### Lecture 1.

Algorithm complexity estimation. Sorting algorithms.

Algorithms and Data Structures
Ivan Solomatin
Bangkok, 08 September 2025

## About your teacher. Ivan Solomatin.



#### https://harbour.space/faculty/ivan-solomatin



My telegram: t.me/IvanSol42

- Graduated from MIPT, Moscow Bachelor 2016, Master 2018, PhD 2022.
- Expert engineer at Samsung R&D.
   2016—...
- Coach for competitive programming 2016-2018
  - Teacher on Algorithms at MIPT 2020—...
- Teacher on Algorithms at Harbor.Space 2022—...

### Course description

#### Course goal:

- Get familiar with basic algorithms and data structures.
- Learn how to implement basic algorithms and data structures on python.
- Learn how to apply obtained knowledge in practice.
- Get experience and intuition in programming problems solving.

#### Sources:

- Thomas H. Cormen, et al. *Introduction to algorithms*. MIT press, 2009.
- www.geeksforgeeks.org/fundamentals-of-algorithms
- www.e-maxx.ru/algo/

### Course description

#### Course content:

- Lectures (online)
- Homework (problem solving in contest.yandex.ru)
- Seminars (online, real time):
  - Brief repetition of lecture materials
  - Homework analysis
  - Additional topics / problems
  - Q&A

#### Final grade:

- Homework (~60%)
- Practical exam (problem solving) (~20%)
- Theoretical exam (~20%)

### Course description

#### Sign up to yandex.contest:

- 1. Visit <a href="https://passport.yandex.ru/registration">https://passport.yandex.ru/registration</a> and create an account (please, use a login which will be easy for me to parse).
- 2. Go to <a href="https://contest.yandex.ru/contest/81122/enter/?lang=en">https://contest.yandex.ru/contest/81122/enter/?lang=en</a> and start solving problems.





#### Outline

- Course description.
- Algorithm complexity basics
- Sorting problem statement
- Quadratic  $O(N^2)$  sorting algorithms
  - Selection sort
  - Insertion sort
  - Bubble sort
- Linearithmic  $O(N \log N)$  sorting algorithms
  - Merge sort
  - Quick sort
  - Heap sort (later)
- Greedy algorithms

#### Definition

Algorithm: a finite sequence of well-defined, computerimplementable instructions, which solves a class of problems.

$$A: X \rightarrow Y$$

Number of elementary operations (processor instructions) for executing A on input  $x \in X$ :

Size of input x:

Worst case complexity:

 $N \to \infty$ 

Examples

#### Problem:

Calculate sum of two given numbers x, y:  $x, y \in [-2^{63}; 2^{63})$ 

#### Input:

- x 64 bit integer = 8 bytes
- y 64 bit integer = 8 bytes

input size: 16 bytes

#### Algorithm:

calculate sum, return result.

#### Complexity:

0(1)

#### Examples

#### Problem:

Calculate sum of 
$$N$$
 numbers  $x_1, ..., x_N$ .  
 $x_i \in [-2^{31}; 2^{31})$ 

#### Input:

- $x_1$  32bit integer = 4 bytes
- ...
- $x_N$  32bit integer = 4 bytes

size: 4*N* bytes

#### Algorithm:

Iterate over  $x_i$  and accumulate sum:

#### Complexity:

$$O(1) * N = O(N)$$

#### Examples

#### Problem:

Check if there is a pair of equal numbers between given

N numbers 
$$x_1, ..., x_N$$
.  
 $x_i \in [-2^{31}; 2^{31})$ 

#### Input:

- $x_1$  32bit integer = 4 bytes
- ..
- $x_N$  32bit integer = 4 bytes

size: 4*N* bytes

#### Algorithm:

Iterate over each pair and check equality:

#### Complexity:

```
In worst case: (N-1) + (N-2) + \dots + 1 = \frac{N(N-1)}{2} = O(N^2)
```

```
def f(x):
  N = len(x)
  for i in range(N):
    for j in range(i + 1, N):
        if x[i] == x[j]:
        return True
  return False
```

#### Outline

- Sorting problem statement
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  - Insertion sort
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- Greedy algorithms

#### Problem statement

Given sequence of objects (let's suppose they're integer numbers for simplicity).

$$x_0, x_1, \dots, x_{N-1}: x_i \in X$$
 (1)

Also given binary relation  $\leq$  (transitive, reflexive) on X.

