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# Exercise 1 | TKO\_7092 Evaluation of Machine Learning Methods 2025

Prediction of the metal ion content from multi-parameter data

Use K-Nearest Neighbor Regression with euclidean distance to predict total metal concentration (c\_total), concentration of Cadmium (Cd) and concentration of Lead (Pb), using number of neighbors k = 1, 3, 5, 7.

- You may use Nearest Neighbor Regression from https://scikit-lear n.org/stable/modules/neighbors.html
- Implement Leave-One-Out cross-validation and calculate the C-ind ex for each output (c\_total, Cd, Pb).
- Implement Leave-Replicas-Out cross-validation and calculate the C-index for each output (c\_total, Cd, Pb).
- Return your solution as a Jupyter Notebook .ipynb notebook and a s a PDF-file made from it. Please, add your full name to the file name.
- The exercise will be graded by a maximum of 2 points.
- Submit to moodle your solution on \*\* Wednesday 5 of February \*\* at the latest.

Please be aware that you are required to submit your individual solution.

Submissions with identical or similar code will result in a failure for the exercise.

# **Import libraries**

```
In [67]: import pandas as pd
    from scipy.stats import kendalltau
    from sklearn.neighbors import KNeighborsRegressor
    from sklearn.model_selection import LeaveOneOut
    import numpy as np
    import matplotlib.pyplot as plt
    from scipy.stats import somersd
```

## Read and visualize the dataset

**Note:** This dataset differs slightly from the one used in the video lectures. In this dataset, some mixtures have 3 replicas, while others have 4 replicas.

In the following cell:

• Read the file water\_data.csv

- Print the dimesions of the dataset (i.e. number of rows and columns) and display the first 5 rows.
- Identify the inputs and the outputs columns.
- Provide the number of mixtures with 3 replicas and 4 replicas, respectively.

```
In [68]: # Here we are reading the dataset
         file_path = 'water_data.csv'
         df = pd.read_csv(file_path)
```

In [54]: df

# Out[54]:

	c_total	Cd	Pb	Mod1	Mod2	Mod3
0	0.0	0.0	0.0	-0.999216	-0.714208	-0.414911
1	0.0	0.0	0.0	-0.990800	-0.714373	-0.238335
2	0.0	0.0	0.0	-0.990539	-0.714125	0.020788
3	14.0	0.0	14.0	-1.001247	-0.713546	0.945465
4	14.0	0.0	14.0	-1.013727	-0.714125	0.569631
220	5000.0	4000.0	1000.0	-0.874613	-0.584157	-1.515186
221	5000.0	4000.0	1000.0	-0.875954	-0.604495	-1.516845
222	5000.0	5000.0	0.0	-0.872241	-0.349768	0.499810
223	5000.0	5000.0	0.0	-0.872021	-0.354729	0.777301
224	5000.0	5000.0	0.0	-0.865256	-0.373414	0.712840

225 rows × 6 columns

In [55]: df.head()

#### Out[55]:

	c_total	Cd	Pb	Mod1	Mod2	Mod3
0	0.0	0.0	0.0	-0.999216	-0.714208	-0.414911
1	0.0	0.0	0.0	-0.990800	-0.714373	-0.238335
2	0.0	0.0	0.0	-0.990539	-0.714125	0.020788
3	14.0	0.0	14.0	-1.001247	-0.713546	0.945465
4	14.0	0.0	14.0	-1.013727	-0.714125	0.569631

```
In [69]: # Print dataset dimensions and first 5 rows
print(f"Dataset Dimensions: {df.shape}")
df.head()
```

Dataset Dimensions: (225, 6)

#### Out[69]:

	c_total	Cd	Pb	Mod1	Mod2	Mod3
0	0.0	0.0	0.0	-0.999216	-0.714208	-0.414911
1	0.0	0.0	0.0	-0.990800	-0.714373	-0.238335
2	0.0	0.0	0.0	-0.990539	-0.714125	0.020788
3	14.0	0.0	14.0	-1.001247	-0.713546	0.945465
4	14.0	0.0	14.0	-1.013727	-0.714125	0.569631

```
In [71]: # Identifying unique mixtures based on the first three columns (output colu
grouped = df.groupby(['c_total', 'Cd', 'Pb'])

# Counting the number of replicas for each unique mixture
replica_counts = grouped.size().reset_index(name='Replica_Count')

# Merging back with the original dataset to retain the replica count inform
df = df.merge(replica_counts, on=['c_total', 'Cd', 'Pb'], how='left')

# Print number of mixtures with 3 and 4 replicas
num_3_replicas = (df['Replica_Count'] == 3).sum()
num_4_replicas = (df['Replica_Count'] == 4).sum()
print(f"Number of mixtures with 3 replicas: {num_3_replicas}")
print(f"Number of mixtures with 4 replicas: {num_4_replicas}")
```

