

Problem Solving Via Search

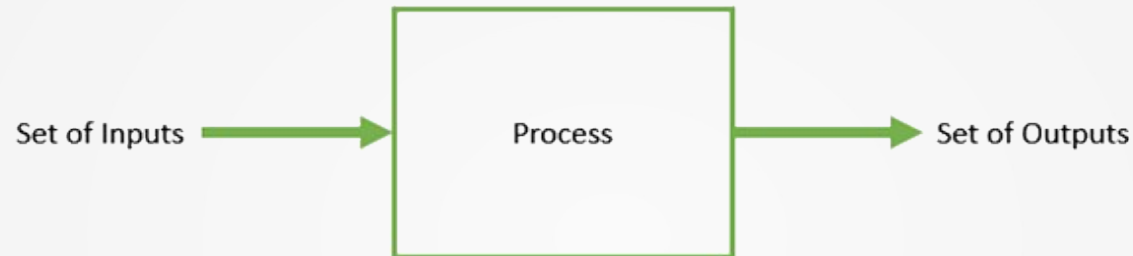
Learning Objectives

After completing this lecture, you will be able to:-

- Explain basic problem-solving terminology
- Describe the strengths and weaknesses of metaheuristics
- Explain the general workings of genetic algorithms as a problem-solving metaheuristic
- Describe the main motivations for using genetic algorithms

Optimization

- **Optimization** is aimed at making something better (solving a problem)



- The result of optimization is a set of 'best' inputs/values/parameters (as judged by the process' output)
- The definition of 'best' depends on the problem
 - Generally a maximum/minimum of some kind

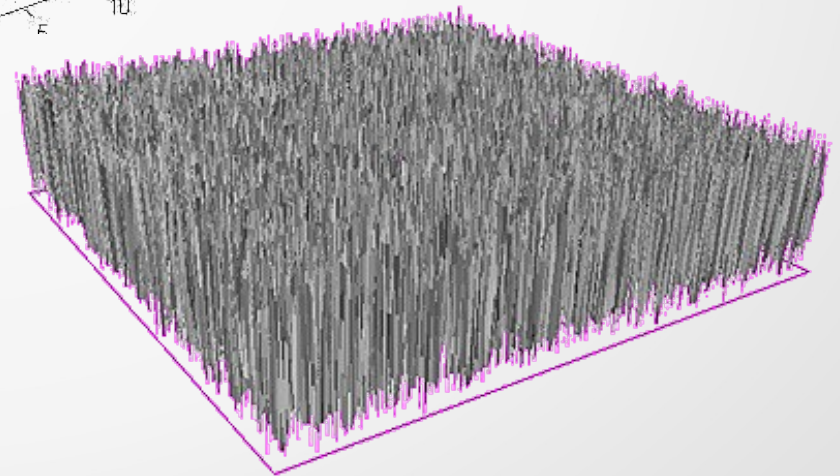
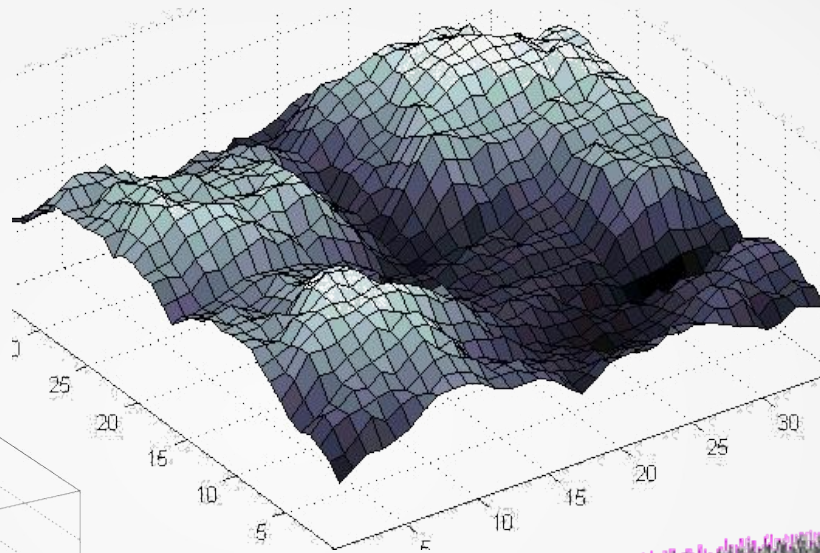
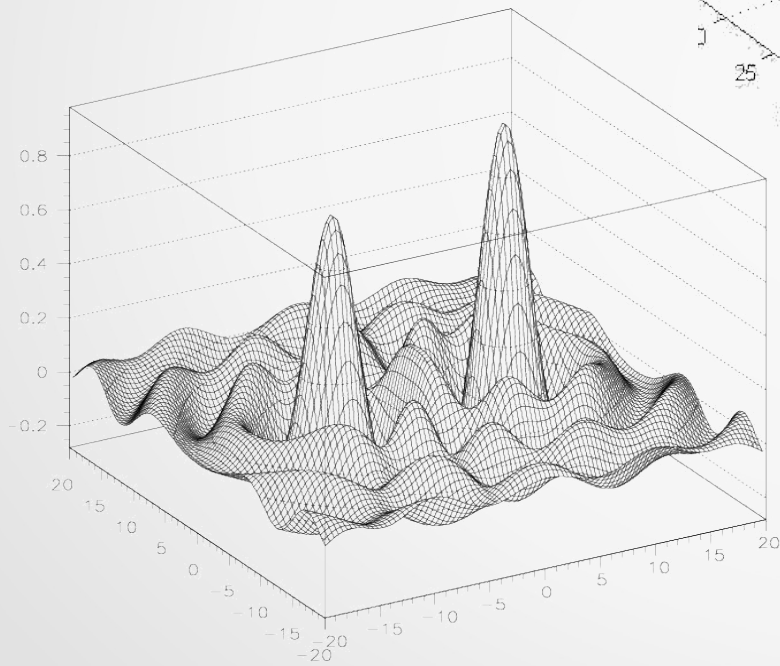
Objective Function

