

# Exercise 4 - Solutions

December 4, 2003

## Question 1

- a) Let  $x$  and  $y$  be two strings.  $LCE(x, y)$  denotes the minimal length of a string that contains both  $x$  and  $y$  as substrings, and  $LCS(x, y)$  denotes the maximal length of a common substring of  $x$  and  $y$ .

**Claim 1** For any two strings  $x$  and  $y$ , with lengths  $n$  and  $m$  respectively,  $LCE(x, y) = m + n - LCS(x, y)$ .

**Proof** Let  $x = x_1x_2 \cdots x_n$ , and  $y = y_1y_2 \cdots y_m$ . Assume  $x_{i_1} \cdots x_{i_k} = y_{j_1} \cdots y_{j_k}$  is a common substring of maximal length ( $k = LCS(x, y)$ ). Then the string

$$x_1 \cdots x_{i_1-1} y_1 \cdots y_{j_1-1} \mathbf{x}_{i_1} x_{i_1+1} \cdots x_{i_2-1} y_{j_1+1} \cdots y_{j_2-1} \mathbf{x}_{i_2} \cdots \mathbf{x}_{i_k} x_{i_k+1} \cdots x_n y_{j_k+1} \cdots y_m$$

has length  $m + n - LCS(x, y)$ . This shows that  $LCE(x, y) \leq m + n - LCS(x, y)$ . On the other hand, if  $w$  is a minimal common extension. Let  $I$  be the set of indices of  $w$  that form  $x$  and  $J$  the set of indices of  $w$  that form  $y$ . Thus, the length of  $w$  is equal to  $|I| + |J| - |I \cap J|$ . Since if we take  $w$  in the coordinates that belong to  $I \cap J$  we get a common substring of  $x, y$ , we get that  $LCE(x, y) \geq m + n - LCS(x, y)$ . ■

- b) We have shown in the first section that if we have a common substring of  $x$  and  $y$  of maximal length we can easily construct an extension of  $x$  and  $y$  with minimal length. Thus it is enough to know how to find a common substring with maximal length. This can be done using dynamic programming, by solving the following sub-problems: For  $i = 1, \dots, n, j = 1, \dots, m$ , let  $L_{i,j} = LCS(x_1 \cdots x_i, y_1 \cdots y_j)$ , and  $D_{i,j}$  is 1 if  $x_i = y_j$ , 2 if  $L_{i-1,j} > L_{i,j-1}$  and 3 otherwise. The sub-problems satisfy the following property: If  $D_{i,j} = 1$  then  $L_{i,j} = L_{i-1,j-1} + 1$ , else  $L_{i,j} = \max\{L_{i,j-1}, L_{i-1,j}\}$ . After computing the values of all the sub-problems we can construct a common substring of maximal length (and also a minimal extension) by backtracking using the values of the  $D_{i,j}$ 's, i.e. we start with pointers at the end of  $x$  and  $y$ , and we then go backwards writing the common substring along the way. At each step, when

the pointers are at  $x_i$  and  $y_j$  we do the following: If  $D_{i,j} = 1$  we write  $x_i$  in the common substring, if  $D_{i,j} = 2$  we go one step backwards in  $x$  (for the LCE also write  $x_i$ ), otherwise we go one step backwards in  $y$  (for the LCE also write  $y_j$ ). When  $i = 0$  or  $j = 0$  we go all the way back in  $y$  or  $x$  respectively.

## Question 2

The following theorem was proved in class.

**Theorem 2** *Consider a finite set  $S$ , and let  $F \subset 2^S$  be a non empty hereditary family of subsets of  $S$ . The greedy algorithm works for  $(S, F)$  for every weight function if and only if  $F$  satisfies the exchange property.*

We can use this to show that the greedy algorithm for maximal matching does not work. Let  $S = E$ , be the set of edges in  $G$ , and denote by  $F$  the family of all matchings in  $G$ . It is not hard to see that  $F$  is hereditary (every subset of edges in a matching is also a matching). But a simple example shows that  $F$  does not satisfy the exchange property: Consider the complete graph on 4 vertices  $v_1, v_2, v_3, v_4$ . The set  $\{(v_1, v_2), (v_3, v_4)\}$  is a matching, and so is the set  $\{v_2, v_3\}$ . But  $\{(v_1, v_2), v_2, v_3\}$  and  $\{(v_2, v_3), (v_3, v_4)\}$  are both not matchings.

## Question 3

Follows directly from the definitions.