{deg}=(1,0)} \oplus \underbrace{\mathsf{sp}\{1\}}_{\mathsf{deg}=(0,0)}$$

Irreducible S_n -representation with bidegree $(a,b)\mapsto q^at^bs_\lambda$

$$\tilde{H}$$
 = qts + ts + qs + s

Theorem (Haiman, 2001)

The Garsia-Haiman module M_{λ} has bigraded Frobenius characteristic given by $\tilde{H}_{\lambda}(X;q,t)$

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• No combinatorial description of $ilde{K}_{\lambda\mu}(q,t)$.

Symmetric functions, representation theory, and combinatorics

Symmetric function	Representation theory	Combinatorics
$s_{\lambda}(X)$	Irreducible V_λ	$SSYT(\lambda)$
$ ilde{\mathcal{H}}_{\lambda}(X;q,t)$	Garsia-Haiman M_λ	??

Observation

All of these Garsia-Haiman modules are contained in the module of diagonal harmonics:

$$DH_n = \operatorname{sp}\{f \in \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] \mid \left(\sum_{j=1}^n \partial_{x_j}^r \partial_{y_j}^s\right) f = 0, \forall r + s > 0\}$$

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Question

What symmetric function is the bigraded Frobenius characteristic of DH_n ?



Frobenius characteristic of DH_3

$abla e_n$

Frobenius characteristic of DH₃

$$=\frac{t^3\tilde{H}_{1,1,1}}{-qt^2+t^3+q^2-qt}-\frac{(q^2t+qt^2+qt)\tilde{H}_{2,1}}{-q^2t^2+q^3+t^3-qt}-\frac{q^3\tilde{H}_3}{-q^3+q^2t+qt-t^2}$$

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Compare to

$$e_3 = \frac{\tilde{H}_{1,1,1}}{-qt^2 + t^3 + q^2 - qt} - \frac{(q+t+1)\tilde{H}_{2,1}}{-q^2t^2 + q^3 + t^3 - qt} - \frac{\tilde{H}_3}{-q^3 + q^2t + qt - t^2}$$

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Operator ∇

$$\nabla \tilde{H}_{\lambda}(X;q,t) = q^{n(\lambda)} t^{n(\lambda^*)} \tilde{H}_{\lambda}(X;q,t),$$

where $n(\lambda) = \sum_{i} (i-1)\lambda_i$ and λ^* is the transpose partition to λ .

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Theorem (Haiman, 2002)

The bigraded Frobenius characteristic of DH_n is given by ∇e_n .

Symmetric functions, representation theory, and combinatorics

Symmetric function	Representation theory	Combinatorics	
$s_{\lambda}(X)$	Irreducible V_{λ}	$SSYT(\lambda)$	
$\tilde{H}_{\lambda}(X;q,t)$	Garsia-Haiman M_λ	??	
∇e_n	DH_n	Shuffle theorem	

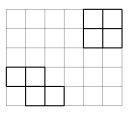
Outline

- Background on symmetric functions and Macdonald polynomials
- Shuffle theorems, combinatorics, and LLT polynomials
- A new formula for Macdonald polynomials

LLT Polynomials

Let $\nu = (\nu_{(1)}, \dots, \nu_{(k)})$ be a tuple of skew shapes. (Skew shape $= \lambda \setminus \mu$)

$$u = \left(\begin{array}{cccc} & & & \\ & & & \\ & & & \end{array}\right)$$



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-4	-3	-2	-1	0	1
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-2	-1	0	1	2	3
-1	0	1	2	3	4
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			<i>b</i> ₃	<i>b</i> ₆
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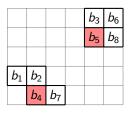
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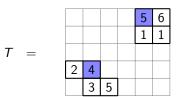
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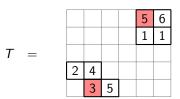
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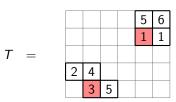
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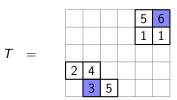
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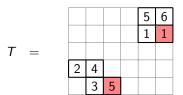
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inversion

$$inv(T) = 4$$
, $\mathbf{x}^T = x_1^2 x_2 x_3 x_4 x_5^2 x_6$

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- \mathcal{G}_{ν} is Schur-positive for any tuple of skew shapes ν [Grojnowski-Haiman, 2007].

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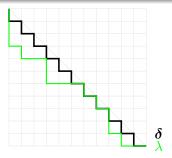
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- $\mathcal{G}_{\nu(\lambda)}(X;q)$ a symmetric LLT polynomial indexed by a tuple of offset (skew) rows.
- ω an automorphism of symmetric functions: $\omega(s_{\lambda}) = s_{\lambda^*}$ for $\lambda^* =$ transpose of λ .

