

# Integrating animal temperament within ecology and evolution

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## ABSTRACT

Temperament describes the idea that individual behavioural differences are repeatable over time and across situations. This common phenomenon covers numerous traits, such as aggressiveness, avoidance of novelty, willingness to take risks, exploration, and sociability. The study of temperament is central to animal psychology, behavioural genetics, pharmacology, and animal husbandry, but relatively few studies have examined the ecology and evolution of temperament traits. This situation is surprising, given that temperament is likely to exert an important influence on many aspects of animal ecology and evolution, and that individual variation in temperament appears to be pervasive amongst animal species. Possible explanations for this neglect of temperament include a perceived irrelevance, an insufficient understanding of the link between temperament traits and fitness, and a lack of coherence in terminology with similar traits often given different names, or different traits given the same name. We propose that temperament can and should be studied within an evolutionary ecology framework and provide a terminology that could be used as a working tool for ecological studies of temperament. Our terminology includes five major temperament trait categories: shyness-boldness, exploration-avoidance, activity, sociability and aggressiveness. This terminology does not make inferences regarding underlying dispositions or psychological processes, which may have restrained ecologists and evolutionary biologists from working on these traits. We present extensive literature reviews that demonstrate that temperament traits are heritable, and linked to fitness and to several other traits of importance to ecology and evolution. Furthermore, we describe ecologically relevant measurement methods and point to several ecological and evolutionary topics that would benefit from considering temperament, such as phenotypic plasticity, conservation biology, population sampling, and invasion biology.

**Key words:** temperament, personality, individual differences, behavioural syndromes, coping styles, context-specificity, shyness-boldness, exploration, aggressiveness, sociability.

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## I. INTRODUCTION

How and for what reasons individuals - animals or humans - differ in the way they react to potential risks, handle novelty, or interact with conspecifics, are questions that fascinate scientists and the general public alike. The study of temperament (or personality) differences has proven utility at many levels of society: from improvements in animal production, welfare, and conservation, to pharmacology, and, in humans, to prediction of disease risk, job satisfaction, risk-taking behaviour, and reaction to social stress (Boissy & Bouissou, 1995; Le Neindre, Boivin & Boissy, 1996; Caspi *et al.*, 1997; Grandin, 1998; Martin, 1998; Carlstead, Mellen & Kleiman, 1999; Trut, 1999; Malmkvist & Hansen, 2001; Boissy *et al.*, 2005; Boyce & Ellis, 2005; Ellis, Jackson & Boyce, 2006; McDougall *et al.*, 2006).

Psychologists have long been interested in the study of human and animal temperament, which has led to significant theoretical and empirical developments (Wilson, 1994; Gosling, 2001; Boyce & Ellis, 2005). By contrast, ecologists and evolutionary biologists have generally shown little interest in the concept of temperament, although certain temperament traits perceived to affect fitness directly, such as aggressiveness and reaction to predators, have received substantial scientific attention (Clark & Ehlinger, 1987; Huntingford, Wright & Tierney, 1994; Wilson *et al.*, 1994; Boissy, 1995; Clarke & Boinski, 1995; Greenberg & Mettke-Hofmann, 2001; Sih, Bell & Johnson, 2004a; Sih *et al.*, 2004b; Ellis *et al.*, 2006). In recent years temperament has begun to receive theoretical and empirical attention from ecologists (Wilson *et al.*, 1994; Clarke & Boinski, 1995; Greenberg & Mettke-Hofmann, 2001; Sih *et al.*, 2004a, b; Dall, Houston & McNamara, 2004). However, ecologists

generally do not perceive temperament as an important addition to our understanding of the ecology and evolution of animals. This is surprising given a growing body of evidence showing that temperament traits affect important ecological processes such as niche expansion, dispersal or social organisation.

The reasons why temperament has not yet been integrated into ecological theory are diverse, and include difficulties in definition, in finding appropriate methods to quantify temperament, and in testing the significance of these traits in the field. Our goal here is to build a supporting conceptual and methodological framework for the ecological study of temperament that may help overcome these difficulties. Moreover, we review extensive evidence for the genetic basis of temperament traits and links between temperament and traits of importance to evolutionary ecology, such as reproductive rate and survival. Obviously, many important questions regarding temperament remain to be resolved before developing a general framework. Nevertheless, we hope that the proposed framework will serve to guide and encourage future research in the field.

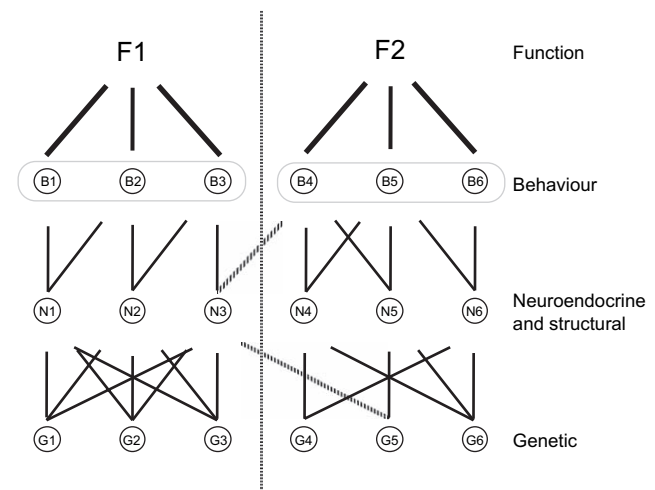
## II. WHAT IS TEMPERAMENT?

A necessary first step is to define temperament traits in terms relevant to evolutionary ecology. Defining temperament traits, like traits in general, is not trivial (Wagner, 2001). We discuss the concept of a trait in an ecological and evolutionary context and extend this concept to provide a terminology for temperament traits.

### (1) Behavioural trait concepts in an ecological and evolutionary context

A character (or trait) can be considered as a characteristic of an organism shared by all or some of the individuals of a species that can vary, although not necessarily, among these individuals (we consider character and trait as synonyms; see Wagner, 2001, on the diversity of the character concept). Measured individual values for that character are called phenotypes. A quantitative genetic framework can provide a biological definition of a trait. Quantitative genetic models, which have received some recent support, assume that the variance of phenotypic quantitative traits (i.e., the trait measured) is influenced by a relatively large number of genes, each with small individual effects, and by a series of environmental effects (Falconer & Mackay, 1996; Lynch & Walsh, 1998; Roff, 1997; Flint, 2003; Reif & Lesch, 2003). An important aspect of our definition is that two traits can be associated at the phenotypic level, illustrating their potential genetic or epigenetic links (Henderson, 1990; Wagner, 1996; Cheverud, 1996; Sih *et al.*, 2004b).

Fig. 1 shows a conceptual model of the organisation of behavioural traits derived from Henderson (1990) and Wagner (1996). The goal of this model is to illustrate the hierarchical structure of traits within an organism rather than to describe the precise physiological and developmental machinery underlying the expression of those traits. Hence it does not include developmental feedback effects occurring at different levels or environmental effects. F1 and F2 represent two different biological functions of an animal species, such as anti-predator defence and mating. Behavioural traits (Bs; note that they could be other trait categories like life-history or morphological traits) involved in each function are not directly influenced by genes, but result from a complex network of neuro-physiological and structural traits themselves a result of the indirect effects of genes (see Johnston & Edwards, 2002). Phenotypic variation of a trait B results from both among-individual allelic variability at loci with additive and non-additive (e.g., dominance, epistasis) genetic effects, and from environmental variation (Falconer & MacKay, 1996; Roff, 1997; Lynch & Walsh, 1998). An important property of this model is that phenotypic variation of a composite trait (e.g., B) will depend on the cumulative and interactive genetic and environmental effects on the variation at an underlying level. Note that to simplify we limited the number of levels to a minimum; a behavioural trait not shown here could integrate several traits at underlying level B. For example, maternal behaviour in a mammal is composed of many other behavioural traits (e.g., nursing, nest building, grooming, vigilance and defence against predators). Therefore, the measure of a trait at one level, to some extent, also represents other traits that are genetically, developmentally and functionally related to the studied trait. For instance, B1 and N1 in Fig. 1 can be considered genetically the same trait since the same genes influence them, regardless of the fact they are phenotypically different: one trait is a behaviour pattern, the other a hormone concentration.



**Fig. 1.** Conceptual model of the organisation of behavioural traits. F1 and F2 represent two different biological functions. The second level is composed of behavioural traits (Bs) involved in each function. The next level is composed of neuro-physiological (Ns; e.g., hormones, neurotransmitters, neuro-modulators) and structural (e.g., neuronal structures, muscle characteristics) traits involved in each behavioural trait. The final level is composed of genes (Gs) that are involved in each neuro-physiological trait. For simplicity we do not show environmental effects, interactions among traits within each level, and feedback effects. We also do not include the developmental dimension of behavioural trait construction (see text). The traits B1 and N1 share exactly the same genes, and the genetic correlation estimated between these traits is 1 (Falconer & MacKay, 1996; Roff, 1997; Lynch & Walsh, 1998). Because of environmental effects, the phenotypic correlation should be lower, but still strong and significant. In that case, we could say that these two traits are part of the same behavioural syndrome (Sih *et al.*, 2004b) and are two facets of a coping style (Koolhaas *et al.*, 1999). Similarly, trait N1 and N2 show a genetic correlation of 1. B1 and B3 will be genetically correlated, although to a lesser extent, because some genes influencing B3 (e.g., G5 through G3) do not influence B1. Finally, B1 and B6 belong to two different genes-neurophysiology-behaviour pathways and will therefore show a null genetic correlation. This model could be extended to one function studied in two different environments within the same context (e.g., anti-predator defence in F1 = high-risk and F2 = low-risk environment), at different ages (e.g., anti-predator defence in F1 = juvenile and F2 = adult), in the two sexes (e.g., mating behaviour in F1 = males and F2 = females). For instance, some genes influencing a behaviour expressed in a high-risk environment may not influence the behaviour expressed in a low-risk environment. This means that two measures of the same phenotypic trait (i.e., antipredator defence) can be considered as two genetically different traits (Falconer & MacKay 1996).

### (2) Terminology for ecologists

Table 1 lists several published definitions of temperament and personality. The distinction between temperament and personality is often vague, but temperament frequently has

Table 1. A non-exhaustive list of definitions of temperament, personality and coping style

Definition	Source
Temperament: a person's or animal's nature, especially as it permanently affects their behaviour. Personality: the combination of characteristics or qualities that form an individual's distinctive character.	The Oxford English Dictionary (2005)
Temperament: relatively consistent, basic dispositions inherent in the person that underlie and modulate the expression of activity, reactivity, emotionality, and sociability.	Buss <i>et al.</i> (1987)
Temperament: the characteristic phenomena of an individual's emotional nature, including his susceptibility to emotional stimulation, his customary strength and speed of response, the quality of his prevailing mood, and all peculiarities of fluctuation and intensity of mood; these phenomena being regarded as dependent on constitutional makeup and therefore largely hereditary in origin.	Allport (1937), p. 54
In addition to the notion that temperament reflects biologically based individual differences in emotional responding, modern temperament theories also incorporate Allport's idea that these biological differences are innate and form the foundation upon which mature personality develops.	Clark & Wilson (1999), p. 400
Personality: those characteristics of individuals that describe and account for consistent patterns in feeling, thinking and behaving. Temperament: in human research... the inherited, early appearing tendencies that continue throughout life and serve as foundation to personality.	Gosling (2001), p.46
Temperament: the characteristic style of emotional and behavioural response of an individual in a variety of different situations that is often, but not invariably, demonstrated very early in life. It is the stance that an individual takes towards its environment across time and situations. It refers to styles of responsiveness and not to specific acts.	Box (1999), p. 34
Temperaments and personalities: integrated behavioural phenotypes and stable traits that are consistent over time and across situations; broad and consistent dimensions of individuality.	Budaev (1997), p. 399
Individual animals often behave in a way that distinguishes them from other members of their species of the same sex and age class. When such differences are consistent over time, they can be referred to as 'personalities' or 'behavioural style'.	Lowe & Bradshaw (2001)
Coping style: a coherent set of behavioural and physiological stress responses which is consistent over time and which is characteristic to a certain group of individuals. It seems that coping styles have been shaped by evolution and form general adaptive response patterns in reaction to everyday challenges in the natural habitat.	Koolhaas <i>et al.</i> (1999)
The expression of individual behavioural and physiological phenotypes or 'coping styles' is defined as the way individuals cope behaviourally and physiologically with environmental and social challenges, irrespective of life history state, sex or motivational state.	Pfeffer <i>et al.</i> (2002)

a more restrictive meaning than personality, often describing differences in emotionality or describing traits that are demonstrated very early in life (Budaev, 1997; Box, 1999). Given that personality and temperament are frequently distinguished on arbitrary grounds we treat them here as synonyms.

