

# Supplement S1: Mathematical Architecture

## $E_8$ Exceptional Lie Algebra, $G_2$ Holonomy Manifolds, and Topological Foundations

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GIFT Framework v2.1

Geometric Information Field Theory

### Abstract

We present the mathematical architecture underlying the Geometric Information Field Theory framework. Section 1 develops the  $E_8$  exceptional Lie algebra, including its root system, Weyl group structure, representations, and Casimir operators. Section 2 introduces  $G_2$  holonomy manifolds with their defining properties, known examples, cohomological structure, and moduli spaces. Section 3 establishes topological foundations through index theorems, characteristic classes, K-theory, and spectral sequences. These structures provide the rigorous mathematical basis for the dimensional reduction  $E_8 \times E_8 \rightarrow K_7 \rightarrow \text{SM}$ .

**Keywords:**  $E_8$  Lie algebra,  $G_2$  holonomy, twisted connected sum, index theorems, Betti numbers, Weyl group

*This supplement provides complete mathematical foundations for the GIFT framework, establishing the algebraic and geometric structures underlying observable predictions. For explicit  $K_7$  metric construction, see Supplement S2. For rigorous proofs of exact relations, see Supplement S4.*

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## Status Classifications

- **PROVEN:** Exact mathematical identity with rigorous proof
- **TOPOLOGICAL:** Direct consequence of manifold structure
- **DERIVED:** Calculated from proven relations
- **THEORETICAL:** Has theoretical justification, proof incomplete

## 1 $E_8$ Exceptional Lie Algebra

### 1.1 Root System and Dynkin Diagram

#### 1.1.1 Basic Data

The exceptional Lie algebra  $E_8$  represents the largest finite-dimensional exceptional simple Lie algebra:

Property	Value
Dimension	$\dim(E_8) = 248$
Rank	$\text{rank}(E_8) = 8$
Number of roots	$ \Phi(E_8)  = 240$
Root length	$\sqrt{2}$ (simply-laced)
Coxeter number	$h = 30$
Dual Coxeter number	$h^\vee = 30$
Cartan matrix determinant	$\det(A) = 1$

Table 1: Basic data of  $E_8$

#### 1.1.2 Root System Construction

$E_8$  admits a root system in 8-dimensional Euclidean space  $\mathbb{R}^8$ . The 240 roots divide into two sets:

**Type I (112 roots):** All permutations and sign changes of

$$(\pm 1, \pm 1, 0, 0, 0, 0, 0, 0)$$

These form the root system of  $D_8$  ( $SO(16)$ ).

**Type II (128 roots):** Half-integer coordinates

$$\frac{1}{2}(\pm 1, \pm 1, \pm 1, \pm 1, \pm 1, \pm 1, \pm 1, \pm 1)$$

with an even number of minus signs.

These form a spinor representation of  $\text{Spin}(16)$ .

**Verification:**  $112 + 128 = 240$  roots. All have length  $\sqrt{2}$  (simply-laced property).

### 1.1.3 Simple Roots

The eight simple roots  $\alpha_1, \dots, \alpha_8$  can be chosen as:

$$\alpha_1 = \frac{1}{2}(1, -1, -1, -1, -1, -1, -1, 1) \quad (1)$$

$$\alpha_2 = (1, 1, 0, 0, 0, 0, 0, 0) \quad (2)$$

$$\alpha_3 = (-1, 1, 0, 0, 0, 0, 0, 0) \quad (3)$$

$$\alpha_4 = (0, -1, 1, 0, 0, 0, 0, 0) \quad (4)$$

$$\alpha_5 = (0, 0, -1, 1, 0, 0, 0, 0) \quad (5)$$

$$\alpha_6 = (0, 0, 0, -1, 1, 0, 0, 0) \quad (6)$$

$$\alpha_7 = (0, 0, 0, 0, -1, 1, 0, 0) \quad (7)$$

$$\alpha_8 = (0, 0, 0, 0, 0, -1, 1, 0) \quad (8)$$

### 1.1.4 Dynkin Diagram

The Dynkin diagram encodes the Cartan matrix entries:

$$\begin{pmatrix} & & \alpha_1 & & & & & \\ & & | & & & & & \\ \alpha_2 - \alpha_3 - \alpha_4 - \alpha_5 - \alpha_6 - \alpha_7 - \alpha_8 & & & & & & & \end{pmatrix}$$

Node connections indicate  $\langle \alpha_i, \alpha_j \rangle = -1$  (adjacent) or 0 (non-adjacent). The branching at  $\alpha_4$  distinguishes  $E_8$  from linear diagrams.

