

Final Project

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MICS - CentraleSupélec

Advanced Natural Language Processing



CentraleSupélec

Project On Sequence Labelling

Conversational AI



Google Home



Apple Siri



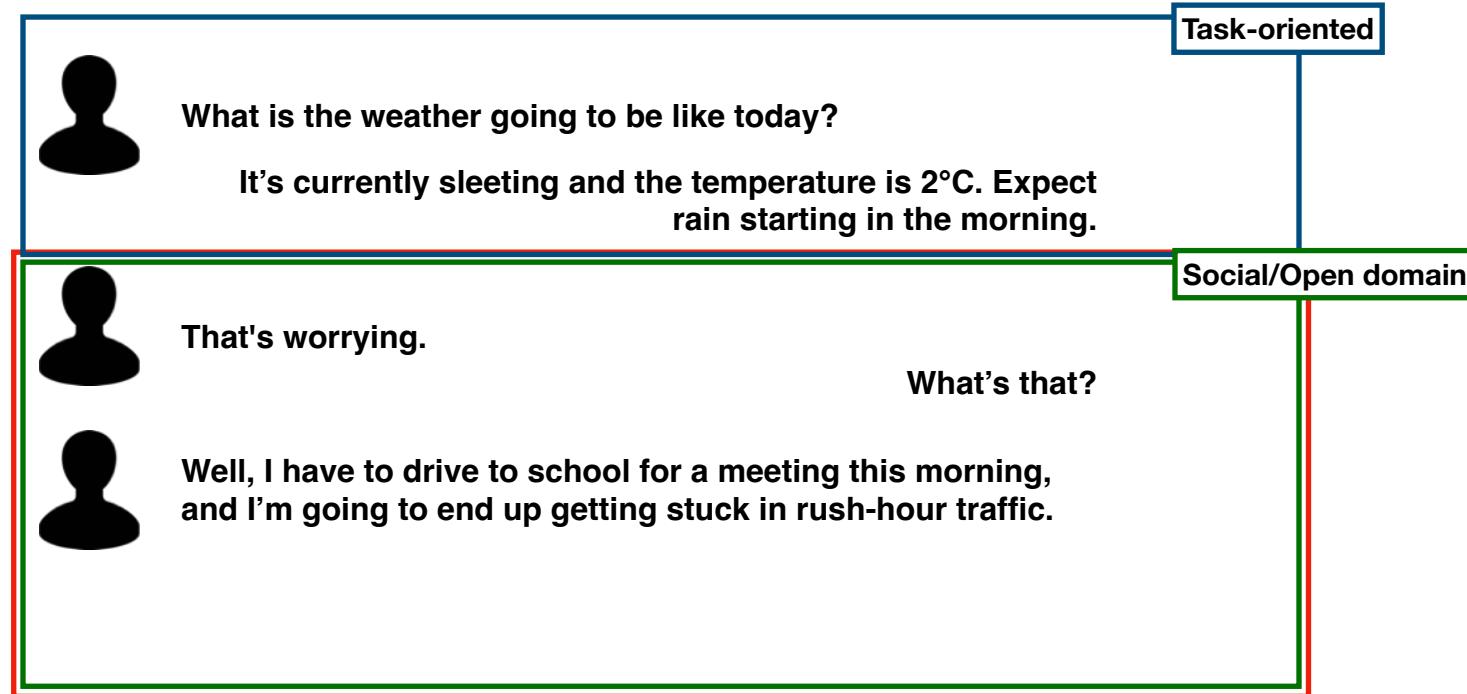
Amazon Alexa

Definition

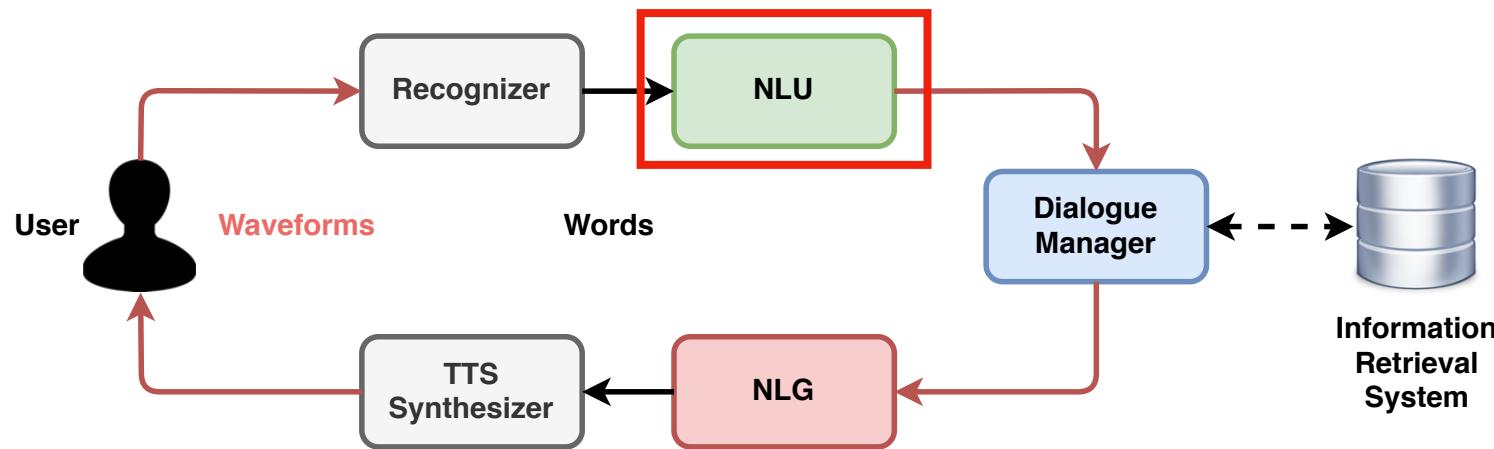
A spoken dialog system is a computer agent that interacts with human by understanding and producing spoken language in a coherent way.

[Gatt et al., 2018]

Types of Interactions



Architecture of Dialogue Systems



Components of traditional dialogue systems.

We will focus on two sub-tasks of NLU:

Emotion/Sentiment (E/S) classification

Dialogue act (DA) classification

Emotions/Sentiments Labels (E/S)

	Emotion	Sentiment
 Okay, look at this one. This is my favourite.	Joy	Positive
 Oh, that is so sweet !	Joy	Positive
 I know ! Phoebe is gonna love dressing them in these !	Joy	Positive
 Huh. Except, Phoebe's not gonna be the one that gets to dress them.	Neutral	Neutral
 Because she's not gonna get to keep the babies.	Sadness	Negative

Dialog Act Labels (DA)

DAs are semantic labels associated with each utterance in a conversational dialogue that indicate the speaker's intention.



Um, what did you do this weekend?

Question



Well, uh, pretty much spent most of my time in the yard.

Statement



Uh-Huh.

Backchannel



What do you have planned for your yard?

Question



Well, we're in the process of, revitalizing it.

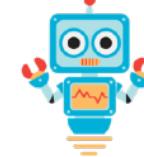
Statement

Sequence Labelling Tasks



I'm worried about something.

What's that?



Well, I have to drive to school for a meeting this morning,
and I'm going to end up getting stuck in rush-hour traffic.



That's annoying, but nothing to worry about. Just breathe
deeply when you feel yourself getting upset.



Ok, I'll try that.

Is there anything else bothering you?



$$F_{\theta}$$

$$\begin{aligned} &\rightarrow y_1 \\ &\rightarrow y_2 \end{aligned}$$

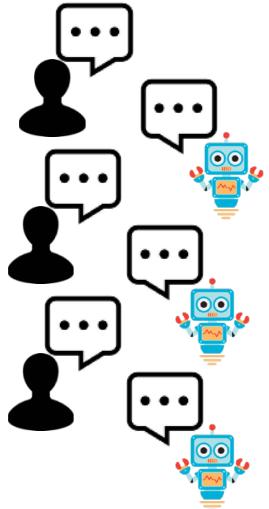
$$\begin{aligned} &\rightarrow y_3 \\ &\rightarrow y_4 \end{aligned}$$

$$\begin{aligned} &\rightarrow y_5 \\ &\rightarrow y_6 \end{aligned}$$

We rely on deep learning!

Data Specificities & Constraints

1) Transcripts of spoken dialogues



The screenshot shows the English Wikipedia homepage. At the top, there is a navigation bar with links for 'Read', 'View source', 'View history', and a search bar. Below the header, the main content area starts with a brief introduction about Wikipedia being the free encyclopedia. It then provides a detailed history of the project's creation, mentioning Jimmy Wales and Larry Sanger. The page also highlights the multilingual nature of Wikipedia, its open collaboration model, and its status as one of the most popular websites. On the right side, there is a sidebar with various statistics and links related to Wikipedia, such as 'Sister projects' and 'Recent changes'.

Data Specificities & Constraints

1) Transcripts of spoken dialogues



i think, i think the stand they have, or, or the way the command respect,
i, i support that.



i think that is a, a positive thing for them after, um, uh, thousands of years,



they have to, uh, they ha,



i think they in,



when they be, became a country they more than, or, more or less
decided they were n't going to take it anymore



and, uh.

Data Specificities & Constraints

1) Transcripts of spoken conversations

2) Amount of labelled data

Switchboard Corpus: 200k labelled utterances



Supervised Learning

SEMAINE: 5.6k labelled utterances

Direct approach may be suboptimal

Large unlabelled corpus



Self Supervised Learning
+
Transfert Learning

Data Specificities & Constraints

- 1) Transcripts of spoken conversations
- 2) Amount of labelled data
- 3) Language used in the data

Monolingual dialogue



Um, what did you do this weekend?



**Well, uh, pretty much spent most of my time
in the yard.**



Uh-Huh.



What do you have planned for your yard?