Many definitions in Table 1 refer to both a measurable element (i.e., the expression) and "unobservables", or qualities that are difficult to measure (i.e., the individual dispositions). Similarly, several temperament traits imply inference of psychological mechanisms underlying the expression at the behavioural level. For instance emotionality refers to *behavioural and peripheral changes presumed to accompany high sympathetic nervous activity* (Archer, 1973), anxiety is *the fear of a potential danger* (Boissy, 1995; File, 2001), and some definitions of neophobia focus on *the fear of novel objects* (e.g., Beissinger, Donnay & Walton, 1994). We think that both these "unobservables" and the inference of psychological properties may restrain ecologists and evolu-

tionary biologists from studying temperament; such inherent dispositions are not implied in the definitions of morphology (i.e., *the form of living organisms*; Oxford Dictionary of English, 2005) or life history (*the series of changes undergone by an organism during its lifetime*; Oxford Dictionary of English, 2005), two categories of traits commonly studied by ecologists and evolutionary biologists. Based on these considerations, we propose that temperament, personality and individuality describe the phenomenon that individual behavioural differences are consistent over time and/or across situations (Budaev, 1997; Box, 1999; Lowe & Bradshaw, 2001; Gosling, 2001; Dall *et al.*, 2004). Here "consistent" does not mean that trait values cannot change with age or environmental conditions, but that differences between individuals are largely maintained. Although temperament is considered at the individual level, it can be extended to the other levels, describing for example consistent behavioural differences between families, populations or species.

We propose, as a working rule, that each temperament trait should be defined according to the ecological situation (*sensu* Sih *et al.*, 2004a) in which it is measured. The underlying concept is that each term should be operationally defined and its ecological validity tested (see Section V). We divide temperament traits into five categories: (1) shyness-boldness, an individual's reaction to any risky situation, but not new situations. This includes reaction to risky situations, such as predators and humans. Note that 'docility', 'tameness' and 'fearfulness' have been used in the specific context of reaction to humans (Boissy, 1995; Grandin, 1998; Boissy *et al.*, 2005); (2) exploration-avoidance, an individual's reaction to a new situation. This includes behaviour towards a new habitat, new food, or novel objects. This situation can also be considered risky if, for example, a new object may represent a potential predator. We have deliberately not included neophobia and neophilia in our terminology because both are considered as part of exploration. Furthermore, from an ecological point of view, we can assume that exploration will be the main target of selection. Neophobia and neophilia are, on the other hand, more relevant to those interested in the mechanisms responsible for individual variation in exploration (see e.g., Greenberg & Mettke-Hofmann, 2001); (3) activity, the general level of activity of an individual. Activity can interfere with the measurement of exploration or of boldness; it has thus been proposed to obtain a measure of activity in a non-risky and a non-novel environment (Barnett & Cowan, 1976; Renner, 1990). The last two trait categories are expressed in a social context; (4) aggressiveness, an individual's agonistic reaction towards conspecifics; (5) sociability, an individual's reaction to the presence or absence of conspecifics (excluding aggressive behaviour). Sociable individuals seek the presence of conspecifics, while unsociable individuals avoid conspecifics.

We are conscious of the limits created by the simplification of our terminology; this terminology should be regarded as a working tool, and not an exhaustive list. This simplified terminology is essential to encourage ecological research into temperament. Once we have obtained sufficient information for a large group of species from different ecosystems, we can start generalizing about the ecological and evolutionary role of temperament traits. At that point, we could refine our terminology for temperament traits, using more terms and operational concepts.

This terminology has several advantages:

- (1) The five proposed categories do not include the notion of underlying dispositions. Furthermore, we avoid confusion between the terms; here shyness-boldness is used when there is no component of novelty associated with the measured behaviour. When novelty is associated, it is preferable to use exploration-avoidance.
- (2) Using a hierarchical model (Fig. 1), temperament traits, like morphological or life-history traits, refer to a category of traits. Each temperament trait can potentially be measured by a set of correlated behavioural or physiological variables. For example, a bird's body size could be measured with wing length, tarsus length, beak size or body mass. Similarly

a rodent's exploratory phenotype could be measured by the distance covered and by the frequency of rearing and sniffing in an open-field. Temperament can thus be measured using physiological, hormonal, and/or behavioural indices measured in a specific ecological situation. Choosing a measure depends on a study's goals. Researchers interested in mechanisms would give priority to endocrine, neurobiological and behavioural levels. Alternatively, those more interested in the function of temperament traits would focus on the behavioural level and the consequences of this behavioural variation on fitness. Both approaches are worthwhile and should be integrated for a better understanding of temperament traits.

- (3) According to the hierarchical model, we can consider integrated behaviour patterns (*sensu* Henderson, 1990) as outcomes of the temperament traits categories defined above. This is the case for examples of parental style, dominance, leadership, foraging style, or dispersal. For instance, parental style represents the reactions of a parent towards its progeny when the progeny is in interactions with conspecifics or novel or risky situations; permissive mothers will allow their progeny to interact with conspecifics, whereas restrictive mothers will prevent contact between their progeny and conspecifics (Maestripieri, 1993, 1998; Fairbanks, 1996). In this context, it will be possible to test if one of the five categories of temperament traits affects parental style. These integrated behaviour traits are themselves integrated into a higher level function. For example parental style, fecundity and maternal investment may be considered as important components of lifetime reproductive success. The hierarchical model proposes to put behaviour traits into a network of traits with different levels of interactions and integration. It thus differs from Wilson *et al.*'s (1994) shyness-boldness model that proposes a more horizontal structure of behaviour traits.
- (4) With this terminology we do not presuppose the correlation of all the traits together in a whole "temperament", an idea common to concepts such as personality (Costa & McCrae, 1992), coping style (Koolhaas, De Boer & Bohus, 1997; Koolhaas *et al.*, 1999; Pfeffer, Fritz and Kotrschal, 2002) or behavioural syndromes (Clark & Ehlinger, 1987; Sih *et al.*, 2004a, b). Traits may also be consistent across functional behavioural categories or contexts (context-generality, the converse of context-specificity; Sih *et al.*, 2004a, b; Coleman & Wilson, 1998). For example, an individual may be bold in feeding, mating and anti-predator contexts, in which case boldness would appear to be a context-general temperament trait. The extents to which temperament traits correlate with one another and are context-specific are empirical questions rather than defining features of temperament (Coleman & Wilson, 1998). Indeed, context-specificity and inter-trait correlation need not be considered as separate questions, since two context-specific traits can be usefully considered as two traits. The evolutionary, functional, proximate,

and developmental considerations and constraints that determine the degree of context-specificity and inter-trait correlation are central to the study of animal temperament.

### (3) Properties of temperament traits

Temperament concepts are frequently associated with responses to novel, risky or challenging situations (Wilson *et al.*, 1994). For example, coping style – a concept close to temperament – corresponds to the responses to social and non-social challenging or novel situations that are consistent between individuals, irrespective of life history state, sex or motivational state (Koolhaas *et al.*, 1997, 1999; Pfeffer *et al.*, 2002). Although temperament does not have to be restricted to novel, risky or challenging situations, it appears to be strongly expressed in these particular conditions, which may explain why researchers have focused on these conditions. Ecologists and evolutionary biologists may also be encouraged to focus on temperament under novel, risky or challenging conditions for this reason, but also because they are determinant for the differential survival and reproduction of individuals.

Temperament traits can often be misleadingly interpreted as bimodal variables, although individuals will often vary along a continuum with two extremes represented as tendencies (shy-bold: Wilson *et al.*, 1994; proactive-reactive: Koolhaas *et al.*, 1999). For example, boldness represents the way an individual reacts to a risky situation, with the boldest individuals taking the most risks and shyest ones avoiding risks the most. Here “boldest” and “shyest” are two extreme expressions of boldness rather than two entirely different traits. Various degrees of boldness from very shy to very bold as shown by different individuals are thus considered temperament phenotypes for boldness, whereas boldness is the temperament trait. The bimodal idea of temperament seems to be related to the methodological approach used by some disciplines. For example, the comparison of two strains artificially selected for high and low temperament trait values (see Koolhaas *et al.*, 1999; De Boer, van der Vegt & Koolhaas, 2003; Drent, van Oers & van Noordwijk, 2003) will represent the two extreme cases of the continuum present in the original population.

We consider temperament as different from an idiosyncrasy, or a behavioural attribute distinctive to an individual. For example, the fact that a particular cat prefers corn is an idiosyncrasy not a temperament difference. Idiosyncrasies are difficult to study because of their anecdotal nature and we do not consider them further here. Temperament traits should also be distinguished from motivational states (e.g., hunger level; Koolhaas *et al.*, 1999) and from ability traits (e.g., cognitive ability; Winter & Barenbaum, 1999), though an interaction between these factors will be involved in the expression of a behaviour pattern. For example, an individual of poor competitive ability may be prompted by hunger to be more exploratory, less neophobic, or to take more risks, than it would ordinarily (Reader & Laland, 2003).

## III. WHY HAVE ECOLOGISTS AND EVOLUTIONARY BIOLOGISTS NEGLECTED TEMPERAMENT?

The potential importance of animal temperament to both applied and theoretical studies of ecology and evolution has been widely recognised (e.g., Stamps, 1991; Wilson *et al.*, 1994; Sih *et al.*, 2004a, b), yet relevant empirical studies are generally few and far between (but see Section III.4). Here, we analyse possible reasons for this apparent neglect, evaluate their importance, and note remedies to some of the concerns of ecologists and evolutionary biologists.

### (1) Inconsistent terminology, perceived irrelevance and lack of generalization

Temperament traits have not known the conceptual success of life-history traits (e.g., growth, fecundity, longevity, reproductive success) in evolutionary biology (Roff, 2002). Life-history traits can be measured without ambiguity (e.g., number of eggs to measure fecundity), are comparable among species (longevity in a mouse and an elephant are measured on the same scale), and show a more direct and apparent link with fitness (e.g., the higher the reproductive success the higher the fitness), and thus appear to have strong ecological and evolutionary relevance. A newcomer to temperament studies, however, is frequently faced with a multitude of terms, tests and measures, generally developed with humans in mind (Groothuis & Carere, 2005). The same trait can be measured by different methods, and the same methods have been used in different fields to measure different traits (Gosling, 2001). For example, boldness can often be associated with the reaction of an animal to a novel object, to a predator, or to a conspecific. Furthermore, experimental protocols are often designed in such a way that it is difficult to understand which behaviour is actually measured. For example, novel environment tests have been criticized because locomotion in forced tests may also reflect behaviour unrelated to exploration (Barnett & Cowan, 1976; Renner, 1990; Hughes, 1997); namely activity (Russell, 1983), emotionality (Archer, 1973), fearfulness (Boissy, 1995), or neophobia (Greenberg, 1992). Ecologists may be reluctant to use terms such as anxiety, emotionality or fear because they make inferences about underlying psychological mechanisms. This reluctance could be reinforced by the fact that terms and methodology used to study temperament have little clear ecological relevance.

Historically, most personality research has focused on humans and has been conducted by psychologists (Gosling, 2001) or behavioural geneticists (Kagan, Reznick & Snidman, 1988; Eley & Plomin, 1997; Plomin & Caspi, 1999). Despite the success of human personality research, the predominant focus on humans creates some problems (Groothuis & Carere, 2005). Expanding concepts to include animals risks anthropomorphism, and presently it is not clear to what extent discoveries regarding human temperament relate to animals. For example, terms like ‘fear’ or ‘anxiety’ may not correspond to the same psychological state in rodents and humans (Kagan & Snidman, 2004). Moreover, human

personality research itself is considered to have a low scientific status, because of its reliance on correlational evidence, the central role played by subjective instruments such as questionnaires, and a historical tendency to describe personality structure rather than examining underlying mechanisms (Pickering & Gray, 1990). For example, the five-factor model of human personality provides a useful and necessary taxonomy of personality traits, organizing traits along five personality dimensions (Costa & McCrae, 1992; Digman, 1990; Winter & Barenbaum, 1999). The neurology or genetics behind personality structure, however, remains poorly understood (Pickering & Gray, 1990). Although findings such as correlations between human health, mortality, and personality type (Martin, 1998) may act as a useful heuristic for animal studies, it is the causal models of underlying process in humans that will be of maximal value to students of animal temperament. Furthermore, the increasing number of studies showing the central role of the brain serotonin system on temperament in diverse taxa (see below) raises the possibility that findings regarding temperament may be generalizable across species.

