XML, JSON & NoSQL Databases

Gilles Degols based on the initial work of Ken Hasselmann

Course organization

- XML, JSON
 - Theory and exercises
- NoSQL Databases
 - Theory
 - Developing a small python application iteratively through exercises
- Not everything will be written in the slides
 - If you do not come to the class, you will miss some information needed for the evaluations
 - Slides can be updated at any time, as well as the project/exercises deliverables (communicated orally)
 - https://github.com/gilles-degols/ecam-nosql
- Deliverables: must be in English

Evaluation - Exercises

- XML, JSON (10%)
 - Submit exercises the next day of each related course (23:59)
- NoSQL Databases (20%)
 - Submit exercises the next day of each related course (23:59)
- Submit: g3d@ecam.be with "Exercises: {XML/JSON/NoSQL}" as title
 - "{lastname} {firstname}.zip"
 - Only .zip
 - No sharepoint
 - Late submission: 0/20 to the related evaluation

Evaluation - Project

- Project (70%)
 - 3-people teams unless exception
 - Design, implementation & setup of the database in a docker-compose.yml + application
 - Presentation (.pdf) and code (app + database setup) must be sent the day before the evaluation at 23:59 the latest
 - Last course: 20 minutes presentation + 20 minutes Q/A
 - Time allocation is free to change if deemed necessary by the lecturer
 - Everyone will listen to every presentation
 - Different notes can be given depending on the contribution & comprehension of each student
- Submit: g3d@ecam.be with "Project Team {i}" + .zip
 - Late submission: 0/20 to the related evaluation

Evaluation - Project

Database & Implementation justification	Feature implementation	Rating mark
Yes	Yes (full scope)	[14; 20]
Yes	No (full scope not done)	[0; 14[
No	Yes	0
No	No	[0; 14[

Sending the code is part of "feature implementation" (no code, no feature)

About the lecturer

- Software Engineer / Big Data → Data Engineer
- Teaching Assistant at Université Libre de Bruxelles
- Companies I worked for
 - Université Libre de Bruxelles
 - Macq
 - ADB Safegate
 - Evonik
 - Proximus
 - Engie GEMS
- Course content
 - Directly related to day-to-day work

Intro to XML

Why?
How to use it?
How to validate it?

- Extensible Markup Language
- Markup language
- File format

- Goals
 - Simplicity
 - Generality
 - Usability
- To communicate data in a structured format (!= HTML)

- SGML: Standard Generalized Markup Language
 - Released in 1986
 - Enable sharing of machine-readable documents, for several decades
- HTML is a variant of SGML
 - Pre-defined tags
 - Presentation layer
- XML is a variant of SGML
 - Data layer

Define your logging (log4j)

Define your build settings (maven)

- And a multitude of other use cases across a lot of applications, languages, build tools, ...,
- Also extensively used to transfer data

- Send & Receive data from an API (SOAP)
 - Envelope: identifies the XML document as SOAP message
 - Header: header information (authentication, ...)
 - Body: call & response
 - Fault: errors & status

SOAP

```
• <?xml version="1.0"?>
  <soap:Envelope</pre>
 xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
  soap:encodingStyle="http://www.w3.org/2003/05/soap-encoding">
    <soap:Header>
    </soap:Header>
    <soap:Body>
      <soap:Fault>
      </soap:Fault>
    </soap:Body>
  </soap:Envelope>
```

• SVG – Scalable Vector Graphics



XML: How to use it?

- Standard XML syntax, v1.0 (5th edition)
 - Released in 1998
 - Public format: https://w3.org/TR/xml
- Most languages have an XML library
- Structure definition (and validation)
 - DTD
 - XML Schema (XSD)

XML: Some properties

- An XML document is well-formed if it follows the syntax rules of XML
- An XML document is valid if it is well-formed and follows the structured defined in a Document Type Definition (DTD) or XML Schema (XSD)
- An XML document does not contain any information on how it should be rendered

XML: Declaration

- <?xml version="1.0" encoding="UTF-8" ?>
- Basic information for the XML parser:
 - XML version
 - Character encoding (optional) most of the time, UTF-8
- But, how would you read the encoding of the first line without knowing the encoding?

XML: Structure

```
<Employee>
    <Name>
                                                     Employee
        <First>Lassi</First>
        <Last>Lehto</Last>
   <Email>Lassi.Lehto@fgi.fi</Email>
                                                    Email
                                                               Organization
                                           Name
    <Organization>
        <Name>
            Finnish Geodetic Institute
        </Name>
        <Address>
                                                       Name
                                      First
                                              Last
                                                              Address
                                                                        Country
            PO Box 15,
            FIN-02431 Masala
        </Address>
        <Country CountryCode="358">Finland</Country>
    </Organization>
</Employee>
```

- Root: Employee
- Nodes: Name, Email, ...
- Attributes: CountryCode=358

XML: Structure

```
Root node

Root node
```

XML: Structure

- All elements start with a start tag and end with an end tag
- The name of the element is formed using
 - Alphanumeric characters a-zA-Z0-9
 - Underscore, dash, dot
 - Colons (:) are possible but they define a namespace
 - No space
 - Does not start with a number
 - Does not start with "xml"

XML: Namespace

- Within an XML Schema, you might want to re-use some tags
- Namespaces
 - log4j:configuration
 - soap:body
- You must define them
 - For html code: xmlns="http://www.w3.org/TR/html4/"
 - xmlns:log4j="http://jakarta.apache.org/log4j/ "
 - xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
- Default namespace
 - Avoid always putting the namespace as prefix

XML: Elements

- Start and end tag must correspond
- No crossings: <intro>...<title>...</intro>...</title>
- Case sensitive: <Title> and <title> are different tags
- Only one root element
 - At the top of the document
 - Cannot appear again elsewhere in the tree
- XML comments: <!-- comment -->

XML: Elements

- Elements can be:
 - Non empty: start with opening tag and end with closing tag, can contain text and other elements
 - <title>The lord of the rings<title>
 - Empty: do not contain text nor other elements
 - <title></title> or <title />
- Elements can have attributes:
 - <title type="fantasy">The lord of the rings<title>
 - Attributes should be defined between quotes (') or double quotes (")

XML: Elements

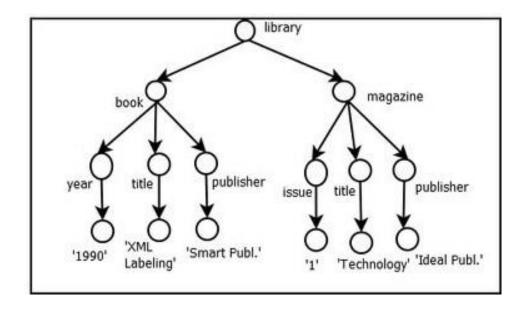
XML: Related features

- XLink
 - Link to other xml documents, like "href" in html
- XPath
 - /bookstore/book[1]/title
 - /bookstore/book[price>35]/price
- XQuery
 - The SQL for your XMLs
 - for \$x in doc("books.xml")/bookstore/book where \$x/price>30 return \$x/title

• ...

XML - Exercise 1

• Transform the following XML tree into a valid XML file (by hand)



XML - Exercise 2

- Transform the following recipe you received from a friend into an XML file (by hand)
 - The XML is going to be used by a website to show all ingredients (wherever they appear), total execution time, necessary tooling ...
 - Make sure the generated xml is consistent and easy to process by a software
- Recipe for Japanese Curry
- Ingredients
 - Beef, chopped: 450g
 - Onions, minced: 350g
 - Carrot, chopped: 100g
 - Potato, chopped: 150g
 - Water: 500ml
 - Golden Curry Sauce Mix: 92g

Directions

- Stir-fry meat and vegetables with oil in a large skillet on medium heat for approx. 5 min.
- Add water and bring to boil. Reduce heat, cover and simmer until ingredients are tender, approx. 15min.
- Turn the heat off, break S&B Golden Curry Sauce Mix into pieces and add them to the skillet. Stir until sauce mixes are completely melted. Simmer approx. 5 min., stirring constantly.
- Serve hot over rice or noodles.

