# Multi-Level Alignments As an Abstraction for Extendable, Multilingual Textual Entailment

#### **Abstract**

The paper introduces a Textual Entailment architecture that focuses on *multi-level alignments*. The approach encourages multiple alignments co-existing in one common data structure, and the architecture uses this as the central representation. The paper shows an open-source, pilot implementation of the approach, which competes with the state-of-theart open-source TE engines.

### 1 Introduction

One key challenge at the core of Natural Language Processing (NLP) is the ability to determine which conclusions can be inferred from a given natural language text. An engine that answers this problem, Recognition of Textual Entailment (), has the potential to become the generic semantic processing engine for various NLP tasks.

Textual Entailment (TE) technology has matured during the last decade. TE modules are being utilized in various semantic applications, and researchers can find a range of well developed algorithms, methods and even software suites. It is now much easier for new users to utilize TE engines for their applications. Recent developments of "platform approach" even permit us to exchange various modules (such as knowledge-bases, pre-processing pipelines) in a standardized way (EOP, ).

However, one core problem still remains and hinders improvements of existing TE solutions: extensibility of TE core algorithm implementation. Unlike pre-processing modules or knowledge resources, extending an existing TE algorithm implementation is

generally a very difficult task. Core algorithm parts of TE engines are normally designed as *black-boxes*. Thus, adding support for a new language, a new aspect of analysis, or a change of the internal representation of an existing engine are often very hard, if not impossible. This often forces next generation of TE researchers to write their own core algorithms again from the scratch.

In this paper, we focus and revisit this aspect of extensibility of TE implementation. We propose a solution to this problem by proposing a TE architecture that revolves around a layer called *multi-level alignment representation* that holds various heterogeneous analysis results. Each analyzer represent their analysis as a form of alignment between the Text (T) and Hypothesis (H) annotations. The layer works as the central representation for the proposed TE processing flow, and makes it easier to future contributors to add their own analysis components.

This paper introduces our pilot study of this architecture, and shows evaluation results for English, German and Italian. The pilot implementation utilizes only a minimal number of analyzers (aligners), coupled with a set of basic language-independent features. Thus, the reported result of this system can be regarded as the baseline of the proposed approach approach. Surprisingly, the results are quite good and it already competes with the best open-source engines available for each target language.

# 2 Textual entailment with multi-level alignments

Alignments between Text and Hypothesis have been used as important indicators for RTE task, relatively

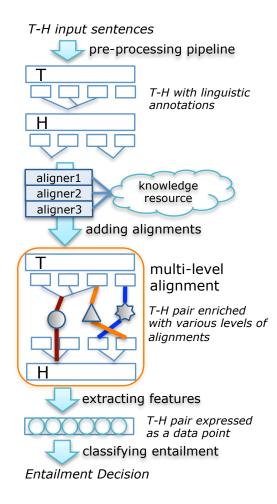


Figure 1: Dataflow of the proposed approach

early in the literature. Word and phrase level monolingual aligners had been used to find out corresponding local parts between T-H (), and also dependency-node level alignments have shown useful for TE decisions ().

More generally, it is possible to conceptually map various TE approaches to the alignment-based process. Ido et al. outlined various TE approaches found in the literature conceptually into a generic alignment-based architecture that has six steps: pre-processing, enrichment, candidate alignment generation, alignment selection, and classification (). It is informative to compare our proposal of multi-level alignment approach with this conceptual steps. The figure 1 shows the data flow of our proposal.

The Text (T) and Hypothesis (H) first get preprocessing, such as POS tagging, lemmatizer, dependency parser and NER. Then, the annotated T and H becomes the input for various individual aligners. Each aligner in the figure can use knowledge resources (hence, enrichment step is abstracted within each aligner in this setup).

The collective alignment data generated by each individual aligner are stored in one data structure. This is named as *Multi-level alignments* in the figure. We envision that this representation can hold different layers of alignments: for example, alignments that links surface level (tokens), lemma levels, syntactic levels (e.g. dependency structures), predicate levels, and so on. Also, analysis results normally not treated as alignment, can be expressed here as a type of alignment; such as negation analysis result (e.g. this verb on T is negated on H), or predicate (in-)compatibility links (e.g. the verb on T express possibility, while the main verb of H express actuality).

