



EXPLORE DESIGN PERFECTION



Functional programming in Java

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Functional programming in Java

Part II – The FP Functional Programming System

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ACM Turing Award Lecture by John Backus



contributions

a functional
programming system

its associated algebra
of programs

1977 ACM Turing Award Lecture

The 1977 ACM Turing Award was presented to John Backus at the ACM Annual Conference in Seattle, October 17. In introducing the recipient, Jean E. Sammet, Chairman of the Awards Committee, made the following comments and read a portion of the final citation. The full announcement is in the September 1977 issue of *Communications*, page 681.

"Probably there is nobody in the room who has not heard of Fortran and most of you have probably used it at least once, or at least looked over the shoulder of someone who was writing a Fortran program. There are probably almost as many people who have heard the letters BNF but don't necessarily know what they stand for. Well, the B is for Backus, and the other letters are explained in the formal citation. These two contributions, in my opinion, are among the half dozen most important technical contributions to the computer field and both were made by John Backus (which in the Fortran case also involved some colleagues). It is for these contributions that he is receiving this year's Turing award.

The short form of his citation is for 'profound, influential, and lasting contributions to the design of practical high-level programming systems, notably through his work on Fortran, and for seminal publication of formal procedures for the specifications of programming languages.'

The most significant part of the full citation is as follows: "... Backus headed a small IBM group in New York City during the early 1950s. The earliest product of this group's efforts was a high-level language for scientific and technical com-

putations called Fortran. This same group designed the first system to translate Fortran programs into machine language. They employed novel optimizing techniques to generate fast machine-language programs. Many other compilers for the language were developed, first on IBM machines, and later on virtually every make of computer. Fortran was adopted as a U.S. national standard in 1966.

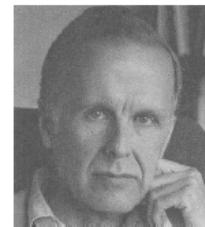
During the latter part of the 1950s, Backus served on the international committees which developed Algol 58 and a later version, Algol 60. The language Algol, and its derivative compilers, received broad acceptance in Europe as a means for developing programs and as a formal means of publishing the algorithms on which the programs are based.

In 1959, Backus presented a paper at the UNESCO conference in Paris on the syntax and semantics of a proposed international algebraic language. In this paper, he was the first to employ a formal technique for specifying the syntax of programming languages. The formal notation became known as BNF—standing for "Backus Normal Form," or "Backus Naur Form"—to recognize the further contributions by Peter Naur of Denmark.

Thus, Backus has contributed strongly both to the pragmatic world of problem-solving on computers and to the theoretical world existing at the interface between artificial languages and computational linguistics. Fortran remains one of the most widely used programming languages in the world. Almost all programming languages are now described with some type of formal syntactic definition."

Can Programming Be Liberated from the von Neumann Style? A Functional Style and Its Algebra of Programs

John Backus
IBM Research Laboratory, San Jose



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Conventional programming languages are growing ever more enormous, but not stronger. Inherent defects at the most basic level cause them to be both fat and weak: their primitive word-at-a-time style of programming inherited from their common ancestor—the von Neumann computer, their close coupling of semantics to state transitions, their division of programming into a world of expressions and a world of statements, their inability to effectively use powerful combining forms for building new programs from existing ones, and their lack of useful mathematical properties for reasoning about programs.

An alternative functional style of programming is founded on the use of combining forms for creating programs. Functional programs deal with structured data, are often nonrepetitive and nonrecursive, are hierarchically constructed, do not name their arguments, and do not require the complex machinery of procedure declarations to become generally applicable. Combining forms can use high level programs to build still higher level ones in a style not possible in conventional languages.

Communications
of
the ACM

August 1978
Volume 21
Number 8



>> Definition

An FP system comprises the following:

1. a set O of **objects**
2. a set F of **functions** that map objects into objects
3. an operation: **application**
4. a set of **functional forms**; used to combine existing functions or objects, to form new functions in F
5. a set of **definitions** that define some functions in F and assign a name to each



>> Objects

An **object** x is either:

- an **atom**
- a **sequence** $\langle x_1, \dots, x_n \rangle$, whose elements x_i are objects
- \perp (undefined)

The sequence constructor is **\perp -preserving**:
if x is a sequence with \perp as an element,
then $x = \perp$



