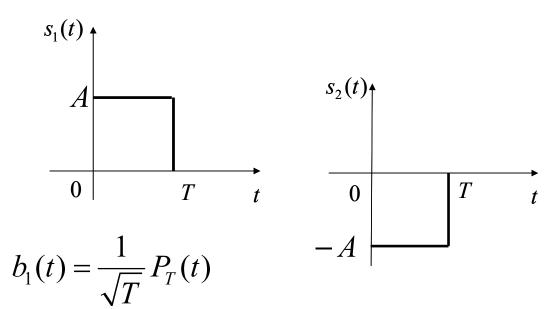
# Nhập môn Kỹ thuật Truyền thông Phần 2: Các kỹ thuật điều chế số (Digital Modulations) Bài 9: Không gian tín hiệu PAM (tiếp bài trước)

PGS. Tạ Hải Tùng

## Bipolar NRZ (Non Return to Zero)

Signal set

$$M = \{s_1(t) = +AP_T(t), s_2(t) = -AP_T(t)\}$$



Versor

$$M = \{\underline{s_1} = (+\alpha), \underline{s_2} = (-\alpha)\}$$

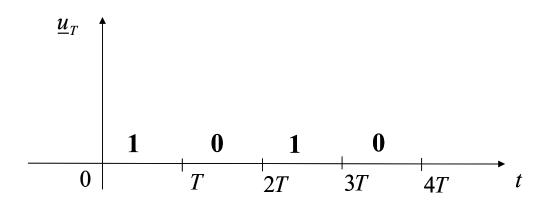
(it coincides with a 2-PAM with rectangular pulse)

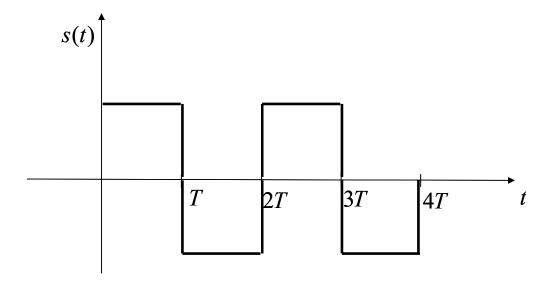
## Bipolar NRZ

Transmitted waveform

$$s(t) = \sum_{n} a[n]p(t - nT)$$

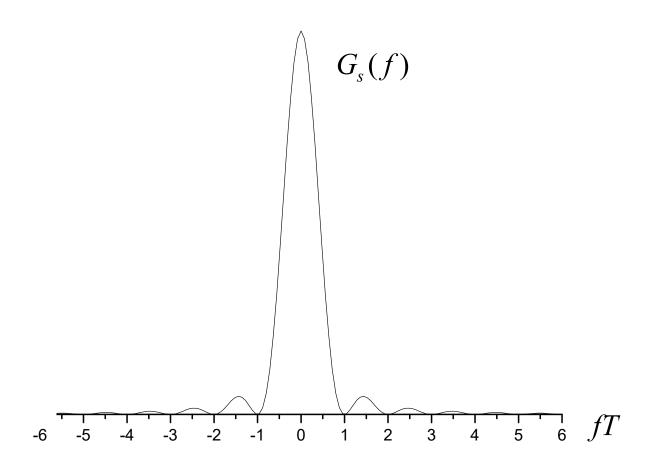
$$a[n] \in \{+\alpha, -\alpha\}$$





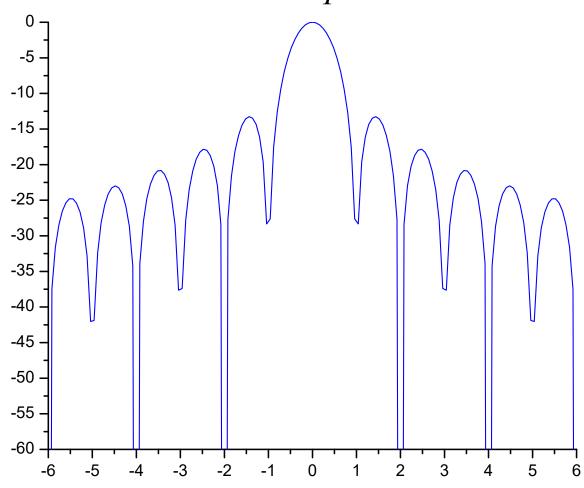
### Bipolar NRZ

$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = A^2 T \operatorname{sinc}^2(fT)$$



# Bipolar NRZ

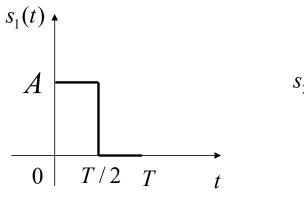
$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = A^2 T \operatorname{sinc}^2(fT)$$

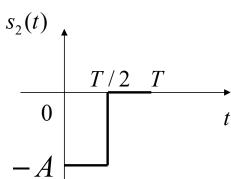


## Bipolar RZ (Return to Zero)

Signal set

$$M = \{s_1(t) = +AP_{T/2}(t), s_2(t) = -AP_{T/2}(t)\}$$





Versor

$$b_1(t) = \sqrt{\frac{2}{T}} P_{T/2}(t)$$

Vector set

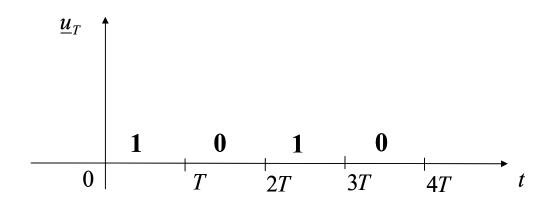
$$M = \{\underline{s_1} = (+\alpha), \underline{s_2} = (-\alpha)\}$$

