Diagonal Harmonics and Shuffle Theorems

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on joint work with Jonah Blasiak, Mark Haiman, Jennifer Morse, and Anna Pun arXiv:2102.07931

OIST Representation Theory Seminar

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Outline

- Symmetric polynomials and diagonal harmonics
- The Shuffle Theorem and its generalizations
- Proof techniques and new progress

• Polynomials $f \in \mathbb{Q}(q,t)[x_1,\ldots,x_n]$ satisfying $\sigma.f = f$ for all $\sigma \in S_n$.

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• E.g. for n = 3,

$$e_1 = x_1 + x_2 + x_3 = h_1$$

$$e_2 = x_1x_2 + x_1x_3 + x_2x_3 \quad h_2 = x_1^2 + x_1x_2 + x_1x_3 + x_2^2 + x_2x_3 + x_3^2$$

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- Λ is a $\mathbb{Q}(q,t)$ -algebra.

Distinguished basis of Schur polynomials

$$s_{\mu}(x_1,\ldots,x_l) = \sum_{w \in S_l} w\left(\frac{x_1^{\mu_1} \cdots x_l^{\mu_l}}{\prod_{i < j} (1 - x_j/x_i)}\right)$$

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Hidden Guide: Schur Positivity

"Naturally occurring" symmetric functions which are non-negative (coefficients in $\mathbb{N}[q,t]$) linear combinations in Schur polynomial basis are interesting since they could have representation-theoretic models.

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$$\begin{split} M &= \operatorname{sp}\left\{ \left(\partial_{x_1}^a \partial_{x_2}^b \partial_{x_3}^c \right) \Delta \mid a, b, c \geq 0 \right\} \\ &= \operatorname{sp}\{\Delta, 2x_1(x_2 - x_3) - x_2^2 + x_3^2, 2x_2(x_3 - x_1) - x_3^2 + x_1^2, \\ &\quad x_3 - x_1, x_2 - x_3, 1 \} \end{split}$$

$$\mathsf{sp}\{\Delta, 2x_1(x_2-x_3)-x_2^2+x_3^2, 2x_2(x_3-x_1)-x_3^2+x_1^2, x_3-x_1, x_2-x_3, 1\}$$

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1 Break M up into irreducible S_n -representations.

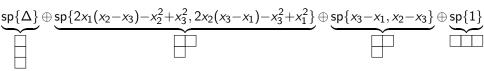
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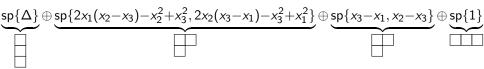
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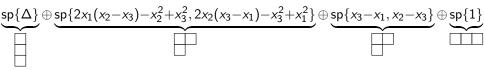
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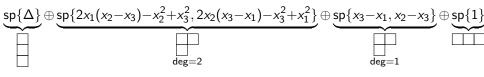
$$e_1^3 = (x_1 + x_2 + x_3)^3 = s_1 + s_1 + s_1 + s_1$$

Remark: $M \cong \mathbb{C}[x_1, x_2, x_3]/(\mathbb{C}[x_1, x_2, x_3]_+^{S_3}).$

Break M up into smallest S_n fixed subspaces

$$\underbrace{\sup\{\Delta\}}_{\text{deg}=2} \oplus \underbrace{\sup\{2x_1(x_2-x_3)-x_2^2+x_3^2,2x_2(x_3-x_1)-x_3^2+x_1^2\}}_{\text{deg}=2} \oplus \underbrace{\sup\{x_3-x_1,x_2-x_3\}}_{\text{deg}=1} \oplus \underbrace{\sup\{x_3-x_1,x_2-x_2\}}_{\text{deg}=1} \oplus \underbrace{\sup\{x_3-x_1,x_2-x_2\}}_{\text{deg}=1} \oplus \underbrace{\sup\{x_3-x_1,x_2-x_2\}}_{\text{deg}=1} \oplus \underbrace{\sup\{x_3-x_1,x_2-x_2\}}_{\text{deg}=1} \Big$$

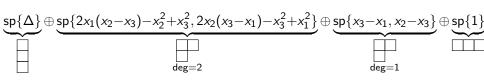
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Solution: irreducible S_n -representation of polynomials of degree $d\mapsto q^ds_\lambda$ (graded Frobenius)

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Answer: Hall-Littlewood polynomial $H_{\square}(X;q)$.

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- $\bullet \ \tilde{H}_{\lambda}(X;1,1)=e_1^{|\lambda|}.$
- Does there exist a family of S_n -regular representations whose bigraded Frobenius characteristics equal $\tilde{H}_{\lambda}(X;q,t)$?

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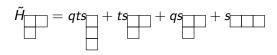
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• No combinatorial description of $\tilde{K}_{\lambda\mu}(q,t)$.

