# Diagonal Harmonics and Shuffle Theorems

#### George H. Seelinger

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on joint work with Jonah Blasiak, Mark Haiman, Jennifer Morse, and Anna Pun arXiv:2102.07931

Capsule Research Talk

23 August 2021

#### Outline

- Symmetric polynomials and The Shuffle Theorem
- Generalizations of The Shuffle Theorem
- Proof techniques and new progress

• Polynomials  $f \in \mathbb{Q}(q,t)[x_1,\ldots,x_n]$  satisfying  $\sigma.f = f$  for all  $\sigma \in S_n$ .

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#### Generators

$$e_r = \sum_{i_1 < i_2 < \dots < i_r} x_{i_1} x_{i_2} \cdots x_{i_r} \text{ or } h_r = \sum_{i_1 \le i_2 \le \dots \le i_r} x_{i_1} x_{i_2} \cdots x_{i_r}$$

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• E.g. for n = 3,

$$e_1 = x_1 + x_2 + x_3 = h_1$$

$$e_2 = x_1x_2 + x_1x_3 + x_2x_3 \quad h_2 = x_1^2 + x_1x_2 + x_1x_3 + x_2^2 + x_2x_3 + x_3^2$$

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- $\Lambda$  is a  $\mathbb{Q}(q,t)$ -algebra.

Distinguished basis of Schur polynomials

$$s_{\mu}(x_1,\ldots,x_l) = \sum_{w \in S_l} w\left(\frac{x_1^{\mu_1} \cdots x_l^{\mu_l}}{\prod_{i < j} (1 - x_j/x_i)}\right)$$

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• Basis of symmetric polynomials indexed by integer partitions  $\mu = (\mu_1, \dots, \mu_l) \in \mathbb{Z}^l$  where  $\mu_1 \ge \dots \ge \mu_l \ge 0$ .

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### Hidden Guide: Schur Positivity

"Naturally occurring" symmetric functions which are non-negative (coefficients in  $\mathbb{N}[q,t]$ ) linear combinations in Schur polynomial basis are interesting.

#### Theorem (Carlsson-Mellit, 2018)

$$abla e_k(X) = \sum_{\lambda} t^{\mathsf{area}(\lambda)} q^{\mathsf{dinv}(\lambda)} \omega \, G_{
u(\lambda)}(X; q^{-1})$$

Conjectured by (Haiman-Haglund-Loehr-Remmel-Ulyanov, 2002).

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• Algebraic LHS:  $\nabla e_k$  doubly graded character of diagonal coinvariants for  $S_k$  ((Haiman, 2002) via Hilbert Scheme connection).

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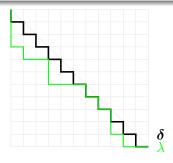
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- Combinatorial RHS: Combinatorics of Dyck paths.
- Summation over all k-by-k Dyck paths.
- area( $\lambda$ ) and dinv( $\lambda$ ) statistics of Dyck paths.
- $\mathcal{G}_{\nu(\lambda)}(X;q)$  a symmetric LLT polynomial indexed by a tuple of offset rows.

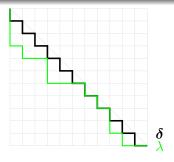
### Dyck paths

A Dyck path  $\lambda$  is a south-east lattice path lying below the line segment from (0,k) to (k,0).



#### Dyck paths

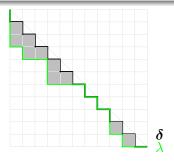
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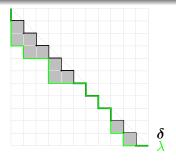
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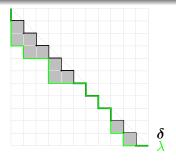
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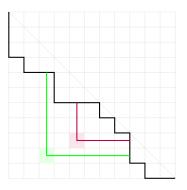
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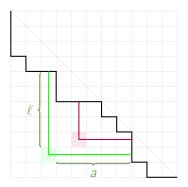
## dinv

 $\operatorname{dinv}(\lambda) = \#$  of balanced hooks in diagram below  $\lambda$ .