DTD: What is it?

- Defines structural constraints in XML
- The Document Type Definition (DTD) defines the elements and their rules
- A document with a related DTD is valid if:
 - It is well-formed
 - It references a DTD
 - It complies with the DTD

External DTD

- The DTD can be included directly in the document, or in an external file
- External DTD
 - <!DOCTYPE root element SYSTEM|PUBLIC [name] DTD uri>
- The DOCTYPE allows to declare the type of the document, the identifier for the root element is needed
 - SYSTEM: is local to computer, PUBLIC: can be retrieved from a catalog

Internal DTD

• The DTD can be directly included in the document file

```
<!DOCTYPE people_list [</li>...
```

DTD: Example

```
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<!DOCTYPE people list [</pre>
 <!ELEMENT people list (person*)>
 <!ELEMENT person (name, birthdate?, gender?,
socialsecuritynumber?)>
 <!ELEMENT name (#PCDATA)>
 <!ELEMENT birthdate (#PCDATA)>
 <!ELEMENT gender (#PCDATA)>
 <!ELEMENT socialsecuritynumber (#PCDATA)>
<people list>
 <person>
   <name>Fred Bloggs
   <birthdate>2008-11-27
   <gender>Male</gender>
 </person>
</people list>
```

DTD: Issues

- A DTD can be used to create a denial-of-service attack by defining nested entities expanding exponentially, or by sending the XML parser to an external resource that never returns
- Many frameworks & software (Microsoft Office) will not open files containing DTD declarations
- Other issues
 - It does not use an XML syntax
 - No typing of content
 - No regex matching
- > Replaced by XML Schema

XML Schema: Overview

- Describe the structure of an XML document
- XML Document

XML Schema: Overview

• DTD Rules

```
<!ELEMENT note (to, from, heading, body)>
<!ELEMENT to (#PCDATA)>
<!ELEMENT from (#PCDATA)>
<!ELEMENT heading (#PCDATA)>
<!ELEMENT body (#PCDATA)>
```

XML Schema: Overview

XSD

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
targetNamespace="https://www.w3schools.com"
xmlns="https://www.w3schools.com"
elementFormDefault="qualified">
<xs:element name="note">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="to" type="xs:string"/>
      <xs:element name="from" type="xs:string"/>
      <xs:element name="heading" type="xs:string"/>
      <xs:element name="body" type="xs:string"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>
</xs:schema>
```

XML Schema - Benefits

- Introduce data types
- Use XML
 - Same language
 - Same parser
 - Same editor
- Extensible
 - Re-use a Schema in other Schemas
 - Create your own data type
 - Use multiple schemas in the same document

XML Schema: Another example

• XML

XML Schema: Another example

• XSD

```
<?xml version="1.0" encoding="UTF-8"?>
<xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
    <xsd:annotation>
        <xsd:documentation>
            This is a sample XML Schema for Chapter 1 of XML Schema
            Essentials.
        </xsd:documentation>
    </xsd:annotation>
    <xsd:element name="Book">
        <xsd:complexType>
            <xsd:sequence>
                <xsd:element ref="Title"/>
                <xsd:element ref="Authors"/>
                <xsd:element ref="Publisher"/>
            </xsd:sequence>
            <xsd:attribute name="pubCountry" type="xsd:string"/>
        </xsd:complexType>
    </xsd:element>
```

•••

XML Schema: Another example

XML Schema - A few keywords

Tags

- element
- complexType
- sequence
- attribute

Attributes

- type
- name
- maxOccurs
- minOccurs
- ref

XML - Exercise 3

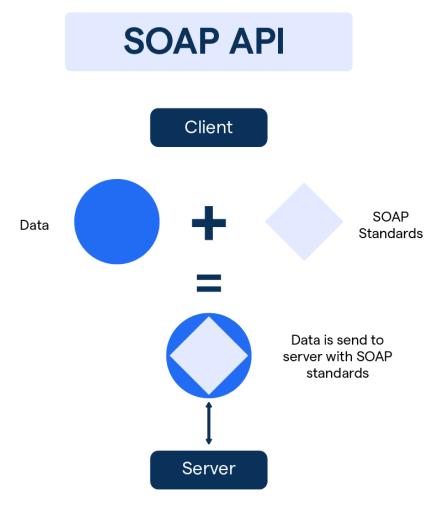
Create a XSD to validate the following XML

```
• <?xml version="1.0" encoding="UTF-8"?>
 <Race date="2020-12-15" name="Holiday Meet">
     <Course>
         <CourseName>The track</CourseName>
         <a href="#"><Address>Track road 123</a>/Address></a>
     </Course>
     <Horses>
         <Horse name="Bonfire">
              <Value>5000</Value>
              <Birthdate>1998-05-01
             <Gender>M</Gender>
         </Horse>
         <Horse name="Dobby">
              <Value>1000</V̄alue>
             <Birthdate>2001-04-05
             <Gender>F</Gender>
         </Horse>
     </Horses>
 </Race>
```

XML - Exercise 4

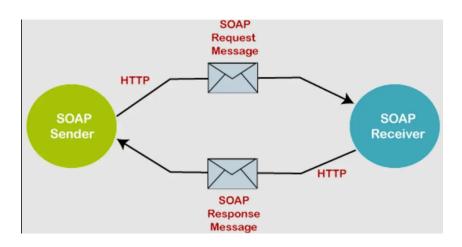
- Use Python and the library lxml to load the xml of any given file, and list the content of any given xpath
- Example:
 - python ex4.py myfile.xml /Race/Horses/Horse
 - b'<Horse name="Bonfire">\n\t\t<Value>5000</Value>\n\t\t<Birthdate>1998-05-01</Birthdate>\n\t\t\t<Gender>M</Gender>\n\t\t</Horse>\n\t\t\n'
 - b'<Horse name="Dobby">\n\t\t\t<Value>1000</Value>\n\t\t\t<Birthdate>2001-04-05</Birthdate>\n\t\t\t<Gender>F</Gender>\n\t\t</Horse>\n\t\n'

XML & HTTP: SOAP



SOAP

- Simple Object Access Protocol
- Enveloppe
 - Root element with XML namespaces
- Header
 - Optional
 - Authentication tokens, encryption details, custom headers, ...
- Body
 - Payload itself
- Fault
 - Error codes, error messages



SOAP - Request

SOAP - Response

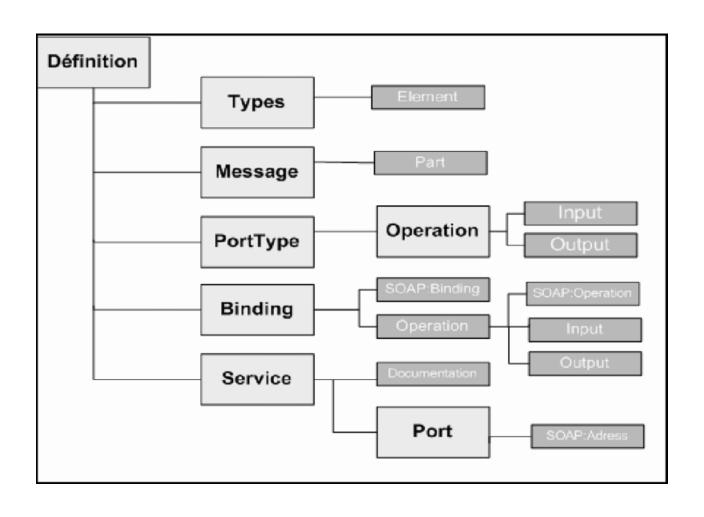
SOAP API

- XSD
 - Describe the structure of the data types being exchanged
 - Describe the fields and restrictions on fields (max length, ...)
- WSDL Web Services Description Language
 - Describe the API and its operations
 - List of methods, parameters and returned values
 - Abstract definitions of endpoints and messages separated from the network deployment or data format bindings

SOAP API - WSDL

- Definitions
 - targetNamespace
 - xmlns: default namespace of the WSDL document
 - xmlns:tns current namespace
- Types
 - Contains various xsd
- Message
- Operation
- portType
- Binding
- Port
- service

SOAP API - WSDL



SOAP API

• Demo

XML - Exercise 5

- Use Python to create a SOAP API providing the various features
 - In memory "database"
 - (Shop) objects
 - Attributes: name, remaining quantity, price
 - List, create, update & delete
 - Orders
 - Attributes: object_id, customer_id, quantity
 - List, create, update & delete
 - Apply some basic validations
 - quantity >= 0, name must be of length [4;100], birth date is a real date ...
- Provide a python script to test each endpoint

XML - Credits & references

- Ken Hasselmann
 - Introduction au XML: https://brunomartin.be/cours/xml.pdf
 - Working with XML trees: https://docs.fab-image.com/studio/programming_tips/UsingXml.html
 - XML documentation: https://www.w3.org/XML/
- Official XML Schema tutorial from w3schools
 - https://www.w3schools.com/xml/schema_intro.asp
- XML Schema Essentials
 - https://nuleren.be/ebooks/xml-schema-essentials.pdf
- Japanese curry recipe
 - https://www.sbfoods-worldwide.com/recipes/010.html

Intro to JSON

Why?
How to use it?
How to validate it?

JSON: What?

- JavaScript Object Notation
- Text format to store and transport data
- Self-describing and easy to read

JSON: Syntax

- Syntactically similar to creating JavaScript objects
 - JSON.parse(), JSON.stringify()
- Syntactically similar to creating Python objects
 - json.loads(), json.dumps()
- Syntax rules
 - Data is in key/value pairs
 - Data is separated by commas (careful about an extra ",")
 - Curly braces hold objects
 - Square brackets hold arrays

JSON: Syntax

- One single root object
- Supported data types
 - String
 - Number
 - Object
 - Array
 - Boolean
 - Null
- null: a valid json (case sensitive!)
 - But also: "some-string", 40.0, {}, [], true

JSON: Accessing data

Python