Research on non-human animal temperament has typically occurred in the laboratory on domesticated rat and mice strains (Koolhaas *et al.*, 1999), or on the farm with domestic animals (Grandin, 1998; Price, 1999), and the links to reproductive success in natural conditions remain ambiguous. For instance, can observation of a domestic rat in a laboratory open-field test tell us anything significant about its behaviour and ecology in the wild? Many authors consider that this assumption of ecological and evolutionary significance is valid (Boissy, 1995; Koolhaas *et al.*, 1999). Although we agree that laboratory measures may correspond to ecologically relevant traits, we believe that these concerns are best addressed by the empirical study of temperament from an ecological viewpoint.

## (2) Reluctance to take an individual-based approach

Evolutionary biologists have long been interested in individual differences (Darwin, 1859), but often regard individual variation within a population as the raw material on which selection acts rather than an end product of natural selection (Clark & Ehlinger, 1987; Wilson, 1998; Magurran, 1993; but see Mousseau, Sinervo and Endler, 2000). The optimality approaches of behavioural ecology, for example, often consider individual differences as noise around an adaptive mean (Wilson, 1998; Dall *et al.*, 2004). Such thinking leads to a neglect of whether, how, and why individuals differ in their behaviour (Clark & Ehlinger, 1987; Magurran, 1993; Wilson, 1998; Sih *et al.*, 2004a). Since many studies use only those individuals that are easily trained, many optimal foraging studies may be based entirely on certain behavioural types, perhaps not representative of the overall characteristics of a natural population. Both the difficulties in marking and following individuals for substantial amounts of time, as well as a fear of pseudoreplication may also lead to a reluctance towards

focussing on individual differences. For these reasons, many behavioural studies use one observation per individual observed, an average value over several observations, or use repeated-measure ANOVAs and focus on the between-subject component. Recent progress in mixed-model methods may increase the use of individual-based approaches (Diaz-Uriarte, 2002; see also Section IV.2).

## (3) The lack of a general framework

Perhaps the lack of interest in temperament traits from ecologists and biologists is only apparent. Indeed the abundant literature on anti-predator behaviour and aggression proves that some temperament traits have a successful history of research. A cursory literature survey illustrates the disequilibrium between the strong impact of some temperament traits taken individually in ecology and the near absence of ecological studies using temperament as a concept. We examined 13 journals from 1989 to 2004 (*American Naturalist*, *Animal Behaviour*, *Behavioral Ecology and Sociobiology*, *Behaviour*, *Behavioural Ecology*, *Ecology*, *Ethology*, *Evolution*, *Journal of Animal Ecology*, *Journal of Evolutionary Biology*, *Oikos*, *Oecologia* and the *Proceedings of the Royal Society of London Series B*). Overall 877 references included the key word “aggression”, 58 “aggression” and “fitness”, but only seven “aggression” with “personality”, “temperament”, or “boldness”. This suggests that despite the strong interest in aggression, aggressiveness is not explicitly considered to be a temperament trait by ecologists. Recent contributions (Sih *et al.*, 2004a, b), however, provide a strong conceptual basis for the ecological and evolutionary study of sets of correlated behaviours, such as temperament traits, and this, we hope, may inspire research.

## (4) Evidence for the ecological importance of temperament

Despite the situation described above, several pioneering works, briefly reviewed here, demonstrate the utility of integrating temperament within evolutionary ecology research programs.

Thirty years ago, Huntingford (1976a) published a landmark paper documenting differences in aggressiveness, exploratory behaviour, and anti-predator behaviour in three-spined sticklebacks *Gasterosteus aculeatus*. She exposed the same individuals to a series of behavioural tests, and showed that individuals differed consistently in aggressiveness, that aggressiveness correlated across contexts (individuals that were relatively aggressive towards conspecifics were also relatively aggressive towards heterospecifics), and that individual behaviour was consistent across situations (relatively aggressive individuals were also relatively bold). This was one of the first demonstrations of a behavioural syndrome. Common environment (Giles & Huntingford, 1984; Huntingford *et al.*, 1994) and quantitative genetics studies showed substantial heritable variation in aggressiveness, and strong genetic correlations between components of the syndrome (Bakker, 1986, 1994). Huntingford's work demonstrated how differences in predator regime between populations could shape temperament (Huntingford *et al.*, 1994).



Riechert & Hall (2000) found that individual desert spiders *Agelenopsis aperta* differed in their anti-predator behaviour and aggressiveness depending on their habitat type. In a resource-limited, highly competitive, arid habitat, spiders are aggressive towards both conspecifics and prey, and bold towards predators. In a food-rich, non-competitive, riparian habitat where predation by birds is high, spiders are shy and non-aggressive. This population difference in behaviour has a genetic basis (Riechert & Maynard Smith, 1989; Hedrick & Riechert, 1989), with these traits being genetically related to each other (Riechert & Hedrick, 1993). Furthermore, transplant experiments showed that the population divergence was favoured by natural selection (Riechert & Hall, 2000).

During the 1990s, Verbeek, Boon & Drent (1996) showed that wild great tits *Parus major* raised under controlled laboratory conditions differed consistently in boldness towards novel objects and exploration of a novel environment, and that these traits and aggressiveness were correlated. Following this discovery, extensive further investigation resulted in one of the most complete examples of the ecological importance of temperament (see Fig. 2B). Artificial selection experiments (Drent *et al.*, 2003; van Oers *et al.*, 2004c; Groothuis & Carere, 2005; Carere *et al.*, 2003) and field studies (Dingemanse *et al.*, 2002) permitted analysis of the influence of additive genetic, dominance, and environmental effects on individual differences in behaviour, and showed the presence of strong genetic correlations among these traits (van Oers *et al.*, 2004a). In the wild, personality has been shown to affect several traits of ecological importance: dominance (Dingemanse & de Goede, 2004), natal dispersal (Dingemanse *et al.*, 2003), territory quality and reproductive performance (Both *et al.*, 2005), survival (Dingemanse *et al.*, 2004), offspring recruitment (Dingemanse *et al.*, 2004), and physiological responses to social stress (Carere *et al.*, 2001, 2003). Substantial selection pressures on these traits indicated the importance of temperament traits on the way wild animals cope with fluctuating environmental conditions (Both *et al.*, 2005; Dingemanse *et al.*, 2004).

There has been a long tradition of temperament studies in primatology. For example, S.J. Suomi, J.D. Higley, and their collaborators followed semi-free ranging rhesus macaques *Macaca mulatta*, providing an impressive portrait of the role of serotonin in the social interactions, life history and ecology of both sexes. Males and females with low cerebrospinal fluid (CSF) levels of 5-hydroxyindoleacetic acid (5-HIAA; a measure of serotonin activity) are impulsive and more likely to take risks (measured as the frequency of capture or of dangerous leaps through the forest canopy: Mehlman *et al.*, 1994; Westergaard *et al.*, 2003b). These males are more aggressive (Mehlman *et al.*, 1995; Higley *et al.*, 1996), less socially affiliative (measured as grooming duration and proximity to group members), emigrate from the natal group earliest, and suffered higher mortalities, as compared to males with high levels of 5-HIAA (Mehlman *et al.*, 1994, 1995; Higley *et al.*, 1996). Low-5-HIAA males are seen less often consorting with oestrus females or involved in heterosexual mounts and insemination (Mehlman *et al.*, 1997). Low-5-HIAA females are more protective of their infants and have a lower reproductive success associated

with infant early mortality (Cleveland *et al.*, 2003; Westergaard *et al.*, 2003a). Thus, a suite of variables correlates with 5-HIAA concentration, which is known to be heritable in rhesus macaques (Higley *et al.*, 1996) and appears to correlate with species differences in aggression (Westergaard *et al.*, 1999). The influence of serotonin on aggression has been shown in non-human primates (Mehlman *et al.*, 1995; Higley *et al.*, 1996), and also humans (Coccaro *et al.*, 1997), as well as many other vertebrates (Nelson & Chiavegatto, 2001; Miczek *et al.*, 2002).

#### IV. INTEGRATING TEMPERAMENT WITHIN ECOLOGICAL AND EVOLUTIONARY STUDIES

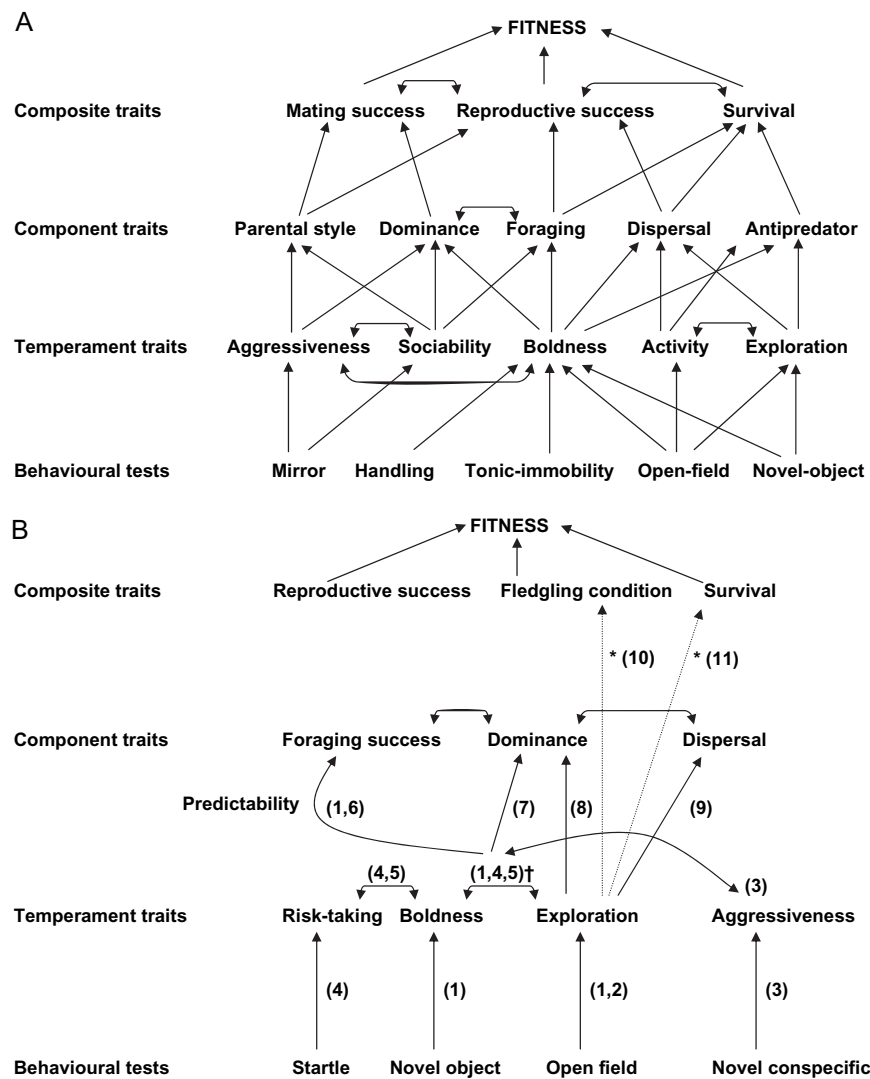
We now review potential benefits of an evolutionary ecology approach to the study of temperament. Two of the most important questions regarding temperament in ecology and evolutionary biology are “Do temperament traits play an important ecological or evolutionary role?” and “Why do we observe between-individual variation in behaviour in natural populations?” To answer these questions temperament can be studied at different levels: among individuals within a population (e.g., Réale & Festa-Bianchet, 2003; Dingemanse *et al.*, 2004; Dingemanse & Réale, 2005), among populations within a species (e.g., Bell, 2005; Dingemanse & Réale, 2005), and among species (e.g., Mettke-Hofmann, Winkler & Leisler, 2002). We argue that temperament should be more often considered in routine ecological programs. Likewise, we offer a series of examples where temperament can provide innovative research objectives to ecologists.

