Functional Programming 2

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Part 0

Overview

0.0 Outline

Contents

Organization

Literature

0.1 Recap: Functional Programming 1

- equational style of programming
- expression-oriented style of programming
- computation by evaluation
- datatypes (static aspects) and functions (dynamic aspects)
- static typing
- universal polymorphism: parametric polymorphism
- ad-hoc polymorphism: type classes
- higher-order functions
- equational reasoning

0.1 Contents: Functional Programming 2

- 1. Lazy evaluation

 Haskell: being lazy with class
- 2. Imperative Programming

 Haskell: the world's finest imperative language
- **3.** Applicative functors and monads *APIs of the future*
- **4.** Type and class system extensions *adventures with types*
- **5.** Duality: folds and unfolds *two for the price of one*
- **6.** Case study: turtles and tesselations *fun with graphics*

0.2 Organizational matters

- your goal: obtain a good grade
- (*my goal:* show you the beauty of FP)
- how to achieve your goal:
 - make good use of me i.e. attend the lectures and the tutorials tutorials: Q&A and worked example
 - make good use of the teaching assistants: Mart and Ward
 - obtain at least a sufficient grade for at least 5 practical sets
 - work and submit in pairs
 - submission: Friday night
 - redo possible within two weeks if insufficient
 - pass the final exam
- a gentle request and a suggestion:
 keep the use of electronic devices to a minimum;
 make notes using pencil and paper



0.3 Literature

- Miran Lipovaca, Learn You a Haskell for Great Good!: A Beginner's Guide, No Starch Press, 2011.
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- Graham Hutton, Programming in Haskell (2nd Edition), Cambridge University Press, 2016.
- Bryan O'Sullivan, John Goerzen, Don Stewart, Real World Haskell, O'Reilly Media, 2008.
- Simon Thompson, Haskell: The Craft of Functional Programming (3rd Edition), Addison-Wesley Professional, 2011.



Part 1

Lazy evaluation

1.0 Outline

Evaluation orders

Efficiency and Strictness

Case study: dynamic programming

Infinite data structures

1.1 Evaluation orders

- different evaluation orders are possible
- it matters which strategy we choose
 - applicative-order evaluation
 - ▶ normal-order evaluation
 - ▶ lazy evaluation

1.1 Different evaluation orders

• recall different evaluation orders from before (the function *square* is defined *square* x = x * x):

```
square (3+4)
square (3+4)
                                    { definition of square }
  { definition of + }
                                  (3+4)*(3+4)
                             \implies { definition of + }
sauare 7
  { definition of square }
                                  7*(3+4)
7 * 7
                                    { definition of + }
  { definition of * }
                                  7 * 7
49
                                    \{ definition of * \}
                                  49
```

- not two different answers
- but sometimes no answer at all, see next slide!

1.1 Non-terminating evaluations

• consider script

```
three :: Integer \rightarrow Integer
three \_=3
infinity :: Integer
infinity = 1 + infinity
```

two different evaluation orders:

```
three infinity \\ \Rightarrow \{ definition of infinity \} \\ three (1 + infinity) \\ \Rightarrow \{ definition of infinity \} \\ three (1 + (1 + infinity)) \\ \end{cases} \Rightarrow \{ definition of three \}
```

• not all evaluation orders terminate, which order to choose?

1.1 Applicative-order evaluation

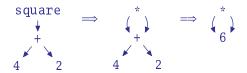
- to reduce the application *f e*:
 - ▶ first reduce *e* to normal form
 - \blacktriangleright then expand definition of f and continue reducing
- simple and obvious
- easy to implement
- may not terminate when other evaluation orders would

1.1 Normal-order evaluation

- to reduce the application *f e*:
 - \triangleright expand definition of f, substituting e
 - reduce result of expansion
- avoids non-termination, if any evaluation order will
- may involve repeating work

1.1 A third way: lazy evaluation

 like normal-order evaluation, but instead of copying arguments we share them



- terms are directed graphs, not trees; graph reduction
- best of both worlds: evaluates argument only when needed, so terminating, but never evaluates argument more than once, so efficient

1.1 Lazy evaluation via let

• equivalently, expand application to let expression

```
square (3+4)
       { application }
     let x = 3 + 4 in square x
       { definition of square }
     let x = 3 + 4 in x * x
⇒ { reduce argument }
     let x = 7 in x * x
\implies { substitute }
     7 * 7
      { definition of * }
     49
```

• sharing is expressed using **let**-expressions

1.1 Undefined and strictness

- some expressions denote no normal value (e.g. *infinity*, 1 / 0)
- for simplicity (every syntactically well-formed expression denotes a value), introduce special value undefined (sometimes written '\(\pera\)')
- in evaluating such an expression, evaluator may hang or may give error message
- can apply functions to ⊥; *strict* functions (*square*) give ⊥ as a result, *non-strict* functions (*three*) may give some non-⊥ value
- the function *f* is strict iff $f \perp = \perp$

1.1 Normal forms

- recall, an expression is in normal form (NF) when it cannot be reduced any further
- an expression is in weak head normal form (WHNF) if it is a lambda expression, or if it is a constructor applied to zero or more arguments

 - e.g. fx: map fxs
 - e.g. (1+2, 1-2)
- an expression in normal form is in weak head normal form, but converse does not hold

1.1 Demand-driven evaluation

pattern-matching may trigger reduction of arguments to WHNF

$$head[1..1000000] = head(1:[1+1..1000000]) = 1$$

patterns matched top to bottom, left to right

False &&
$$x = False$$

True && $x = x$

• guards may also trigger reduction

$$fz \mid fst z > 0 = fst z$$

 $\mid otherwise = snd z$

local definitions not reduced until needed

$$q x = (x \neq 0 \&\& y < 10)$$
 where $y = 1 / x$



1.1 A pipeline

• the outermost function drives the evaluation

```
foldl(+) 0 (map square [1..1000])
\Rightarrow fold (+) 0 (map square (1:[2...1000])
\Rightarrow fold (+) 0 (1: map square [2...1000])
\Rightarrow fold (+) 1 (map square [2...1000])
\Rightarrow foldl (+) 1 (map square (2:[3..1000])
\Rightarrow fold (+) 1 (4: map square [3...1000])
\Rightarrow fold (+) 5 (map square [3..1000])
\implies ...
     foldl (+) 14 (map square [4..1000])
\Longrightarrow
     . . .
     333833500
```

• *note:* the list [1..1000] never exists all at once



1.1 Demand-driven programming

- lazy evaluation has useful implications for program design
- many computations can be thought of as pipelines
- expressed with lazy evaluation, intermediate data structures need not exist all at once
- same effect requires major program surgery in most languages

Slogan: lazy evaluation allows new and better means of modularizing programs

• (but that realization does not help so much in other languages)

1.2 Efficiency

- measure time taken by number of reduction steps
- measure space usage by maximum expression size
- garbage collection reclaims discarded space

1.2 Simplifications

- time measure is an approximation, because we ignore time to find redexes
- space measure also an approximation (sharing!)
- e.g. to evaluate and print [1..1000] does not take 1000 units of space
- on the other hand, space leaks may surprise

```
numbers = [1..1000]
```

evaluating and printing *numbers* leaves a pointer, prevents garbage collection

· space occupied by script may grow with use

1.2 Strictness

• recall summing a list (simplified)

```
foldl(+) 0 [1..100]
\Rightarrow foldl(+) 1 [2..100]
\Rightarrow foldl(+) 3 [3..100]
\Rightarrow ...
```

this is a white lie; additions are not forced yet

```
foldl (+) 0 [1..100]
⇒ foldl (+) (0+1) [2..100]
⇒ foldl (+) ((0+1)+2) [3..100]
⇒ ...
```

- linear space usage, unnecessarily
- what to do about it?

1.2 Forcing evaluation with seq

- judicious mix of lazy and eager evaluation to force additions (safe, because + is strict in both arguments)
- the primitive *seq a b* reduces *a* to WHNF, then returns *b*

```
strict:: (a \rightarrow b) \rightarrow (a \rightarrow b)
strict f = seq a (f a)
```

- *strict f* is a strict function i.e. *strict f* $\bot = \bot$
- same reductions (on strict functions), but in different order

```
\begin{array}{lll} succ \left( succ \left( 8*5 \right) \right) & strict succ \left( strict succ \left( 8*5 \right) \right) \\ \Rightarrow & succ \left( 8*5 \right) + 1 & \Rightarrow strict succ \left( strict succ 40 \right) \\ \Rightarrow & \left( \left( 8*5 \right) + 1 \right) + 1 & \Rightarrow strict succ \left( 40 + 1 \right) \\ \Rightarrow & \left( 40 + 1 \right) + 1 & \Rightarrow strict succ 41 \\ \Rightarrow & 41 + 1 & \Rightarrow 42 & \Rightarrow 42 \end{array}
```

1.2 A strict variant of foldl

• now try *sfoldl* (+) 0 [1..100], where

```
sfoldl:: (ans \rightarrow a \rightarrow ans) \rightarrow ans \rightarrow ([a] \rightarrow ans)

sfoldl(\triangleleft) e = loop e

where loop a[] = a

loop a(x:xs) = strict loop (a \leq x) xs
```

1.3 Case study: postage in Fremont

You are a postal worker in Fremont. Given postage denominations, 1, 10, 21, 34, 70, and 100,













dispense a given amount to customer using smallest number of stamps.

• a greedy approach doesn't work:

greedy:
$$140 = 100 + 34 + 1 + 1 + 1 + 1 + 1 + 1$$

optimal: 140 = 70 + 70

 for simplicity, let us assume that we are only interested in the total number of stamps

1.3 Postage: a recursive implementation

a naive recursive implementation

```
stamps::[Integer] \rightarrow Integer \rightarrow Integer

stamps\ ds\ n = f\ n

where\ f\ 0 = 0

f\ i = minimum\ [f\ (i-d) + 1\ |\ d \leftarrow ds,\ d \le i]
```

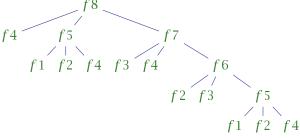
why naive?

```
\langle \rangle \rangle \rangle stamps [4, 3, 1] 6
2
\langle \rangle \rangle \rangle stamps [100, 70, 34, 21, 10, 1] 140
```

• the second call is answered by a looong wait

1.3 Naive recursion: analysis

• recursion tree of f = stamps [4, 3, 1]:



- very slow: exponential running-time
- *problem:* solutions to sub-problems are computed over and over again, e.g. *f* 5

1.3 Dynamic programming

- idea of dynamic programming: replace a function that computes data by a look-up table that contains data
- we trade space for time: we decrease the running-time at the cost of increased space consumption
- candidates for look-up tables
 - ▶ lists: linear running time of look-up $\Theta(i)$
 - arrays: constant running time of look-up $\Theta(1)$
- (the term "programming" refers to the method of finding an optimal program, in the sense of a schedule for logistics)

1.3 Interlude: lazy functional arrays

- the library *Data.Array* provides *lazy* functional arrays
- an array is a finite mapping from indices to values

```
type Array ix val class Ix ix array :: (Ix ix) \Rightarrow (ix, ix) \rightarrow [(ix, val)] \rightarrow Array ix val (!) :: (Ix ix) \Rightarrow Array ix val \rightarrow ix \rightarrow val
```

