

## Tarea 07 - Natural Language Processing

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## Abstract

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## 1 Introduction

El análisis de sentimiento o opinion mining es el estudio computacional de las opiniones, comentarios, emociones, sentimientos y actitudes de entes como servicios, organizaciones, personas, problemas, eventos y topics.<sup>1</sup> El crecimiento rapido de la rama de estudio coincide con el auge de las redes sociales, como lo son blogs, revisiones, foros y Twitter. El análisis de sentimiento es uno de las ramas más activas del procesamiento de lenguaje natural (NLP). El uso de este procesamiento se ha esparcido hacia las áreas de managment science y social science como lo son el marketing, finanzas, ciencias politicas, comunicaciones e historia.<sup>2</sup>

Existen investigaciones que han producido un numero de tecnicas para las tareas de analisis de sentimiento las cuales involucran metodos de aprendizaje supervisado y no supervisado. Las tecnicas de aprendizaje no supervisado explotan metodos basados en lexicones, analisis de gramatica, analisis de patrones sintacticos. Algunas de las tecnicas de aprendizaje no supervisado estan el support vector machines (SVM), maximum entropy y naive bayes.<sup>1,3,4</sup>

A inicio de la decada del 2010, el deep learning emergio como una tecnica importante para el aprendizaje supervisado.<sup>5</sup> Obteniendo resultados comparables al estado del arte en diversas aplicaciones en los campos de vision computacional y reconocimiento del habla. La aplicación del deep learning se ha vuelto popular en años recientes.

## 1.1 Sentiment analysis tasks

El análisis de sentimiento esta dividido en varios niveles.<sup>6</sup> A nivel de documento,<sup>7</sup> nivel de sentencia,<sup>8</sup> nivel de palabra<sup>9</sup> o nivel de aspecto.<sup>10</sup>

### 1.1.1 Document-level sentiment classification

El documento es tratado como la unidad primaria de información en la que se puede enfocar. El documento puede ser clasificado en un apartado positivo o negativo. Yang<sup>11</sup> propuso una hierarchical attention network model que se enfoca en la información para construir una representación de documentos. El mayor reto para document-level sentiment classification es crear la relaciones entre palabras de un documento extenso. Este problema puede ser tratado con el modelo SR-LSTM.<sup>12</sup> El modelo esta compuesto de una capa de LSTM que aprende los vectores de cada palabra (sentence vectors) y una segunda capa que encodes la relacion entre palabras.

### 1.1.2 Sentence-level sentiment classification

El trabajar una clasificación a nivel de documento es la dificultad de extraer diferentes sentimientos sobre entes separados. Es por ello que la clasificación a nivel palabra es clasificada de manera objetiva o subjetiva. Una expresión es clasificada de subjetiva cuando se expresa una opinión de un ente. Las expresiones objetivas se caracterizan por no contener un sentimiento. Zhao<sup>13</sup> propuso un esquema (framework) llamado Weakly-supervised Deep Embedding (WDE). El esquema se basa en review ratings para entrenar un clasificador de sentimientos usando una Convolutional Neural Network (CNN). Se implementaron dos redes, WDE-CNN y (WDE-LSTM) para extraer los vectores para representar cada review sentence. El modelo se probó con el Amazon dataset from three domains (digital cameras, cell phones and laptops). The accuracy obtained on WDE-CNN model was 87.7%, and on WDE-LSTM model was 87.9%, which shows that deep learning models gives highest accuracy as compared to baseline models.