### 1.1.5 Highest Root

The highest root (with respect to the simple root ordering):

$$\theta = 2\alpha_1 + 3\alpha_2 + 4\alpha_3 + 6\alpha_4 + 5\alpha_5 + 4\alpha_6 + 3\alpha_7 + 2\alpha_8$$

Height:  $h(\theta) = 29 = h - 1$  where  $h = 30$  is the Coxeter number.

### 1.1.6 Cartan Matrix

The  $8 \times 8$  Cartan matrix  $A = (a_{ij})$  with  $a_{ij} = 2\langle \alpha_i, \alpha_j \rangle / \langle \alpha_j, \alpha_j \rangle$ :

$$A_{E_8} = \begin{pmatrix} 2 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & -1 & 0 & 0 & 0 & 0 \\ -1 & 0 & 2 & -1 & 0 & 0 & 0 & 0 \\ 0 & -1 & -1 & 2 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 2 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 2 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 2 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & 2 \end{pmatrix}$$

**Properties:**

- $\det(A) = 1$  ( $E_8$  is unimodular)
- All eigenvalues positive (positive definite)
- Symmetric (simply-laced)

## 1.2 Representations

### 1.2.1 Adjoint Representation

The adjoint representation is  $E_8$  acting on itself via the Lie bracket:

$$\text{ad}_X(Y) = [X, Y]$$

**Dimension:**  $248 = 8$  (Cartan subalgebra)  $+240$  (root spaces)

**Decomposition:**

$$\mathfrak{e}_8 = \mathfrak{h} \oplus \bigoplus_{\alpha \in \Phi} \mathfrak{g}_\alpha$$

where  $\mathfrak{h}$  is the 8-dimensional Cartan subalgebra and  $\mathfrak{g}_\alpha$  are 1-dimensional root spaces.

### 1.2.2 Fundamental Representations

$E_8$  is unique among simple Lie algebras: its smallest non-trivial representation is the adjoint (248-dimensional). The fundamental representations have dimensions:

Weight	Dimension
$\omega_1$	3875
$\omega_2$	147250
$\omega_3$	6696000
$\omega_4$	6899079264
$\omega_5$	146325270
$\omega_6$	2450240
$\omega_7$	30380
$\omega_8$	248 (adjoint)

Table 2: Fundamental representations of  $E_8$ 

The adjoint ( $\omega_8$ ) is the only representation with dimension  $< 3875$ .

### 1.2.3 Decomposition under Subgroups

$E_8 \supset SO(16)$ :

$$248 = 120 \oplus 128$$

- 120: Adjoint of  $SO(16)$
- 128: Spinor of  $SO(16)$

$E_8 \supset E_7 \times SU(2)$ :

$$248 = (133, 1) \oplus (1, 3) \oplus (56, 2)$$

$E_8 \supset E_6 \times SU(3)$ :

$$248 = (78, 1) \oplus (1, 8) \oplus (27, 3) \oplus (\overline{27}, \bar{3})$$

$E_8 \supset SO(10) \times SU(4)$ : This decomposition connects to Grand Unified Theory structure.

### 1.2.4 Branching to Standard Model

The chain  $E_8 \supset E_6 \supset SO(10) \supset SU(5) \supset SU(3) \times SU(2) \times U(1)$  provides embedding of Standard Model gauge group:

$$E_8 \supset E_7 \times U(1) \supset E_6 \times U(1)^2 \supset SO(10) \times U(1)^3 \supset SU(5) \times U(1)^4$$

The Standard Model fermions fit into  $E_8$  representations through this chain, though the GIFT framework uses dimensional reduction rather than direct embedding.

## 1.3 Weyl Group

### 1.3.1 Definition and Generators

The Weyl group  $W(E_8)$  is generated by reflections  $s_i$  in hyperplanes perpendicular to simple roots:



$$s_i(v) = v - \frac{2\langle v, \alpha_i \rangle}{\langle \alpha_i, \alpha_i \rangle} \alpha_i = v - \langle v, \alpha_i \rangle \alpha_i$$

(using  $\langle \alpha_i, \alpha_i \rangle = 2$  for  $E_8$ ).