For temperament to add explanatory value to studies of evolutionary ecology, ideally research should follow four steps (Table 2). Firstly, behavioural tests should be developed to provide appropriate and standardized temperament trait measures for the species studied. We expect to detect some variation, repeatability and heritability for the trait measured. Secondly, the tests should be validated by looking at the relationship between the behavioural trait and other trait measures. Relationships with physiological measures would help us understand the mechanisms driving variation at the behavioural level. Relationships with traits of ecological importance would help understanding of the ecological role of temperament traits. Third, linking temperamental variation with fitness differences within a population will allow estimation of the ecological and evolutionary roles of temperament. Finally, intra- and inter-species comparisons will provide ways of testing the ecological and evolutionary role of temperament and constraints on the evolution of temperament traits at a broader scale. We detail each of these steps below.

##### (1) Measuring temperament traits

When developing tests to measure temperament traits we expect to find three characteristics (Table 2) in the trait we measure: (a) phenotypic variation; (b) repeatability; and





**Fig. 2.** (A). Flow diagram illustrating the proposed framework for the ecology of temperament traits. Note that the arrows do not represent all possible links between variables. Arrows between tests and temperament traits indicate possible direct measurements. Note that some traits measured with a specific test may be affected by other traits. (B). An example that considers the great tit, *Parus major*. Single arrows indicate direct relationships between two variables; double arrows indicate correlations between variables. Dotted lines indicate that evidence is lacking for a mediating effect of any of the component traits.

\* Fitness consequences of temperament trait variation differ with year and ecological conditions.

† Given the strong correlation between 'boldness' and 'exploration', these traits should be regarded here as the same temperament trait. Note that we report the links made by the authors. Note that trait names used in the figure follow the terminology of the authors of the studies, rather than the terminology developed in the present paper. Numbers in figure: (1) Verbeek *et al.* (1994); (2) Dingemanse *et al.* (2002); (3) Verbeek *et al.* (1996); (4) van Oers *et al.*, (2004b); (5) van Oers *et al.* (2004a); (6) Marchetti & Drent (2000); (7) Verbeek *et al.* (1999); (8) Dingemanse & de Goede (2004); (9) Dingemanse *et al.* (2003); (10) Both *et al.* (2005); (11) Dingemanse *et al.* (2004).

(c) a genetic basis (i.e., heritability) for the trait variation. Evolutionary ecologists are mainly interested in the mechanisms that drive the evolution of wild species, thus raising the question of the genetic basis and heritability of temperament traits.

#### (a) Variation

We would like to encourage the reader to use specific, unambiguous tests designed clearly to address each of the

five temperament traits defined in Section II.2. For instance, following the proposed terminology, a test measuring boldness ideally should avoid any component of novelty or should take into account the effects of the change in novelty on the expression of boldness. Our choice of restricting the terminology to the five traits described in Section II.2, is based on the importance of avoiding possible redundancy between the terms commonly used in studies on temperament (i.e., timid = shy; affable = sociable; see the review by Gosling, 2001), which may have been

Table 2. The four-step program on the ecological role of temperament

Goals	Expected results	Explanations for unexpected results
1. Developing experimental tests to measure temperament traits (behaviour)	(a) Variation among individuals within a population (b) Repeatability of temperament trait (c) Heritability of temperament trait	Strong canalizing selection on the trait, which has completely eroded the genetic and phenotypic variance for the trait. All the individuals show exactly the same reaction. Inappropriate test; does not generate individual variation, because of the presence of Genotype x Environment interaction combined with the absence of variation for the trait in the specific ecological situation tested (e.g., the experimental situation is too overwhelming for the animals or motivational state differences are not adequately controlled).
2. Validating tests	Phenotypic/genetic correlation between behavioural measures and other measures (hormones, neurotransmitters, physiological variables) Experimental validation (i.e., ecological engineering) Phenotypic/genetic correlation with other traits of ecological importance (e.g., dispersal, dominance, territory size)	Tools and techniques not precise enough to detect a link between behavioural and physiological variation. Other physiological variables should be used. Minor or no ecological or evolutionary role of temperament traits within the conditions of the study.
3. Linking temperament traits with fitness	Correlation with fitness indices (e.g., survival; reproductive success)	Temporal variability in selection pressures More fitness-related traits are required by the analyses Minor or no ecological or evolutionary role of temperament traits within the conditions of the study.
4. Broad-scale patterns of variation and covariation in temperament traits (e.g., intra- or inter-species comparisons)	Relationship between particular ecological conditions and temperament	Habitat-dependent ontogenetic effects on temperament, relationship is lost in common-garden experiment Minor or no ecological or evolutionary role of temperament traits within the conditions of the study.

a constraint on the development of ecological research on temperament.

Measurements of temperament traits should be based on experiments that are designed to specifically exclude non-target behaviours. For instance, fear of novel objects or food should be measured in a familiar environment (e.g., a home cage) to ensure that the measured behaviour does not reflect exploration of the environment but rather behaviour directed to the novel challenge. In order to avoid correlations between behaviours solely caused by the experimental arrangement, standardized methods are required to measure different axes of temperament that may often be correlated (e.g., boldness, exploration, activity). For example, various studies have shown that 'boldness' and 'exploratory behaviour' are correlated (e.g., Huntingford, 1976b; Verbeek, Drent & Viepkema, 1994) and concluded that temperament is context-general (Kagan *et al.*, 1988; Coleman & Wilson, 1998). Such correlations between different behaviours, however, may reflect side-effects of the experimental set-up, particularly if all behavioural tests were conducted in a novel environment. For instance, the correlation between predator-inspection behaviour, aggressiveness and exploratory behaviour in three-spined stickleback (Huntingford, 1976b) may not reflect inter-trait

correlations but rather individual consistency in fear towards an unfamiliar aquarium (Maier, Vandenhoff & Crowne, 1988; Budaev, 1997).

We encourage researchers to consider more than one measure of each temperament category (Table 3), just as an organism's body size can be measured using several measures. For example, one could measure boldness by using predator or handler tests following a trappability test, and then test for the redundancy between indices by estimating the strength of the correlation between these two measures. For example, early exploratory behaviour, a combined score for boldness and exploration in great tits (Drent *et al.*, 2003), is genetically highly correlated ( $r = 0.85$ ) with so-called risk-taking behaviour (van Oers *et al.*, 2004a). Van Oers *et al.* (2004a) interpret this strong genetic correlation as evidence for strong associations between different personality traits, although one could argue that the strong genetic correlation suggests that these different behavioural tests are all measures of the same trait. If it became clear that the two indices are not related, we would have to expand our terminology. Alternatively, when the two indices are strongly related, the use of different tests would validate the measures, and therefore the use of a combined index (e.g., from a principal components

Table 3. Summary of a terminological and methodological framework for the ecological study of temperament

Ecological situation	Temperament traits and extreme phenotypes	Tests <sup>1</sup>	Example of operational definition	Example of study	Examples of ecological validity (relationship with. . .)
Risk-taking	<b>Boldness</b> Bold, docile, tame, unfearful	Predator presentation test	Avoidance or inspection of the predator	Godin & Dugatkin (1996)	Risks of predation <sup>4,5</sup>
	Shy, untamed, fearful	Predator stimulus test	Startle response near a food patch and latency to return to the food source	Van Oers <i>et al.</i> (2004b)	Survival <sup>4</sup>
		Response to threat stimuli	Latency to enter a trap or probability of capture	Coleman & Wilson (1998)	Mating success in males <sup>4</sup>
		Trappability <sup>6</sup>	Tendency to fight, attack, or bite	Malmkvist and Hansen (2001)	Social status <sup>4</sup>
		Resistance to handlers / Trapezov stick test	Latency to move	Jones (1982)	Reproductive success <sup>4</sup>
		Tonic immobility test <sup>7</sup>		Erhard <i>et al.</i> (1999)	
Novelty <sup>2</sup> Habitat	<b>Exploration</b> <sup>3</sup> Exploratory, neophilic	Open-field test <sup>8</sup>	Distance covered / defecation and urination / time spent rearing	Hall (1934); Archer (1973)	Dispersal <sup>4</sup>
Object	Unexploratory, neophobic	Novel environment test	Same as open field / per cent of time spent with the head in the holes	File & Wardill (1975)	Reproductive success <sup>4</sup>
Food		Hole-board test Novel object test	Latency to approach a novel object close to a food source. Per cent of time spent in contact with the object	Greenberg (1984)	Risks of predation <sup>4,5</sup> Survival <sup>4</sup> Dietary and habitat characteristics <sup>5</sup> Behavioural flexibility <sup>5</sup>
General activity	<b>Activity</b> Active Inactive	Open-field test / cage activity test	Distance covered	Wilson <i>et al.</i> (1976)	Dispersal <sup>4</sup> Risks of predation <sup>4,5</sup>
Social	<b>Sociability</b> Social, sociable	Separation test	Reaction to separation from the group / latency to join the group when isolated	Faure & Mills (1998)	Reproductive success <sup>4</sup>
	Asocial				Parasitic load <sup>4</sup>
	<b>Aggressiveness</b> Aggressive	Mirror image stimulus <sup>9</sup>	Aggressive display or contact	Armitage (1986)	Competitive abilities (food, space, territory, mate) <sup>4</sup>

Table 3 (cont.)

Ecological situation	Temperament traits and extreme phenotypes	Tests <sup>1</sup>	Example of operational definition	Example of study	Examples of ecological validity (relationship with...)
	Non-aggressive	Social interactions / diadic encounters	Reaction to the presence of a conspecific / latency of attack / solicitation of interactions	Benus & Rondigs (1996) / Verbeek <i>et al.</i> (1994)	Survival <sup>4</sup> Reproductive success <sup>4</sup> Offspring survival <sup>4</sup> growth, dominance status, social behaviour, risks of wounds <sup>4,5</sup> Social system

<sup>1</sup> Example of tests most often used in an ecological context (in the laboratory and in the field).

<sup>2</sup> We have regrouped all the temperament components related to new habitat/object/food under the same term exploration. The logic is that new food items or new objects are new elements of an individual's environment that may not be differentiated from other new elements of the environment. Exploration (e.g., how much time it takes for an individual to be in contact with a novel object or new food source) is the trait of interest for ecologists; exploration can be decomposed into component traits such as neophobia and neophilia (which may be the traits of interest for other disciplines), but the relevant trait for ecologists is how much time it takes for an individual to be in contact with a novel object.

<sup>3</sup> Some traits such as dominance, leadership, producer/scroungers, dispersal are not considered themselves temperament traits but are emergent properties of temperament and other traits.

<sup>4</sup> Intraspecific analysis.

<sup>5</sup> Interpopulation or interspecific comparisons.

<sup>6</sup> Latency to enter a trap for the first time can be considered as an index of exploration.

<sup>7</sup> 'Tonic immobility' is a catatonic-like, death feigning, anti-predator response (see Heblum *et al.*, 1998).

<sup>8</sup> If the animal is observed a large number of times in the open field, novelty should decrease and exploration can be replaced by boldness. However, with only one or two tests it may be hard to separate exploration from boldness with an open field if they correspond to two different traits.

<sup>9</sup> Mirror image stimulation test can also provide information on sociability of individuals (see Armitage 1986).

analysis) would be preferred (for example Drent *et al.*, 2003). Another issue is absence of variation in response to a test (Table 2). For example, in a novel-object experiment Eastern chipmunks (*Tamias striatus*) showed no reaction to a small ball (D. Réale, unpublished results). They continued their foraging routine, and foraged without exploring the ball or even pushed the ball away in order to collect the seeds underneath. When tested with a pair of wool socks, however, chipmunks showed longer and variable latencies to approach the food in the presence of the object. Both objects were "new" to the chipmunks, although given its shape and structure, the pair of socks may have carried information representing "predatory" cues for the chipmunks that a spherical, smooth ball does not. Some tests may also create a situation that is too overwhelming for all the individuals tested, thereby not showing any variation among them. This kind of situation may not be exceptional, but may be rarely reported by scientists, who tend to select tests that generate variation. Absence of variation could also be caused by the presence of genotype x environment (GxE) interaction with no variation at the specific ecological situation of the test (Table 2). This would imply that past selection pressures have eroded the genetic and phenotypic variance of the trait within the range of ecological conditions tested. Running the test in different ecological situations may be a way to reveal any GxE for the trait (see below).