>> Objects - examples

Numeric atoms

1
34

Lists

\emptyset
 $\langle 1, 2, \emptyset, 3 \rangle$

Boolean atoms

T
F

Lists

$\langle 1, 2, 3 \rangle$
 $\langle 1, \langle 2, 3, 4 \rangle \rangle$
 $\langle \langle 1, 2 \rangle, \langle 3, 4, 5 \rangle \rangle$

Undefined preservation

$\langle 1, \perp \rangle = \perp$

>> An operation: the application

If f is a function and x is an object, then $f:x$ is an **application** and denote the result of the application of f to x

$$+ : \langle 1, 2 \rangle = 3$$

$$\text{tl} : \langle 5, 3, 8 \rangle = \langle 3, 8 \rangle$$

$$1 : \langle 5, 3, 8 \rangle = 5$$

$$2 : \langle 5, 3, 8 \rangle = 3$$

>> Functions

All **functions** map objects into objects
and are undefined-preserving.

Every functions is primitive, defined or a
functional form





Functions

Identity

$\text{id}:x \equiv x$

Atom

$\text{atom}:x \equiv x \text{ is an atom} \rightarrow T ; x \neq \perp \rightarrow F ; \perp$

Selector

$1:x \equiv x = \langle x_1, \dots, x_n \rangle \rightarrow x_1 ; \perp$

and for any positive integer s

$s:x \equiv x = \langle x_1, \dots, x_n \rangle \ \& \ n \geq s \rightarrow x_s ; \perp$

Tail

$\text{tl}:x \equiv x = \langle x_1 \rangle \rightarrow \emptyset ;$

$x = \langle x_1, \dots, x_n \rangle \ \& \ n \geq 2 \rightarrow \langle x_2, \dots, x_n \rangle ; \perp$

Null

$\text{null}:x \equiv x = \emptyset \rightarrow T ; x \neq \perp \rightarrow F ; \perp$

>> Functions

Equality

$$\begin{aligned} \text{eq}: x \equiv x = \langle y, z \rangle \ \& \ y = z \rightarrow \top ; \\ x = \langle y, z \rangle \ \& \ y \neq z \rightarrow \text{F} ; \perp \end{aligned}$$

Reverse

$$\begin{aligned} \text{reverse}: x \equiv x = \emptyset \rightarrow \emptyset ; \\ x = \langle x_1, \dots, x_n \rangle \rightarrow \langle x_n, \dots, x_1 \rangle ; \perp \end{aligned}$$

Length

$$\begin{aligned} \text{length}: x \equiv x = \langle x_1, \dots, x_n \rangle \rightarrow n ; \\ x = \emptyset \rightarrow 0 ; \perp \end{aligned}$$

Arithmetic

$$\begin{aligned} +: x = \langle y, z \rangle \ \& \ y, z \text{ are numbers} \rightarrow y + z ; \perp \\ -: x = \langle y, z \rangle \ \& \ y, z \text{ are numbers} \rightarrow y - z ; \perp \\ \times: x = \langle y, z \rangle \ \& \ y, z \text{ are numbers} \rightarrow y \times z ; \perp \\ \div: x = \langle y, z \rangle \ \& \ y, z \text{ are numbers} \rightarrow y \div z ; \perp \end{aligned}$$

>> Functions

Append

apndl: $x \equiv x = \langle y, \emptyset \rangle \rightarrow y ;$
 $x = \langle y, \langle z_1, \dots, z_n \rangle \rangle \rightarrow \langle y, z_1, \dots, z_n \rangle ; \perp$

apndr: $x \equiv x = \langle \emptyset, y \rangle \rightarrow y ;$
 $x = \langle \langle z_1, \dots, z_n \rangle, y \rangle \rightarrow \langle z_1, \dots, z_n, y \rangle ; \perp$

Transpose

trans: $x \equiv x = \langle \emptyset, \dots, \emptyset \rangle \rightarrow \langle \emptyset, \dots, \emptyset \rangle ;$
 $x = \langle x_1, \dots, x_n \rangle \rightarrow \langle y_1, \dots, y_m \rangle ; \perp$

where

$x_i = \langle x_{i1}, \dots, x_{im} \rangle$ and $y_j = \langle x_{1j}, \dots, x_{nj} \rangle, 1 \leq i \leq n, 1 \leq j \leq m$

Selector right

1r: $x \equiv x = \langle x_1, \dots, x_n \rangle \rightarrow x_n ; \perp$
2r: $x \equiv x = \langle x_1, \dots, x_n \rangle, n \geq 2 \rightarrow x_{n-1} ; \perp$
etc

