

What characterizes a (software) component?

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Abstract. The definitions and discussions below were contributed via e-mail. They are arranged by date. The experts, listed alphabetically above, participated in this virtual round table during the first quarter of 1998.

Key words: Software component – Component versus object/class – Component versus module

Points 1–4 come from an article by Wolfgang Hesse and Friedrich Wetz entitled “Project Management for Evolutionary Software Development”.

Wolfgang Pree, Gustav Pomberger

Our definition of components is derived from examining the deficiencies of the object-oriented paradigm:

- Classes/objects implemented in one programming language cannot interoperate with those implemented in other languages.
- Objects are typically composed on the language level. Black-box composition support is missing, that is, visual/interactive tools that allow the plugging together of objects.

Characteristics of components:

- A component is simply a data capsule. Thus information hiding becomes the core construction principle underlying components.
- A component can be implemented in (almost) any language, not only in any module-oriented or object-oriented languages but even in conventional languages.
- As a consequence, standards for describing components have been established.
- The component (module) interface is described either
 - textually by means of an interface description language (IDL) or
 - visually/interactively using appropriate tools.

Anton Deimel

1. A component represents one or more logical or organization-related processes or tasks (for example, purchasing, sales or management of master data).
2. A component is more coarse-grained than single classes; in other words, a component usually consists of several logically coherent classes.
3. A component is unique from other components because a class can be assigned only once to a component.
4. A component may consist of other components.
5. A component uses precisely-defined interfaces to communicate with other components.
6. A component is independent of the release (components can be upgraded and distributed) and can be delivered separately.
7. Frameworks form the underlying technology for components.
8. A component may be a client and server for other components.

- Framework architectures form the enabling technology of plug & play software, where most adaptations can be achieved by exchanging components. Visual, interactive composition tools are ideally built on top of domain-specific frameworks.
- Single-component reuse is less attractive. It means that programmers build the overall software system architecture on their own. They have to locate the appropriate components in a Lego building block library and define their interactions.

Kai Koskimies

It is probably very difficult to cover all aspects that are associated with components in different contexts. There are lists of component features in some textbooks trying to do this, but I suspect that they are rather long because the authors themselves are a bit unsure of the essence of a component. And so am I. Anyway, I would suggest something like the following:

A component is a system-independent binary entity which implements one or more interfaces. An interface is a collection of signatures of services belonging logically together.

A point here is that since a component implements interfaces, it can be plugged in to any context requiring one of those interfaces. System-independence means interoperability with respect to languages, platforms, applications, tools etc. The fact that an interface consists of logically related services implies that a component has a “meaning”, so to say, i.e. an identifiable role in the system it is plugged into. As far as I can see, this definition does not contradict Wolfgang’s characterizations. BTW, I noted that Oscar Nierstrasz has used the definition: “static abstraction with plugs”. That is perhaps too terse for my taste.

Clemens Szyperski

Here is a compact definition that we developed in the first Workshop on Component-Oriented Programming (WCOP’96) at ECOOP’96 in Linz:

A software component is a unit of composition with contractually specified interfaces and explicit context dependencies only. A software component can be deployed independently and is subject to composition by third parties [WCOP’96 Summary in ECOOP’96 Workshop Reader, dpunkt Verlag, 1997, ISBN 3-920993-67-5].

The entire one-day workshop mostly concentrated on producing this definition, which since then has survived intact.

Michael Stal

To complete the definitions parade I want to just add my own definition:

A component is a binary unit that exports and imports functionality using a standardized interface mechanism. The underlying component infrastructure supports composition of components by providing mechanisms for introspection, event-handling, persistence, dynamic linking and layout management. In general, application frameworks are required for building components as well as for composing them.

What about this definition that incorporates what componentware technologies such as Opendoc, ActiveX Controls and JavaBeans provide.

František Plášil

In addition to CORBA and dynamic component updating (mainly in Java), my research interests include Architecture Description Languages (ADLs). Below are my comments on the component concept from this perspective.

>From szypersk@fit.qut.edu.au Sat Jan 31 05:01 MET
>1998

>Here is a compact definition that we developed in the
>first Workshop on Component-Oriented Programming
>(WCOP’96) at ECOOP’96 in Linz:

- >
- > A software component is a unit of composition with
- > contractually specified interfaces and explicit
- > context dependencies only. A software component can
- > be deployed independently and is subject to
- > composition by third parties.