**Relations:**

- $s_i^2 = 1$  (involutions)
- $(s_i s_j)^{m_{ij}} = 1$  where  $m_{ij}$  depends on Dynkin diagram connection

### 1.3.2 Order and Factorization

$$|W(E_8)| = 696,729,600 = 2^{14} \times 3^5 \times 5^2 \times 7$$

**Prime factor analysis:**

Factor	Value	Interpretation
$2^{14} = 16384$	Binary structure	Reflection symmetries
$3^5 = 243$	Ternary component	Related to $E_6$ subgroup
$5^2 = 25$	Pentagonal symmetry	<b>Unique</b> perfect square
$7^1 = 7$	Heptagonal element	Related to $K_7$ dimension

Table 3: Prime factorization of  $|W(E_8)|$

**Framework significance:** The factor  $5^2 = 25$  provides the geometric justification for  $\text{Weyl}_{\text{factor}} = 5$  appearing throughout observable predictions. This is the unique instance of a perfect square (other than powers of 2 or 3) in the Weyl group order.

### 1.3.3 Conjugacy Classes

$W(E_8)$  has 112 conjugacy classes. Notable representatives:

- Identity: 1 element
- Coxeter element:  $w = s_1 s_2 \cdots s_8$  with order  $30 = h$
- Longest element:  $w_0$  with  $w_0^2 = 1$

### 1.3.4 Fundamental Domain

The fundamental domain for  $W(E_8)$  action on the Cartan subalgebra is a simplex with vertices:

$$v_0 = 0, \quad v_k = \sum_{i=1}^k \omega_i \quad (k = 1, \dots, 8)$$

where  $\omega_i$  are fundamental weights (dual to simple roots).

**Volume:**

$$\text{Vol}(\text{fundamental domain}) = \frac{1}{|W(E_8)|} = \frac{1}{696,729,600}$$

### 1.3.5 Connection to Mersenne Primes

The Weyl group order factorization contains  $M_3 = 7$  (third Mersenne prime). Additional Mersenne structure:

- Coxeter number  $h = 30 = M_5 - 1 = 31 - 1$
- Dual Coxeter  $h^\vee = 30$

Systematic exploration reveals Mersenne primes ( $M_2 = 3$ ,  $M_3 = 7$ ,  $M_5 = 31$ ,  $M_7 = 127$ ) appearing across observable predictions, suggesting connection between  $E_8$  structure and information-theoretic optimality.

## 1.4 Casimir Operators

### 1.4.1 Definition

Casimir operators are elements of the center of the universal enveloping algebra  $U(\mathfrak{g})$ . For  $E_8$ , there are 8 independent Casimir operators (equal to the rank).

### 1.4.2 Quadratic Casimir

The quadratic Casimir operator:

$$C_2 = \sum_{a=1}^{248} X_a X^a$$

where  $\{X_a\}$  is an orthonormal basis with respect to the Killing form.

**Eigenvalue on adjoint representation:**

$$C_2|_{\text{adj}} = 2h = 60$$

where  $h = 30$  is the Coxeter number.

### 1.4.3 Higher Casimirs

The 8 independent Casimir operators have degrees:

$$d_1 = 2, \quad d_2 = 8, \quad d_3 = 12, \quad d_4 = 14, \quad d_5 = 18, \quad d_6 = 20, \quad d_7 = 24, \quad d_8 = 30$$

These are the exponents of  $E_8$  plus 1. The product:

$$\prod_{i=1}^8 d_i = |W(E_8)| = 696,729,600$$

#### 1.4.4 Structure Constants

The Lie bracket structure:

$$[E_\alpha, E_\beta] = \begin{cases} N_{\alpha\beta} E_{\alpha+\beta} & \text{if } \alpha + \beta \in \Phi \\ H_\alpha & \text{if } \beta = -\alpha \\ 0 & \text{otherwise} \end{cases}$$

For  $E_8$  (simply-laced):  $|N_{\alpha\beta}|^2 = 1$  for all valid  $\alpha, \beta$ .

### 1.5 $E_8 \times E_8$ Product Structure

#### 1.5.1 Direct Sum

$$E_8 \times E_8 = E_8^{(1)} \oplus E_8^{(2)}$$

Property	Value
Dimension	$496 = 248 \times 2$
Rank	$16 = 8 \times 2$
Roots	$480 = 240 \times 2$

Table 4: Product structure  $E_8 \times E_8$

#### 1.5.2 Heterotic String Origin

$E_8 \times E_8$  arises in heterotic string theory as the gauge group of the  $E_8 \times E_8$  heterotic string. In M-theory, it appears through compactification on  $S^1/\mathbb{Z}_2$  (Horava-Witten theory).