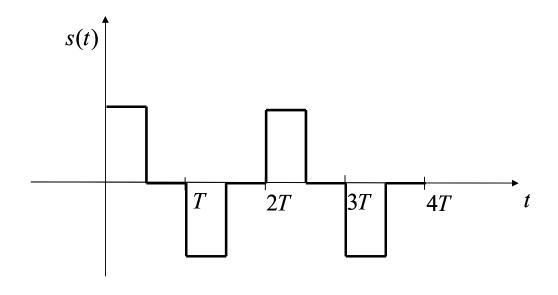
## Bipolar RZ

#### Transmitted waveform

$$s(t) = \sum_{n} a[n]p(t - nT)$$

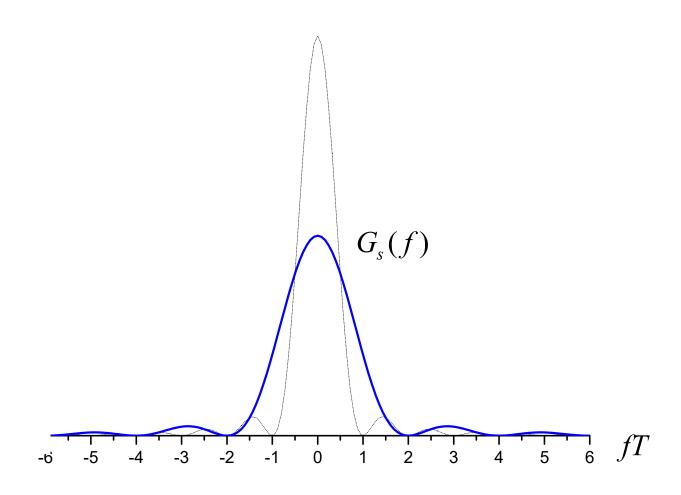
$$a[n] \in \{+\alpha, -\alpha\}$$





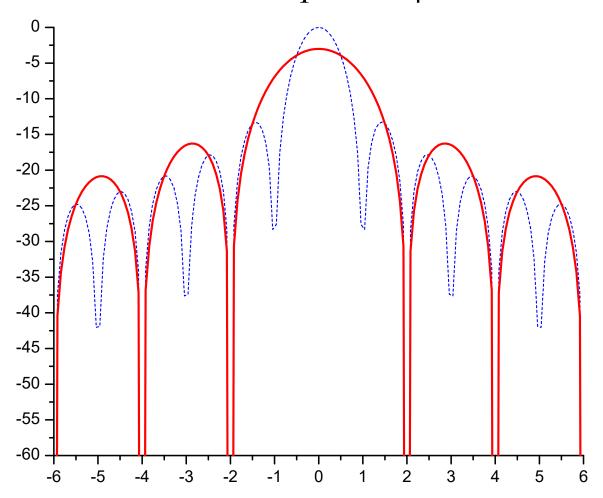
## Bipolar RZ

$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = \frac{A^2 T}{4} \operatorname{sinc}^2(fT/2)$$



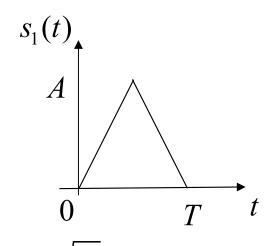
## Bipolar RZ

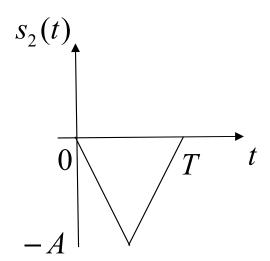
$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = \frac{A^2 T}{4} \operatorname{sinc}^2(fT/2)$$



Signal set

$$M = \{s_1(t) = +A\Delta_T(t), s_2(t) = -A\Delta_T(t)\}$$





Versor

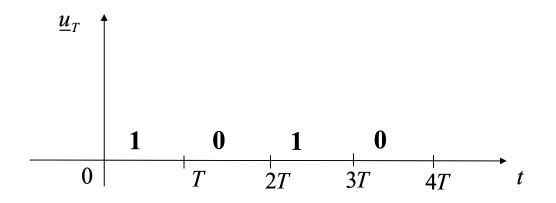
$$b_1(t) = \sqrt{\frac{3}{T}} \Delta_T(t)$$

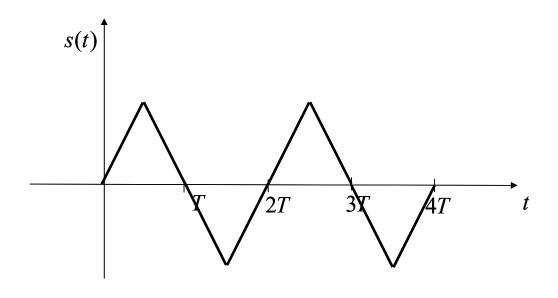
$$M = \{\underline{s_1} = (+\alpha), \underline{s_2} = (-\alpha)\}$$

#### Transmitted waveform

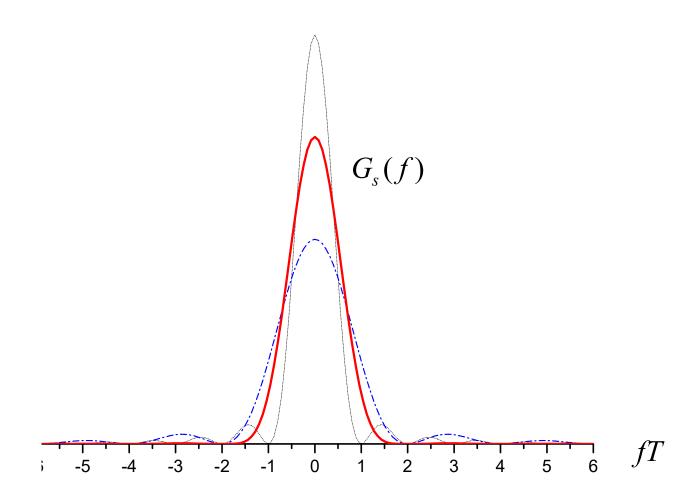
$$s(t) = \sum_{n} a[n]p(t - nT)$$

$$a[n] \in \{+\alpha, -\alpha\}$$

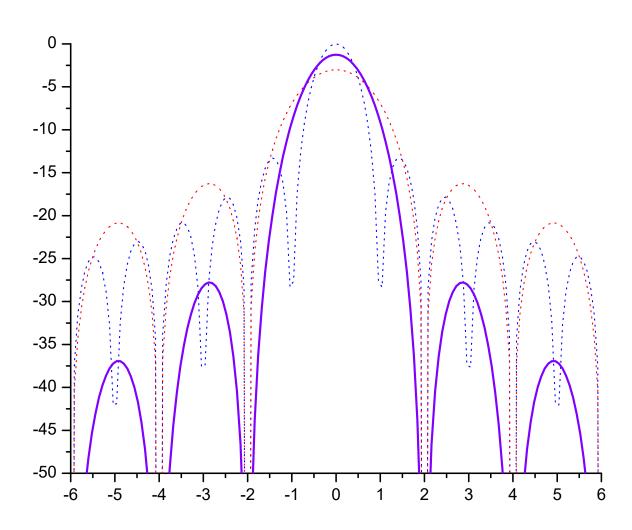




$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = \frac{A^2 T}{4} \operatorname{sinc}^4 (fT/2)$$



