

Algorithm W Step by Step

Martin Grabmüller

Sep 26 2006 (Draft)

Abstract

In this paper we develop a complete implementation of the classic algorithm W for Hindley-Milner polymorphic type inference in Haskell.

1 Introduction

Type inference is a tricky business, and it is even harder to learn the basics, because most publications are about very advanced topics like rank-N polymorphism, predicative/impredicative type systems, universal and existential types and so on. Since I learn best by actually developing the solution to a problem, I decided to write a basic tutorial on type inference, implementing one of the most basic type inference algorithms which has nevertheless practical uses as the basis of the type checkers of languages like ML or Haskell.

The type inference algorithm studied here is the classic Algorithm W proposed by Milner [?]. For a very readable presentation of this algorithm and possible variations and extensions read also [?]. Several aspects of this tutorial are also inspired by [?].

This tutorial is the typeset output of a literate Haskell script and can be directly loaded into an Haskell interpreter in order to play with it. This document in electronic form as well as the literate Haskell script are available from my homepage¹

This module was tested with version 6.6 of the Glasgow Haskell Compiler [?]

2 Algorithm W

The module we're implementing is called —AlgorithmW— (for obvious reasons). The exported items are both the data types (and constructors) of the term and type language as well as the function —ti—, which performs the actual type inference on an expression. The types for the exported functions are given as comments, for reference.

```
module Main ( Exp(..), Type(..), ti, - —ti :: TypeEnv -> Exp -> (Subst, Type)— main )
where
```

We start with the necessary imports. For representing environments (also called contexts in the literature) and substitutions, we import module —Data.Map—. Sets of type variables etc. will be represented as sets from module —Data.Set—.

```
import qualified Data.Map as Map import qualified Data.Set as Set
```

Since we will also make use of various monad transformers, several modules from the monad template library are imported as well. `import Control.Monad.Error` `import Control.Monad.Reader` `import Control.Monad.State`

The module —Text.PrettyPrint— provides data types and functions for nicely formatted and indented output. `import qualified Text.PrettyPrint as PP`

¹Just search the web for my name.

2.1 Preliminaries

We start by defining the abstract syntax for both *expressions* (of type ---Exp---), *types* (---Type---) and *type schemes* (---Scheme---).

```
data Exp = EVar String — ELit Lit — EApp Exp Exp — EAbs String Exp — ELet String
Exp Exp deriving (Eq, Ord)
```

```
data Lit = LInt Integer — LBool Bool deriving (Eq, Ord)
```

```
data Type = TVar String — TInt — TBool — TFun Type Type deriving (Eq, Ord)
```

```
data Scheme = Scheme [String] Type
```

In order to provide readable output and error messages, we define several pretty-printing functions for the abstract syntax. These are shown in Appendix ??.

We will need to determine the free type variables of a type. Function ---ftv--- implements this operation, which we implement in the type class ---Types--- because it will also be needed for type environments (to be defined below). Another useful operation on types, type schemes and the like is that of applying a substitution. class Types a where $\text{ftv} :: a \rightarrow \text{Set.Set String}$ $\text{apply} :: \text{Subst} \rightarrow a \rightarrow a$

```
instance Types Type where ftv (TVar n) = Set.singleton n ftv TInt = Set.empty ftv TBool
= Set.empty ftv (TFun t1 t2) = ftv t1 `Set.union` ftv t2
```

```
apply s (TVar n) = case Map.lookup n s of Nothing -> TVar n Just t -> t apply s (TFun t1
t2) = TFun (apply s t1) (apply s t2) apply s t = t
```

```
instance Types Scheme where ftv (Scheme vars t) = (ftv t) `Set.difference` (Set.fromList
vars)
```

```
apply s (Scheme vars t) = Scheme vars (apply (foldr Map.delete s vars) t)
```

It will occasionally be useful to extend the ---Types--- methods to lists. instance $\text{Types a} \Rightarrow \text{Types [a]}$ where $\text{apply s} = \text{map (apply s)}$ $\text{ftv l} = \text{foldr Set.union Set.empty (map ftv l)}$ Now we define substitutions, which are finite mappings from type variables to types. type $\text{Subst} = \text{Map.Map String Type}$

```
nullSubst :: Subst nullSubst = Map.empty
```

```
composeSubst :: Subst -> Subst -> Subst composeSubst s1 s2 = (Map.map (apply s1) s2)
`Map.union` s1
```