```
• import json
  data = json.loads("""{"property": "value", "some-key": [{"id":
    42, "is_valid": true}]}""")
  print(data["property"])
  print(data["some-key"][0]["id"])

• data = {"property": "value", "some-key": [{"id": 42, "is_valid":
    True}]}
  print(json.dumps(data))
```

XML vs JSON

XML	JSON
Human readable	
Hierarchical	
Supported by most languages	
Legacy solution, wildly supported	Wildly supported
Specific parser needs to be implemented	Quick to read & write
Does not translate directly into basic python/javascript structures	Fast to parse
	Smaller data storage
	No start/end tags

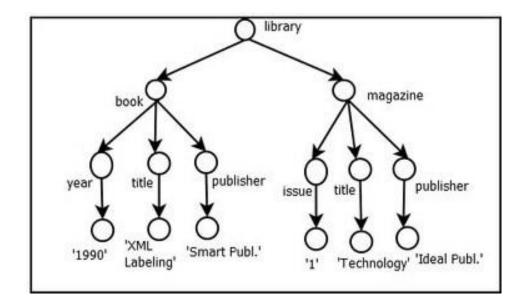
JSON: Applications

- SVG: still XML
- Config files (npm packaging, vscode configuration ...)
- HTTP: SOAP API → (JSON) API
- Websocket

```
• {"id":42, "value": 56.0, "symbol": "BTCUSD"}
```

- Databases
 - Structured format, so why not store it directly this way?
 - NoSQL Databases (and some SQL databases)

• Transform the following tree into a valid JSON file (by hand)



- Transform the following text into a JSON file (by hand)
- Ingredients
 - Beef, chopped: 450g
 - Onions, minced: 350g
 - Carrot, chopped: 100g
 - Potato, chopped: 150g
 - Water: 500ml
 - Golden Curry Sauce Mix: 92g

Directions

- Stir-fry meat and vegetables with oil in a large skillet on medium heat for approx. 5 min.
- Add water and bring to boil. Reduce heat, cover and simmer until ingredients are tender, approx. 15min.
- Turn the heat off, break S&B Golden Curry Sauce Mix into pieces and add them to the skillet. Stir until sauce mixes are completely melted. Simmer approx. 5 min., stirring constantly.
- Serve hot over rice or noodles.

Transform the following XML into a JSON

```
• <?xml version="1.0" encoding="UTF-8"?>
 <Race date="2020-12-15" name="Holiday Meet">
     <Course>
         <CourseName>The track</CourseName>
         <Address>Track road 123</Address>
     </Course>
     <Horses>
         <Horse name="Bonfire">
             <Value>5000</Value>
             <Birthdate>1998-05-01
             <Gender>M</Gender>
         </Horse>
         <Horse name="Dobby">
             <Value>1000</Value>
             <Birthdate>2001-04-05
             <Gender>F</Gender>
         </Horse>
     </Horses>
 </Race>
```

- JSON Schema
 - Specification (2020): https://json-schema.org/specification

```
"firstName": "John",
   "lastName": "Doe",
   "age": 21
}
```

```
"$id": "https://example.com/person.schema.json",
"$schema": "https://json-schema.org/draft/2020-12/schema",
"title": "Person",
"type": "object",
"properties": {
 "firstName": {
    "type": "string",
   "description": "The person's first name."
  "lastName": {
   "type": "string",
    "description": "The person's last name."
  } ,
  "age": {
    "description": "Age in years which must be equal to or greater than zero.",
    "type": "integer",
    "minimum": 0
```

```
"$id": "https://example.com/arrays.schema.json",
"$schema": "https://json-schema.org/draft/2020-12/schema",
"description": "Arrays of strings and objects",
"title": "Arrays",
"type": "object",
"properties": {
  "fruits":
    "type": "array",
    "items": {
     "type": "string"
  "vegetables": {
   "type": "array",
   "items": { "$ref": "#/$defs/veggie" }
```

```
"$defs":
    "veggie": {
     "type": "object",
      "required": [ "veggieName", "veggieLike" ],
      "properties": {
        "veggieName": {
          "type": "string",
          "description": "The name of the vegetable."
        "veggieLike": {
          "type": "boolean",
          "description": "Do I like this vegetable?"
```

- Some other features
 - Regular expression
 - If-else
 - One of
 - All of
 - Any of
 - propertiesCount
 - Enumerations
 - •

• Re-use the JSON created for *Exercise 3*, and create the associated JSON Schema to validate it

```
• <?xml version="1.0" encoding="UTF-8"?>
 <Race date="2020-12-15" name="Holiday Meet">
     <Course>
         <CourseName>The track</CourseName>
         <Address>Track road 123</Address>
     </Course>
     <Horses>
         <Horse name="Bonfire">
             <Value>5000</Value>
             <Birthdate>1998-05-01
             <Gender>M</Gender>
         </Horse>
         <Horse name="Dobby">
             <Value>1000</Value>
             <Birthdate>2001-04-05
             <Gender>F</Gender>
         </Horse>
     </Horses>
 </Race>
```

(JSON) API

- Often used for RESTful API
- REST / Representational State Transfer
 - Set of architectural constraints, not a protocol nor a standard
 - Does not need to use JSON
- RESTful
 - API following the constraints of REST

RESTful API

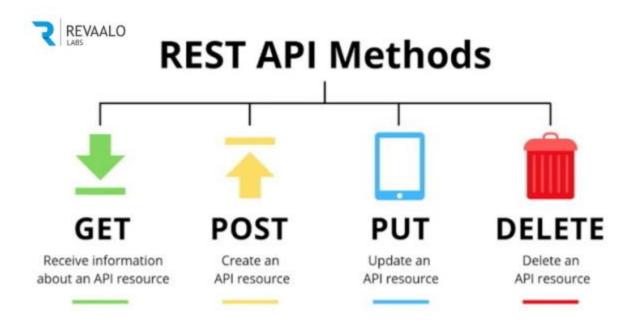
Constraints

- Client-server architecture, with requests managed through HTTP
- Stateless client-server communication
- Cacheable data
- Uniform interface
 - A resource must have only one logical URI
 - Self-descriptive messages with enough information for the client to process it
 - Hypertext/hypermedia to find all related information
 - Your endpoints should behave the same, allowing a developer to integrate it easily
- Layered system
 - Client contacts API on server A, but if data or authorization is done on other servers it should be transparent

RESTful API