- elements of many types may serve as indices e.g. tuples of indices yield multi-dimensional arrays
- the function array(l, u) lazily constructs an array from a list of index/value pairs with indices within bounds (l, u)
- the operator! is array indexing
- (but no update operation: Haskell is pure)

1.3 Postage: dynamic programming

we simply (!) replace recursive calls by table look-ups

```
stamps :: [Integer] \rightarrow Integer \rightarrow Integer
stamps \ ds \ n = memof! \ n
where \ f \ 0 = 0
f \ i = minimum \ [memof! \ (i-d) + 1 \mid d \leftarrow ds, d \leq i]
memof = array \ (0, n) \ [(i, f \ i) \mid i \leftarrow [0 \dots n]]
```

- lazy evaluation at work: look-up table is filled in a demand-driven fashion
- linear running time $\Theta(d \cdot n)$ where d is the number of denominations and n is the target denomination

```
⟩⟩⟩⟩ stamps [4, 3, 1] 6
2
⟩⟩⟩⟩ stamps [100, 70, 34, 21, 10, 1] 140
2
```



1.4 Infinite data structures

- demand-driven evaluation means that programs can manipulate infinite data structures
- whole structure is not evaluated at once (fortunately)
- because of laziness, finite result can be obtained from (finite prefix of) infinite data structure
- any recursive datatype has infinite elements, but we will consider only lists

1.4 Infinite lists

- ones = 1 : ones
- [n..] = [n, n + 1, n + 2, ...]
- [n, n+k..] = [n, n+k, n+2*k,...]
- repeat n = n: repeat n
- iterate f x = x: iterate f (f x)
- fibs = 0:1: zipWith(+) fibs(tail fibs)

1.4 No magic

can apply functions to infinite data structures

$$filter\ even\ [\ 1\ ..\]\ =\ [\ 2,\ 4,\ 6,\ 8...\]$$

can return finite results

$$takeWhile (<10) [1..] = [1, 2, 3, 4, 5, 6, 7, 8, 9]$$

 note that these do not always behave like infinite sets in maths

$$filter(<10)[1...] = [1, 2, 3, 4, 5, 6, 7, 8, 9]$$

• to interrupt, ctrl-C

1.4 What does it mean?

- essential idea is that infinite data structure is *limit* of series of *approximations*
- e.g. infinite list

```
[1, 2, 3, 4, 5, \dots]
```

is limit of series of approximations

```
1: \(\perp \)
1: 2: \(\perp \)
1: 2: 3: \(\perp \)
```

where \(\perp\) represents the "lack of information"



1.4 Primes

recall bounded sequences of primes

```
primes m = [n \mid n \leftarrow [1..m], divisors n == [1, n]]
divisors n = [d \mid d \leftarrow [1..n], n \text{ 'mod'} d == 0]
```

• infinite sequence of primes

$$primes = [n \mid n \leftarrow [1..], divisors n = [1, n]]$$

• much more efficient version: sieve of Eratosthenes

primes = sieve [2..] where
sieve
$$(x:xs) = x$$
: sieve $[y | y \leftarrow xs, y \text{ 'mod'} x \neq 0]$

1.4 What's the point?

- better abstraction: some real-world entities are infinite
- better modularity: separation of concerns, reuse of components
- fun!

1.4 Summary

- two principal evaluation strategies:
 - applicative order: efficient, but may not terminate
 - normal order: avoids non-termination if possible, but work possibly replicated
- lazy evaluation: best of both worlds
- enables infinite data structures
- better modularity: creation and traversal of structures can be cleanly separated (eg game trees)

Part 2

Imperative programming

2.0 Outline

Separation of Church and state

The I/O interface

Case study: Haskinator

References

Summary

2.1 Separation of Church and state

- a pure functional language such as Haskell is *referentially transparent*
- expressions do not have side-effects
- remember: the sole purpose of an expression is to denote a value
- but what about state-changing computations (e.g. printing to the console or writing to the file system?)
- how to incorporate these into Haskell?

2.1 Gedankenexperiment

- imagine you are a language designer
- how would you incorporate an outputting computation?

```
putStr:: String \rightarrow ()
```

what's the value and what's the effect of

let
$$x = putStr$$
 "ha" in [x, x]

and of this one?

```
[putStr "ha", putStr "ha"]
```

- if we noticed different effects, then we would no longer be able to replace equals by equals!
- side-effects and lazy evaluation are not natural bedfellows



2.1 To-do lists and procrastination

- idea: putStr "ha" has no effect at all
- introduce a new type of I/O computations

```
putStr:: String \rightarrow IO()
```

- *IO a* is type of computation that may do I/O, then returns an element of type *a*
- *IO a* can be seen as the type of a *to-do list*
- to-do list vs actually doing something
- recording an I/O computation vs executing an I/O computation
- main has type IO ()
- only the to-do list that is bound to main is executed



2.1 Interpreting strings

- if evaluator evaluates non-IO type, prints value; otherwise, performs computation
- strings as values get displayed as strings:

```
>>>> "Hello,\nWorld"
"Hello,\nWorld"
```

• *putStr* turns a string into an outputting computation:

```
>>>> putStr "Hello,\nWorld"
Hello,
World
```

2.2 The I/O interface

- *IO a* is an abstract datatype of I/O computations
- return turns a value into an I/O computation that has no effect

• $m \gg n$ first executes m and then n

$$(\gg)$$
 :: $IO \ a \rightarrow IO \ b \rightarrow IO \ b$

m >= *n* additionally feeds the result of the first computation into the second

$$(\gg 1) :: IO \ a \rightarrow (a \rightarrow IO \ b) \rightarrow IO \ b$$

2.2 I/O operations

• console I/O

```
putStr :: String \rightarrow IO ()

putStrLn :: String \rightarrow IO ()

getLine :: IO String
```

console I/O via Show and Read

```
print :: (Show \ a) \Rightarrow a \rightarrow IO()
readLn:: (Read \ a) \Rightarrow IO \ a
```

• file I/O

```
type FilePath = String

writeFile :: FilePath \rightarrow String \rightarrow IO ()

readFile :: FilePath \rightarrow IO String
```

many, many more . . .

2.2 Example: console I/O

a simple interactive program

```
welcome :: IO ()
welcome
= putStr "Please enter your name.\n" >>
    getLine >>= \s ->
    putStr ("Welcome " + s ++ "!\n")
```

• remember: $\slash s \to \dots$ is a lambda expression, an anonymous function

2.2 Example: console I/O

the same program using do notation

syntax: layout-sensitive versus braces and semicolons

```
welcome :: IO ()
welcome
= do { putStr "Please enter your name.\n";
    s ← getLine; putStr ("Welcome " + s ++ "!\n") }
```

2.2 Do notation

- special syntactic sugar for expressions of type IO a
- inspired by (in fact, a generalization of) list comprehensions

```
do \{m\} = m
do \{x \leftarrow m; ms\} = m \gg \backslash x \rightarrow do \{ms\}
do \{m; ms\} = m \gg \backslash \bot \rightarrow do \{ms\}
do \{\text{let } ds; ms\} = \text{let } ds \text{ in } do \{ms\}
```

where x can appear free in ms

• "a generator" (pronounce "x is drawn from m")

$$x \leftarrow m$$

note that m has type IO a, whereas x has type a



2.2 Example: file I/O

processing a file

```
processFile :: FilePath \rightarrow (String \rightarrow String) \rightarrow FilePath \rightarrow IO () processFile inFile f outFile = \operatorname{do} s \leftarrow \operatorname{readFile} inFile \operatorname{let} s' = f s writeFile outFile s'
```

2.2 I/O computations as first-class citizens

• we can freely mix I/O computations with, say, lists

```
main:: IO()
main = sequence [print i \mid i \leftarrow [0..9]]
```

don't forget the list design pattern

```
sequence :: [IO()] \rightarrow IO()

sequence [] = return()

sequence (a:as) = a \gg sequence as
```

(the predefined version of *sequence* is more general)

- I/O computations are first-class citizens!
- Haskell is the world's finest imperative language!

2.2 Composition of effectful functions

- pure functions can be chained with function composition
- effectful functions can be chained with

$$(\odot) :: (b \to IO c) \to (a \to IO b) \to (a \to IO c)$$
$$(f \odot g) \ x = g \ x \gg f$$

turning a pure into an effectful function

lift::
$$(a \rightarrow b) \rightarrow (a \rightarrow IO b)$$

lift $f x = return (f x)$

example

```
processFile :: FilePath → (String → String) → FilePath → IO ()
processFile outFile f
= writeFile outFile \odot lift f \odot readFile
```

```
Jecce. .d$$$b.
            J$$$$$$c
                 .d$$$$$$.
             $$$$$$$$$$c..c$$$$P$$$$
            J$$$$$$$$$$$$$$$$$$$$$$$
            $$$$$$$$$$$$$$$$$$$$$$$F$$$$$$$
            J$$$$$$$$$$$$$?$$$$F """
           zcd$$$$$$$$$$$$$Fb3$$$"
          .c=cc$$$$$$$$$$??$$$c
         .z$" '$$$$$$$$??$.?$$b
        d$$".d$$c$$$$$$P" ?$Fd$$$$
        .$$$.dP" "$$$$$$$$$c '$$$$$$r
       z$$$$P"=$c $$$$$$P""?$. $$$$$$$
    ...ccc..4$$$$$' '$ $$$$"-cc $$.$$$$$$$
  .$$$$$$$$$$$$$$$$$$$$L"$$'$$$ ,zd$$ $$$$$$$???-
  $$$$$$$$$$$$$$$$$P""??cc$$$$ $$$F,$$$$$$$$$$$$$$$ccc...
  $$$$$$$$$$$$$$$ d$$$$bc3$$$bc.cd$$$$$$$$$$$$$$$$$$$$$.
  $$$$$$$$$?$$$$$$'d$$$$$$$$$$$$$F'.cccccc."''
     '$$$$$$$F "$$P$$P.$$$$$$$$$$$$P".$$$$$$$$$$$c.
     '$$$$$."???",$$$$$$$$$$$CCh$'J$$$$$$$$$$$$$$$$$$$$.
```

2.3 Case study: Haskinator

Think about a real or fictional character ... I will try to guess who it is.

iGuessTheCelebrity:: IO()

Think of number between l and r... I will try to guess the number.

 $iGuessTheNumber :: Integer \rightarrow Integer \rightarrow IO ()$

2.3 A game tree

Goal: separate the game logic from the underlying data.

```
data Tree \ a \ b = Tip \ a \mid Node \ b \ (Tree \ a \ b) \ (Tree \ a \ b)
deriving (Show)
```

The type is parametric in the type of labels of external nodes (i.e. tips) and in the type of labels of internal nodes.

```
bimap :: (a1 \rightarrow a2) \rightarrow (b1 \rightarrow b2) \rightarrow (Tree \ a1 \ b1 \rightarrow Tree \ a2 \ b2)

bimap f_{-}(Tip \ a) = Tip (f \ a)

bimap fg (Node \ b \ l \ r) = Node (g \ b) (bimap fg \ l) (bimap fg \ r)
```

The function *bimap* is a binary variant of *fmap*.

