

# GDA HW 3

Gilad Turok, gt2453  
[gt2453@columbia.edu](mailto:gt2453@columbia.edu)

May 8, 2023

## 1 Metric Tree Curvature

Want to show that a metric tree space has negative curvature, where a metric tree space  $(M, d)$  is a metric space such that:

$$\forall x, y \in M, \exists \text{ a unique path } x \rightsquigarrow y \text{ that is homeomorphic to } [0, 1]$$

*Proof.* We will show that a metric tree space  $(M, d)$  has negative curvature by showing that for all  $x, y, z \in M$ :

$$d(x, y) + d(y, z) - d(x, z) \geq 0$$

We will prove this by cases. First, consider the case where  $x, y, z$  are all on the same path. Then,  $d(x, y) + d(y, z) = d(x, z)$ , so  $d(x, y) + d(y, z) - d(x, z) = 0$ . Thus, the inequality holds.

Now, consider the case where  $x, y, z$  are not all on the same path. Then, there are two cases: either  $x$  and  $y$  are on the same path, or  $y$  and  $z$  are on the same path. Without loss of generality, assume that  $x$  and  $y$  are on the same path. Then,  $d(x, y) = d(x, z) - d(y, z)$ . Thus,  $d(x, y) + d(y, z) - d(x, z) = d(x, z) - d(y, z) + d(y, z) - d(x, z) = 0$ . Thus, the inequality holds.  $\square$

## 2 Hausdorff and Gromov-Hausdorff Metrics

Want to show that Hausdorff and Gromov-Hausdorff metrics are indeed metrics. Recall that a metric space is defined as  $(M, d)$  for set  $M$  and metric (distance) function  $d$  such that for all  $x, y, z \in M$ :

$d_M(x, y) = 0 \iff x = y$	(equality)
$d_M(x, y) > 0 \text{ for } x \neq y$	(positivity)
$d_M(x, y) = d_M(y, x)$	(symmetry)
$d_M(x, z) \leq d_M(x, y) + d_M(y, z)$	(triangle inequality)

## 2.1 Hausdorff Metric

The Hausdorff distance is defined on two non-empty subsets  $X, Y$  of a metric space  $(M, d_M)$  as:

$$d_H(X, Y) = \max \left\{ \sup_{x \in X} \inf_{y \in Y} d_M(x, y), \sup_{y \in Y} \inf_{x \in X} d_M(x, y) \right\}$$

Want to show that the Hausdorff distance  $d_H$  is a metric that satisfies the four properties above.

*Proof.* We will prove all four properties of a metric in a metric space for the Hausdorff distance.

1. **Equality:** To show the property of equality we prove both directions. If  $X = Y$  then:

$$\begin{aligned} d_H(X, Y) &= d_H(X, X) \\ &= \max \left\{ \sup_{x \in X} \inf_{x' \in X} d_M(x, x'), \sup_{x \in X} \inf_{x' \in X} d_M(x, x') \right\} \\ &= \max \{0, 0\} \quad \text{by } d_M(x, x') = 0 \text{ for } x = x' \\ &= 0 \end{aligned}$$

If  $d_H(X, Y) = 0$  then:

$$\begin{aligned} d_H(X, Y) &= 0 \\ &= \max \left\{ \sup_{x \in X} \inf_{y \in Y} d_M(x, y), \sup_{y \in Y} \inf_{x \in X} d_M(x, y) \right\} \end{aligned}$$

By the max operation, one or both arguments must be equal to zero. However, since metric-distances are non-negative, both arguments must be zero:

$$\sup_{x \in X} \inf_{y \in Y} d_M(x, y) = \sup_{y \in Y} \inf_{x \in X} d_M(x, y) = 0$$

By the definition of the sup and inf operators, this implies that for all  $x \in X$  and  $y \in Y$ ,  $d_M(x, y) = 0$ . Since  $d_M$  is a metric, this implies that  $x = y$  for all  $x \in X$  and  $y \in Y$ . Thus,  $X = Y$ .

Therefore  $d_H$  satisfies the property of equality.

