

Eidgenössische Finanzmarktaufsicht FINMA Autorité fédérale de surveillance des marchés financiers FINMA Autorità federale di vigilanza sui mercati finanziari FINMA Swiss Financial Market Supervisory Authority FINMA

## Authorised banks and securities firms

Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Aargauische Kantonalbank	Aarau 1	Bank				3
ABANCA CORPORACION BANCARIA S.A., Betanzos, succursale de Genève	Genève 1	Foreign bank branch office		Х		5
acrevis Bank AG	St. Gallen	Bank				4
AEK BANK 1826 Genossenschaft	Thun	Bank				4
AFS Execution Services B.V., Amsterdam, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office			Х	5
Allfunds Bank S.A., Madrid, Zurich Branch	Zürich	Foreign bank branch office				5
Alpha RHEINTAL Bank AG	Heerbrugg	Bank				4
Alpian SA	Genève	Bank	Х			5
Alternative Bank Schweiz AG	Olten 1 Fächer	Bank				4
AMINA Bank AG	Zug	Bank				5
Appenzeller Kantonalbank	Appenzell	Bank	· ·			4
Aquila AG	Zürich	Bank				5
Arab Bank (Switzerland) Ltd.	Genève 3	Bank	Х			4
AXION SWISS BANK SA	Lugano	Bank				4
Baader Helvea AG	Zürich	Securities firm	Х		Х	5
Baloise Bank AG	Solothurn	Bank				4
Banca Aletti & C. (Suisse) SA	Lugano	Bank	Х			5
Banca Credinvest SA	Lugano	Bank	Х			5
BANCA DEL CERESIO SA	Lugano	Bank	Х			5
BANCA DEL SEMPIONE SA	Lugano	Bank	Х			5
Banca dello Stato del Cantone Ticino	Bellinzona	Bank				3
Banca Popolare di Sondrio (Suisse) SA	Lugano	Bank	Х			4

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
BANCA ZARATTINI & CO. SA	Lugano	Bank	Χ		į	5
Banco Itaú (Suisse) SA	Zürich	Bank	Х		;	5
Banco Santander International SA	Genève 1	Bank	X		;	4
Bank Avera Genossenschaft	Wetzikon ZH	Bank	:		:	4
Bank BSU Genossenschaft	Uster	Bank			i	5
Bank CIC (Schweiz) AG	Basel	Bank	Х		i	4
Bank Cler AG	Basel	Bank			į	3
Bank EEK AG	Bern	Bank	:		;	4
Bank EKI Genossenschaft	Interlaken	Bank			;	4
Bank für Tirol und Vorarlberg Aktiengesellschaft, Innsbruck, Zweigniederlassung Staad	Staad SG	Foreign bank branch office				5
Bank Gantrisch Genossenschaft	Schwarzenburg	Bank				5
Bank in Zuzwil AG	Zuzwil SG	Bank			;	5
Bank J. Safra Sarasin AG	Basel	Bank	X		:	3
Bank Julius Bär & Co. AG	Zürich	Bank	:		;	3
Bank Leerau Genossenschaft	Kirchleerau	Bank			:	5
Bank Oberaargau AG	Huttwil	Bank	:		;	4
Bank of America Europe Designated Activity Company, Dublin, Zweigniederlassung Zürich	Zürich	Foreign bank branch office		1		5
Bank of China Limited, succursale de Genève	Genève	Foreign bank branch office	:		÷	5
Bank SLM AG	Münsingen	Bank			:	4
Bank Thalwil Genossenschaft	Thalwil	Bank			:	5
Bank von Roll AG	Zürich	Bank		i	;	5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Bank Vontobel AG	Zürich	Bank	:			3
BANK ZIMMERBERG AG	Horgen	Bank				5
bank zweiplus ag	Zürich	Bank	X			5
BankMed (Suisse) SA	Genève 1	Bank	Х			5
Bank-now AG	Horgen	Bank	į	Х	į	5
Banque Algérienne du Commerce Extérieur SA	Zürich	Bank	Х			5
BANQUE AUDI (SUISSE) SA	Genève 12	Bank	Х			4
BANQUE BANORIENT (SUISSE) SA	Genève 3	Bank	Х	1	i	5
Banque Bonhôte & Cie SA	Neuchâtel	Bank	:			5
Banque Cantonale de Fribourg	Fribourg	Bank		1	i	3
Banque Cantonale de Genève	Genève 2	Bank	:		i	3
Banque Cantonale du Jura SA	Porrentruy 2	Bank			i	4
Banque Cantonale du Valais	Sion	Bank	:			3
Banque cantonale neuchâteloise	Neuchâtel	Bank			i	4
Banque Cantonale Vaudoise	Lausanne	Bank	:		:	3
Banque Cramer & Cie SA	Genève 12	Bank			i	5
Banque de Commerce et de Placements SA	Genève 3	Bank	Х			5
Banque du Léman SA	Genève 1	Bank	X		i	5
Banque Eric Sturdza SA	Genève 3	Bank	:		i	5
Banque Havilland (Liechtenstein) AG, Vaduz, Zweigniederlassung Zürich	Zürich	Foreign bank branch office		X	i	5
BANQUE HERITAGE SA	Genève 6	Bank			:	5
Banque Internationale à Luxembourg (Suisse) SA	Zürich	Bank	Х	1	:	4