2.3 The game logic

```
guess:: Tree String String \rightarrow IO ()
guess (Tips)
  = putStrLn s
guess (Node alr)
  = do b \leftarrow vesOrNo a
        if b then
          guess l
        else
          quess r
yesOrNo :: String → IO Bool
yesOrNo question
  = do putStrLn question
        answer ← getLine
        return (map toLower answer 'isPrefixOf' "yes")
          — empty answer means "yes"
```

2.3 I guess the celebrity

```
iGuessTheCelebrity
  = do putStrLn("Think of a celebrity.")
        auess (bimap (\s \rightarrow s + "!") (\a \rightarrow a + "?") celebrity)
celebrity:: Tree String String
celebrity
  = Node "Female"
      (Node "Actress"
         (Tip "Angelina Jolie")
         (Tip "Adele"))
      (Node "Actor"
         (Tip "Brad Pitt")
         (Tip "Steve Hackett"))
```

2.3 I guess the number

```
iGuessTheNumber l r
   = do putStrLn("Think of number between " ++
                   show l + " and " + show r + ".")
        guess (bimap (\n \rightarrow show n + "!")
                       (\mbox{} m \rightarrow " < = " + show m + "?")
                       (nest l r)
nest:: Integer → Integer → Tree Integer Integer
nest l r
  | l = r = Tip l
   | otherwise = Node \ m \ (nest \ l \ m) \ (nest \ (m+1) \ r)
  where m = (l + r) 'div' 2
```

2.4 Other I/O goodies

- the IO type offers a lot more
 - exception handling
 - ▶ threads
 - updatable variables (aka references or pointers)
 - updatable arrays
 - F ...
- Haskell's sin bin

2.4 References

- remember referential transparency
- updatable variables live in the IO world
- excerpt of the interface

```
type IORef a

newIORef :: a \rightarrow IO (IORef a)

readIORef :: IORef a \rightarrow IO a

writeIORef :: IORef a \rightarrow a \rightarrow IO ()
```

- newIORef creates a new IORef and initializes
- readIORef reads the value of an IORef
- writeIORef writes a new value into an IORef

2.4 References: examples

copying "variables"

```
copy:: IORef a \rightarrow IORef a \rightarrow IO () -x := y
copy x y = \mathbf{do} \ b \leftarrow readIORef y
write IORef x \ b
```

swapping "variables"

```
swap :: IORef \ a \rightarrow IORef \ a \rightarrow IO \ ()

swap \ x \ y = \mathbf{do} \ a \leftarrow readIORef \ x \ - x, y := y, x

b \leftarrow readIORef \ y

writeIORef \ x \ b

writeIORef \ y \ a
```

2.4 Singly-linked lists



- *IORef*s are first class citizens; they can mix and mingle
- singly-linked lists

```
type ListRef elem = IORef (List elem)
data List elem = Nil | Cons elem (ListRef elem)
```

- the two types are mutually recursive
- likewise, operations on singly-linked lists often come in pairs defined by mutual recursion
- (no *null* pointer; Tony Hoare's billion-dollar mistake)





2.4 Linked lists: length

• the length of a singly-linked list (definition style)

```
length:: ListRef elem \rightarrow IO Integer
length ref = \mathbf{do} { list \leftarrow readIORef ref, length' list}
length' :: List elem \rightarrow IO Integer
length' Nil = return 0
length' (Cons x next) = \mathbf{do} { n \leftarrow length next, return (n + 1)}
```

2.4 Linked lists: length

alternative definition (expression style)

```
length:: ListRef elem \rightarrow IO Integer
length ref =
  do list \leftarrow readIORef ref
  case list of
  Nil \rightarrow return 0
  Cons x next \rightarrow do { n \leftarrow length next; return (n+1) }
```

 note: layout-sensitive syntax and syntax using braces and semicolons can be freely mixed

2.4 Linked lists: concatenation

• rear of a list (last reference cell)

```
rear:: ListRef elem → IO (ListRef elem)
rear ref =
do list ← readIORef ref
case list of
Nil → return ref
Cons a next → rear next
```

concatenating two singly-linked lists

```
append:: ListRef elem → ListRef elem → IO ()
append xref yref =
do ref ← rear xref
copy ref yref
```

2.4 Linked lists: a puzzle

what's printed?

```
puzzle =
\mathbf{do} \ x \leftarrow fromList \ [0..14]
y \leftarrow fromList \ [15..19]
append \ x \ y
n1 \leftarrow length \ x
print \ n1
append \ x \ y
n2 \leftarrow length \ x
print \ n2
```

2.5 Summary

- "lazy makes you pure"
- I/O computations are first-class citizens!
- Haskell is the world's finest imperative language
- in general, try to minimize the I/O part of your program

Part 3

Applicative functors and monads



3.0 Outline

Applicative functors

Monads

Case study: Monty Hall problem

Advanced: laws and interdefinability \star

Summary

3.1 Manifest interfaces

- functions have manifest interfaces
- (manifest. Clearly revealed to the eye, mind, or judgement; open to view or comprehension; obvious.)
- this is both a blessing and a curse
- blessing: definitions can be read and understood in isolation
- curse: details cannot be brushed under the carpet

3.1 An evaluator

recall the datatype of expressions

```
infixl 6:+:
infixl 7:*:
data Expr
= Lit Integer — a literal
| Expr:+: Expr — addition
| Expr:*: Expr — multiplication
| Div Expr Expr — integer division
```

small extension: integer division

```
good, bad :: Expr
good = Div (Lit 7) (Div (Lit 4) (Lit 2))
bad = Div (Lit 7) (Div (Lit 2) (Lit 4))
```

3.1 The vanilla evaluator

recall the evaluation function

```
eval:: Expr → Integer

eval (Lit i) = i

eval (e1:+: e2) = eval e1 + eval e2

eval (e1:*: e2) = eval e1 * eval e2

eval (Div e1 e2) = div (eval e1) (eval e2)
```

example evaluations:

```
⟩⟩⟩⟩ eval good
3
⟩⟩⟩⟩ eval bad
Exception: divide by zero
```

3.1 Exception handling

- evaluation may fail, because of division by zero
- let's handle the exceptional behaviour:

```
evalE:: Expr → Maybe Integer
evalE (Lit i) = Just i
evalE (Div e1 e2) =
case evalE e1 of
Nothing → Nothing
Just v1 →
case evalE e2 of
Nothing → Nothing
Just v2 | v2 == 0 → Nothing — division by zero
| otherwise → Just (div v1 v2)
```

• (other cases omitted for reasons of space)

3.1 Counting evaluation steps

• we could instrument the evaluator to count evaluation steps:

```
type Counter a = (a, Int)

evalC :: Expr \rightarrow Counter Integer

evalC (Lit i) = (i, 1)

evalC (Div e1 e2) = let (v1, n1) = evalC e1

(v2, n2) = evalC e2

in (div v1 v2, 1 + n1 + n2)
```

• (other cases omitted for reasons of space)

3.1 **Ugly!**

- none of the two extensions is difficult
- but each is rather awkward, and obscures the previously clear structure
- how can we simplify the presentation?
- what do they have in common?

3.1 Ugly!

- none of the two extensions is difficult
- but each is rather awkward, and obscures the previously clear structure
- how can we simplify the presentation?
- what do they have in common?
- both evaluators have type *Expr* → *F Integer* where *F* specifies the *computational effect*

3.1 The applicative type class

• a type class for computations

```
infixl 4 \  \langle * \rangle

class (Functor f) \Rightarrow Applicative f where

pure :: a \rightarrow f a

(\langle * \rangle) :: f(a \rightarrow b) \rightarrow f a \rightarrow f b
```

- pure turns a value into a pure computation that has no effect
- (*) is function application where both function and argument are obtained as results of computations

3.1 The applicative type class—continued

 there are also one-sided versions of (*)—useful if a computation is only executed for its effect

```
infixl 4 \langle *, * \rangle

(\langle * \rangle :: f a \rightarrow f b \rightarrow f a

(* \rangle :: f a \rightarrow f b \rightarrow f b

a \langle * b = pure (\langle x y \rightarrow x \rangle \langle * \rangle a \langle * \rangle b

a * \rangle b = pure (\langle x y \rightarrow y \rangle \langle * \rangle a \langle * \rangle b
```

 in addition to these generic operations, each instance of *Applicative* also provides operations for the *effect-specific hehaviour*

3.1 Evaluator, applicative style

recall the vanilla evaluator

```
eval :: Expr \rightarrow Integer

eval (Lit i) = i

eval (e1 :+: e2) = eval e1 + eval e2

eval (e1 :*: e2) = eval e1 * eval e2

eval (Div e1 e2) = div (eval e1) (eval e2)
```

• same evaluator in an applicative style

```
evalA :: (Applicative f) \Rightarrow Expr \rightarrow f Integer

evalA (Lit i) = pure i

evalA (e1 :+: e2) = pure (+) \langle * \rangle evalA e1 \langle * \rangle evalA e2

evalA (e1 :*: e2) = pure (*) \langle * \rangle evalA e1 \langle * \rangle evalA e2

evalA (Div e1 e2) = pure div \langle * \rangle evalA e1 \langle * \rangle evalA e2
```

- two changes compared to the vanilla evaluator
 - prefix: (+) a b instead of a + b
 - ▶ application made explicit: *pure* f(*) a(*) b instead of f(a)
- still pure, but much easier to extend

3.1 Recovering the vanilla evaluator

meet the identity functor

```
newtype Id\ a = I \{fromI :: a\}

instance Functor\ Id\ where

fmap\ f(Ix) = I(fx)

instance Applicative\ Id\ where

pure\ a = I\ a

If\ \langle * \rangle\ Ix = I(fx)
```

- pure is the identity and (*) is function application
- example evaluation:

```
⟩⟩⟩⟩ fromI (evalA good)
```

3.1 The counter instance

counters instantiate the functor and applicative class:

```
newtype Counter a = C \{fromC :: (a, Int)\}
deriving (Show)
instance Functor Counter where
fmap f(C(a, n)) = C(fa, n)
instance Applicative Counter where
pure a = C(a, 0)
C(f, m) \langle * \rangle C(x, n) = C(fx, m + n)
```

the effect-specific behaviour is to increment the count:

```
tick :: Counter()
tick = C((), 1)
```

3.1 Counting evaluator, applicative style

• to integrate *tick* we use $\langle *$

- tick is only called for its effect, not its value
- example evaluation:

```
\rangle\rangle\rangle\rangle from C (eval C good) (3,5)
```

3.2 The exception instance

exceptions instantiate the functor and applicative class

```
data Maybe a = Nothing | Just a
instance Functor Maybe where
  fmap f (Nothing) = Nothing
  fmap f (Just a) = Just (f a)
instance Applicative Maybe where
  pure a = Just a
  Nothing (*) Nothing = Nothing
  Nothing (*) Just x = Nothing
  Just f (*) Nothing = Nothing
  Just f (*) Just x = Just (f x)
```

3.2 Exception handling evaluator

• but how to modify the interpreter?

```
evalA (Div e1 e2) = pure div (*) evalA e1 (*) evalA e2
```

- *div* can check whether its second argument is zero, but it cannot raise an exception as it is a pure function
- we need an additional combinator that applies an impure function $(a \rightarrow Maybe\ b)$ to an impure argument $(Maybe\ a)$



3.2 The monad type class

• the required combinator is a method of a sub-class of *Applicative*

```
class (Applicative m) \Rightarrow Monad m where return :: a \rightarrow m a (\gg) :: m a \rightarrow m b \rightarrow m b (\gg) :: m a \rightarrow (a \rightarrow m b) \rightarrow m b m \gg n = m \gg \backslash \rightarrow m
```