```
https://apiurl.com/review/new
     Endpoint •
HTTP Method · ─ ·
                     POST
                    content-type: application/json
HTTP Headers ←
                     accept: application/json
                     authorization: Basic abase64string
        Body ←
                       "review" : {
                         "title": "Great article!",
                         "description": "So easy to follow.",
                         "rating" : 5
SitePoint
```

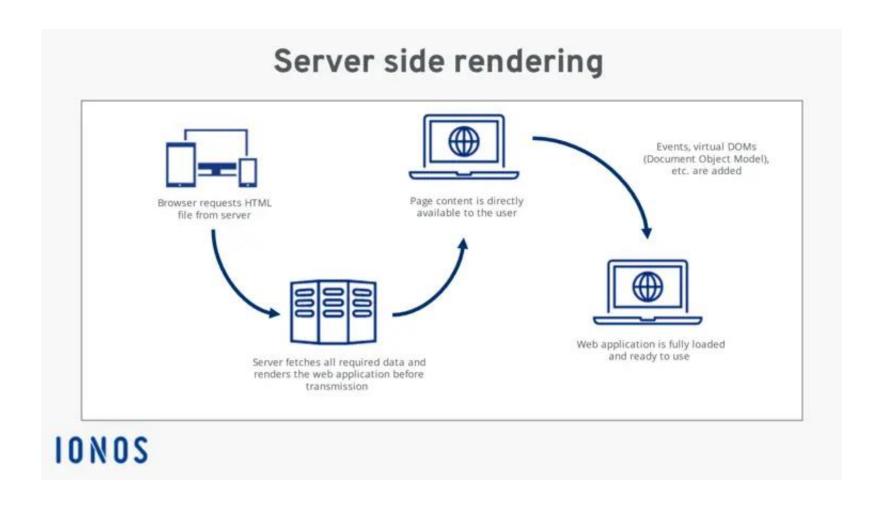
RESTful API



RESTful API

- /users
 - GET + url parameters
 - POST + body
- /user/{id}
 - GET
 - POST
 - PUT
 - PATCH
- /orders
- /order/{id}
- ...

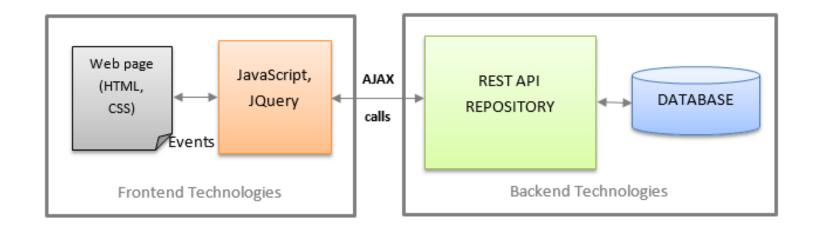
RESTful API - Why so common?



RESTful API - Why so common?

- Front-end for a desktop application
 - Then, for a mobile app
 - Then, custom front-end to deal with a legacy client
 - ...
- You want your website to be more "dynamic" and smoother while loading new elements
 - Infinite scrolling on most websites
 - You want the client to automatically fetch the next data!
 - In HTML? XML? JSON? ...?

RESTful API - Why so common?



Python web api

• demo

JSON - Exercise 5

- Use Python to create a RESTful API providing the various features
 - In memory "database"
 - (Shop) objects
 - Attributes: name, remaining quantity, price
 - List, create, update & delete
 - Orders
 - Attributes: object_id, quantity
 - List, create, update & delete
 - Apply some basic validations
 - quantity >= 0, name must be of length [4;100], birth date is a real date ...
- Provide a python script to test each endpoint

JSON - Credits & references

- Json
 - https://www.json.org/json-en.html
 - https://www.w3schools.com/js/js_json_intro.asp
- Json Schema
 - https://json-schema.org/specification
 - https://json-schema.org/learn/miscellaneous-examples

NoSQL Databases

Why?
How to use it?
How to validate it?

Why use a database?

- Efficient and persistent
- More flexible than using files
- Handle concurrent access
- Libraries to easily integrate with any programming language
- SQL / Relational databases share a lot of similarities
 - Many libraries handle PostgreSQL, MySQL, SQLite without any change
 - But each of them has custom features

Software and data

- Data usually lives longer than software
- Data is extremely valuable
 - Must be easy to interact with and stable
- Data should be at the center of the architecture

Relational databases

- Schema
- Tables
- Relationship between tables
- Easy querying using SQL
- Most common relational databases
 - MySQL / MariaDB
 - PostgreSQL
 - Microsoft SQL Server
 - SQLite (for local development)

Relational databases - Limitations

- Relationships
 - Indexes: RAM consumption, update overhead
 - High correlation between tables
- How to scale?
 - Vertically (Single server): Hardware limitations
 - Horizontally (multi-servers): How do deal with relationships efficiently?
 - Complex schema changes for large databases (1 TB+)
- We always manipulate (json) objects, so why use SQL at all?
 - NoSQL Databases

Scaling

- Vertical Scaling / Scale up
 - More powerful server
 - Architecture stays the same
- Horizontal Scaling / Scale out
 - Add more servers
 - Architecture needs to be designed for it
 - ! SQL Databases are still possible
 - All processes will not necessarily see the same state

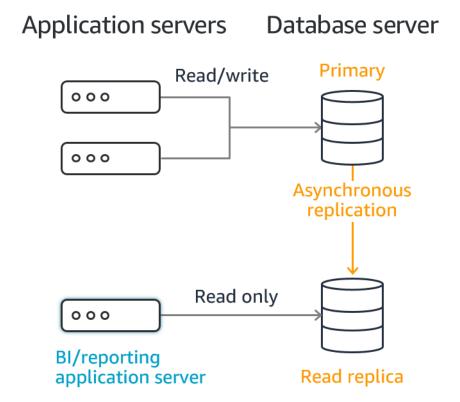
Scaling - Database storage

- Database storage
 - Often one table (or database) = one file
 - Re-use deleted rows for new rows
 - Colocation of data is important
- Issues with "one file"
 - Backup
 - Schema changes
 - File system limitations
 - Handling of many deletions / updates: iops, lost disk space

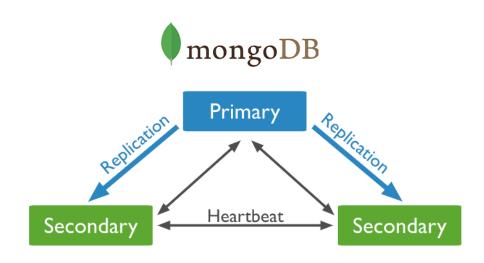
Scaling - Database storage

- Issues with "many files"
 - Backup
 - Schema changes
 - File system limitations
 - Handling of many deletions / updates: iops
- Middle ground: partitions
 - User id [0...1000] → file 1
 - User id [1001...2000] → file 2

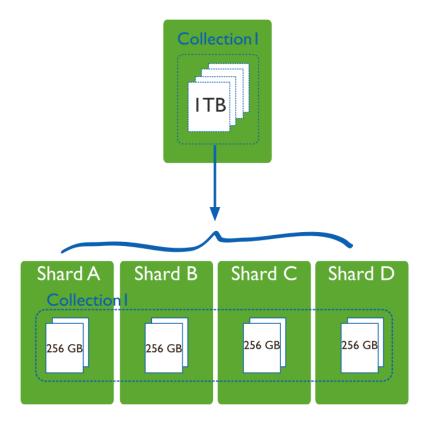
- Why do we want to scale?
 - Too much to write?
 - Too much to read?
 - Both?
- Lots of read operations
 - Read-replicas (1-3 are common)
 - Async replication with configurable delay
 - Software should be aware of it
 - Each server must still store everything



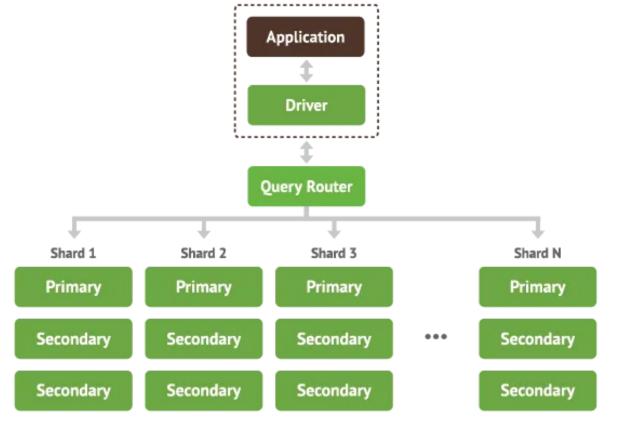
- Primary
 - Accepts Read & Write
- Secondary
 - Accepts Read
- Handling failure of the primary
 - Primary election
 - Software needs to know all nodes
 - Odd number of nodes is required



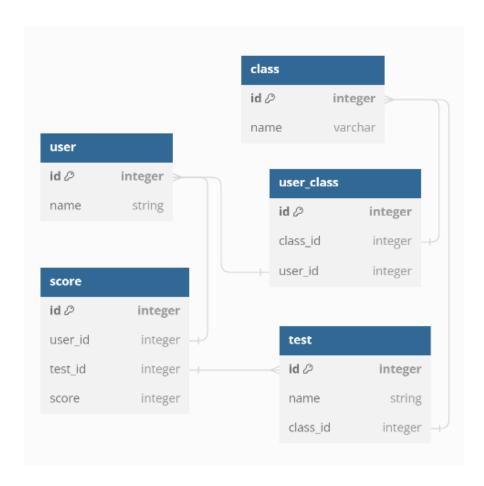
- Read replica
 - All the data still in each server
 - How to handle TBs?
 - Partitions at the cluster level: sharding



Read replica + Sharding

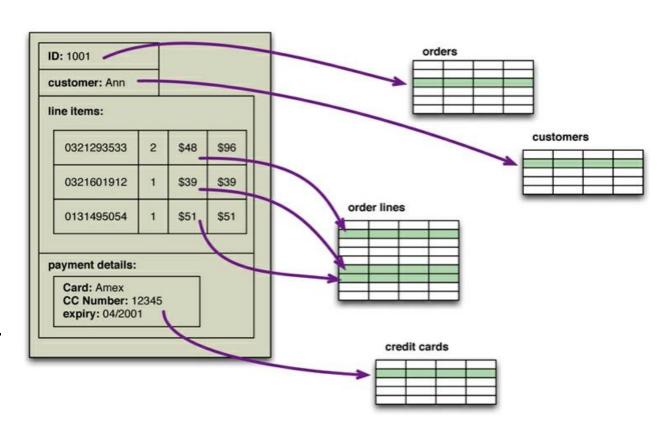


- But JOINs?
 - Highly normalized
- Example
 - Students registered to classes
 - Students have scores for tests
- How to scale?
 - Partition every table?
 - Latency?
 - Bandwidth?
 - RAM?



Impedance mismatch

- User point of view
 - A single document
- Developer point of view
 - Multiple tables to manage
- Impedance mismatch
 - Difference between the relational model and the inmemory data structures



NoSQL Database - MongoDB

- Optimize storage for read
 - "Similar" to a Materialized View managed by yourself
- High freedom
 - Add/remove any field
 - Set any type
- Every user object is handled separately (no constraint)