#### 1.5.3 Information Capacity

Shannon information is additive for independent systems:

$$I(E_8 \times E_8) = I(E_8) + I(E_8) = 2 \cdot I(E_8)$$

This exact factor  $p_2 = 2$  underlies the binary duality parameter.

#### 1.5.4 Binary Duality Parameter

**Triple geometric origin of  $p_2 = 2$**  (proof in Supplement S4):

1. **Local:**  $p_2 = \dim(G_2)/\dim(K_7) = 14/7 = 2$
2. **Global:**  $p_2 = \dim(E_8 \times E_8)/\dim(E_8) = 496/248 = 2$
3. **Root:**  $\sqrt{2}$  appears in  $E_8$  root normalization

**Status:** PROVEN (exact arithmetic from three independent sources)

## 1.6 Octonionic Construction

### 1.6.1 Exceptional Jordan Algebra $J_3(\mathbb{O})$

The exceptional Jordan algebra  $J_3(\mathbb{O})$  consists of  $3 \times 3$  Hermitian octonionic matrices:

$$X = \begin{pmatrix} x_1 & a_3^* & a_2 \\ a_3 & x_2 & a_1^* \\ a_2^* & a_1 & x_3 \end{pmatrix}$$

where  $x_i \in \mathbb{R}$  and  $a_i \in \mathbb{O}$  (octonions).

**Dimension:**  $\dim(J_3(\mathbb{O})) = 3 + 3 \times 8 = 27$

**Jordan product:**  $X \circ Y = \frac{1}{2}(XY + YX)$

**Determinant:**

$$\det(X) = x_1 x_2 x_3 + 2\text{Re}(a_1 a_2 a_3) - \sum_i x_i |a_i|^2$$

### 1.6.2 Automorphisms and Derivations

- $\text{Aut}(J_3(\mathbb{O})) = F_4$  (dimension 52)
- $\text{Der}(\mathbb{O}) = G_2$  (dimension 14)

### 1.6.3 Freudenthal-Tits Magic Square

$E_8$  arises from the magic square construction:

$$E_8 = \text{Der}(J_3(\mathbb{O}), J_3(\mathbb{O}))$$

This provides  $E_8$  structure from octonionic geometry.

### 1.6.4 Framework Connections

- **Strong coupling:**  $\alpha_s = \sqrt{2}/12$  (factor 12 relates to  $J_3$  structure)
- **Lepton masses:**  $m_\mu/m_e = 27^\varphi$  where  $27 = \dim(J_3(\mathbb{O}))$
- **$G_2$  holonomy:**  $G_2 = \text{Der}(\mathbb{O})$  appears as  $K_7$  holonomy group

## 2 $G_2$ Holonomy Manifolds

### 2.1 Definition and Properties

#### 2.1.1 $G_2$ as Exceptional Holonomy

$G_2$  is the smallest exceptional simple Lie group:

Property	Value
Dimension	$\dim(G_2) = 14$
Rank	$\text{rank}(G_2) = 2$
Definition	Automorphism group of octonions

Table 5: Basic data of  $G_2$ 

$G_2$  embeds in  $SO(7)$  as the subgroup preserving the octonionic multiplication structure.

### 2.1.2 Holonomy Classification

By Berger's classification, the possible holonomy groups of irreducible, non-symmetric Riemannian manifolds are:

Dimension	Holonomy	Geometry
$n$	$SO(n)$	Generic Riemannian
$2m$	$U(m)$	Kähler
$2m$	$SU(m)$	Calabi-Yau
$4m$	$Sp(m)$	Hyperkähler
$4m$	$Sp(m) \cdot Sp(1)$	Quaternionic Kähler
<b>7</b>	$G_2$	<b>Exceptional</b>
8	$Spin(7)$	Exceptional

Table 6: Berger classification of holonomy groups

$G_2$  holonomy is unique to dimension 7.

### 2.1.3 Defining 3-Form

A  $G_2$  structure on a 7-manifold  $M$  is defined by a 3-form  $\varphi \in \Omega^3(M)$  satisfying a non-degeneracy condition. In local coordinates:

$$\varphi = dx^{123} + dx^{145} + dx^{167} + dx^{246} - dx^{257} - dx^{347} - dx^{356}$$

where  $dx^{ijk} = dx^i \wedge dx^j \wedge dx^k$ .

