# XML, JSON & NoSQL Databases

Gilles Degols based on the initial work of Ken Hasselmann

## Course organization

- XML, JSON
  - Theory and exercises
- NoSQL Databases
  - Theory
  - Developing a small python application iteratively through exercises
- Not everything will be written in the slides
  - If you do not come to the class, you will miss some information needed for the evaluations
  - Slides can be updated at any time, as well as the project/exercises deliverables (communicated orally)
  - https://github.com/gilles-degols/ecam-nosql
- Deliverables: must be in English

#### **Evaluation - Exercises**

- XML, JSON (10%)
  - Submit exercises the next day of each related course (23:59)
- NoSQL Databases (20%)
  - Submit exercises the next day of each related course (23:59)
- Submit: g3d@ecam.be with "Exercises: {XML/JSON/NoSQL}" as title
  - "{lastname} {firstname}.zip"
    - Only .zip
    - No sharepoint
  - Late submission: 0/20 to the related evaluation

## **Evaluation - Project**

- Project (70%)
  - 3-people teams unless exception
  - Design, implementation & setup of the database in a docker-compose.yml + application
  - Presentation (.pdf) and code (app + database setup) must be sent the day before the
    evaluation at 23:59 the latest
  - Last course: 20 minutes presentation + 20 minutes Q/A
    - Time allocation is free to change if deemed necessary by the lecturer
  - Everyone will listen to every presentation
  - Different notes can be given depending on the contribution & comprehension of each student
- Submit: g3d@ecam.be with "Project Team {i}" + .zip
  - Late submission: 0/20 to the related evaluation

# **Evaluation - Project**

Database & Implementation justification	Feature implementation	Rating mark
Yes	Yes (full scope)	[14; 20]
Yes	No (full scope not done)	[0; 14[
No	Yes	0
No	No	[0; 14[

Sending the code is part of "feature implementation" (no code, no feature)

#### About the lecturer

- Software Engineer / Big Data → Data Engineer
- Teaching Assistant at Université Libre de Bruxelles
- Companies I worked for
  - Université Libre de Bruxelles
  - Macq
  - ADB Safegate
  - Evonik
  - Proximus
  - Engie GEMS
- Course content
  - Directly related to day-to-day work

# Intro to XML

Why?
How to use it?
How to validate it?

- Extensible Markup Language
- Markup language
- File format

- Goals
  - Simplicity
  - Generality
  - Usability
- To communicate data in a structured format (!= HTML)

- SGML: Standard Generalized Markup Language
  - Released in 1986
  - Enable sharing of machine-readable documents, for several decades
- HTML is a variant of SGML
  - Pre-defined tags
  - Presentation layer
- XML is a variant of SGML
  - Data layer

#### Define your logging (log4j)

Define your build settings (maven)

- And a multitude of other use cases across a lot of applications, languages, build tools, ...,
- Also extensively used to transfer data

- Send & Receive data from an API (SOAP)
  - Envelope: identifies the XML document as SOAP message
  - Header: header information (authentication, ...)
  - Body: call & response
  - Fault: errors & status

#### SOAP

```
• <?xml version="1.0"?>
  <soap:Envelope</pre>
 xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
  soap:encodingStyle="http://www.w3.org/2003/05/soap-encoding">
    <soap:Header>
    </soap:Header>
    <soap:Body>
      <soap:Fault>
      </soap:Fault>
    </soap:Body>
  </soap:Envelope>
```

#### • SVG – Scalable Vector Graphics



## XML: How to use it?

- Standard XML syntax, v1.0 (5<sup>th</sup> edition)
  - Released in 1998
  - Public format: <a href="https://w3.org/TR/xml">https://w3.org/TR/xml</a>
- Most languages have an XML library
- Structure definition (and validation)
  - DTD
  - XML Schema (XSD)

## XML: Some properties

- An XML document is well-formed if it follows the syntax rules of XML
- An XML document is valid if it is well-formed and follows the structured defined in a Document Type Definition (DTD) or XML Schema (XSD)
- An XML document does not contain any information on how it should be rendered

## **XML:** Declaration

- <?xml version="1.0" encoding="UTF-8" ?>
- Basic information for the XML parser:
  - XML version
  - Character encoding (optional) most of the time, UTF-8
- But, how would you read the encoding of the first line without knowing the encoding?

## XML: Structure

```
<Employee>
    <Name>
                                                     Employee
        <First>Lassi</First>
        <Last>Lehto</Last>
   <Email>Lassi.Lehto@fgi.fi</Email>
                                                    Email
                                                               Organization
                                           Name
    <Organization>
        <Name>
            Finnish Geodetic Institute
        </Name>
        <Address>
                                                       Name
                                      First
                                              Last
                                                              Address
                                                                        Country
            PO Box 15,
            FIN-02431 Masala
        </Address>
        <Country CountryCode="358">Finland</Country>
    </Organization>
</Employee>
```

- Root: Employee
- Nodes: Name, Email, ...
- Attributes: CountryCode=358

## XML: Structure

```
Root node

Root node
```

## XML: Structure

- All elements start with a start tag and end with an end tag
- The name of the element is formed using
  - Alphanumeric characters a-zA-Z0-9
  - Underscore, dash, dot
  - Colons (:) are possible but they define a namespace
  - No space
  - Does not start with a number
  - Does not start with "xml"