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Banque Internationale de Commerce - BRED (Suisse) SA	Genève	Bank	Х	Х		5
Banque Lombard Odier & Cie SA	Genève 2	Bank				3
Banque Pictet & Cie SA	Genève 73	Bank		:		3
Banque Syz SA	Genève	Bank				4
Banque Thaler SA	Genève 3	Bank	X			5
Barclays Bank (Suisse) SA	Genève 3	Bank	X	:		4
Barclays Capital, Zurich Branch of Barclays Bank PLC, London	Zürich	Foreign bank branch office				5
Basellandschaftliche Kantonalbank	Liestal	Bank		:		3
Basler Kantonalbank	Basel	Bank				3
Baumann & Cie KmG	Basel	Bank		:		5
BBO Bank Brienz Oberhasli AG	Brienz BE	Bank				5
BBVA SA	Zürich	Bank	X			5
BERGOS AG	Zürich	Bank				5
Berner Kantonalbank AG	Bern	Bank				3
Bernerland Bank AG	Sumiswald	Bank				4
Bezirks-Sparkasse Dielsdorf Genossenschaft	Dielsdorf	Bank				4
BG (Suisse) Private Bank SA	Lugano	Bank	Х			5
BGC BROKERS L.P., à Londres (Royaume-Uni), succursale de Nyon	Nyon	Foreign securities firm branch office			Х	5
Biene Bank im Rheintal Genossenschaft	Altstätten SG	Bank				5
BNP Paribas (Suisse) SA	Genève 11	Bank	Х			4
BNP Paribas, Paris, succursale de Zurich	Zürich	Foreign bank branch office		Х		5
BONDPARTNERS SA	Lausanne	Securities firm				5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Bordier & Cie SCmA	Genève	Bank				4
bridport & cie sa	Genève 3	Securities firm	X		Х	5
BS Bank Schaffhausen AG	Hallau	Bank				5
Burgergemeinde Bern, DC Bank Deposito-Cassa der Stadt Bern	Bern	Bank				5
Burgerliche Ersparniskasse Bern, Genossenschaft	Bern	Bank		Х		5
BZ Bank Aktiengesellschaft	Wilen b. Wollerau	Bank				5
CA Indosuez (Switzerland) SA	Genève	Bank	Χ			3
CACEIS Bank, Montrouge, succursale de Nyon / Suisse	Nyon	Foreign bank branch office				5
CACEIS Bank, Montrouge, succursale de Zurich / Suisse	Zürich	Foreign bank branch office		Х		5
Caisse d'Epargne Courtelary SA	Courtelary	Bank				5
Caisse d'Epargne d'Aubonne société coopérative	Aubonne	Bank				5
Caisse d'Epargne de Cossonay société coopérative	Cossonay-Ville	Bank				5
Caisse d'Epargne de Nyon société coopérative	Nyon	Bank				5
Caisse d'Epargne et de Crédit Mutuel de Chermignon société coopérative	Chermignon	Bank	1	Х		5
Caisse d'Epargne Riviera, société coopérative	Vevey 1	Bank				5
CBH Compagnie Bancaire Helvétique SA	Genève	Bank				4
Cembra Money Bank AG	Zürich	Bank		Х		4
China Construction Bank Corporation, Beijing, Swiss Branch Zurich	Zürich	Foreign bank branch office				5
CIM BANQUE SA	Genève 6	Bank	Χ			5
Cité Gestion SA	Genève	Bank				5
Citibank (Switzerland) AG	Zürich	Bank	Χ			4
Citibank, N.A., Sioux Falls, succursale de Genève	Genève 3	Foreign bank branch office				4