- (have you seen the combinators before?)
- (*monad* is a term from category theory, purloined from philosophy)

3.2 Levels of impurity *

notice the difference between fmap, ⟨*⟩, and =
 (the combinator >= with arguments interchanged)

$$fmap :: (a \rightarrow b) \rightarrow fa \rightarrow fb$$

 $(\langle * \rangle) :: f(a \rightarrow b) \rightarrow fa \rightarrow fb$
 $(\ll) :: (a \rightarrow fb) \rightarrow fa \rightarrow fb$

- · first argument of
 - ▶ fmap: a pure function
 - \wedge $\langle * \rangle$: an impure computation that yields a pure function
 - ► =<: an impure function</p>
- = ≪ is strictly more powerful than ⟨*⟩, which in turn is more powerful than *fmap*, more later

Monads

3.2 The exception instance

exceptions instantiate the monad class:

```
data Maybe a = Nothing \mid Just \ a

instance Monad Maybe where

return a = Just \ a

Nothing \gg = Nothing

Just \ a \gg f = f \ a
```

• the effect-specific behaviour is to terminate the execution:

```
halt :: Maybe a halt = Nothing
```

3.2 Exception handling evaluator

• using \Rightarrow we can guard the second argument of div

```
evalE:: Expr \rightarrow Maybe Integer

evalE (Lit i) = pure i

evalE (e1:+: e2) = pure (+) \langle * \rangle evalE e1 \langle * \rangle evalE e2

evalE (e1:*: e2) = pure (*) \langle * \rangle evalE e1 \langle * \rangle evalE e2

evalE (Div e1 e2) = pure div \langle * \rangle evalE e1 \langle * \rangle (guard \Rightarrow evalE e2)

guard:: Integer \rightarrow Maybe Integer

guard n = if n == 0 then halt else pure n
```

example evaluations:

```
⟩⟩⟩⟩ evalE good

Just 3

⟩⟩⟩⟩ evalE bad

Nothing
```

3.2 Original evaluator, monadically

• we can also write the interpreter in a monadic style

```
evalM:: (Monad\ m) \Rightarrow Expr \rightarrow m\ Integer

evalM\ (Lit\ i) = return\ i

evalM\ (Div\ e1\ e2) = evalM\ e1 \gg \backslash n1 \rightarrow

evalM\ e2 \gg \backslash n2 \rightarrow

return\ (n1\ 'div'\ n2)
```

• (other cases omitted for reasons of space)

3.2 Original evaluator, using do notation

• we can also use **do**-notation for *Monad* instances

```
evalM:: (Monad m) \Rightarrow Expr \rightarrow m Integer

evalM (Lit i) = \mathbf{do} \ return \ i

evalM (Div e1 e2) = \mathbf{do} \ n1 \leftarrow evalM e1

n2 \leftarrow evalM \ e2

return (n1 'div' n2)
```

- (other cases omitted for reasons of space)
- imperative look'n'feel

3.2 Exceptional evaluator, monadically

the monadic version is equally easy to extend

```
evalE :: Expr \rightarrow Maybe\ Integer
evalE\ (Lit\ i) = \mathbf{do}\ return\ i
evalE\ (Div\ e1\ e2) = \mathbf{do}\ n1 \leftarrow evalE\ e1
n2 \leftarrow evalE\ e2
\mathbf{if}\ n2 := 0\ \mathbf{then}\ halt
\mathbf{else}\ return\ (n1\ 'div'\ n2)
```

• (other cases omitted for reasons of space)

3.2 The IO monad

- monads like applicative functors form
 an abstract datatype of computations
- we have already encountered a monad: IO
- computations in general may have effects: I/O, exceptions, mutable state, non-determinism etc
- applicative functors and monads are a mechanism for cleanly incorporating such impure features in a pure setting
- there's no magic to monads in general: all monads are just plain data, implementing a particular interface
- but there is one magic monad: the *IO* monad
- its implementation is hard-wired in Haskell

```
data IO a = ...
instance Monad IO where ...
```



3.3 Case study: Monty Hall problem

Suppose you're on a game show, and you're given the choice of three doors: Behind one door is a car; behind the others, goats. You pick a door, say No. 1, and the host, who knows what's behind the doors, opens another door, say No. 3, which has a goat. He then says to you, "Do you want to pick door No. 2?" Is it to your advantage to switch your choice?

- probabilistic programming
- two strategies: stick to original choice, or switch choice
- strategies as programs

3.3 The probability monad

discrete probability distribution (probability mass function)

```
type Prob = Rational

newtype Dist event = D \{ fromD :: [ (event, Prob) ] \}
```

• *invariant*: probabilities of a distribution *dist* sum up to 1

```
sum[p \mid (e, p) \leftarrow fromD dist] == 1
```

- (ideally, each event occurs exactly once; exercise: define norm:: (Ord event) ⇒ Dist event → Dist event)
- uniform distribution

```
uniform:: [event] \rightarrow Dist \ event
uniform es = D[(e, 1 \% n) | e \leftarrow es]
where n = genericLength \ es
```



3.3 The probability monad

functor, applicative, and monad instances

```
instance Functor Dist where

fmap f(D d) = D[(fe, p) | (e, p) \leftarrow d]
instance Applicative Dist where

pure a = D[(a, 1)]
D fd \langle * \rangle D xd = D[(fx, p*q) | (f, p) \leftarrow fd, (x, q) \leftarrow xd]
instance Monad Dist where

return a = D[(a, 1)]
D xd \gg k = D[(y, p*q) | (x, p) \leftarrow xd, (y, q) \leftarrow from D(kx)]
```

• exercise: is the invariant always satisfied?

3.3 Roll the dice

a fair dice

```
dice = uniform[1..6]
```

• sum of two dice (applicative and monadic style)

```
rollA = pure(+) \langle * \rangle dice \langle * \rangle dice

rollM = \mathbf{do} \{ a \leftarrow dice; b \leftarrow dice; return(a + b) \}
```

roll the dice

3.3 Back to Monty Hall

we model the game show as follows

```
data Outcome = Win \mid Lose  deriving (Eq, Ord, Show)
data Door = No1 \mid No2 \mid No3  deriving (Eq, Enum)
doors = [No1..No3]
```

host hides the car behind one of the doors; you pick one

```
hide, pick :: Dist Door
hide = uniform doors
pick = uniform doors
```

host teases you by opening one of the doors

```
tease h p = uniform (doors \setminus [h, p])
```

• the two strategies

```
stick, switch:: Door \rightarrow Door \rightarrow Dist Door
stick p \ t = return \ p
switch p \ t = return \ (head \ (doors \setminus [p, t]))
```

3.3 Back to Monty Hall

whole game parametrized by strategy

```
play :: (Door \rightarrow Door \rightarrow Dist Door) \rightarrow Dist Outcome
play strategy =
\mathbf{do} \ h \leftarrow hide \qquad - \text{host hides the car behind door } h
p \leftarrow pick \qquad - \text{you pick door } p
t \leftarrow tease \ h \ p \qquad - \text{host teases you with door } t \ (\neq h, p)
s \leftarrow strategy \ p \ t \qquad - \text{you choose, based on } p \ \text{and } t
return \ (\mathbf{if} \ s == h \ \mathbf{then} \ Win \ \mathbf{else} \ Lose)
```

you win iff your choice s equals h

```
\rangle\rangle\rangle\rangle norm (play stick)

D[(Win, 1\%3), (Lose, 2\%3)]

\rangle\rangle\rangle\rangle norm (play switch)

D[(Win, 2\%3), (Lose, 1\%3)]
```

• switching doubles (!) your chance of winning



3.4 Applicative functor laws *

 instances of Applicative are required to satisfy the applicative functor laws

```
pure id \langle * \rangle v = v

pure (\circ) \langle * \rangle u \langle * \rangle v \langle * \rangle w = u \langle * \rangle (v \langle * \rangle w)

pure f \langle * \rangle pure x = pure (f x)

u \langle * \rangle pure x = pure (f \rightarrow f x) \langle * \rangle u
```

• identity, composition, pure computations can be combined, pure computations can be interchanged with impure ones

3.4 Monad laws *

• instances of *Monad* are required to satisfy the monad laws

$$m \gg \langle a \rightarrow return \, a = m$$

 $return \, a \gg \langle b \rightarrow f \, b = f \, a$
 $(m \gg \langle a \rightarrow f \, a) \gg \langle b \rightarrow g \, b = m \gg \langle a \rightarrow (f \, a \gg \langle b \rightarrow g \, b)$

• or, expressed in terms of *return* and ⊙

$$f \odot return = f$$

 $return \odot f = f$
 $(f \odot g) \odot h = f \odot (g \odot h)$

• (so monads are intimately related to monoids)

3.4 Interdefinability *

• applicative functor implies functor

```
instance (Applicative m) \Rightarrow Functor m where fmap f mx = pure f (*) mx
```

- (the instance declaration is not legal Standard Haskell)
- (snappier: fmap = liftA, where liftA is provided by the standard library Control.Applicative)

3.4 Interdefinability *

monad implies functor and applicative functor

```
instance (Monad m) \Rightarrow Functor m where
fmap f mx = do {x \leftarrow mx; return (f x)}
instance (Monad m) \Rightarrow Applicative m where
pure a = return a
mf \langle * \rangle mx = do {f \leftarrow mf; x \leftarrow mx; return (f x)}
```

- (the instance declarations are not legal Standard Haskell)
- (snappier: fmap = liftM and $(\langle * \rangle) = ap$, where liftM and ap are provided by the standard library Control.Monad)

3.4 Interdefinability: pragmatics *

- recall: Ord is a sub-class of Eq
- standard approach: provide an instance of *Eq*, then provide an instance of *Ord*, possibly using *Eq*
- surprisingly, we can also turn things upside down:

```
instance Eq T where

a == b = compare a b == EQ

instance Ord T where...
```

• the *Ord* instance is used to define the *Eq* instance!

3.4 Interdefinability: pragmatics *

- Monad is a sub-class of Applicative, which in turn is a sub-class of Functor
- standard approach: provide an instance of Functor, then provide an instance of Applicative, and finally of Monad
- again, we can turn things upside down:

```
instance Functor M where
  fmap = liftM
instance Applicative M where
  pure = return
  (\*\) = ap
instance Monad M where...
```

• the *Monad* instance is used to define *Applicative* and *Functor* instances!

