

Asymptotic notation:  $O$ ,  $\Omega$ ,  $\Theta$

time change of today's  
office hour: 4-5 pm

Note Title

1/19/2016

- summary of running time analysis (using insertion sort as an example)

worst-case analysis:

1. The longest running time for any input of size  $n$ : the worst case  
e.g. 5, 3, 2, 1, 0 for insertion sort

2. The upper bound on the running time for any input of size  $n$

3. The worst case happens often: e.g. database search: failed to find a match

4. The average case is often roughly as bad as the worst case

e.g. Insertion sort: roughly half elements  $\leq$  key  $t_j = \frac{j}{2}$

- - - - -  $>$  key

\* Caveat about estimating running time of a function/sub-routine  
e.g. matrix multiplication in matlab

simplifications / approximations

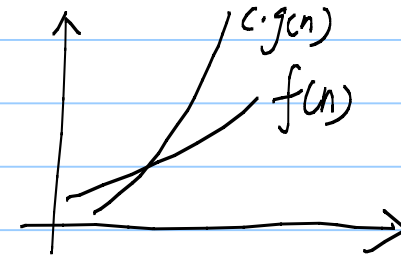
array size	$n$	$\frac{3}{2}n^2$	$\frac{3}{2}n^2 + \frac{7}{2}n - 4$	% difference
{	10	150	181	$17\% = \frac{181-150}{150}$
	50	3,750	3,921	4.4%
	100	15,000	15,436	2.3%
	500	375,000	376,746	0.5%

highest order term finally dominates the output

Asymptotic notations:  $O$   $\Omega$   $\Theta$

- $f(n) = O(g(n))$  iff there exist a constant  $c > 0$  and a value  $n_0$  s.t. for any  $n \geq n_0$ ,  $f(n) \leq c \cdot g(n)$

e.g.  $n = O(n^2)$   $n = O(n)$   $2n = O(n)$



e.g1. Prove  $n^2 + 7n + 5 = O(n^2)$

$$\textcircled{C} \cdot n^2 \geq n^2 + 7n + 5 \\ \text{when } n \geq \textcircled{n_0}$$

method1: proof:  $f(n) = n^2 + 7n + 5$

$$\leq n^2 + 7n^2 + 5n^2 = 13n^2 \text{ when } n \geq 1$$

Thus, let  $C=13$ ,  $n_0=1$  we have

$$f(n) \leq 13n^2 \text{ when } n \geq 1$$

note:  $n^2 \geq n$  when  $n \geq 1$

method2:  $f(n) \leq C \cdot g(n) \Leftrightarrow \underline{f(n) - C \cdot g(n) \leq 0}$  when  $n \geq n_0$

proof: Let  $C=2$  and  $n_0=8$  consider that

$$n^2 + 7n + 5 - 2n^2 = 0 \text{ when } n \approx 7.65$$

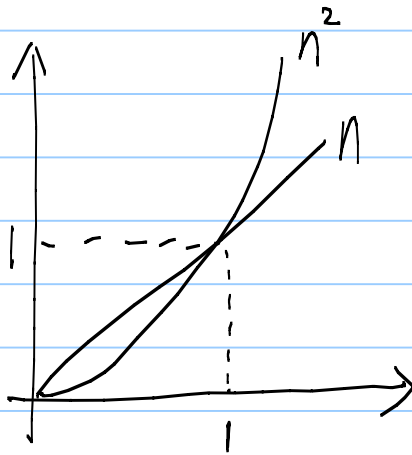
The function  $n^2 + 7n + 5 - 2n^2$  is monotonically decreasing when  $n \geq 8$

because the derivative of difference

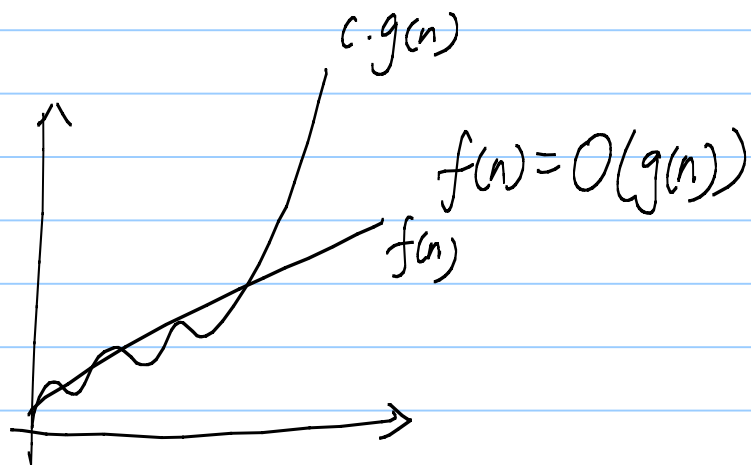
$$(n^2 + 7n + 5 - 2n^2)' = (-n^2 + 7n + 5)' = -2n + 7 < 0 \text{ when } n \geq 8$$

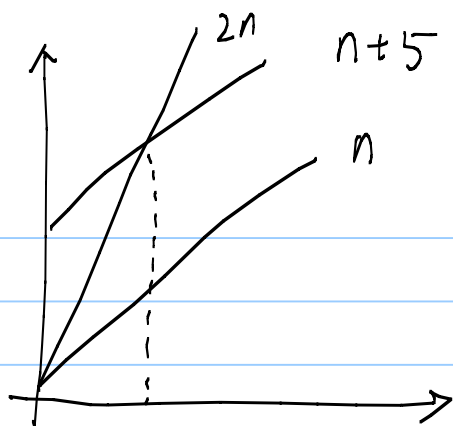
Thus  $n^2 + 7n + 5 - 2n^2 \leq 0$  when  $n \geq 8$

$$n^2 + 7n + 5 \leq 2n^2$$



$$n = O(n^2)$$





$$f(n) = n+5$$

$$g(n) = n$$

$$n+5 = O(n) \quad \text{choose } c=2.$$

$$2n = n+5 \Rightarrow n=5$$

In-class exercises:

prove  $3n^2 + 2n + 5 = O(n^2 + n)$

$$c \cdot (n^2 + n) \geq 3n^2 + 2n + 5$$

when  $n \geq n_0$

Proof: Let  $c=3$ ,  $n_0=5$ ,

$$\begin{aligned} 3(n^2 + n) &= 3n^2 + 3n \\ &= 3n^2 + 2n + n \\ &\geq 3n^2 + 2n + 5 \quad \text{when } n \geq 5 \end{aligned}$$