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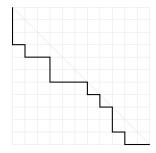


Balanced hook is given by a cell below  $\lambda$  satisfying

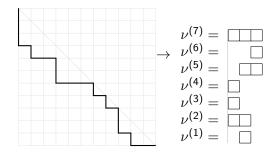
$$\frac{\ell}{\mathsf{a}+1}<1-\epsilon<\frac{\ell+1}{\mathsf{a}}\,,\quad \epsilon \text{ small}.$$

 $G_{\nu(\lambda)}(X;q)$  is an LLT polynomial for a tuple of rows,  $\nu(\lambda)=(\nu^{(1)},\dots,\nu^{(r)}).$ 

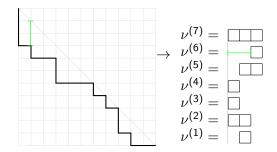
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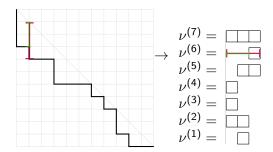
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u)} q^{i(T)} x^T$$

for T a weakly increasing filling of rows and i(T) the number of attacking inversions:

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1|2|3|3|5

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$$T = \frac{\boxed{1|1|6|7|7|7}}{} \rightarrow q^{i(T)}x^{T} = q^{18}x_{1}^{3}x_{2}^{2}x_{3}^{2}x_{4}^{2}x_{5}x_{6}x_{7}^{4}x_{8}x_{9}^{2}$$

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$$\mathcal{G}_{\square}(x_1, x_2; q) = x_1^3 + x_1^2 x_2 + x_1 x_2^2 + x_2^3 + q x_1^2 x_2 + q x_1 x_2^2$$

$$\boxed{111} \quad \boxed{112} \quad \boxed{112} \quad \boxed{212} \quad \boxed{11} \quad \boxed{212}$$

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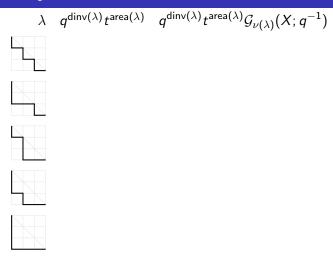
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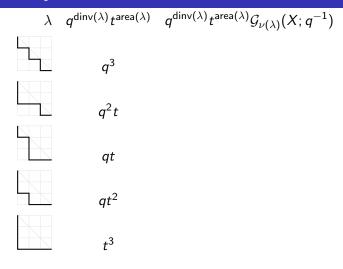
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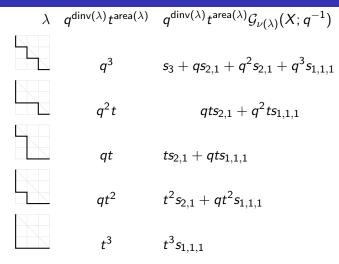
•  $\mathcal{G}_{\nu}$  is symmetric and Schur positive.

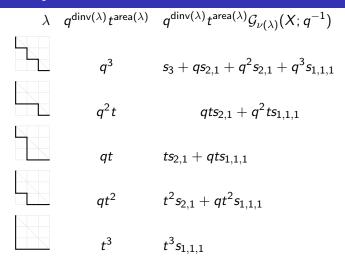
### Example $\nabla e_3$

$$\lambda \quad q^{\operatorname{dinv}(\lambda)} t^{\operatorname{area}(\lambda)} \quad q^{\operatorname{dinv}(\lambda)} t^{\operatorname{area}(\lambda)} \mathcal{G}_{\nu(\lambda)}(X;q^{-1})$$









• Entire quantity is q, t-symmetric

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 $qt \qquad ts_{2,1} + qts_{1,1,1}$ 
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- Entire quantity is q, t-symmetric
- Coefficient of  $s_{1,1,1}$  in sum is a "(q, t)-Catalan number"  $(q^3 + q^2t + qt + qt^2 + t^3)$ .

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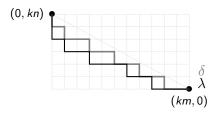
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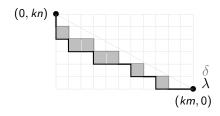
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• Coefficient of  $s_{1,...,1}$  is "rational (q, t)-Catalan number"

### Rational Path Combinatorics

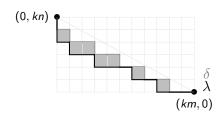


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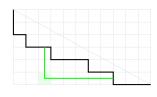


• area( $\lambda$ ) as before; number of boxes between  $\lambda$  and highest path  $\delta$  below  $y + \frac{n}{m}x = kn$ .

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- $\operatorname{dinv}_{p}(\lambda) = \operatorname{number} \operatorname{of} p$ -balanced hooks:



$$\frac{\ell}{a+1}  $p = \frac{n}{m} - \epsilon$$$

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Given  $r, s \in \mathbb{R}_{>0}$  such that p = s/r irrational, take  $(b_1, \ldots, b_l) \in \mathbb{Z}^l$  to be the south step sequence of highest path  $\delta$  under the line y + px = s.