## XML: Namespace

- Within an XML Schema, you might want to re-use some tags
- Namespaces
  - log4j:configuration
  - soap:body
- You must define them
  - For html code: xmlns="http://www.w3.org/TR/html4/"
  - xmlns:log4j="http://jakarta.apache.org/log4j/ "
  - xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
- Default namespace
  - Avoid always putting the namespace as prefix

## **XML: Elements**

- Start and end tag must correspond
- No crossings: <intro>...<title>...</intro>...</title>
- Case sensitive: <Title> and <title> are different tags
- Only one root element
  - At the top of the document
  - Cannot appear again elsewhere in the tree
- XML comments: <!-- comment -->

## **XML: Elements**

- Elements can be:
  - Non empty: start with opening tag and end with closing tag, can contain text and other elements
    - <title>The lord of the rings<title>
  - Empty: do not contain text nor other elements
    - <title></title> or <title />
- Elements can have attributes:
  - <title type="fantasy">The lord of the rings<title>
  - Attributes should be defined between quotes (') or double quotes (")

## **XML: Elements**

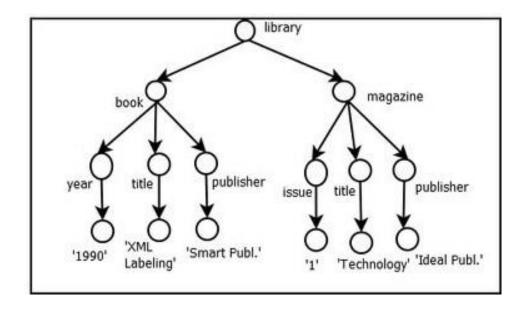
## XML: Related features

- XLink
  - Link to other xml documents, like "href" in html
- XPath
  - /bookstore/book[1]/title
  - /bookstore/book[price>35]/price
- XQuery
  - The SQL for your XMLs
  - for \$x in doc("books.xml")/bookstore/book where \$x/price>30 return \$x/title

• ...

## XML - Exercise 1

• Transform the following XML tree into a valid XML file (by hand)



## XML - Exercise 2

- Transform the following recipe you received from a friend into an XML file (by hand)
  - The XML is going to be used by a website to show all ingredients (wherever they appear), total execution time, necessary tooling ...
  - Make sure the generated xml is consistent and easy to process by a software
- Recipe for Japanese Curry
- Ingredients
  - Beef, chopped: 450g
  - Onions, minced: 350g
  - Carrot, chopped: 100g
  - Potato, chopped: 150g
  - Water: 500ml
  - Golden Curry Sauce Mix: 92g

#### Directions

- Stir-fry meat and vegetables with oil in a large skillet on medium heat for approx. 5 min.
- Add water and bring to boil. Reduce heat, cover and simmer until ingredients are tender, approx. 15min.
- Turn the heat off, break S&B Golden Curry Sauce Mix into pieces and add them to the skillet. Stir until sauce mixes are completely melted. Simmer approx. 5 min., stirring constantly.
- Serve hot over rice or noodles.

## DTD: What is it?

- Defines structural constraints in XML
- The Document Type Definition (DTD) defines the elements and their rules
- A document with a related DTD is valid if:
  - It is well-formed
  - It references a DTD
  - It complies with the DTD

## External DTD

- The DTD can be included directly in the document, or in an external file
- External DTD
  - <!DOCTYPE root element SYSTEM|PUBLIC [name] DTD uri>
- The DOCTYPE allows to declare the type of the document, the identifier for the root element is needed
  - SYSTEM: is local to computer, PUBLIC: can be retrieved from a catalog

## Internal DTD

• The DTD can be directly included in the document file

```
<!DOCTYPE people_list [</li>...
```

# DTD: Example

```
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<!DOCTYPE people list [</pre>
 <!ELEMENT people list (person*)>
 <!ELEMENT person (name, birthdate?, gender?,
socialsecuritynumber?)>
 <!ELEMENT name (#PCDATA)>
 <!ELEMENT birthdate (#PCDATA)>
 <!ELEMENT gender (#PCDATA)>
 <!ELEMENT socialsecuritynumber (#PCDATA)>
<people list>
 <person>
   <name>Fred Bloggs
   <birthdate>2008-11-27
   <gender>Male</gender>
 </person>
</people list>
```

## **DTD: Issues**

- A DTD can be used to create a denial-of-service attack by defining nested entities expanding exponentially, or by sending the XML parser to an external resource that never returns
- Many frameworks & software (Microsoft Office) will not open files containing DTD declarations
- Other issues
  - It does not use an XML syntax
  - No typing of content
  - No regex matching
- > Replaced by XML Schema

## XML Schema: Overview

- Describe the structure of an XML document
- XML Document

## XML Schema: Overview

#### • DTD Rules

```
<!ELEMENT note (to, from, heading, body)>
<!ELEMENT to (#PCDATA)>
<!ELEMENT from (#PCDATA)>
<!ELEMENT heading (#PCDATA)>
<!ELEMENT body (#PCDATA)>
```

## XML Schema: Overview

#### XSD

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
targetNamespace="https://www.w3schools.com"
xmlns="https://www.w3schools.com"
elementFormDefault="qualified">
<xs:element name="note">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="to" type="xs:string"/>
      <xs:element name="from" type="xs:string"/>
      <xs:element name="heading" type="xs:string"/>
      <xs:element name="body" type="xs:string"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>
</xs:schema>
```

## XML Schema - Benefits

- Introduce data types
- Use XML
  - Same language
  - Same parser
  - Same editor
- Extensible
  - Re-use a Schema in other Schemas
  - Create your own data type
  - Use multiple schemas in the same document

# XML Schema: Another example

#### • XML

### XML Schema: Another example

#### • XSD