Task is to reorder elements:

$$x_{i_0}, x_{i_1}, \dots, x_{i_{N-1}}$$
 (2)  
 $x_{i_0} \le x_{i_1} \le \dots \le x_{i_{N-1}}$  (3)

Sequence of source indices used to reorder elements construct a permutation:

$$\pi = (i_0, i_1, ... i_{N-1})$$

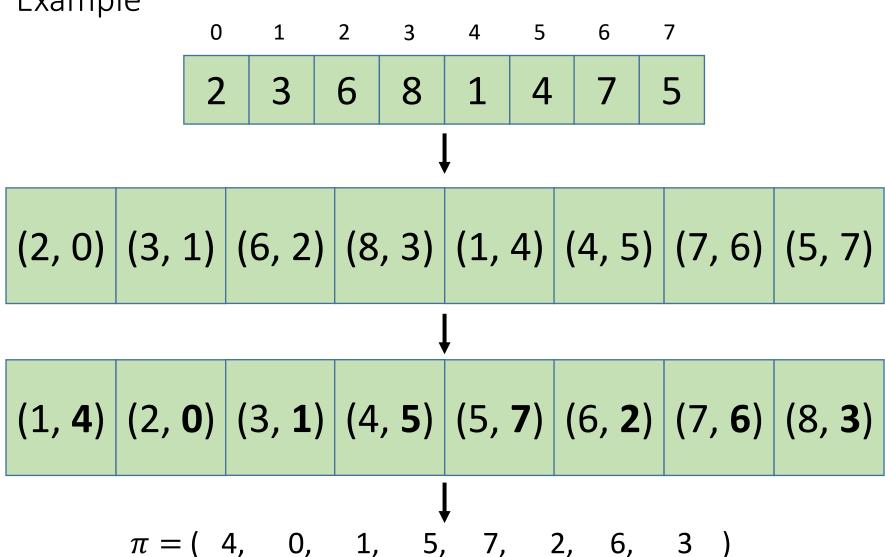
In other words, the task is to find a permutation that will satisfy (3).

### Problem statement

Example

#### Problem statement

Example



### Quadratic $O(N^2)$ sorting algorithms

- Selection sort
- Insertion sort
- Bubble sort

## Selection sort

- 1. Let's find minimum value in range [i, N):  $x_{i_{min}}$ .
- 2. We know the place  $x_{i_{min}}$  should take in sorted array: i-th.
- 3. Let's swap  $x_{i_{min}}$  with value on it's desired place.
- 4. Now, let's sort the rest array (x[i + 1:]) using the same approach (i += 1) and go to 1.).

### Selection sort

Implementation

```
N = len(x)
for i in range(N - 1):
    i_min = i
    for j in range(i + 1, N):
        if x[j] < x[i_min]:
            i_min = j
        x[i], x[i_min] = x[i_min], x[i]</pre>
```

Complexity:  $N - 1 + N - 2 + ... + 1 = N(N - 1)/2 = O(N^2)$ 

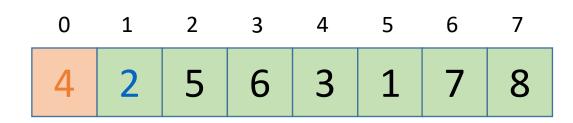
### Quadratic $O(N^2)$ sorting algorithms

- Selection sort
- Insertion sort
- Bubble sort

This sorting algorithm works similar to the way you sort playing cards in your hands:

0	1	2	3	4	5	6	7
4	2	5	6	3	1	7	8

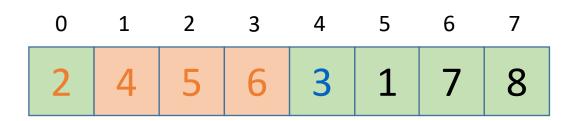
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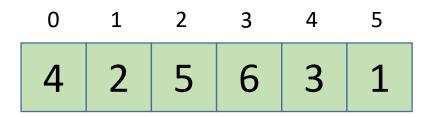
Implementation

```
N = len(x)
for i in range(1, N):
    key = x[i]
    j = i - 1
    while j \ge 0 and key \langle x[j]:
        x[j + 1] = x[j]
        j -= 1
    x[j + 1] = key
```

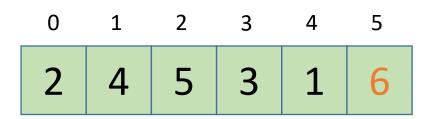
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- Selection sort
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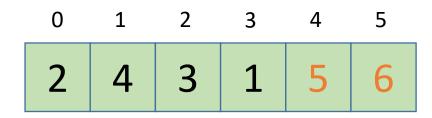
- 1. Let's iterate over  $j \in [0, N i)$  and for each j, check if it's more then next value (j + 1), swap j and j + 1 elements.
- 2. After loop 1., maximum element will go right (float like a bubble).
- 3. Let's increase i and sort the rest of the array: x[:N-i].



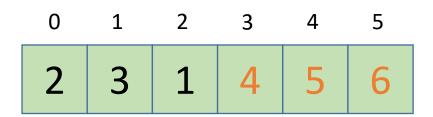
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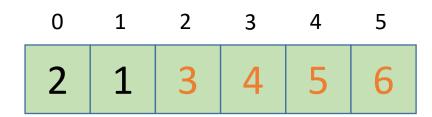
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## Bubble sort Implementation

```
N = len(x)
for i in range(0, N - 1):
   for j in range(0, N - i - 1):
      if x[j] > x[j + 1]:
      x[j], x[j + 1] = x[j + 1], x[j]
```

Complexity:

$$1 + 2 + ... + N - 1 = N(N-1)/2 = O(N^2)$$

# Linearithmic sorting algorithms $O(N \log N)$

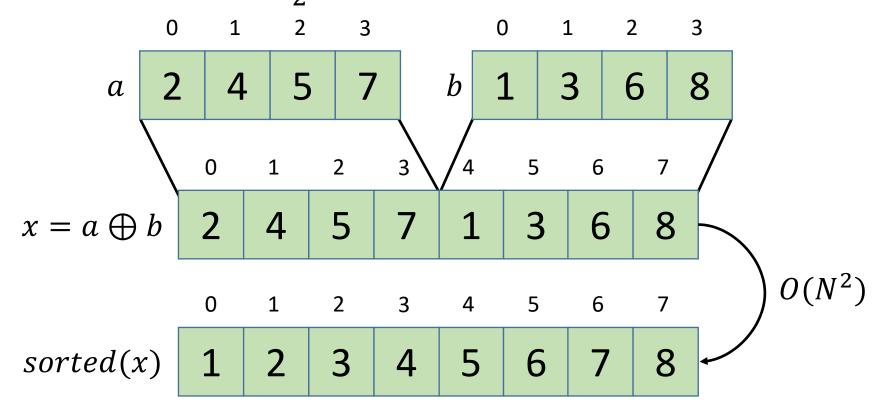
- Merge sort
- Quick sort

Divide and conquer paradigm

### Merge sort

Merging

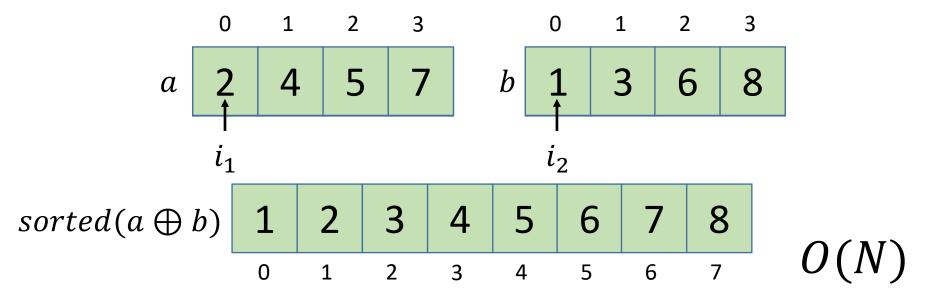
Let's suppose, we need to sort array which is a union of two sorted arrays,  $\frac{N}{2}$  each. How fast can we sort it?