Well, we're in the process of, revitalizing it.

Data Specificities & Constraints

- 1) Transcripts of spoken conversations
- 2) Amount of labelled data
- 3) Language used in the data

Code switching



**Um, qu'est ce que tu as fait ce weekend
dude?**



**Well, uh, pretty much spent most of my time
in the yard.**



Uh-Huh.



Qu'as tu prévu pour ton yard?

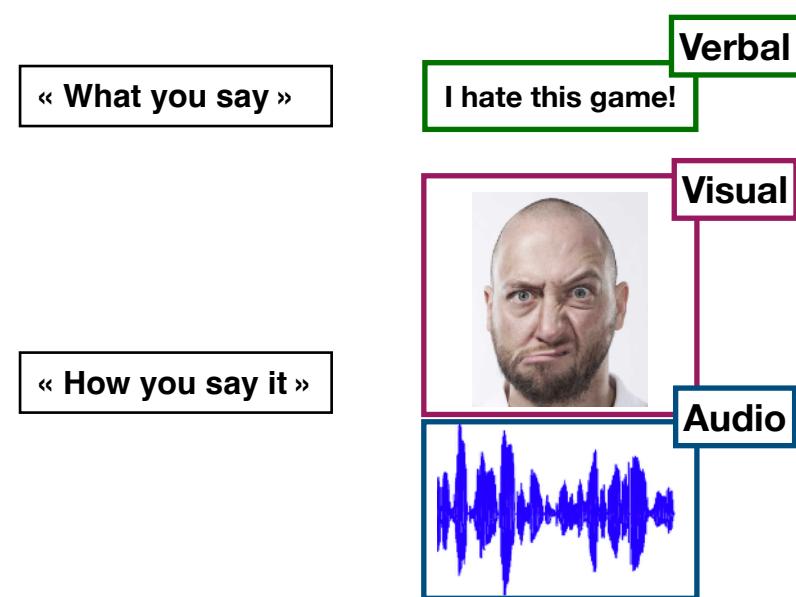


Well, we're in the process of, revitalizing it.

Data Specificities & Constraints

- 1) Transcripts of spoken conversations
- 2) Amount of labelled data
- 3) Language used in the data
- 4) Spoken conversations are multimodal

Human Communication is **multimodal**.



Formalisation of Sequence Labelling

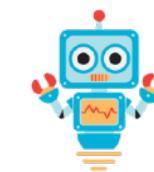
Conversation

$$C_i = (u_1, u_2, \dots, u_{|C_i|})$$



u_1

I'm worried about something.



u_2

What's that?



u_3

Well, I have to drive to school for a meeting this morning, and I'm going to end up getting stuck in rush-hour traffic.



u_4

That's annoying, but nothing to worry about. Just breathe deeply when you feel yourself getting upset.



u_5

Ok, I'll try that.



u_6

Is there anything else bothering you?

C



Formalisation of Sequence Labelling

u_1 

I'm worried about something.
 $w_1^1 w_2^1 \quad w_3^1 \quad w_4^1 \quad w_5^1 \quad w_6^1$

$$u_i = (w_1^i, w_2^i, \dots, w_n^i)$$



What's that?

u_3 

Well, I have to drive to school for a meeting this morning, and I'm going to end up getting stuck in rush-hour traffic.

That's annoying, but nothing to worry about. Just breathe deeply when you feel yourself getting upset.



u_5 

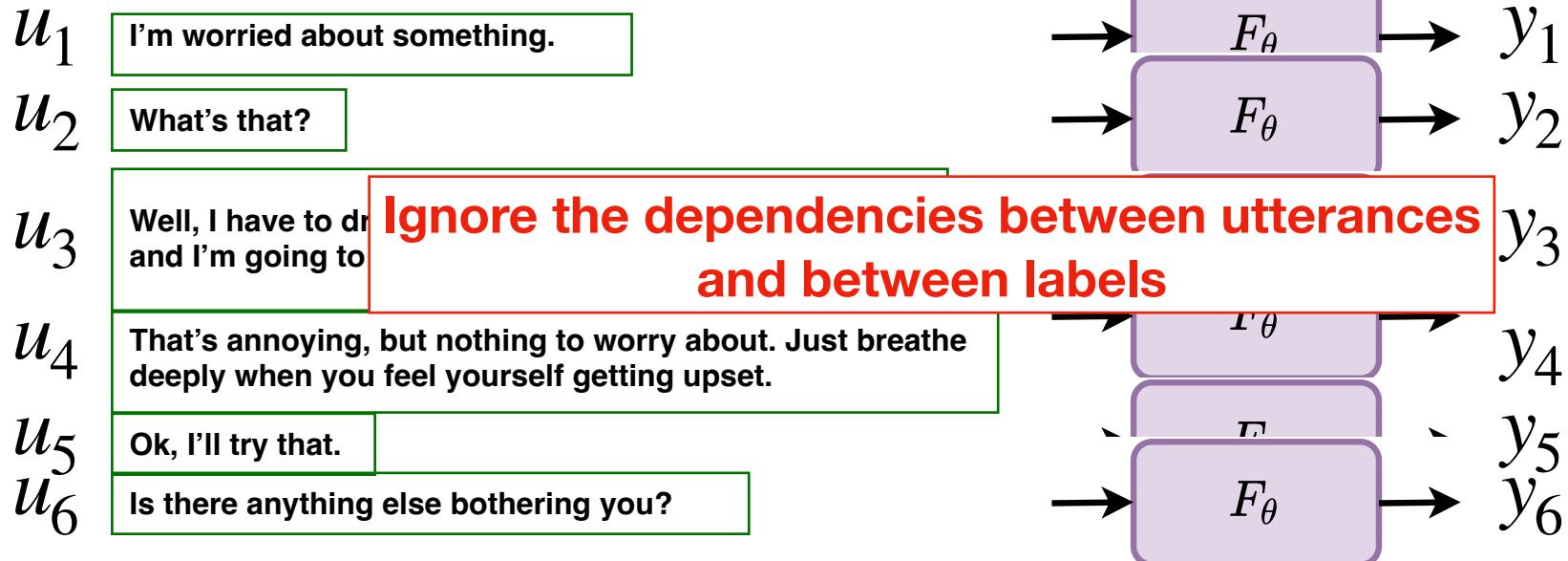
Ok, I'll try that.



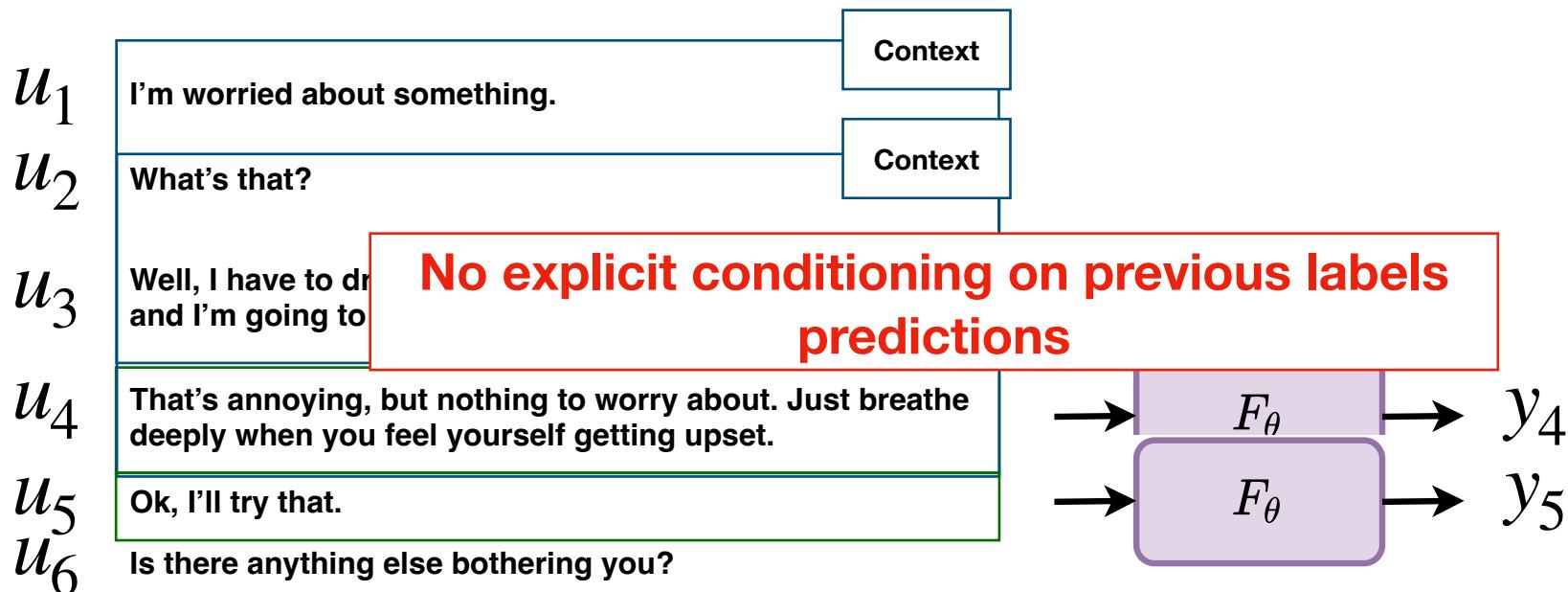
Is there anything else bothering you?

Hierarchy is an important feature in dialogue!