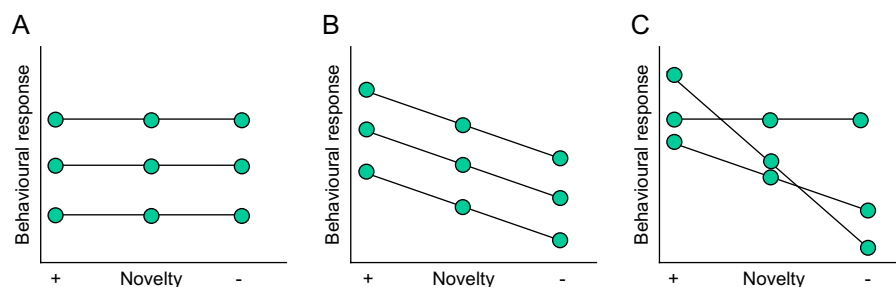
#### (b) Repeatability (*r*)

Repeatability can be used as a first estimate of individual consistency in a trait (Boake, 1989; Falconer & Mackay, 1996). Repeatability illustrates how strong the individual consistency for the trait is in the population and is the proportion of phenotypic variation explained by the variation among individuals (Falconer & Mackay, 1996). It can be obtained by running an analysis of variance with individuals included as a fixed factor, when a minimum of two measures of the trait for each individual have been taken (Falconer & Mackay, 1996). It can also be obtained from a mixed model where individual is a random effect (Diaz-Urriarte, 2002). Repeatability is a unit-free standardized measure that can readily be compared among samples (e.g., populations, species). Low repeatability for a temperament trait could be observed if, for example, the conditions chosen for the experimental test do not generate behavioural variation (i.e., all individuals react in the same way to the experimental set-up; Table 2) or by high within-individual variation relative to among-individual variation. When we estimate repeatability we assume that the environment in which the replicated measures have been taken is constant. Though seemingly similar to the experimenter, however, successive replications of a test for the same individual may differ due to micro-environmental effects, thereby changing the expression of the behaviour (Henderson, 1990). Furthermore, learning and habituation may affect the novelty aspect of an open-field test replicated several times (Greenberg & Mettke-Hofmann, 2001; Wahlsten, 2001). For example, great tits were quicker to explore a novel environment when confronted with it

a second time and this effect is stronger when the animal is tested shortly after its initial test (Dingemanse *et al.*, 2002). In the same way, the latency of an individual to enter a trap may decrease with repeated exposure because the trap loses its novel dimension. Habituation may be circumvented by exposing subjects to different types of novel objects (e.g., Verbeek *et al.*, 1994), although repeated exposures to different novel objects may also lead to habituation, if the animal can habituate to novelty itself, as opposed to particular novel properties. The test will thus no longer represent the same challenge to the subject. Disentangling the effects of novel and non-novel components of an object/habitat on the reaction of an individual implies that studies on temperament should not restrict measures to one condition, but should also integrate environmental variation and temporal dimensions (e.g., with learning; Seferta *et al.*, 2001). A reaction norm approach could be helpful to circumvent such difficulties: it represents the phenotypic variation of genotypes (here as individuals) across an environmental gradient (here as novelty; Fig. 3). By running a mixed-model analysis using several replicated measures of a trait for each individual it is possible to estimate individual variation for that trait (individual is used as a random effect), and the general effect of the environment (for example the rank of the trial is used as a fixed effect). In addition, an interaction between trial and individual would indicate that individuals differ in the way they respond to the experimental arrangement with experience (Fig. 3). The absence of a significant interaction would indicate that all the individuals habituate at the same rate. This absence of interaction, combined with significant individual variation for the temperament trait, also indicates a potential behavioural syndrome where individual experience is the environmental situation (Sih *et al.*, 2004b). The reaction norm approach can be generalized to any other gradient (e.g., importance of the risk; number of competitors; age of the individual; seasonal variation).

### (c) Heritability

Repeatability is assumed to set an upper limit to heritability (Boake, 1989). High repeatability and individual consistency can also come from several other non-genetic sources: maternal effects; common environmental effects and individual histories; experience; learning; and the environmental conditions specific to each individual (Falconer & Mackay, 1996). Repeatability provides initial evidence that among-individual variation is caused by factors intrinsic to the individual, but does not allow for separation of the genetic and non-genetic components of the variance in a temperament trait. Heritability ( $h^2$ ) is a standardised index of the proportion of phenotypic variance explained by additive genetic variance of the trait, and represents its evolutionary potential (i.e., the ability of a trait to change its mean and distribution across generations, as a result of selection; Falconer & Mackay, 1996; Roff, 1997; Lynch & Walsh, 1998). Because temperament corresponds to individual behavioural differences that are consistent over time and across situations, heritability should occupy a central position in the study of temperament. Most studies to date have been conducted under laboratory conditions and in many cases were explicitly aimed at excluding rather than studying environmental sources of variation. Other sources of variation of temperament traits, particularly ontogenetic changes or those induced by the environment (Markowitz *et al.*, 1998; Lowe & Bradshaw, 2001; Maestripieri, Tomaszycki & Carroll, 1999) have largely been neglected. Examples of non-genetic sources of between-individual variation are maternal influences on offspring temperament mediated *via* variable transmission of androgens in the yolk of bird eggs (Schwabl, 1993) or in the effects of intrauterine testosterone on the behaviour and life histories of female rodents (Compaan *et al.*, 1992; Clark & Galef, 1995). Examples of within-individual sources of variation in temperament include seasonality in birds (Dingemanse *et al.*, 2002), ontogenetic changes in behaviour of birds



**Fig. 3.** A reaction norm approach to the study of temperament that aims to disentangle exploration from boldness, when experience or habituation can affect the individual response to a test. Each line represents the behavioural response of a given genotype, or individuals experiencing the experimental arrangement three consecutive times (three points). With this approach we assume that the animals experience a decline in novelty. In A, genotypes vary in their response to the experimental arrangement, but do not perceive the change in novelty (i.e., the reaction norm is flat and phenotypic plasticity is null); in this case exploration and boldness are identical. In B, behavioural responses decline with time, indicating phenotypic plasticity, but all the genotypes react in the same way (i.e., null G $\times$ E interaction). In this case exploration and boldness are different overall; they can be considered as genetically identical (genetic correlation between exploration and boldness equals 1). In C, genotypes differ in how fast they change their response to the experimental arrangement with experience (i.e., G $\times$ E occurs). In this case, exploration and boldness are two different traits.

(Carere *et al.*, 2003), laboratory rodents (Koolhaas *et al.*, 1999) and wild marmots (Armitage & Van Vuren, 2003), and learning in fish (Huntingford *et al.*, 1994). If not considered, all these effects may bias the estimate of heritability. For example, individual variation in the rate of habituation will generate uncontrolled GxE interaction, compromising estimation of temperament trait heritability.

Classical breeding experiments and analyses of variance (ANOVAs) (see Falconer & Mackay, 1996; Roff, 1997; Lynch & Walsh, 1998), or artificial selection experiments (Trut, 1999; Drent *et al.*, 2003), provide a way of measuring the genetic basis of a trait in the laboratory. A recent statistical method, the “animal model”, is particularly promising for studies of temperament traits in natural populations (Réale, Festa-Bianchet & Jorgenson, 1999; Kruuk, 2004; see Bell, 2005, for application to temperament) as it is appropriate for complex pedigrees (Lynch & Walsh, 1998). Methods that permit us to estimate which part of the phenotypic variance in a temperament trait is caused by additive genetic, maternal variance, common environmental or permanent environmental effects would provide valuable information on the mechanisms at the origin of temperament differences within a population. For instance, a strong permanent environmental variance of a temperament trait would indicate that a large portion of the individual variation for that trait was generated during the early life of the individual, but has neither a genetic basis, nor is the result of maternal influence. In the same way, a strong common environmental effect would illustrate the possibility that the environment in which an individual is reared influenced its temperament. When the data collected on a temperament trait involve replicated tests, seasonal variation, ontogenetic effects, or environmental differences, a mixed model with genotype as a random effect and with trial, season, age, or environment as a fixed effect will allow for accurate assessments of heritability, phenotypic plasticity, and GxE of that trait. Mixed-model ANOVAs with full-sib or a half-sib design (Fry, 1992), or with recent advances in random regression using the animal model (Schaeffer, 2004) could be used for estimating these parameters.

## (2) Validating measures of temperaments traits

### (a) Biological and ecological validity

For logistical and methodological reasons, temperament traits should be measured in an experimental context as we can rarely measure them by direct observation. Furthermore, the need for large sample sizes for estimation of heritabilities or selection pressures, means tests should be rapid and easy to perform. Direct observation of individuals and their ranking according to a predetermined scale has, however, been used by psychologists (Gosling, 2001) but can be criticised because of the subjectivity of the interpretation of observations. The experimental approach is open to criticism since it places an animal in a situation that is irrelevant to its natural conditions. Nevertheless, in the experimental approach, we are more interested in differences between individuals in a broader context, than the

behaviour in the experimental context *per se*. For instance, we assume that the behaviour of the mouse in an open field reveals its reactions to a new and open environment and thus its exploratory tendencies.

When possible, testing the biological and ecological validity of the test by looking at the relationship between the temperament trait and physiological traits (i.e., biological validation) or ecologically important traits (i.e., ecological validation) is recommended. Biological validation allows determination of the mechanisms responsible for the variation in temperament. There is extensive evidence that behavioural variation in temperament may reflect underlying hormonal and neuro-endocrine variation among individuals (Bohus *et al.*, 1987; Boissy, 1995; Koolhaas *et al.*, 1999; Kagan & Snidman, 2004; Groothuis & Carere, 2005).

Ecological validation is important to temperament research, because it provides a way to integrate temperament traits in the ecological framework, and put temperament at the interface between ecological factors and selection. Results supporting this link will encourage ecologists to consider temperament in their studies. The link between a behavioural measure of temperament and an ecologically important trait can be studied by using phenotypic correlations between the two traits. For example, dispersal distance in the wild was related to experimental tests of exploratory behaviour in great tits (Dingemanse *et al.*, 2003) and killifish *Rivulus hartii* (Fraser *et al.*, 2001). It is also possible to compare an individual's behaviour measured in an experimental test (e.g., aggressive reaction to a mirror image) with observations in the same context in a more natural situation (e.g., rate of aggressive interactions). Such validation may yield intriguing insights as shown by a study on great tits where the correlation between dominance in the wild and experimental tests of exploratory behaviour (which predicts dominance in the laboratory: Verbeek *et al.*, 1994, 1999) was positive for territorial adults but negative for non-territorial juveniles (Dingemanse & de Goede, 2004). Experimental validation is also possible by manipulating the phenotype (e.g., through hormonal implants) of some individuals and by comparing their behaviour with a control group (i.e., phenotypic engineering: Ketterson & Nolan, 1999; see below). Alternatively, ecological validation can be obtained by estimating the genetic correlation between the measured traits and the ecologically important trait. An array of methods is available to estimate genetic correlations between temperament traits [breeding designs: Lynch & Walsh (1998); artificial selection: van Oers *et al.* (2004a); pedigree analyses and the animal model: Kruuk (2004)].

### (b) Behavioural syndromes

The concept of genetic correlation is central to defining a trait and whether two measurements can be considered as one unique trait or as two different traits. The genetic correlation between two characters or between measures of a character in two different environmental conditions gives a standardised index of the degree to which the covariance of the two characters is governed by a common set of genes, generally caused by pleiotropy or linkage disequilibrium

(Via & Lande, 1985; Roff, 1997; Lynch & Walsh, 1998). From a mechanistic point of view, a strong positive genetic correlation would indicate common genetic and physiological pathways between the two traits (Crusio, 2001; Sih *et al.*, 2004a, b). Alternatively if the correlation is negative, it would reflect the competition for resources allocated to each trait (Roff, 1997). From an evolutionary point of view, a strong genetic correlation illustrates past co-evolution between the traits (Roff, 1997; Lynch & Walsh, 1998), and will prevent independent evolution of both traits (Roff, 1997; Lynch and Walsh, 1998; Sih *et al.*, 2004a, b). An evolutionary and ecological approach to the study of temperament will thus include estimates of phenotypic and genetic correlations between the traits (Sih *et al.*, 2004b).

Genetic and phenotypic correlations are appropriate for testing for the presence of a behavioural syndrome. On the other hand, a behavioural syndrome can still occur even in the presence of GxE effects. For example, a weak GxE interaction corresponds to a strong genetic correlation across the two environments, and a genetic correlation of 1 can still be found with GxE effects if genetic variances differ in the two environments. Evidence of genetic correlation between temperament traits and other life-history or morphological traits (Table 4) indicates the ecological and evolutionary importance of temperament traits.