### 1.1.3 Aspect-level sentiment classification

Aspect level sentiment analysis is commonly called feature-based sentiment analysis or entity-based sentiment analysis. This sentiment analysis task includes the identification of features or aspects in a sentence (which is a user-generated review of an entity) and categorizing the features as positive or negative. The sentiment-target pairs are first identified, then they are classified into different polarities, and finally, sentiment values for every aspect are clubbed. Recently, attention-based LSTM mechanisms are being used for aspect-based sentiment analysis. Ma et al.<sup>14</sup> proposed a two-step attention architecture, which attends words of the target expression along with the whole sentence. The author also applied extended LSTM, which can utilize external knowledge for developing a common-sense system for target aspect-based sentiment analysis. The initial systems were not able to model different aspects in a sentence and do not explore the explicit position context of words. Hence, Ma et al.<sup>15</sup> developed a two-stage approach that can handle the above problems. In Stage-1, position attention model is introduced for modelling the aspects and its neighboring context words. In Stage-2 multiple aspect terms within a sentence are modelled simultaneously. The most recent approach is proposed by Yang et al.,<sup>16</sup> which replaces the conventional attention models with coattention mechanism by introducing a Coattention-LSTM network that can model the context-level and target-level attention alternatively by learning the non-linear representations of the target and context simultaneously. Thus, the proposed model can extract more effective sentiment features for aspect-based sentiment analysis.

### 1.1.4 Multi-domain sentiment classification

The word domain is referred as a set of documents that are related to a specific topic. Multi-domain sentiment classification focuses on transferring information from one domain to the

next domain. The models are first trained in source domain. The knowledge is then transferred and explored in another domain. Yuan et al.<sup>17</sup> proposed a Domain Attention Model (DAM) for modeling the feature-level tasks using attention mechanism for multi-domain sentiment classification. DAM is composed of two modules: domain module and sentiment module. The domain module predicts the domain in which text belongs using bi-LSTM, and sentiment module selects the important features related to the domain using another bi-LSTM with attention mechanism. The vector thus obtained from the sentiment module is fed into a softmax classifier to predict the polarity of the texts. The author used Amazon multi-domain dataset containing reviews from four domains, and Sanders Twitter Sentiment dataset containing tweets about four different IT companies. The proposed model was compared with traditional machine learning approaches, and results show that the model performed well for multi-domain sentiment classification.

### 1.1.5 Multimodal sentiment classification

Different people express their sentiments or opinions in different ways. Earlier, the text was considered as the primary medium to express an opinion. This is known as a unimodal approach. With the advancement of technology and science, people are now shifting towards visual and audio modalities to express their sentiments. Combining or fusing more than one modalities for detecting the opinion is known as multimodal sentiment analysis. Hence, researchers are now focusing on this direction for improving the sentiment classification process. Poria et al.<sup>18</sup> proposed a novel methodology for merging the affective information extracted from audio, visual, and textual modalities. They discussed how different modalities were combined together to improve the overall sentiment analysis process. The experimental results showed that bimodal and trimodal models have shown better accuracy as compared to unimodal models, which shows the importance of using features from all the modality for enhancing the performance of sentiment analysis models.

### 1.1.6 Taxonomy of sentiment analysis

Research in the field of sentiment analysis is taking place for several years. Initially, handcrafted features were used for various classification tasks. On the other hand, machine-learned features can be categorized into traditional machine learning-based approaches and deep learning-based approaches. Machine learning-based methods include Support Vector Machine (SVM), Naïve Bayes (NB), Maximum Entropy (ME), Decision tree learning, and Random Forests. They are further categorized into supervised and unsupervised learning methods.

## 2 Methods

### 2.1 Transformers

In the works of NLP, the use of pre-entrained language models have become a useful block to get a better result on every task. One of the most competitive neural sequence transduction models have an encoder-decoder structure.<sup>19,20</sup> Here, the encoder maps an input sequence of symbol representations  $(x_1, \dots, x_n)$  to a sequence of continuous representations  $z = (z_1, \dots, z_n)$ . Given  $z$ , the decoder then generates an output sequence  $(y_1, \dots, y_m)$  of symbols one element at a time. At each step the model is auto-regressive,<sup>21</sup> consuming the previously generated symbols as additional input when generating the next. The Transformer follows this overall architecture using stacked self-attention and point-wise, fully connected layers for both the encoder and decoder (figure 1). The encoder is composed of a stack of  $N = 6$  identical layers. Each layer has two sub-layers. The first is a multi-head self-attention mechanism, and the second is a simple, position wise fully connected feed-forward network.