Independent Utterance Level Prediction

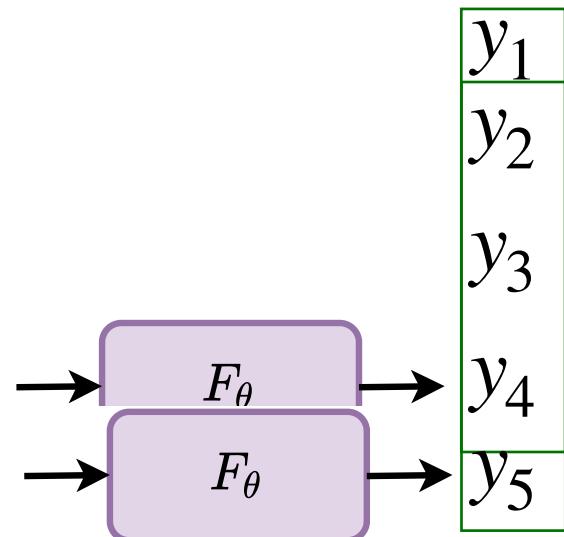


Modelling Utterances Dependencies



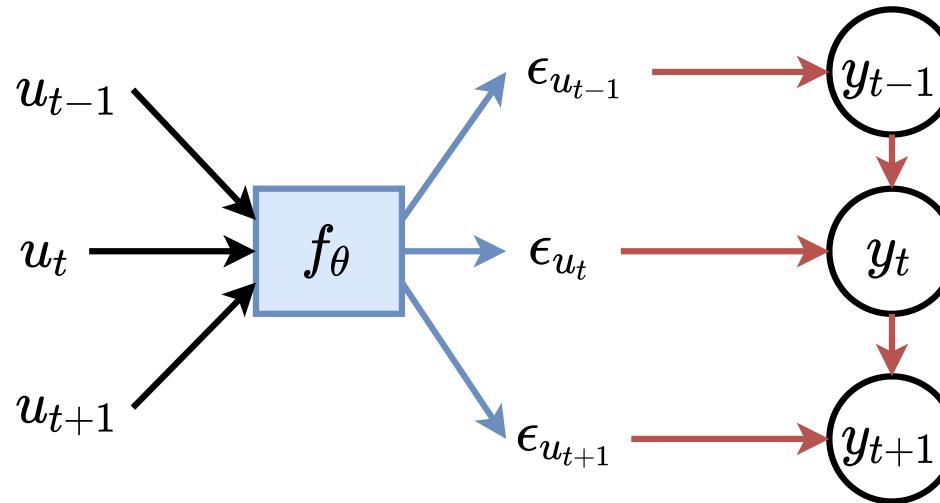
Modelling utterances and labels dependencies

u_1	I'm worried about something.
u_2	What's that?
u_3	Well, I have to drive to school for a meeting this morning, and I'm going to end up getting stuck in rush-hour traffic.
u_4	That's annoying, but nothing to worry about. Just breathe deeply when you feel yourself getting upset.
u_5	Ok, I'll try that.
u_6	Is there anything else bothering you?



Structured Prediction with CRF

$$u_1, \dots, u_T \xrightarrow{F_\theta} y_1, \dots, y_T$$



Need for global dependencies

$$u_1, \dots, u_n \xrightarrow{F_\theta} y_1, \dots, y_n$$



Is there anyone who doesn't know Nancy?



Do you- Do you know Nancy?



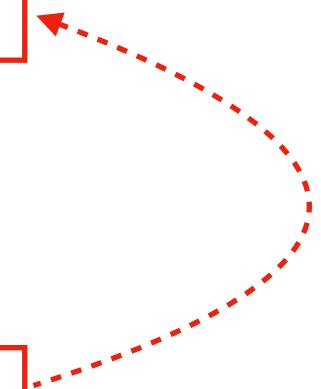
Me?



Mm-hmm



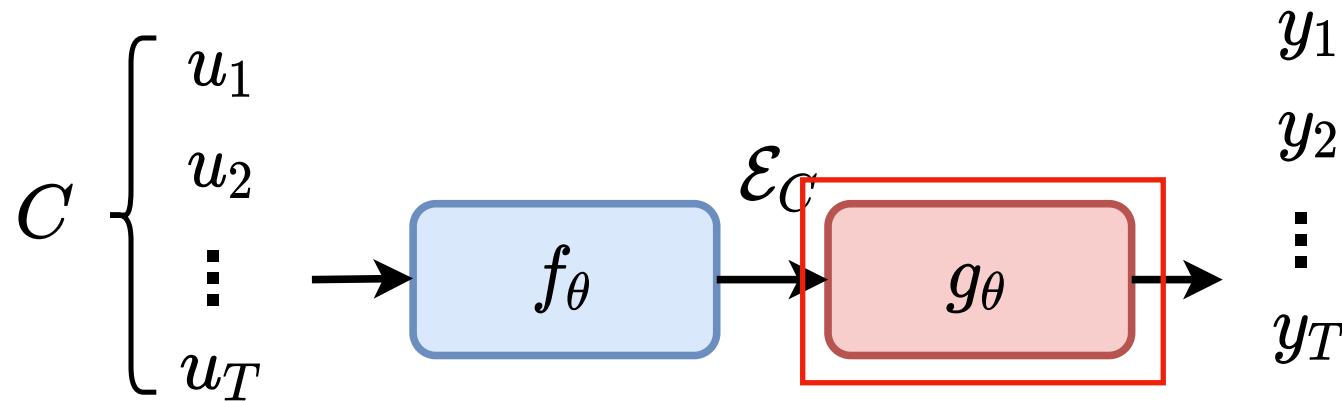
I know Nancy



Neural Machine Translation (NMT) the problem of global dependencies has been addressed using seq2seq models

Seq2Seq approach

$$u_1, \dots, u_T \xrightarrow{F_\theta} y_1, \dots, y_T$$



Goal: Encode the conversation into a single hidden vector which is then decoded to produce an output sequence

Monolingual Evaluation

Dialog Acts

Emotions
&
Sentiments



Corpus	Train	Val	Test	Utt.	Labels	Task	Utt./Labels
SwDA*	1k	100	11	200k	42	DA	4.8k
MRDA*	56	6	12	110k	5	DA	2.6k
DyDA _a	11k	1k	1k	102k	4	DA	25.5k
MT*	121	22	25	36k	12	DA	3k
Oasis*	508	64	64	15k	42	DA	357
DyDA _e	11k	1k	1k	102k	7	E	2.2k
MELD _S *	934	104	280	13k	3	S	4.3k
MELD _E *	934	104	280	13k	7	S	1.8k
IEMO	108	12	31	10k	6	E	1.7k
SEM	62	7	10	5,6k	3	S	1.9k

Multilingual Evaluation

- English: MapTask
- French: LORIA
- German: Verbmobil (VM2)
- Italian: Ilisten
- Spanish: Dihana

Project On Text Similarity

Evaluating Natural Language Generation (NLG)

I like running outside !



System



Human

S_1

J'aime courir à l'extérieur !

Generated Sentence

S_2

J'apprécie courir dehors !

Groundtruth Sentence

Automatic NLG evaluation is made complex by language variability

Contrarily to other tasks such as Classification there is no « obvious » measure of performance

On automatic evaluation in NLG

Automatic Evaluation is used as a surrogate of human judgement

1. Cheap
2. Fast
3. Reproducible
4. Easy to use

Automatic NLG evaluation is needed at various development stages

1. Compare different systems.

Benchmark !!!

2. Debug NLG systems without annotators. (Karpinska et al. 2021)

3. Improve learning of systems by deriving new losses.

Let's formalize the problem of automatic evaluation

S_1 : The weather is cold today.



0.8

Similar

S_2 : It is freezing today

S_1 : I like those cats.



0.1

Dissimilar

S_2 : It is freezing today

We want to build a metric m

$$m : \mathcal{S} \times \mathcal{S} \rightarrow [0,1]$$

$$(S_1, S_2) \rightarrow m(S_1, S_2)$$

Success Criterion:

When do we know that m is good?



Correlation with human scores

(Koehn 2009; Specia, Raj, and Turchi 2010; Chatzikoumi 2020)

Existing Methods

Edit Based

Snover et al. 2006

Operations

- Insertion (I)
- Deletion (D)
- Substitution (S).

tailor -> sailor (S)

sailor -> sailir (S)

sailir -> sailin (S)

sailin -> sailing (I)

Distance is 4 !

N-gram Based

Papineni et al. 2002

C : I like these very nice pies !

R : I like those cakes !

Unigrams

C :   these very nice pies ! 

R :   those cakes ! 

Bigrams

C :  these very nice pies !

R :  those cakes !

Embedding Based

Word Mover distance

Kusner et al. 2015

BertScore

Zhang et al. 2019

MoverScore

Zhao et al. 2019

Sentence Mover

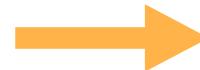
Clark et al. 2019

Embedding Based

Intuition

R: The weather is cold today.