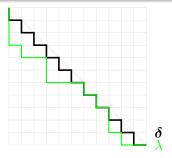
Dyck paths

A Dyck path λ is a south-east lattice path lying below the line segment from (0,k) to (k,0).



Dyck paths

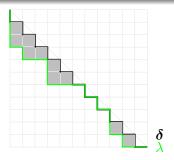
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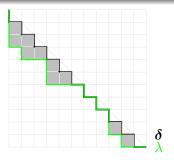
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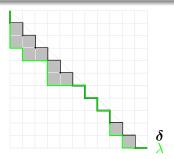
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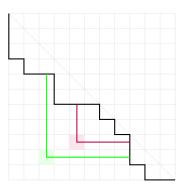
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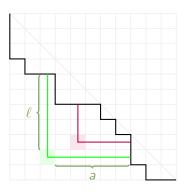
dinv

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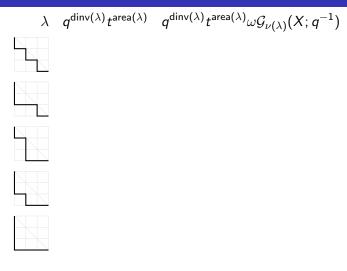
Balanced hook is given by a cell below λ satisfying

$$\frac{\ell}{\mathsf{a}+1} < 1 - \epsilon < \frac{\ell+1}{\mathsf{a}} \,, \quad \epsilon \text{ small}.$$

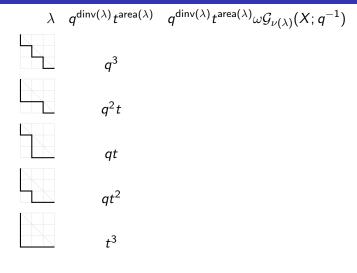
Example ∇e_3

$$\lambda \quad q^{\operatorname{dinv}(\lambda)} t^{\operatorname{area}(\lambda)} \quad q^{\operatorname{dinv}(\lambda)} t^{\operatorname{area}(\lambda)} \omega \mathcal{G}_{\nu(\lambda)}(X;q^{-1})$$

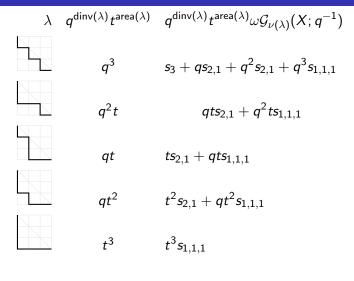
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 $q^3 \qquad s_3 + q s_{2,1} + q^2 s_{2,1} + q^3 s_{1,1,1}$
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- Entire quantity is q, t-symmetric
- Coefficient of $s_{1,1,1}$ in sum is a "(q, t)-Catalan number" $(q^3 + q^2t + qt + qt^2 + t^3)$.

When a problem is too difficult, try generalizing!

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Algebraic Expression Combinatorial Expression $\nabla e_k(X) = \sum q, t\text{-weighted Dyck paths}$

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For m, n > 0 coprime, the operator $e_k[-MX^{m,n}]$ acting on Λ satisfies

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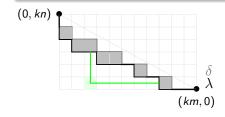
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Elliptic Hall Algebra

Burban and Schiffmann studied a subalgebra \mathcal{E} of the Hall algebra of coherent sheaves on an elliptic curve over \mathbb{F}_p .

The elliptic Hall algebra $\mathcal E$ is generated by subalgebras $\Lambda(X^{a,b})$ isomorphic to the ring of symmetric functions Λ over $\Bbbk=\mathbb Q(q,t)$, one for each coprime pair $(a,b)\in\mathbb Z^2$, along with an additional central subalgebra.

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Can be difficult to work with in general. Can we make it more explicit?

Root ideals

 $R_+ = \{\alpha_{ij} \mid 1 \leq i < j \leq n\}$ denotes the set of positive roots for GL_n , where $\alpha_{ij} = \epsilon_i - \epsilon_j$.

(12)	(13)	(14)	(15)
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A root ideal $\Psi \subseteq R_+$ is an upper order ideal of positive roots.