Let  $c=10$ ,  $n_0=1$

$$10(n^2+n) = 10n^2 + 10n$$

$$= 3n^2 + 2n^2 + 5n^2 + 10n$$

$$\geq 3n^2 + 2n + 5 + 0$$

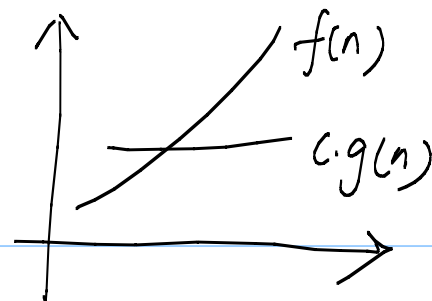
Invalid proof: the highest order term of  $n^2+n$  is  $n^2$   
-----  $3n^2+2n+5$  is  $n^2$   
Thus, -----

— Asymptotic lower bound

$f(n) = \Omega(g(n))$  iff there exist a constant  $c > 0$  and a value  $n_0$ ,  
s.t.  $c \cdot g(n) \leq f(n)$  when  $n \geq n_0$

e.g.  $n^2 = \Omega(n)$        $n^3 = \Omega(n^2)$

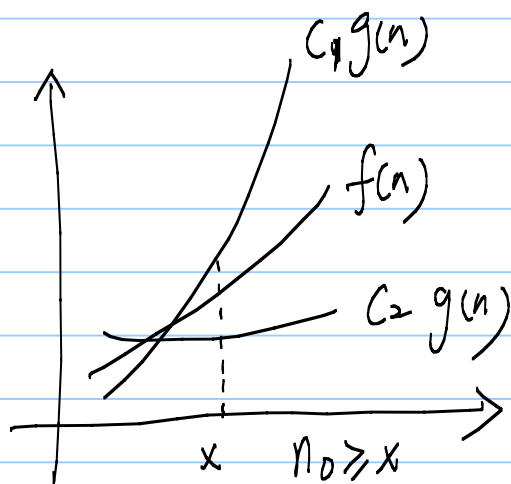
$$n^2 = \Omega(5n^2)$$



–  $f(n) = \Theta(g(n))$  iff  $f(n)$  is both  $O(g(n))$  and  $\Omega(g(n))$

$$f(n) = O(g(n))$$

$$f(n) = \Omega(g(n))$$



e.g.  $2n^2 = \Theta(n^2)$

$$2n^2 = \Theta(100n^2)$$

$$n^3 = \Omega(n) \quad n^3 = \Omega(n^2)$$

$$n^3 = \Omega(1) \quad 1000n^2 = O(n^3)$$

$$2n^2 = O(n^4) \quad 2n^2 = O(2^n)$$

Facts you can use in your proof.

1. growth rate of different functions

$n^k$ : polynomial function

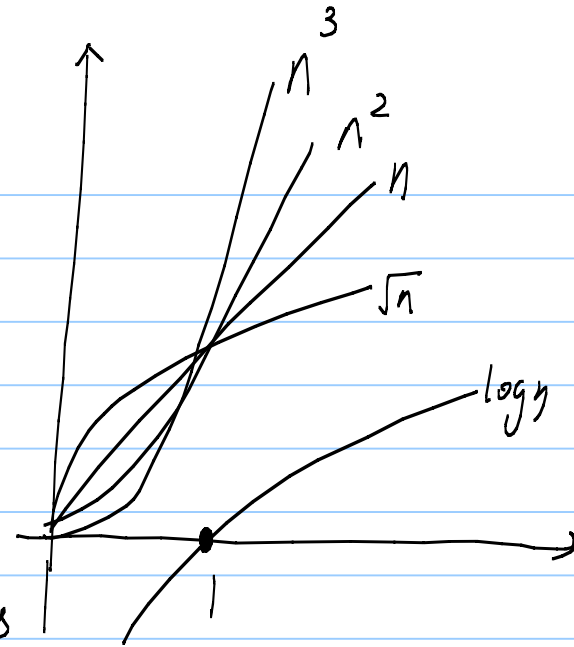
$n, n^2, n^3, n^4, \dots$

$2^n$ : exponential function  $a^n$

$n^3 \geq n^2 \geq n \geq \sqrt{n}$  when  $n \geq 1$

order of growth of some commonly used functions

$1 \quad \log n \quad n \quad n \log n \quad n^2 \quad n^3 \quad 2^n$



2. derivative

monotonicity: a function  $f(n)$  is monotonically increasing if  $x \leq y$  implies  $f(x) \leq f(y)$



$$f(n) \leq c \cdot g(n) \Leftrightarrow \begin{aligned} & c \cdot g(n) - f(n) \geq 0 \\ & \text{if } c \cdot g(x) - f(x) = 0 \text{ when } n=x \end{aligned}$$

You need to show  $c \cdot g(n) - f(n)$  is monotonically increasing in order to  
say  $c \cdot g(n) - f(n) \geq 0$  when  $n \geq x$   
 $(c \cdot g(n) - f(n))'$