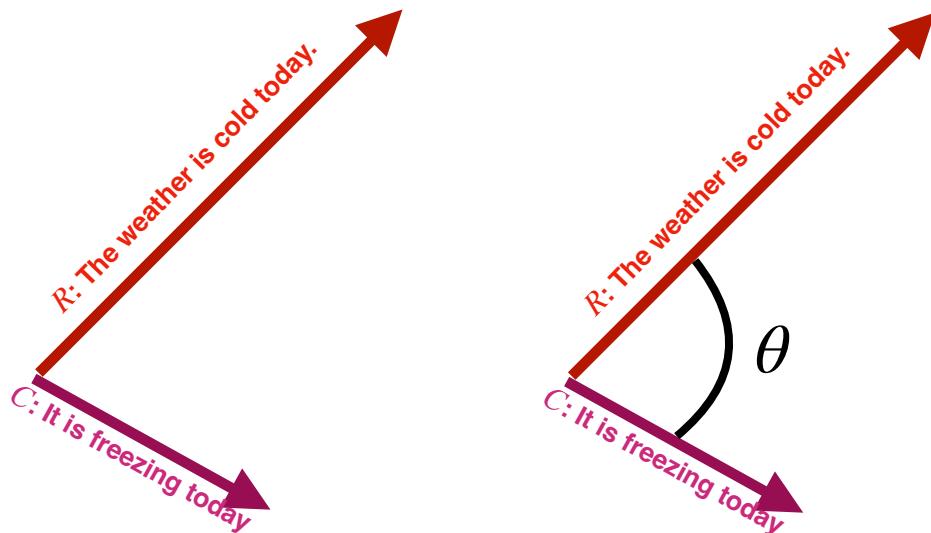
C: It is freezing today



0.8

1. Choose your embedding

2. Choose a similarity function



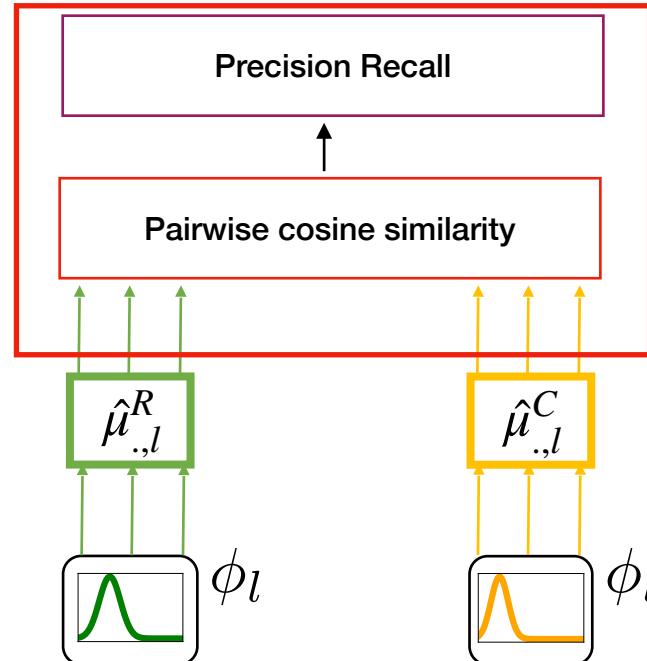
Advantage

1. Deal with **paraphrases**
2. Include “**semantic**”

Limitation

1. Not interpretable

BertScore



This is a distance between two empirical distributions !

Advantage

1. Deal with paraphrases
2. Include “semantic”

Limitations

1. Use only one layer
2. Use arbitrary sequence of operation

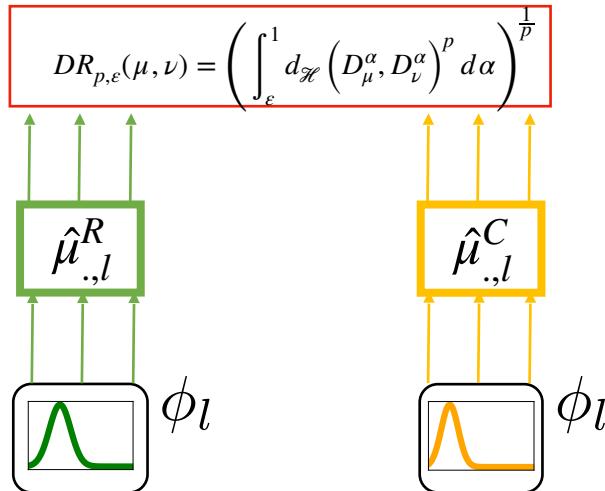
R: The weather
is cold today

C: It is freezing
this morning

Still not interpretable

DepthScore

This is a distance between two empirical distributions !



R: The weather
is cold today

C: It is freezing
this morning

Advantage

1. Deal with paraphrases
2. Include “semantic”

Limitations

1. Use only one layer

Still not interpretable

Embedding Based Metric With Neural Networks

Intuition

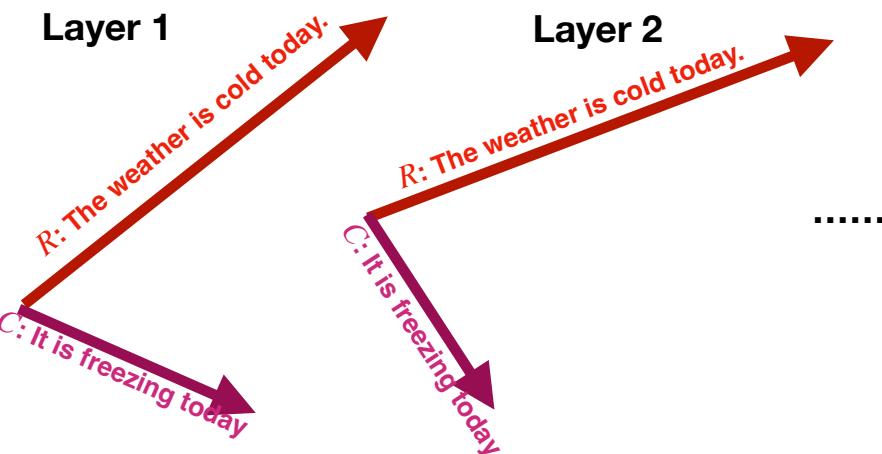
R: The weather is cold today.

C: It is freezing today



0.8

1. Choose your multi-layer encoder



2. Choose a similarity function euh??

R: The weather is cold today.

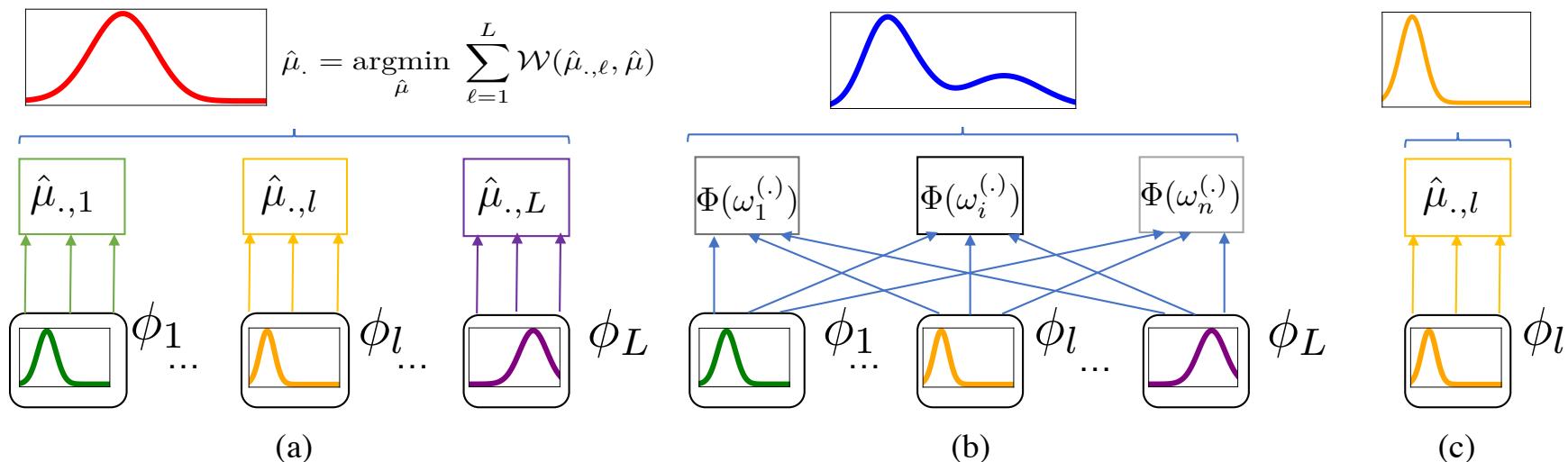
C: It is freezing today

Layer L

?

BaryScore vs BertScore vs MoverScore

Pierre Colombo, Guillaume Staerman, Chloé Clavel, Pablo Piantanida. Automatic Text Evaluation through the Lens of Wasserstein Barycenters. (Oral) EMNLP 2021



BaryScore

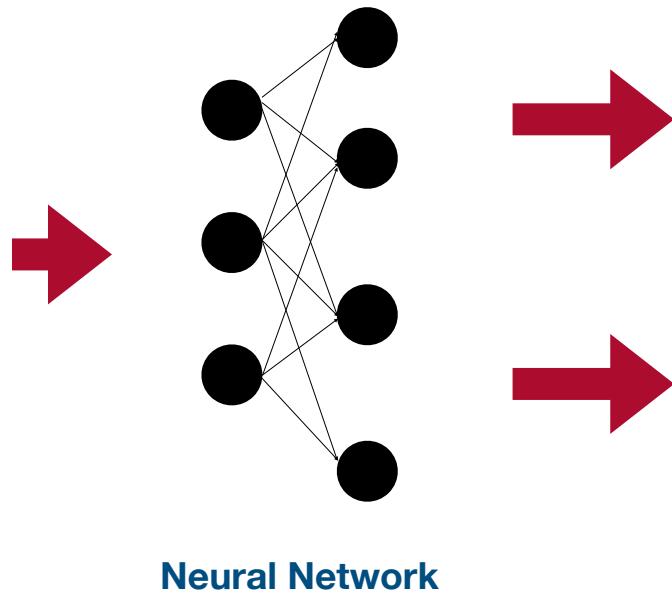
MoverScore

BertScore

Statistical Measures of Similarity

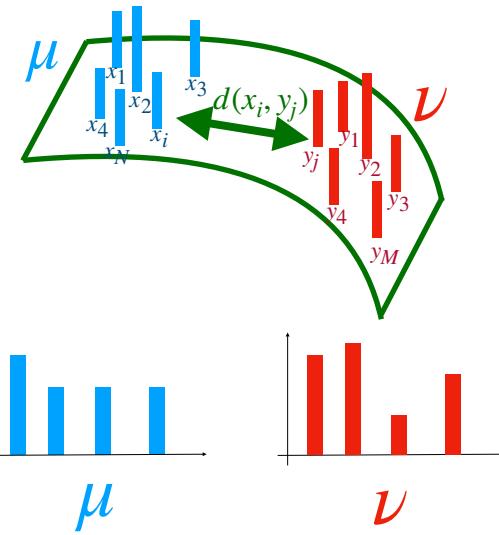
Hello, Chicago.
If there is anyone out
there who still doubts that
America is a place where
all things are possible,
who still wonders if the
dream of our founders is
alive in our time, [...].
Yes we can!

