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1 Lecture 1

1.1 Motivating Quantum Computing

The classical unit of computation is a **bit**. How small can we shrink bits? Let's conduct a thought experiment. Let's suppose we could shrink them down to the size of a Hydrogen atom. The "state" of $|0\rangle$ being the ground state and $|1\rangle$ first excited state. However, electrons in general exist in superposition states! These states look like:

$$\{\alpha \mid 0\rangle + \beta \mid 1\rangle : \alpha, \beta \in \mathbb{C}, |\alpha|^2 + |\beta|^2 = 1\}$$

But it gets weirder. According to quantum theory, when conducting a measurement on such a state, we end up getting:

$$M = \begin{cases} 0 & \text{wp } |\alpha|^2 \\ 1 & \text{wp } |\beta|^2 \end{cases}$$

Furthermore, the act of measurement "collapses" the wavefunction to a state $|0\rangle$ or $|1\rangle$. Subsequent measurements will give that pure state deterministically.

Now, suppose we have a system of two such Hydrogen. There are now 4 basis states:

$$|\psi\rangle = \alpha_{00} |00\rangle + \alpha_{01} |01\rangle + \alpha_{10} |10\rangle + \alpha_{11} |11\rangle$$

Effective computation now comes from extrapolating to n such qubits. Now such a state would look like $\sum_{x \in \{0,1\}} \alpha_x |x\rangle$.

This is pretty profound. Classical computers were designed to use nature (through silicon) in order to work for humans. But with all this effective work that nature is doing behind the scenes, it seems that quantum computing is really the more powerful framework we should've asked for.

1.2 Measurement

Now suppose we do a "partial" measurement, e.g. only measuring the first bit. What will we get? It seems reasonable that the probability should be the sum of the probabilities of getting a 0 in the first qubit, e.g. we get a 0 w.p. $|\alpha_{00}|^2 + |\alpha_{01}|^2$. The state collapses, but it must be renormalized so the coefficients can still be probabilities! So the new state is actually

$$\frac{\alpha_{00} |00\rangle + \alpha_{01} |01\rangle}{\sqrt{|\alpha_{00}|^2 + |\alpha_{01}|^2}}$$

Now suppose we are given a qubit in the state

$$|\psi\rangle = \frac{1}{\sqrt{2}}|0\rangle + \frac{e^{i\theta}}{\sqrt{2}}|1\rangle$$

How can we figure out θ (phase estimation)? Well if we measure this, we will get either 0 or 1 with probability 1/2 each. This will tell us nothing about θ . It turns out this is only a special case of measurement.

To understand what general measurement is, we first go back to our state representation. What we really mean by a superposition is a linear combination of two vectors. We fix some basis $|0\rangle$ and $|1\rangle$, and a normalized state $|\psi\rangle = \alpha |0\rangle + \beta |1\rangle$ is a unit vector in a 2-dimensional complex vector space. Now we can think about a measurement in the following way:

Definition 1.1

A **measurement** of some state $|\phi\rangle$ in some basis \mathcal{U} is a projection onto one of the basis vectors $|u\rangle$. The value of the measurement is: u with probability of the scalar projection squared, $\left|\frac{\langle u|\psi\rangle}{\langle\psi|\psi\rangle}\right|^2$.

So for example, let's stick to our 2-space and pick a new orthonormal basis $\{|u\rangle, |u^{\perp}\rangle\}$ and our state $|\psi\rangle$. Suppose $|\psi\rangle$ makes an angle of θ with the $|0\rangle$ axis and makes an angle of μ with the $|u\rangle$ axis. By a simple diagram, it's clear from ψ 's projections that measurement in the standard basis yields 0 with probability $\cos^2\theta$ and in our new basis it yields u with probability $\cos^2\mu$.

Note 1.1

There is a bit of a subtlety here. We assumed that the amplitudes we are working with are real, but in general they can be complex. It turns out, all of quantum computing can be formalized with only real amplitudes, but it gets more messy when interfacing with physics. For now, we will assume real amplitudes only, but most results generalize to complex amplitudes.