The extent to which temperament traits are independent or form part of a behavioural syndrome is a controversial and important issue (Wilson *et al.*, 1994; Koolhaas *et al.*, 1997, 1999; Sih *et al.*, 2004a, b). It is critical to the understanding of the phenotypic organisation, genetic structure and evolutionary history of temperament traits and to determining the generality of findings across contexts, populations, and species. On one hand, implicit in the concepts of behavioural syndromes and coping styles (Koolhaas *et al.*, 1997, 1999; Sih *et al.*, 2004b; Groothuis & Carere, 2005) is the existence of a set of related behavioural and physiological traits. On the other hand, this integrated view of temperament has been challenged by the hypothesis that divergent and context-specific selection pressures could favour the evolution of context-specific temperament traits, with weak phenotypic or genetic correlations across contexts (Wilson *et al.*, 1994; Wilson, 1998). Both hypotheses have been supported by empirical studies on various models (presence of a behavioural syndrome: Riechert & Hedrick, 1993; Benus & Rondigs, 1996; Groothuis & Carere, 2005; Sih *et al.*, 2004a; absence of a behavioural syndrome: Spooler *et al.*, 1996; Coleman & Wilson, 1998; Réale *et al.*, 2000; D'Eath & Burn, 2002; Bell, 2005).

The nature of the outcome may depend on several possible explanations. In support of the behavioural syndrome hypothesis, a common neuroendocrine system is known to mediate a whole suite of behaviour patterns (Boissy, 1995; Bucan & Abel, 2002). Furthermore, alternative coping strategies result from individual differences in reactivity of the sympathetic nervous system (high in active copers) and the hypothalamic-pituitary-adrenal axis (high in passive copers) in response to stress (Koolhaas *et al.*, 1997, 1999), indicating that fundamental differences in neuroendocrinological and behavioural organisation underlie variation in animal personality (Bohus *et al.*, 1987; Boissy, 1995;

Groothuis & Carere, 2005). This gene-neurophysiology-behaviour pathway should be illustrated by strong phenotypic and genetic correlations between the temperament traits studied whether these are different traits or a given trait measured in different contexts (Table 4; see also Sih *et al.*, 2004a, b). The reason why behavioural traits and temperament are mediated by a common neuroendocrine system is not well understood. We can advance three reasons: (1) the evolution of a large number of neurophysiological systems would be costly, thereby creating a strong constraint on the diversity of possible behavioural responses and thus a strong integration between temperament traits. Overall, a few neurophysiological systems appear to play an equivalent role in many different invertebrate or vertebrate organisms (e.g., serotonergic and dopaminergic systems; Koolhaas *et al.*, 1999; Gosling 2001; Libersat & Pflueger, 2004); (2) the homeostasis of the organism necessitates feedbacks and controls at the neurophysiological level, and this can only be done with a limited set of neurophysiological systems. The evolution of gene-neurophysiology-behaviour pathways would thus be limited to a small number of well-integrated systems; (3) traits involved in a given function co-evolve, and are thus characterised by strong genetic correlations between them, suggesting the existence of functional, developmental, and evolutionary modularity of organisms (Cheverud, 1996; Wagner, 1996; see also the literature on cognitive modularity: Reader, 2006).

Explanations for the absence of behavioural syndromes are multiple. Such empirical negative findings may result from methodological limits to these studies; the fact that the relationship between the two traits has been cancelled out by their common relationship with another variable (Shipley, 2000), or from a lack of statistical power. As Wilson pointed out (Wilson *et al.*, 1994; Wilson, 1998), selection could lead to the independent evolution of temperament traits according to the context in which they are measured. Genetic correlation is assumed to constrain the independent evolution of the two traits, and a behavioural syndrome should in principle be constant within and across populations (Sih *et al.*, 2004b; Bell, 2005). Positive correlations between temperament traits, however, can be observed within a population but not across populations because of long-term differences in the selection regime of two populations on a combination of temperament traits (Bell, 2005), founder effects that create linkage disequilibrium at the origin of the correlation (Whitlock, Phillips & Fowler, 2002), gene flow, or genetic drift (Armbruster & Schwaegerle, 1996).

### (3) Linking temperament with fitness

We raised above the importance of past selection pressures on the organisation of temperament traits. Few attempts have been made to study temperament and its functional consequences in natural populations (Wilson *et al.*, 1994), meaning that the importance of temperament for fitness remains largely unknown (Clark & Ehlinger, 1987; Wilson, 1998; Dingemanse & Réale, 2005). Here we discuss methods to study the fitness consequences of temperament



Table 4. Examples of phenotypic and genetic correlations between temperament traits and other traits in animals. AS = genetic/artificial selection; BD = genetic/breeding design; PC = phenotypic correlation; LC = genetic line comparison (i.e., differences in temperament traits between lines artificially selected for the other trait). Quad + = positive quadratic; PSA = phenotypic selection analysis. “+” and “-” indicate positive and negative correlations between the two traits, respectively

Temperament trait <sup>1</sup>	Other traits	Relation	Approach	Species	Common name	Reference
<b>Reproduction</b>						
Anti-predator	Mating song	—	PC	<i>Gryllus integer</i>	Field cricket	Hedrick (2000)
Boldness	Mating success <sup>2</sup>	+	PC	<i>Poecilia reticulata</i>	Guppy	Godin & Dugatkin (1996)
Boldness	Age at sexual maturity	—	PC	<i>Ovis canadensis</i>	Bighorn sheep	Réale <i>et al.</i> (2000)
Boldness	Lifetime reproductive success	+	PC	<i>Ovis canadensis</i>	Bighorn sheep	Réale <i>et al.</i> (2000)
Boldness	Hatching success	—	PC	<i>Euprymna tasmanica</i>	Dumpling squid	Sinn <i>et al.</i> (2006)
Docility	Age at sexual maturity	—	PC	<i>Ovis canadensis</i>	Bighorn sheep	Réale <i>et al.</i> (2000)
Tameness	Age at sexual maturity	—	AS	<i>Mustela vison</i>	Mink	Klotchkov <i>et al.</i> , (1998)
Tameness	Fecundity	+	AS	<i>Mustela vison</i>	Mink	Klotchkov <i>et al.</i> , (1998)
Tameness	Onset of oestrus	+	AS	<i>Mustela vison</i>	Mink	Malmkvist <i>et al.</i> (1997)
Tameness	Length of gestation	—	AS	<i>Mustela vison</i>	Mink	Malmkvist <i>et al.</i> (1997)
Fearfulness	Reproductive status	—	PC	<i>Acinonyx jubatus</i>	Cheetah	Wielebnowski & Brown (1998)
Aggression	Maternal effort	—	AS	<i>Mus musculus</i>	Mouse	Benus & Rondigs (1996)
Aggression	Paternal behaviour	—	PC	<i>Cichlasoma nigrofasciatum</i>	Convict cichlid	Budaev <i>et al.</i> (1999)
Aggressiveness <sup>3</sup>	Breeding success	—	PC	<i>Diceros bicornis</i>	Black rhinoceros	Carlstead <i>et al.</i> (1999)
Exploration	Parental behaviour	+	PC	<i>Cichlasoma nigrofasciatum</i>	Convict cichlid	Budaev <i>et al.</i> (1999)
Exploration <sup>4</sup>	Litter size	+	AS	<i>Mus musculus</i>	House mouse	Rauw <i>et al.</i> (2000)
Exploration	Fertility	—	AS	<i>Oryctolagus cuniculus</i>	Rabbits	Daniewski & Jezierski (2003)
Exploration	Nest success	—	PC	<i>Parus major</i>	Great tit	Both <i>et al.</i> (2005)
Exploration	Offspring size	—	PC	<i>Parus major</i>	Great tit	Both <i>et al.</i> (2005)
Exploration	Fledging mass	— / +	PC	<i>Parus major</i>	Great tit	Both <i>et al.</i> (2005)
Exploration	Number of recruits	— / +	PC	<i>Parus major</i>	Great tit	Dingemanse <i>et al.</i> (2004)
Neophobia	Mothering ability	—	AS	<i>Ovis aries</i>	Domestic sheep	Kilgour & Szantar-Coddington (1995)
Activity <sup>4</sup>	Litter size	+	AS	<i>Mus musculus</i>	House mouse	Rauw <i>et al.</i> (2000)
<b>Growth</b>						
Docility	Weight gain	+	BD	<i>Bos taurus</i>	Domestic cattle	Gauly <i>et al.</i> (2001)
Tameness	Weight gain	+	PC	<i>Bos taurus</i>	Domestic cattle	Fell <i>et al.</i> (1999)
Tameness	Weight gain	+	PC	<i>Bos taurus/indicus</i>	Domestic cattle	Voisin <i>et al.</i> (1997)
Exploration	Weight gain	+	PC	<i>Rivulus hartii</i>	Trinidad killifish	Fraser <i>et al.</i> (2001)
Aggressiveness	Weight gain	— / +	PC	<i>Salmo trutta</i>	Brown trout	Höjesjö <i>et al.</i> (2004)
Aggressiveness	Weight gain	+	PC	<i>Salmo trutta</i>	Brown trout	Höjesjö <i>et al.</i> (2002)
Boldness	Weight gain	+	PC	<i>Gasterosteus aculeatus</i>	Threespined stickleback	Ward <i>et al.</i> (2004)
Boldness	Weight gain	+	PC	<i>Perca fluviatilis</i>	Eurasian perch	Westerberg <i>et al.</i> (2004)
Fearfulness	Body mass	—	AS	<i>Coturnix japonica</i>	Japanese quail	Jones <i>et al.</i> , (1991)
Exploration	Weaning mass	—	AS	<i>Oryctolagus cuniculus</i>	Rabbit	Daniewski & Jezierski (2003)
Neophobia/exploration <sup>4</sup>	Body mass	—	AS	<i>Coturnix japonica</i>	Japanese quail	Jones <i>et al.</i> (1991)
Boldness	Body size	—	PC	<i>Brachyraphis episcopi</i>	Poeciliid fish	Brown & Braithwaite (2004)
<b>Behaviour</b>						
Fearfulness	Tameness	—	AS	<i>Coturnix japonica</i>	Japanese quail	Mills & Faure (2000)
Sociability	Tameness	+	AS	<i>Coturnix japonica</i>	Japanese quail	Mills & Faure (2000)
Social impulsivity	Dominance	Quad +	PC	<i>Cercopithecus aethiops</i>	Vervet monkey	Fairbanks (2001)
Social impulsivity <sup>5</sup>	Aggression	0.78	BD	<i>Cercopithecus aethiops</i>	Vervet monkey	Fairbanks <i>et al.</i> (2004)

Exploration	Dispersal	+	PC	<i>Parus major</i>	Great tit	Dingemanse <i>et al.</i> (2003)
Exploration	Dispersal	− / +	PC	<i>Mus musculus</i>	House mouse	Krackow (2003)
Exploration	Dominance	− / +	PC	<i>Parus major</i>	Great tit	Dingemanse & de Goede (2004)
Exploration	Dominance	+	PC	<i>Parus major</i>	Great tit	Verbeek <i>et al.</i> (1996)
Boldness	Dominance	+	PC	<i>Salmo trutta</i>	Brown trout	Sundström <i>et al.</i> (2004)
Neophobia (habitat)	Dispersal	+	PC	<i>Rivulus hartii</i>	Trinidad killifish	Fraser <i>et al.</i> (2001)
Aggressiveness <sup>6</sup>	Coping	+	LC	<i>Mus musculus</i>	House mouse	Benus (2001)
Fighting ability	Various traits <sup>7</sup>	+	LC	<i>Bos taurus</i>	Domestic cow	Plusquellec <i>et al.</i> (2001)
Risk-taking	Various traits <sup>8</sup>	+	AS	<i>Parus major</i>	Great tit	van Oers <i>et al.</i> (2004a)
<b>Health</b>						
Tameness	Immunity	+	PC	<i>Bos taurus</i>	Domestic cattle	Fell <i>et al.</i> (1999)
Personality <sup>9</sup>	Simian Immunodeficiency Virus responses			<i>Macaca mulatta</i>	Rhesus macaque	Capitanio <i>et al.</i> (1999)
<b>Survival</b>						
Boldness	Survival	+	PSA	<i>Ovis canadensis</i>	Bighorn sheep	Réale & Festa-Bianchet (2003)
Docility	Survival	+	PSA	<i>Ovis canadensis</i>	Bighorn sheep	Réale & Festa-Bianchet (2003)
Neophobia	Survival	−	PC	<i>Rattus norvegicus</i>	Norway rat	Cavigelli & McClintock (2003)
Exploration	Survival	+ / -	PSA	<i>Parus major</i>	Great tit	Dingemanse <i>et al.</i> (2004)

<sup>1</sup> Trait names follow the terminology of the authors of the studies.

