# Word Embeddings and the Brain

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### **Abstract**

<sup>2</sup> In this work we based on Pereira, F., Lou, B., 3 Pritchett, B., Ritter, S., Gershman, S. J., 4 Kanwisher, N., Botvinick, M., & Fedorenko, E. 5 (2018). Toward a universal decoder of linguistic 6 meaning from brain activation. 7 communications, 9 (1), 1–13. We tried to extend 8 the work and compare our results to the original 9 results described at the paper.

10 The project consists of three parts. The first part 11 is about sentence decoding, the second part is 12 about brain encoding and the and the third part is 13 about concept clustering.

### **Introduction & Related work**

15 In the Periera et al. (2018) the try presented a new 16 approach for building a brain decoding system in 17 which words and sentences are represented as 18 vectors in a semantic space constructed from 19 massive text corpora. By efficiently sampling this 20 space to select training stimuli shown to subjects, 21 we maximize the ability to generalize to new 22 meanings from limited imaging data. To validate 23 this approach, we train the system on imaging data 24 of individual concepts and show it can decode 25 semantic vector representations from imaging data 26 of sentences about a wide variety of both concrete 27 and abstract topics from two separate datasets. 28 These decoded representations are sufficiently 29 detailed to distinguish even semantically similar 30 sentences, and to capture the similarity structure of meaning relationships between sentences.

In our work we added additional analysis on the 33 decoding representation they made and in addition 34 we checked the performance using 35 embeddings.

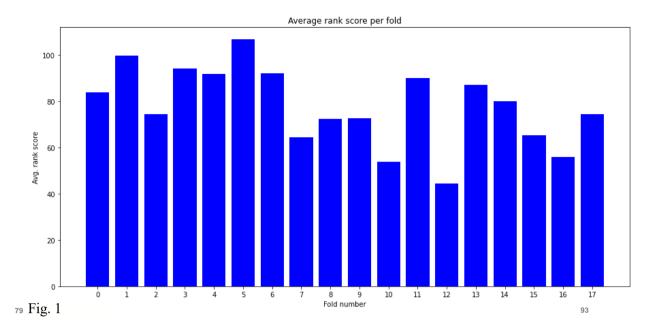
37 Huth, A. G., De Heer, W. A., Griffiths, T. L., 76 subwords-300' from genism library. We saw that 38 Theunissen, F. E., & Gallant, J. L. (2016). Natural 77 all our words are already in the pretrained model, 39 speech reveals the semantic maps that tile human 78 so we didn't have the problem of out-of-

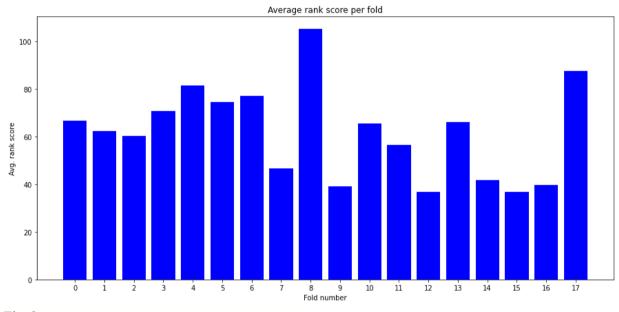
40 cerebral cortex. Nature, 532 (7600), 453-458. 41 Instead of predicting sentence identities using 42 neural signals (i.e., neural decoding), we predict 43 human neural signals from the embedding vectors representations of the sentences (neural encoding). In the Huth et al., 2016's paper they 46 systematically mapped semantic selectivity across 47 the cortex using voxel-wise modelling of 48 functional MRI (fMRI) data collected while 49 subjects listened to hours of narrative stories. They 50 show that the semantic system is organized into 51 intricate patterns that seem to be consistent across 52 individuals. They then use a novel generative 53 model to create a detailed semantic atlas. Their 54 results suggested that most areas within the semantic system represent information about specific semantic domains, or groups of related concepts, and their atlas shows which domains are represented in each area. Their study demonstrated data-driven methods—commonplace in 60 studies of human neuroanatomy and functional 61 connectivity—provide a powerful and efficient 62 means for mapping functional representations in 63 the brain.