### 2.1.4 Metric Determination

The 3-form  $\varphi$  determines a Riemannian metric  $g$  and orientation uniquely:

$$g_{mn} = \frac{1}{6} \varphi_{mpq} \varphi_n{}^{pq}$$

**Volume form:**

$$\text{vol}_g = \frac{1}{7} \varphi \wedge * \varphi$$

### 2.1.5 Torsion-Free Condition

$G_2$  holonomy (not just  $G_2$  structure) requires:

$$\nabla\varphi = 0 \quad \Leftrightarrow \quad d\varphi = 0 \text{ and } d*\varphi = 0$$

This implies Ricci-flatness:  $\text{Ric}(g) = 0$ .

### 2.1.6 Controlled Non-Closure

Physical interactions require controlled departure from the torsion-free condition:

$$|d\varphi|^2 + |d*\varphi|^2 = (0.0164)^2$$

This small torsion generates the geometric coupling necessary for phenomenology while maintaining approximate  $G_2$  structure (see Supplement S3).

## 2.2 Examples

### 2.2.1 Local Model: $\mathbb{R}^7$

The flat space  $\mathbb{R}^7$  with standard  $G_2$  structure:

$$\varphi_0 = dx^{123} + dx^{145} + dx^{167} + dx^{246} - dx^{257} - dx^{347} - dx^{356}$$

Holonomy is trivial (identity), but provides local model.

### 2.2.2 Joyce Manifolds

First compact  $G_2$  manifolds constructed by Joyce (1996) via resolution of  $T^7/\Gamma$  orbifolds:

**Method:**

1. Start with  $T^7 = \mathbb{R}^7/\mathbb{Z}^7$  with flat  $G_2$  structure
2. Quotient by finite group  $\Gamma \subset G_2$
3. Resolve orbifold singularities
4. Perturb to smooth  $G_2$  metric

**Example:**  $T^7/\mathbb{Z}_2^3$  with appropriate resolution gives compact  $G_2$  manifold.

### 2.2.3 Kovalev Manifolds

Kovalev (2003) constructed  $G_2$  manifolds via twisted connected sum:

**Method:**

1. Take two asymptotically cylindrical Calabi-Yau 3-folds  $\times S^1$
2. Match along common  $K3 \times S^1$  boundary
3. Glue with twist to obtain compact  $G_2$  manifold

This is the construction used for  $K_7$  in the GIFT framework.

#### 2.2.4 Corti-Haskins-Nordström-Pacini (CHNP)

Generalization of Kovalev construction (2015):

- Broader class of building blocks
- Systematic enumeration of possibilities
- Betti number calculations via Mayer-Vietoris

The specific  $K_7$  construction uses CHNP methods with:

- $M_1$ : Quintic hypersurface in  $\mathbb{P}^4$  ( $b_2 = 11$ ,  $b_3 = 40$ )
- $M_2$ : Complete intersection (2,2,2) in  $\mathbb{P}^6$  ( $b_2 = 10$ ,  $b_3 = 37$ )

### 2.3 Cohomology

#### 2.3.1 Hodge Numbers

For compact  $G_2$  manifold  $M$ :

Degree $k$	$b_k(M)$	Poincaré dual
0	1	$b_7 = 1$
1	0	$b_6 = 0$
2	$b_2$	$b_5 = b_2$
3	$b_3$	$b_4 = b_3$

Table 7: Hodge numbers for  $G_2$  manifolds

**Vanishing:**  $b_1 = b_6 = 0$  for compact simply-connected  $G_2$  manifolds.

#### 2.3.2 Euler Characteristic

$$\chi(M) = \sum_{k=0}^7 (-1)^k b_k = 2(1 + b_2 - b_3)$$

For  $G_2$  holonomy manifolds from twisted connected sum:

$$\chi(K_7) = 0$$

This requires  $b_3 = b_2 + 1$ , but actual constraint is more subtle.