A crucial point that was lacking in Kai’s two-liner: components that are at all useful *cannot* be entirely context-independent. However, they have to come with a clear specification of what it is that they depend on: required interfaces, that is, services they need but don’t provide themselves.

- Sure, in ADLs a component specification typically includes the “provides” and “requires” clauses (interface(s)).
- Components are interconnected: (provides/requires connections) to cover different paradigms of interconnection under one roof; ADLs provide the “connector” abstraction. Different types of connectors may coexist in one architecture (RPC, events, pipe, ORB, ...). Connectors also solve the interface adaptation problems; informally, there are a number of adapters (method granularity) in a connector (interface granularity).
- Components can be nested.

>From anton.deimel@sap-ag.de Tue Feb 3
 >4. A component may consist of other components.

This helps with structuring of the architecture being designed, and, of course, encapsulates the functionality of nested components. Overall, nesting influences granularity. Thus:

>From pree@acm.org Fri Jan 30 10:27 MET 1998
 >Single component reuse is less attractive. It means
 >that programmers build the overall software system
 >architecture on their own. They have to locate the
 >appropriate components in a Lego building block library
 >and define their interactions.

This works fine if nesting is not allowed and there is a separate abstraction to capture component composition (“framework” usually). As an aside, JavaBeans cannot be nested; however, the new version of JavaBeans, Glasgow, will allow for nesting of beans.

Framework issues:

>From pree@acm.org Fri Jan 30
 >* Objects are typically composed on the language
 > level. Black-box composition support is missing, that
 > is, visual/interactive tools that allow the plugging
 > together of objects.
 >* Framework architectures form the enabling technology
 > of plug&play software, where most adaptations can be
 > achieved by exchanging components.

From this I understand that Wolfgang has the black-box frameworks in mind. Thus a composed component CC created by nesting (and composing) of other components can be viewed as a (black-box) framework M if the internal architecture of CC is revealed and there is a way/tool for modification of M alias CC by (re)composition. This can include exchanging some of the CC subcomponents. This, of course, contradicts the idea of “binary” character of the CC component. As an aside, the CC “plug in” operation can be viewed as instantiation of M.

We use this idea in our SOFA/DCUP architecture; the modification operation is called update and can be even done at runtime.

Binary character:

>From kai.koskimies@research.nokia.com Fri Jan 30
 >A component is a system-independent binary entity ...

>From szypersk@fit.qut.edu.au Sat Jan 31
 >... . As an aside:
 >“binary” is not to be taken too literally – there is
 >nothing wrong with source delivery subject to
 >on-the-fly compilation. The point is that source-level
 >“reuse” is not intended.

In my view, an agreement for revealing the internals of a component may be granted by the provision policy (part of the component provider – customer contract). In a component nesting scenario, some of the components can be “private” some “revealed” = open to modification by the customer. (Analogy of source code /binary SW license). Then, the revealed components can play the role of framework(s).

The environment (platform) versus system independence:

>From kai.koskimies@research.nokia.com Fri Jan 30
 >A component is a system-independent binary entity which
 >implements one or more interfaces.
 >... to any context requiring one of those
 >interfaces. System independence means interoperability
 >with respect to languages, platforms, applications,
 >tools etc.

>From michael.stal@mchp.siemens.de Mon Feb 2
 >.. using a standardized interface mechanism. The
 >underlying component infrastructure supports
 >composition of components by providing mechanisms for
 >introspection, event-handling, persistence, dynamic
 >linking and layout management.

I am not sure if we are not asking too much with this requirement of general system independence. In my view, of course there is an underlying infrastructure which provides services as Michael points out. Different platforms may provide different services; e.g., the event models in CORBA and Java differ.