```
"id": 0,
"name": "Alex",
"classes": [
    "id": 42,
    "name": "Programming"
"scores": [
    "test": {
      "id": 25,
      "name": "1st test"
    "score": 20
```

Relational Databases - Issues

- Conversion of data between end-user and data storage
- Reconstruction of data from tables
- Fixed data model
- Relational databases forces columns of a specific type (generally)
- Scaling issues
- Complicated searching in relational database
- But
 - SQL
 - Many features to do "anything" (streaming, ...)
 - Schema enforcement

Non-Relational Databases - Issues

- Almost no data type enforcement
- Data Model is extremely free (few available constraints)
- Limited set of features
- Specific languages
- Transactions are generally not supported natively
- But
 - Designed for scalability
 - Data type freedom (media, text, json, ...)
 - Do a few things efficiently
 - Schema enforcement

Which one to choose?

- RDBMS are powerful and stable
- NoSQL DBs are specialized and easily scalable
- Many architectures use both

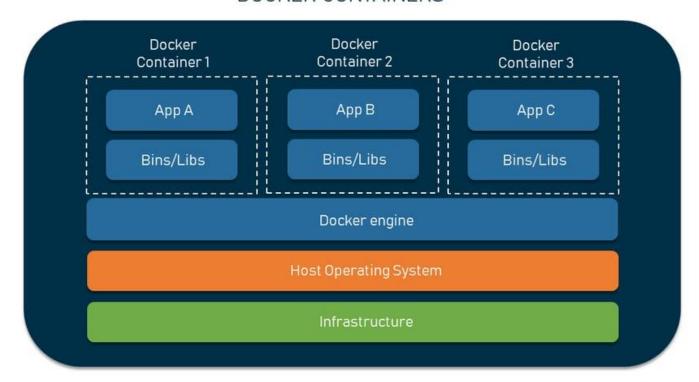
Which one to choose?

- MongoDB
- Cassandra
- Couchdb
- Hbase
- Redis
- Neo4j
- Amazon AWS
 - RDS
 - DynamoDB
 - •

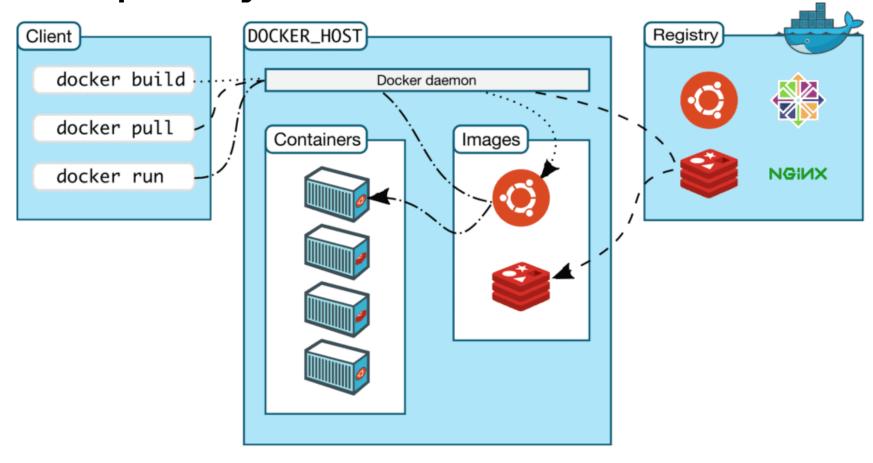
• ...

- Manual installation of redis
 - https://redis.io/docs/latest/operate/oss_and_stack/install/install-redis/
 - Centos
 - sudo yum install redis && sudo system start redis
 - How to remove all files?
 - sudo yum uninstall redis
 - But... some files will remain
 - How to quickly test various versions and make sure all dependencies are properly removed / installed?
 - How to make sure all the applications are installed together for your software?
 - Bash script but how to handle updates? Deletion? ...

DOCKER CONTAINERS







How to create a docker image?

Dockerfile

```
• FROM python:3.8-slim-buster
WORKDIR /python-docker
COPY requirements.txt requirements.txt
RUN pip3 install -r requirements.txt
COPY .
CMD [ "python3", "-m" , "flask", "run", "--host=0.0.0.0"]
• docker build -t flask:0.1.0 .
```

Redis

https://github.com/redis/docker-library-redis/blob/master/Dockerfile.template

MongoDB

• https://github.com/docker-library/mongo/blob/master/Dockerfile-windows.template

- Install docker
 - https://docs.docker.com/engine/install/
 - docker run --name some-redis -d redis
- Install docker-compose
 - https://docs.docker.com/compose/install/
 - Create a docker-compose.yml