Another common example of a basis is:

$$|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle), |-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$$

Measuring our original phase estimation in this new basis is exactly what we need! We just need to write it in the new basis to figure out the amplitudes:

$$\frac{1}{\sqrt{2}}|0\rangle + e^{i\theta}\frac{1}{\sqrt{2}}|1\rangle = \frac{1}{\sqrt{2}}\left(\frac{1}{\sqrt{2}}|+\rangle + \frac{1}{\sqrt{2}}|-\rangle\right) + e^{i\theta}\frac{1}{\sqrt{2}}\left(\frac{1}{\sqrt{2}}|+\rangle - \frac{1}{\sqrt{2}}|-\rangle\right) \tag{1}$$

$$= \frac{1}{2}(1 + e^{i\theta}) |+\rangle + \frac{1}{2}(1 - e^{i\theta}) |-\rangle$$
 (2)

$$= \frac{1}{2}(1 + \cos\theta + i\sin\theta) |+\rangle + \dots$$
 (3)

(4)

so we get $|+\rangle$ from the measurement with probability

$$\frac{1}{2}|1 + \cos\theta + i\sin\theta|^2 = \cos^2(\theta/2)$$

Now we can repeat the measurement (with other processed inputs) to get statistics and thus a good estimate on θ .

2 Lecture 2

2.1 Axioms of Quantum Mechanics

We list some axioms of Quantum Mechanics. Consider an electron with k energy levels, $|0\rangle, |1\rangle, \dots, |k-1\rangle$.

Note 2.1 (Superposition Principle)

If there are k distinguishable (eigenstates) of a system, then the state of a system can be written as:

$$|\psi\rangle = \sum_{j=0}^{k-1} \alpha_j |j\rangle$$

where $\alpha_j \in \mathbb{C}$ and $\sum_j |\alpha_j|^2 = 1$.

This forms a Hilbert space, i.e. a Complex inner product space (but we will often think of all amplitudes as real). The $\{|j\rangle\}_{j=0}^{k-1}$ forms a basis for this state space. We can think of

$$|\psi\rangle = \begin{pmatrix} \alpha_0 \\ \alpha_1 \\ \vdots \\ \alpha_{k-1} \end{pmatrix}, |0\rangle = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, |1\rangle = \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}, \dots$$

For inner products, we use Dirac's Bra-Ket notation. As we have already seen, the "kets" are regular vectors and the "bras" $\langle \psi | = | \psi \rangle^{\dagger}$ are elements of the dual vector space (which can be thought of as conjugate transposes). This means:

$$\langle \psi | = |\psi \rangle^{\dagger} = \sum_{i} \left(\alpha_{i} | j \rangle \right)^{\dagger} = \sum_{i} \alpha_{j}^{*} \left\langle j | \right.$$

where $(\cdot)^*$ is the complex conjugate.

Now define $|\phi\rangle = \sum_{j} \beta_{j} |j\rangle$. We can take inner products by using the following notation:

$$\langle \psi, \phi \rangle = \langle \psi | \phi \rangle = \left(\sum_{i} \alpha_{i}^{*} \langle i | \right) \left(\sum_{j} \beta_{j} | j \rangle \right) = \sum_{i,j} \alpha_{i}^{*} \beta_{j} \langle i | j \rangle = \sum_{j} \alpha_{i}^{*} \beta_{j}$$

Because $\langle i|j\rangle = 1$ if and only if i = j (they form an orthonormal basis).

We generally use k = 2, call the Hilbert space generated \mathcal{H} . We typically think about chaining together (tensor-producting) this Hilbert space with itself n times. This is called a n-qubit state. A general state can then be written as:

$$|\psi\rangle = \sum_{x \in \{0,1\}^n} \alpha_x |x\rangle$$

with $\alpha_x \in \mathbb{C}$ and $\sum_x |\alpha_x|^2 = 1$.

Note 2.2 (Measurement Principle)

Pick an orthonormal basis $\mathcal{U} = |u_0\rangle, |u_1\rangle, \dots, |u_{k-1}\rangle$. The outcome of a measurement is j with probability $|\langle u_j | \psi \rangle|^2$. In this process, the state is also perturbed and turned into the state $|u_j\rangle$

Look at last lecture for examples of measuring in different bases, with real amplitudes one can think about qubit states geometrically. The basis $\{|+\rangle, |-\rangle\}$ serves us well.