A nice abstraction that clearly separates the problem of reuse of objects (components) from portability of services is the meta-level architecture and/or meta-object protocol (e.g., University of Tokyo: The Apertos OS group, TCDublin: V. Cahill, Xerox PARC: G. Kiczales). The other issue related to system independence/portability is that a service might be orthogonal to a component’s code in one implementation, while another implementation may need support from the component. A typical example is persistency: if you use pJava, persistence is achieved automatically; if you port the component to CORBA you might end up providing methods for accessing the states of the objects involved in the component. Using the meta-level abstraction avoids this problem (the burden of porting is limited to the meta-level implementation only).

To summarize, my component characteristics include the following:

1. A component requires/provides interfaces (plus contract description).
2. Component nesting is allowed.
3. Revelation of a component’s internals may be granted by provision policy (providing the component as a framework).

4. A component may be platform specific (platform independence is welcome).

Manfred Broy

Let me be very provocative. All that I read does not define the notion of a component. It says a bit about properties one might expect from a component. But this is not enough.

I would like to see a general definition of the notion of a component which is *independent* of all the technical stuff (saying what is a component in Java or CORBA or whatever). Have a look at my article to see what I am aiming at:

http://www4.informatik.tu-muenchen.de/papers/Broy_CUC1996_klein_1996_Publication.html

P.S.: Below are my comments on the early messages:

- >Date: Fri, 30 Jan 1998 09:47:43 +0200
- >From: Wolfgang Pree
- >Characteristics of components:
- >* A component is simply a data capsule. Thus
- > information hiding becomes the core construction
- > principle underlying components.
- >* A component can be implemented in (almost) any
- > language, not only in any module-oriented or
- > object-oriented languages but even in conventional
- > languages

This all does not define a component!

- >* As a consequence, standards how to describe
- > components have been established. The component
- > (module) interface is described either
- > – textually by means of an interface description
- > language (IDL)
- > – visually/interactively by appropriate tools.

Description must not only mean the syntactic interface!

- >From: kai.koskimies@research.nokia.com (Koskimies Kai)
- >NRC/Hki)
- >Date: Fri, 30 Jan 1998 19:23:31 +0200
- >Subject: RE: component definition
- >It is probably very difficult to cover all aspects that
- >are associated with components in different
- >contexts. There are lists of component features in some
- >textbooks trying to do this, but I suspect that they
- >are rather long because the authors themselves are
- >a bit unsure of the essence of a component. And so am
- >I. Anyway, I would suggest something like the
- >following:
- > A component is a system-independent binary entity
- > which implements one or more interfaces.

What does “binary” mean here? What is the difference between one and two interfaces? If interfaces are collections, we should be able to join two interfaces into one.

- >An interface is a collection of signatures of services
- >belonging logically together.

What is a “signatures of services”?

- >From: Clemens Szyperski <szypersk@fit.qut.edu.au>
- > A software component is a unit of composition with
- > contractually specified interfaces and explicit
- > context dependencies only. A software component can
- > be deployed independently and is subject to
- > composition by third parties [WCOP’96 Summary in
- > ECOOP’96 Workshop Reader, dpunkt Verlag, 1997.
- > ISBN 3-920993-67-5].

How is the interface specified technically?

- >From: michael.stal@mchp.siemens.de
- >Date: Mon, 2 Feb 1998 08:30:10 +0100 (MET)
- >A component is a binary unit that exports and imports
- >functionality using a standardized interface
- >mechanism. The underlying component infrastructure
- >supports composition of components by providing
- >mechanisms for introspection, event-handling,
- >persistence, dynamic linking and layout management. In
- >general, application frameworks are required for
- >building components as well as for composing them.

This definition uses a lot of terms that have to be explained. For my taste it is a bit too dependent on a special technology.

- >From: anton.deimel@sap-ag.de Tue Feb 3 17:5
- >Date: Tue, 3 Feb 1998 17:44:17 +0100 (MET)
- >Definition of component:
- >1. A component represents one or more logical or
- > organization-related processes or tasks (for
- > example, purchasing, sales or management of master
- > data)
- >2. A component is more coarse-grained than single
- > classes; in other words, a component usually
- > consists of several logically coherent classes
- >3. A component is unique from other components because
- > a class can be assigned only once to a component.
- >4. A component may consist of other components.
- >5. A component uses precisely-defined interfaces to
- > communicate with other components
- >6. A component is independent of the release
- > (components can be upgraded and distributed) and can
- > be delivered separately
- >7. Frameworks form the underlying technology for
- > components.
- >8. A component may be a client and server for other
- > components.