```
<?xml version="1.0" encoding="UTF-8"?>
<xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
    <xsd:annotation>
        <xsd:documentation>
            This is a sample XML Schema for Chapter 1 of XML Schema
            Essentials.
        </xsd:documentation>
    </xsd:annotation>
    <xsd:element name="Book">
        <xsd:complexType>
            <xsd:sequence>
                <xsd:element ref="Title"/>
                <xsd:element ref="Authors"/>
                <xsd:element ref="Publisher"/>
            </xsd:sequence>
            <xsd:attribute name="pubCountry" type="xsd:string"/>
        </xsd:complexType>
    </xsd:element>
```

•••

### XML Schema: Another example

## XML Schema - A few keywords

#### Tags

- element
- complexType
- sequence
- attribute

#### Attributes

- type
- name
- maxOccurs
- minOccurs
- ref

#### XML - Exercise 3

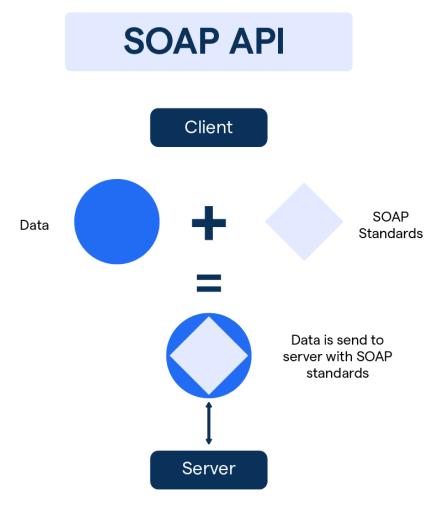
Create a XSD to validate the following XML