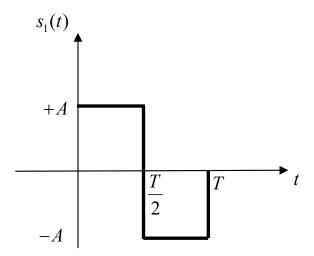
$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = \frac{A^2 T}{4} \operatorname{sinc}^4 (fT/2)$$

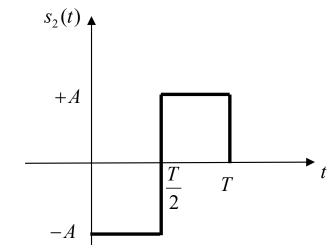


Signal set

$$M = \{s_1(t) = +Ax(t), s_2(t) = -Ax(t)\}$$

$$x(t) = [+P_{T/2}(t) - P_{T/2}(t - T/2)]$$



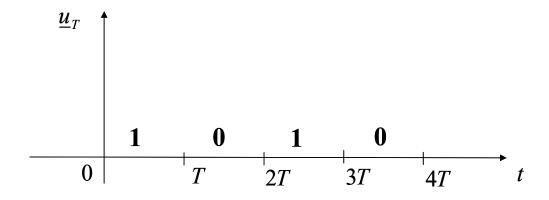


$$b_1(t) = \frac{1}{\sqrt{T}} \left[ +P_{T/2}(t) - P_{T/2}(t - T/2) \right]$$

Vector set

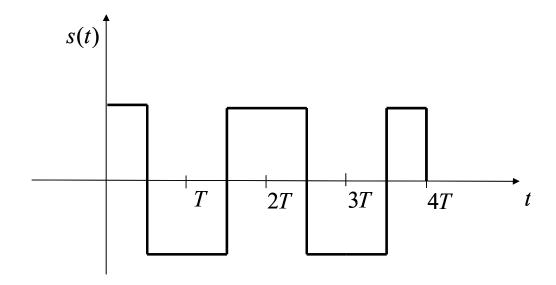
$$M = \{\underline{s_1} = (+\alpha), \underline{s_2} = (-\alpha)\}$$

#### Transmitted waveform



$$s(t) = \sum_{n} a[n]p(t - nT)$$

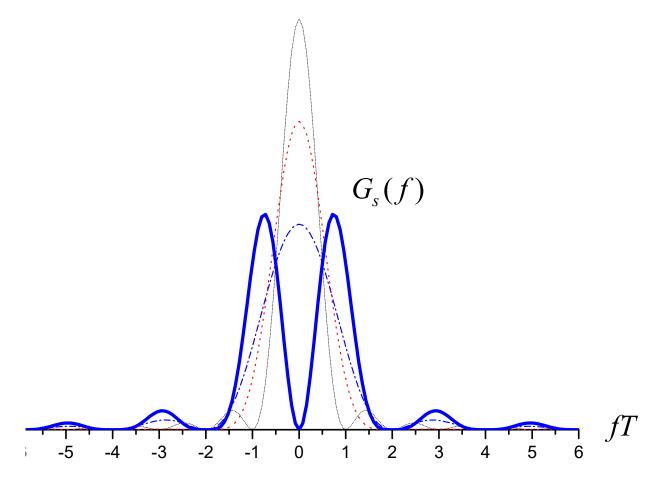
$$a[n] \in \{+\alpha, -\alpha\}$$



Signal spectrum

$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = A^2 T \frac{\sin^4(\pi f T/2)}{(\pi f T/2)^2}$$

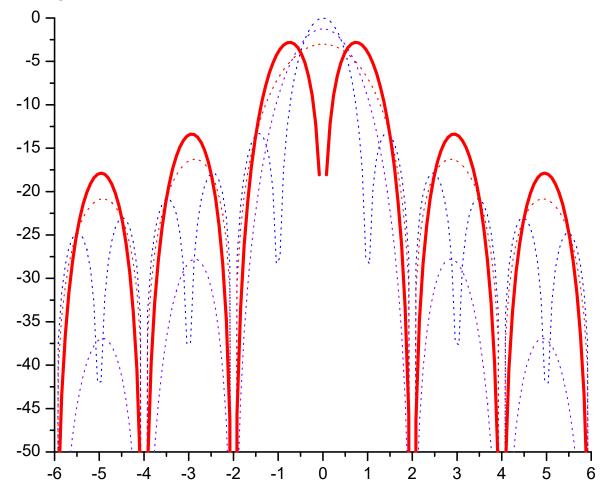
(maximum at  $f \approx 0.74/T$ )



Signal spectrum

 $G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} = A^2 T \frac{\sin^4(\pi f T/2)}{(\pi f T/2)^2}$ 

(maximum at  $f \approx 0.74/T$ )



$$p(t) = b_{1}(t) = \frac{1}{\sqrt{T}} \left[ +P_{T/2}(t) - P_{T/2}\left(t - \frac{T}{2}\right) \right]$$

$$P(f) = \frac{1}{\sqrt{T}} \left[ +\frac{T}{2}\operatorname{sinc}\left(f\frac{T}{2}\right) \exp\left(-j2\pi f\frac{T}{4}\right) - \frac{T}{2}\operatorname{sinc}\left(f\frac{T}{2}\right) \exp\left(-j2\pi f\frac{3T}{4}\right) \right] =$$

$$= \left[ +\frac{\sqrt{T}}{2}\operatorname{sinc}\left(f\frac{T}{2}\right) \exp\left(-j2\pi f\frac{T}{4}\right) \right] \left[1 - \exp(-j\pi fT)\right]$$

$$|P(f)|^{2} = \frac{T}{4}\operatorname{sinc}^{2}\left(f\frac{T}{2}\right) |1 - \cos(-\pi fT) - j\sin(-\pi fT)|^{2} =$$