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 $\Psi = \text{Roots above Dyck path}$

Schur functions revisited

- Convention: $h_0 = 1$ and $h_d = 0$ for d < 0.
- For any $\gamma = (\gamma_1, \dots, \gamma_n) \in \mathbb{Z}^n$, set

$$s_{\gamma} = \det(h_{\gamma_i + j - i})_{1 \leq i, j \leq n}$$

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Then, $s_{\gamma}=\pm s_{\lambda}$ or 0 for some partition λ . Precisely, for $\rho=(n-1,n-2,\ldots,1,0)$,

$$s_{\gamma} = egin{cases} \mathrm{sgn}(\gamma +
ho) s_{\mathsf{sort}(\gamma +
ho) -
ho} & \text{if } \gamma +
ho \text{ has distinct nonnegative parts,} \\ 0 & \text{otherwise,} \end{cases}$$

- $sort(\beta)$ = weakly decreasing sequence obtained by sorting β ,
- $sgn(\beta) = sign$ of the shortest permutation taking β to $sort(\beta)$.

Example: $s_{201} = 0, s_{2-11} = -s_{200}$.

Weyl symmetrization

Define the Weyl symmetrization operator $\sigma: \mathbb{Q}[z_1^{\pm 1}, \dots, z_n^{\pm 1}] \to \Lambda(X)$ by linearly extending

$$z^{\gamma}\mapsto s_{\gamma}(X)$$

where $\mathbf{z}^{\gamma} = z_1^{\gamma_1} \cdots z_n^{\gamma_n}$.

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Example

$$\sigma(z^{111} + z^{201} + z^{210} + z^{3-11}) = s_{111} + s_{201} + s_{210} + s_{3-11} = s_{111} + s_{210} - s_{300}$$

Catalanimals

Definition

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$$H(R_q, R_t, R_{qt}, \lambda) = \sigma \left(rac{\mathbf{z}^{\lambda} \prod_{lpha \in R_{qt}} \left(1 - qt \mathbf{z}^{lpha}
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where $\mathbf{z}^{\alpha_{ij}} = z_i/z_j$ and $(1 - tz_i/z_j)^{-1} = 1 + tz_i/z_j + t^2z_i^2/z_j^2 + \cdots$

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With
$$n = 3$$
,
$$H(R_+, R_+, \{\alpha_{13}\}, (111)) = \sigma\left(\frac{\mathbf{z}^{111}(1 - qtz_1/z_3)}{\prod_{1 \le i < j \le 3}(1 - qz_i/z_j)(1 - tz_i/z_j)}\right)$$

$$= s_{111} + (q + t + q^2 + qt + t^2)s_{21} + (qt + q^3 + q^2t + qt^2 + t^3)s_3$$

$$= \omega \nabla e_3.$$

Why?

Let
$$R_+ = \{ \alpha_{ij} \mid 1 \le i < j \le I \}$$
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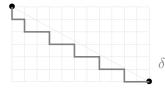
Proposition

For $(m, n) \in \mathbb{Z}^2$ coprime,

$$e_k[-MX^{m,n}] \cdot 1 = H(R_+, R_+, R_+^0, \mathbf{b})$$

for $\mathbf{b} = (b_0, \dots, b_{km-1})$ satisfying $b_i =$ the number of south steps on vertical line x = i of highest lattice path under line $y + \frac{n}{m}x = n$.

 $\delta = \text{highest Dyck path.}$



$$\delta$$
 b = (1, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0)

Manipulating Catalanimal \Longrightarrow a proof of the Rational Shuffle Theorem + a generalization.

Theorem (Blasiak-Haiman-Morse-Pun-S., 2023a)

Given $r, s \in \mathbb{R}_{>0}$ such that p = s/r irrational, take $\mathbf{b} = (b_1, \dots, b_l) \in \mathbb{Z}^l$ to be the south step sequence of highest path δ under the line y + px = s.

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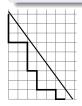
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$$H(R_+,R_+,R_+^0,\mathbf{b})=\sum_{\lambda} \qquad \qquad \omega \mathcal{G}_{
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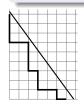
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$$H(R_+,R_+,R_+^0,\mathbf{b}) = \sum_{\lambda} t^{\operatorname{area}(\lambda)} q^{\operatorname{dinv}_p(\lambda)} \omega \mathcal{G}_{
u(\lambda)}(X;q^{-1})$$

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 $\operatorname{area}(\lambda)$ as before $\operatorname{dinv}_p(\lambda) = \#p$ -balanced hooks $\frac{\ell}{a+1}$

Why stop at $e_k[-MX^{m,n}]$?