3.5 Abstraction, abstraction, abstraction

- question: what are the three most important concepts in programming?
- answer: abstraction, abstraction, abstraction!
- applicative functors and monads allow you to abstract over patterns of computations (effects)
- a small hierarchy in order of expressiveness:
 - functor
 - applicative functor
 - monad
- Haskell allows you to implement your own computational effect or combination of effects (how cool is this?)
- two levels of computations
 - application independent: Applicative and Monad instance
 - application dependent: e.g. eval
- datatypes for/as computations: Maybe, [] etc



Part 4

Type and class system extensions



4.0 Outline

Nested Datatypes

Type families

Case study: C's printf

Rank-2 types ★

Summary

4.0 Recap: strong typing and polymorphism

- Haskell is *strongly typed*: every expression has a unique type
- each type supports certain operations, which are meaningless on other types

4.0 Recap: strong typing and polymorphism

- Haskell is *strongly typed*: every expression has a unique type
- each type supports certain operations, which are meaningless on other types
- Haskell is *statically typed*: type checking occurs before run-time (after syntax checking)
- type checking guarantees that type errors cannot occur

4.0 Recap: strong typing and polymorphism

- Haskell is *strongly typed*: every expression has a unique type
- each type supports certain operations, which are meaningless on other types
- Haskell is *statically typed*: type checking occurs before run-time (after syntax checking)
- type checking guarantees that type errors cannot occur
- type safety and flexibility are in tension
- polymorphism partially releases the tension
- parametric polymorphism: same code for all types
- ad-hoc polymorphism: different code for different types

4.0 Overview

- we take a closer look at some features of Standard Haskell
 - kinds
 - nested datatypes
- we also discuss some extensions of the type and class system
 - type families
 - ► rank-2 types
- we take an example-driven approach

4.0 Types of types

- Int is a type
- *Maybe* is a unary type constructor
- the function space "→" is a binary type constructor
- types and type constructors also possess types, called kinds

```
Int :: *

Maybe :: * \rightarrow *

[] :: * \rightarrow *

(,) :: * \rightarrow * \rightarrow *

(\rightarrow) :: * \rightarrow * \rightarrow *
```

- * is the kind of types
- binary type constructors are curried!

4.1 Random-access lists

lists: extension is cheap, but indexing is slow

```
| \rangle \rangle \rangle  let n = 999999 :: Int
|\rangle\rangle\rangle let x = map sauare [n, n-1, 0]
      sum x
333328333350000
(0.08 secs, 27, 416, 896 bytes)
\rangle\rangle\rangle\rangle sum [x!! i | i \lefta [0..n]]
333328333350000
(23.32 secs, 28, 217, 600 bytes)
\rangle\rangle\rangle\rangle let s = fromList x
\rangle\rangle\rangle\rangle sum [s!i|i \leftarrow [0..n]]
333328333350000
(0.28 secs, 221, 007, 960 bytes)
```

• goal: efficient sequence type, extensible, fast indexing

4.1 Natural numbers and lists

• a datatype for unary numbers (Peano numbers)

```
data Nat = Zero \mid Succ\ Nat
(+):: Nat \rightarrow Nat \rightarrow Nat
Zero + n = n
Succ\ m + n = Succ\ (m + n)
```

Haskell's list datatype

```
data List elem = Nil \mid Cons \text{ elem } (List \text{ elem})

(++) :: List \text{ elem } \rightarrow List \text{ elem } \rightarrow List \text{ elem }

Nil + n = n

Cons \text{ a } m + n = Cons \text{ a } (m + n)
```

same structure with the elements witnessing the number

4.1 Natural numbers and lists

• removing the witnesses

```
length :: List elem \rightarrow Nat

length (Nil) = Zero

length (Cons a n) = Succ (length n)
```

adding the witnesses

```
replicate :: elem \rightarrow Nat \rightarrow List elem
replicate a(Zero) = Nil
replicate a(Succ n) = Cons a(replicate a n)
```

4.1 Numerical representations

- lists are modelled after the unary numbers
- operations on lists are modelled after the corresponding operations on unary numbers

unary numbers	lists
meaning	length
zero	nil
increment	cons
decrement	tail
addition	concatenation
comparison	indexing
number system	container type

- the list datatype is a so-called *numerical representation*
- can we repeat the exercise with binary numbers?
- binary numbers are more efficient than unary numbers



4.1 Recap: binary numbers

• a datatype for binary numbers

```
data Binary
= Nil
| Zero Binary
| One Binary
```

meaning of a binary number (semantics)

```
natural :: Binary \rightarrow Int

natural (Nil) = 0

natural (Zero n) = 0 + 2 * natural n

natural (One n) = 1 + 2 * natural n
```

4.1 Binary numbers and random-access lists

• a datatype for binary numbers

```
data Binary
= Nil — natural (Nil) = 0
| Zero Binary — natural (Zero n) = 0 + 2 * natural n
| One Binary — natural (One n) = 1 + 2 * natural n
```

• sequence type modelled after binary numbers (failed attempt)

```
data Sequ elem
= Nil
| Zero (Sequ elem)
| One elem (Sequ elem)
```

• doesn't work: tails must contain twice as many elements

4.1 Binary numbers and random-access lists

• a datatype for binary numbers

```
data Binary
= Nil — natural (Nil) = 0
| Zero Binary — natural (Zero n) = 0 + 2 * natural n
| One Binary — natural (One n) = 1 + 2 * natural n
```

• if N is a container type for storing exactly n elements, then $Pair\ N$ allows us to store exactly 2*n elements

```
type Pair elem = (elem, elem)
```

sequence type modelled after binary numbers

4.1 Regular versus nested datatypes

- all of the datatypes we have seen before are *regular*
- occurrences of the declared type on the right-hand side of the defining equation are copies of the left-hand side
- Sequis a nested datatype
- occurrences on the right-hand side appear with different instances of the accompanying type parameter(s)

```
\langle \rangle \rangle \rangle foldr cons \ Nil \ [1..7]
One 1 (One (2,3) (One ((4,5), (6,7)) \ Nil))
\langle \rangle \rangle \rangle cons \ 0 \ it
Zero (Zero (Zero (One (((0,1), (2,3)), ((4,5), (6,7))) \ Nil)))
```

• the elements are integers, pairs of integers, pairs of pairs of integers, . . .

4.1 Meaning and size

• meaning of a binary number (semantics)

```
natural :: Binary \rightarrow Int

natural (Nil) = 0

natural (Zero n) = 0 + 2 * natural n

natural (One n) = 1 + 2 * natural n
```

size of a random-access list

```
size :: Sequ elem \rightarrow Int

size (Nil) = 0

size (Zero n) = 0 + 2 * size n

size (One a n) = 1 + 2 * size n
```

- the function *size* uses *polymorphic recursion*: the recursive call is at type *Sequ* (*Pair elem*), not *Sequ elem*
- the type signature is mandatory!



4.1 Increment and cons

• incrementing a binary number

```
succ :: Binary \rightarrow Binary

succ (Nil) = One Nil

succ (Zero n) = One n

succ (One n) = Zero (succ n)
```

• extending a random-access list (cons)

```
cons:: elem \rightarrow Sequ \ elem \rightarrow Sequ \ elem

cons a \ (Nil) = One \ a \ Nil

cons a \ (Zero \ n) = One \ a \ n

cons a \ (One \ a2 \ n) = Zero \ (cons \ (a1, a2) \ n)
```

4.1 Comparison and indexing

• comparison: is a binary number above a given natural?

```
(\succ) :: Binary \rightarrow Int \rightarrow Bool - (b \succ i) == (natural \ b \gt i)

Nil \rightarrow n = False

Zero \ b \succ n = b \succ (n 'div' 2)

One \ b \succ 0 = True

One \ b \succ (n + 1) = b \succ (n 'div' 2)
```

indexing (only defined for indices smaller than the size)

```
(!):: Sequ elem \rightarrow Int \rightarrow elem

Nil ! n = error "(!): index out of bounds"

Zero b! n = b! (n'div'2)!' (n'mod'2)

One a b! 0 = a

One a b! (n+1) = b! (n'div'2)!' (n'mod'2)

(a1,_)!' 0 = a1

(_, a2)!' 1 = a2
```

4.1 Numerical representations

- random-access lists are modelled after the binary numbers
- operations on random-access lists are modelled after the corresponding operations on binary numbers

binary numbers	random-access lists
meaning	size
zero	nil
increment	cons
decrement	tail
comparison	indexing
conversion: from unary	conversion: from lists
conversion: to unary	conversion: to lists
number system	container type

- what about addition?
- other numerical representations: binomial heaps



4.2 Type classes

- a method of a type class can be seen as a *family* of functions
- e.g. the family of equality functions

```
(==) :: Char \rightarrow Char \rightarrow Bool
(==) :: Int \rightarrow Int \rightarrow Bool
```

is captured by

```
class Eq\ a where (==) :: a \rightarrow a \rightarrow Bool instance Eq\ Char where . . . instance Eq\ Int where . . .
```

4.2 Type families

how to capture families where two types vary?

```
insert :: Bool \rightarrow BitVector \rightarrow BitVector

insert :: Int \rightarrow SearchTree \rightarrow SearchTree
```

for each element type there is a dedicated set type

4.2 Type families

how to capture families where two types vary?

```
insert :: Bool \rightarrow BitVector \rightarrow BitVector insert :: Int \rightarrow SearchTree \rightarrow SearchTree
```

- for each element type there is a dedicated set type
- *type families* come to the rescue

```
type family Set elem:: *
type instance Set Bool = BitVector
type instance Set Int = SearchTree
```

```
class Elem elem where insert:: elem → Set elem → Set elem instance Elem Bool where . . . instance Elem Int where . . .
```

• Set can be seen as a function on types



4.3 Case study: C's printf

• a *type-safe* version of C's *printf* in Haskell

```
>>>> printf("I am "& D&" years old.") 51

"I am 51 years old."
>>>> printf("I am "& D&" "& S&" old.") 1 "year"

"I am 1 year old."
>>>> fmt = "Color "& S&", Number "& D&", Float "& F
>>>> printf fmt "purple" 4711 3.1415

"Color purple, Number 4711, Float 3.1415"
```

• quite amazingly, *printf* takes a variable number of arguments, depending on the format directive

4.3 Format directives

different format directives have different types!

```
>>>> "Color "& S&", Number "& D&", Float "& F
("Color ",(S,(", Number ",(D,(", Float ",F)))))
>>>> type D
D
>>>> D& ""& S
(D,("",S))
>>>> type D& ""& S
D& ""& S:: (D,(String,S))
```

• non-trivial format directives are nested pairs; & is simply an infix operator for pairing

4.3 Format directives

• a domain-specific language (DSL) for format directives

```
data D = D deriving (Show)
data F = F deriving (Show)
data S = S deriving (Show)
infixr 4 \&
(&) :: a \rightarrow b \rightarrow (a, b)
a \& b = (a, b)
```

• D, F, S, (D, D), (D, (S, F)), etc are *singleton types*: each type contains exactly one element (ignoring \bot)

4.3 The type of *printf*

• the type of *printf* depends on the type of the format directive

• a "functorial" view of *printf*'s type

```
\begin{array}{cccc} D & \rightarrow & (Int \rightarrow) & String \\ F & \rightarrow & (Double \rightarrow) & String \\ String & \rightarrow & Id & String \\ (D,F) & \rightarrow & ((Int \rightarrow) \circ (Double \rightarrow)) & String \\ ((D,F),(String,D)) \rightarrow & ((Int \rightarrow) \circ (Double \rightarrow) \circ Id \circ (Int \rightarrow)) & String \\ \end{array}
```

- so the type of printf is $dir \rightarrow Arg \ dir \ String$
- (the type operator section $(a \rightarrow) = (\rightarrow) a$ is not legal Haskell)

4.3 Interlude: functors

recall the identity functor

```
newtype Id \ a = I \{ from I :: a \}

instance Functor \ Id \ where

fmap \ f(Ix) = I(fx)
```

functors compose

```
newtype (f \circ g) a = C \{fromC :: f(g a)\}

instance (Functor f, Functor g) \Rightarrow Functor (f \circ g) where

fmap f(C x) = C (fmap (fmap f) x)
```