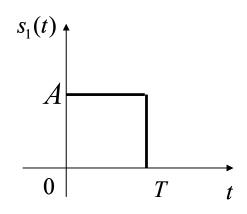
$$= \frac{T}{4}\operatorname{sinc}^{2}\left(f\frac{T}{2}\right) |1 - \cos(\pi fT) + j\sin(\pi fT)|^{2} =$$

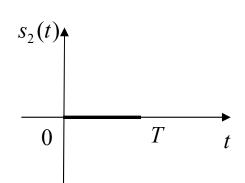
$$= \frac{T}{4}\operatorname{sinc}^{2}\left(f\frac{T}{2}\right) \left[1 + \cos^{2}(\pi fT) - 2\cos(\pi fT) + \sin^{2}(\pi fT)\right] =$$

$$= \frac{T}{2}\operatorname{sinc}^{2}\left(f\frac{T}{2}\right) \left[1 - \cos(\pi fT)\right] = T\operatorname{sinc}^{2}\left(f\frac{T}{2}\right) \sin^{2}(\pi f\frac{T}{2})$$

Signal set

$$M = \{s_1(t) = +AP_T(t), s_2(t) = 0\}$$





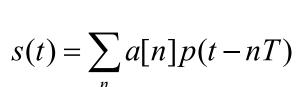
Versor

$$b_1(t) = \frac{1}{\sqrt{T}} P_T(t)$$

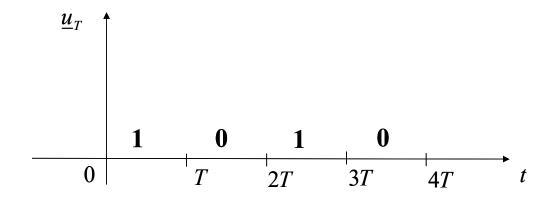
Vector set

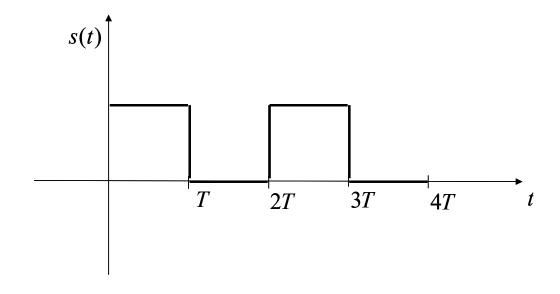
$$M = \{\underline{s_1} = (+\alpha), \underline{s_2} = (0)\}$$

#### Transmitted waveform



$$a[n] \in \{+\alpha, 0\}$$





#### Signal spectrum

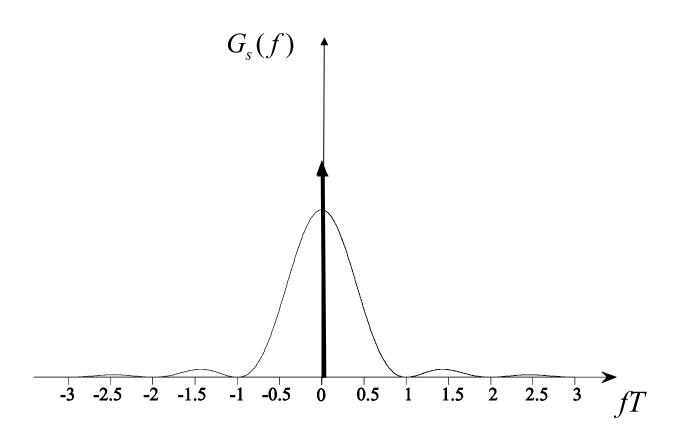
$$G_s(f) = \sigma_a^2 \frac{|P(f)|^2}{T} + \frac{\mu_a^2}{T^2} \sum_{n=-\infty}^{+\infty} \left| P\left(\frac{n}{T}\right) \right|^2 \delta\left(f - \frac{n}{T}\right)$$

$$|P(f)|^2 = x \operatorname{sinc}^2(\pi fT) \qquad x \in R$$

A Dirac delta at zero frequency

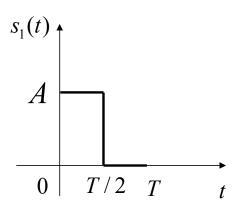
$$G_s(f) = \frac{A^2}{4} T \operatorname{sinc}^2(fT) + \frac{A^2}{4} \delta(f)$$

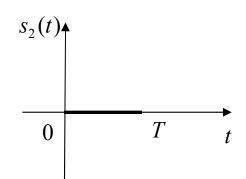
$$G_s(f) = \frac{A^2}{4} T \operatorname{sinc}^2(fT) + \frac{A^2}{4} \delta(f)$$



Signal set

$$M = \{s_1(t) = +AP_{T/2}(t), s_2(t) = 0\}$$





Versor

$$b_1(t) = \sqrt{\frac{2}{T}} P_{T/2}(t)$$

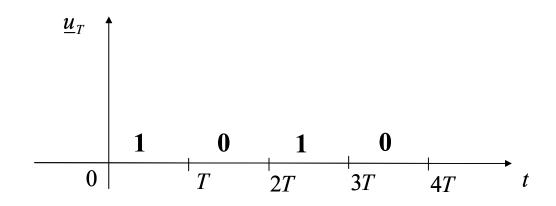
Vector set

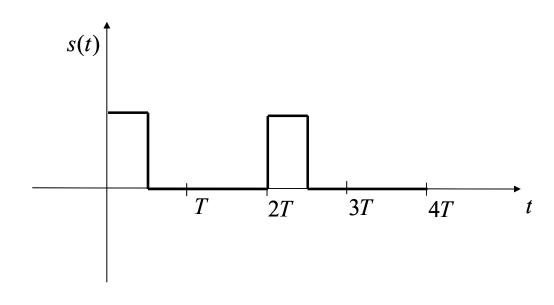
$$M = \{\underline{s_1} = (+\alpha), \underline{s_2} = (0)\}$$