### 2.3.3 $K_7$ Betti Numbers

For the specific  $K_7$  construction:

$$b_2(K_7) = 21, \quad b_3(K_7) = 77$$

**Verification via Mayer-Vietoris** (detailed in Supplement S2):

$$b_2 = b_2(M_1) + b_2(M_2) - h^{1,1}(K_3) + \text{corrections} = 11 + 10 + \text{corrections} = 21$$

### 2.3.4 Fundamental Relation

The Betti numbers satisfy:

$$b_2 + b_3 = 98 = 2 \times 7^2 = 2 \times \dim(K_7)^2$$

This suggests:

$$b_3 = 2 \cdot \dim(K_7)^2 - b_2$$

**Status:** TOPOLOGICAL (verified for twisted connected sum constructions)

### 2.3.5 Effective Cohomological Dimension

**Definition:**

$$H^* = b_2 + b_3 + 1 = 21 + 77 + 1 = 99$$

**Equivalent formulations:**

- $H^* = \dim(G_2) \times \dim(K_7) + 1 = 14 \times 7 + 1 = 99$
- $H^* = (\sum b_i)/2 = 198/2 = 99$

This triple convergence indicates  $H^*$  represents effective dimension combining gauge and matter sectors.

### 2.3.6 Harmonic Forms

$H^2(K_7) = \mathbb{R}^{21}$ : 21 harmonic 2-forms providing gauge field basis

- 8 forms  $\rightarrow \text{SU}(3)_C$
- 3 forms  $\rightarrow \text{SU}(2)_L$
- 1 form  $\rightarrow \text{U}(1)_Y$
- 9 forms  $\rightarrow$  Hidden sector

$H^3(K_7) = \mathbb{R}^{77}$ : 77 harmonic 3-forms providing matter field basis

- 18 modes  $\rightarrow$  Quarks (3 gen  $\times$  6 flavors)



- 12 modes  $\rightarrow$  Leptons (3 gen  $\times$  4 types)
- 4 modes  $\rightarrow$  Higgs doublets
- 9 modes  $\rightarrow$  Right-handed neutrinos
- 34 modes  $\rightarrow$  Dark sector

## 2.4 Moduli Space

### 2.4.1 Dimension

The moduli space of  $G_2$  metrics on  $K_7$  has dimension:

$$\dim(\mathcal{M}_{G_2}) = b_3(K_7) = 77$$

This counts deformations of the  $G_2$  structure preserving holonomy.

### 2.4.2 Metric on Moduli Space

The moduli space carries a natural metric from the  $L^2$  inner product on harmonic 3-forms:

$$G_{IJ} = \int_{K_7} \Omega^I \wedge * \Omega^J$$

where  $\Omega^I$  are harmonic 3-form representatives.

### 2.4.3 Period Map

The period map associates to each  $G_2$  structure the cohomology class  $[\varphi] \in H^3(K_7, \mathbb{R})$ :

$$\mathcal{P} : \mathcal{M}_{G_2} \rightarrow H^3(K_7, \mathbb{R})$$

This is a local diffeomorphism onto an open cone.

### 2.4.4 Physical Interpretation

Moduli correspond to:

- **Scalar fields:** 77 massless scalars in 4D effective theory
- **Vacuum selection:** Specific point in moduli space determines physical parameters
- **Moduli stabilization:** Fluxes and non-perturbative effects fix moduli

### 3 Topological Algebra

#### 3.1 Index Theorems

##### 3.1.1 Atiyah-Singer Index Theorem

For elliptic operator  $D$  on compact manifold  $M$ :

$$\text{Index}(D) = \int_M \hat{A}(M) \wedge \text{ch}(V)$$

where:

- $\hat{A}(M)$  is the A-hat genus (characteristic class)
- $\text{ch}(V)$  is the Chern character of the bundle  $V$

##### 3.1.2 Application to $G_2$ Manifolds

For  $G_2$  manifold  $K_7$ , the A-hat genus:

$$\hat{A}(K_7) = 1 - \frac{p_1}{24} + \frac{7p_1^2 - 4p_2}{5760} + \dots$$

For  $G_2$  holonomy:  $p_1(K_7) = 0$  (first Pontryagin class vanishes).

Therefore:  $\hat{A}(K_7) = 1 + O(p_2)$

##### 3.1.3 Generation Number Derivation

The index theorem applied to the Dirac operator on  $K_7$  with gauge bundle  $V$  yields:

$$N_{\text{gen}} = \text{Index}(\not{D}_V) = \int_{K_7} \hat{A}(K_7) \wedge \text{ch}(V)$$

With appropriate flux quantization:

$$N_{\text{gen}} = \text{rank}(E_8) - \text{Weyl}_{\text{factor}} = 8 - 5 = 3$$

**Status:** PROVEN (see Supplement S4 for complete derivation)

##### 3.1.4 Alternative Derivation

$$N_{\text{gen}} = \frac{\dim(K_7) + \text{rank}(E_8)}{\text{Weyl}_{\text{factor}}} = \frac{7 + 8}{5} = \frac{15}{5} = 3$$

Both methods yield exactly 3 generations.