```
version: '3'

services:
    redis:
    # https://hub.docker.com/_/redis
    image: redis:7.4.0
    container_name: redis
    restart: unless-stopped
```

- Interact with your processes
 - docker compose up redis
 - docker compose up redis -d
 - docker compose down
 - docker logs -f redis
- How to enter a docker?
 - docker exec redis -it /bin/bash
- How to keep your data?
 - Volumes
- How to provide some configuration files?
 - Volumes
- How to expose a port?
 - Ports

NoSQL - Exercise 1

- Create a docker-compose which contains the following services (single node)
 - Postgres
 - MongoDB
 - Cassandra
 - Couchdb
 - Redis

Deliverables

- For this course, you can submit your work on Friday 04/10 at 23:59 the latest
 - Forgot attached file: 0/20
 - Wrong file sent: 0/20
 - Late submission: 0/20

Course 2

• Back to course 2 for a few slides

Data models

- Data model: model through which we see, perceive and manipulate the data
 - How to use the data
 - Different from the storage model: how the database stores and manipulates the data internally
- Storage model must be kept in mind for performance optimization
- In the Relational data model, data consists of table and rows
 - Columns with values that can reference other rows
- In the non-relational world, it depends

NoSQL Databases - Types

- There are 4 main categories of NoSQL DBs
 - Key-value
 - Column oriented
 - Document oriented
 - Graph oriented
- Key-value, column oriented, and document oriented share a common characteristic of their data models
 - aggregate orientation
- Some databases can handle multiple of those models

Aggregates

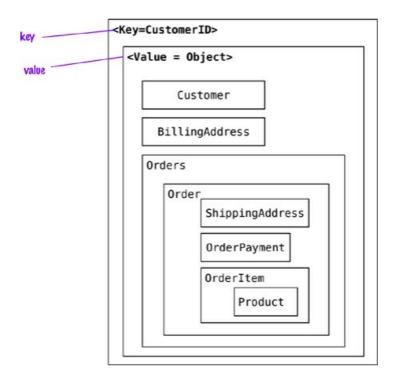
- A collection of related objects that we wish to treat as a unit
- A unit for data manipulation and management of consistency
- A natural unit for cluster data management

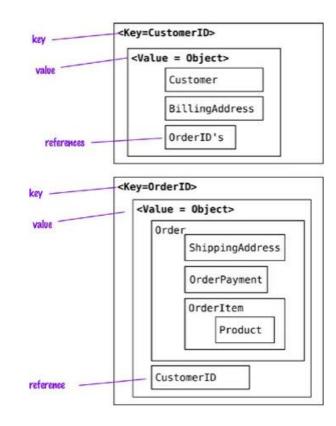
```
"name": "Alex",
"classes": [
    "name": "Programming"
"scores": [
    "test":
      "id": 25,
      "name": "1st test"
    "score": 20
```

Modeling for data access

When modeling data aggregates we need to consider how the data

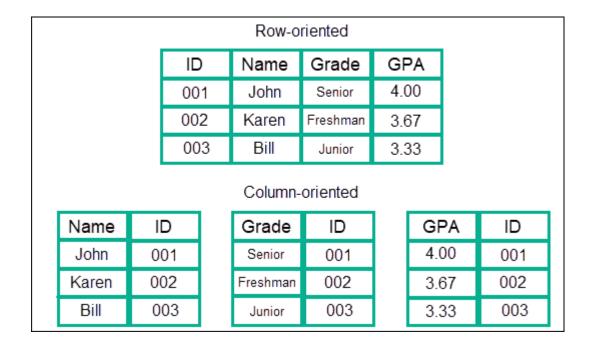
is going to be accessed

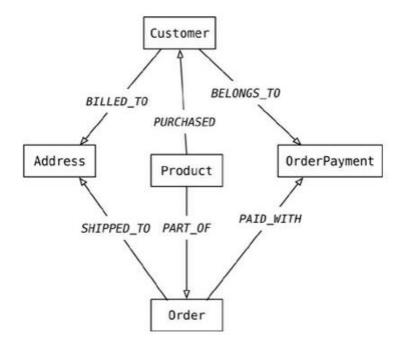




Modeling for data access

Column and graph oriented



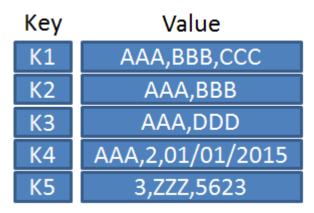


Schemaless databases

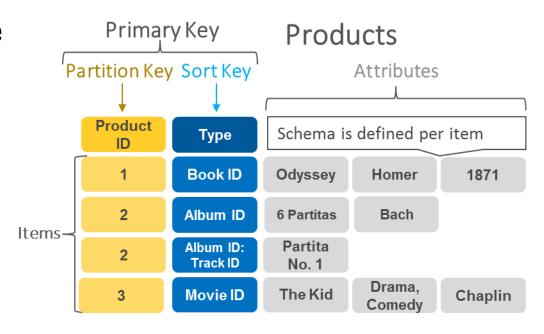
- While relational databases must have a predefined schema,
 NoSQL databases are often but not always schemaless
 - Freedom and flexibility
 - Easy non uniform data handling
 - RDB would need a lot of NULL columns
- Schemaless on paper, but you should *really* define a model
 - qty, quantity, Quantity
 - time, time ms, time s
 - You need an implicit schema, at the application level
 - Do not forget about data migrations!
 - Do you really want to maintain the data model of 5 years ago & 10 iterations ago?

Key-Value Databases

- O(1) in time execution to read/write a record
 - Direct mapping from key to storage / RAM
- Persistence is optional
 - Often used as non-persistent cache
- Extremely inefficient to filter on keys & values
 - You should know the key or at least a key prefix
- Examples
 - Redis
 - Memcached
 - AWS DynamoDB
 - HBase



- Software-as-a-service
- Often used as persistent storage
- Infinitely scalable (PB+)
- Secondary indexes
- Filter is possible but you should use indexes
- Some constraints
 - Object size < 400KB
 - Query/s is dependent on the partitions



- NoSQL databases
 - Servers & Clusters
- DynamoDB
 - Partitions
 - Basic premise: There is a way to shard data that's horizontally scalable
 - Resources are allocated dynamically
- Partition key
 - Distribute traffic
 - Need high cardinality
 - Evenly spread

Store data

```
import boto3

dynamodb = boto3.resource('dynamodb')
table = dynamodb.Table('YourTableName')

table.put_item(
    Item={
        'pk': 'id#1',
        'sk': 'cart#123',
        'name': 'SomeName',
        'inventory': 500,
    }
)
```

Query data

```
import boto3
from boto3.dynamodb.conditions import Key, Attr

dynamodb = boto3.resource('dynamodb')
table = dynamodb.Table('YourTableName')

response = table.query(
    KeyConditionExpression=Key('pk').eq('id#1') &
Key('sk').begins_with('cart#'),
    FilterExpression=Attr('name').eq('SomeName')
)
```

• CLI