Type environments, called Γ in the text, are mappings from term variables to their respective type schemes. newtype $\text{TypeEnv} = \text{TypeEnv (Map.Map String Scheme)}$ We define several functions on type environments. The operation $\Gamma \setminus x$ removes the binding for x from Γ and is called ---remove--- . $\text{remove} :: \text{TypeEnv} \rightarrow \text{String} \rightarrow \text{TypeEnv}$ $\text{remove (TypeEnv env) var} = \text{TypeEnv (Map.delete var env)}$

```
instance Types TypeEnv where ftv (TypeEnv env) = ftv (Map.elems env) apply s (TypeEnv
env) = TypeEnv (Map.map (apply s) env)
```

The function ---generalize--- abstracts a type over all type variables which are free in the type but not free in the given type environment. $\text{generalize} :: \text{TypeEnv} \rightarrow \text{Type} \rightarrow \text{Scheme}$ $\text{generalize env t} = \text{Scheme vars t}$ where $\text{vars} = \text{Set.toList ((ftv t) `Set.difference` (ftv env))}$

Several operations, for example type scheme instantiation, require fresh names for newly introduced type variables. This is implemented by using an appropriate monad which takes care of generating fresh names. It is also capable of passing a dynamically scoped environment, error handling and performing I/O, but we will not go into details here. data $\text{TIEnv} = \text{TIEnv}$

```
data TIState = TIState tiSupply :: Int, tiSubst :: Subst
```

```
type TI a = ErrorT String (ReaderT TIEnv (StateT TIState IO)) a
```

```
runTI :: TI a -> IO (Either String a, TIState) runTI t = do (res, st) <- runStateT (runReaderT
(runErrorT t) initTIEnv) initTIState return (res, st) where initTIEnv = TIEnv initTIState =
TIState tiSupply = 0, tiSubst = Map.empty
```

```
newTyVar :: String -> TI Type newTyVar prefix = do s <- get put stiSupply = tiSupply
s + 1 return (TVar (prefix ++ show (stiSupply s)))
```

The instantiation function replaces all bound type variables in a type scheme with fresh type variables. $\text{instantiate} :: \text{Scheme} \rightarrow \text{TI Type}$ $\text{instantiate (Scheme vars t)} = \text{do nvars <- mapM (} _ \rightarrow \text{newTyVar "a"} \text{) vars}$ $\text{lets} =$

Map.fromList(zipvarsnvars) return apply s t This is the unification function for types. The function `—varBind—` attempts to bind a type variable to a type and return that binding as a substitution, but avoids binding a variable to itself and performs the occurs check. `mgu :: Type -> Type -> TI Subst mgu (TFun l r) (TFun l' r') = do s1 <- mgu l l' s2 <- mgu (apply s1 r) (apply s1 r') return (s1 'composeSubst' s2) mgu (TVar u) t = varBind u t mgu t (TVar u) = varBind u t mgu TInt TInt = return nullSubst mgu TBool TBool = return nullSubst mgu t1 t2 = throwError "typesdonotunify:" ++ showt1 ++ "vs." ++ showt2`

`varBind :: String -> Type -> TI Subst varBind u t — t == TVar u = return nullSubst — u 'Set.member' ftv t = throwError "occurrencefails:" ++ u ++ "vs." ++ showt | otherwise = return (Map.singleton u t)`

2.2 Main type inference function

Types for literals are inferred by the function `—tiLit—`. `tiLit :: TypeEnv -> Lit -> TI (Subst, Type) tiLit (LInt) = return (nullSubst, TInt) tiLit (LBool) = return (nullSubst, TBool)` The function `—ti—` infers the types for expressions. The type environment must contain bindings for all free variables of the expressions. The returned substitution records the type constraints imposed on type variables by the expression, and the returned type is the type of the expression. `ti :: TypeEnv -> Exp -> TI (Subst, Type) ti (TypeEnv env) (EVar n) = case Map.lookup n env of Nothing -> throwError "unboundvariable:" ++ n Just sigma -> dot < —instantiatesigmareturn (nullSubst, t) tienv (ELit l) = tiLitenv l tienv (EAbs ne) = dotv < —newTyVar "a" let removeenvnenv' = TypeEnv (env' Map.union (Map.singleton n (Scheme [] tv))) (s1, t1) < —tienv' return (s1, t1) dotv < —newTyVar "a" (s1, t1) < —tienv' (s2, t2) < —ti (apply s1 env) e2 s3 < —mgu (apply s2 t1) (TFun t2 tv) return (s1, t1) < —tienv' let TypeEnv env' = removeenvxt' = generalize (apply s1 env) t1 env' = TypeEnv (Map.insert t' env') (s2, t2) < —ti (apply s1 env') e2 return (s1 'composeSubst' s2, t2)` This is the main entry point to the type inferencer. It simply calls `—ti—` and applies the returned substitution to the returned type. `typeInference :: Map.Map String Scheme -> Exp -> TI Type typeInference env e = do (s, t) <- ti (TypeEnv env) e return (apply s t)`