2. **Positivity:** To show the property of positivity we prove the following: if  $X \neq Y$  then  $d_H(X, Y) > 0$ . We will prove this by contradiction. Suppose  $d_H(X, Y) = 0$  for  $X \neq Y$ . Then:

$$\begin{aligned} d_H(X, Y) &= 0 \\ &= \max \left\{ \sup_{x \in X} \inf_{y \in Y} d_M(x, y), \sup_{y \in Y} \inf_{x \in X} d_M(x, y) \right\} \end{aligned}$$

By the max operation, one or both arguments must be equal to zero. However, since metric-distances are non-negative, both arguments must be zero:

$$\sup_{x \in X} \inf_{y \in Y} d_M(x, y) = \sup_{y \in Y} \inf_{x \in X} d_M(x, y) = 0$$

By the definition of the sup and inf operators, this implies that for all  $x \in X$  and  $y \in Y$ ,  $d_M(x, y) = 0$ . Since  $d_M$  is a metric, this implies that  $x = y$  for all  $x \in X$  and  $y \in Y$ . Thus,  $X = Y$ .

This contradicts the assumption that  $X \neq Y$ . Therefore  $d_H$  satisfies the property of positivity since  $d_M$  is always non-negative.

3. **Symmetry:** To show the property of symmetry, prove that  $d_H(X, Y) = d_H(Y, X)$ . If  $X = Y$ , this proof is trivial because  $d_H(X, Y) = 0$  and  $d_H(Y, X) = 0$ . If  $X \neq Y$ , then:

$$\begin{aligned} d_H(X, Y) &= \max \left\{ \sup_{x \in X} \inf_{y \in Y} d_M(x, y), \sup_{y \in Y} \inf_{x \in X} d_M(x, y) \right\} \\ &= \max \left\{ \sup_{y \in Y} \inf_{x \in X} d_M(x, y), \sup_{x \in X} \inf_{y \in Y} d_M(x, y) \right\} \\ &= d_H(Y, X) \end{aligned}$$

4. **Triangle Inequality:** To show the property of triangle inequality, prove that  $d_H(X, Z) \leq d_H(X, Y) + d_H(Y, Z)$ . If  $X = Y$  or  $Y = Z$ , this proof is trivial because  $d_H(X, Y) = 0$  or  $d_H(Y, Z) = 0$  and  $d_H(X, Z) = 0$ . If  $X \neq Y$  and  $Y \neq Z$ , then:

$$d_H(X, Z) = \max \left\{ \sup_{x \in X} \inf_{z \in Z} d_M(x, z), \sup_{z \in Z} \inf_{x \in X} d_M(x, z) \right\}$$

Because  $d_M$  is a metric, it satisfies the triangle inequality for  $x, y, z$  for all choices of  $x, y, z$ . In particular, any choice of  $y$  holds, letting us pick  $y' := \inf_{y \in Y} d_M(x, y)$  and  $y'' := \inf_{y \in Y} d_M(y, z)$ :

$$\begin{aligned}
d_H(X, Z) &= \max \left\{ \sup_{x \in X} \inf_{z \in Z} d_M(x, z), \sup_{z \in Z} \inf_{x \in X} d_M(x, z) \right\} \\
&\leq \max \left\{ \sup_{x \in X} \inf_{z \in Z} (d_M(x, y') + d_M(y', z)), \sup_{z \in Z} \inf_{x \in X} (d_M(x, y'') + d_M(y'', z)) \right\} \\
&= \max \left\{ \sup_{x \in X} d_M(x, y') + \inf_{z \in Z} d_M(y', z), \sup_{z \in Z} d_M(y'', z) + \inf_{x \in X} d_M(x, y'') \right\} \\
&\leq \max \left\{ \sup_{x \in X} d_M(x, y'), \inf_{x \in X} d_M(x, y'') \right\} + \max \left\{ \inf_{z \in Z} d_M(y', z), \sup_{z \in Z} d_M(y'', z) \right\} \\
&\leq \max \left\{ \sup_{x \in X} \inf_{y \in Y} d_M(x, y), \inf_{x \in X} \sup_{y \in Y} d_M(x, y) \right\} + \max \left\{ \inf_{z \in Z} \sup_{y \in Y} d_M(y, z), \sup_{z \in Z} \inf_{y \in Y} d_M(y, z) \right\} \\
&\leq \max \left\{ \sup_{x \in X} \inf_{y \in Y} d_M(x, y), \inf_{x \in X} \sup_{y \in Y} d_M(x, y) \right\} + \max \left\{ \inf_{z \in Z} \sup_{y \in Y} d_M(y, z), \sup_{z \in Z} \inf_{y \in Y} d_M(y, z) \right\} \\
&= d_H(X, Y) + d_H(Y, Z)
\end{aligned}$$