Input Text



Neural Network

High dimensional data



Soft Probabilities

Existing Methods

Edit Based

Snover et al. 2006

Operations

- Insertion (I)
- Deletion (D)
- Substitution (S).

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Embedding Based

Word Mover distance

Kusner et al. 2015

BertScore

Zhang et al. 2019

MoverScore

Zhao et al. 2019

Sentence Mover

Clark et al. 2019

Assumptions for InfoLM

Goal Compute a similarity score between R and C.

Tools Use a pretrained MLM

MLM predicts a distribution over Ω
 $p_{\Omega}(\cdot | [R]^i)$

Use a measure of information

$\mathcal{I} : [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$

Name	Notation	Domain	Expression
α -divergence (Csiszár 1967)	\mathcal{D}_{α}	$\alpha \notin \{0, 1\}$	$\frac{1}{\alpha(\alpha-1)}(1 - \sum q_i^{1-\alpha} p_i^{\alpha})$
γ divergence (Fujisawa and Eguchi 2008)	$\mathcal{D}_{\gamma}^{\beta}$	$\beta \notin \{0, -1\}$	$\frac{1}{\beta(\beta+1)} \log \sum p_i^{\beta+1} + \frac{1}{\beta+1} \log \sum q_i^{\beta+1} - \frac{1}{\beta} \log \sum p_i q_i^{\beta}$
AB Divergence (Cichocki, Cruces, and Amari 2011)	$\mathcal{D}_{sAB}^{\alpha, \beta}$	$(\alpha, \beta) \in (\mathbb{R}^*)^2$ $\beta + \alpha \neq 0$	$\frac{1}{\beta(\beta+\alpha)} \log \sum p_i^{\beta+\alpha} + \frac{1}{\beta+\alpha} \log \sum q_i^{\beta+\alpha} - \frac{1}{\beta} \log \sum p_i^{\alpha} q_i^{\beta}$
\mathcal{L}_1 distance	\mathcal{L}_1		$\sum p_i - q_i $
\mathcal{L}_2 distance	\mathcal{L}_2		$\sqrt{\sum (p_i - q_i)^2}$
\mathcal{L}_{∞} distance	\mathcal{L}_{∞}		$\max_i p_i - q_i $
Fisher-Rao distance	R		$\frac{2}{\pi} \arccos \sum \sqrt{p_i \times q_i}$

Intuition of InfoLM

Goal Compute a similarity score between R and C.

Equivalence for masked contexts

$$\mathcal{I} : [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

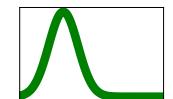
MLM predicts a distribution over Ω
 $p_\Omega(\cdot | [R]^2)$

Similar context

R: It is [MASK] today.

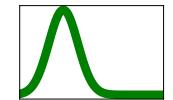
MLM

$$p_\Omega(\cdot | [R]^2)$$



C: It is [MASK] his morning !

$$p_\Omega(\cdot | [C]^2)$$



$$\mathcal{I}(p_\Omega(\cdot | [R]^2), p_\Omega(\cdot | [C]^2)) \sim 0$$

Dissimilar context

R: It is cold to [MASK]

$$p_\Omega(\cdot | [R]^3)$$



C: It is to [MASK] his morning !

$$p_\Omega(\cdot | [C]^2)$$



$$\mathcal{I}(p_\Omega(\cdot | [R]^3), p_\Omega(\cdot | [C]^2)) \gg 0$$

Context Aggregation

Goal Compute a similarity score between R and C.

How to aggregate contexts?



Weighted Sum!

Reference

[MASK]s cold today.

It is [MASK]oday.
...

It is cold today. [MASK]

$$P \triangleq \frac{1}{5} \sum_{k=0}^4 \gamma_k \times p_{\Omega}(\cdot | [R]^k)$$

Candidate

[MASK] is freezing this morning !

It is [MASK] his morning !
...

It is freezing this morning ! [MASK]

$$InfoLM(R, C) \triangleq \mathcal{J}(P, Q)$$

$$Q \triangleq \frac{1}{6} \sum_{k=0}^5 \gamma_k \times p_{\Omega}(\cdot | [C]^k)$$

Setting

Data2text Generation

- Results on **WebNLG 2020** Gardent et al. 2017
- **Correctness / Data Coverage / Relevance** Ferreira et al. (2020)
Fluency / Text Structure Perez-Beltrachini et al 2016
- Results on English only

Summary Generation

- Results on **SummEval** Nallapati et al. 2016)
- **Correlation with pyramid score** Bhandari et al. (2020)
- Results on English only Nenkova and Passonneau 2004

Results

Task

(John\ Blaha **birthDate** 1942\ 08\ 26)
 (John\ Blaha **birthPlace** San\ Antonio)
 (John\ E\ Blaha **job** Pilot)



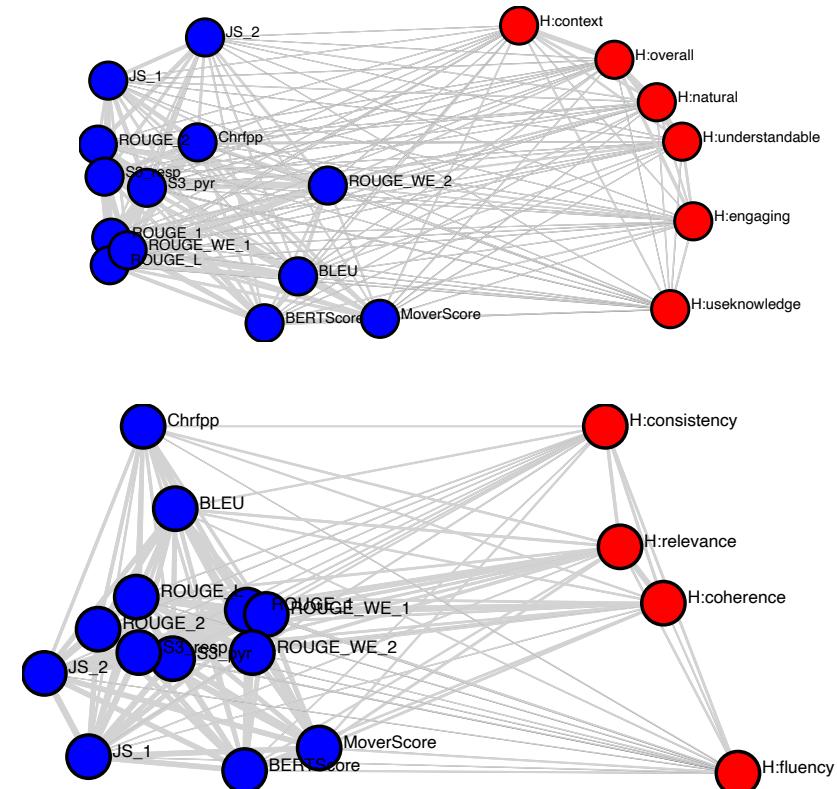
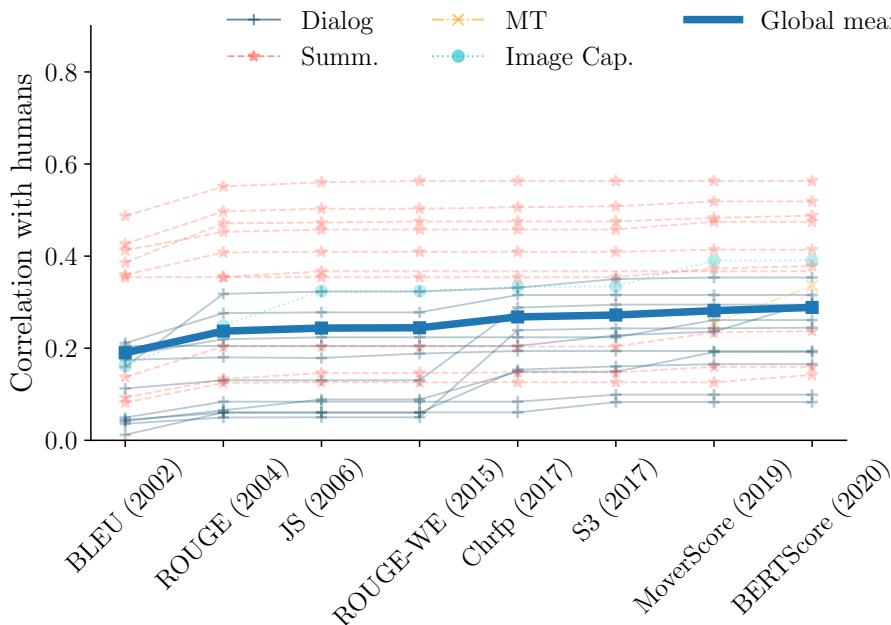
John Blaha, born in San
 Antonio on 1942-08-26,
 worked as a pilot