```
• <?xml version="1.0" encoding="UTF-8"?>
 <Race date="2020-12-15" name="Holiday Meet">
     <Course>
         <CourseName>The track</CourseName>
         <a href="#"><Address>Track road 123</a>/Address></a>
     </Course>
     <Horses>
         <Horse name="Bonfire">
              <Value>5000</Value>
              <Birthdate>1998-05-01
             <Gender>M</Gender>
         </Horse>
         <Horse name="Dobby">
              <Value>1000</V̄alue>
             <Birthdate>2001-04-05
             <Gender>F</Gender>
         </Horse>
     </Horses>
 </Race>
```

#### XML - Exercise 4

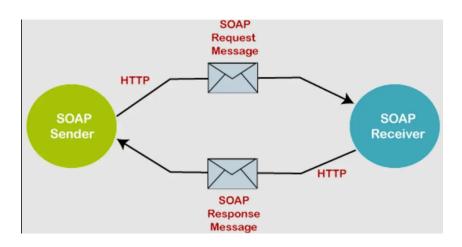
- Use Python and the library lxml to load the xml of any given file, and list the content of any given xpath
- Example:
  - python ex4.py myfile.xml /Race/Horses/Horse
  - b'<Horse name="Bonfire">\n\t\t<Value>5000</Value>\n\t\t<Birthdate>1998-05-01</Birthdate>\n\t\t\t<Gender>M</Gender>\n\t\t</Horse>\n\t\t\n'
  - b'<Horse name="Dobby">\n\t\t\t<Value>1000</Value>\n\t\t\t<Birthdate>2001-04-05</Birthdate>\n\t\t\t<Gender>F</Gender>\n\t\t</Horse>\n\t\n'

### XML & HTTP: SOAP



#### SOAP

- Simple Object Access Protocol
- Enveloppe
  - Root element with XML namespaces
- Header
  - Optional
  - Authentication tokens, encryption details, custom headers, ...
- Body
  - Payload itself
- Fault
  - Error codes, error messages



### SOAP - Request

### SOAP - Response

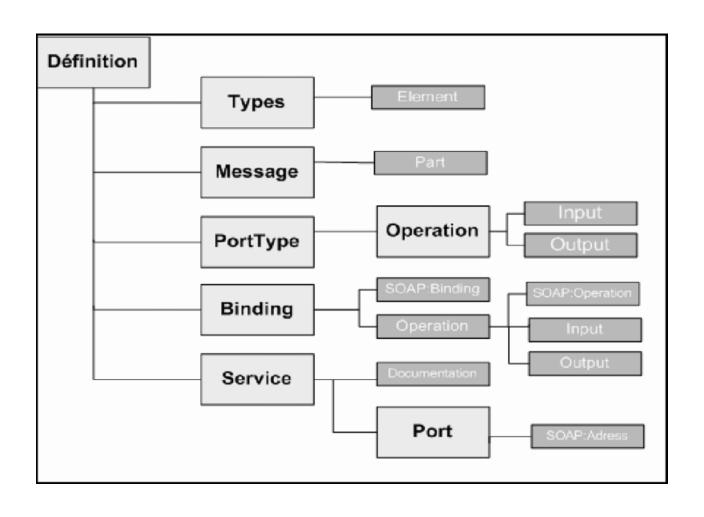
#### SOAP API

- XSD
  - Describe the structure of the data types being exchanged
  - Describe the fields and restrictions on fields (max length, ...)
- WSDL Web Services Description Language
  - Describe the API and its operations
  - List of methods, parameters and returned values
  - Abstract definitions of endpoints and messages separated from the network deployment or data format bindings

#### SOAP API - WSDL

- Definitions
  - targetNamespace
  - xmlns: default namespace of the WSDL document
  - xmlns:tns current namespace
- Types
  - Contains various xsd
- Message
- Operation
- portType
- Binding
- Port
- service

### SOAP API - WSDL



### **SOAP API**

• Demo

#### XML - Exercise 5

- Use Python to create a SOAP API providing the various features
  - In memory "database"
  - (Shop) objects
    - Attributes: name, remaining quantity, price
    - List, create, update & delete
  - Orders
    - Attributes: object\_id, customer\_id, quantity
    - List, create, update & delete
  - Apply some basic validations
    - quantity >= 0, name must be of length [4;100], birth date is a real date ...
- Provide a python script to test each endpoint

#### XML - Credits & references

- Ken Hasselmann
  - Introduction au XML: <a href="https://brunomartin.be/cours/xml.pdf">https://brunomartin.be/cours/xml.pdf</a>
  - Working with XML trees: <a href="https://docs.fab-image.com/studio/programming\_tips/UsingXml.html">https://docs.fab-image.com/studio/programming\_tips/UsingXml.html</a>
  - XML documentation: <a href="https://www.w3.org/XML/">https://www.w3.org/XML/</a>
- Official XML Schema tutorial from w3schools
  - https://www.w3schools.com/xml/schema\_intro.asp
- XML Schema Essentials
  - https://nuleren.be/ebooks/xml-schema-essentials.pdf
- Japanese curry recipe
  - https://www.sbfoods-worldwide.com/recipes/010.html

### Evaluation - Exercises - Update

- ECTS description was updated by ECAM with 30% allocated to continuous evaluation
- XML, JSON (10%)
  - Submit exercises the next day of each related course (23:59)
- NoSQL Databases (20%)
  - Submit exercises the next day of each related course (23:59)
- Submit: g3d@ecam.be with "Exercises: Course {i}" as title
  - "{lastname} {firstname}.zip"
    - Only .zip
    - No sharepoint
  - Late submission: 0/20 to the related evaluation

### **Evaluation - Project**

- Project (70%)
  - 3-people teams unless exception
  - Design, implementation & setup of the database in a docker-compose.yml + application
  - Presentation (.pdf) and code (app + database setup) must be sent the day before the
    evaluation at 23:59 the latest
  - Last course: 20 minutes presentation + 20 minutes Q/A
    - Time allocation is free to change if deemed necessary by the lecturer
  - Everyone will listen to every presentation
  - Different notes can be given depending on the contribution & comprehension of each student
- Submit: g3d@ecam.be with "Project Team {i}" + .zip
  - Late submission: 0/20 to the related evaluation

# Intro to JSON

Why?
How to use it?
How to validate it?

### JSON: What?

- JavaScript Object Notation
- Text format to store and transport data
- Self-describing and easy to read

# JSON: Syntax

- Syntactically similar to creating JavaScript objects
  - JSON.parse(), JSON.stringify()
- Syntactically similar to creating Python objects
  - json.loads(), json.dumps()
- Syntax rules
  - Data is in key/value pairs
  - Data is separated by commas (careful about an extra ",")
  - Curly braces hold objects
  - Square brackets hold arrays

## JSON: Syntax

- One single root object
- Supported data types
  - String
  - Number
  - Object
  - Array
  - Boolean
  - Null
- null: a valid json (case sensitive!)
  - But also: "some-string", 40.0, {}, [], true

## JSON: Accessing data

#### Python

```
• import json
  data = json.loads("""{"property": "value", "some-key": [{"id":
    42, "is_valid": true}]}""")
  print(data["property"])
  print(data["some-key"][0]["id"])

• data = {"property": "value", "some-key": [{"id": 42, "is_valid":
    True}]}
  print(json.dumps(data))
```

### XML vs JSON

XML	JSON
Human readable	
Hierarchical	
Supported by most languages	
Legacy solution, wildly supported	Wildly supported
Specific parser needs to be implemented	Quick to read & write
Does not translate directly into basic python/javascript structures	Fast to parse
	Smaller data storage
	No start/end tags

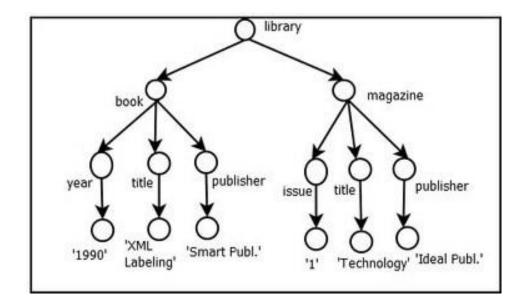
## JSON: Applications

- SVG: still XML
- Config files (npm packaging, vscode configuration ...)
- HTTP: SOAP API → (JSON) API
- Websocket

