

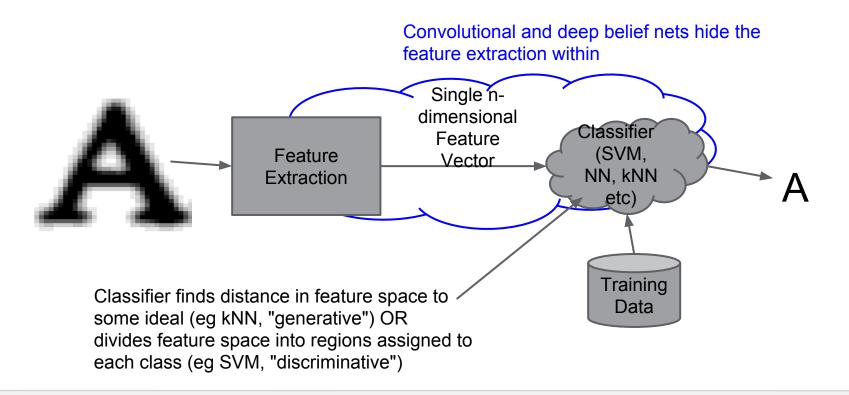
# 5. Features andCharacter Classifier

The real inside story

Ray Smith, Google Inc.



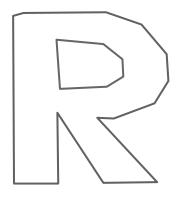
#### Background: Classical character classification



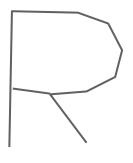


#### Motivation: How to extract features from Outlines?

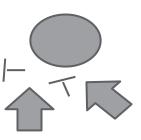
Outline



Skeleton



**Topological Features** 



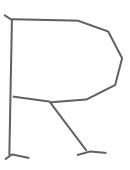


#### Skeletonization is Unreliable

Outline: Serifed

Skeleton: Decorated





Arrrrh!

Lesson: If there are a lot of papers on a topic, there is most likely no good solution, at least not yet, so try to use something else.



# Topological features are Brittle

Damage to 'o' produces vastly different feature sets:

Standard 'o'



Broken 'o'



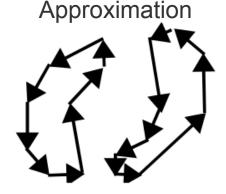
Filled 'o'

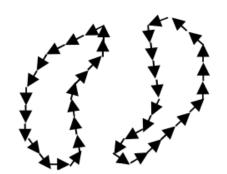
Lesson: Features must be as invariant as possible to as many as possible of the expected degradations.



### Shrinking features and inappropriate statistics

Segments of the polygonal Even smaller features





Statistical:

$$argmax(k) \prod_{l,i} \frac{1}{\sigma_{ijk}} \exp\left[-\frac{1}{2} \left(\frac{x_{il} - \mu_{ijk}}{\sigma_{ijk}}\right)^{2}\right]$$

Geometric:

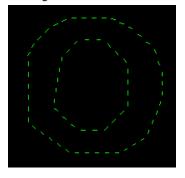
$$argmin(k)\frac{1}{M+J_{L}}(\sum\nolimits_{l,i}(x_{il}-\mu_{ijk})^{2}+\sum\nolimits_{j,i}(x_{il}-\mu_{ijk})^{2})$$

Lesson: Statistical Independence is difficult to dodge.

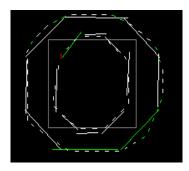


Inspiration: Even on a damaged character, most features still match if they are small!