Observation

All of these Garsia-Haiman modules are contained in the module of diagonal harmonics:

$$DH_n = \operatorname{sp}\{f \in \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] \mid \left(\sum_{j=1}^n \partial_{x_j}^r \partial_{y_j}^s\right) f = 0, \forall r + s > 0\}$$

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Question

What symmetric function is the bigraded Frobenius characteristic of DH_n ?



Frobenius characteristic of DH_3



Frobenius characteristic of DH₃

$$=\frac{t^3\tilde{H}_{1,1,1}}{-qt^2+t^3+q^2-qt}-\frac{(q^2t+qt^2+qt)\tilde{H}_{2,1}}{-q^2t^2+q^3+t^3-qt}-\frac{q^3\tilde{H}_3}{-q^3+q^2t+qt-t^2}$$



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Compare to

$$e_3 = \frac{\tilde{H}_{1,1,1}}{-qt^2 + t^3 + q^2 - qt} - \frac{(q+t+1)\tilde{H}_{2,1}}{-q^2t^2 + q^3 + t^3 - qt} - \frac{\tilde{H}_3}{-q^3 + q^2t + qt - t^2}$$



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Operator ∇

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Theorem (Haiman, 2002)

The bigraded Frobenius characteristic of DH_n is given by ∇e_n .

Theorem (Carlsson-Mellit, 2018)

$$abla e_k(X) = \sum_{\lambda} t^{\mathsf{area}(\lambda)} q^{\mathsf{dinv}(\lambda)} \omega \mathcal{G}_{
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- Combinatorial RHS: Combinatorics of Dyck paths.

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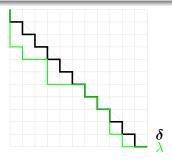
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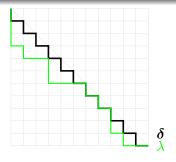
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Dyck paths

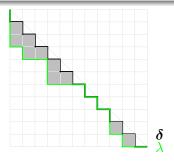
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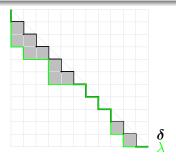
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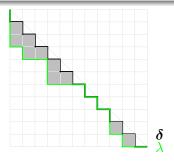
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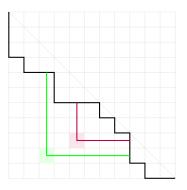
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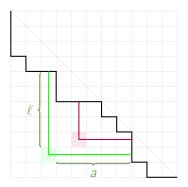
dinv

 $\operatorname{dinv}(\lambda) = \#$ of balanced hooks in diagram below λ .



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Balanced hook is given by a cell below λ satisfying

$$\frac{\ell}{\mathsf{a}+1}<1-\epsilon<\frac{\ell+1}{\mathsf{a}}\,,\quad \epsilon \text{ small}.$$

Defined in general for a tuple of skew shapes $\nu = (\nu^{(1)}, \dots, \nu^{(r)})$

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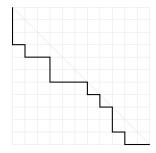
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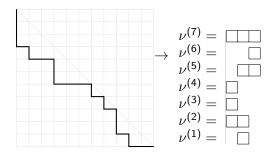
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- When $\nu^{(i)}$ are partitions, the Schur-expansion coefficients are essentially parabolic Kazdhan-Luzstig polynomials.
- \mathcal{G}_{ν} is Schur-positive for any tuple of skew shapes ν [Grojnowski-Haiman, 2007].

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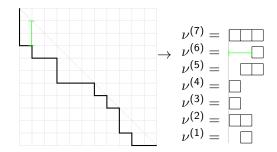
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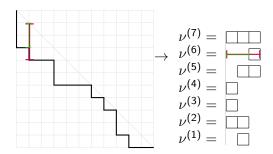
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for T a weakly increasing filling of rows and i(T) the number of attacking inversions:

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$$T = \frac{12335}{2447899}$$

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LLT Polynomials

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$$\begin{array}{c}
\boxed{1|2|3|3|5} \\
\boxed{2|4|4|7|8|9|9} \\
T =
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1 & 2 & 3 & 3 & 5 \\
\hline
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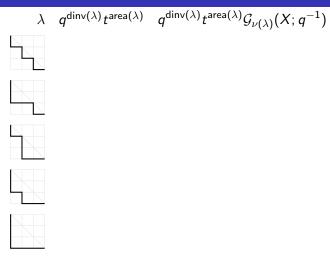
$$\mathcal{G}_{\square}(x_1, x_2; q) = x_1^3 + x_1^2 x_2 + x_1 x_2^2 + x_2^3 + q x_1^2 x_2 + q x_1 x_2^2$$