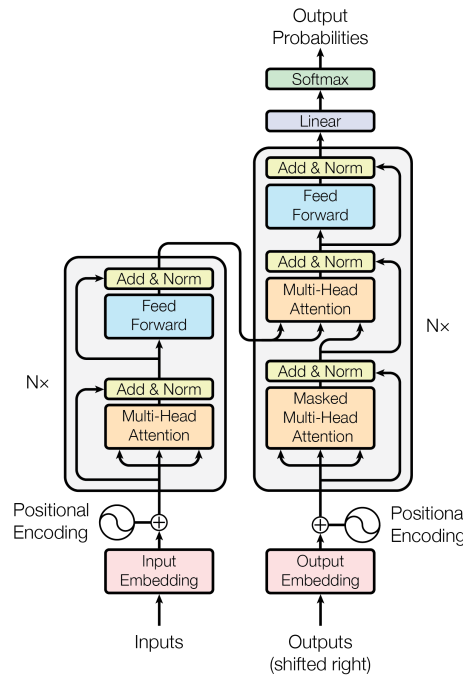


Figure 1: Transformer model representation.<sup>22</sup>

## 2.2 BERT

BERT model is an acronym for Bidirectional Encoder Representations for Transformers. BERT alleviates the previously mentioned unidirectionality constraint by using a ‘masked language model’ (MLM) pretraining objective, inspired by the Cloze task.<sup>23</sup> The masked language model randomly masks some of the tokens from the input, and the objective is to predict the original vocabulary id of the masked word based only on its context. Pre-trained word embeddings are an integral part of modern NLP systems, offering significant improvements over embeddings learned from scratch.<sup>24</sup> To pre-train word embedding vectors, left-to-right language modeling objectives have been used,<sup>25</sup> as well as objectives to discriminate correct from incorrect words in left and right context.<sup>26</sup> As with the feature-based approaches, the first works in this direction only pre-trained word embedding parameters from unlabeled text.<sup>27</sup> More recently, sentence or document encoders which produce contextual token representations have been pre-trained from unlabeled text and fine-tuned for a supervised downstream task. The advantage of these approaches is that few parameters need to be learned from scratch.

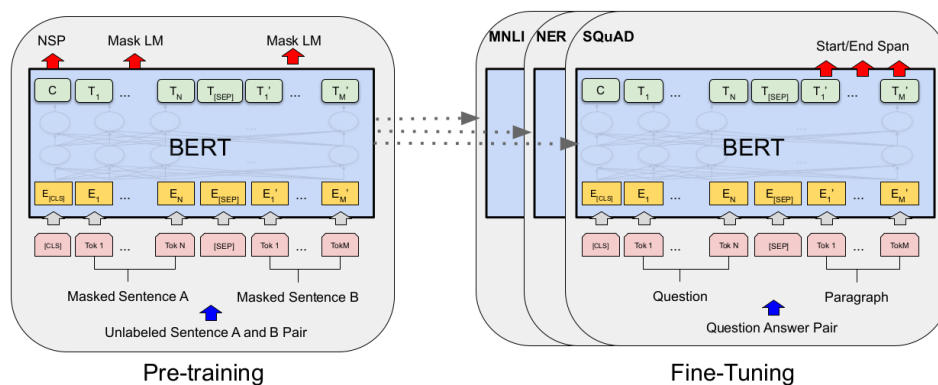


Figure 2: Overall pretraining and fine-tuning procedures for BERT. Apart from output layers, the same architectures are used in both pretraining and fine-tuning. The same pre-trained model parameters are used to initialize models for different down-stream tasks.<sup>28</sup>

There are two steps in our framework: pretraining and fine-tuning. During pretraining, the model is trained on unlabeled data over different pretraining tasks. For fine-tuning, the BERT model is first initialized with the pre-trained parameters, and all of the parameters are fine-tuned using labeled data from the downstream tasks. Each downstream task has separate fine-tuned models, even though they are initialized with the same pre-trained parameters. The question-answering example in Figure 2 will serve as a running example for this section. To make BERT handle a variety of down-stream tasks, our input representation is able to unambiguously represent both a single sentence and a pair of sentences in one token sequence. Throughout this work, a sentence can be an arbitrary span of contiguous text, rather than an actual linguistic sentence.