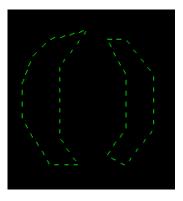
Features of clean 'o'



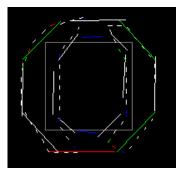
Matched with best template



Features of broken 'o'



Mostly still matches





# Interlude: Comparison with Recent Work

(Convolutional) Deep Belief nets:

1 pixel = 1 feature dimension

1 character (eg 32x32) = ~1K dimension feature vector



- Features are learned
- Usually edges
- Statistical dependence between pixels must also be learned: Purpose of network depth

1.0 1.0 0.7 0.7 0.7 1.0 0.6 0.6

0.5

0.5

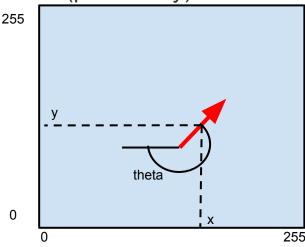
0.6 0.7

Tesseract Tutorial: DAS 2014 Tours France



# Features extracted from the unknown: 3D INT\_FEATURE\_STRUCT

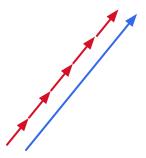
- Multiple features extracted from a single unknown
- Each feature is a short, **fixed length**, directed, line segment, with (x,y) position and theta direction making a 3-D feature vector (x, y, theta) from an integer space [0, 255]
- Direction is measured (perversely) from the negative x-axis!





# Features in the training data: 4D (hence Tesseract) INT\_PROTO\_STRUCT

- Elements of the polygonal approximation, clustered within a character/font combination.
- x,y position, direction, and length (as a multiple of feature length)





# The Distance function: Single Feature to Single Proto

```
d = perpendicular distance of feature f from proto p a = angle between feature f and proto p Feature distance d_{\rm fp} = d^2 + a^2 (in appropriate units) Feature evidence e_{\rm fp} = 1* / (1 + kd_{\rm fp}^2)
```

<sup>\*</sup>In the actual implementation, everything is scaled up and run in integer arithmetic until the final result.



# Feature Evidence and Proto Evidence (For a single Font Config of a single Character Class)

Feature evidence 
$$e_{\rm f}$$
 =  $\max_{\rm p \ in \ config}$   $e_{\rm fp}$ 

Proto evidence 
$$e_{p} = \sum_{\text{top } l_{p}} e_{\text{fp}}$$
 (Proto p is of length  $l_{p}$ )





# The CN (Character Normalization) Feature

#### Single 4-D feature for each unknown:

- Y-Position relative to baseline
- Outline Length (in normalized space)
- 2nd x-moment
- 2nd y-moment



### The Distance Function: Unknown char to Prototype

$$d = 1 - \max \quad \frac{\sum_{\mathbf{f}} e_{\mathbf{f}} + \sum_{\mathbf{p}} e_{\mathbf{p}}}{N_{\mathbf{f}} + \sum_{\mathbf{p}} l_{\mathbf{p}}} \qquad d' = \frac{dl_o + kc}{l_o + k}$$

Feature-proto distance

CN correction

 $l_o =$  Length of outline

c = Char position feature distance (CN feature)

k = classify integer matcher multiplier (arbitrary constant = 10)

Rating = 
$$d'l_o$$
  
Certainty =  $-20d'$ 



# Rating and certainty? Why not just a "probability?"

- Rating = Distance \* Outline length
  - Total rating over a word (or line if you prefer) is normalized
  - Different length transcriptions are fairly comparable
- Certainty = -20 \* Distance
  - Measures the absolute classification confidence
  - Surrogate for log probability and is used to decide what needs more work.
- Comparing products of probability or sums of log probs of different length requires a non-rigorous hack anyway.



#### Now it's Too Slow!

- ~2000 characters per page x
- ~100 character classes (English) x
- 32 fonts x
- ~20 prototype features x
- ~100 unknown features x
- 3 feature dimensions
- = 38bn distance calculations per page...



#### Now it's Too Slow!

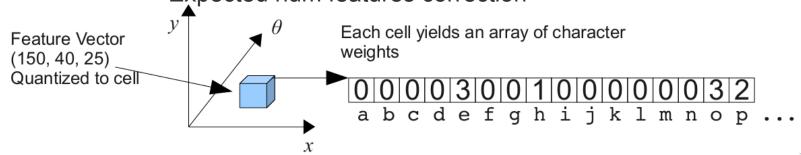
- ~2000 characters per page x
- ~100 character classes (English) x
- 32 fonts x
- ~20 prototype features x
- ~100 unknown features x
- 3 feature dimensions
- = 38bn distance calculations per page...
- ... on a 25MHz machine.