2.3 Tests

The following simple expressions (partly taken from [?]) are provided for testing the type inference function. `e0 = ELet "id" (EAbs "x" (EVar "x")) (EVar "id")`
`e1 = ELet "id" (EAbs "x" (EVar "x")) (EApp (EVar "id") (EVar "id"))`
`e2 = ELet "id" (EAbs "x" (ELet "y" (EVar "x") (EVar "y"))) (EApp (EVar "id") (EVar "id"))`
`e3 = ELet "id" (EAbs "x" (ELet "y" (EVar "x") (EVar "y"))) (EApp (EApp (EVar "id") (EVar "id")) (ELit (LInt 2)))`
`e4 = ELet "id" (EAbs "x" (EApp (EVar "x") (EVar "x"))) (EVar "id")`
`e5 = EAbs "m" (ELet "y" (EVar "m") (ELet "x" (EApp (EVar "y") (ELit (LBool True))) (EVar "x")))` This simple test function tries to infer the type for the given expression. If successful, it prints the expression together with its type, otherwise, it prints the error message. `test :: Exp -> IO () test e = do (res, t) <- runTI (typeInference Map.empty) e case res of Left err -> putStrLn "error: " ++ err Right t -> putStrLn showe ++ " :: " ++ showt`

2.4 Main Program

The main program simply infers the types for all the example expression given in Section ?? and prints them together with their inferred types, or prints an error message if type inference fails.

`main :: IO () main = mapM test [e0, e1, e2, e3, e4, e5]` This completes the implementation of the type inference algorithm.

3 Conclusion

This literate Haskell script is a self-contained implementation of Algorithm W [?]. Feel free to use this code and to extend it to support better error messages, type classes, type annotations etc. Eventually you may end up with a Haskell type checker...

A Pretty-printing

This appendix defines pretty-printing functions and instances for `—Show—` for all interesting type definitions.

```
instance Show Type where showsPrec x = shows(prType x)
prType :: Type -> PP.Doc prType (TVar n) = PP.text n prType TInt = PP.text "Int"
prType TBool = PP.text "Bool" prType (TFun t s) = prParenType t PP.i+ PP.text "->"
PP.i+ prType s
prParenType :: Type -> PP.Doc prParenType t = case t of TFun _>PP.parens(prType t)>prType t
instance Show Exp where showsPrec x = shows(prExp x)
prExp :: Exp -> PP.Doc prExp (EVar name) = PP.text name prExp (ELit lit) = prLit lit
prExp (ELet x b body) = PP.text "let" PP.i+ PP.text x PP.i+ PP.text "=" PP.i+ prExp b
PP.i+ PP.text "in" PP.
```

$PP.nest2(prExp body)prExp(EApp e1 e2) = prExp e1 PP. < + > prParenExp e2 prExp(EAbs ne) = PP.char''P$

```
prParenExp :: Exp -> PP.Doc prParenExp t = case t of ELet _>PP.parens(prExp t)EApp _>PP.parens(prExp t)EAbs _>PP.p
instance Show Lit where showsPrec x = shows(prLit x)
prLit :: Lit -> PP.Doc prLit (LInt i) = PP.integer i prLit (LBool b) = if b then PP.text
"True" else PP.text "False"
instance Show Scheme where showsPrec x = shows(prScheme x)
prScheme :: Scheme -> PP.Doc prScheme (Scheme vars t) = PP.text "All" PP.i+ PP.hcat
(PP.punctuate PP.comma (map PP.text vars)) PP.i+ PP.text "." PP.i+ prType t
```