#### Transmitted waveform

$$s(t) = \sum_{n} a[n]p(t - nT)$$

$$a[n] \in \{+\alpha, 0\}$$





Signal spectrum

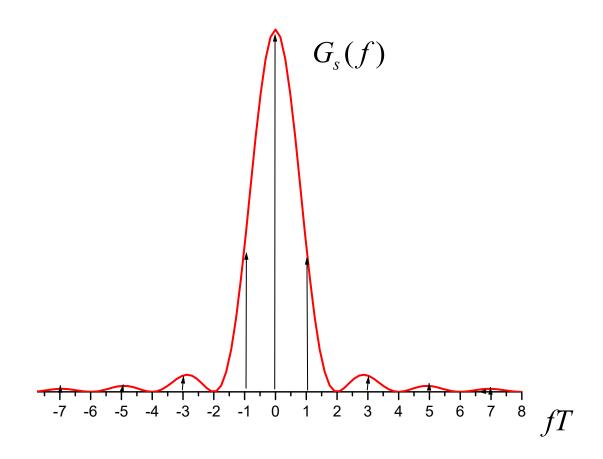
$$G(f) = \sigma_a^2 \frac{|P(f)|^2}{T} + \frac{\mu_a^2}{T^2} \sum_{n=-\infty}^{+\infty} \left| P\left(\frac{n}{T}\right) \right|^2 \delta\left(f - \frac{n}{T}\right)$$

$$|P(f)|^2 = z \left[ \frac{\sin(\pi f T/2)}{(\pi f T/2)} \right]^2 \qquad (z \in R)$$

Dirac deltas at zero frequency and at odd multiples of 1/T

$$G_s(f) = \frac{A^2}{16} T \operatorname{sinc}^2(fT/2) + \frac{A^2}{16} \sum_{i=-\infty}^{+\infty} \operatorname{sinc}^2\left(\frac{(2i+1)}{2}\right) \delta\left(f - \frac{(2i+1)}{T}\right)$$

$$G_s(f) = \frac{A^2}{16} T \operatorname{sinc}^2(fT/2) + \frac{A^2}{16} \sum_{i=-\infty}^{+\infty} \operatorname{sinc}^2\left(\frac{(2i+1)}{2}\right) \delta\left(f - \frac{(2i+1)}{T}\right)$$



### m-PAM constellation: characteristics

- 1. Base-band modulation
- 2. One-dimensional signal space
- 3. m signals, symmetrical with respect to the origin
- 4. Information associated to the impulse amplitude PAM=Pulse Amplitude Modulation

### m-PAM constellation: constellation

$$M = \{s_i(t) = \alpha_i p(t)\}_{i=1}^m$$

Versor

$$b_1(t) = p(t)$$
  $(d=1)$ 

#### **VECTOR SET**

$$M = \{\underline{s}_1 = (-(m-1)\alpha), \underline{s}_2 = (-(m-3)\alpha), \dots, \underline{s}_{m-1} = (+(m-3)\alpha), \underline{s}_m = (+(m-1)\alpha)\} \subseteq R$$

$$k = \log_2(m)$$

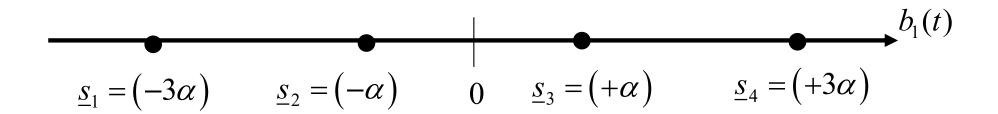
$$T = kT_b$$

$$R = \frac{R_b}{k}$$

### m-PAM constellation: constellation

Example: 4-PAM constellation

$$M = \{\underline{s}_1 = (-3\alpha), \underline{s}_2 = (-\alpha), \underline{s}_3 = (+\alpha), \underline{s}_4 = (+3\alpha)\} \subseteq R$$



### m-PAM constellation: constellation

Example: 8-PAM constellation

$$M = \{\underline{s}_1 = (-7\alpha), \underline{s}_2 = (-5\alpha), \underline{s}_3 = (-3\alpha), \underline{s}_4 = (-\alpha), \underline{s}_5 = (+\alpha), \underline{s}_6 = (+3\alpha), \underline{s}_7 = (+5\alpha), \underline{s}_8 = (+7\alpha)\} \subseteq R$$

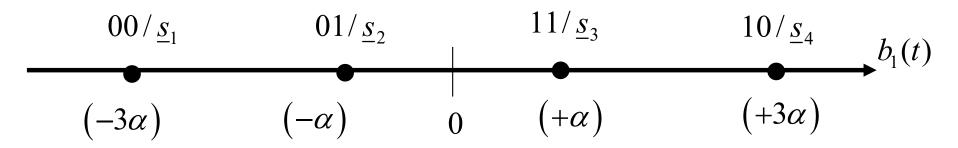
$$\underline{s}_{1} = (-7\alpha) \ \underline{s}_{2} = (-5\alpha) \ \underline{s}_{3} = (-3\alpha) \ \underline{s}_{4} = (-\alpha) \ \underline{0} \ \underline{s}_{5} = (+\alpha) \ \underline{s}_{6} = (+3\alpha) \ \underline{s}_{7} = (+5\alpha) \ \underline{s}_{8} = (+7\alpha)$$

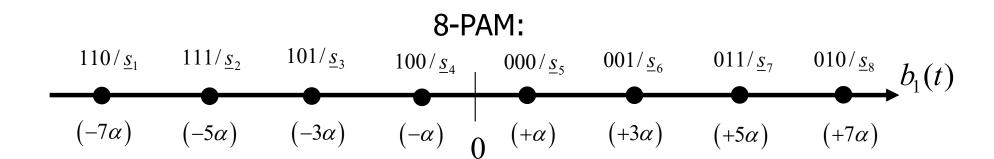
### m-PAM constellation: binary labelling