This again lists a number of properties without saying what a component is.

- >From: kai.koskimies@research.nokia.com (Koskimies Kai)
- >NRC/Hki)
- >Date: Tue, 03 Feb 1998 19:51:13 +0200
- >I liked the “derived” definition of Clemens more than

>the workshop definition, maybe group work does not
 >always produce the optimal result... Michael's
 >definition sounded good, but perhaps the list of
 >supporting mechanisms need not be so exclusive?
 >
 >Clemens, I hope you do not mind if I try to attack the
 >workshop definition:
 >
 >>A software component is a unit of composition
 >I think a component is a unit of composition by the
 >definition of the word
 >>with contractually specified interfaces
 >"contractually specified" needs explanation Is
 >interface a part of a component? This seems to imply
 >so, but I would like to see interfaces as separate
 >entities
 >>and explicit context dependencies only.
 >This part is good
 >>A software component can be deployed independently
 >Independently of what? It needs anyway the explicitly
 >specified context
 >>and is subject to composition.
 >Again, isn't it trivially true that a component is
 >subject to composition
 >>by third parties.
 >What is the first and second party? Why the identity of
 >the component user is relevant?

I agree very much with that attack.

>From: plasil@nenya.ms.mff.cuni.cz
 >Date: Thu, 5 Feb 1998 15:09:52 +0100
 >I am not sure if we are not asking too much
 >with this requirement of general
 >system-independence.

I am very interested in an independent notion of a component.

>To summarize, my component characteristics include the
 >following:
 >1. A component requires/provides interfaces (plus
 > contract description).
 >2. Component nesting is allowed.
 >3. Revelation of a component's internals may be granted
 > by provision policy (providing the component as
 > a framework).
 >4. A component may be platform specific (platform
 > independence is welcome).

Again this does not solve the problem of what a component is.

Michael Stal

>>A component is a binary unit that exports and imports
 >>functionality using a standardized interface
 >>mechanism. The underlying component infrastructure
 >>supports composition of components by providing

>>mechanisms for introspection, event-handling,
 >>persistence, dynamic linking and layout management.
 >>In general, application frameworks are required for
 >>building components as well as for composing them.
 >
 >This definition uses a lot of terms that have to be
 >explained. For my taste it is a bit too dependent on
 >a special technology.

This definition was established summarizing several years of experience with componentware issues. I do not see why it is too dependent on a specific technology. However, I agree with your point that the other terms need some explanations (I assumed that these terms are general knowledge). It might help us to get some hints about where, from your point of view, technological dependencies are interwoven with the definition. Another problem I want to emphasize is that we are trying to define something that is still undefined and unclear and where no agreement can be reached. Every one of us has a different perspective on the notion of components. That is much the same as defining other terms such as "object" or "god". Thus in some sense every definition suggested so far is correct. But what is the consequence of this problem? We can either specify a very detailed but also very narrowed component definition. Or we could otherwise give a very high-level and abstract component definition that fits everything, such as:

A component is a binary software module that exports and imports functionality using a standardized interface mechanism.

I am not biased toward any of these alternatives, but I think we should better have a detailed and more restrictive definition, because otherwise our discussions will be not very fruitful.

Kai Koskimies

Definition of a component I am no longer sure that we understand this term in the same way. Let me conduct a simple test: could you all tick those items below that you consider to be a component?

- a) a subroutine in a Fortran mathematical library
- b) a class in Java
- c) an Ada library package
- e) an Oberon module
- g) a COM object
- h) a JavaBean
- i) any application that can be used by another application through a specified interface

If we have problems in achieving a common definition, one possibility would be to define different levels of "component-orientation", in the same way as Peter Wegner did in the article defining "object-orientedness" (object-based, class-based, object-oriented). We all seem to agree that a component is associated with an inter-

face, but properties like linking-time (static, dynamic) and platform independence are less clear. If we can find a natural hierarchy of components, that might be a real contribution and clarify the area in general.

Manfred Broy

Here are my reactions to recent e-mails.