Metric	Correctness			Data Coverage			Fluency			Relevance			Text Structure		
	r	ρ	τ	r	ρ	τ	r	ρ	τ	r	ρ	τ	r	ρ	τ
Correct	100.0	100.0	100.0	97.6	85.2	73.3	80.0	81.1	61.6	99.1	89.7	75.0	80.1	80.8	60.0
DataC	85.2	97.6	73.3	100.0	100.0	100.0	71.8	51.7	38.3	96.0	93.8	81.6	71.6	51.4	36.6
Fluency	81.1	80.0	61.6	71.8	51.7	38.3	100.0	100.0	100.0	77.0	61.4	46.6	99.5	99.7	98.3
Relev	89.7	99.1	75.0	96.0	93.8	81.6	77.0	61.4	46.6	100.0	100.0	100.0	77.2	61.1	45.0
TextS	80.8	80.1	60.0	71.6	51.4	36.6	99.5	99.7	98.3	77.2	61.1	45.0	100.0	100.0	100.0
\mathcal{D}_{AB}	88.8	89.3	76.6	81.8	82.6	70.0	86.6	92.0	76.6	89.8	87.9	73.3	86.6	91.4	75.0
\mathcal{D}_α	88.8	89.3	76.6	81.8	82.6	70.0	86.6	92.0	76.6	89.8	87.9	73.3	86.6	91.4	75.0
\mathcal{D}_β	81.4	50.0	71.6	48.4	79.7	65.0	44.8	84.7	76.6	49.3	72.3	60.0	48.0	83.8	75.0
\mathcal{L}_1	75.2	33.8	61.6	32.4	53.8	40.0	22.7	83.5	73.3	32.2	57.9	45.0	25.6	83.2	71.6
\mathcal{R}	89.7	86.0	75.0	78.7	70.5	51.6	93.3	95.7	85.3	87.6	84.4	70.0	92.4	93.8	81.6
JS	79.4	81.1	70.0	69.3	75.5	60.0	89.4	91.4	75.0	81.7	70.5	60.0	91.9	91.1	73.3
BertS	<u>85.5</u>	83.4	<u>73.3</u>	74.7	<u>68.2</u>	53.3	<u>92.3</u>	<u>95.5</u>	<u>85.0</u>	<u>83.3</u>	<u>79.4</u>	<u>65.0</u>	<u>91.9</u>	95.0	83.3
MoverS	84.1	<u>84.1</u>	<u>73.3</u>	<u>78.7</u>	66.2	<u>53.3</u>	91.2	92.1	78.3	82.1	77.4	65.0	90.1	91.4	76.3
BLEU	77.6	66.3	60.0	55.7	50.2	36.6	<u>89.4</u>	90.5	78.3	63.0	65.2	51.6	88.5	89.1	76.6
R-1	80.6	65.0	65.0	61.1	<u>59.6</u>	<u>48.3</u>	76.5	76.3	60.3	64.3	<u>69.2</u>	56.7	75.9	77.5	58.3
METEOR	<u>86.5</u>	<u>66.3</u>	<u>70.0</u>	<u>77.3</u>	50.2	46.6	86.7	90.5	78.3	<u>82.1</u>	65.2	58.6	86.2	89.1	76.6
TER	79.6	78.3	58.0	69.7	58.2	38.0	89.1	<u>93.5</u>	<u>80.0</u>	75.0	70.2	77.6	<u>89.5</u>	<u>91.1</u>	<u>78.6</u>

Parameter
Free

Are we really making progress?

P. Colombo, M. Peyrard, N. Noyer, R. West, P. Piantanida. The Glass Ceiling of Automatic Evaluation in Natural Language Generation



Takeaways:

Prompt: When you die the afterlife is an arena where you face every insect and animal you killed in your life. If you win you go to heaven, lose you go to hell. Your job was an exterminator on earth.

Human: 3,000 years have I been fighting. Every morning, the raccoons scratch at my eyes. Every evening, the skunks spray me while the opossums chew at my feet. I have never had any tools. I have only my hands. I don't remember the place I came from before this. [...]

Generated #1: First of all, not everyone was entitled to be an exterminator. But the ones that were – maybe were, like, *genius*, because, yes, I had once belonged to a less fortunate class of people – had all the opportunity to work for the damn plant killer, and it's hard work. [...]

Generated #2: It was hell. Not exactly a place of torture. There were no guards in prison and you couldn't just walk through it, either, because you would get killed regardless. hell was a young man, and he was lying on his floor. He was unconscious. [...]

C. Chung, P. Colombo, F Suchanek , C. Clavel. *Of Human Criteria and Automatic Metrics: A Survey and Benchmark of the Evaluation of Story Generation.* (oral) COLING 2022

Story	RELEVANCE	COHERENCE	EMPATHY	SURPRISE	ENGAGEMENT	COMPLEXITY
Human	5	5	1	3	4	1
	2	2	3	2	2	3
	4	4	3	2	4	4
Generated #1	2	4	3	1	1	1
	2	2	2	1	2	2
	2	3	2	3	3	3
Generated #2	5	5	3	3	3	2
	3	2	3	2	2	3
	3	4	3	4	4	3



Human
evaluation

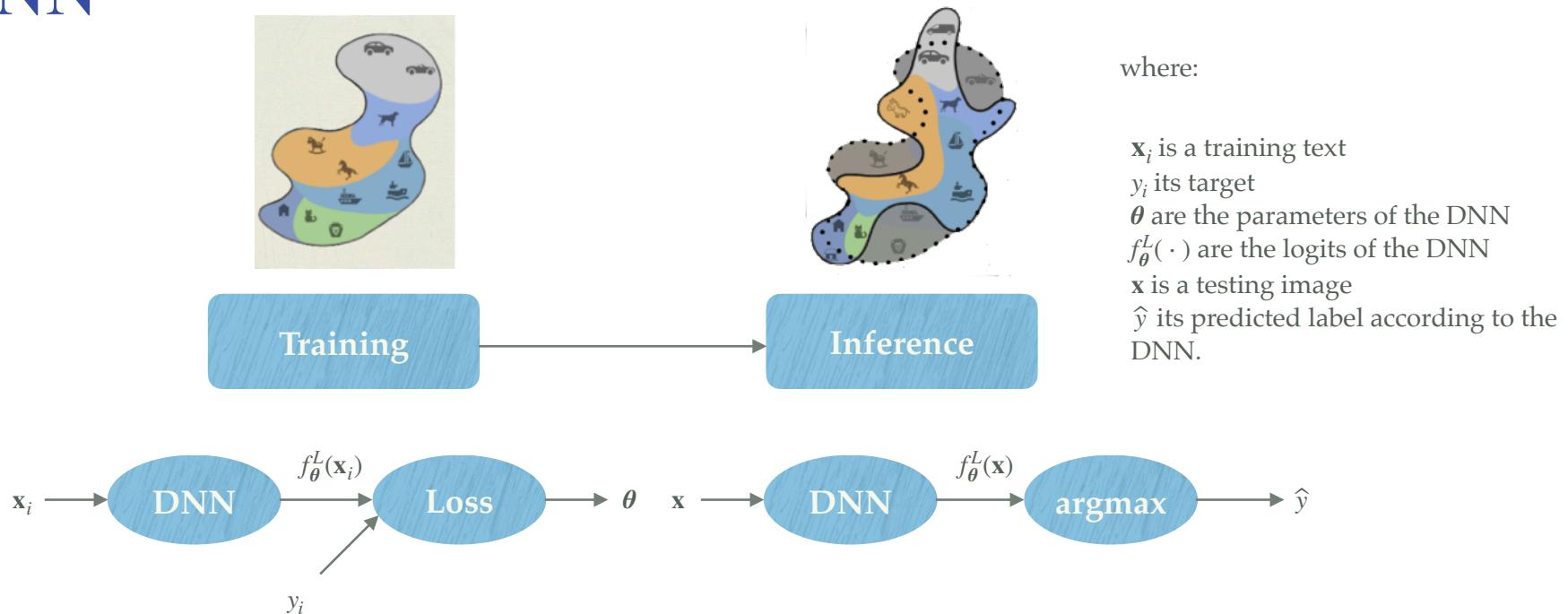


Automatic
evaluation

How to aggregate the scores and decide which system is the best?

Project On Anomaly Detection

DNN

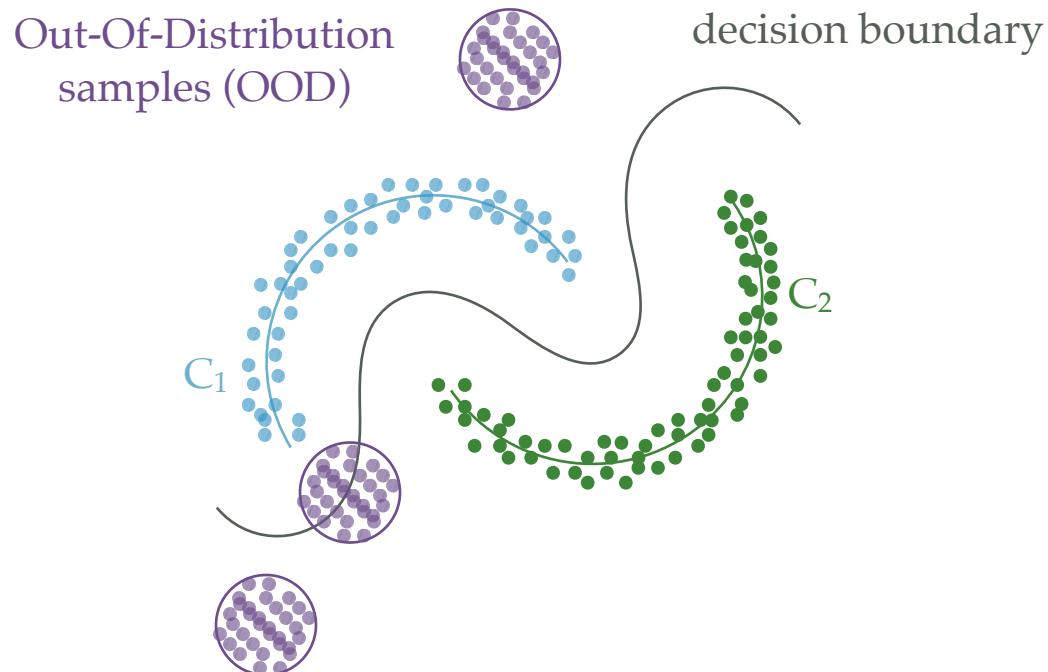


where:

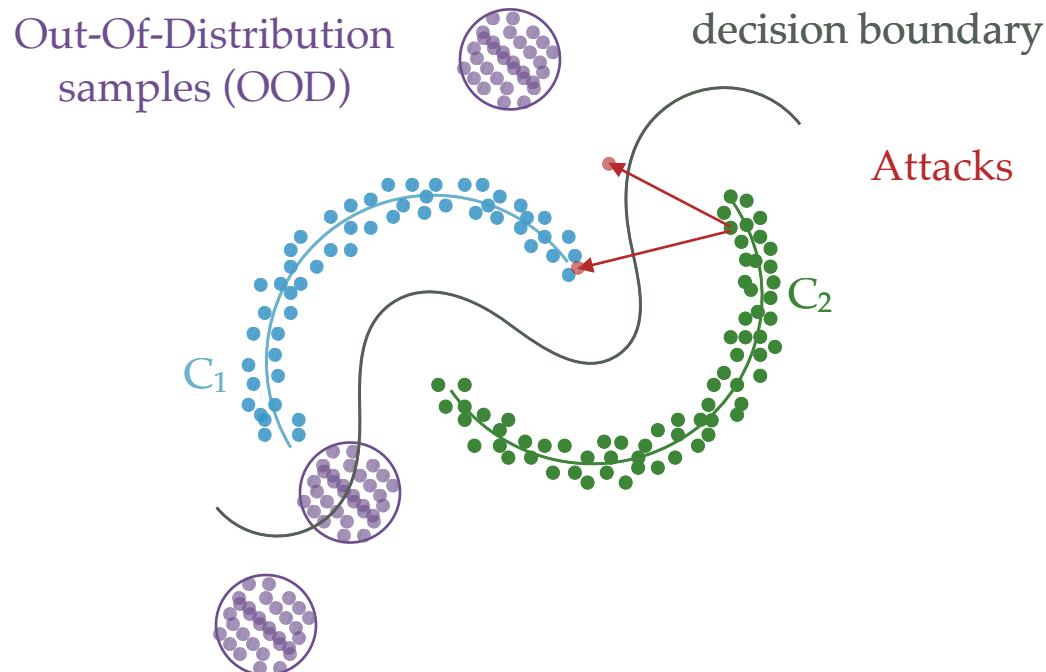
- x_i is a training text
- y_i its target
- θ are the parameters of the DNN
- $f_\theta^L(\cdot)$ are the logits of the DNN
- x is a testing image
- \hat{y} its predicted label according to the DNN.

Securing Neural Networks

Trusting the environment



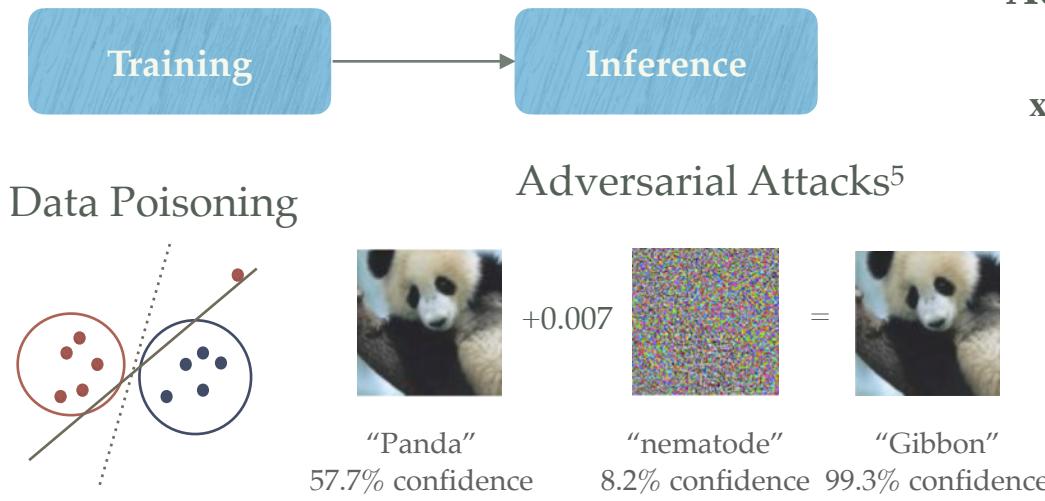
Malicious Attacks



Why Attacks?

- ◆ Can be seen as a worst-case scenario
- ◆ Essential in critical systems
- ◆ Gives insights into DNNs behaviour

Different type of attacks?



Adversarial problem⁶

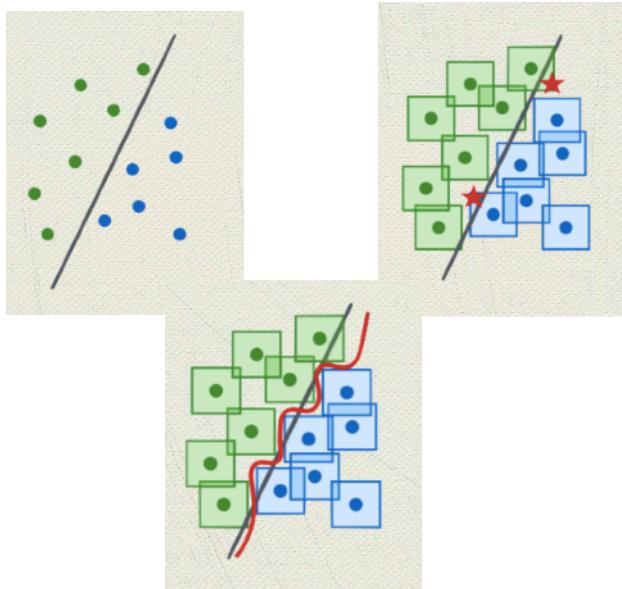
$$\mathbf{x}' = \arg \min_{\mathbf{x}' \in [0,1]^d} \|\mathbf{x}' - \mathbf{x}\|_p \text{ s.t. } \arg \max f_{\theta}^L(\mathbf{x}') = t,$$

where:

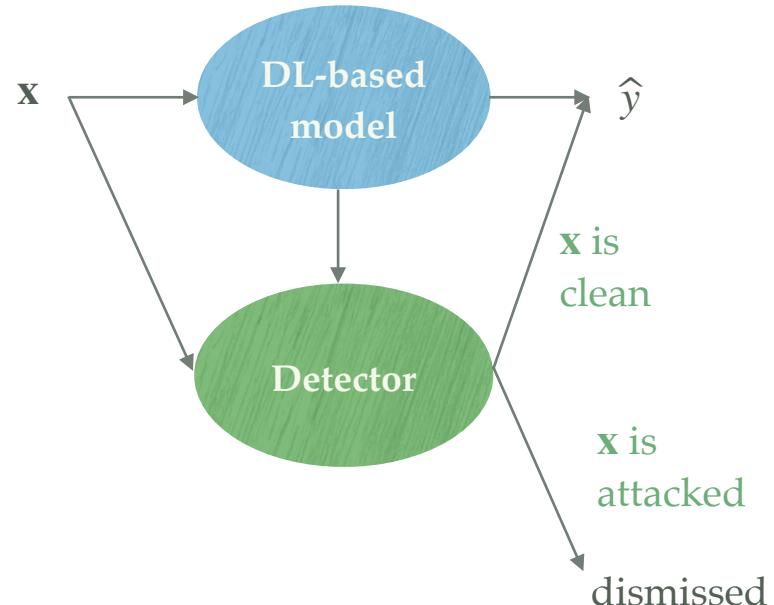
- (\mathbf{x}, y) clean sample
- \mathbf{x}' adversarial sample
- f_{θ}^L deep classifier parametrized by $\theta \in \Theta$
- t either targeted class or any class other than y

Different type of defense

Robustness⁷



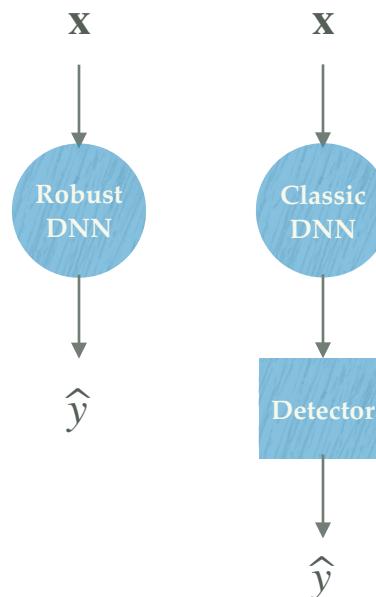
Detection



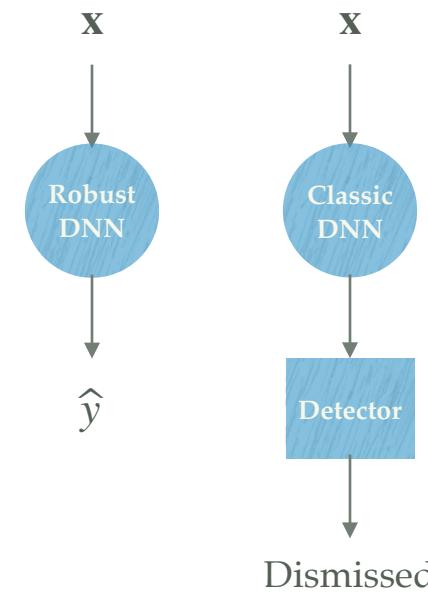
⁷ Alexander Madry, et al. *Towards Deep Learning Models Resistant to Adversarial Attacks*, ICLR 2018.