```
aws dynamodb create-table --table-name Music
    --attribute-definitions AttributeName=Artist, AttributeType=S
    AttributeName=SongTitle, AttributeType=S
    --key-schema AttributeName=Artist, KeyType=HASH AttributeName=SongTitle, KeyType=RANGE
    --provisioned-throughput ReadCapacityUnits=10, WriteCapacityUnits=5
aws dynamodb put-item --table-name Music --item file://item.json
aws dynamodb update-item --table-name Music
    --key '{ "Artist": {"S": "Acme Band"}, "SongTitle": {"S": "Happy Day"}}'
    --update-expression "SET AlbumTitle = :newval"
    --expression-attribute-values '{":newval":{"S":"Updated Album Title"}}'
    --return-values ALL_NEW
aws dynamodb get-item --table-name Music --item file://item.json
aws dynamodb delete-item --table-name Music --key file://key.json
aws dynamodb query --table-name Music --key-condition-expression "ArtistName=:Artist and SongName=:Songtitle"
```

- Software-as-a-service
- Persistent storage
 - S3 Standard
 - S3 Intelligent-Tiering
 - S3 One Zone-IA
 - S3 Glacier
 - S3 Outposts
 - ...
- Durability: 99.99999999 %
- Infinitely scalable (PB+)
- Object size < 5TB
 - Cannot append / update part of the file
- Some constraints
 - Object size < 5 TB
 - Query/s is dependent on the prefix

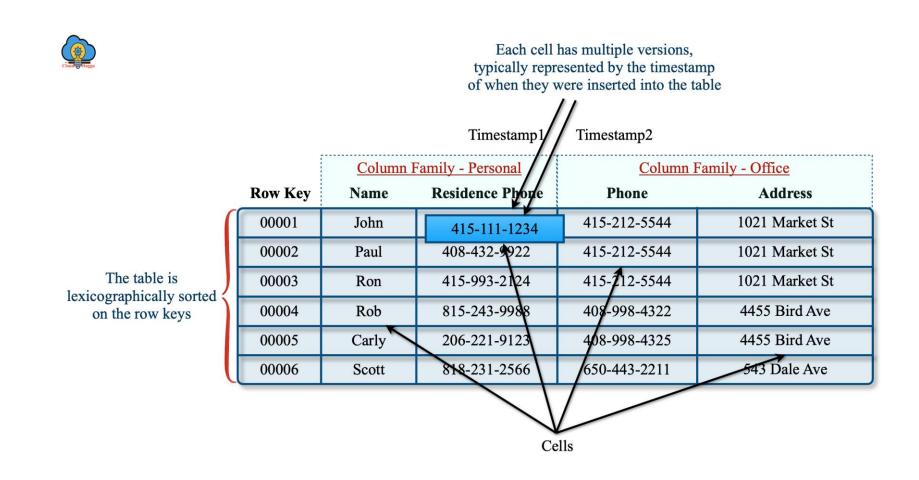
```
s3://ecam-2024/prefix1/prefix2/picture.jpg
s3://ecam-backup/2024/huge-backup.zip
```

- Extremely integrated with all of AWS services
- Documentation > 3000 pages
 - https://docs.aws.amazon.com/pdfs/AmazonS3/latest/userguide/s3-userguide.pdf
- Some features
 - Full websites (front-end)
 - Backup
 - Logs storage
 - File sharing
 - Versioning
 - Time To Live
 - Encryption
 - Security compliance: PCI DSS, HIPAA, ...

- Object is constituted of
 - Key
 - Version ID
 - Value (up to 5TB)
 - Metadata
 - Subresources
 - Access Control Information
 - Tags

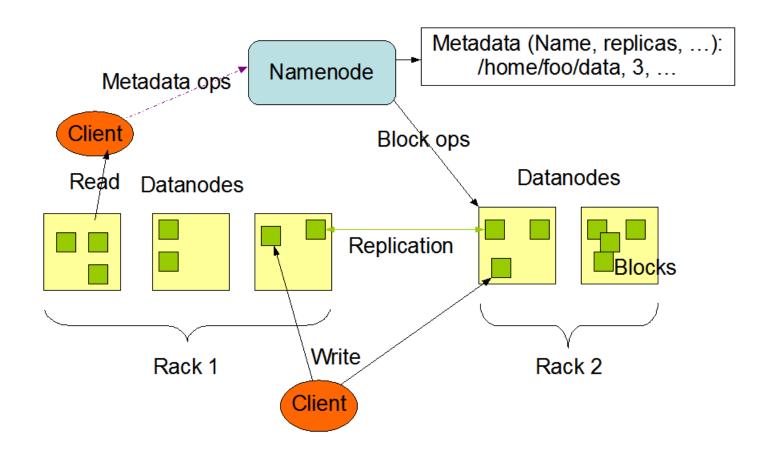
• CLI

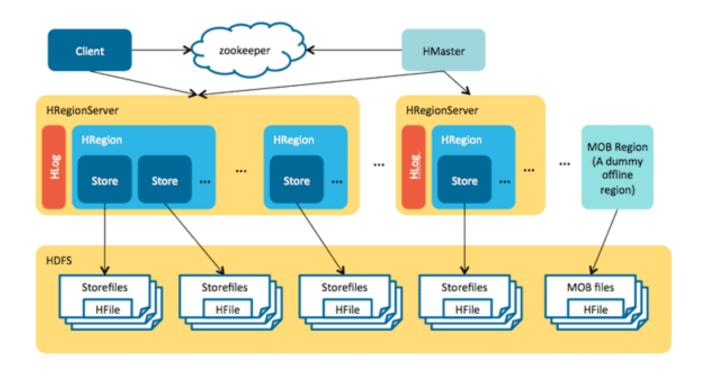
- aws s3api get-object --bucket text-content --key dir/my images.tar.bz2 my images.tar.bz2
- aws s3api get-object --bucket text-content --key dir/my_data --range bytes=8888-9999 my data range
- aws s3api put-object --bucket text-content --key dir-1/my_images.tar.bz2 --body my_images.tar.bz2
- aws s3api list-objects-v2 --bucket my-bucket



- Most of the time within the Hadoop ecosystem
 - Highly distributed environment with Map-Reduce at its core
 - Massively parallel computing framework (10 000+ nodes)
- Family Columns: Column oriented
- HDFS
 - Files cannot be updated
 - Files should be minimum 64/128 MB in size
- Extremely efficient RAM wise
 - All keys do not need to be in RAM
- Filtering is possible, but inefficient

HDFS Architecture

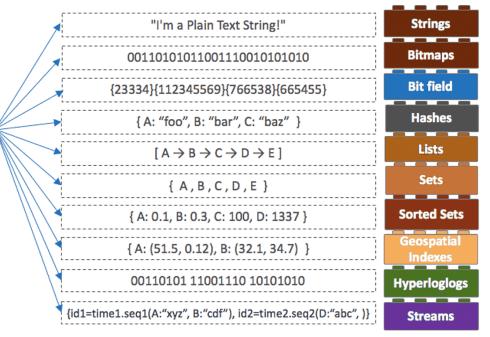




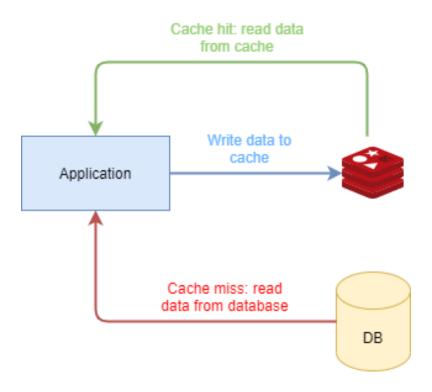
• CLI