# Speeding up kNN: The Class Pruner

- Quantize feature space down from 256<sup>3</sup> to 24<sup>3</sup>.
- Create inverted index: 3-D feature -> List of matching classes.
- Equivalent to a linear classifier with binary feature vector with 13824 dimensions.
- Fast, (~70 μs for Eng) but O(<num features> \* <num classes>)
- Low top-n error rate (~0.01-0.5%), with low n (3-5)/110 even on unseen fonts, rising to 8% top-n on vastly different fonts.
- Top-1 error rate not so good at 8% typical.

Secret sauces: 2-bit weights and spreading from the mean of the clusters. Expected num features correction

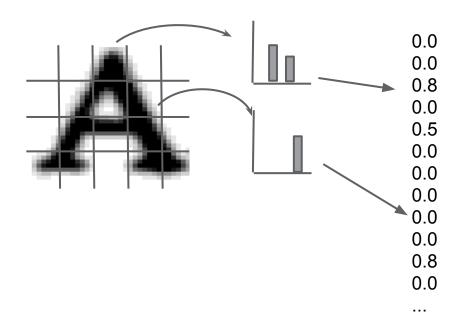




# Interlude: Comparison with Recent Work

#### Histogram of Gradients

- Quantize character area
- Compute gradients within
- Histograms of gradients map to fixed dimension feature vector
- Remarkably similar to class pruner





### Interlude: Comparison with Recent Work: kNN

- Much has been published on speeding up kNN, eg randomized hashing, locality sensitive hashing etc.
- Much has also been published on indexing to speed-up recognition.



#### Live Classifier Demo

```
api/tesseract unlv/mag.3B/0/8050_078.3B.tif test1 inter matdemo
```

Easy 'e': Col 1, 1st line of non-italic, body text, word 2: 'evaluate'

Italic 'e': Col 1, last line of italic question, word 1: 'cycled'

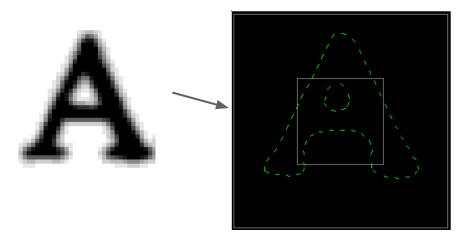
Hard 'e': Col 1, Question line 2, word 1: 'the'

Joined pair: Col 1, line 5 of body text, word 2: 'recycled'

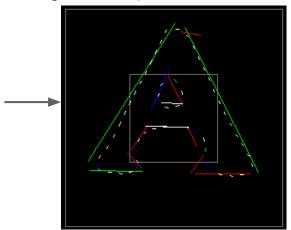


# Real Classification Example Insert live demo command line

Multiple (varied) features, each of 3 dimensions (x, y, direction), of unit length.



Classifier measures overall geometric similarity to closest character/font combination (kNN, generative).





# Normalization There are many stages of Normalization in Tesseract:

# **Image**

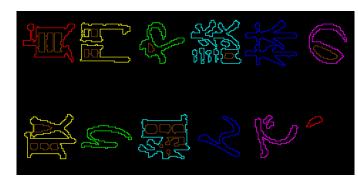
作請史の中で、芭蕉 ばしい。 ばしい。

フを続けてきた佐藤市の歌を述べて見ていたので、現が上に は成績した存在である。そのことは経成の単次のように等ま きわだたせているのか。色素の色素もしき、つまり無気修飾 がにして色素は顔しを発促神を発立させたか。この物解 がにしてもまればればなる。 「たれ」、ア大〇)、天相解(一次 「はんしょう」を生頭(二大七)、大人〇)、天相解(一次 「はんしょう」を生調(二大七)、大人〇)、大利解(二大七)、「大人〇)、「

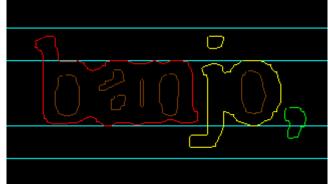
していた佐藤勝明君の論文集「芭蕉と京都侍

|参力を続けてきた佐藤君の安を近くで見ていたので、我がことのようなの論文集 ||芭蕉と京都俳雅|| が、いよいよ刊行されることになっ

Rotated



Word BLN





#### Normalization Rules

#### Image:

Only the API knows of possible scaling and cropping of the source image.
 Inside Tesseract, the image is not touched.