## 2.3 RoBERTa

The BERT model can be optimized with some modifications on the pretraining procedure. Liu<sup>29</sup> join this configurations in one model named Robustly optimized BERT approach (RoBERTa). Specifically, RoBERTa is trained with dynamic masking, FULL-SENTENCES without NS loss, large mini-batches. One of the most important modifications is the number of training passes and the size of the batch. This is because Large batch training can improve training efficiency even without large scale parallel hardware through gradient accumulation, whereby gradients from multiple mini-batches are accumulated locally before each optimization step.<sup>30</sup> In all the test that Liu<sup>29</sup> did in his paper demonstrate that RoBERTa have a performance by training the model with bigger batches over more data, removing the next sentence prediction, training on longer sequences and dynamically changing the masking pattern applied to the training data.

## 2.4 RoBERTuito

The RoBERTuito model has a RoBERTa base architecture. This model have 2 self-attention layers, 12 attention heads, and hidden size equal to 768, in the same fashion as BERTweet.<sup>31</sup> RoBERTuito use a masked language objective disregarding the next-sentence prediction task used in BERT or other tweet-order tasks such as those used in Gonzalez et al.<sup>32</sup>

## 2.5 MEX-A3T

Team Name	F1 offensive	F1 non-offensive	F1 macro	Precision	Recall	Accuracy
CIMAT-1	0.7998	0.9195	0.8596	0.8605	0.8588	0.8851
CIMAT-2	0.7971	0.9205	0.8588	0.8641	0.8540	0.8858
UPB-2	0.7969	0.9107	0.8538	0.8440	0.8668	0.8759
UACH-2	0.7720	0.9042	0.8381	0.8332	0.8437	0.8651
INGEOTEC	0.7468	0.8933	0.8200	0.8150	0.8258	0.8498
Idiap-UAM-1	0.7255	0.8886	0.8071	0.8067	0.8075	0.8416
Baseline (Bi-GRU)	0.7124	0.8841	0.7983	0.7988	0.7978	0.8348
Idiap-UAM-2	0.7066	0.8953	0.8010	0.8234	0.7860	0.8457
UACH-1	0.7062	0.8861	0.7961	0.8021	0.7909	0.8358
DeepMath-1	0.7001	0.8544	0.7773	0.7662	0.8120	0.8040
DeepMath-2	0.6957	0.8537	0.7747	0.7639	0.7971	0.8024
Baseline (BoW-SVM)	0.6760	0.8780	0.7770	-	-	0.8228
UMUTeam-2	0.6727	0.8706	0.7716	0.7744	0.7691	0.8145
Intensos-1	0.6619	0.8752	0.7686	0.7820	0.7588	0.8177
UMUTeam-3	0.6516	0.8771	0.7644	0.7868	0.7503	0.8183
Ugalileo-2	0.6388	0.8208	0.7298	0.7213	0.7531	0.7604
Ugalileo-1	0.6387	0.8430	0.7408	0.7350	0.7486	0.7811
ITCG-SD	0.6080	0.8820	0.7450	0.8133	0.7203	0.8186
UMUTeam-1	0.5892	0.8430	0.7161	0.7223	0.7112	0.7728
UPB-1	0.3437	0.8463	0.5950	0.7333	0.5947	0.7509
Intensos-2	0.2515	0.7664	0.5090	0.5189	0.5141	0.6440

### 3 Results

### 4 Conclusions

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