Number of mixtures with 3 replicas: 129 Number of mixtures with 4 replicas: 96

```
In [58]: # Define input and output variables
X = df[['Mod1', 'Mod2', 'Mod3']].values
y = df[['c_total', 'Cd', 'Pb']].values
```

## C-index code

```
In [59]: # In this cell is the fuction that computes the c-index value based on Some
# Use this fuction as the evaluation metric in the Leave-One-Out (LOOCV) an

def cindex(true, pred):
    s_d = somersd(true, y=pred, alternative='two-sided')
    c_index = (s_d.statistic + 1.0)/2.0
    return c_index
```

## **Functions**

In [60]: # In this cell add the functions that you need for the data analysis part.

## Results for Leave-One-Out cross-validation

```
In [61]: # Here run your script for Leave-One-Out cross-validation and print the cor
In [62]: # Initialize Leave-One-Out cross-validation
         loo = LeaveOneOut()
         # Evaluate C-index for different k values
         k \text{ values} = [1, 3, 5, 7]
         c_index_results = []
         for k in k_values:
             # Initialize lists to store true and predicted values for each output
             y_true_all = [[] for _ in range(3)]
             y_pred_all = [[] for _ in range(3)]
             for train_index, test_index in loo.split(X):
                 X_train, X_test = X[train_index], X[test_index]
                 y_train, y_test = y[train_index], y[test_index]
                 # Train Nearest Neighbors Regression model
                 model = KNeighborsRegressor(n neighbors=k)
                 model.fit(X_train, y_train)
                 # Make predictions
                 y_pred = model.predict(X_test)
                 # Store true and predicted values for each output
                 for i in range(3):
                     y_true_all[i].append(y_test[:, i][0]) # Ensure scalar values
                     y_pred_all[i].append(y_pred[:, i][0])
             # Compute C-index for each output variable
             c_indices = [cindex(y_true_all[i], y_pred_all[i]) for i in range(3)]
             c_index_results.append([k] + c_indices)
         # Convert results to a DataFrame and display
         c_index_df = pd.DataFrame(c_index_results, columns=['k', 'C-index c_total',
         print(c index df)
            k C-index c_total C-index Cd C-index Pb
                      0.908283 0.921869
         0 1
                                              0.880549
         1 3
                      0.914191
                                  0.899591
                                              0.874452
         2 5
                      0.894101
                                  0.861966
                                              0.854261
                      0.873729
                                 0.814152
                                              0.835533
```

# Results for Leave-Replicas-Out cross-validation

```
In [63]: # Here run your script for Leave-Replicas-Out cross-validation and print th
In [64]: | c_index_results_lro = []
         for k in k values:
             y_true_all = [[] for _ in range(3)]
             y_pred_all = [[] for _ in range(3)]
             for mixture, group in df.groupby(['c_total', 'Cd', 'Pb']):
                 if len(group) > 1: # Ensure there are replicas
                     test = group # Use all replicas as test data
                     train = df.drop(test.index) # Exclude all replicas from traini
                     X_train, y_train = train[['Mod1', 'Mod2', 'Mod3']], train[['c_t
                     X_test, y_test = test[['Mod1', 'Mod2', 'Mod3']], test[['c_total
                     model = KNeighborsRegressor(n_neighbors=min(k, len(X_train)))
                     model.fit(X train, y train)
                     y_pred = model.predict(X_test)
                     for i in range(3):
                         y_true_all[i].extend(y_test.iloc[:, i].values)
                         y_pred_all[i].extend(y_pred[:, i])
             c_indices = [cindex(y_true_all[i], y_pred_all[i]) for i in range(3)]
             c_index_results_lro.append([k] + c_indices)
         # Convert results to a DataFrame and display
         c index df lro = pd.DataFrame(c index results lro, columns=['k', 'C-index d
         print("Leave-Replicas-Out Cross-Validation Results:")
         print(c index df lro)
         Leave-Replicas-Out Cross-Validation Results:
            k C-index c_total C-index Cd C-index Pb
         0 1
                      0.818413 0.778427 0.737775
         1 3
                      0.818673
                                0.761452
                                             0.768948
                      0.811702
                                 0.739717
                                             0.747839
```

```
0.816371
         0.715309
                    0.761849
```