```
• {"id":42, "value": 56.0, "symbol": "BTCUSD"}
```

- Databases
  - Structured format, so why not store it directly this way?
  - NoSQL Databases (and some SQL databases)

• Transform the following tree into a valid JSON file (by hand)



- Transform the following text into a JSON file (by hand)
- Ingredients
  - Beef, chopped: 450g
  - Onions, minced: 350g
  - Carrot, chopped: 100g
  - Potato, chopped: 150g
  - Water: 500ml
  - Golden Curry Sauce Mix: 92g

#### Directions

- Stir-fry meat and vegetables with oil in a large skillet on medium heat for approx. 5 min.
- Add water and bring to boil. Reduce heat, cover and simmer until ingredients are tender, approx. 15min.
- Turn the heat off, break S&B Golden Curry Sauce Mix into pieces and add them to the skillet. Stir until sauce mixes are completely melted. Simmer approx. 5 min., stirring constantly.
- Serve hot over rice or noodles.

Transform the following XML into a JSON

```
• <?xml version="1.0" encoding="UTF-8"?>
 <Race date="2020-12-15" name="Holiday Meet">
     <Course>
         <CourseName>The track</CourseName>
         <Address>Track road 123</Address>
     </Course>
     <Horses>
         <Horse name="Bonfire">
             <Value>5000</Value>
             <Birthdate>1998-05-01
             <Gender>M</Gender>
         </Horse>
         <Horse name="Dobby">
             <Value>1000</V̄alue>
             <Birthdate>2001-04-05
             <Gender>F</Gender>
         </Horse>
     </Horses>
 </Race>
```

- JSON Schema
  - Specification (2020): <a href="https://json-schema.org/specification">https://json-schema.org/specification</a>

```
"firstName": "John",
   "lastName": "Doe",
   "age": 21
}
```

```
"$id": "https://example.com/person.schema.json",
"$schema": "https://json-schema.org/draft/2020-12/schema",
"title": "Person",
"type": "object",
"properties": {
 "firstName": {
    "type": "string",
   "description": "The person's first name."
  "lastName": {
   "type": "string",
    "description": "The person's last name."
  } ,
  "age": {
    "description": "Age in years which must be equal to or greater than zero.",
    "type": "integer",
    "minimum": 0
```

```
"$id": "https://example.com/arrays.schema.json",
"$schema": "https://json-schema.org/draft/2020-12/schema",
"description": "Arrays of strings and objects",
"title": "Arrays",
"type": "object",
"properties": {
  "fruits":
    "type": "array",
    "items": {
     "type": "string"
  "vegetables": {
   "type": "array",
   "items": { "$ref": "#/$defs/veggie" }
```

```
"$defs":
   "veggie": {
     "type": "object",
      "required": [ "veggieName", "veggieLike" ],
      "properties": {
        "veggieName": {
          "type": "string",
          "description": "The name of the vegetable."
        "veggieLike": {
          "type": "boolean",
          "description": "Do I like this vegetable?"
```

- Some other features
  - Regular expression
  - If-else
  - One of
  - All of
  - Any of
  - propertiesCount
  - Enumerations
  - •

• Re-use the JSON created for Exercise 3, and create the associated JSON Schema to validate it

```
• <?xml version="1.0" encoding="UTF-8"?>
 <Race date="2020-12-15" name="Holiday Meet">
     <Course>
         <CourseName>The track</CourseName>
         <Address>Track road 123</Address>
     </Course>
     <Horses>
         <Horse name="Bonfire">
             <Value>5000</Value>
             <Birthdate>1998-05-01
             <Gender>M</Gender>
         </Horse>
         <Horse name="Dobby">
             <Value>1000</Value>
             <Birthdate>2001-04-05
             <Gender>F</Gender>
         </Horse>
     </Horses>
 </Race>
```

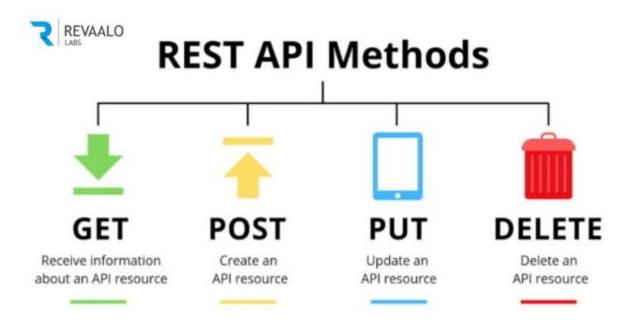
# (JSON) API

- Often used for RESTful API
- REST / Representational State Transfer
  - Set of architectural constraints, not a protocol nor a standard
  - Does not need to use JSON
- RESTful
  - API following the constraints of REST

#### Constraints

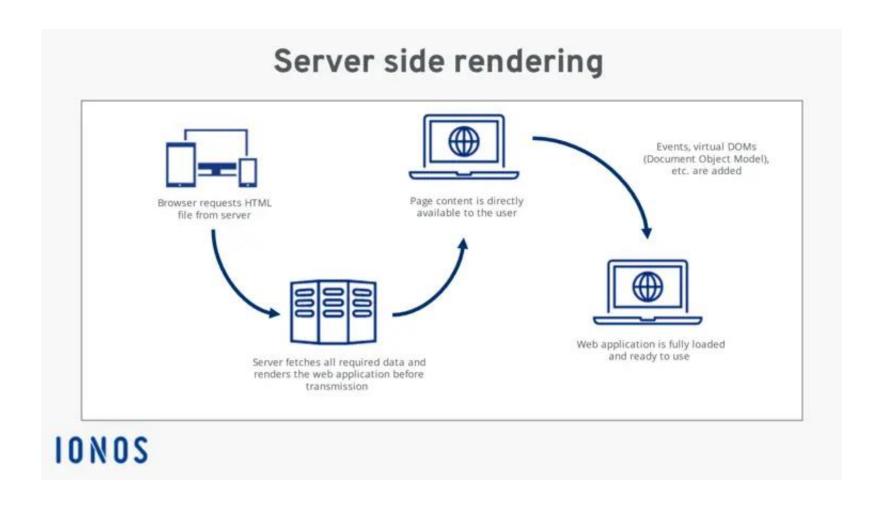
- Client-server architecture, with requests managed through HTTP
- Stateless client-server communication
- Cacheable data
- Uniform interface
  - A resource must have only one logical URI
  - Self-descriptive messages with enough information for the client to process it
  - Hypertext/hypermedia to find all related information
  - Your endpoints should behave the same, allowing a developer to integrate it easily
- Layered system
  - Client contacts API on server A, but if data or authorization is done on other servers it should be transparent