$$e: H_k \leftrightarrow M$$

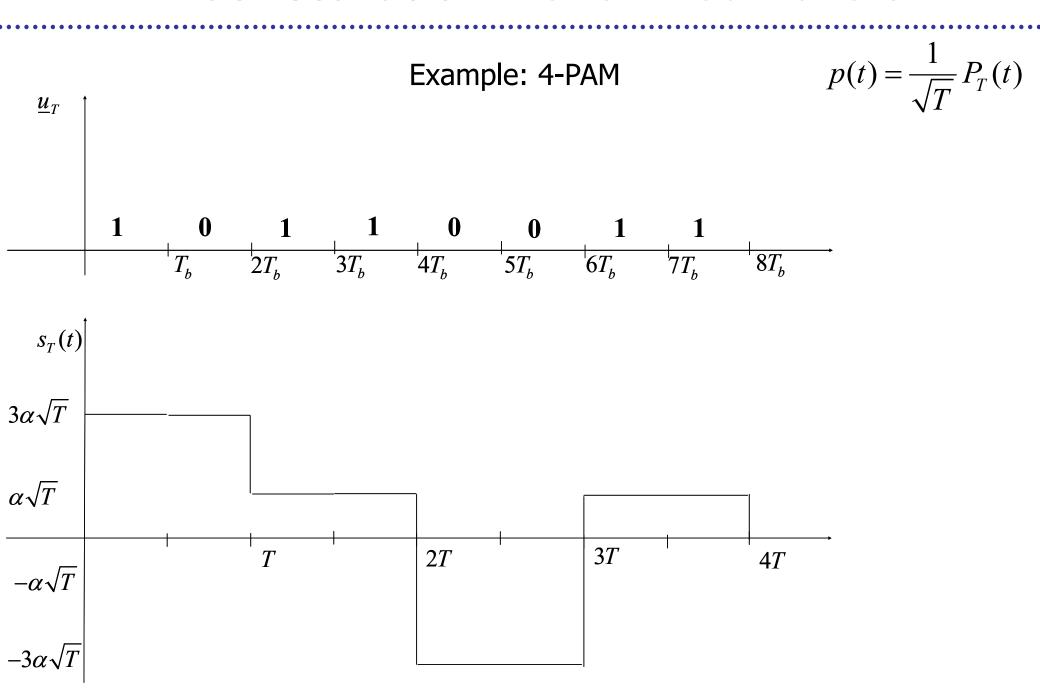
It is always possible to build a Gray labeling

#### 4-PAM:

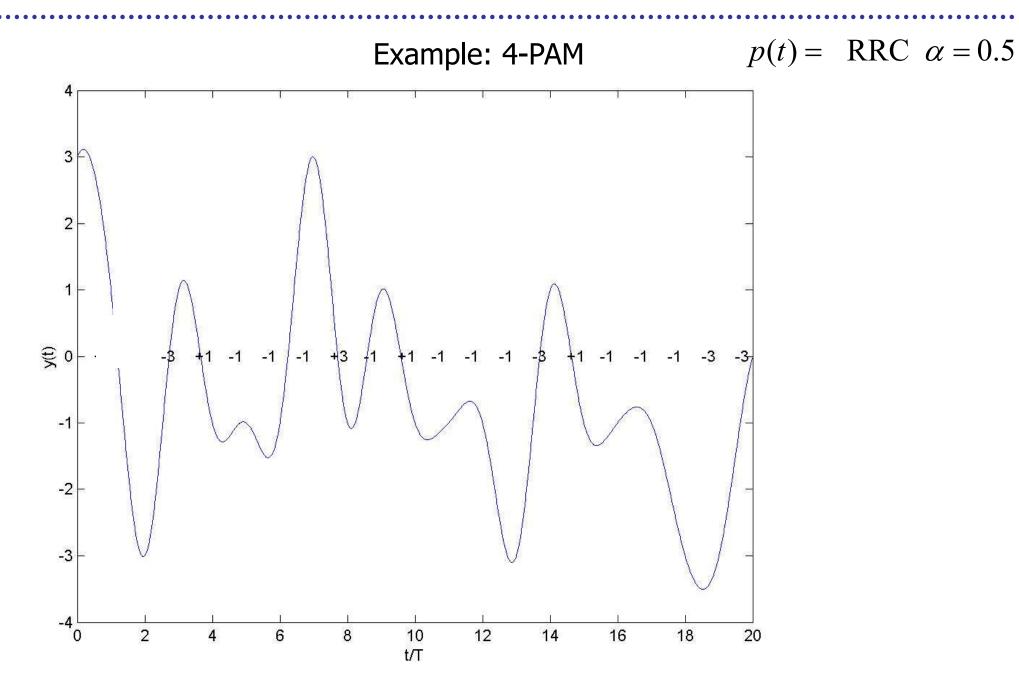




### m-PAM constellation: transmitted waveform



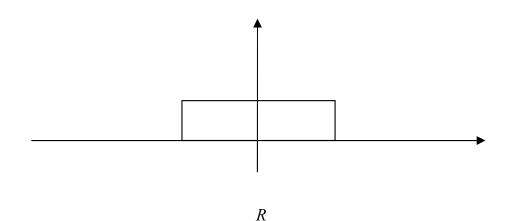
### m-PAM constellation: transmitted waveform



# m-PAM constellation:

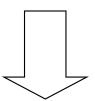
### bandwidth and spectral efficiency

Case 1: p(t) = ideal low pass filter



Total bandwidth (ideal case)

$$B_{id} = \frac{R}{2} = \frac{R_b / k}{2}$$



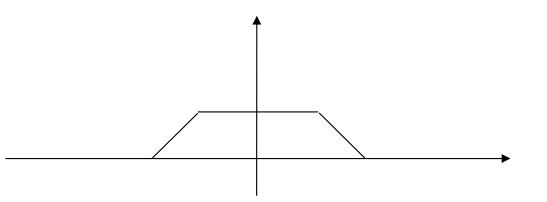
Spectral efficiency (ideal case)

$$\eta_{id} = \frac{R_b}{B_{id}} = 2k \ bps / Hz$$

### m-PAM constellation:

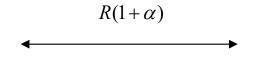
### bandwidth and spectral efficiency

Case 2: p(t) = RRC filter roll off  $\alpha$ 



Total bandwidth

$$B = \frac{R}{2}(1+\alpha) = \frac{R_b/k}{2}(1+\alpha)$$





Spectral efficiency

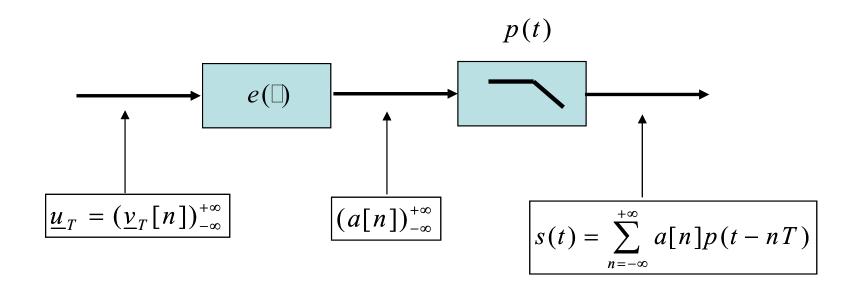
$$\eta = \frac{R_b}{B} = \frac{2k}{(1+\alpha)} bps / Hz$$