#### C\_BLOBs:

- Constrained to be pixel-oriented, they cannot be scaled or rotated other than by multiples of 90 degrees.
- The only difference between C\_BLOBs and the image is a possible block rotation to keep textlines horizontal.

#### TBLOBs:

- Begin life as Word-Baseline-Normalized, these are the input to the chopper and classifier.
- There may be an additional rotation for classification. (CJK)



#### Classifier Normalizations

Beginning with a word-baseline-normalized TBLOB (possibly rotated again to be the right way up) the classifier further normalizes for feature extraction:

- Baseline Norm: No further scaling, but x-center the character in the classifier feature space.
- Character Norm: Center the character in the feature space by centroid, and scale by 2nd moments anisotropically.
- Non-linear Norm: Scale to preserve edge density in a non-linear way to fill the feature space in some sense.
   (Not used, but maybe in the future.)



#### Applications of different Normalizations

#### Baseline/x-height

- Used by Adaptive classifier
- Align on x centroid, y on baseline
- Scale uniformly by xheight.
- Sees sup/super as different classes.
- Ignores speckle noise well.

#### **Character Moments**

- Used by static classifier.
- Align on Centroid
- Scale by 2nd moments independently in x and y
- Eliminates a lot of font variation.
- Makes '-' '.' ' 'l' ambiguous.
- Makes sub/superscript appear same as normal
- Fooled by speckle noise

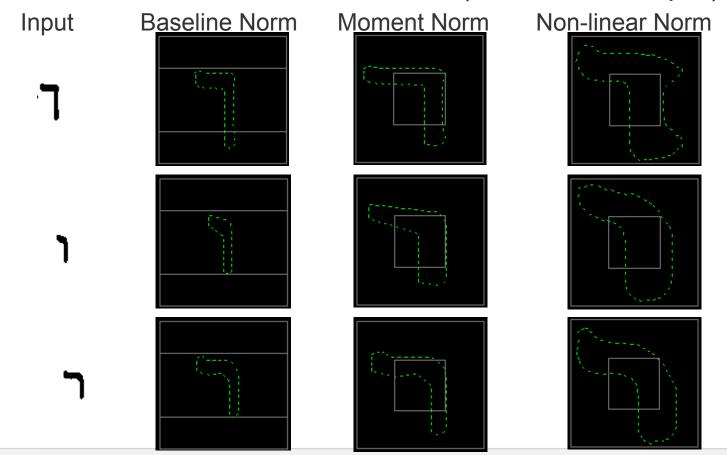


#### Character-level Normalization

Input Baseline-norm Non-linear norm Moment-norm (aka char-norm) n



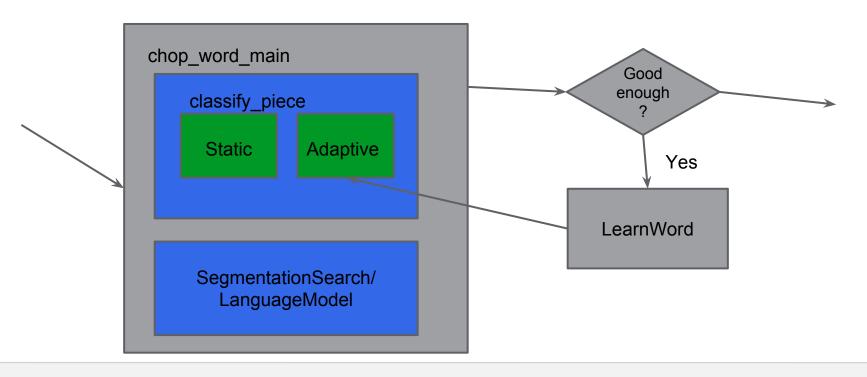
# Character-level Normalization (Hebrew example)





# Adaptive Classifier: On-the-fly Adaption

classify\_word\_pass1





# Thanks for Listening!

# Questions?