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Citibank, N.A., Sioux Falls, Zurich Branch	Zürich	Foreign bank branch office				4
${\tt CITIGROUP\ GLOBAL\ MARKETS\ LIMITED,\ London,\ Zweigniederlassung\ Z\"{u}rich}$	Zürich	Foreign securities firm branch office			Х	5
Clientis AG	Bern	Bank	i			4
Clientis Bank Aareland AG	Küttigen	Bank				5
Clientis Bank im Thal AG	Balsthal	Bank	;			5
Clientis Bank Oberuzwil AG	Oberuzwil	Bank				5
Clientis Bank Thur Genossenschaft	Ebnat-Kappel	Bank	;			5
Clientis Bank Toggenburg AG	Kirchberg SG	Bank				5
Clientis Entlebucher Bank AG	Schüpfheim	Bank	;			5
Clientis Sparkasse Oftringen Genossenschaft	Oftringen	Bank				5
COMMERZBANK Aktiengesellschaft, Frankfurt am Main, Zweigniederlassung Zürich	Zürich	Foreign bank branch office				5
Compass Asset Management SA	Lugano 2 Paradiso Caselle	Securities firm			Х	5
Cornèr Banca SA	Lugano	Bank	:			4
Crédit Agricole next bank (Suisse) SA	Genève 26	Bank	Х			4
Credit Europe Bank (Suisse) SA	Genève	Bank	Х			5
CREDIT MUTUEL DE LA VALLEE SA	Le Sentier	Bank				5
Crypto Finance AG	Zürich	Securities firm	Х			5
Delen (Suisse) SA	Genève	Securities firm	Х			5
Deutsche Bank (Suisse) SA	Genève 1	Bank	Х			4
Deutsche Bank Aktiengesellschaft, Frankfurt a.M., Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office				5
Dreyfus Söhne & Cie. Aktiengesellschaft, Banquiers	Basel	Bank	ľ			4