```
https://apiurl.com/review/new
     Endpoint •
HTTP Method · ─ ·
                     POST
                    content-type: application/json
HTTP Headers · →
                     accept: application/json
                     authorization: Basic abase64string
        Body ←
                       "review" : {
                         "title": "Great article!",
                         "description": "So easy to follow.",
                         "rating" : 5
SitePoint
```



- /users
  - GET + url parameters
  - POST + body
- /user/{id}
  - GET
  - POST
  - PUT
  - PATCH
- /orders
- /order/{id}
- ...

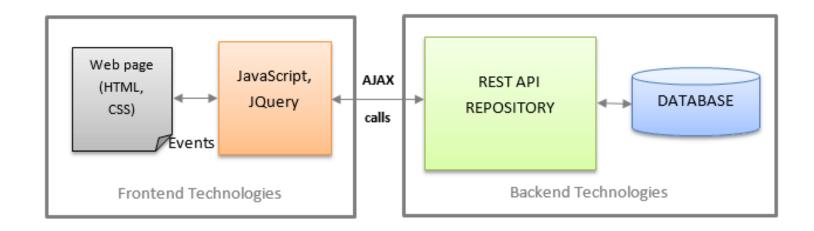
## RESTful API - Why so common?



### RESTful API - Why so common?

- Front-end for a desktop application
  - Then, for a mobile app
  - Then, custom front-end to deal with a legacy client
  - ...
- You want your website to be more "dynamic" and smoother while loading new elements
  - Infinite scrolling on most websites
  - You want the client to automatically fetch the next data!
    - In HTML? XML? JSON? ...?

## RESTful API - Why so common?



## Python web api

• demo

#### JSON - Exercise 5

- Use Python to create a RESTful API providing the various features
  - In memory "database"
  - (Shop) objects
    - Attributes: name, remaining quantity, price
    - List, create, update & delete
  - Orders
    - Attributes: object\_id, quantity
    - List, create, update & delete
  - Apply some basic validations
    - quantity >= 0, name must be of length [4;100], birth date is a real date ...
- Provide a python script to test each endpoint

#### JSON - Credits & references

- Json
  - https://www.json.org/json-en.html
  - https://www.w3schools.com/js/js\_json\_intro.asp
- Json Schema
  - <a href="https://json-schema.org/specification">https://json-schema.org/specification</a>
  - <a href="https://json-schema.org/learn/miscellaneous-examples">https://json-schema.org/learn/miscellaneous-examples</a>

# NoSQL Databases

Why?
How to use it?
How to validate it?

### Why use a database?

- Efficient and persistent
- More flexible than using files
- Handle concurrent access
- Libraries to easily integrate with any programming language
- SQL / Relational databases share a lot of similarities
  - Many libraries handle PostgreSQL, MySQL, SQLite without any change
  - But each of them has custom features

#### Software and data

- Data usually lives longer than software
- Data is extremely valuable
  - Must be easy to interact with and stable
- Data should be at the center of the architecture

#### Relational databases

- Schema
- Tables
- Relationship between tables
- Easy querying using SQL
- Most common relational databases
  - MySQL / MariaDB
  - PostgreSQL
  - Microsoft SQL Server
  - SQLite (for local development)

#### Relational databases - Limitations

- Relationships
  - Indexes: RAM consumption, update overhead
  - High correlation between tables
- How to scale?
  - Vertically (Single server): Hardware limitations
  - Horizontally (multi-servers): How do deal with relationships efficiently?
  - Complex schema changes for large databases (1 TB+)
- We always manipulate (json) objects, so why use SQL at all?
  - NoSQL Databases

## Scaling

- Vertical Scaling / Scale up
  - More powerful server
  - Architecture stays the same
- Horizontal Scaling / Scale out
  - Add more servers
  - Architecture needs to be designed for it
    - ! SQL Databases are still possible
    - All processes will not necessarily see the same state

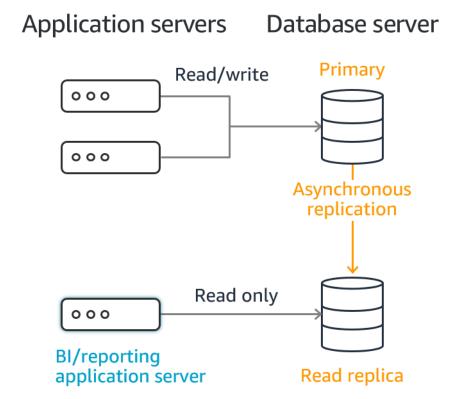
## Scaling - Database storage

- Database storage
  - Often one table (or database) = one file
  - Re-use deleted rows for new rows
  - Colocation of data is important
- Issues with "one file"
  - Backup
  - Schema changes
  - File system limitations
  - Handling of many deletions / updates: iops, lost disk space

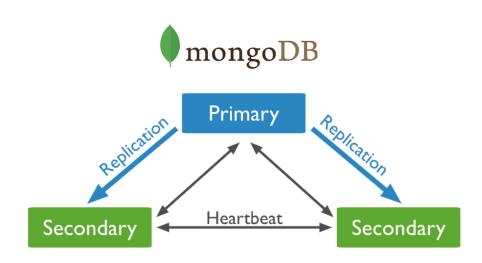
## Scaling - Database storage

- Issues with "many files"
  - Backup
  - Schema changes
  - File system limitations
  - Handling of many deletions / updates: iops
- Middle ground: partitions
  - User id [0...1000] → file 1
  - User id [1001...2000] → file 2

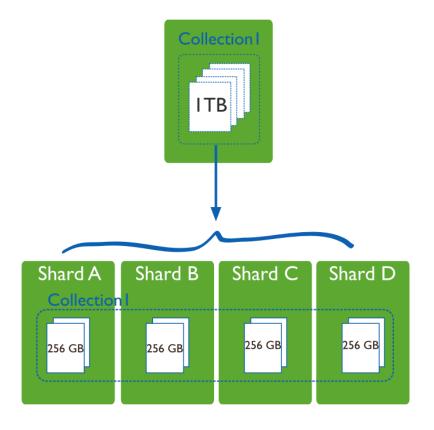
- Why do we want to scale?
  - Too much to write?
  - Too much to read?
  - Both?
- Lots of read operations
  - Read-replicas (1-3 are common)
  - Async replication with configurable delay
  - Software should be aware of it
  - Each server must still store everything



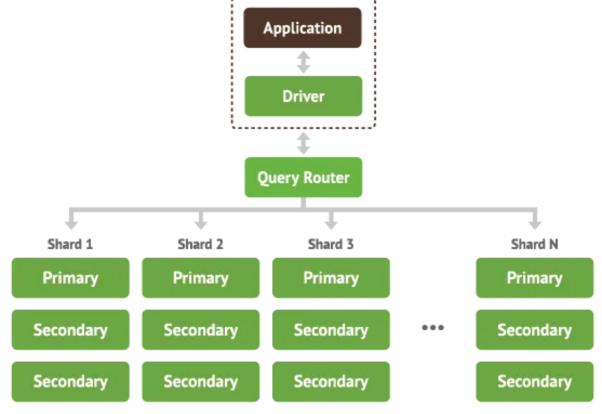
- Primary
  - Accepts Read & Write
- Secondary
  - Accepts Read
- Handling failure of the primary
  - Primary election
  - Software needs to know all nodes
  - Odd number of nodes is required



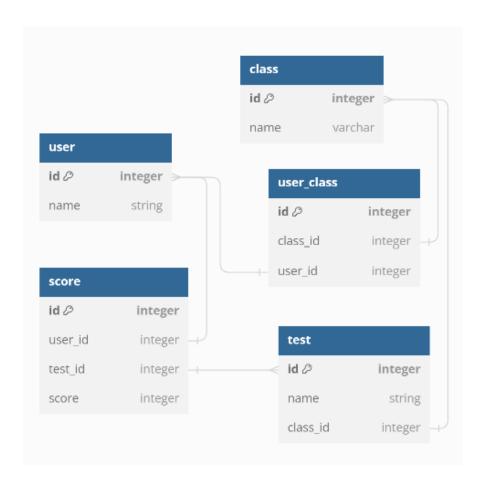
- Read replica
  - All the data still in each server
  - How to handle TBs?
  - Partitions at the cluster level: sharding



Read replica + Sharding

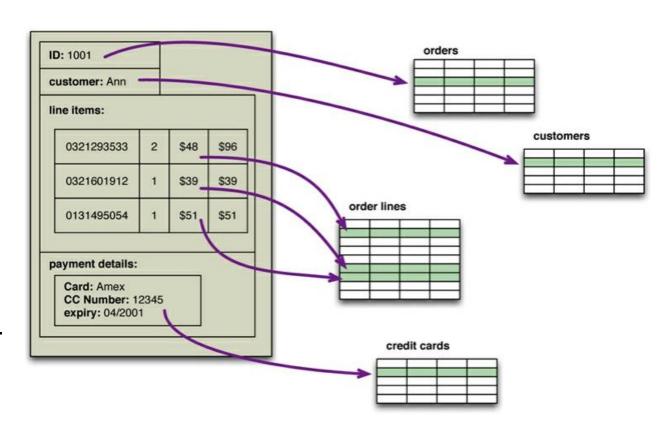


- But JOINs?
  - Highly normalized
- Example
  - Students registered to classes
  - Students have scores for tests
- How to scale?
  - Partition every table?
  - Latency?
  - Bandwidth?
  - RAM?



### Impedance mismatch

- User point of view
  - A single document
- Developer point of view
  - Multiple tables to manage
- Impedance mismatch
  - Difference between the relational model and the inmemory data structures



## NoSQL Database - MongoDB

- Optimize storage for read
  - "Similar" to a Materialized View managed by yourself
- High freedom
  - Add/remove any field
  - Set any type
- Every user object is handled separately (no constraint)