• recall that $(a \rightarrow) = (\rightarrow) a$ is a functor

instance *Functor*
$$((\rightarrow) a)$$
 where $fmap f g = f \circ g$

4.3 The type of *printf*—continued

 the argument type of *printf* depends on the type of the format directive

```
type family Arg\ dir :: * \to *

type instance Arg\ D = (\to)\ Int

type instance Arg\ F = (\to)\ Double

type instance Arg\ S = (\to)\ String

type instance Arg\ String = Id

type instance Arg\ (a,b) = Arg\ a \circ Arg\ b
```

for example

$$Arg(D, (String, S)) \ x = ((\rightarrow) Int \circ Id \circ (\rightarrow) String) \ x$$

= $((Int \rightarrow) \circ (String \rightarrow)) \ x$
= $Int \rightarrow (String \rightarrow x)$

4.3 Towards printf

• we first define a helper function

```
class (Functor (Arg dir)) \Rightarrow Format dir where
  format:: dir → Arg dir String
instance Format D where
  format D = show
instance Format F where
  format F = show
instance Format Swhere
  format S = id
instance Format String where
  format s = I s
instance (Format dir1, Format dir2) \Rightarrow Format (dir1, dir2) where
  format(d1, d2) = C(format d1 \diamond format d2)
```

4.3 Composition of formatters

• composing formatters (> is a flipped variant of *fmap*)

```
(\diamondsuit) :: (Functor f, Functor g) ⇒ f String → g String → f (g String)

f \diamondsuit g = f \rhd \backslash s \to g \rhd \backslash t \to s + t

(\rhd) :: (Functor f) ⇒ f a → (a → b) → f b

x \rhd h = fmap h x
```

let's inspect the types:

```
g ::: G String

( \setminus t \rightarrow s + t) ::: String \rightarrow String

g \triangleright ( \setminus t \rightarrow s + t) ::: G String

f ::: F String

( \setminus s \rightarrow g \triangleright \setminus t \rightarrow s + t) ::: String \rightarrow G String

f \triangleright ( \setminus s \rightarrow g \triangleright \setminus t \rightarrow s + t) :: F (G String)
```

4.3 Getting rid of newtypes

- unfortunately, we are not quite there
- we have:

```
\rangle\rangle\rangle\rangle : type format (D\&F) format (D\&F) :: (((\rightarrow) Int) \circ ((\rightarrow) Double)) String
```

• we want:

```
\rangle\rangle\rangle\rangle : type format (D\&F) format (D\&D) :: Int \rightarrow (Double \rightarrow String)
```

- the newtypes get in the way
- solution: type cast

```
\rangle\rangle\rangle\rangle : type cast (format (D&F)) cast (format (D&D)) :: Int \rightarrow (Double \rightarrow String)
```



4.3 Getting rid of newtypes—continued

• the final implementation of *printf*

• the method *cast* transforms an element of a newtype *new* into the underlying type *U new*

```
class Cast new where
type U new
cast:: new → U new
```

ullet *U* is an associated type family (a family associated to a class)

4.3 Getting rid of newtypes—continued

"recursive" elimination of newtypes

```
instance Cast String where
  type U String = String
  cast = id
instance (Cast b) \Rightarrow Cast (a \rightarrow b) where
  type U(a \rightarrow b) = a \rightarrow Ub
  cast f = \langle a \rightarrow cast (f a) \rangle
instance (Cast a) \Rightarrow Cast (Id a) where
  type U(Id \ a) = U \ a
  cast(Ia) = cast a
instance (Cast(f(g|a))) \Rightarrow Cast((f \circ g)|a) where
  type U((f \circ q) \ a) = U(f(q \ a))
  cast(Ca) = casta
```

4.4 A little game *

• recall the game from FP1: I give you a type, you give me a function of that type

$$\forall a . a \rightarrow a \rightarrow a$$

how many total functions are there of this type?

4.4 A little game *

• recall the game from FP1: I give you a type, you give me a function of that type

$$\forall a . a \rightarrow a \rightarrow a$$

- how many total functions are there of this type?
- only two!
- hence the type is isomorphic to the type Bool



4.4 Booleans as functions *

the Booleans can be represented as functions

type Boolean =
$$\forall a : a \rightarrow a \rightarrow a$$

- the ∀ makes explicit that these functions are polymorphic
- type of an if-then-else
- idea: the Booleans act as conditionals

false, true :: Boolean
false =
$$\xy \rightarrow y$$

true = $\xy \rightarrow x$

• read false e1 e2 as if false then e1 else e2; likewise, read true e1 e2 as if true then e1 else e2

4.4 Booleans as functions—continued *

negation, conjunction, and disjunction

```
not:: Boolean \rightarrow Boolean
not b = b false true
(&&), (||) :: Boolean \rightarrow Boolean \rightarrow Boolean
a && b = a b false
a || b = a true b
```

- not, &&, and || take polymorphic functions as arguments and return polymorphic functions as results
- some example evaluations:

```
>>>> (false && true) "yes" "no"
"no"

>>>> (false || true) "yes" "no"
"yes"
```



4.4 Natural numbers as functions *

 the natural numbers can be represented as functions, via repeated composition

```
type Natural = \forall a . (a \rightarrow a) \rightarrow (a \rightarrow a)
```

- the representation of *n* takes a function and an initial value, and applies the function *n* times to the initial value
- idea: the natural numbers act as for-loops (bounded iteration)

```
zero :: Natural
zero f = id
succ :: Natural \rightarrow Natural
succ n f = f \circ n f
```

- read n f a as x = a; for (i = 0; i < n; i++) x = f x; return x
- these are called Church numerals



4.4 Naturals as functions—continued *

addition is a sequence of two for-loops

```
infixl 6 .+ (.+) :: Natural → Natural → Natural (m.+n) f = m f \circ n f
```

multiplication is given by two nested for-loops

```
infixl 7 .* (.*) :: Natural \rightarrow Natural \rightarrow Natural (m.*n) f = m (n f)
```

• exponentiation (mysterious?)

```
infixr 8.\hat{} (.\hat{}):: Natural \rightarrow Natural \rightarrow Natural m.\hat{} n = n m
```



4.4 Naturals as functions—continued *

• some example evaluations:

• (difficult: predecessor and subtraction)

4.5 Summary

- nested datatypes capture structural invariants
- dependent type: a type that depends on a value
- type families mimic dependent types via singleton types
- polymorphic functions are first-class citizens

Part 5

Duality: folds and unfolds



5.0 Outline

Folds and unfolds

Generic programming

Case study: a duality of sorts

Summary

5.1 Duality: fold revisited

- so far we have focused on *consumers* (this seems to be close to the spirit of the time)
- producers are important too
- producers (unfolds) are *dual* to consumers (folds)
- to exhibit the duality we first re-define *foldr*

5.1 Fold re-defined

a non-recursive "variant" of the list datatype

```
data \ List \ elem \ list = Nil \mid Cons \ elem \ list
```

- one layer of a list
- foldr reformulated

```
fold:: (List elem ans \rightarrow ans) \rightarrow ([elem] \rightarrow ans)
fold alg = consume
where consume [] = alg Nil
consume (x: xs) = alg (Cons x (consume xs))
```

5.1 Examples of fold

• summing a list of numbers

• *map* can be expressed as a fold

$$map :: (a \rightarrow b) \rightarrow ([a] \rightarrow [b])$$

 $map f = fold (\x \rightarrow \mathbf{case} \ x \ \mathbf{of}$
 $Nil \rightarrow []$
 $Cons \ a \ x' \rightarrow f \ a : x')$

5.1 Unfold

- folds consume lists
- dually, unfolds produce or generate lists

```
unfold:: (state \rightarrow List elem state) \rightarrow (state \rightarrow [elem])

unfold coalg = produce

where produce \ x = case \ coalg \ x \ of

Nil \rightarrow []

Cons \ a \ x' \rightarrow a : produce \ x'
```

- think of produce's argument as a state
- relation to OO iterators?

5.1 Examples of unfold

• [m..n] aka enumFromTo m n

```
enumFromTo:: (Num a, Ord a) \Rightarrow a \rightarrow a \rightarrow [a]
enumFromTo m n
= unfold (\i i \rightarrow \text{if } i > n \text{ then } Nil
else Cons i (i + 1)) m
```

map can also be expressed as an unfold

$$map :: (a \rightarrow b) \rightarrow ([a] \rightarrow [b])$$

 $map f = unfold (\x \rightarrow \mathbf{case} \ x \mathbf{of}$
 $[] \rightarrow Nil$
 $a : x' \rightarrow Cons (f a) x')$

5.1 Sorting by insertion

given

```
insert:: (Ord \ a) \Rightarrow List \ a \ [a] \rightarrow [a]

insert Nil = []

insert (Cons \ x \ []) = [x]

insert (Cons \ x \ (y : ys))

| \ x \le y = x : y : ys

| \ otherwise = y : insert (Cons \ x \ ys)
```

we have

```
insertionSort :: (Ord \ a) \Rightarrow [a] \rightarrow [a]
insertionSort = fold insert
```

• focus on the input (more later)

5.1 Sorting by selection

dually, given

```
select:: (Ord \ a) \Rightarrow [a] \rightarrow List \ a [a]
select [] = Nil
select (x: xs) = \mathbf{case} \ select \ xs \ \mathbf{of}
Nil \rightarrow Cons \ x []
Cons \ y \ ys
| \ x \leq y \rightarrow Cons \ x \ (y: ys)
| \ otherwise \rightarrow Cons \ y \ (x: ys)
```

we have

```
selectionSort :: (Ord \ a) \Rightarrow [a] \rightarrow [a]
selectionSort = unfold select
```

• focus on the output (more later)

5.1 Duality

unfold is dual to fold

```
fold :: (List \ a \ b \rightarrow b) \rightarrow ([a] \rightarrow b)
unfold :: (b \rightarrow List \ a \ b) \rightarrow (b \rightarrow [a])
```

- fold reduces a list to a value
- fold's argument is a so-called algebra
- an algebra reduces a single 'layer' (step function)
- unfold grows a list from a seed
- unfold's argument is a so-called coalgebra
- a coalgebra creates a single 'layer' (step function)

5.2 Generic programming

- many of the higher-order operators on lists generalize to other datatypes
- map
- fold
- unfold
- in fact, possible to give a single *generic* definition of each
- map using **deriving** (Functor)

5.2 Recursive datatypes and their base functors

recall the recursive datatype of expressions

data Expr

- = Lit Integer
 - | Add Expr Expr
- | Mul Expr Expr
- base functor: abstract away from recursive components

data EXPR expr

- = LIT Integer
- | ADD expr expr
- MUL expr expr
- one layer of an expression
- note: Expr and EXPR Expr are isomorphic

5.2 Base functor

• the base functor is a functor

```
instance Functor EXPR where
  fmap f (LIT i) = LIT i
  fmap f (ADD e1 e2) = ADD (f e1) (f e2)
  fmap f (MUL e1 e2) = MUL (f e1) (f e2)
```

fmap f applies f to the "recursive components"