2.2 Bell Inequalities

Let us look more closely at combining two qubits, each with states $\alpha_0 |0\rangle + \alpha_1 |1\rangle$, $\beta_0 |0\rangle + \beta_1 |1\rangle$. We (tensor) product them together, producing a state:

$$|\psi\rangle = \alpha_0 \beta_0 |00\rangle + \alpha_0 \beta_0 |01\rangle + \alpha_0 \beta_0 |10\rangle + \alpha_0 \beta_0 |11\rangle$$

but most states are not a product of two states.

The Bell basis states are a common example of states which are **entangled**, e.g. cannot be written as "product states."

$$\left|\Phi^{\pm}\right\rangle = \frac{1}{\sqrt{2}}\left|00\right\rangle \pm \frac{1}{\sqrt{2}}\left|11\right\rangle, \left|\Psi^{\pm}\right\rangle = \frac{1}{\sqrt{2}}\left|01\right\rangle \pm \frac{1}{\sqrt{2}}\left|10\right\rangle$$

These four states form an orthonormal basis for two qubits.

Suppose your system was in the state Φ^+ and we did a partial measurement on the qubit Then with probability 1/2 we collapse to $|00\rangle$ and with probability 1/2 we collapse to $|11\rangle$. Note that we could achieve this in a classical sense too, with correlated ("glued") coin flips.

Furthermore, the Bell states are rotationally invariant.

Theorem 2.1

In any basis, we can write the Bell States as:

$$\left|\Phi^{+}\right\rangle = \frac{1}{\sqrt{2}}\left|00\right\rangle + \frac{1}{\sqrt{2}}\left|11\right\rangle = \frac{1}{\sqrt{2}}\left|vv\right\rangle + \frac{1}{\sqrt{2}}\left|v^{\perp}v^{\perp}\right\rangle$$

Let's prove this. Suppose $v = \alpha |0\rangle + \beta |1\rangle$. Then without loss of generality, we can write $v^{\perp} = -\beta^* |0\rangle + \alpha^* |1\rangle$. This means that:

$$|vv\rangle + |v^{\perp}v^{\perp}\rangle = (\alpha|0\rangle + \beta|1\rangle)(\alpha|0\rangle + \beta|1\rangle) + (-\beta^*|0\rangle + \alpha^*|1\rangle)(-\beta^*|0\rangle + \alpha^*|1\rangle)$$
$$= \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$$

where some algebra is elided. Note that we could achieve this in a classical sense too, with correlated coin flips that are rotated.

To go beyond classical computation, we consider two qubit measurements. The first player measures in the standard basis and the second player measures in a new basis, $\{|v\rangle, |v^{\perp}\rangle\}$, rotated at an angle θ from the standard basis. The probability that these two measurements are inequal is $\sin^2\theta$ (for example, if the first measurement is 0, then the state $|00\rangle$, so the component of $|v\rangle$ in the $|0\rangle$ direction is $\cos\theta$).

However, classically, the probability that one observes a different outcome is proportional to θ .

So John Bell's experiment is as follows. Alice is given a uniformly random bit x and Bob is given a uniformly random bit y. They must each report back a bit a and b respectively. Alice and Bob "win" the game if $xy = a + b \pmod{2}$.

They can play the game in two ways: either classically or quantumly. Classically, they cannot communicate (apart from maybe the "glued" coin). In the quantum setup, Alice and Bob share a Bell state. If Alice chooses a bit 0, they measure their qubit in the standard basis, otherwise they measure it in a basis rotated by $\pi/4$. If Bob chooses a bit 1, they measure their qubit in a basis rotated by $\pi/8$, otherwise they measure in a basis rotated by $-\pi/8$. Call their measured bits a and b respectively.

We then mention the following two facts:

1. No classical strategy can win with probability > 75%. A randomized strategy can do no better than a deterministic strategy since the opponent's strategy is known. The best deterministic strategy is to report a = 0 and b = 0 (or a = 1 and b = 1), because xy = 0 with probability 75% (if at least one of the bits is 0); trying to force the answer to be 1 will give you a lower probability of success. You can do no better. The glued coin doesn't help you either; the best it could do is give you a shared source of randomness.