>From: kai.koskimies@research.nokia.com (Koskimies Kai)
 >NRC/Hki)
 >Date: Mon, 23 Feb 1998 17:08:47 +0200
 >I am no longer sure that we understand this term in the
 >same way. Let me conduct a simple test: could you all
 >tick those items below that you consider as
 >a component:
 >a) a subroutine in a Fortran mathematical library
 >b) a class in Java
 >c) an Ada library package
 >e) an Oberon module
 >g) a COM object
 >h) a JavaBean
 >i) any application that can be used by another
 > application through a specified interface

I think all these can be seen as examples of components. However, I would be interested in a more general notion of a component – language independent (at least as a concept), freely combinable and executable on distributed platforms.

>From: michael.stal@mchp.siemens.de
 >Date: Mon, 23 Feb 1998 10:42:06 +0100 (MET)
 >This definition was established summarizing several
 >years of experience with componentware issues. I do not
 >see why it is too dependent on a specific technology.

I think a lot of the discussion is coined by specific programming languages or specific middleware. Is that what we want? Or do we aim at a more generic universal notion of a component?

>Every one of us has a different perspective on the
 >notion of components. That is pretty the same like
 >defining other terms such as “object” or “god”. Thus,
 >in some sense every definition suggested so far is
 >correct.

I do not think that the word “correct” is correct. Our definition should not be correct but capture the engineering intention.

>But what is the consequence of this problem? We can
 >either specify a very detailed but also very narrowed
 >component definition. Or we could otherwise give a very
 >high-level and abstract component definition that fits
 >everything such as
 > “A component is a binary software module that exports
 > and imports functionality using a standardized
 > interface mechanism. Point.”

That does not help very much, because it is too general and too low level (why binary?).

>I am not biased toward any of these alternatives, but I
 >think we should better have a detailed and more
 >restrictive definition, because otherwise our
 >discussions will be not very fruitful.

I agree!

Michael Stal

To me it seems that you already have a component definition in mind. Now it is time to see your definition of component, because that may help us with our own efforts.

What I'd additionally like to see is how other technological areas define components, e.g., ICs in the electronics domain. Are there any components in sciences such as mathematics or physics? Maybe some of you could get some input.

Wolfgang Pree

Manfred Broy wrote:

>Description must not only mean the syntactic interface!

Michael Stal wrote (replying to comments of Manfred Broy regarding his definition):

>This definition was established summarizing several
 >years of experience with componentware issues. I do not
 >see why it is too dependent on a...

As the last threads of discussion corroborate, we can clearly discern between the syntactic and the semantic aspects of components. (Probably this could form an initial classification scheme as proposed by Kai Koskimies.) Currently it seems that only syntactic issues have been addressed by component standards. In my opinion, this represents an important first step. On the other hand, I fully agree that this is not sufficient and that semantic aspects are even more important after these nasty basic problems have been solved. Unfortunately, almost no practically relevant concepts and tools are around so far to tackle the problems related to semantic issues.

Michael Stal

I've tried a new definition of the term component. Here is a definition that is not technology-biased, as my former definition was.

A component denotes a self-contained entity (black box) that exports functionality to its environment and may also import functionality from its environment using well-defined and open interfaces. In

this context, an interface defines the syntax and semantics of the functionality it comprises (i.e., it defines a contract between the environment and the component). Components may support their integration into the surrounding environment by providing mechanisms such as introspection or configuration functionality.

Jürgen Henn

I watched most of the discussions and found it quite interesting to see the different definitions, compared to what I had in mind and how we practice components. To be honest, I never before thought of a component as being something like a Fortran subroutine, but I can very well understand the rational behind this. It definitely has to do with the context of your thinking and the environment you are working in.

In such a case, object people (and I consider myself as being one of them) start to build abstractions and specializations. So why not defining a hierarchy of component types and try to attach the different definitions to the different levels?

My usage of component is more related to object technology and business applications. An ‘object component’ could be a specialization of a general component, and an ‘application component’ again is a specialization of an ‘object component’. Object components represent a collection of strongly related classes which form a functional, encapsulated unit to the outside world using well defined interfaces and behavior. They are elements for development, versioning, maintenance, etc. Application components extend this definition by integrating application services like persistency, transaction handling, security, presentation, etc. For example, an application component represents the granularity to define access rights or to define transactional context. These kind of components are primarily used because single classes do not provide the right granularity to structure applications. They require a component framework (which also consists of cooperating classes) as component infrastructure which defines the overall component structure and offers common services.