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Special case: $\mathcal{G}_{m{
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For a tuple of skew shapes ν , the *LLT Catalanimal* $H_{\nu} = H(R_q, R_t, R_{qt}, \lambda)$ is determined by

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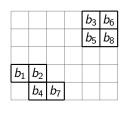
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- λ : fill each diagonal D of ν with $1 + \chi(D \text{ contains a row start}) \chi(D \text{ contains a row end})$. Listing this filling in reading order gives λ .

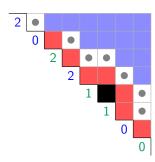
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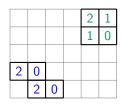
 ν



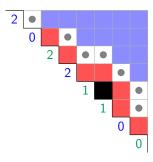
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 λ , as a filling of $oldsymbol{
u}$



LLT Catalanimals

Theorem (Blasiak-Haiman-Morse-Pun-S., 2021+)

Let ν be a tuple of skew shapes and let $H_{\nu} = H(R_q, R_t, R_{qt}, \lambda)$ be the associated LLT Catalanimal. Then

$$\nabla \mathcal{G}_{\nu}(X;q) = c_{\nu} \, \omega H_{\nu}$$

$$= c_{\nu} \, \omega \sigma \left(\frac{\mathbf{z}^{\lambda} \prod_{\alpha \in R_{qt}} (1 - qt \, \mathbf{z}^{\alpha})}{\prod_{\alpha \in R_{q}} (1 - q \, \mathbf{z}^{\alpha}) \prod_{\alpha \in R_{t}} (1 - t \, \mathbf{z}^{\alpha})} \right)$$

for some $c_{\nu} \in \pm q^{\mathbb{Z}} t^{\mathbb{Z}}$.

ullet Remember $abla ilde{H}_{\mu} = q^{n(\mu)} t^{n(\mu^*)} ilde{H}_{\mu}.$

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Outline

- Background on symmetric functions and Macdonald polynomials
- Shuffle theorems, combinatorics, and LLT polynomials
- A new formula for Macdonald polynomials

Haglund-Haiman-Loehr formula example

$$\tilde{H}_{\mu}(X;q,t) = \sum_{D} \left(\prod_{u \in D} q^{-\mathrm{arm}(u)} t^{\mathrm{leg}(u)+1}\right) \mathcal{G}_{\nu(\mu,D)}(X;q)$$

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$$\begin{array}{c|c}
b_1 \\
b_2 \\
b_4 \\
b_5
\end{array}$$

Putting it all together

• Take HHL formula $\tilde{H}_{\mu}=\sum_{D}a_{\mu,D}\mathcal{G}_{\nu(\mu,D)}$ and apply $\omega\nabla.$

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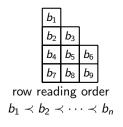
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- By construction, all the LLT Catalanimals $H_{\nu(\mu,D)}$ appearing on the RHS will have the same root ideal data (R_q,R_t,R_{qt}) .
- Collect terms to get $\prod_{(b_i,b_j)\in V(\mu)} (1-q^{\operatorname{arm}(b_i)+1}t^{-\operatorname{leg}(b_i)}z_i/z_j)$ factor for $V(\mu)$ the set of vertical dominoes (b_i,b_j) in μ .

$$\tilde{H}_{\mu} = \omega \sigma \left(z_{1} \cdots z_{n} \frac{\displaystyle\prod_{\alpha_{ij} \in V(\mu)} \left(1 - q^{\operatorname{arm}(b_{i})+1} t^{-\operatorname{leg}(b_{i})} z_{i} / z_{j} \right) \displaystyle\prod_{\alpha \in \widehat{R}_{\mu}} \left(1 - q t z^{\alpha} \right)}{\prod_{\alpha \in R_{+}} \left(1 - q z^{\alpha} \right) \prod_{\alpha \in R_{\mu}} \left(1 - t z^{\alpha} \right)} \right).$$

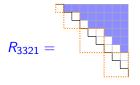
The root ideal R_{μ}



Example:

$$R_{\mu} := \{ \alpha_{ij} \in R_{+} \mid \operatorname{south}(b_{i}) \leq b_{j} \},$$

 $\widehat{R}_{\mu} := \{ \alpha_{ij} \in R_{+} \mid \operatorname{south}(b_{i}) \prec b_{j} \},$
 $R_{\mu} \setminus \widehat{R}_{\mu} \leftrightarrow V(\mu)$