### **Exercize**

Given a baseband channel with bandwidth B up to 4000 Hz, compute the maximum bit rate  $R_b$  we can transmit over it with a 256-PAM constellation in the two cases:

- Ideal low pass filter
- RRC filter with □=0.25

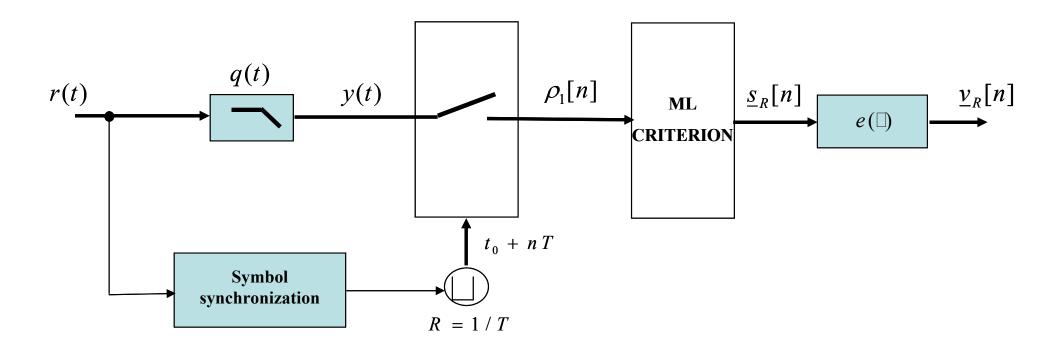
#### m-PAM constellation: modulator



Equal to 2-PAM, but we have m possible levels:

$$a[n] \in \{-(m-1)\alpha, -(m-3)\alpha, ..., +(m-3)\alpha, +(m-1)\alpha\}$$

#### m-PAM constellation: demodulator

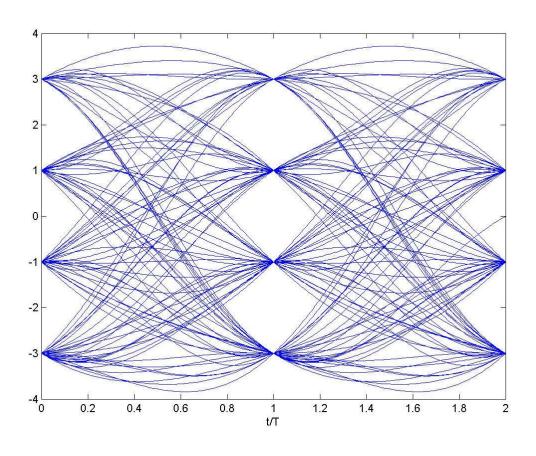


Equal to 2-PAM, but we have m possible levels:

$$a[n] \in \{-(m-1)\alpha, -(m-3)\alpha, ..., +(m-3)\alpha, +(m-1)\alpha\}$$

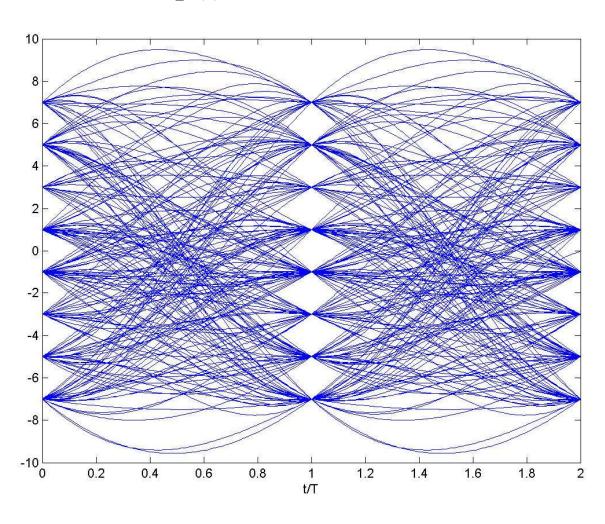
# m-PAM constellation: eye diagram

4-PAM, 
$$p(t) = RRC$$
 with  $2 = 0.5$ 



# m-PAM constellation: eye diagram

8-PAM, 
$$p(t) = RRC$$
 with  $2 = 0.5$ 



By applying the asymptotic approximation we can obtain

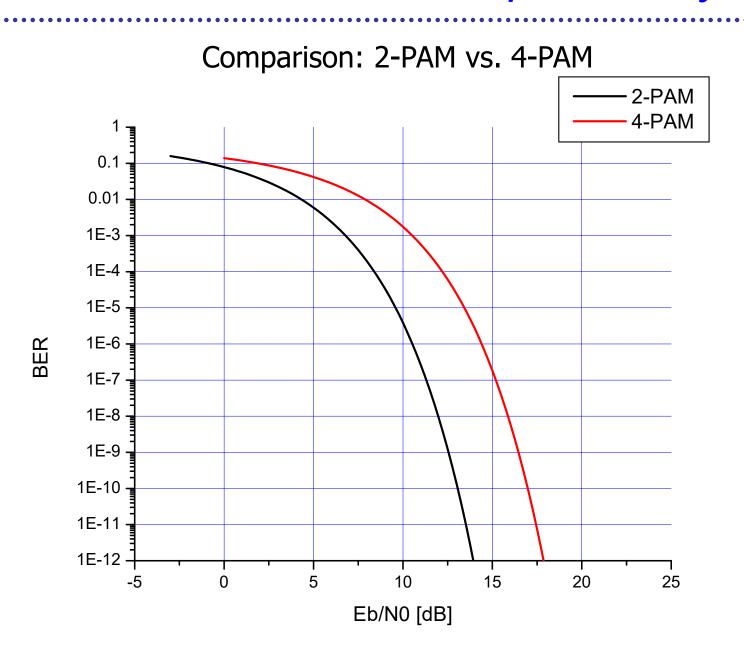
$$P_b(e) \approx \frac{m-1}{mk} erfc \left( \sqrt{\frac{3k}{m^2 - 1}} \frac{E_b}{N_0} \right)$$