The next step of the figure is feature extraction. Various features are extracted from the available alignments (essentially local-analysis results reported from the aligners), and forms a feature vector represents the T-H pair. Then, the vector is classified via a general classifier trained with a training data.

One notable deviation from conceptual alignment-based architecture here is that, we intentionally leaving out the step of *alignment selection*. Alignment selection is a process where the system finalizes alignments by explicitly assigns a specific portion of T to a specific position of H as the one correct alignment. Our choice of not doing this is closely related to the fact that we envision multi-level alignments to hold non-traditional alignments which cannot easily mapped into one single alignment. Getting the global view of the T-H pair is postponed to the feature extraction steps. Basically, adding additional (more sophisticated) aligner requires designing additional (specialized) features.

The main idea of this TE processing flow is making the layer of multilevel alignment structure as open as possible for future addition of new aligners (here, it is also analyzers). We believe this can be done by making the data structure for alignments clearly defined for extensions, and also making the whole algorithm (also code and implementation) very easy to understand and access.

Thus, implementing this layer is a very important task for the proposed architecture. For this, we borrowed the data structure from a TE open-source platform (). On top of this, we added *alignment links* as a generic type that can link any linguistic annotation data within the data type. This enabled us to represent multi-level alignment layer that can hold any (even future) alignment analysis outputs.

Naturally, this comes with a cost that each aligner (analyzer) developer need to learn how to access this common data structure. We believe this is an acceptable cost, expecially when this data structure already comes with good representation of multi-lingual preprocessing pipelines and extensible annotation data representations.

One important aspect of the architecture is establishing *orthogonality* () between aligner (analysis) modules: adding a new module does not require knowledge of what other modules (aligners) are doing. This is already true in the pilot implementation, which is described in the next section.

## 3 Implementation and Evaluation

We report a pilot, baseline implementation that tests the potential of the proposed architecture. This section first describes the implementation, then shows the evaluation of the system on two multi-lingual test set. The implementation, and its source code can be accessed from the project homepage <sup>1</sup>.

# 3.1 Pre-processing, knowledge resource, and data representation

We utilize an open source TE development platform () as the supporting tools for our architecture. The platform provides various multilingual pre-processing pipelines, and also knowledge in a standardized manner for our target languages. For pre-processing, we have used Maltparser pipelines with TreeTagger models for all three languages. All knowledge resources (such as WordNet, VerbOcean, etc) reported in this paper are accessed via the platform.

Another important service that is provided by the platform is the capability of representing complex data types in a common data representation. The platform uses UIMA CAS () as the data container (), and defines various annotation types which can be extended in a coherent manner. This naturally includes linguistic annotations (such as POS, lemma, parse tree, NER...), but it also includes the ability to

add new meta data type, such as alignments. This enabled us to define a multilingual multi-level alignment representation layer, with minimal new data type definitions. By utilizing those existing modules of a common platform, we were able to concentrate on the core-algorithm implementations.

#### 3.2 The (minimal) aligners

We used two main aligners for the pilot study. The first aligner is a generic lexical aligner that works on lemmas via lexical resources, and the other is a phrase aligner that works on consecutive tokens.

Lexical aligner Lexical aligner adds alignment links by looking up all possible connections between T-H lemmas. If a relationship is found between two lemmas (one on T and the other on H) from the given lexical resource, the aligner adds a link between them. The link has a direction, and has properties of relation name, and relation strength. Relation name records the type of relation reported by the lexical resource (such as "synonym", "antonym"). Relation strength is a property that shows the strength (likelihood) of the relationship, which is often reported by an automatically built resources such as distributional semantics tools. The aligner adds all possible links that can be found by the given lexical resource on the given T-H pair.

For English, WordNet and VerbOcean were used as the lexical resources. Italian WordNet was used for Italian, and GermaNet and German DerivBase () were used as lexical resources for German.

**Paraphrase aligner** Paraphrase aligner is similar to the lexical aligner, but what it connects are surface level (tokens), and it concentrated on more specific resource; the monolingual paraphrase tables automatically generated by pivot-translation of Machine Translation tools (). The alignment links reported by this aligner has only one relation (paraphrase), but they report strength of the relation via the translation probability of the paraphrase.

In addition to the two aligners, identical lemmas are also aligned between T-H, without any additional information. In all cases, aligners report any possible connections they could find, without regard to existing alignment links.