```
"id": 0,
"name": "Alex",
"classes": [
    "id": 42,
    "name": "Programming"
"scores": [
    "test": {
      "id": 25,
      "name": "1st test"
    "score": 20
```

#### Relational Databases - Issues

- Conversion of data between end-user and data storage
- Reconstruction of data from tables
- Fixed data model
- Relational databases forces columns of a specific type (generally)
- Scaling issues
- Complicated searching in relational database
- But
  - SQL
  - Many features to do "anything" (streaming, ...)
  - Schema enforcement

#### Non-Relational Databases - Issues

- Almost no data type enforcement
- Data Model is extremely free (few available constraints)
- Limited set of features
- Specific languages
- Transactions are generally not supported natively
- But
  - Designed for scalability
  - Data type freedom (media, text, json, ...)
  - Do a few things efficiently
  - Schema enforcement

#### Which one to choose?

- RDBMS are powerful and stable
- NoSQL DBs are specialized and easily scalable
- Many architectures use both

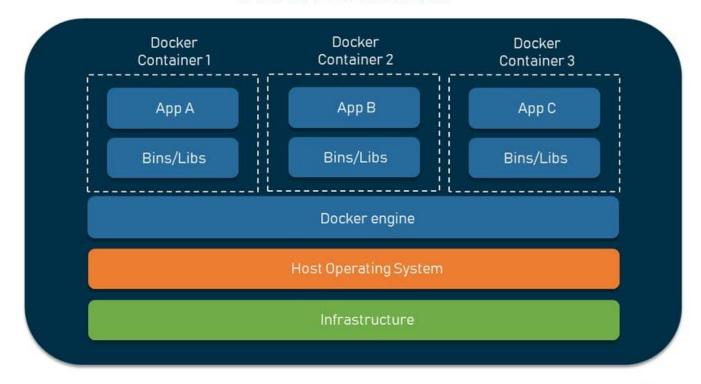
#### Which one to choose?

- MongoDB
- Cassandra
- Couchdb
- Hbase
- Redis
- Neo4j
- Amazon AWS
  - RDS
  - DynamoDB
  - •

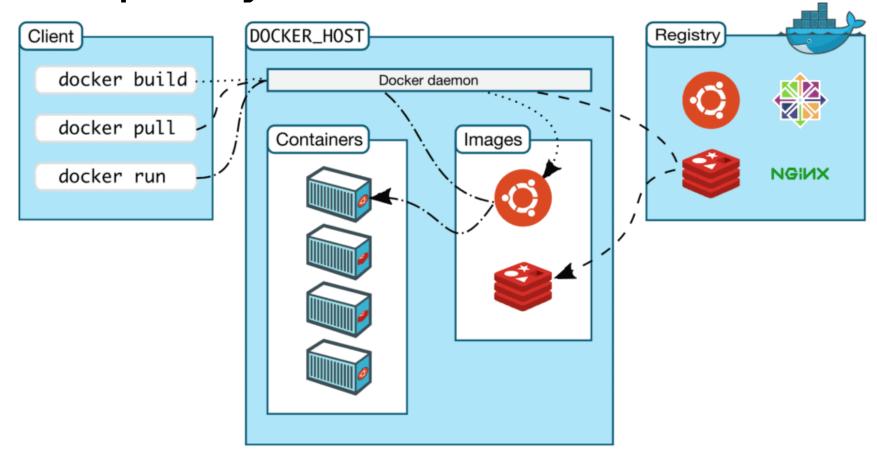
•

- Manual installation of redis
  - https://redis.io/docs/latest/operate/oss\_and\_stack/install/install-redis/
  - Centos
    - $\bullet$  sudo yum install redis && sudo system start redis
  - How to remove all files?
    - sudo yum uninstall redis
    - But... some files will remain
    - How to quickly test various versions and make sure all dependencies are properly removed / installed?
  - How to make sure all the applications are installed together for your software?
    - Bash script but how to handle updates? Deletion? ...

#### **DOCKER CONTAINERS**







#### How to create a docker image?

#### Dockerfile