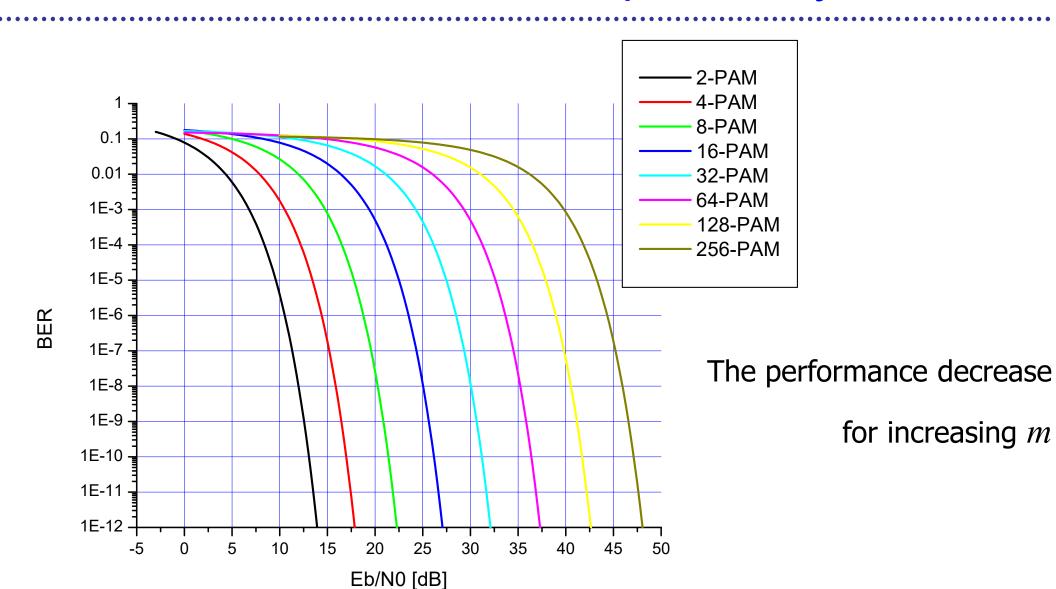
Comparison: 2-PAM vs. 4-PAM

2-PAM: 
$$P_b(e) = \frac{1}{2} \operatorname{erfc} \left( \sqrt{\frac{E_b}{N_0}} \right)$$

4-PAM: 
$$P_b(e) \approx \frac{3}{8} \operatorname{erfc} \left( \sqrt{\frac{2}{5} \frac{E_b}{N_0}} \right)$$

The 2-PAM constellation has better performance The constellation gain is in the order of  $10 \log(5/2) = 4 dB$ 





# m-PAM constellation: performance/spectral efficiency trade-off

Given a baseband channel with bandwidth B and an m-PAM constellation, by increasing the number of signals  $m=2^k$  we increase the spectral efficiency

$$\eta_{id} = R_b / B = 2k \ bps / Hz$$

then we can transmit a higher bit rate  $R_h$ .

Unfortunately, the performance decrease: fixed a BER value, the signal-to-noise ratio  $E_b/N_0$  necessary to achieve it increases with m.

# Example

Suppose B=4kHz.

With a (ideal) 2-PAM we transmit  $R_b = 8 \ \rm{kbps}$  With a (ideal) 256-PAM we transmit  $R_b = 64 \ \rm{kbps}$ 

However, fixed a target BER (e.g. BER=1e-10), a 256-PAM requires a larger ratio  $E_b/N_0$  (34 dB of difference!).

As an example, at the parity of transmitted power, the link distance is very lower (by a factor of 50!)

#### Linear modulation

An m-PAM constellation is a base-band modulation characterized by a low pass TX filter p(t).

Let us suppose to change this TX filter from p(t) to  $p(t)cos(2 \mathbb{Z} f_0 t)$ 

- ➤ The constellation stays unchanged →
  the BER performance are the same
- The signal spectrum changes

## Linear modulation

$$s(t) = \sum_{n} a[n]p(t - nT)$$

$$G(f) = \sigma_a^2 \frac{\left| P(f) \right|^2}{T}$$

$$s'(t) = \sum_{n} a[n]p'(t-nT)$$
$$p'(t) = p(t)\cos(2\pi f_0 t)$$

$$G'(f) = \frac{1}{4}[G(f - f_0) + G(f + f_0)]$$

The signal spectrum is translated around frequency  $f_0$ 

## Linear modulation

A linear modulation simply translates the spectrum around frequency  $f_0$  (carrier frequency or Intermediate Frequency IF )

The modulation formats obtained by applying a linear modulation to m-PAM modulations are called m-ASK (Amplitude Shift Keying).

The only one really important is 2-ASK, which is always called 2-PSK (Phase Shift Keying).

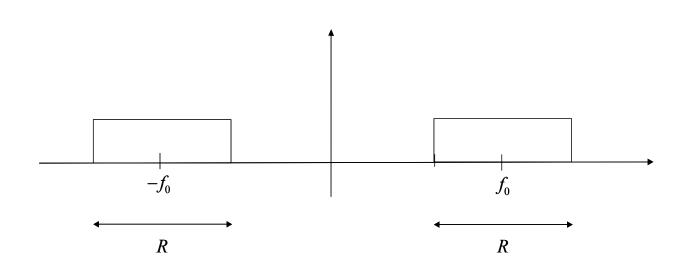
## m-ASK constellation: characteristics

- 1. One-dimensional constellation identical to m-PAM
- 2. Versor  $b_1(t) = p'(t) = p(t)\cos(2\pi f_0 t)$
- 3. Signal spectrum centred around  $f_0 \rightarrow$  bandpass modulations
- 4. ASK (Amplitude Shift Keying)

# m-ASK constellation: signal spectrum

$$G_s(f) = x \Big[ |P(f - f_0)|^2 + |P(f + f_0)|^2 \Big]$$
  $x \in R$ 

Example: p(t) = ideal low pass filter



$$B_{id} = R = \frac{R_b}{k}$$

$$\eta_{id} = \frac{R_b}{B_{id}} = k \ bps / Hz$$

## m-ASK constellation: properties

#### **Properties**

- $\triangleright$  Spectral efficiency halved with respect to m-PAM
- $\triangleright$  BER performance identical to m-PAM
- No practical applications (only exception 2-ASK which is always called 2-PSK)