>> Functions

Distribute

distl: $x \equiv x = \langle y, \emptyset \rangle \rightarrow \emptyset ;$

$x = \langle y, \langle z_1, \dots, z_n \rangle \rangle \rightarrow \langle \langle y, z_1 \rangle, \dots, \langle y, z_n \rangle \rangle ; \perp$

distr: $x \equiv x = \langle \emptyset, y \rangle \rightarrow \emptyset ;$

$x = \langle \langle z_1, \dots, z_n \rangle, y \rangle \rightarrow \langle \langle z_1, y \rangle, \dots, \langle z_n, y \rangle \rangle ; \perp$

Tail right

tlr: $x \equiv x = \langle x_1 \rangle \rightarrow \emptyset ;$

$x = \langle x_1, \dots, x_n \rangle \ \& \ n \geq 2 \rightarrow \langle x_1, \dots, x_{n-1} \rangle ; \perp$

Rotate

rotl: $x \equiv x = \emptyset \rightarrow \emptyset ; x = \langle x_1 \rangle \rightarrow \langle x_1 \rangle ;$

$x = \langle x_1, \dots, x_n \rangle \ \& \ n \geq 2 \rightarrow \langle x_2, \dots, x_n, x_1 \rangle ; \perp$





Functional forms

A **functional form** is an expression denoting a function

Composition

$$(f \circ g):x \equiv f:(g:x)$$

Construction

$$[f_1, \dots, f_n]:x \equiv \langle f_1:x, \dots, f_n:x \rangle$$

Constant

$$\bar{x}:y \equiv y = \perp \rightarrow \perp; x$$

Condition

$$(p \rightarrow f;g):x \equiv (p:x) = T \rightarrow f:x ; \\ (p:x) = F \rightarrow g:x ; \perp$$

>> Functional forms

Apply to all

$$\begin{aligned}\alpha f:x &\equiv x = \emptyset \rightarrow \emptyset; \\ x = \langle x_1, \dots, x_n \rangle &\rightarrow \langle f:x_1, \dots, f:x_n \rangle ; \perp\end{aligned}$$

Insert

$$\begin{aligned}/f:x &\equiv x = \langle x_1 \rangle \rightarrow x_1; \\ x = \langle x_1, \dots, x_n \rangle \text{ \& } n \geq 2 &\rightarrow f:\langle x_1, /f:\langle x_2, \dots, x_n \rangle \rangle ; \perp\end{aligned}$$

If f has a unique right unit $u_f \neq \perp$, where $f:\langle x, u_f \rangle \in \{x, \perp\}$ for all objects x , then the above definition is extended:

$$/f: \emptyset = u_f$$

>> Definitions

A set of **definitions** that define some functions in F and assign a name to each

$$\text{Def } f \equiv r$$

>> Programming examples

Factorial

Def $! \equiv \text{eq}_0 \rightarrow \bar{1}; \times^\circ[\text{id}, ! \circ \text{sub}_1]$

where

Def $\text{eq}_0 \equiv \text{eq} \circ [\text{id}, \bar{0}]$

Def $\text{sub}_1 \equiv - \circ [\text{id}, \bar{1}]$



Programming examples

Inner product

Def IP \equiv ($/+$) \circ ($\alpha \times$) \circ trans

Matrix multiply

Def MM \equiv ($\alpha \alpha$ IP) \circ (α distl) \circ distr \circ [1, trans \circ 2]



Programming examples

This program MM does not name its arguments or any intermediate results; contains no variables, no loops, no control statements nor procedure declarations; has no initialization instructions; is not word-at-a-time in nature; is hierarchically constructed from simpler components; uses generally applicable housekeeping forms and operators (e.g., αf , distl, distr, trans); is perfectly general; yields \perp whenever its argument is inappropriate in any way; does not constrain the order of evaluation unnecessarily (all applications of IP to row and column pairs can be done in parallel or in any order); and, using algebraic laws (see below), can be transformed into more “efficient” or into more “explanatory” programs (e.g., one that is recursively defined). None of these properties hold for the typical von Neumann matrix multiplication program.



>> Algebra of programs





Conclusions

different approach
for problem solving:
what and not **how**

parallelism
opportunities

new
important
properties

$(/+)\circ(\alpha\times)\circ\text{trans}$

what happen if we
call twice a
function?

what about
mutable
state?





Thank you for your attention!



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