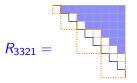


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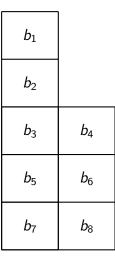
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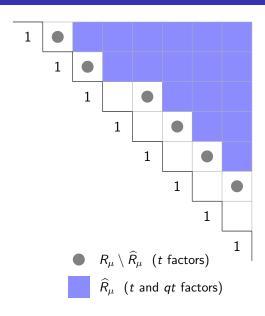
Remark

$$ilde{H}_{\mu}(X;0,t) = \omega \sigma \Big(rac{z_1 \cdots z_n}{\prod_{lpha \in R_n} (1 - t \mathbf{z}^{lpha})}\Big)$$

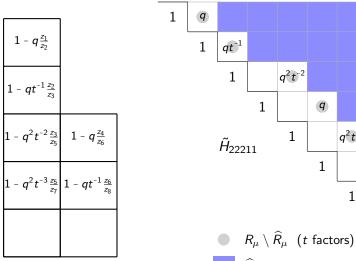
Example



partition $\mu = 22211$



Example



numerator factors $1-q^{\operatorname{arm}+1}t^{-\operatorname{leg}}z_i/z_i$

 \widehat{R}_{μ} (t and qt factors)

 q^2t^{-3}

 qt^{-1}

q=t=1 specialization

$$\omega \sigma \left(z_{1} \cdots z_{n} \frac{\prod_{\alpha_{ij} \in R_{\mu} \setminus \widehat{R}_{\mu}} \left(1 - q^{\operatorname{arm}(b_{i})+1} t^{-\operatorname{leg}(b_{i})} z_{i} / z_{j} \right) \prod_{\alpha \in \widehat{R}_{\mu}} \left(1 - q t \boldsymbol{z}^{\alpha} \right)}{\prod_{\alpha \in R_{+}} \left(1 - q \boldsymbol{z}^{\alpha} \right) \prod_{\alpha \in R_{\mu}} \left(1 - t \boldsymbol{z}^{\alpha} \right)} \right)$$

$$\stackrel{q=t=1}{\to} \omega \sigma \left(z_{1} \cdots z_{n} \frac{\prod_{\alpha \in R_{\mu} \setminus \widehat{R}_{\mu}} \left(1 - \boldsymbol{z}^{\alpha} \right) \prod_{\alpha \in \widehat{R}_{\mu}} \left(1 - \boldsymbol{z}^{\alpha} \right)}{\prod_{\alpha \in R_{+}} \left(1 - \boldsymbol{z}^{\alpha} \right) \prod_{\alpha \in R_{\mu}} \left(1 - \boldsymbol{z}^{\alpha} \right)} \right)$$

$$= \omega \sigma \left(\frac{z_{1} \cdots z_{n}}{\prod_{\alpha \in R_{+}} \left(1 - \boldsymbol{z}^{\alpha} \right)} \right)$$

$$= \omega h_{1}^{n}$$

$$= e_{1}^{n}$$

A positivity conjecture

What can this formula tell us that other formulas for Macdonald polynomials do not?

A positivity conjecture

What can this formula tell us that other formulas for Macdonald polynomials do not?

$$ilde{H}_{\mu}^{(s)} := \omega oldsymbol{\sigma} \left((z_1 \cdots z_n)^s \, rac{\prod\limits_{lpha_{ij} \in R_{\mu} \setminus \widehat{R}_{\mu}} \left(1 - q^{rm(b_i) + 1} t^{- \operatorname{leg}(b_i)} z_i / z_j
ight) \prod\limits_{lpha \in \widehat{R}_{\mu}} \left(1 - q t oldsymbol{z}^{lpha}
ight)}{\prod_{lpha \in R_{+}} \left(1 - q oldsymbol{z}^{lpha}
ight) \prod_{lpha \in R_{\mu}} \left(1 - t oldsymbol{z}^{lpha}
ight)}
ight)$$

Conjecture (Blasiak-Haiman-Morse-Pun-S.)

For any partition μ and positive integer s, the symmetric function $\tilde{H}_{\mu}^{(s)}$ is Schur positive. That is, the coefficients in

$$ilde{H}_{\mu}^{(s)} = \sum_{
u} \mathcal{K}_{
u,\mu}^{(s)}(q,t) \, \mathsf{s}_{
u}(X)$$

satisfy $K_{\nu,\mu}^{(s)}(q,t) \in \mathbb{N}[q,t]$.

Symmetric functions, representation theory, and combinatorics

Symmetric function	Representation theory	Combinatorics
$s_{\lambda}(X)$	Irreducible V_{λ}	$SSYT(\lambda)$
$\tilde{H}_{\lambda}(X;q,t)$	Garsia-Haiman M_λ	HHL
∇e_n	DH_n	Shuffle theorem
$ ilde{H}_{\lambda}^{(s)}(X;q,t)$??	??

Grazie mille!

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