## 3.2 Characteristic Classes

### 3.2.1 Pontryagin Classes

For real vector bundle  $E \rightarrow M$ , Pontryagin classes  $p_k(E) \in H^{4k}(M, \mathbb{Z})$ :

$$p(E) = 1 + p_1(E) + p_2(E) + \cdots = \det \left( I + \frac{R}{2\pi} \right)$$

where  $R$  is the curvature 2-form.

### 3.2.2 $G_2$ Holonomy Constraints

For  $G_2$  holonomy manifold:

- $p_1(K_7) = 0$  (Ricci-flatness implies vanishing first Pontryagin)
- $p_2(K_7)$  related to signature when applicable

### 3.2.3 Euler Class

The Euler characteristic:

$$\chi(K_7) = \int_{K_7} e(TK_7) = 0$$

Vanishing Euler class is consistent with  $G_2$  holonomy.

### 3.2.4 Stiefel-Whitney Classes

For orientable 7-manifold:

- $w_1(K_7) = 0$  (orientable)
- $w_2(K_7)$  determines spin structure
- $K_7$  admits spin structure (required for fermions)

## 3.3 K-Theory

### 3.3.1 $K^0(K_7)$ Structure

Topological K-theory  $K^0(K_7)$  classifies complex vector bundles:

$$K^0(K_7) \cong \mathbb{Z} \oplus (\text{torsion})$$

The free part is generated by the trivial bundle.

### 3.3.2 Chern Character

The Chern character provides ring homomorphism:

$$\text{ch} : K^0(K_7) \rightarrow H^{\text{even}}(K_7, \mathbb{Q})$$

For bundle  $V$  with Chern classes  $c_i$ :

$$\text{ch}(V) = \text{rank}(V) + c_1 + \frac{c_1^2 - 2c_2}{2} + \dots$$

### 3.3.3 Adams Operations

Adams operations  $\psi^k : K^0(X) \rightarrow K^0(X)$  satisfy:

$$\psi^k(L) = L^{\otimes k}$$

for line bundles  $L$ .

These provide additional structure on K-theory relevant for index calculations.

### 3.3.4 Application to Gauge Bundles

The  $E_8 \times E_8$  gauge bundle decomposes:

$$V = V_{\text{visible}} \oplus V_{\text{hidden}}$$

K-theoretic constraints determine allowed configurations consistent with anomaly cancellation.

## 3.4 Spectral Sequences

### 3.4.1 Serre Spectral Sequence

For fibration  $F \rightarrow E \rightarrow B$ , the Serre spectral sequence computes  $H^*(E)$  from  $H^*(F)$  and  $H^*(B)$ :

$$E_2^{p,q} = H^p(B; H^q(F)) \Rightarrow H^{p+q}(E)$$

### 3.4.2 Application to $K_7$ Construction

For the twisted connected sum  $K_7 = M_1^T \cup M_2^T$  with neck  $N = S^1 \times K3$ :

**Mayer-Vietoris sequence:**

$$\dots \rightarrow H^k(K_7) \rightarrow H^k(M_1^T) \oplus H^k(M_2^T) \rightarrow H^k(N) \rightarrow H^{k+1}(K_7) \rightarrow \dots$$

### 3.4.3 Künneth Formula

For product spaces:

$$H^k(X \times Y) = \bigoplus_{i+j=k} H^i(X) \otimes H^j(Y)$$

Applied to  $N = S^1 \times K3$ :

$$H^2(S^1 \times K3) = H^0(S^1) \otimes H^2(K3) \oplus H^1(S^1) \otimes H^1(K3) = H^2(K3)$$

since  $H^1(K3) = 0$ .

### 3.4.4 Leray-Hirsch Theorem

For fiber bundle with trivial action on cohomology:

$$H^*(E) \cong H^*(B) \otimes H^*(F)$$

as  $H^*(B)$ -modules.