<sup>2</sup> Mating success is measured as female preference.

<sup>3</sup> We chose the term aggressiveness instead of chasing/stereotypy/mouthing, which, according to the authors, are traits indicative of arousal or agitation to social stimuli.

<sup>4</sup> Varies according to year and sex.

<sup>5</sup> Heritability estimates for two components of social impulsivity, the approach index which is the tendency of an individual to stay within 1m of an intruder, and the aggression index which is the rate threats and agonistic displays directed towards an intruder.

<sup>6</sup> Across-sex genetic correlation (aggression was selected in males and coping tested on females).

<sup>7</sup> Aggressiveness, neophobia, sociability, and tameness in two breeds selected and not selected for fighting ability.

<sup>8</sup> Early exploratory, boldness and exploration as adult in two lines selected for high and low risk taking.

<sup>9</sup> Four personality traits: sociability, confidence, equability and excitability.

and adaptive explanations for the maintenance of genetic variation within populations.

#### (a) *Measuring selection*

Natural selection can be directly measured by the covariance between traits and fitness, allowing estimation of both the strength (Lande, 1979; Lande & Arnold, 1983) and shape (i.e., directional, disruptive, stabilizing, correlational) of selection (Brodie, Moore & Janzen, 1995). Such estimation models can be used to assess the way selection acts on correlated characters (Lande & Arnold, 1983; Kingsolver *et al.*, 2001), and so are particularly suitable for the study of selection on temperament traits (Réale *et al.*, 2000). To date, only two field studies have used such an approach in wild populations, measuring both heritability (see Réale *et al.*, 2000; Dingemanse *et al.*, 2002, 2004; Dingemanse & Réale, 2005) and components of fitness under different environmental conditions (Réale & Festa-Bianchet, 2003; Dingemanse *et al.*, 2004; Both *et al.*, 2005). In bighorn ewes *Ovis canadensis*, weaning success increased with boldness (Réale *et al.*, 2000). Furthermore, boldness was related to survival during years of high predation but not during years of low predation by cougars *Puma concolor* (Réale & Festa-Bianchet, 2003). In great tits *Parus major*, slow-exploring females had the lowest probability of nest failure and assortative pairs of extreme phenotype (i.e., either slow-slow or fast-fast pairs) produced heaviest offspring in all of four years (Both *et al.*, 2005). Whilst the direction of selection on temperament during the breeding phase did not differ between years (Both *et al.*, 2005), outside the breeding season it fluctuated between years, sexes, and components of fitness (Dingemanse *et al.*, 2004). Selection in this case was coincidental with the occurrence of masting by beeches *Fagus sylvaticus*, a factor that affects competitive regimes of these birds (Perdeck, Visser & Balen, 2000). In both studies, selection pressures varied according to environmental conditions, although definitive identification of the important environmental changes would require sufficient replication to show statistically the link between selection and environment. These examples emphasize the importance of long-term longitudinal studies to measure selection: individuals encounter many different social and physical environments during their lives. These studies also illustrate that single components of fitness may not accurately predict an individual's overall fitness, as the direction of selection may differ between various components of fitness (e.g., survival *versus* reproductive output).

Manipulation of the environment or phenotypic engineering could facilitate the study of selection. This could be done by measuring the survival and reproductive success of individuals whose phenotype has been manipulated; such as using agonists or antagonists of some neurotransmitters involved in temperament variation (e.g., tryptophan and fluoxetine increase and cyproheptadine and fenfluramine decrease 5-hydroxytryptamine (5-HT) activity, respectively, affecting dominance acquisition in male vervet monkeys *Cercopithecus aethiops*; Raleigh *et al.*, 1991). The path analysis method proposed by Scheiner, Mitchell & Callahan (2000), a method derived from phenotypic selection analysis, has

great potential to estimate selection pressures on a set of hierarchically organised temperament traits.

The phenotypic selection approach may offer another way to investigate whether or not selection can act on a whole suite of traits, and lead to the co-evolution of temperament traits. In bighorn ewes *Ovis canadensis*, selection seems to disfavour the combination of low levels of boldness and of docility, although the fitness consequences of the interaction between the two traits have not been tested (Réale *et al.*, 2000; Réale & Festa-Bianchet, 2003). Furthermore, in three-spine stickleback *Gasterosteus aculeatus* the phenotypic correlation between aggressiveness and boldness differed between populations (Bell 2005) and is higher for populations exposed to predators (N.J. Dingemanse, J. Wright, A. Kazem, D. Thomas, R. Hickling & N. Dawnay, in preparation), suggesting correlational selection on those traits. Hence, future fitness studies should attempt to address under which conditions natural selection favours the evolution of correlated suites of behaviours. Focusing on the fitness consequences of a single temperament trait without considering interaction among traits may give misleading results.

Selection studies on temperament traits could provide several insights. For example, we still do not know what components of fitness (survival at different stages, mating success, fecundity, reproductive success) are affected by temperament traits, or how and in what conditions temperament phenotypes are advantageous or disadvantageous. Studies in the future may help us understand if selection favours plasticity or canalisation of temperament traits. Can we observe antagonistic selection according to sex, and what are the consequences of such a selection pattern on the maintenance of variance in temperament traits? More information on the role of temperament as a factor in sexual selection is needed.

#### (b) *Adaptive explanations for the maintenance of variance in temperament traits*

The relative importance of the different processes for the persistence of within-population variance in temperament traits (i.e., selection-mutation balance, spatiotemporal or frequency-dependent selection, selection-migration balance) is largely unknown (Wilson, 1998). Theoretical modelling suggests that fluctuating selection pressures can help to maintain quantitative genetic variation at higher levels than expected from mutation alone (Burger & Gimelfarb, 2002). Game theory and the evolutionarily stable strategy approach provide a framework to assess the costs and benefits of alternative behaviours (Magurran, 1993; Dall *et al.*, 2004). In the case of temperament traits, both frequency- and density-dependent processes are likely to contribute to the maintenance of high levels of variation (Wilson *et al.*, 1994; Dall *et al.*, 2004), because the social environment is probably an important determinant of the relation between temperament and fitness. For instance, bird studies have shown that individuals differ in social aggression and foraging behaviour (Verbeek *et al.*, 1996; Marchetti & Drent, 2000), with the pay-offs of alternative strategies frequency dependent (Barnard & Sibily, 1981;

Koops & Giraldeau, 1996). Such frequency-dependent games could also involve cooperation instead of competition, as shown in white-throated sparrows, *Zonotrichia albicollis*, a species where a chromosomal inversion caused a polymorphism (resulting in 'tan-striped' types that are less aggressive than 'white-striped' types). Ninety-eight per cent of birds pair disassortatively (Houtman & Falls, 1994), partly because certain pair combinations make better teams during chick-feeding (Knapton & Falls, 1983). The role of social organisation in the maintenance of individual variation could be addressed indirectly by comparing phenotypic variation in behaviour among social and solitary species. Models also predict that temporal variation in selection can maintain a mix of phenotypically flexible and inflexible genotypes (Wilson & Yoshimura, 1994). Many species live in temporally fluctuating environments, and it is an open question as to why some individuals and species are relatively inflexible in their behaviour instead of showing higher plasticity (Wilson *et al.*, 1994). An important question is to what extent variation in temperament and behavioural syndromes, and limits and costs associated with phenotypic plasticity constrain or reflect selection on behavioural flexibility (Wilson *et al.*, 1994; Koolhaas *et al.*, 1997, 1999; Dingemanse *et al.*, 2004). Importantly, note that the adaptive explanations for the maintenance of variation in temperament traits given above only explain the co-existence of different temperament types given that individuals show consistent behaviour. That is, none of the afore-mentioned explanations explain why individuals are consistent over time, contexts, or situations. There is thus a strong need for a theoretical framework that explains at the same time why different temperament types coexist and why individuals have temperament types (Dall *et al.*, 2004).

Comparative studies of the amount of genetic variation between trait categories provide an alternative way to investigate the effect of past selection pressures on temperament traits relative to other trait categories. Strong and persistent directional selection on a trait should erode the additive genetic variance, relative to the dominance variance, and thus decrease the trait's heritability (Mousseau & Roff, 1989; Crnokrak & Roff, 1995; Merilä & Sheldon, 1999; Stirling, Réale & Roff, 2002). Comparing the average value of standardized indices of variation such as heritability, coefficient of additive genetic and residual variance (Houle, 1992; Merilä & Sheldon, 1999; Stirling *et al.*, 2002), or dominance variance (Crnokrak & Roff, 1995) for temperament traits relative to other types of traits could therefore provide valuable information about the selection regime of temperament traits. Temperament traits generally show significant heritability, averaging 0.31 (Table 5), a value similar to those observed for behaviour or life-history traits (Stirling *et al.*, 2002). Variance is not only affected by selection, however, but also seems to depend on GxE interaction or epistatic effects (Merilä & Sheldon, 1999; Stirling *et al.*, 2002). More estimates of standardized indices of variation for more temperament traits are needed before we can provide any detailed study of the relationship between temperament and fitness. Studies on great tits *Parus major* provide an example of how the combination of fitness and quantitative genetics

studies can provide clues towards the history of selection on temperament traits in natural populations. Studies in the wild showing fluctuating selection on temperament traits (Dingemanse *et al.*, 2004), and back-cross experiments based on selection lines indicating high levels of both additive and dominance variance (Drent *et al.*, 2003; van Oers *et al.*, 2004c) both suggest the absence of strong consistent directional selection on this type of trait (Mousseau & Roff, 1989; Roff, 1997).

#### (4) Temperament traits as adaptation: comparative studies

To investigate temperament traits as adaptations, it is insufficient to show that the trait affects current fitness. The selective forces that have resulted in past evolutionary changes are not necessarily the same forces acting on contemporary populations (e.g., Bennett & Owens, 2002), so to elucidate fully the ultimate explanations for links between temperament and ecology, we also need to know how present-day patterns result from historical events (Losos & Miles, 1994). This can be done using comparative approaches, based on the comparison of populations or species that differ in a given temperament trait or set of traits. Such an approach may also be useful in determining general principles regarding the potential evolutionary and ecological role of temperament that apply across taxa, as well as allowing tests of hypotheses otherwise difficult to examine (Losos & Miles, 1994).

##### (a) Intra-species population comparison

A classical approach to the study of adaptation in the wild is the comparison of populations living in different environments, and the correlation between population phenotypes and environmental characteristics (Mousseau *et al.*, 2000; Reznick & Travis, 2003). This method has provided interesting results on temperament and behavioural adaptation in the wild (Arnold & Bennett, 1984; Magurran, 1993; Huntingford *et al.*, 1994; Clarke & Boinski, 1995). For example, fish populations living in sites with high risks of predation show higher boldness, predator inspection scores, and faster escape response than those living in safer sites (Huntingford, 1982; Magurran, 1993; Huntingford *et al.*, 1994; O'Steen, Cullum & Bennett, 2002). Similarly differences in habitat have been shown to shape variation in boldness and aggressiveness in desert spiders, *Agelenopsis aperta* (Riechert & Hall, 2000). Island populations of birds generally show lower neophobia and higher exploration than mainland bird populations (Greenberg & Mettke-Hofmann, 2001). Population comparison has a limit: different environmental conditions experienced by the populations may counteract genetic effects on inter-population differences in phenotypic expression (Conover & Schultz, 1995). One way of disentangling environmental from genetic effects is to raise individuals from different populations in similar environmental conditions where all the observed variation among populations is expected to be

Table 5. Evidence for genetic effects on the variation in temperament traits in animals. Studies are separated into three categories: (1) repeatability, (2) heritability, and (3) genetic difference between populations. Method: R = repeatability; BD = heritability/breeding design; AS = artificial selection; POP = population comparisons (common-garden experiment); IL = strain or inbred-line comparison. “+” sign indicates for the presence of significant within-individual consistency, among-line or among-population variation without providing estimates