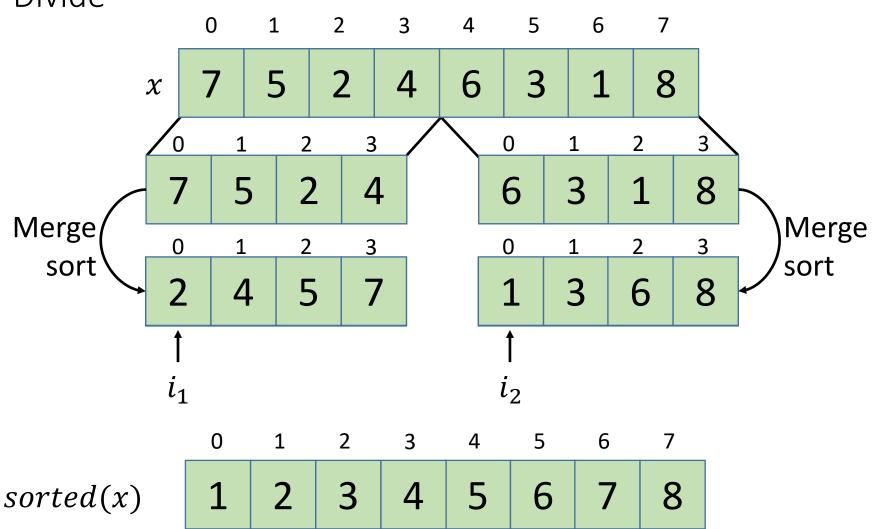
Merging

Let's suppose, we need to sort array which is a union of two sorted arrays,  $\frac{N}{2}$  each. How fast can we sort it?

We create two indices  $i_1$ ,  $i_2$  and add minimum of  $a[i_1]$ ,  $b[i_2]$  to result array, step-by-step, increasing corresponding index.



Divide



Conquer  $\boldsymbol{\chi}$  $H \leq \log_2 N$ N

Complexity:  $O(N \log N)$ 

**Implementation** 

```
def merge(x, 1, m, r):
    tmp = []
    i1 = 1
    i2 = m
    while i1 < m or i2 < r:
        if (i2 >= r) or ((i1 < m) and
                          (x[i1] < x[i2])):
            tmp.append(x[i1])
            i1 += 1
        else:
            tmp.append(x[i2])
            i2 += 1
    x[1:r] = tmp
```

# Merge sort Implementation

```
def merge sort(x, l=0, r=None):
    if r is None:
        r = len(x)
    if r - 1 > 1:
        m = (1 + r) // 2
        merge sort(x, 1, m)
        merge_sort(x, m, r)
```

# Linearithmic sorting algorithms $O(N \log N)$

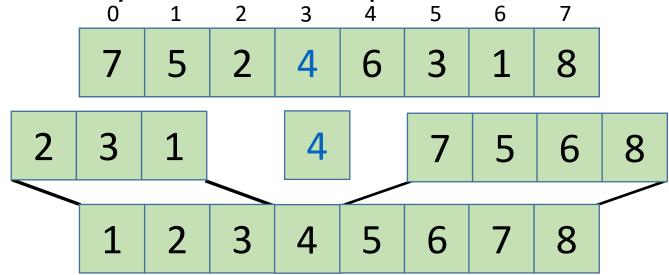
- Merge sort
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Divide and conquer paradigm

Idea

QSort also uses Divide and Conquer approach, but dividing method is different.

