

# Lists and Recursion

CS 270 Math Foundations of CS

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# Objective

- To provide a recursive definition of lists and several recursive functions for processing lists that mimic the recursive definition
- To practice using recursive data structures and writing recursive functions in Racket

# Fundamental List Functions

$L = (x_1 \dots x_n)$

$\text{null?} : \text{All} \rightarrow \text{Boolean}$

$\text{cons?} : \text{All} \rightarrow \text{Boolean}$

$\text{cons} : \text{All} \times \text{List} \rightarrow \text{Cons}$

$(\text{cons } x \text{ } L) = (x \ x_1 \dots x_n)$

$\text{first} : \text{Cons} \rightarrow \text{All}$

$(\text{first } (\text{cons } x \text{ } L)) = x$

$\text{rest} : \text{Cons} \rightarrow \text{List}$

$(\text{rest } (\text{cons } x \text{ } L)) = L$

# Building Lists

> (list  $x_1$  ...  $x_n$ ) [macro – variable # of args]

(cons  $x_1$  (cons  $x_2$  ... (cons  $x_n$  nil)...))

> (cons? '()) => #f

> (null? '()) => #t

> (cons 1 '()) => '(1)

(cons? (cons 1 '())) => #t

(cons 1 (cons 2 (cons 3 '()))) => '(1 2 3)

(list 1 2 3) => '(1 2 3)

(cons (cons 1 '()) '()) => '((1))

# Exercise

How do you construct the list `((1) 2)`?

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How do you construct the list `((1) 2)`?

`(cons 1 '()) = (1)`

`(cons 2 '()) = (2)`

Want `(cons x y)` where `x = '(1)` and `y = '(2)`

`(cons (cons 1 '()) (cons 2 '())) => '((1) 2)`

Test this (and try additional examples) in  
DrRacket

# More About cons

A cons cell contains two fields

first [also called car]

rest [also called cdr]

For a list the rest field must be a list

Generally both fields of a cons  $\in$  All

$(\text{cons } 1 \ 2) = (1 . 2)$

Called a dotted pair

first and rest require that the inputs are lists  
whereas car and cdr do not

# Recursive Definition

- Recursive Definition  
List : empty | cons All List  
Process lists using these two cases and use recursion to recursively process lists in cons
- Use null? to check for empty list and cons? to check for a cons
- Use first and rest to access components of cons



# List Predicate

❖ Follow the definition

```
(define (list? L)
  (if (null? L)
      #t
      (if (cons? L)
          (list? (cdr L)) ; need cdr not rest since L arbitrary
          #f)))
```

# Version with cond

```
(define (list? L)
  (cond
    [(null? L) #t]
    [(cons? L) (list? (cdr L))]
    [else #f]))
```

# Non-Terminating

- ❖ Make sure recursive calls are smaller

```
(define (list? L)
  (if (null? L)
      #t
      (if (cons? L)
          (list? L)
          #f))))
```

# Length<sup>1</sup>

```
(define (length L)
  (if (null? L)
      0
      (
          ) ))
```

1: length is a built-in function in Racket

# Length<sup>1</sup>

```
(define (length L)
  (if (null? L)
      0
      (+ 1 (length (rest L)))))
```

- The recursive function can be “thought of” as a definition of length

<sup>1</sup>: length is a built-in function in Racket

# Member<sup>1</sup>

```
(define (member x L)
  (cond
    [(null? L) #f]
    [x      ]
    [      ])))
```

1. Member is a built-in function in Racket and the specification is different

# Member<sup>1</sup>

```
(define (member x L)
  (cond
    [(null? L) #f]
    [(equal? x (first L)) #t]
    [else (member x (rest L))]))
```

1. Member is a built-in function in Racket and the specification is different. This generalizes the function from Chapter 2 of the Little Schemer which works on atoms and uses `eq?`

# Append<sup>1</sup>

```
(define (append x y)
```

```
...
```

```
)
```

- $(\text{append } '(1\ 2\ 3) \ '(4\ 5\ 6)) \rightarrow (1\ 2\ 3\ 4\ 5\ 6)$
- Recurse on the first input

1. append is a built-in function in Racket



# Append<sup>1</sup>

```
(define (append x y)
  (if (null? x)
      y
      (cons (first x) (append (rest x) y))))
```

- Recurse on the first input

1. append is a built-in function in Racket

# Reverse<sup>1</sup>

```
(define (reverse L)
```

```
...
```

```
)
```

- $(\text{reverse } '(1\ 2\ 3)) \rightarrow (3\ 2\ 1)$

1. reverse is a built-in function in Racket

# Reverse<sup>1</sup>

```
(define (reverse L)
  (if (null? L)
      null
      (append (reverse (rest L)) (cons (first L) null)))))
```

1. reverse is a built-in function in Racket

# Exercise

Implement the function `(snoc x L)` which returns the list obtained from `L` by inserting `x` at the end of `L`.