5.2 Tying and untying the recursive knot

relating base functor and recursive datatype

```
class (Functor f) \Rightarrow Base f where

type Rec\ f:: *

inn:: f(Rec\ f) \rightarrow Rec\ f — tying the recursive knot

out:: Rec\ f \rightarrow f(Rec\ f) — untying the recursive knot
```

idea: the types Rec f and f (Rec f) are isomorphic:
 out o inn = id and inn o out = id

```
instance Base EXPR where
type Rec EXPR = Expr
```

```
inn (LIT i) = Lit i

inn (ADD e1 e2) = Add e1 e2

inn (MUL e1 e2) = Mul e1 e2

out (Lit i) = LIT i

out (Add e1 e2) = ADD e1 e2

out (Mul e1 e2) = MUL e1 e2
```

5.2 Generic fold and unfold

fold and unfold given by

```
fold:: (Base f) \Rightarrow (f a \rightarrow a) \rightarrow (Rec f \rightarrow a)
fold alg = consume
where consume = alg \circ fmap consume \circ out
unfold:: (Base f) \Rightarrow (a \rightarrow f a) \rightarrow (a \rightarrow Rec f)
unfold coalg = produce
where produce = inn \circ fmap produce \circ coalg
```

note the duality: much clearer in generic presentation

fold ::
$$(Base f) \Rightarrow (f a \rightarrow a) \rightarrow (Rec f \rightarrow a)$$

unfold:: $(Base f) \Rightarrow (a \rightarrow f a) \rightarrow (a \rightarrow Rec f)$

consume =
$$alg \circ fmap$$
 consume \circ out produce = $inn \circ fmap$ produce \circ coalg



5.2 Examples of fold

evaluating an expression

```
eval :: Expr \rightarrow Integer
eval = fold (\x \rightarrow case x of
LIT i \rightarrow i
ADD v1 v2 \rightarrow v1 + v2
MUL v1 v2 \rightarrow v1 * v2)
```

recursive datatype of binary trees and its base functor

```
data Tree elem = Empty | Node (Tree elem) elem (Tree elem)
data TREE elem tree = EMPTY | NODE tree elem tree
```

• instance of Functor and Base

```
instance Functor (TREE elem) where
  fmap f (EMPTY) = EMPTY
  fmap f (NODE l a r) = NODE (f l) a (f r)
instance Base (TREE elem) where
  type Rec (TREE elem) = Tree elem
  inn (EMPTY) = Empty
  inn (NODE l a r) = Node l a r
  out (Empty) = EMPTY
  out (Node l a r) = NODE l a r
```

· examples of folds: measures on trees

```
size :: Tree elem \rightarrow Integer

size = fold (\x \rightarrow case x of

EMPTY \rightarrow 0

NODE sl a sr \rightarrow sl + 1 + sr)

depth :: Tree elem \rightarrow Integer

depth = fold (\x \rightarrow case x of

EMPTY \rightarrow 0

NODE dl a dr \rightarrow 1 + dl'max' dr)
```

example of an unfold: growing a tree

```
create :: Integer \rightarrow Tree ()

create = unfold (\n \rightarrow case n of

0 \rightarrow EMPTY

m+1 \rightarrow NODE k () (m-k)

where k = m'div'2)
```

inserting an element into a binary search tree (failed attempt)

• problem: the original sub-trees are not available

5.2 Primitive recursion

meet fold's mate

para::
$$(Base \ f) \Rightarrow (f \ (Rec \ f \times a) \rightarrow a) \rightarrow (Rec \ f \rightarrow a)$$

para $alg = consume$
 $where \ consume = alg \circ fmap \ (id \triangle consume) \circ out$

 the algebra is additionally provided with the original sub-components (para eats its argument and keeps it too)

data
$$a \times b = a : @ b$$

 $(\triangle) :: (x \rightarrow a) \rightarrow (x \rightarrow b) \rightarrow (x \rightarrow a \times b)$
 $(f \triangle g) x = f x : @ g x$

• (para is short for paramorphism—from the Greek $\pi\alpha\rho\alpha$ meaning "beside", "next to", or "alongside"—aka primitive recursion or iteration)

inserting an element into a binary search tree

```
insert:: (Ord elem) \Rightarrow elem \rightarrow Tree elem \rightarrow Tree elem insert a = para (\x \rightarrow case x of

EMPTY \rightarrow Node Empty a Empty NODE (1:@ al) b (r:@ ar)

| a \le b \rightarrow Node al b r
| otherwise \rightarrow Node | b ar)
```

- *l* is the left sub-tree; *al* is the solution for the left sub-tree i.e. the sub-tree *l* with *a* added
- relies on lazy evaluation (why?)

5.2 Primitive co-recursion

• the recursion scheme *para* also has a dual

the coalgebra signals whether to stop or to continue

data
$$a + b = Stop \ a \mid Go \ b$$

 $(\triangledown) :: (a \rightarrow x) \rightarrow (b \rightarrow x) \rightarrow (a + b \rightarrow x)$
 $(f \triangledown g) \ (Stop \ a) = f \ a$
 $(f \triangledown g) \ (Go \ b) = g \ b$

- two recursion schemes for the price of one!
- (*apo* is short for apomorphism—from the Greek $\alpha\pi$ 0, meaning "away from" or "separate"—aka primitive co-recursion or co-iteration)

inserting an element into a binary search tree revisited

```
insert :: (Ord elem) \Rightarrow elem \rightarrow Tree elem \rightarrow Tree elem insert a = apo (\x \rightarrow \mathbf{case} \xspace \xspace x \ \mathbf{of}

Empty \rightarrow NODE (Stop Empty) a (Stop Empty) Node l b r

|a \le b \rightarrow NODE (Go \ l) \ b \ (Stop \ r)
|a \le b \rightarrow NODE (Stop \ l) \ b \ (Go \ r)
```

- we continue in one branch and stop in the other
- does not rely on lazy evaluation

5.3 Case study: a duality of sorts

- insertion sort is dual to selection sort
- insertion sort is a fold
- selection sort is an unfold
- next: insertion itself is an unfold (actually an apo)
- *next*: selection itself is a fold (actually a para)

5.3 Type-directed programming

- let's derive the types of the algebras and coalgebras
- let L = [a] and F = List a for some type a

```
insertionSort = fold a :: L \to L

a = unfold c :: FL \to L

c = swap \circ fmap out :: FL \to F(FL)

swap :: F(FL) \to F(FL)
```

dually

5.3 Swapping elements

• the *algorithmic core* of swap-based sorts

```
swap :: (Ord \ a) \Rightarrow List \ a \ (List \ a \ x) \rightarrow List \ a \ (List \ a \ x)
swap \ Nil = Nil
swap \ (Cons \ a \ Nil) = Cons \ a \ Nil
swap \ (Cons \ a \ (Cons \ b \ x))
| \ a \le b = Cons \ a \ (Cons \ b \ x)
| \ otherwise = Cons \ b \ (Cons \ a \ x)
```

- swaps two adjacent elements that are out of order
- note that *swap* is polymorphic in *x*
- the benefit of polymorphism: only one sensible definition

5.3 Two naive sorting algorithms

• naive insertion sort (why naive?) is dual bubble sort

```
naiveInsertionSort :: (Ord elem) \Rightarrow [elem] \rightarrow [elem]

naiveInsertionSort = fold (unfold (swap \circ fmap out))
```

```
bubbleSort :: (Ord elem) \Rightarrow [elem] \rightarrow [elem] bubbleSort = unfold (fold (fmap inn \circ swap))
```

- fold of an unfold versus unfold of a fold
- two algorithms for the price of one!

5.3 Swapping elements revisited

- the definition of insertion sort is naive because insert always traverses the ordered list to its very end
- the variant below stops in one branch

```
swop:: (Ord \ a) \Rightarrow List \ a \ (x \times List \ a \ x) \rightarrow List \ a \ (x + List \ a \ x)
swop \ Nil = Nil
swop \ (Cons \ a \ (x:@ \ Nil)) = Cons \ a \ (Stop \ x)
swop \ (Cons \ a \ (x:@ \ Cons \ b \ x'))
| \ a \le b = Cons \ a \ (Stop \ x)
| \ otherwise = Cons \ b \ (Go \ (Cons \ a \ x'))
```

• swop is short for swap'n'stop

5.3 Two sorting algorithms

insertion sort is dual selection sort

```
insertionSort:: (Ord elem) \Rightarrow [elem] \rightarrow [elem]
insertionSort = fold (apo (swop \circ fmap (id \triangle out)))
selectionSort:: (Ord elem) \Rightarrow [elem] \rightarrow [elem]
selectionSort = unfold (para (fmap (id \triangledown inn) \circ swop))
```

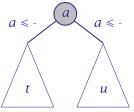
- fold of an apomorphism versus unfold of a paramorphism
- two algorithms for the price of one

5.3 Heapsort and minglesort \star

- insertion sort and selection sort have a running time of $\Theta(n^2)$
- quadratic running-time is unavoidable if only adjacent elements are swapped
- efficient sorting algorithms typically involve some intermediate data structure e.g. a search tree or a heap
- let's take a closer look at heap sort
- two-phase algorithm:
 - first phase: create heap from unordered list
 - second phase: reduce heap to ordered list

5.3 Heap-ordered trees *

 a tree is *heap-ordered* if the element at each node is no larger than the elements at its children



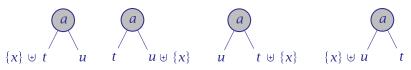
- thus, the element at the root is minimal
- the elements on any path from the root to a leaf are ordered
- note that there are no conditions on the relative order of elements between siblings

5.3 Inserting an element into a heap \star

• what are our options?



- the minimum, say a, must be kept at the root
- the maximum, say *x*, must be inserted recursively
- we have essentially four (!) choices:



• which one is preferable?

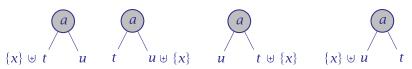


5.3 Inserting an element into a heap \star

• what are our options?



- the minimum, say a, must be kept at the root
- the maximum, say *x*, must be inserted recursively
- we have essentially four (!) choices:



- which one is preferable?
- one of the two on the right: we always recursively insert into the left (right) subtree, but additionally *swap* the two subtrees

5.3 First phase: creating a heap \star

• base functor for binary heaps

data Heap elem tree = Null | Heap elem tree tree

- (exercise: define Functor and Base instances)
- algorithmic core of heap creation

```
pile :: (Ord a) ⇒ List a (x × Heap a x) → Heap a (x + List a x)

pile Nil = Null

pile (Cons a (t:@ Null)) = Heap a (Stop t) (Stop t)

pile (Cons a (t:@ Heap b l r))

| a \le b = Heap a (Go (Cons b r)) (Stop l)

| otherwise = Heap b (Go (Cons a r)) (Stop l)
```

5.3 Second phase: reducing a heap \star

algorithmic core of heap reduction

```
sift:: (Ord\ a) \Rightarrow Heap\ b\ (x \times List\ a\ x) \rightarrow List\ b\ (x + Heap\ a\ x)
sift\ Null = Nil
sift\ (Heap\ a\ (l:@\ Nil)\ (r:@\ _)) = Cons\ a\ (Stop\ r)
sift\ (Heap\ a\ (l:@\ _)\ (r:@\ Nil)) = Cons\ a\ (Stop\ l)
sift\ (Heap\ a\ (l:@\ Cons\ b\ l')\ (r:@\ Cons\ c\ r'))
|\ b \leqslant c = Cons\ a\ (Go\ (Heap\ b\ l'\ r'))
|\ otherwise = Cons\ a\ (Go\ (Heap\ c\ l\ r'))
```