- 1. Select pivot element (any element from array)
- 2. Divide by 3 parts: elements < pivot, == pivot, > pivot
- 3. Recursively sort 1<sup>st</sup> and 3<sup>rd</sup> parts.



#### **Partition**

Let's denote division into 3 parts with indices  $i_l$ ,  $i_r$ .

Array to be partitioned is in range [l, r).

Division is correct in range [0, i).

$$x < pivot: [l, i_l)$$

$$x == pivot: [i_l, i_r)$$

$$x > pivot: [i_r, i)$$

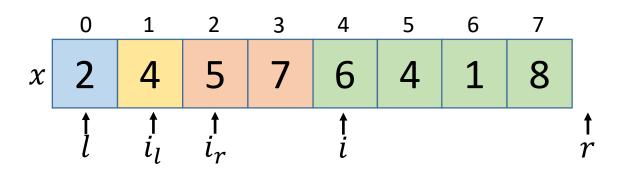
Unprocessed: [i, r)

$$p_{<}$$
 $p_{=}$ 
 $p_{=}$ 
 $p_{>}$ 
 $p_{>}$ 
 $p_{>}$ 
 $p_{>}$ 
 $p_{>}$ 
 $p_{>}$ 
 $p_{>}$ 

**Partition** 

Adding element to  $p_{>}$ .

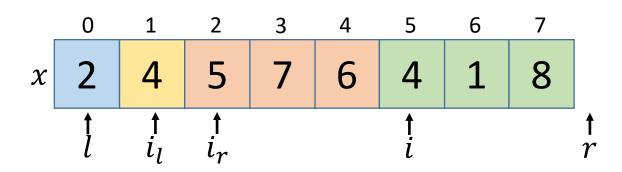
1. Element already stands on it's place.



**Partition** 

Adding element to  $p_{=}$ .

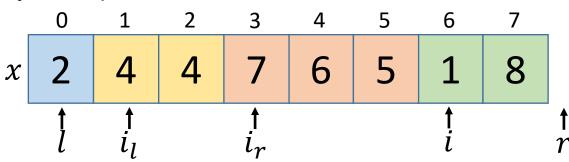
- 1. Swap  $x[i_r]$  and x[i].
- 2. Increase  $i_r$ .



#### **Partition**

Adding element to  $p_{<}$ .

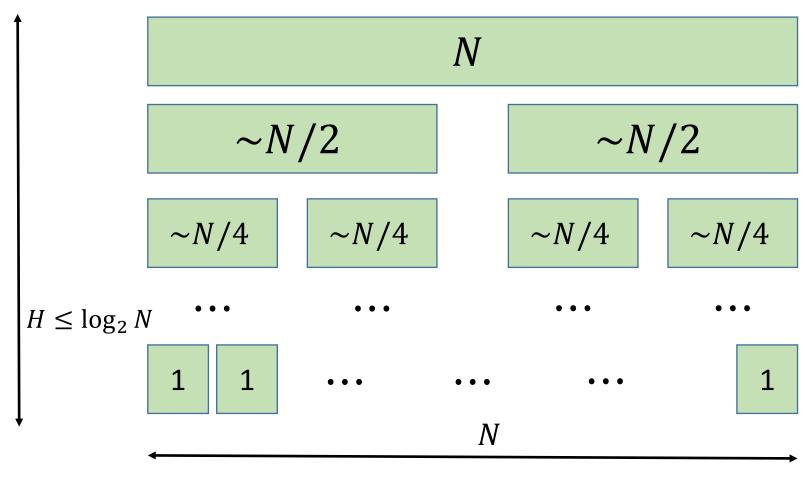
- 1. Swap  $x[i_l]$  and x[i].
- 2. If 2-nd part was not empty  $(i_l < i_r)$ , x[i] is from  $p_=$  and we need to return it (as on previous slide). Otherwise, x[i] is from  $p_>$ , and it stands on it's place
- 3. Increase  $i_l$  and  $i_r$ .