- 1) Use `reverse`
- 2) Use recursion similar to `reverse`

# snoc

```
(define (snoc x L)  
  (reverse (cons x (reverse L))))
```

```
(define (snoc x L)  
  (cond  
    [(null? L) (cons x null) ]  
    [else (cons (first L) (snoc x (rest L)))]  
  ))
```

# Tail Recursive reverse

```
(define (reverse-aux x y)
  (if (null? x)
      y
      (reverse-aux (rest x) (cons (first x) y))))
```

```
(define (reverse x)
  (reverse-aux x null))
```

- $O(n)$  vs  $O(n^2)$

# Numatoms

```
(define atom?  
  (lambda (x)  
    (and (not (pair? x)) (not (null? x)))))
```

; return number of non-null atoms in x

```
(define (numatoms x)  
  (cond  
    [(null? x) 0]  
    [(atom? x) 1]  
    [(cons? x) (+ (numatoms (first x))  
                   (numatoms (rest x)))])])
```

# Shallow vs Deep Recursion

- Length and Member only recurse on the rest field for lists that are conses
  - Such recursion is called shallow – it does not matter whether the lists contain atoms or lists
  - (length '((a b) c d)) => 3
- Numatoms recurses in both the first and rest fields
  - Such recursion is called deep – it completely traverses the list when elements are lists
  - (numatoms '((a b) c d)) => 4



# Termination

- Recursive list processing functions, whether shallow or deep must eventually reach the base case.
- The inputs to each recursive call must have a smaller size
  - Size is the number of cons cells in the list
  - `(< (size (first l)) (size l))`
  - `(< (size (rest l)) (size l))`

# Size

```
(define (atom? x)
```

```
  (not (cons? x)))
```

; return size of x = number of cons cells in x

```
(define (size x)
```

```
  (cond
```

```
    [(atom? x) 0]
```

```
    [(null? x) 0]
```

```
    [(cons? x) (+ 1 (size (first x)) (size (rest x)))])])
```

# Order

; return the order = maximum depth

```
(define (order x)
```

```
  (cond
```

```
    [(null? x) 0]
```

```
    [(atom? x) 0]
```

```
    [(cons? x) (max (+ 1 (order (first x)))  
                     (order (rest x))))]))
```



# Higher Order Functions

sort:

```
> (sort '(4 3 2 1) <) => (1 2 3 4)
```

```
> (sort '("one" "two" "three" "four") string<?) =>  
'("four" "one" "three" "two")
```

map:

```
> (map sqr '(1 2 3 4)) => '(1 4 9 16)
```



# Higher Order Functions

filter:

- > (filter odd? '(1 2 3 4 5)) => '(1 3 5)
- > (filter even? '(1 2 3 4 5)) => '(2 4)

fold:

- > (foldr cons '() '(1 2 3 4)) => '(1 2 3 4)
- > (foldr list '() '(1 2 3 4)) => '(1 (2 (3 (4 ())))))
- > (foldr + 0 '(1 2 3 4)) => 10
- > (foldl cons '() '(1 2 3 4)) => '(4 3 2 1)
- > (foldl list '() '(1 2 3 4)) => '(4 (3 (2 (1 ())))))
- > (foldl \* 1 '(1 2 3 4)) => 24

# filterodd

; Input: a list L

; Output: a list with the odd elements removed

```
(define (filterodd L)
  (cond
    [(null? L) L]
    [(odd? (first L)) (cons (first L) (filterodd (rest L)))]
    [else (filterodd (rest L))]))
```

# filtereven

; Input: a list L

; Output: a list with the even elements removed

```
(define (filtereven L)
```

```
  (cond
```

```
    [(null? L) L]
```

```
    [(even? (first L)) (cons (first L) (filtereven (rest L)))]
```

```
    [else (filtereven (rest L))]))
```

# filter

; Input: a list L and a predicate p?

; Output: a list with the elements satisfying p? removed

```
(define (filter p? L)
```

```
  (cond
```

```
    [(null? L) L]
```

```
    [(p? (first L)) (cons (first L) (filter p? (rest L)))]
```

```
    [else (filter p? (rest L))]))
```



# Order Using map/reduce

; return the order = maximum depth

```
(define (order x)
```

```
  (cond
```

```
    [(null? x) 0]
```

```
    [(atom? x) 0]
```

```
    [(cons? x) (+ 1 (foldr max 0 (map order x))))])
```

# Flatten Using map/reduce

; return a first order list containing all atoms in x

```
(define (flatten x)
```

```
  (cond
```

```
    [(null? x) x]
```

```
    [(atom? x) (list x)]
```

```
    [(cons? x) (foldr append '() (map flatten x))]))
```