2. In each of the 4 cases, the probability winning in a quantum setup is $\cos^2 \pi/8 \approx 85\%$. For example, take the case when x and y are both 0. Then they need to both measure a 1 or both measure a 0. The probability Alice measures a 0 is 1/2 and then collapses the state to a $|00\rangle$. The probability that Bob then sees a 0 is $\cos^2 \frac{\pi}{8}$ because of the rotation, giving us $\frac{1}{2}\cos^2 \frac{\pi}{8}$. Likewise, the probability Alice measures a 1 is 1/2 and then collapses the state to a $|11\rangle$. The probability that Bob then sees a 1 is $\cos^2 \frac{\pi}{8}$, so overall the probability is $2 \cdot \frac{1}{2} \cdot \cos^2 \frac{\pi}{8} = \cos^2 \frac{\pi}{8}$. The other cases are similar.

which clearly shows the quantum setup gives us something not present in the classical one.

3 Lecture 3

Recall the superposition and measurement principles from last lecture. They tell us that quantum states inhabit a Hilbert space span $\{|0\rangle, |1\rangle, \dots, |k-1\rangle\}$ and we can "measure" in orthonormal basis in this Hilbert space, randomly projecting it onto a basis vector. These were two axioms of quantum mechanics.

3.1 Unitary Evolution

A third axiom of quantum information is the ability to apply a unitary transform. These are ubiquitous in linear algebra, but nonetheless we give a quantum-tuned introduction here.

For 1 qubit, we can think of a unitary transform as a "rigid-body rotation" (rotation/reflection), which preserves the orthogonality of vectors.

$$U = \begin{pmatrix} a & c \\ b & d \end{pmatrix}$$

With our canonical representation of $|0\rangle = \begin{pmatrix} 1 & 0 \end{pmatrix}^T$, $|1\rangle = \begin{pmatrix} 1 & 1 \end{pmatrix}^T$, this transform can equivalently be stated as:

$$\left|0\right\rangle \mapsto a\left|0\right\rangle + b\left|1\right\rangle, \left|1\right\rangle \mapsto c\left|0\right\rangle + d\left|1\right\rangle$$

Define the adjoint of a matrix as its conjugate transpose, e.g.:

$$U^{\dagger} = \begin{pmatrix} a^* & b^* \\ c^* & d^* \end{pmatrix}$$

Now, we can interpret this in the 2-by-2 case as the following:

$$UU^{\dagger} = \begin{pmatrix} a^*a + b^*b & a^*c + b^*d \\ c^*a + d^*b & c^*c + d^*d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

The last equality is only true if the columns of U are orthonormal, they are normalized (the top left and bottom right entries are just norms) and have inner product 0.

In general, we have the following definition:

Definition 3.1 (Unitary)

A transform $U \in \mathbb{C}^{n \times n}$ is unitary if and only if $UU^{\dagger} = U^{\dagger}U = I$, where I is the n-by-n identity.

Another name for these unitary transform are "quantum gates." We can draw such gates on one or two inputs as the following:





3.2 The Fundamental Quantum Gates

Some simple 1-qubit gates are the following:

Definition 3.2

1. The identity gate, which takes a state and does nothing:

$$I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

2. The rotation gate, which rotates a state by θ :

$$R_{\theta} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

3. The inversion (NOT) gate, which flips a bit:

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

i.e.,
$$a |0\rangle + b |1\rangle \mapsto b |0\rangle + a |1\rangle$$
.

4. The phase flip gate, which flips the phase of the second bit:

$$Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

i.e.,
$$a|0\rangle + b|1\rangle \mapsto a|0\rangle - b|1\rangle$$

5. The Hadamard gate, which converts to the $\{|+\rangle, |-\rangle\}$ basis.

$$H = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

i.e. $a |0\rangle + b |1\rangle \mapsto a |+\rangle + b |-\rangle$. One can view the Hadamard gate as a reflection over the line $\theta = \pi/8$. But hang on, we only allowed rotations, so what gives? It turns out the Hadamard gate is a rotation in \mathbb{C}^2 , but not in \mathbb{R}^2 !

Note that $X^2 = Z^2 = H^2 = I$, so they are involutions (and thus their own inverses). Furthermore X and Z are the same under a change of basis (note that $Z \mid + \rangle = \mid - \rangle$ and $Z \mid - \rangle = \mid + \rangle$).

$$X = HZH, Z = HXH$$

If you recall the Pauli spin matrices, you may remember X, Z as two of them; however, they are not expressive enough to correspond to all unitaries! Using H is computationally more interesting and is helpful for our analysis.