#### QSort Implementation

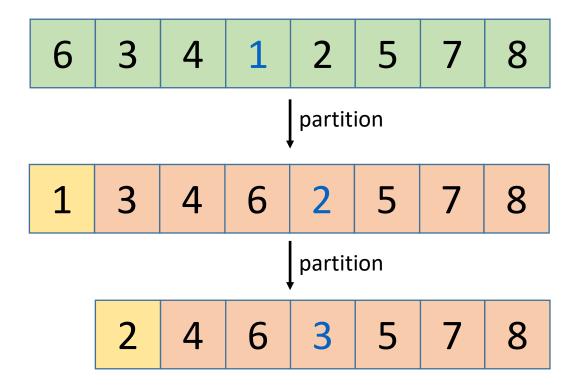
```
def qsort(x, l=0, r=None):
  if r is None:
    r = len(x)
  if (r - 1) > 1:
    pivot = x[(1 + r) // 2]
    il, ir = partition(x, l, r, pivot)
    qsort(x, l, il)
    qsort(x, ir, r)
```

#### QSort Complexity

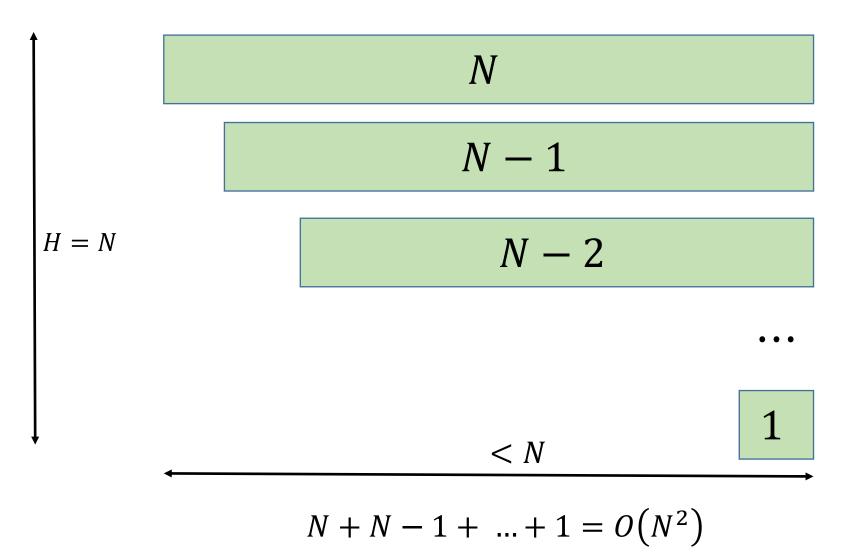


Complexity:  $O(N \log N)$ 

#### QSort Complexity



#### QSort Complexity



#### QSort Implementation

```
import random
def qsort(x, l=0, r=None):
  if r is None:
    r = len(x)
  if (r - 1) > 1:
    pivot = x[random.randint(l, r - 1)]
    il, ir = partition(x, l, r, pivot)
    qsort(x, l, il)
    qsort(x, ir, r)
```

### Greedy algorithm

Definition

Greedy algorithm builds up a solution piece by piece, always choosing the next piece that offers the most obvious and immediate benefit.

So on each step it chooses locally optimal solution.

## Greedy algorithm

#### Example

#### Problem: Ali Baba 1

Ali-baba entered the cave with lot's of treasures. He can hold only N items in his hands. You are given list of all items in the cave with their costs. Help Ali Baba take out items with maximum total cost.

#### Solution:

Let's sort elements in non-increasing cost order and take top N elements.

#### Proof (informal):

Let's suppose, our solution A is not optimal. That means that exists a better solution B. A and B differs at least by 1 item, but if we replace any item in A with another, total sum will not increase, because our solution contains top-cost items. Contradiction.

#### Conclusion

$$O(N^2): N \le 1000$$

 $O(N\log N): N \le 100000$ 

## Python built-ins

```
import random
x = [random.randint(0, 100000) for i in range(100000)]
y = sorted(x)
x.sort()
```

#### Visualizers

- https://sortvisualizer.com/mergesort/
- www.youtube.com/user/AlgoRythmics

## Thank you for watching!