## Plot Leave-One-Out and Leave-Replicas-Out Results

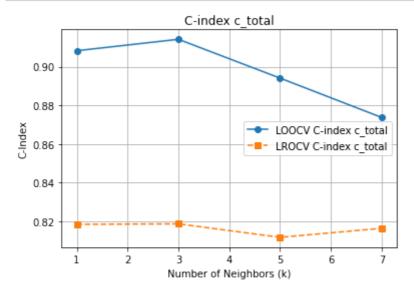
Note: You may plot the results as they were presented in the video lecture (refer to MOOC2-Module 2 .pptx slides).

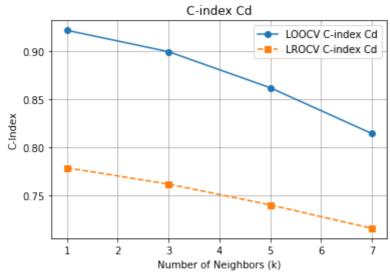
```
In [72]: # just to verify the variables
print(c_index_df)
print(c_index_df_lro)
```

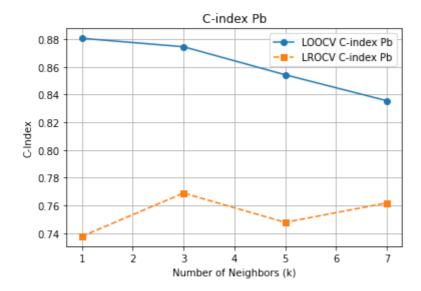
	k	C-index c_total	C-index Cd	C-index Pb
0	1	0.908283	0.921869	0.880549
1	3	0.914191	0.899591	0.874452
2	5	0.894101	0.861966	0.854261
3	7	0.873729	0.814152	0.835533
	k	C-index c_total	C-index Cd	C-index Pb
0	1	0.818413	0.778427	0.737775
1	3	0.818673	0.761452	0.768948
2	5	0.811702	0.739717	0.747839
3	7	0.816371	0.715309	0.761849

```
In [66]: #plotting the graphhs between k-valus and c-index for total, Cd and Pb
    outputs = ['C-index c_total', 'C-index Cd', 'C-index Pb']
    for output in outputs:
        plt.figure(figsize=(6, 4))
        plt.plot(k_values, c_index_df[output], marker='o', linestyle='-', label
        plt.plot(k_values, c_index_df_lro[output], marker='s', linestyle='--',

        plt.xlabel("Number of Neighbors (k)")
        plt.ylabel("C-Index")
        plt.title(f"{output}")
        plt.legend()
        plt.grid()
        plt.show()
```







# Interpretation of results

#### Answer the following questions based on the results obtained

- 1. Which cross-validation approach produced more optimistic results, and why?
- 2. Which cross-validation method provides a better estimate of the model's performance on unseen mixtures? Explain your answer.

#### **Answers:**

Answer: 1 I think the Leave-One-Out cross-validation (LOOCV) generally produces more optimistic results compared to Leave-Replicas-Out cross-validation (LROCV). This is because LOOCV removes only one single sample at a time, which allows the model to train on almost the entire dataset, which leads to lower variability in predictions and often sometimes higher C-index values. On the other hand, LROCV removes entire sets of replicated measurements for a given mixture, which makes the test set more independent and which in turn prevents the model from relying on nearly identical training samples. This results in a more realistic evaluation of generalization performance, which often leads to lower C-index values compared to LOOCV.

Answer: 2 I think LROCV provides a better estimate of the model's performance on unseen mixtures compared to LeaveLOOCV. I think this is because LROCV removes all replicas of a given mixture from the training set, which ensures that the model is tested on completely unseen data. on the other hand LOOCV only removes one single instance at a time, which means that the test sample often has very similar training samples available, which leads to an overestimation of the model's performance. I think by ensuring that the test data is truly independent of the training set, LROCV is able to give a more realistic measure of the model's generalization ability to new mixtures.