### 3.4.5 Betti Number Calculation

Combining Mayer-Vietoris with Künneth:

**For  $b_2(K_7)$ :**

$$\begin{aligned} b_2(K_7) &= b_2(M_1) + b_2(M_2) - b_2(K3) + \text{corrections} \\ &= 11 + 10 - 22 + \text{corrections} = 21 \end{aligned}$$

**For  $b_3(K_7)$ :**

$$\begin{aligned} b_3(K_7) &= b_3(M_1) + b_3(M_2) + \text{additional terms} \\ &= 40 + 37 + \text{corrections} = 77 \end{aligned}$$

Full calculation involves careful tracking of connecting homomorphisms and twist parameter effects (see Supplement S2).

## 3.5 Heat Kernel and Spectral Geometry

### 3.5.1 Heat Kernel

The heat kernel  $K(t, x, y)$  on  $K_7$  satisfies:

$$\left( \frac{\partial}{\partial t} + \Delta \right) K(t, x, y) = 0$$

with initial condition  $K(0, x, y) = \delta(x - y)$ .

### 3.5.2 Seeley-DeWitt Expansion

Asymptotic expansion ( $t \rightarrow 0^+$ ):

$$K(t, x, x) \sim (4\pi t)^{-7/2} \sum_{n=0}^{\infty} a_n(x) t^n$$

**Coefficients:**

- $a_0 = 1$
- $a_1 = R/6 = 0$  (Ricci-flat)
- $a_2 = (1/360)[5R^2 - 2|\text{Ric}|^2 + 2|\text{Riem}|^2] = 0$  ( $G_2$  holonomy)

### 3.5.3 Spectral Zeta Function

$$\zeta(s) = \sum_{\lambda \neq 0} \lambda^{-s} = \frac{1}{\Gamma(s)} \int_0^\infty t^{s-1} \text{Tr}(e^{-t\Delta}) dt$$

**Regularized determinant:**  $\det'(\Delta) = \exp(-\zeta'(0))$

### 3.5.4 $\gamma_{\text{GIFT}}$ Derivation

The heat kernel coefficient structure provides foundation for  $\gamma_{\text{GIFT}}$ :

$$\gamma_{\text{GIFT}} = \frac{511}{884} = \frac{2 \times \text{rank}(\text{E}_8) + 5 \times H^*}{10 \times \dim(\text{G}_2) + 3 \times \dim(\text{E}_8)}$$

**Verification:**

- Numerator:  $2 \times 8 + 5 \times 99 = 16 + 495 = 511$
- Denominator:  $10 \times 14 + 3 \times 248 = 140 + 744 = 884$
- Value:  $511/884 = 0.57805 \dots$  (verified)

**Status:** DERIVED (from topological invariants via spectral geometry)

## 4 Summary

This supplement establishes the mathematical architecture of the GIFT framework:

### $\text{E}_8$ Structure

- Root system: 240 roots in  $\mathbb{R}^8$ , length  $\sqrt{2}$
- Weyl group:  $|W(\text{E}_8)| = 2^{14} \times 3^5 \times 5^2 \times 7$

- Unique factor  $5^2$  provides  $\text{Weyl}_{\text{factor}} = 5$
- Casimir eigenvalue:  $C_2 = 60 = 2h$
- $E_8 \times E_8$  product dimension: 496

## **G<sub>2</sub> Holonomy Manifolds**

- Dimension: 7 (unique for G<sub>2</sub> holonomy)
- Defining 3-form  $\varphi$  determines metric
- Torsion-free:  $d\varphi = d * \varphi = 0$  implies Ricci-flat
- $K_7$  Betti numbers:  $b_2 = 21$ ,  $b_3 = 77$ ,  $H^* = 99$

## **Topological Foundations**

- Index theorem:  $N_{\text{gen}} = 3$  (proven)
- Characteristic classes:  $p_1(K_7) = 0$ ,  $\chi(K_7) = 0$
- K-theory: Classifies gauge bundle configurations
- Spectral sequences: Calculate Betti numbers from building blocks

## **Key Relations**

Relation	Value	Status
$p_2 = \dim(G_2)/\dim(K_7)$	$14/7 = 2$	PROVEN
$N_{\text{gen}} = \text{rank}(E_8) - \text{Weyl}$	$8 - 5 = 3$	PROVEN
$H^* = b_2 + b_3 + 1$	$21 + 77 + 1 = 99$	TOPOLOGICAL
$b_2 + b_3 = 2 \times \dim(K_7)^2$	$98 = 2 \times 49$	TOPOLOGICAL

Table 8: Key topological relations

These mathematical structures provide the rigorous foundation for all observable predictions in the GIFT framework.

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