```
create 'emp', 'personal data', 'professional data'
put '', 'row1', '<colfamily:colname>','<value>'
put 'table name', 'row', 'Column family:column name', 'new value'
get '', 'row1'
delete '', '<row>', '<column name >', '<timestamp>'
scan ''
```

- Frequently used as cache
 - Time-to-live feature to drop old records
 - Can be persisted to disk
 - Data must fit in RAM
- Often deployed on a single node
 - redis-benchmark -n 1000000 -t set,get -P 16 -q SET: 1536098.25 requests per second, p50=0.479 msec GET: 1811594.25 requests per second, p50=0.391 msec
- Extremely low latency, high throughput



Key



- Read-through caching
 - Ask the cache the data, if not available the cache loads it itself from the database
- Write-through caching
 - Always update the data in the underlying database, then in the cache
- Write-behind caching
 - Persistence to data source is delayed
- Refresh-ahead caching
 - Refresh old data to avoid a cache miss

Pub/sub system



• CLI

- SET anotherkey "will expire in a minute" EX 60
- GET key
- DEL key1 key2 key3
- SCAN

Key-Value Databases - Storage

- Access to a single key
- Access to multiple keys
 - Colocated?
 - In RAM?
- Are HDD good enough?
 - 200-300 MB/s
 - 200-300 iops
 - How to achieve > 200 queries/s?



Key-Value Databases - Storage

- Are SSD the solution?
 - SSD SATA
 - SSD SATA PCIe
 - SSD NVMe PCle 5.0 x4
- Crucial T705 2TB
 - Read: 10 GB/s, Write: 11 GB/s
 - 1 300 000 IOPS
 - Read 4k: 80 MB/s, Write 4k: 270MB/s
- Are SSD good enough?



Key-Value Databases - Storage

Are SSD good enough?

• RAM: 120ns

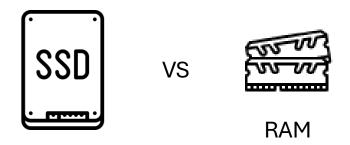
• L3 Cache: 12.9ns

• L2 Cache: 2.8ns

• L1 Cache: 0.9ns

• Register: 0.3ns

• Colocation of data is not only for the persistent storage



Exercise 1 - Application

- Develop a python HTTP API for a shopping cart
 - ! It is going to be the base of all subsequent exercises
- Features
 - 1. Create the necessary tables for the application (at startup)
 - 2. Create x users
 - 1. Example: POST /users with { "quantity": 10000}
 - 3. Create x objects having between (y; z) stock availability and a price between (p1; p2)
 - 4. Create x orders spread across y users and z objects
- Use sqlite
 - No need to use SQLAlchemy or other ORM (unless you want to), you can use raw sql

Exercise 2 - Redis

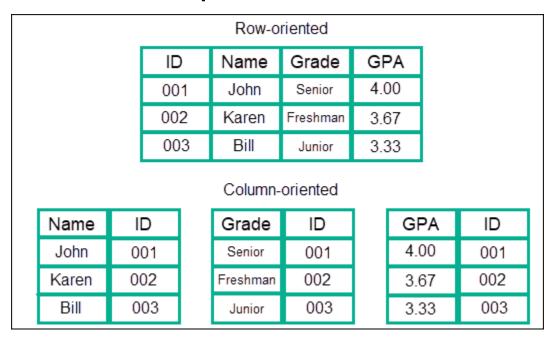
- Connect a Redis instance to your application
- New features
 - 1. For a given user id x, display the number of distinct users having bought any identical object with you
 - 2. If the previous command is executed twice for the same user, make sure the second execution gives back the result in < 10ms
 - This timing should be respected even if your database contains millions of users & objects.
 - 3. Make sure the first execution for any user gives back the result in < 10ms as well
 - This timing should be respected even if your database contains millions of users & objects.
 - Hint: You might want to pre-compute the values

Column oriented databases

- Data storage model of relational database
 - Row ~= file line : every column value
 - Alter a table?
 - Updates all rows
 - Need to access a single column?
 - Must read all columns of the target row
 - Or at least, data is not contiguous so iops to consider
- What about timeseries data?
 - series_id | application_date | last_update | value | insertion_date | creator | confidence
 - * billions data records
 - 200 bytes per record, but only interested in 3 columns (30 bytes)? Too bad

Column oriented Databases

Maybe we could store data per column



Only useful if you avoid doing the equivalent of a SELECT *

Column oriented Databases

OLTP

- Online Transaction Processing
- Commonly row-oriented
 - CSV
 - PostgreSQL

OLAP

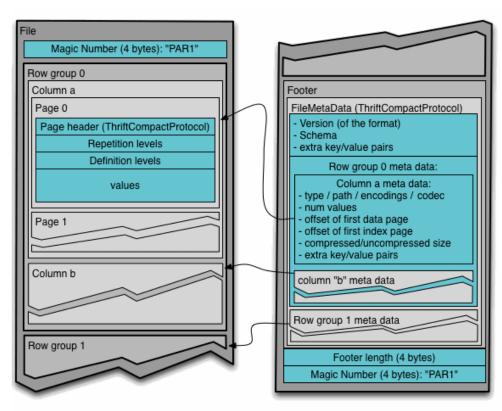
- Online Analytical Processing
- Commonly column-oriented
 - Apache Parquet
 - Apache Kudu
 - Google Cloud BigTable
 - Amazon Redshift

Column oriented Databases - Parquet

- Not really a database on its own
- Free and open source data storage
 - Similar to ORC and RCFile
- Efficient data compression
 - Values often share the same pattern
 - IDs, if sorted, have an identical prefix
 - Specialized encoding & compression per column
- Widely supported, and often used by other databases
 - Databricks
 - Apache Impala / Kudu
 - Apache Spark

Column oriented Databases - Parquet

- file.parquet
 - Easy to store & re-use
 - Supported by pandas Python
 - often used by data engineers & data scientists
 - No easy update (full rewrite)
- How to handle update / deletion?
 - Add a separate file with the changes
 - Delta Lake (Databricks), Apache Kudu, ...



Column oriented Databases - Parquet

Write

Read

```
import pyarrow
table_from_file = pyarrow.parquet.read_table('example.parquet')
```

Column oriented Databases - Delta Lake

- How to handle updates?
 - Delta Table (open format)
- Delta Lake
 - Open source storage framework
- Python library with Rust bindings

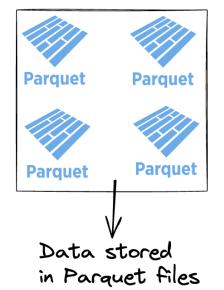
```
from deltalake import DeltaTable, write_deltalake
import pandas as pd

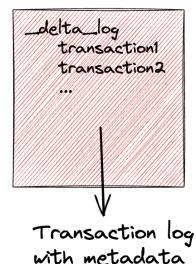
# write some data into a delta table
df = pd.DataFrame({"id": [1, 2], "value": ["foo", "boo"]})
write_deltalake("./data/delta", df, mode= "append")

# Load data from the delta table
dt = DeltaTable("./data/delta")
df2 = dt.to_pandas()
```

Contents of a Delta table



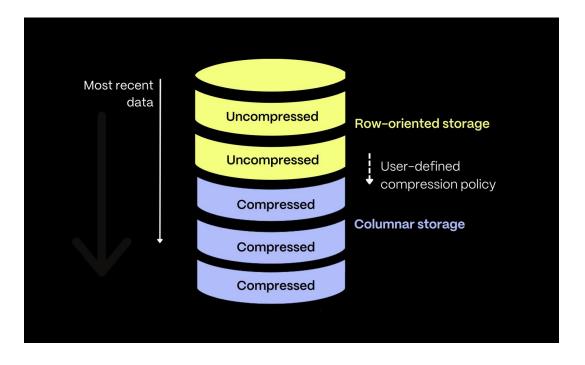




Column oriented Databases - TimescaleDB

- TimescaleDB
 - On top of Postgres, which is row oriented
 - Optimized for timeseries data
 - "Row-columnar" compression
 - Not a true column oriented database
- Benchmarks provided by TimescaleDB
 - 10% of the storage of Postgres, 350x faster queries
 - 10% of the cost of Cassandra, 5800 faster queries
 - But those are "benchmarks"

Column oriented Databases - TimescaleDB



- How do you update old records?
- What about horizontal scalability?
 - https://github.com/timescale/timescaledb/blob/main/docs/MultiNodeDeprecation.md
 - Complex, small audience

Exercise 3 - Parquet

New features

- 1. Export the data as a single parquet file having the following schema
 - order_id | order_timestamp | order_price | object_name | user_id | user_name
- 2. Each time a new order is created, you must add the order to the parquet table
 - This should be executed in less than 1s regardless of the total parquet file size
- 3. Add a feature to delete an arbitrary order (by providing its order_id) from the database, and automatically remove it from the parquet file table
 - This should be executed in less than 1s regardless of the total parquet file size
- 4. With 50 millions orders, 10 users, 10 objects
 - 1. How much time does it take to load all (order_id, order_price) from sqlite?
 - 2. And how much from your parquet file?

Deliverables

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 - Wrong file sent: 0/20
 - Late submission: 0/20