In our work we fit a separate linear model for 65 each voxel in the dataset (384 sentences). For each  $_{66}$  model we calculated the  $R^2$  score and examine 67 how many voxels are significantly associated with 68 the information embedded in the word vectors. We 69 use 2 different embedding vectors for this task.

### 70 2 **Structured Part**

We started by load the data and run the same 72 analysis that we did in HW 3 Q 3. The difference <sub>73</sub> in this approach from the previous is that we use 74 fasttext embedding (instead of glove). The For the second part of our work, we based on 75 embedding that we used is 'fasttext-wiki-news-





80 Fig. 2

vocabulary. The results that we obtained are similar to the results that we obtained using glove, but not identical. In the graph we can see that the fold with highest rank score (the worse fold) is 8 in both cases. The total average rank score is lower using fasttext (55.76) than using glove (61.9). The 10 best and then worst concepts according to the rank score have overlap in both methods but they are not the same.

90 The results using fasttext (Fig. 1). The results 91 using Glove (Fig. 2).

95 After reading the Periera et al. (2018) we assumed
96 that the similarity of all 3 experiments that are
97 described in the paper are that in all of them the
98 representation was sentences. The difference
99 between experiment 1 and experiments 2 and 3
100 that in experiment 1 they have also 2 other
101 paradigms: with an image, or with five related
102 words. The difference between experiment 2 and
103 3 is that in experiment 2 every topic has subtopics
104 and in experiment 3 every topic has 3 passages
105 (not subtopics).

We use the same model we trained in Homework 3 using Glove embedding (after tiny change for matching the dimension and retrain on all dataset

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109 1) and test it on dataset 2 (384 sentences) and dataset 3 (243 sentences).

111 For each dataset, we used the learned decoder 112 model to decode sentence representations and evaluate the performance via the rank accuracy method (as we did in HW3).

The decoding process is evaluated in the 116 following way. A decoder is trained on imaging data from the training set, and then used to decode the imaging data from the test set. The decoded 147 vectors are evaluated according to the "average 120 rank" metric: - Average rank: Given a decoded vector  $\hat{v}$  for a concept whose true semantic vector 122 is v, rank all the semantic vectors in order of their closeness (cosine similarity in our case) to  $\hat{v}$ . Now 124 calculate the position of v in this ranking: for example, if the vector for v is the 10th closest vector to  $\hat{v}$ , then the rank is 10. Then we can get the 127 average ranking for all the decoded vectors. The 128 average ranking is an accuracy score where the 129 optimal score would be 1 and the worst possible score would be number of sentences. If the decoder 151 Decoder Model is outputting random noise, then the resulting 152 In this part we train the model on dataset 2, using average rank should be number of sentences / 2.

The average rank score on dataset 2 is 156.9 and the average rank score on dataset 3 is 100.7. In both cases we got average rank score less than half of number of sentences. About 40% of number of sentences for each dataset.

Now, after getting the results we analyzed them. 158 The average rank score for the Glove embedding The analysis that we apply is sorting them according to the rank score and identify the best and the worst performing topics.

The top 5 topics from dataset 2:

	topic_id	rank	topic_name
3	4	70.4375	body_part
13	14	92.7500	human
8	9	98.9375	drink_non_alcoholic
9	10	113.6875	dwelling
1	2	113.7500	appliance

144 The bottom 5 topics from dataset 2:

145

music	185.0000	18	17
vehicles_transport	186.6875	23	22
animal	196.2500	1	0
vegetable	237.6250	22	21
profession	249.1250	20	19

The top 5 topics from dataset 3:

topic_name	rank	oic_id	top
dreams	52.800000	7	6
stress	58.200000	22	21
castle	59.100000	5	4
opera	63.636364	14	13
bone_fracture	68.090909	4	3
	pics for dataset 3:	om 5 top	The botto
pharmacist	136.600000	17	16
pyramid	147.200000	19	18
lawn_mower	148.100000	13	12
owl	148.600000	15	14
beekeeping	169.818182	2	1

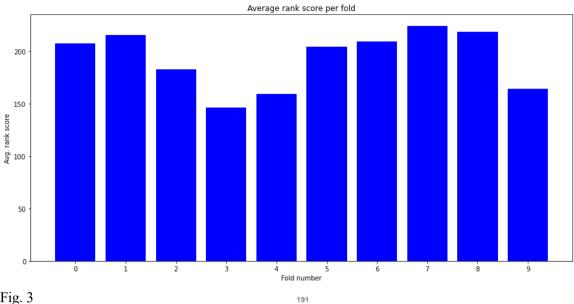
#### 150 3 **Semi-Structured Part**

153 K-Fold Cross-Validation with K=10. We repeated this twice, for two different embedding vectors: 155 Glove (the original embedding from the paper) and Bert (specific 'sentence-transformers/bertbase-nli-mean-tokens'.