Let us make a bit of a silly circuit: $|\phi\rangle$ — U — U^{\dagger} — $|\phi\rangle$

We applied a gate and then applied its adjoint, which is its inverse since it is unitary. Thus, we can always "undo/uncompute" quantum circuits (before measurement).

Now let us define a two-qubit gate,

Definition 3.3 (Controlled NOT)

The CNOT gate is:

$$CNOT = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} I & 0 \\ 0 & X \end{pmatrix}$$

To draw a CNOT gate, we draw it as the following:



a is called the "control bit" and b is called the "target bit."

If a and b are pure bits, then we have the following truth table (the first bit controls whether a NOT gate is active, i.e. you XOR the two bits):

$$\begin{array}{c|cccc} a & b & a_o & b_o \\ \hline 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 \\ \end{array}$$

What if I did the following?

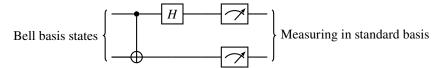
We created a Bell-state. Now to make it from two $|0\rangle$'s, we can add a Hadamard:

$$|0\rangle$$
 H $|\Phi^{+}\rangle$

Now consider applying this circuit to any two-qubit state. We can do something very similar (the reader can verify the details):

Input	Output
$ 00\rangle$	$ \Phi^+ angle$
$ 01\rangle$	$ \Psi^{+} angle$
$ 10\rangle$	$ \Phi^- angle$
$ 11\rangle$	$ \Psi^{-} angle$

Now, consider turning the circuit backwards. Since both gates are their own inverses (CNOT is made up of a block diagonal of involutions so it is also an involution), this just inverts the circuit. Let us add a measurement apparatus:



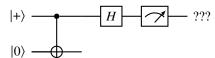
Now, we can measure in other basis, JUST using our module for measuring the standard basis. This means without loss of generality we can measure in any basis.

3.3 Intuition for Entanglement

We know that:

$$|+\rangle$$
 H 0 wp 1

But, now what if we entangle the state with a CNOT?



Intuitively, we expected the measurement controlled bit to not get changed, so we should expect the same result as above.

But let's analyze it formally. After the CNOT, the state is $|\Phi^+\rangle = \frac{1}{\sqrt{2}}|00\rangle + \frac{1}{\sqrt{2}}|11\rangle$. Then, the Hadamard doesn't act on the second bit, but the first bit is split, e.g. the final state is:

$$|\psi\rangle = \frac{1}{\sqrt{2}} \left(\frac{1}{\sqrt{2}} |00\rangle + \frac{1}{\sqrt{2}} |10\rangle \right) + \frac{1}{\sqrt{2}} \left(\frac{1}{\sqrt{2}} |01\rangle - \frac{1}{\sqrt{2}} |11\rangle \right) = \frac{1}{2} |00\rangle + \frac{1}{2} |01\rangle + \frac{1}{2} |10\rangle - \frac{1}{2} |11\rangle$$

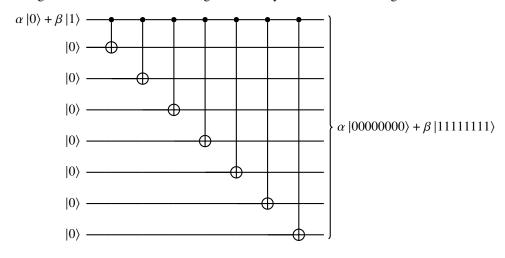
Measuring only the first qubit actually makes it so that we actually have a $\left(\frac{1}{2}\right)^2 + \left(\frac{1}{2}\right)^2 = \frac{1}{2}$ chance of measuring a 0.

What happened here? In the first case, there is a cancellation of the probability amplitudes:

$$\frac{1}{\sqrt{2}}|0\rangle + \frac{1}{\sqrt{2}}|1\rangle \mapsto_H \frac{1}{2}|0\rangle + \frac{1}{2}|1\rangle + \frac{1}{2}|0\rangle - \frac{1}{2}|1\rangle = |0\rangle$$

But in the second case, we have an entanglement which stops this cancellation (the product states cannot just be cancelled).

This gives us a view into what entanglement really is. What if we entangle a bunch of bits:



At some macro point, nature cannot support such a large entangled state and collapses it probabilistically into one of the two basis states. This is how measurement is done in practice.