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$$D_{(b_1,...,b_l)} \cdot 1 = \sum_{\lambda} \qquad \qquad \omega \mathcal{G}_{
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where summation is over all lattice paths under the line y + px = s,

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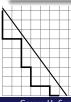
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u(\lambda)}(X;q^{-1})$$

where summation is over all lattice paths under the line y + px = s,



$$\mathrm{area}(\lambda)$$
 as before  $\mathrm{dinv}_p(\lambda) = \#p\text{-balanced hooks }\frac{\ell}{a+1}$ 

#### Outline

- Symmetric polynomials and The Shuffle Theorem
- Generalizations of The Shuffle Theorem
- Proof techniques and new progress

### Key Relationship

$$\omega(D_{\mathbf{b}} \cdot 1)(x_1, \dots, x_l) = \left( \sum_{w \in S_l} w \left( \frac{x_1^{b_1} \cdots x_l^{b_l} \prod_{i+1 < j} (1 - qtx_i/x_{i+1})}{\prod_{i < j} ((1 - \frac{x_j}{x_i})(1 - q\frac{x_i}{x_j})(1 - t\frac{x_i}{x_j}))} \right) \right)_{\text{pol}}$$

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Let  $\psi D_{\mathbf{b}}$  be RHS without applying pol. Easier to prove a "shuffle theorem-like" result on infinite series:

### Stable Shuffle Theorem (Blasiak-Haiman-Morse-Pun-S., 2021a)

For  $\mathbf{b} \in \mathbb{Z}^I$  corresponding to some choice of highest path under line of slope -r/s,

$$\psi D_{\mathbf{b}} = \sum_{\mathbf{a}_1, \dots, \mathbf{a}_{l-1} \geq 0} t^{|\mathbf{a}|} \mathcal{L}^{\sigma}_{((b_l, \dots, b_1) + (0, \mathbf{a}_{l-1}, \dots, \mathbf{a}_1))/(a_{l-1}, \dots, \mathbf{a}_1, 0)}(x_1, \dots, x_l; q)$$

for infinite formal sum  $\mathcal{L}^{\sigma}_{\beta/\alpha}$  a "series LLT." (Grojnowski-Haiman, 2007).

• (Twisted) non-symmetric Hall-Littlewood polynomials  $E_{\lambda}^{\sigma}(x_1,\ldots,x_l;q)$  defined via Demazure-Lusztig operators

$$T_i = qs_i + (1-q)\frac{s_i - 1}{1 - x_{i+1}/x_i}$$

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### Cauchy identity

$$\frac{\prod_{i < j} (1 - q t x_i y_j)}{\prod_{i \le j} (1 - t x_i y_j)} = \sum_{\mathbf{a} > 0} t^{|\mathbf{a}|} E_{\mathbf{a}}^{\sigma}(x_1, \dots, x_l; q^{-1}) F_{\mathbf{a}}^{\sigma}(y_1, \dots, y_l; q),$$

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•  $\mathcal{L}^{\sigma}_{eta/lpha}=H_q(w_0(F^{\sigma^{-1}}_eta(x;q)\overline{E^{\sigma^{-1}}_lpha(x;q)}))$  for

$$H_q(f) = \sum_{w \in S_l} w \left( f \prod_{i < j} ((1 - x_j/x_i)(1 - qx_i/x_j))^{-1} \right)$$

Note 
$$\psi D_b = H_q \left( x^{\mathbf{b}} \frac{\prod_{i+1 < j} (1 - qtx_i/x_j)}{\prod_{i < j} (1 - tx_i/x_j)} \right)$$

Note 
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$$\psi D_{\mathbf{b}} = \sum_{\substack{a_1, \dots, a_{l-1} > 0}} t^{|\mathbf{a}|} \mathcal{L}^{\sigma}_{((b_l, \dots, b_1) + (0, a_{l-1}, \dots, a_1))/(a_{l-1}, \dots, a_1, 0)}(x_1, \dots, x_l; q)$$

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#### Stable Shuffle Theorem

For  $\mathbf{b} \in \mathbb{Z}^{I}$  corresponding to some choice of highest path under line of slope -r/s,

$$\psi D_{\mathbf{b}} = \sum_{\mathbf{a}_1, \dots, \mathbf{a}_{l-1} > 0} t^{|\mathbf{a}|} \mathcal{L}^{\sigma}_{((b_l, \dots, b_1) + (0, \mathbf{a}_{l-1}, \dots, \mathbf{a}_1))/(\mathbf{a}_{l-1}, \dots, \mathbf{a}_1, 0)}(x_1, \dots, x_l; q)$$