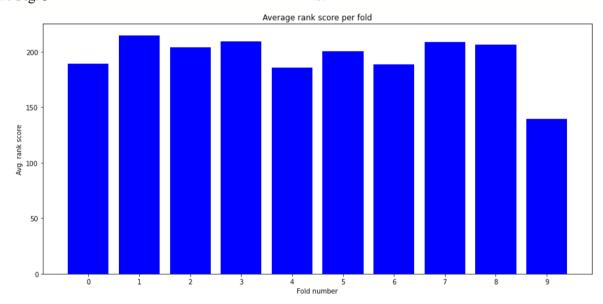
159 is 193.3. This is worse result than the result we obtained trained on dataset 1 and test on dataset 2. 161 This result is like random results, and even little bit worse than random results. Fig. 3 represents the average rank score per fold for Glove 164 embedding.

165 For using Bert consistently with our dimension of 166 the embedding vectors, we apply PCA (Principal 167 Components Analysis) on the embedding vectors 168 from Bert output and reduce the dimension from 169 768 to 300.

The average rank score for the Bert embedding is 194.7. This is worse result than the result we obtained with Glove. It could have happened because of the PCA that we apply that loses



176 Fig. 3



177 Fig. 4

information. Fig. 4 represents the average rank 179 score per fold for Bert embedding.

### 180 Brain encoder

181 Instead of predicting sentence identities using neural signals (i.e., neural decoding), we try to 183 predict human neural signals from the embedding 184 vectors representations of the sentences (neural 185 encoding).

186 We fit a separate linear-regression model for each voxel in the dataset 2 (384 sentences). For each voxel/model, calculate the  $R^2$  score and examine 189 how many voxels are significantly associated with 190 the information embedded in the word vectors.

193 We repeat This twice: using Glove embedding and 194 using Bert embedding. We checked the average  $R^2$  score, the average adjusted  $R^2$  score and the proportion of significant voxels every 1000 197 voxels. The average is moving average.

198 The results for the Glove embedding: After the 199 first 1000 voxels the results were - The average  $R^2$  is: 0.808, The average  $R^2$  adjusted is: 0.113, 201 The proportion of significant voxels is: 0.169. The  $_{202}$  final results were - The average  $R^2$  is: 0.803, The 203 average  $R^2$  adjusted is: 0.09, The proportion of 204 significant voxels is: 0.16.

205 The results for the Bert embedding: After the first  $_{206}$  1000 voxels the results were - The average  $R^2$  is:

207 0.801, The average  $R^2$  adjusted is: 0.0831, The 208 proportion of significant voxels is: 0.126. The 209 final results were - The average  $R^2$  is: 0.809, The average  $R^2$  adjusted is: 0.12, The proportion of 211 significant voxels is: 0.21.

212 In this task we can see that in all measures the 213 Bert is better than Glove. Specifically, if we 214 focused on the proportion of the significant 215 voxels, we could see that on Glove embedding the 216 proportion start with increasing but then after 217 about 70000 voxels it start decreasing to similar 218 value that we had in the beginning but on Bert 219 embedding it start with lower value, but it keeps 220 increasing and in the end the proportion is higher than on Glove embedding. We could see a similar 222 trend with adjusted  $R^2$ . The  $R^2$  keeps the same value more or less along all iterations.

## **Open-ended Task**

In the beginning we decided to apply clustering 226 method on dataset 1 for better understanding of the 228 fasttext in the structured part (it could also be used 229 for checking the results that we obtained in HW3 230 using Glove, but here we focused on the project

The clustering algorithm that we used is K-233 Means with K=18 (as the number of folds that we 234 use in K-Fold Cross-Validation). We tried two 277 Bert embedding on them because the number of variations of K-Means: without constrain on the 278 sentences and concepts are less than 300). 236 clustering and with constrain on cluster size that 237 each cluster will be with size 10. Each one of the clustering algorithms we applied on Glove embedding vectors and on Bert embedding vectors 240 (without using PCA for reducing dimension to 300 because num of samples is 180 that is less than 242 300).