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			foreign control	y as securities	non-account-holding securities firms	
			ign c	no activity a	acco	Category
Name	City	Licensing	fore	no a firm	non	Cate
Dukascopy Bank SA	Genève 15 Aéroport	Bank				5
DZ PRIVATBANK (Schweiz) AG	Zürich	Bank	Х		:	5
E. Gutzwiller & Cie. Banquiers	Basel	Bank				5
Edmond de Rothschild (Suisse) S.A.	Genève 11	Bank	:		:	3
EFG Bank AG	Zürich	Bank	Χ			3
EFG Bank European Financial Group SA	Genève 2	Bank	Х			3
Entris Banking AG	Gümligen	Bank				5
Equatex AG	Zürich	Securities firm	Х	i	:	5
Ersparniskasse Affoltern i.E. AG	Weier im Emmental	Bank				5
Ersparniskasse Rüeggisberg Genossenschaft	Rüeggisberg	Bank	:	i	;	5
Ersparniskasse Schaffhausen AG	Schaffhausen	Bank				5
Ersparniskasse Speicher	Speicher	Bank	:	Х	:	5
FAB Private Bank (Suisse) SA	Genève 1	Bank	Х			5
Fidurhône SA	Genève 3	Securities firm	:		;	5
finpension AG	Luzern	Securities firm				5
Fisch Asset Management AG	Zürich	Securities firm	:		Х	5
Frankfurter Bankgesellschaft (Schweiz) AG	Zürich	Bank	Х			4
Freie Gemeinschaftsbank Genossenschaft	Basel	Bank	i	Х	:	5
GFI Securities Limited, Londres, Succursale de Nyon	Nyon	Foreign securities firm branch office			Х	5
Glarner Kantonalbank	Glarus	Bank				4
Globalance Bank AG	Zürich	Bank				5
Goldman Sachs Bank AG	Zürich	Bank	Х			5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Goldman Sachs International, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office			Х	5
Gonet & Cie SA	Genève	Bank	Χ			5
Grammont Finance SA	Lutry	Securities firm			Х	5
Graubündner Kantonalbank	Chur	Bank				3
GRB Glarner Regionalbank Genossenschaft	Schwanden GL	Bank				5
Habib Bank AG Zürich	Zürich	Bank	Χ			4
Helvetische Bank AG	Zürich	Bank				5
Hottinger AG	Zürich	Securities firm				5
HSBC Bank plc, London, Zweigniederlassung Zürich	Genève 1	Foreign bank branch office				5
HSBC Private Bank (Suisse) SA	Genève 1	Bank	Χ			3
Hypo Vorarlberg Bank AG, Bregenz, Zweigniederlassung St. Gallen	St. Gallen	Foreign bank branch office				5
Hyposwiss Private Bank Genève SA	Genève 11	Bank				5
Hypothekarbank Lenzburg AG	Lenzburg	Bank				4
IBKR Financial Services AG	Zug	Securities firm	Χ		Х	5
IG Bank S.A.	Genève	Bank	Χ			5
InCore Bank AG	Schlieren	Bank				5
Industrial and Commercial Bank of China Limited, Peking, Zweigniederlassung Zürich	Zürich	Foreign bank branch office				5
ING Bank N.V., Amsterdam, succursale de Lancy/Genève	Petit-Lancy 1	Foreign bank branch office		Х		5
Investec Bank (Switzerland) AG	Zürich	Bank	Χ			5
ISP Securities AG	Zürich	Securities firm	Χ			5
J.P. Morgan (Suisse) SA	Genève	Bank	Χ			4
J.P. Morgan Securities plc, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office				5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Jefferies International Limited, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office			Х	5
JL Securities SA	Genève	Securities firm				5
JPMorgan Chase Bank, National Association, Columbus, Zurich Branch	Zürich	Foreign bank branch office		Х		5
Kaleido Privatbank AG	Zürich	Bank	Х			5
Kendra Securities House SA	Genève	Securities firm				5
Kepler Cheuvreux (Suisse) SA	Nyon	Securities firm	Χ		Х	5
Leihkasse Stammheim AG	Oberstammheim	Bank				5
Leonteq Securities AG	Zürich	Securities firm			Х	5
LF FINANCE (SUISSE) SA	Genève 3	Securities firm	Х			5
LGT Bank (Schweiz) AG	Basel	Bank	Χ			3
Lienhardt & Partner Privatbank Zürich AG	Zürich	Bank				4
LLB (Schweiz) AG	Uznach	Bank	Х			4
Luzerner Kantonalbank AG	Luzern	Bank				3
Maerki Baumann & Co. AG	Zürich	Bank				5
Maverix Securities AG	Zürich	Securities firm			Х	5
MBaer Merchant Bank AG	Zürich	Bank				5
Mercantil Bank (Schweiz) AG	Zürich	Bank	Χ			5
MG Finance SA	Pully	Securities firm				5
Migros Bank AG	Zürich	Bank				3
Mirabaud & Cie SA	Genève	Bank				4
Morgan Stanley & Co. International plc, London, Zurich Branch	Zürich	Foreign securities firm branch office			Х	5
NBK Private Bank (Switzerland) Ltd	Genève	Bank	Х			5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Nidwaldner Kantonalbank	Stans	Bank				4
Nomura Bank (Schweiz) AG	Zürich 1	Bank	Х		i	5
Northern Trust Global Services SE, Leudelange, Luxembourg, Zweigniederlassung Basel	Basel	Foreign bank branch office				5
NPB Neue Privat Bank AG	Zürich	Bank			i i	5
Obwaldner Kantonalbank	Sarnen	Bank			i	4
ODDO BHF (Schweiz) AG	Zürich	Bank	Х		i	5
ONE swiss bank SA	Genève	Bank			i	5
Piguet Galland & Cie SA	Yverdon-les-Bains	Bank			:	4
PKB PRIVATE BANK SA	Lugano	Bank	Х		i	4
PostFinance AG	Bern	Bank			i	2
Privatbank Bellerive AG	Zürich	Bank			i	5
Privatbank IHAG Zürich AG	Zürich	Bank			i	5
Privatbank Von Graffenried AG	Bern	Bank			i	5
Private Client Bank AG	Zürich	Bank			i	5
QNB (Suisse) SA	Genève 1	Bank	Х		i	5
Quantus AG	Zürich	Securities firm			i	5
Quilvest (Switzerland) Ltd.	Zürich	Bank	Х		į	5
radicant bank ag	Zürich	Bank			i	5
Rahn+Bodmer Co.	Zürich	Bank			:	4
Raiffeisen Schweiz Genossenschaft	St. Gallen	Bank			i	2
Regiobank Männedorf AG	Männedorf	Bank			i	5
Regiobank Solothurn AG	Solothurn	Bank				4