Under polynomial truncation,

$$\mathcal{L}^{\sigma}_{eta/lpha}(x_1,\ldots,x_l;q) o q^{\mathsf{dinv}_p(\lambda)} \mathcal{G}_{
u(\lambda)}(x_1,\ldots,x_l;q^{-1})$$

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$$\Longrightarrow \omega(D_b \cdot 1)(x_1,\ldots,x_l) = \sum_{\lambda} t^{\mathsf{area}(\lambda)} q^{\mathsf{dinv}_p(\lambda)} \mathcal{G}_{\nu(\lambda)}(x_1,\ldots,x_l;q^{-1}) \,.$$

Same paradigm works to show the following formulas.

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### Extended Delta Theorem (Blasiak-Haiman-Morse-Pun-S., 2021b)

For  $\Delta_{h_l}$ ,  $\Delta'_{e_{k-1}}$  operators generalizing  $\nabla$ ,

$$\Delta_{\textit{h}_{\textit{l}}}\Delta'_{\textit{e}_{k-1}}\textit{e}_{\textit{n}} = \langle \textit{z}^{\textit{k}} \rangle \sum_{\lambda,\textit{P}} q^{\mathsf{dinv}(\textit{P})} t^{\mathsf{area}(\lambda)} x^{\textit{P}} \prod_{\textit{r}_{\textit{i}}(\lambda) = \textit{r}_{\textit{i}-1}(\lambda) + 1} (1 + \textit{z}t^{-\textit{r}_{\textit{i}}(\lambda)}) \,.$$

Same paradigm works to show the following formulas.

### Extended Delta Theorem (Blasiak-Haiman-Morse-Pun-S., 2021b)

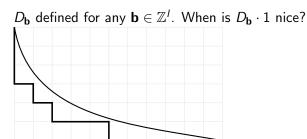
For  $\Delta_{h_l}$ ,  $\Delta'_{e_{k-1}}$  operators generalizing abla,

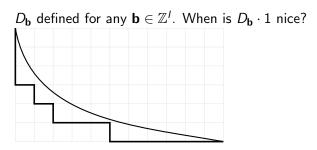
$$\Delta_{\textit{h}_{\textit{l}}}\Delta'_{\textit{e}_{k-1}}\textit{e}_{\textit{n}} = \langle \textit{z}^k \rangle \sum_{\lambda,\textit{P}} q^{\mathsf{dinv}(\textit{P})} t^{\mathsf{area}(\lambda)} x^{\textit{P}} \prod_{\textit{r}_{\textit{i}}(\lambda) = \textit{r}_{\textit{i}-1}(\lambda) + 1} (1 + \textit{z}t^{-\textit{r}_{\textit{i}}(\lambda)}) \,.$$

#### Loehr-Warrington Conjecture

$$abla s_{\mu} = \operatorname{sgn}(\mu) \sum_{(G,R) \in \mathit{LNDP}_{\mu}} t^{\operatorname{\mathsf{area}}(G,R)} q^{\operatorname{\mathsf{dinv}}(G,R)} x^R$$

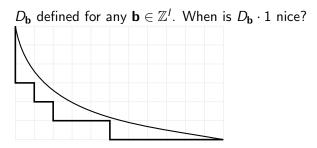
 $D_{\mathbf{b}}$  defined for any  $\mathbf{b} \in \mathbb{Z}^{I}$ . When is  $D_{\mathbf{b}} \cdot 1$  nice?





### Convex Curve Conjecture (Blasiak-Haiman-Morse-Pun-S., 2021a)

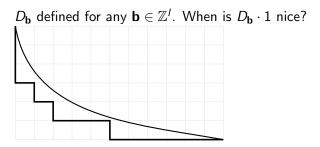
For  $\mathbf{b} = (b_1, \dots, b_l)$  the south steps of highest path under a convex curve, the Schur expansion of  $D_{\mathbf{b}} \cdot 1$  has coefficients in  $\mathbb{N}[q, t]$ .



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- Experimental computation suggests this is "tight."
- Coefficient of  $s_{1,...,1}$  coincides with (q, t)-polynomials found in (Gorsky-Hawkes-Schilling-Rainbolt, 2020), (Galashin-Lam, 2021).

#### References

#### Thank you!

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