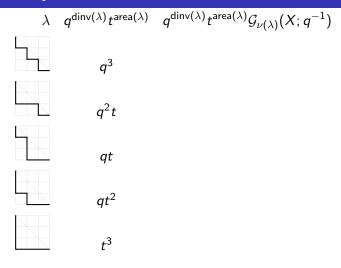
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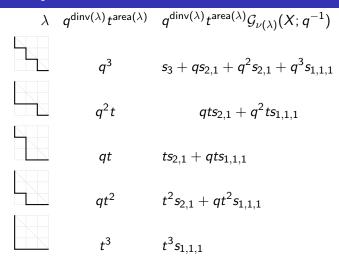
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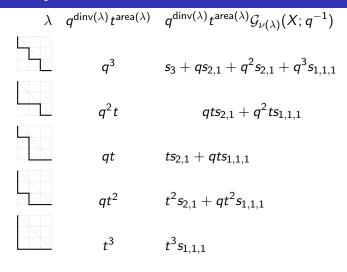
$$= s_3 + q s_{2,1}$$

$$\lambda \quad q^{\operatorname{dinv}(\lambda)} t^{\operatorname{area}(\lambda)} \quad q^{\operatorname{dinv}(\lambda)} t^{\operatorname{area}(\lambda)} \mathcal{G}_{\nu(\lambda)}(X;q^{-1})$$









• Entire quantity is *q*, *t*-symmetric

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 $q^3 \qquad s_3 + qs_{2,1} + q^2s_{2,1} + q^3s_{1,1,1}$
 $q^2t \qquad qts_{2,1} + q^2ts_{1,1,1}$
 $qt \qquad ts_{2,1} + qts_{1,1,1}$
 $qt^2 \qquad t^2s_{2,1} + qt^2s_{1,1,1}$
 $t^3 \qquad t^3s_{1,1,1}$

- Entire quantity is q, t-symmetric
- Coefficient of $s_{1,1,1}$ in sum is a "(q, t)-Catalan number" $(q^3 + q^2t + qt + qt^2 + t^3)$.

George H. Seelinger (UMich)

Outline

- Symmetric polynomials and diagonal harmonics
- The Shuffle Theorem and its generalizations
- Proof techniques and new progress

For an abelian category A, the *Hall algebra* of A has basis $\{[A]\}_{A \in ob(A)}$ and product

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- Burban and Schiffmann studied a subalgebra $\mathcal E$ of the Hall algebra of coherent sheaves on an elliptic curve over $\mathbb F_p$
- \mathcal{E} contains, for every coprime $m, n \in \mathbb{Z}$, subalgebra $\Lambda(X^{m,n}) \cong \Lambda$, with relations between them. (Burban-Schiffmann, 2012)

 $m{\cdot}$ ${\cal E}$ acts on Λ , e.g., for M=(1-q)(1-t) and automorphism ω ,

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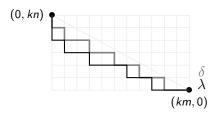
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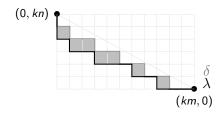
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• Coefficient of $s_{1,...,1}$ is "rational (q, t)-Catalan number"

Rational Path Combinatorics

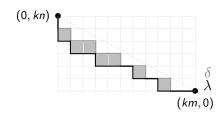


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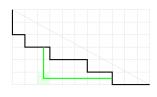


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$$\frac{\ell}{a+1}$$

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Given $r, s \in \mathbb{R}_{>0}$ such that p = s/r irrational, take $(b_1, \ldots, b_l) \in \mathbb{Z}^l$ to be the south step sequence of highest path δ under the line y + px = s.

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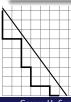
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Outline

- Symmetric polynomials and The Shuffle Theorem
- Generalizations of The Shuffle Theorem
- Proof techniques and new progress

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- Define $\sigma: \mathbb{Q}(q,t)(x_1,\ldots,x_l) \to \mathbb{Q}(q,t)(x_1,\ldots,x_l)^{S_l}$,

$$\phi \mapsto \sum_{w \in S_l} w \left(\phi \prod_{i < j} \frac{(1 - qtz_i/z_j)}{(1 - z_j/z_i)(1 - qz_i/z_j)(1 - tz_i/z_j)} \right).$$

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- Under isomorphism

$$\mathcal{E}^{+} \ni D_{\mathbf{b}} \leftrightarrow \sigma \left(\frac{z_{1}^{b_{1}} \cdots z_{l}^{b_{l}}}{\prod_{i=1}^{n-1} (1 - qtz_{i}/z_{i+1})} \right) \in S$$

Proof Idea

Key Relationship

$$\omega(D_{\mathbf{b}} \cdot 1)(x_1, \dots, x_l) = \left(\sum_{w \in \mathcal{S}_l} w \left(\frac{x_1^{b_1} \cdots x_l^{b_l} \prod_{i+1 < j} (1 - qtx_i/x_{i+1})}{\prod_{i < j} ((1 - \frac{x_j}{x_i})(1 - q\frac{x_i}{x_j})(1 - t\frac{x_i}{x_j}))} \right) \right)_{\mathsf{pol}}$$

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- Need an "infinite series" version of LLT polynomials!