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Reichmuth & Co.	Luzern	Bank		i		4
Remaco Asset Management AG	Basel	Securities firm			i	5
Reuss Private AG	Zürich	Securities firm		1	Х	5
REYL & Cie SA	Genève	Bank	Х		:	4
Rothschild & Co Bank AG	Zürich	Bank	X		i	4
S.P. Hinduja Banque Privée SA	Genève 1	Bank	Х		i	5
SAXO BANK (SCHWEIZ) AG	Kloten	Bank	X		i	5
SB Saanen Bank AG	Saanen	Bank	:		:	4
Schaffhauser Kantonalbank	Schaffhausen	Bank		1	i	4
Schroder & Co Bank AG	Zürich 1	Bank	X		i	5
Schwyzer Kantonalbank	Schwyz	Bank			i	3
Scobag Privatbank AG	Basel	Bank	:		i	5
SELVI & Cie SA	Genève 3	Securities firm		1	i	5
Società Bancaria Ticinese SA	Bellinzona	Bank	:		į	5
SOCIETE GENERALE Private Banking (Suisse) SA	Genève 11	Bank	X		i	4
Société Générale, Paris, Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office			i	5
SPAR + LEIHKASSE GÜRBETAL AG	Mühlethurnen	Bank			i	5
Spar- und Leihkasse Bucheggberg AG	Lüterswil	Bank			i	5
Spar- und Leihkasse Frutigen AG	Frutigen	Bank			:	4
Spar- und Leihkasse Thayngen AG	Thayngen	Bank			:	5
Spar- und Leihkasse Wynigen AG	Wynigen	Bank			i	5
Spar+Leihkasse Riggisberg AG	Riggisberg	Bank			:	5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
Sparcassa 1816 Genossenschaft	Wädenswil	Bank				5
Sparhafen Bank AG	Zürich	Bank				5
Sparkasse Schwyz AG	Schwyz	Bank				4
Sparkasse Sense	Tafers	Bank				5
St. Galler Kantonalbank AG	St. Gallen	Bank				3
State Street Bank International GmbH, München, Zweigniederlassung Zürich	Zürich	Foreign bank branch office		Х		5
Swiss Bankers Prepaid Services AG	Grosshöchstetten	Bank		Х		5
Swiss Finance & Property AG	Zürich	Securities firm			Х	5
Swissquote Bank SA	Gland	Bank				4
Sygnum Bank AG	Zürich	Bank				5
Taurus SA	Genève	Securities firm				5
Tellco Bank AG	Schwyz	Bank	X			5
Thurgauer Kantonalbank	Weinfelden	Bank				3
TP ICAP Broking Limited, Londres, succursale de Genève	Genève	Foreign securities firm branch office			Х	5
TradeXBank AG	Zürich	Bank				5
TRADITION SECURITIES AND FUTURES, Paris, succursale de Genève	Genève	Foreign securities firm branch office			Х	5
Trafina Privatbank AG	Basel	Bank				5
UBS AG	Zürich	Bank				1
UBS Europe SE, Frankfurt am Main, Zweigniederlassung Schweiz, Opfikon	Opfikon	Foreign bank branch office				5
UBS Switzerland AG	Zürich	Bank				1
UG Europe AG	Baar	Securities firm	X		Х	5
UniCredit Bank GmbH, München, Succursale de Genève	Genève	Foreign bank branch office				5

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Name	City	Licensing	foreign control	no activity as securities firm	non-account-holding securities firms	Category
UNION BANCAIRE PRIVEE, UBP SA	Genève 1	Bank				3
Union Securities Switzerland SA	Genève	Securities firm				5
Urner Kantonalbank	Altdorf UR	Bank				4
Valcourt SA	Genève 11	Securities firm			Х	5
Valiant Bank AG	Bern	Bank				3
Van Lanschot Kempen (Schweiz) AG	Zürich	Bank	Х			5
Vontobel Swiss Financial Advisers AG	Zürich	Securities firm				5
VP Bank (Schweiz) AG	Zürich	Bank	Х	 		4
VZ Depotbank AG	Zug	Bank				4
WIR Bank Genossenschaft	Basel	Bank				4
Zähringer Privatbank AG	Bern	Bank				5
Zuger Kantonalbank	Zug	Bank				3
Zürcher Kantonalbank	Zürich	Bank				2
Zürcher Landbank AG	Elgg	Bank				5

Total authorised banks and securities firms: 276

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