Note that the aligners here are minimal, and forms a test-bed, or a baseline, where additional aligner

<sup>&</sup>lt;sup>1</sup>URL anonymized.

(analysis) developers can add new aligners and observe their impacts on TE evaluation. Incorporating more sophisticated aligners for each language is future work of this study. Additional aligners such as predicate-compatibility aligner (for English), and negation aligners (for English and Italian) are currently in plan.

#### 3.3 The (minimal) features

We used a set of language independent features in this pilot study.

The first set is word coverage ratios that measure how much of the Hypothesis (H) words are covered by local relationship from Text (T) words. This includes word coverage ratio, and content word coverage ratio. For the content-word coverage ratio, function words are filtered based on (language independent) POS classes. The two features express general assumption that the more H content covered, the more likely it is entailment.

Two other features are Verb coverage, and Proper name coverage features. Verb-coverage ratio estimates the chance that some predicate is missed and not covered on Hypothesis. Proper name coverage features estimates the chance that the Hypothesis has a new entity names introduced in H that is not covered by the components of T.

Again, the features adopted here can be considered as the base-line for the approach. Adding new aligners, naturally, requires adding more sophisticated features that utilize the added analysis of the new aligners. We expect that this feature design process is not trivial and remain to be tested in future work.

#### 3.4 Experimental results

RTE3 was one of the evaluation workshop for TE community (). The evaluation data holds 800 training and 800 testing T-H pairs, and later they were translated to both German and Italian. As far as we know, this is the only RTE evaluation data that is available in multiple languages with same content. The following table shows the evaluation result of the pilot study, marked as *proposed*, compared to three other open-source TE systems that we have tested with.

Each of the open source system is configured with their best known configurations as reported by the developers. The pilot system supports all three lan-

	English	German	Italian
proposed	0.6700	0.6450	0.6537
BIUTEE	0.6700	-	-
TIE	0.652	0.6312	-
EDITS	0.6362	-	0.6262
RTE3 median	0.6175		

Table 1: Evaluation result on RTE3 data set (accuracy).

	English	Italian	German
proposed	0.6915	0.6949	0.7240
<b>BIUTEE</b>	0.7125	-	-
<b>EDITS</b>	-	0.6562	-
TIE	-	-	0.7241

Table 2: Evaluation result on entailment graph data (f-1 measure)

guages, while others supports one (BIUTEE, English only) or two languages (EDITS, TIE). The pilot system performed well in all three languages and scored the best among the tested systems. It ties on the accuracy score with BIUTEE on English, and it outperforms both EDITS and TIE on English, German and Italian.

Second task for evaluation is Entailment Graph building. It is an application task that builds a graph that helps readers to explore texts in statement levels. Building of the graph requires a TE engine, and the performance of TE engine is often evaluated with F-1 measure in this setup. See () and () for more information about the task and data. The data are from real-world texts of customer interaction domain, and they provide large training / test set for TE engine (5300 pairs for English, 1700 pairs for Italian, etc). Unlike RTE3 data, each data originated from actual native speakers, and not translated data. Table 2 shows our evaluation results.

For this task, we ran two systems for each data. One is our pilot system, and the other is the best engine reported for the data. The pilot system beats EDITS in Italian data, and closely follows TIE in German data, while BIUTEE outperforms the pilot system in English data.

The two evaluation results show that the pilot system is already competing with the state-of-the-art open-source TE engines. The fact is even more impressive considering that the pilot system is one system that works on all three languages. We interpret this result as a positive sign for the future of the

proposed architecture, since the simple baseline for the approach already competes with complex, monolingual TE systems.

### 4 Conclusion (0.5 page)

This paper introduced a TE architecture that relies on a layer called *multi-level alignments*. The approach closely follows successful alignment-based TE architectures, but differs in the sense that the proposed method encourages having multiple alignments coexisting in one common data structure. The approach suggests using this structure as the "firewall" for all analysis, and making TE engine more open for future improvements.

We reported a baseline, pilot system of this approach, and evaluated the performance compared to other open-source TE engines. The pilot system already competes with the state-of-the-art. The system is extensible, small and robust, and works with multilingual input out of the box. It is available as open-source, and can be used by anyone who requires a multilingual TE engine. We believe that the resulting system is easier to access, modify and extend compared to other open-source TE engines.

#### References

(eop arch jnle paper).