5.3 Two efficient sorting algorithms \star

• heap sort is dual to "mingle sort" (a variant of merge sort)

```
heapSort :: (Ord elem) ⇒ [elem] → [elem]
heapSort = unfold (para (fmap (id \triangledown inn) \circ sift))
\circ fold (apo (pile \circ fmap (id \triangle out)))
mingleSort :: (Ord elem) ⇒ [elem] → [elem]
mingleSort = fold (apo (sift \circ fmap (id \triangle out)))
\circ unfold (para (fmap (id \triangledown inn) \circ pile))
```

• two, well, actually four (!) algorithms for the price of one

5.4 Summary

- producers are *dual* to consumers
- single *generic* definition of fold and unfold
- (duality much clearer in generic presentation)
- folds generalize to paramorphisms
- unfolds generalize to apomorphisms
- algorithmic duality: comparison-based sorting

Part 6

Case study: turtles and tesselations



6.0 Outline

Reptiles and Setisets

One-level Turtles

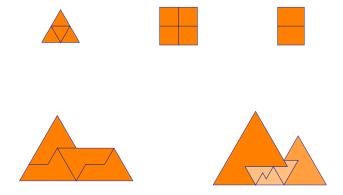
Two-level Turtles

Fractal Curves

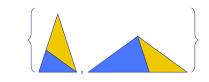
Self-tilings

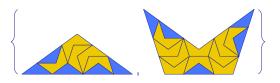
Summary

6.1 Reptiles



6.1 Setisets





6.1 Self-tilings









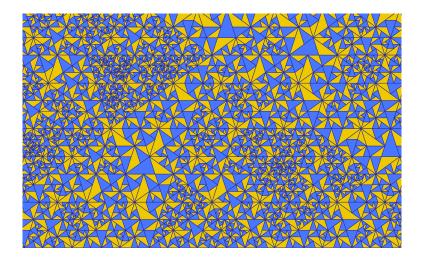






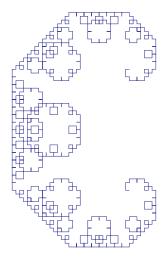


6.1 Self-tilings





6.2 Lévy C Curve

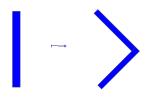




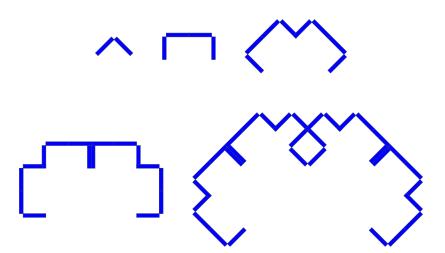
6.2 Lévy C Curve: Turtle Graphics

```
lévy :: Integer → Program G8
lévy 0 = forward 1
lévy (n + 1) = left 1; lévy n; right 2; lévy n; left 1
```

6.2 Lévy C Curve: Substitution Rule



6.2 Lévy C Curve



6.3 Turtle Graphics: Geometries

Turtle graphics is vector-based.

To avoid dealing with the nitty-gritty details of representing vectors, directions, and lengths, we introduce a type class:

```
class (Num g, Num (Dir g), Num (Len g)) \Rightarrow Geometry g where type Dir g type Len g origin :: g polar :: Dir g \rightarrow Len g \rightarrow g cartesian:: g \rightarrow (Double, Double)
```

We use tailor-made geometries for different types of reptiles: in n-gonia, G_n , the command left 1 instructs the turtle to turn left by $360^{\circ}/n$ degrees. (We assume exact real arithmetic, making free use of algebraic numbers such as the golden ratio ϕ).

6.3 A DSL for Turtle Graphics

A turtle program is a list of commands (for technical reasons, we fuse the type of lists with the type of commands):

Smart constructors for single commands:

```
drop :: obj → Program g obj

drop obj = Drop obj Skip

forward :: Len g → Program g obj

forward a = Forward a Skip
```

6.3 Turtle Graphics: Sequencing

Sequencing (concatenation of two lists of commands):

```
(;) :: Program g obj → Program g obj → Program g obj

Skip ; cmd = cmd

Drop obj cnt; cmd = Drop obj (cnt; cmd)

Forward a cnt; cmd = Forward a (cnt; cmd)

Left α cnt; cmd = Left α (cnt; cmd)

Fork cmd₁ cnt; cmd = Fork cmd₁ (cnt; cmd)
```

6.3 Turtle Graphics: Substitution

First-order terms with variables form a monad with substitution acting as "bind":

```
instance Monad (Program g) where

return a = drop \ a

Skip \gg k = Skip

(Drop \ a \ cnt) \gg k = k \ a; \quad (cnt \gg k)

(Forward \ r \ cnt) \gg k = Forward \ r \quad (cnt \gg k)

(Left \ i \ cnt) \gg k = Left \ i \quad (cnt \gg k)

(Fork \ cmd \ cnt) \gg k = Fork \ (cmd \gg k) \ (cnt \gg k)
```

A mapping from variables to terms is extended to a mapping from terms to terms (Kleisli extension):

```
ext:: (a \rightarrow Program \ g \ b) \rightarrow (Program \ g \ a \rightarrow Program \ g \ b)
ext k \ m = m \gg k
```



6.4 Lévy C Curve Revisited

A setiset is given by

- a set of shapes and
- a collection of substitution rules, one for each shape.

Shapes are represented by elements of some datatype. Their semantics is specified by a mapping to turtle graphics:

```
data Shape = Line
line :: Shape → Program G8 Void
line Line = forward 1
```

A substitution rule is represented by a coalgebra:

```
lévy :: Shape → Program G8 Shape
lévy Line = left 1 ; drop Line ; right 2 ; drop Line ; left 1
```



6.4 Lévy C Curve Revisited

To create a picture, the substitution rule is repeatedly applied to a "start string", followed by an invocation of the semantic mapping:

ext line
$$\times$$
 (ext lévy) n \times drop Line

where f > a is right-associative function application and f^n denotes the n-fold self-composition of f.

6.4 Dragon Curve: Substitution Rules



6.4 Symmetry

We use coproducts to make symmetries explicit.

data
$$a + b = L a \mid R b$$

infix $1 \triangledown$
 $(\triangledown) :: (a \rightarrow x) \rightarrow (b \rightarrow x) \rightarrow (a + b \rightarrow x)$
 $(f \triangledown g) (L a) = f a$
 $(f \triangledown g) (R b) = g b$

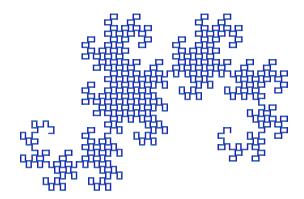
6.4 Dragon Curve

Only one substitution rule is programmed:

```
dragon:: Shape \rightarrow Program G8 (Shape + Shape)
dragon Line = left 1 ; drop (L Line) ; right 2 ; drop (R Line) ; left 1
```

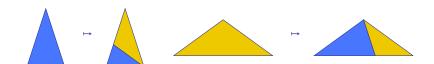
The coalgebra is then given by $mirror \cdot dragon \vee dragon$, where the transformation mirror changes left to right turns and vice versa: $mirror (left \alpha) = left (-\alpha)$.

6.4 Dragon Curve



ext (line \triangledown line) \checkmark (ext (mirror \cdot dragon \triangledown dragon))¹⁰ \checkmark drop (R Line) :: Program G8 Void

6.5 Golden Triangles: Substitution Rules



A closer inspection of the set reveals that it is irregular. We obtain a regular set if we sub-divide the larger copy of the *A* triangle:



6.5 Golden Triangles: Substitution Rules

```
data Robinson = A \mid B

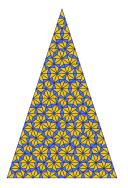
robinson:: Robinson \rightarrow Program G_{10} Void

robinson A = forward 1; left 3; forward \phi; left 4; forward \phi

robinson B = forward \phi^2; left 4; forward \phi; left 2; forward \phi
```

```
\begin{array}{l} \textit{phidias} :: \textit{Robinson} \rightarrow \textit{Program} \ \textit{G}_{10} \ \textit{Robinson} \\ \textit{phidias} \ \textit{A} \\ &= \textit{fork} \ (\textit{left} \ 2 \ ; \ \textit{forward} \ (1 \ / \ \varphi) \ ; \ \textit{left} \ 5 \ ; \ \textit{drop} \ \textit{A} \\ &: \ ; \ \textit{fork} \ (\textit{forward} \ 1 \ ; \ \textit{left} \ 3 \ ; \ \textit{drop} \ \textit{B}) \\ &= \textit{fork} \ (\textit{forward} \ \varphi \ ; \ \textit{left} \ 3 \ ; \ \textit{phidias} \ \textit{A}) \\ &: \ ; \ \textit{fork} \ (\textit{forward} \ \varphi^2 \ ; \ \textit{left} \ 4 \ ; \ \textit{drop} \ \textit{B}) \end{array}
```

6.5 Golden Triangles



fmap robinson \times (scale $\phi \cdot ext$ phidias)⁷ \times drop A :: Program G_{10} (Program G_{10} Void)

6.5 Sun and Star

We combine a triangle and its mirror image to form a kite or a dart:

```
kite, dart :: Program G_{10} (Robinson + Robinson)
kite = drop(RA); left 4; drop(LA); right 4
dart = drop(RB); left 2; drop(LB); right 2
```

and then whirl the combined diagrams:

```
sun, star:: Program G_{10} (Robinson + Robinson)
sun = repeat 5 (kite; repeat 2 (forward 1; left 1))
star = repeat 5 (dart; left 2)
```

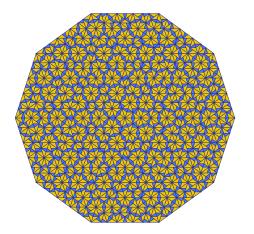
To adapt *phidias* we make use of the coproduct of coalgebras:

```
infix 1 ⊕ 
(⊕) :: (Functor f) ⇒ (a \rightarrow f a) \rightarrow (b \rightarrow f b) \rightarrow (a + b) \rightarrow f (a + b)
f \oplus g = fmap L \cdot f \nabla fmap R \cdot g
```

The coalgebra is then mirror phidias \oplus phidias.

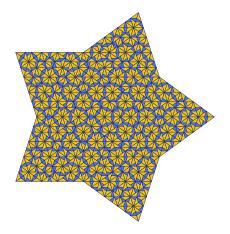


6.5 Golden Triangles: Sun



fmap (mirror · robinson \triangledown robinson) \nearrow (scale φ · ext (mirror · phidias \oplus phidias))⁶ \nearrow sun :: Program G_{10} (Program G_{10} Void)

6.5 Golden Triangles: Star



fmap (mirror · robinson \forall robinson) \land (scale φ · ext (mirror · phidias \oplus phidias))⁵ \land star :: Program G_{10} (Program G_{10} Void)

6.6 Conclusion

- reptiles, setisets, and fractal curves
- free monads and coalgebras
- typed Lindenmayer systems

Part 7

Conclusion



7.0 Outline

Recap

7.1 Recap: Functional Programming 2

- lazy vs eager evaluation
- "lazy makes you pure"
- monadic approach to I/O
- abstract datatypes of computations (effects)
 - ► (functor)
 - applicative functor
 - monad
- nested datatypes capture structural invariants
- numerical representations
- type classes and type families
- generic programming: folds and unfolds

7.1 Thank you

Thanks for listening. It was good fun!