```
• FROM python:3.8-slim-buster
WORKDIR /python-docker
COPY requirements.txt requirements.txt
RUN pip3 install -r requirements.txt
COPY .
CMD [ "python3", "-m" , "flask", "run", "--host=0.0.0.0"]
• docker build -t flask:0.1.0 .
```

#### Redis

https://github.com/redis/docker-library-redis/blob/master/Dockerfile.template

#### MongoDB

• <a href="https://github.com/docker-library/mongo/blob/master/Dockerfile-windows.template">https://github.com/docker-library/mongo/blob/master/Dockerfile-windows.template</a>

- Install docker
  - https://docs.docker.com/engine/install/
  - docker run --name some-redis -d redis
- Install docker-compose
  - https://docs.docker.com/compose/install/
  - Create a docker-compose.yml

```
version: '3'

services:
    redis:
    # https://hub.docker.com/_/redis
    image: redis:7.4.0
    container_name: redis
    restart: unless-stopped
```

- Interact with your processes
  - docker compose up redis
  - docker compose up redis -d
  - docker compose down
  - docker logs -f redis
- How to enter a docker?
  - docker exec redis -it /bin/bash
- How to keep your data?
  - Volumes
- How to provide some configuration files?
  - Volumes
- How to expose a port?
  - Ports

## NoSQL - Exercise 1

- Create a docker-compose which contains the following services (single node)
  - Postgres
  - MongoDB
  - Cassandra
  - Couchdb
  - Redis