Cauchy Identity

• Let Hecke algebra of S_I act on $\mathbb{Q}(q)[x_1^{\pm 1},\dots,x_I^{\pm 1}]$ via

$$T_i = qs_i + (1-q)\frac{s_i - 1}{1 - x_{i+1}/x_i}$$

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$$\frac{\prod_{i < j} (1 - q t x_i y_j)}{\prod_{i \le j} (1 - t x_i y_j)} = \sum_{\mathbf{a} > 0} t^{|\mathbf{a}|} E_{\mathbf{a}}^{\sigma}(x_1, \dots, x_l; q^{-1}) F_{\mathbf{a}}^{\sigma}(y_1, \dots, y_l; q),$$

Let
$$H_q(f) = \sigma\left(\frac{f}{\prod_{i < j}(1 - qx_i/x_j)}\right)$$
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Stable Shuffle Theorem

For $\mathbf{b} \in \mathbb{Z}^l$ corresponding to some choice of highest path under line of slope -r/s,

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Under polynomial truncation,

$$\mathcal{L}^{\sigma}_{eta/lpha}(x_1,\ldots,x_l;q) o q^{\operatorname{dinv}_p(\lambda)} \mathcal{G}_{
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Extended Delta Theorem (Blasiak-Haiman-Morse-Pun-S., 2021b)

$$\Delta_{\textit{h}_{\textit{r}}}\Delta'_{\textit{e}_{\textit{n}-1}}\textit{e}_{\textit{k}} = \langle \textit{z}^{\textit{n}} \rangle \sum_{\lambda,\textit{P}} \textit{q}^{\mathsf{dinv}(\textit{P})} t^{\mathsf{area}(\lambda)} \textit{x}^{\textit{P}} \prod_{\textit{r}_{\textit{i}}(\lambda) = \textit{r}_{\textit{i}-1}(\lambda) + 1} (1 + \textit{z}t^{-\textit{r}_{\textit{i}}(\lambda)}) \,.$$

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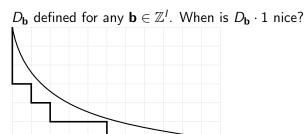
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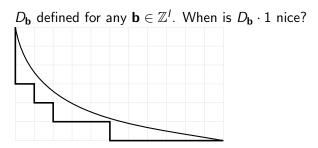
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•
$$\Delta_{h_r}\Delta'_{e_{n-1}}e_k = \sum_{\substack{s \in \mathbb{N}^{k+r}: |s|=n-k \ 1 \in J \subseteq [k+r], |J|=k}} (D_{s+\epsilon_J} \cdot 1)$$

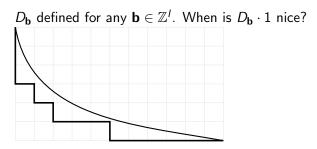
 $D_{\mathbf{b}}$ defined for any $\mathbf{b} \in \mathbb{Z}^{I}$. When is $D_{\mathbf{b}} \cdot 1$ nice?





Convex Curve Conjecture (Blasiak-Haiman-Morse-Pun-S., 2021a)

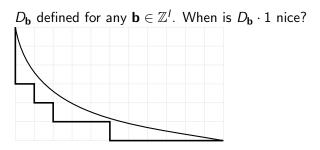
For $\mathbf{b} = (b_1, \dots, b_l)$ the south steps of highest path under a convex curve, the Schur expansion of $D_{\mathbf{b}} \cdot 1$ has coefficients in $\mathbb{N}[q, t]$.



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- Experimental computation suggests this is "tight."
- Coefficient of $s_{1,...,1}$ coincides with (q, t)-polynomials found in (Gorsky-Hawkes-Schilling-Rainbolt, 2020), (Galashin-Lam, 2021).

Loehr-Warrington Conjecture (2008)

$$abla s_{\mu} = \mathsf{sgn}(\mu) \sum_{(G,R) \in \mathit{LNDP}_{\mu}} t^{\mathsf{area}(G,R)} q^{\mathsf{dinv}(G,R)} x^R$$

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- What are the Schur expansion coefficients of $D_{\mathbf{b}} \cdot 1$?
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- S_I -representation theory interpretations?

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Thank you!

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