Abstention

Natural samples



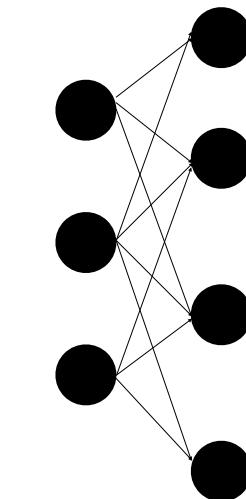
Attacked samples



Neural Network

Hello, Chicago.
If there is anyone out
there who still doubts that
America is a place where
all things are possible,
who still wonders if the
dream of our founders is
alive in our time, [...].
Yes we can!

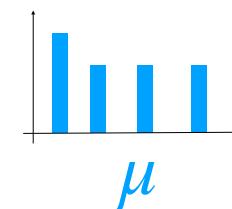
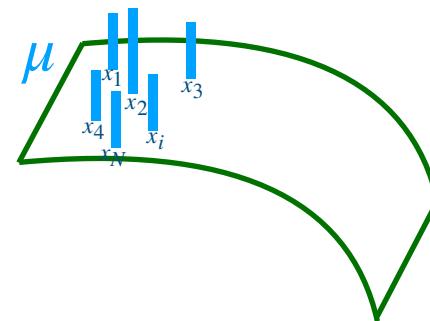
Input Text



Neural Network



High dimensional data

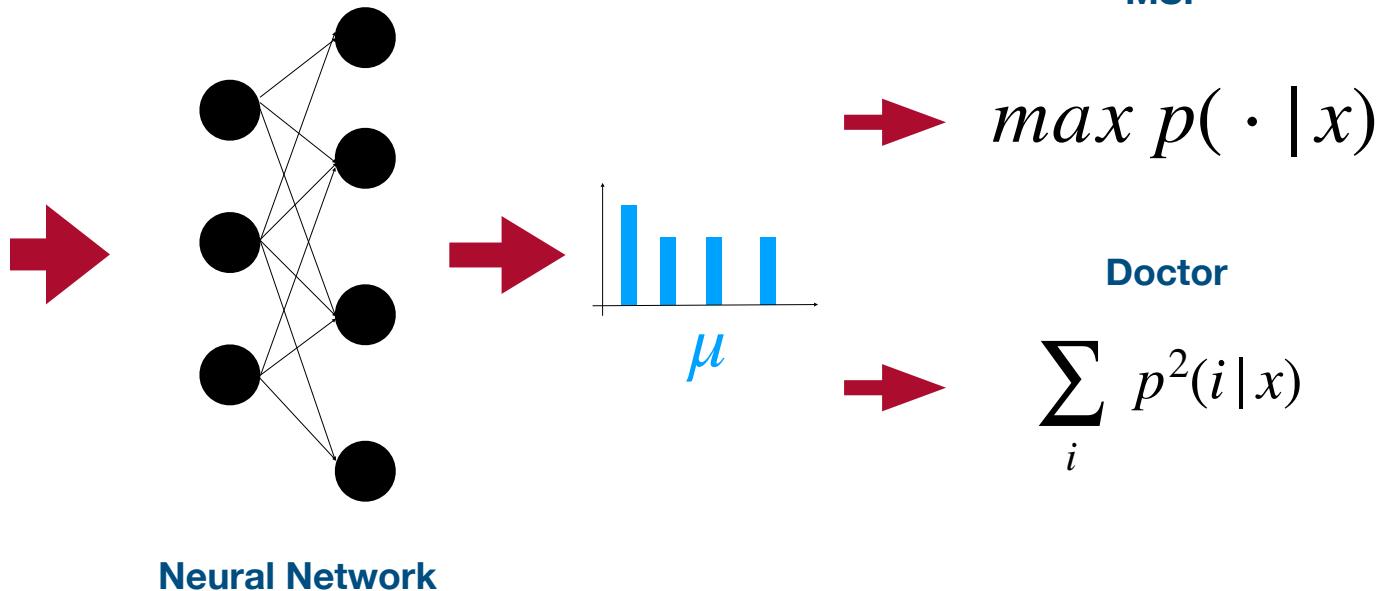


Soft Probabilities

Softmax Based Scores

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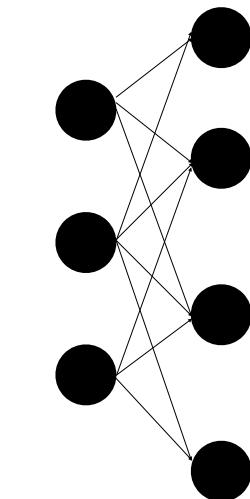
Input Text



Neural Network

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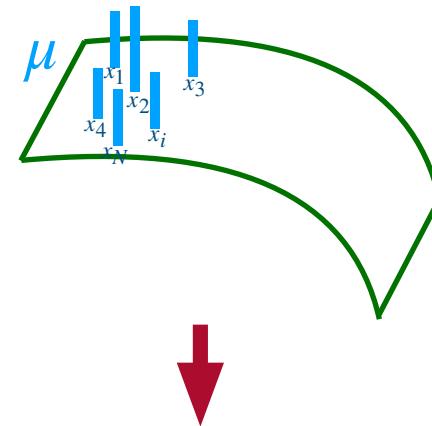
Input Text



Neural Network



High dimensional data



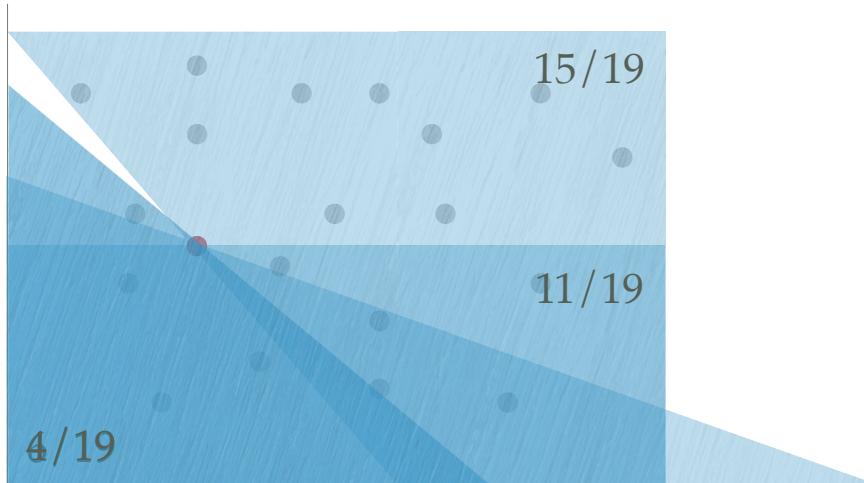
Cosine
Depth
Mahalanobis

Data Depth

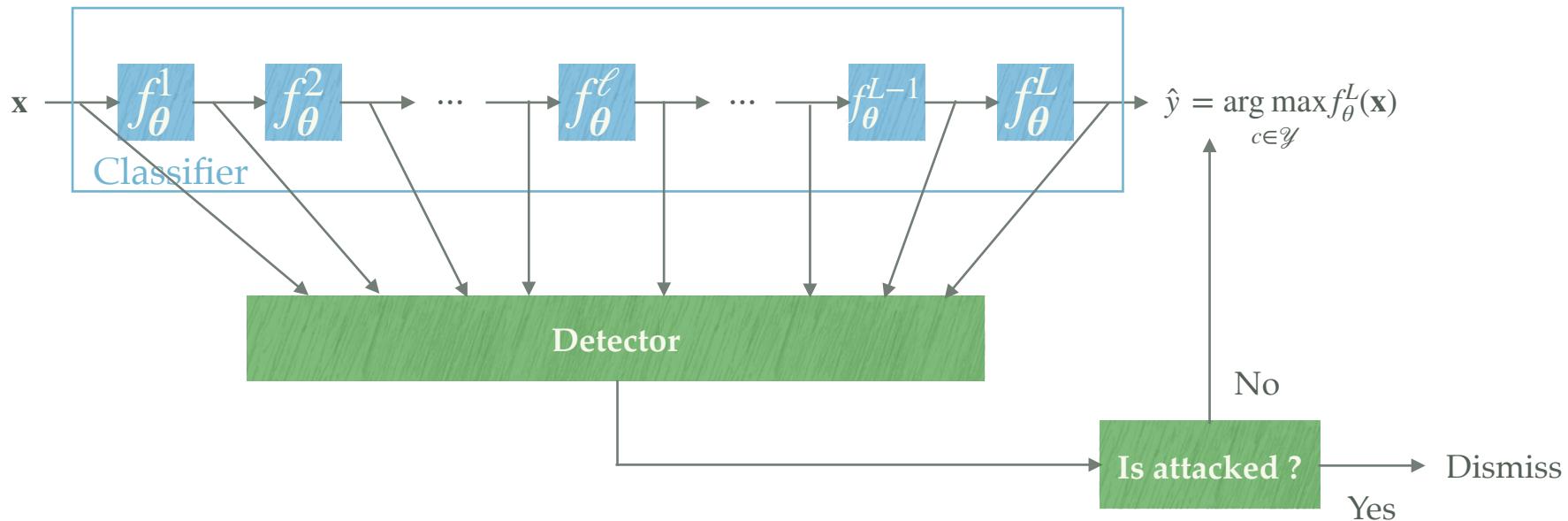
$$D : \mathbb{R}^d \times \mathcal{P}(\mathbb{R}^d) \longrightarrow [0,1]$$

$$(\mathbf{z}, p_{\mathbf{z}}) \longmapsto D(\mathbf{z}, p_{\mathbf{z}})$$

Halfspace depth¹³: $D_H(\mathbf{z}, p_{\mathbf{z}}) = \inf_{H \in \mathcal{H}(\mathbf{z})} p_{\mathbf{z}}(H)$



Deep Classifier - Multilayer models



Deep Classifier - Multilayer models