Temperament trait <sup>1</sup>	Relation	Species	Name	Method	Reference
<b>Repeatability<sup>2</sup></b>					
Boldness	+	<i>Lepomis gibbosus</i>	Pumpkinseed sunfish	R	Coleman & Wilson (1998)
Boldness	0.36	<i>Ovis canadensis</i>	Bighorn sheep	R	Réale <i>et al.</i> (2000)
Docility	0.86	<i>Ovis canadensis</i>	Bighorn sheep	R	Réale <i>et al.</i> (2000)
Fearfulness <sup>3</sup>	0.34	<i>Diceros bicornis</i>	Black rhinoceros	R	Carlstead <i>et al.</i> (1999)
Tameness	0.29-0.41	<i>Diceros bicornis</i>	Black rhinoceros	R	Carlstead <i>et al.</i> (1999)
Social impulsivity	0.83	<i>Cercopithecus aethiops</i>	Vervet monkey	R	Fairbanks (2001)
Exploratory <sup>4</sup>	0.27-0.66	<i>Parus major</i>	Great tit	R	Dingemanse <i>et al.</i> (2002)
Neophobia (food)	+	<i>Lepomis gibbosus</i>	Pumpkinseed sunfish	R	Coleman & Wilson (1998)
<b>Heritability (<math>h^2</math>)</b>					
Anti-predator	0.45	<i>Thamnophis radix</i>	Garter snake	BD	Arnold & Bennett (1984)
Risk-taking	0.19	<i>Parus major</i>	Great tit	AS	van Oers <i>et al.</i> (2004b)
Boldness	0.53	<i>Parus major</i>	Great tit	AS	Drent <i>et al.</i> (2003)
Boldness <sup>5</sup>	0.04/0.002	<i>Gasterosteus aculeatus</i>	Three-spine stickleback	BD	Bell (2005)
Boldness <sup>6</sup>	0.21/0.08	<i>Euprymna tasmanica</i>	Dumpling squid	BD	Sinn <i>et al.</i> (2006)
Docility	0.28	<i>Bos taurus</i>	Domestic cows	BD	Le Neindre <i>et al.</i> (1996)
Docility <sup>7</sup>	0.18-0.61	<i>Bos taurus</i>	Domestic cows	BD	Gauly <i>et al.</i> (2001)
Confidence/human <sup>8</sup>	0.12-0.20	<i>Alopex lagopus</i>	Blue fox	AS	Kenttämies <i>et al.</i> (2002)
Fearfulness/human	0.38	<i>Mustela vison</i>	Domestic mink	AS	Hansen & Møller (2001)
Fearfulness/human	0.17-0.42	<i>Bos taurus</i>	Domestic cattlr	BD	Morris <i>et al.</i> (1994)
Fearfulness	0.32	<i>Gallus gallus</i>	Domestic chicken	AS	Campo & Carnicer (1993)
Fearfulness	0.56	<i>Coturnix coturnix</i>	Japanese quail	BD	Gerken & Petersen (1992)
Reactivity <sup>6</sup>	0.89/0.0	<i>Euprymna tasmanica</i>	Dumpling squid	BD	Sinn <i>et al.</i> (2006)
Social impulsivity <sup>9</sup>	0.25	<i>Cercopithecus aethiops</i>	Vervet monkey	BD	Fairbanks <i>et al.</i> (2004)
Aggression <sup>9</sup>	0.61	<i>Cercopithecus aethiops</i>	Vervet monkey	BD	Fairbanks <i>et al.</i> (2004)
Aggression <sup>5</sup>	0.01/0.14	<i>Gasterosteus aculeatus</i>	Three-spine stickleback	BD	Bell (2005)
Maternal protectiveness	0.09	<i>Bos taurus</i>	Domestic cattle	BD	Morris <i>et al.</i> (1994)
Exploratory <sup>4</sup>	0.22-0.61	<i>Parus major</i>	Great tit	BD	Dingemanse <i>et al.</i> (2002)
Exploratory	0.15-0.31	<i>Oryctolagus cuniculus</i>	Rabbit	AS	Daniewski & Jezierski (2003)
Activity <sup>5</sup>	0.05/0.016	<i>Gasterosteus aculeatus</i>	Three-spine stickleback	BD	Bell (2005)
Activity <sup>6</sup>	0.67/0.05	<i>Euprymna tasmanica</i>	Dumpling squid	BD	Sinn <i>et al.</i> (2006)
<b>Genetic differences</b>					
Anti-predator	+	<i>Phoxinus phoxinus</i>	Minnow	POP	Magurran (1993)
Anti-predator	+	<i>Gasterosteus aculeatus</i>	Three-spine stickleback	POP	Huntingford <i>et al.</i> (1994)
Anti-predator	+	<i>Agelenopsis aperta</i>	Spider	POP	Riechert & Hedrick (1993)
Anti-predator	+	<i>Gasterosteus aculeatus</i>	Three-spine stickleback	POP	Bell & Stamps (2004)
Exploratory	+	<i>Mus musculus</i>	Inbred mouse	IL	Dellu <i>et al.</i> (2000)
Various traits <sup>10</sup>	+	<i>Mus musculus</i>	Inbred mouse	IL	Holmes <i>et al.</i> (2002)
Various traits <sup>11</sup>	+	<i>Oncorhynchus masou</i>	Cherry salmon	IL	Iguchi <i>et al.</i> (2001)

<sup>1</sup> Trait names used in the table follow the terminology of the authors of the studies.

<sup>2</sup> When several estimates of repeatability and heritability were provided we report only heritability.

<sup>3</sup> Correlations between scores for two traits (“allows touching” and “approaches when called”) given by keepers during two surveys separated by two years.

<sup>4</sup> Range for two populations and two sexes.

<sup>5</sup> Estimates in two different populations.

<sup>6</sup> Estimates of  $h^2$  in a threat and in a feeding context, respectively.

<sup>7</sup> Range of estimates for docility scores before and during handling at two different ages, in German Angus and Simmental cows.

<sup>8</sup> Estimates from two populations in Norway and Finland.

<sup>9</sup> Fairbanks *et al.* (2004) provide  $h^2$  estimates for two components of social impulsivity, the approach index which is the tendency of an individual to stay within 1m of an intruder, and the aggression index which is the rate of threats and agonistic displays directed towards an intruder.

<sup>10</sup> Exploratory activity and anxiety-like behaviour.

<sup>11</sup> Five principal components analysis factors related to anti-predator, activity, anxiety, greediness, carefulness.

genetic in origin (the common-garden approach; Mousseau *et al.*, 2000). The common-garden approach can also be extended to study evolutionary constraints or trade-offs; one could do so by looking at the correlation between two phenotypic traits measured in different populations (Roff, Crnokrak & Fairbairn, 2003). An alternative is reciprocal transplants of individuals between the two populations (Conover & Schultz, 1995). Reciprocal transplants offer the possibility to look at the interaction between genes and the environment on a trait's phenotypic expression (Carroll *et al.*, 2001), and allow a measurement of selection by comparing the fitness of indigenous and transplanted individuals (Riechert & Hall, 2000).

#### (b) *Interspecific comparative studies*

The most common use of the comparative approach is to test whether a trait is adapted for a particular function (Martins & Hansen, 1997). The association between a temperament trait and other traits or ecological conditions can be studied from the information provided by the measure of those traits for a set of species (Webster & Lefebvre, 2001; Marples, Roper & Harper, 2003). Using this approach, Greenberg (2003) tested the "Neophobia Threshold Hypothesis" the idea that specialist species are more neophobic than ecologically plastic species, because neophobia reduces the probability of investigating and incorporating a new resource in the repertoire of a species. In support of this hypothesis, the specialized chestnut-sided warbler *Dendroica pensylvanica* is more neophobic than the more plastic bay-breasted warbler *Dendroica castanea* (Greenberg, 2003). This hypothesis was confirmed with wild-caught individuals from two other species, although work on naïve captive-reared birds provided contrary results (Greenberg, 2003).

Comparison of more than two species increases the power of such approaches. Comparative methods, however, have rarely been used to study temperament (but see Mettke-Hofmann *et al.*, 2002; Richardson, 2001). In a pioneering study in zoo animals, Glickman & Sroges (1966) placed a diverse set of novel objects in the cage of over 200 species of mammals and reptiles, and used this information to describe patterns of object exploration within and between species. Extending this approach, Mettke-Hofmann *et al.* (2002) examined the link between ecological factors and neophobia and exploration in 61 parrot species in captivity. Neophobia was higher for insectivorous than for folivorous birds, potentially reflecting the relatively high costs of approaching novel food items for insectivorous species compared to folivorous ones. Exploration was uncorrelated with neophobia, and was higher in species that live on forest edges or on islands, and that eat nuts, suggesting that exploration is primarily influenced by the value of information. Exploration was also more intense in migratory than in resident species (Mettke-Hofmann *et al.*, 2004).

The interest of the comparative approach goes beyond the test of the adaptive hypotheses: one could examine the functional relationships and trade-offs between two traits, the existence of constraints (e.g., the importance of phylogenetic inertia in the variation of a trait), and the

ecological and evolutionary implication of temperament (e.g., the role of temperament in the evolutionary diversification in a taxon).

The comparative approach can therefore be successfully applied to a variety of questions on temperament, although it is not exempt from difficulties. A major stumbling block is the quantification of temperament traits in species with different lifestyles: how should results from novel object tests conducted on the house mouse, the three-toed sloth or the Asian elephant be compared? Although one can in principle apply the same method to quantify a temperament trait for a set of species, whether the measure is actually comparable across species is not so straightforward. Novel object experiments should take account of the variability of habitat preference among species; a standardized novel test on the ground, for example, may lead to differences between terrestrial and arboreal species, as the latter species will be more reluctant to approach and explore novel objects on the ground. One solution to this problem is to compare temperament in closely related species, based on their physiological, morphological and ecological similarities. However, this decreases the generality of the results, and potentially removes biologically meaningful variation in the studied trait. Alternatively, one could use a multiple regression approach that includes potentially confounding effects as covariates (e.g., body size or basal metabolic rate). Another option would be to use physiological surrogates of temperament traits (e.g., monoamine levels), provided that among-species variation in these traits is higher than within-species variation. Although these measures are indirect, they are likely to be less subject to observational error and context-dependent biases (Kamil, 1994).

Species may resemble one another in their temperament, not because of independent, convergent evolution in response to specific ecological conditions, but instead because of common ancestry. Modern techniques use phylogenetic information to deal with this problem of non-independence (Felsenstein, 1985; Harvey & Pagel, 1991; Garland *et al.*, 1993; Garland, Bennet & Rezende, 2005). Another potential danger of the phylogenetic-based methods is that, like any correlational approach, the association between two variables may be caused by their common link with a third variable (Bennett & Owens, 2002). Consequently, a proper comparative analysis of temperament traits should previously have identified and controlled for potential confounding factors that could inflate or obscure the studied relationship. Furthermore, it is essential to estimate the within-species consistency in the trait (i.e., the trait has to be species-specific) by running mixed models in which species are coded as a random factor. The problem of establishing a causal link between the variables under study may in part be overcome with methods that allow estimation of ancestral states (Harvey & Pagel, 1991). Alternatively, Hansen's adaptation method may be used to estimate the impact of a specified environment on the evolution of a given trait (Hansen, 1997) and path analysis may help establish the most likely causal scenarios (Li, 1975). These techniques can potentially be applied to study the causal link between a temperament trait and another trait or an ecological factor (e.g., neophobia and dietary generalism).

## V. CONCLUSIONS

(1) Our aim was to build a general framework for the ecological and evolutionary study of temperament and to review the evidence that temperament traits are heritable, linked to fitness, and correlate with several other important traits. We hope this framework and compilation of evidence, combined with the growing general interest in the evolutionary ecology of temperament and the diversity of empirical approaches available, stimulates new progress. We predict that temperament will form an important part of future research on various ecological topics.

(2) Temperament appears to affect the various ways an individual interacts with its environment, whether in its reactions with predators, food sources, and habitat, or in its social or sexual interactions with conspecifics.

(3) Temperament phenotypes will be favoured or disfavoured by selection depending on the particular ecological conditions experienced by the population. Furthermore, individual differences in temperament may affect variation in habitat use or assortative mating, and thus will create conditions for non-random mating.

(4) Temperament may have important consequences for several ecological topics, such as: (a) population dynamics and genetics (i.e., dispersal, individual movement, gene flow, and the genetic composition of meta-populations); (b) landscape ecology (i.e., changes in the structure of the landscape will affect the movement of individuals differently according to their temperament); (c) community ecology (i.e., individual variation in some sets of correlated temperament and morphological traits may be viewed as functional sub-categories in the organisation of communities); (d) invasion biology (i.e., could temperament be an important factor in the invasiveness syndrome?); and (e) speciation (i.e., temperament variation may be responsible for the geographic and reproductive isolation of individuals characterised by particular combinations of behavioural and morphological and life-history traits).

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