The evaluate method that we use is silhouette score with distance metric 'cosine similarity'. This 246 method computes the mean Silhouette Coefficient 247 of all samples. The Silhouette Coefficient is calculated using the mean intra-cluster distance (a) and the mean nearest-cluster distance (b) for 250 each sample. The Silhouette Coefficient for a sample is (b - a) / max(a, b). To clarify, b is 252 the distance between a sample and the nearest 253 cluster that the sample is not a part of. The best <sup>254</sup> value is 1 and the worst value is -1. Values near 0 255 indicate overlapping clusters. Negative values

256 generally indicate that a sample has been assigned 257 to the wrong cluster, as a different cluster is more 258 similar.

### 259 The results are:

	No constrain	With constrain
Glove	0.027	0.016
Bert	-0.11	0.03

For no constrain K-Means algorithm on Glove 261 embedding we find out that 3 of the 10 best 262 preforming concepts using fasttext are in the same 263 cluster. The concepts are: laugh, smiling and 264 emotionally. On Bert embedding this algorithm 265 put just laugh and smiling together. The K-Means 266 constrain algorithm put in few clusters just two 267 words together from best or worst 10 concepts. 268 Therefore, our try for explanation of the rank 269 score results wasn't successful enough.

270 In addition, we checked the performance of our performance that we obtained on dataset 1 using 271 decoder trained on one dataset and tested on other, 272 similar to what we did in the structured part.

> 273 We trained the decoder on dataset 2 using Glove 274 and Bert embeddings and tested it on dataset 1 275 and 3 when in both cases dataset 1 and 3 where 276 embedded using Glove (there are problem to use

	Dataset 1	Dataset 3
Glove	80.04	94.4
Bert	89.4	118.1

279 The surprise in this that Bert achieve worse results 280 than Glove. Possible explanation that it is because of the PCA.

282 Top 5 topics from dataset 3 (using Glove 283 embedding on dataset 2):

topic_name	rank	topic_id	
polar_bear	34.100000	<b>17</b> 18	17
taste	50.444444	<b>22</b> 23	22
ice_cream	57.500000	9 10	9
dreams	68.400000	6 7	6
law_school	71.700000	<b>11</b> 12	11

Bottom 5 topics from dataset 3 (using Glove embedding on dataset 2):

pharmacist	118.500000	17	16
opera	121.000000	14	13
tuxedo	131.300000	24	23
blindness	138.300000	3	2
beekeeping	143.363636	2	. <b>1</b>

Top 5 topics from dataset 3 (using Bert embedding on dataset 2):

topic_name	rank	topic_id	
beekeeping	65.727273	2	1
blindness	83.200000	3	2
pharmacist	86.300000	17	16
owl	87.200000	15	14
painter	88.600000	16	0 15

Bottom 5 topics from dataset 3 (using Bert embedding on dataset 2):

lawn_mower	139.100000	13	12
polar_bear	150.800000	18	17
astronaut	152.000000	1	0
pyramid	160.100000	19	18
ice_cream	168.700000	10	9

<sup>294</sup> Also, we trained the decoder on dataset 3 using <sup>295</sup> Glove embeddings and tested it on datasets 1 & 2 <sup>296</sup> using Glove embedding.

The average rank score on dataset 1 is 83.45 and the average rank score on dataset 2 is 151.8.

299 The top 5 topics from dataset 2:

topic_name	rank	topic_id	
dwelling	88.6875	10	9
human	93.5000	14	13
body_part	93.6250	4	3
drink_non_alcoholic	100.5000	9	8
furniture	105.1875	13	12

301 The bottom 5 topics from dataset 2:

vehicles_transport	187.3125	23	22	
fruit	187.3750	12	11	
music	218.9375	18	17	
profession	220.5000	20	19	
vegetable	238.8750	22	21	02

From comparing the top and bottom topics for and each dataset 2 & 3 that we got in this part to the top and bottom topics that we got in the structured part we can see that there is a resemblance between the results.

### 8 5 Link to the code

The whole code the reproduced this work can be found in the following link: Word-Embeddings-and-the-Brain.