Wolfgang Pree

Juergen Henn wrote:

>My usage of component is more related to object
>technology and business applications. An ‘object
>component’ could be a specialization of a general
>component, and an ‘application component’ again is
>a specialization of an ‘object component’. ...

I don’t see the difference between object components and application components. The distinctive feature of appli-

cation components that you mention is application services. Then you list persistency, transaction handling, etc. as sample application services. I view these aspects as domain-independent and not application-specific.

Furthermore, your categorization does not answer the question of what components are. You seem to use components as synonymous term for objects/classes.

Manfred Broy

We all are very busy and the discussion did not really progress as we might have wished. Therefore I am adding some material taken from an article to make my position clear.

Postscript file, attached separately¹

Michael Stal

From my viewpoint the definition you provided reveals one possible definition for a specific kind of components. Interestingly, there are some commonalities between the definition I provided some weeks ago and yours. Maybe there is a way to find a common abstraction we all could agree on. I think that every one of us made some useful suggestions on specific viewpoints of components. We should now try to find a common denominator.

What could help us in this context is a mapping of your component definition to existing component technologies such as JavaBeans or ActiveX Controls.

Manfred Broy

I would be very interested in more specific comments on my proposal of a component model. Taking into account Michael’s comments I claim that JavaBeans or Active X components can also be represented that way (forgetting about inheritance, which basically is code reuse and therefore cannot be dealt with in a sufficiently abstract model).

Wolfgang Pree

Manfred Broy wrote:

>I would be very interested in more specific comments on
>my proposal of a component model. Taking into account
>Michael’s comments I claim that JavaBeans or Active X
>components can also be represented that way (forgetting
>about inheritance, which basically is code reuse and
>therefore cannot be dealt with in a sufficiently
>abstract model).

¹ See Appendix: A uniform mathematical concept of a component, pp 57–59

I agree that JavaBeans and ActiveX components can be represented this way. My concern is that the definition seems to be too general/abstract. As you mention, inheritance is neglected, which is not a problem per se. The point is that it becomes impossible to tell what distinguishes a component from an object. Or don't you see any differences?

I also don't see the benefits of describing the semantic aspects of a component by merely considering its input/output behavior. For example, could different components test each other based on this semantic information?

Manfred Broy

Dear Wolfgang,

I am very grateful for your response because it mentions a number of interesting aspects of components that should be discussed. Of course, you are right that my definition of a component is rather general. This can be seen as a disadvantage, but on the other hand, nevertheless, it has enough semantic power to include all kinds of component notions. As soon as you descend to a specific programming language or a specific component paradigm, of course, the notion has to be specialized, in particular if we are interested in more practical aspects of component description or reasoning about components. But – if componentware is about combining all kinds of components from different programming paradigms – a very general comprehensive notion is badly needed.

Another aspect is inheritance. Inheritance, as it is found in most object-oriented programming languages,

inheritance. Therefore it breaks all rules and principles of information hiding because in most cases inheritance of code means that you have to be aware of the implementation details of the classes and objects involved.

On the other hand there are ideas that understand inheritance in a more modular way than code inheritance, as property inheritance. In this case inheritance can be perfectly incorporated into my suggested model of a component.

Your question of whether an object is a component or what distinguishes a component from an object is an interesting one. This again has to do with the question of what a component is and how general this notion should be.

From my point of view, an object can be perfectly seen as a (in general) rather small component. In most cases we would be interested in larger components. But I see no reason why such larger components should not also be understood as objects. Especially if we have a bit more general notion of an object as being something which may be also composed of subobjects, then a component in an object-oriented framework can perfectly be a component in a non-object-oriented environment and vice versa.

Finally to your remark about the benefit of describing the semantic aspects of a component by merely considering its input/output behavior. I think this is what modularity is all about. If you are only interested as a user in a component but not in understanding its implementation, all you have to know is its input/output behavior. I see no reason why we should not describe this input/output behavior also in a way such that different components can use that information when we are trying to configure a system.