Therefore, because the Hausdorff distance has all four properties of a metric, it is indeed a metric.  $\square$

## 2.2 Gromov-Hausdorff Metric

The Gromov-Hausdorff distance is defined for two metric spaces  $(X, d_X)$  and  $(Y, d_Y)$  with two isometric functions  $\phi : X \rightarrow A$  and  $\psi : Y \rightarrow A$  as:

$$d_{GH}(X, Y) := \inf_{\phi, \psi} d_H(\phi(X), \psi(Y))$$

Want to show that the Gromov-Hausdorff distance  $d_{GH}$  is a metric that satisfies the four metric properties above.

*Proof.* We will prove all four properties of a metric in a metric space for the Gromov-Hausdorff distance.

**Equality:** We will prove both directions of  $d_{GH}(X, Y) = 0 \iff X = Y$

First, let  $d_{GH}(X, Y) = 0$ . Because  $d_H \geq 0$ , we know by the def of infimum that  $d_H(\phi(X), \psi(Y)) = 0$ . Because  $d_H$  is a metric, we know that  $\phi(X) = \psi(Y)$  only when  $X = Y$ . Therefore,  $d_{GH}(X, Y) = 0 \implies X = Y$ .

Now let  $X = Y$ . By the metric property of the Hausdorff distance, we know  $d_H(X, Y) = 0$  for  $X = Y$ . Therefore, no matter the isometric embeddings  $\phi$  and  $\psi$ , there is always an isometric embedding  $\phi = \psi$  such that  $d_H(\phi(X), \psi(Y)) = 0$ . Therefore,  $d_{GH}(X, Y) = 0$ .

2. **Positivity:** We will prove that  $d_{GH}(X, Y) > 0$  for all  $X \neq Y$ .

Because  $d_H$  is a metric, we know that  $d_H(\phi(X), \psi(Y)) > 0$  is always true when  $X \neq Y$ . Therefore, no matter the isometric embedding, the infimum of  $d_H(\phi(X), \psi(Y))$  is always positive. Thus  $d_{GH}(X, Y) > 0$ .

3. **Symmetry:** We will prove that  $d_{GH}(X, Y) = d_{GH}(Y, X)$ . This is trivially true by relabeling  $X$  and  $Y$  since the isometric embedding functions  $\phi, \psi$  can be anything.

4. **Triangle Inequality:** We will prove that  $d_{GH}(X, Z) \leq d_{GH}(X, Y) + d_{GH}(Y, Z)$  for all  $X, Y, Z$ .

By the metric property of the Hausdorff distance we know for spaces  $\phi(X), \theta(Y), \psi(Z)$  that:

$$d_H(\phi(X), \psi(Z)) \leq d_H(\phi(X), \theta(Y)) + d_H(\theta(Y), \psi(Z))$$

Taking the infimum of both sides, we get:

$$d_{GH}(X, Z) \leq d_{GH}(X, Y) + d_{GH}(Y, Z)$$

Thus we have shown that the Gromov-Hausdorff distance is a metric.  $\square$

### 3 Bounding Gromov-Hausdorff Distance With Diameter

Want to bound the Gromov-Hausdorff distance  $d_{GH}$  in terms of the diameter of metric spaces  $X$  and  $Y$ :

$$\text{diam}(X) := \max_{x, x' \in X} d_X(x, x') \quad \text{diam}(Y) := \max_{y, y' \in Y} d_Y(y, y')$$

*Proof.* Let  $\tilde{R}$  be an arbitrary relation.

$$\begin{aligned}
d_{GH}(X, Y) &= \inf_{\phi, \psi} d_H(\phi(X), \psi(Y)) \\
&= \frac{1}{2} \inf_{\tilde{R}} \sup_{\substack{(x, y) \in R \\ (x', y') \in R}} |d_X(x, x') - d_Y(y, y')| \\
&\leq \frac{1}{2} \sup_{\substack{(x, y) \in \tilde{R} \\ (x', y') \in \tilde{R}}} |d_X(x, x') - d_Y(y, y')| \\
&\leq \frac{1}{2} \sup_{\substack{(x, y) \in \tilde{R} \\ (x', y') \in \tilde{R}}} \{d_X(x, x'), d_Y(y, y')\} \\
&= \frac{1}{2} \max \{\text{diam}(X), \text{diam}(Y)\}
\end{aligned}$$

□

## 4 Bounding Gromov-Hausdorff Distance With $\epsilon$ -Nets

Want to bound the Gromov Hausdorff distance  $d_{GH}(X, Y)$  for metric spaces  $X$  and  $Y$ . Here,  $Y \subset X$  is an  $\epsilon$ -net such that:

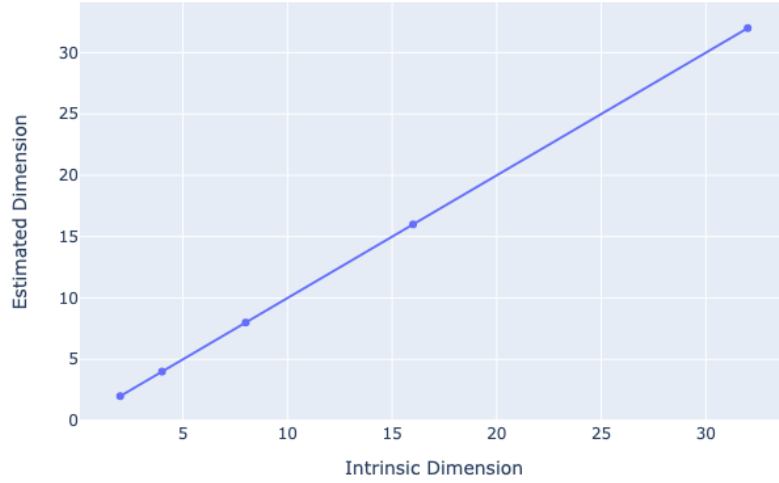
$$\forall x \in X, \exists y \in Y \text{ such that } d(x, y) < \epsilon$$

*Proof.*

$$\begin{aligned}
d_{GH}(X, Y) &= \inf_{\phi, \psi} d_H(\phi(X), \psi(Y)) \\
&= \inf_{\phi, \psi} \max \left\{ \sup_{x \in \phi(X)} \inf_{y \in \psi(Y)} d(x, y), \sup_{y \in \psi(Y)} \inf_{x \in \phi(X)} d(x, y) \right\} \\
&\leq \inf_{\phi, \psi} \max \left\{ \sup_{x \in \phi(X)} \inf_{y \in \psi(Y)} \epsilon, \sup_{y \in \psi(Y)} \inf_{x \in \phi(X)} \epsilon \right\} \\
&= \inf_{\phi, \psi} \max \{\epsilon, \epsilon\} \\
&= \epsilon
\end{aligned}$$

□

## 5 Intrinsic Dimensionality Estimation



## 6 Numerical Exploration of Johnson-Lindenstrauss Lemma

Recall the Johnson-Lindenstrauss Lemma:

**Lemma:** For  $0 < \epsilon < 1$ , set  $X = \{x_1 \dots x_m\}$  with  $x_i \in \mathbb{R}^N$ , and  $n > 8 \ln(m)/\epsilon^2$  there exists a linear map  $f : \mathbb{R}^N \rightarrow \mathbb{R}^n$  such that:

$$(1 - \epsilon)||u - v||^2 \leq ||f(u) - f(v)||^2 \leq (1 + \epsilon)||u - v||^2$$

for all  $u, v \in X$ .