- Any problem being optimized has some **objectives**
 - Minimal travel time, minimal cost, maximum profit
- The mathematical function describing this objective is called the **objective function** (or the **cost function**)
- The **objective function** depends on one or more **decision variable** which are the inputs to the process

Search Space

- Solving a problem can be represented as a search task
 - ‘Find’ the solution
- The **search space** is then a set containing *all possible solutions* to the problem
- Optimization would therefore involve finding one (or a few) solutions in this search space
 - A good solution would maximize/minimize the objective function

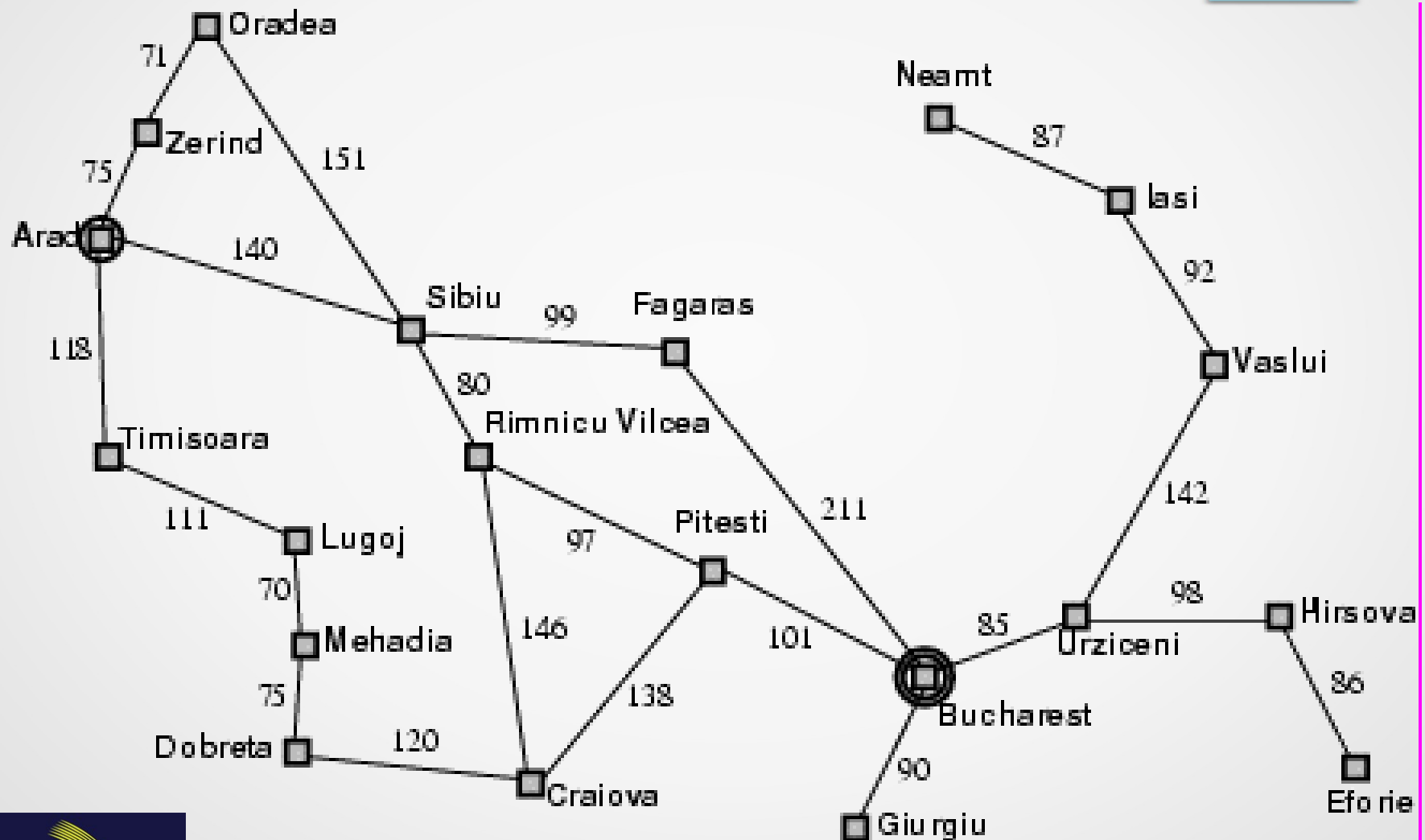
Search Space



Example Search Space: Romania

- On holiday in Romania; currently in Arad
- Flight leaves tomorrow from Bucharest
- **Formulate goal:**
 - Be in Bucharest
- **Formulate problem:**
 - *states*: various cities
 - *actions*: drive between cities
- **Find solution:**
 - Sequence of cities, e.g., Arad, Sibiu, Fagaras, Bucharest

Example Search Space: Romania



Example Search Space: The 8-puzzle

| | | |
|---|---|---|
| 7 | 2 | 4 |
| 5 | | 6 |
| 8 | 3 | 1 |

Start State

| | | |
|---|---|---|
| | 1 | 2 |
| 3 | 4 | 5 |
| 6 | 7 | 8 |

Goal State

- States? - locations of tiles
- Actions? - move blank left, right, up, down
- Goal Test? - goal state (given)
- Path Cost? - 1 per move

*Note:
Optimal solution of
N-Puzzle family is
NP-hard!*

Algorithms vs Heuristics

In the context of problem-solving:-

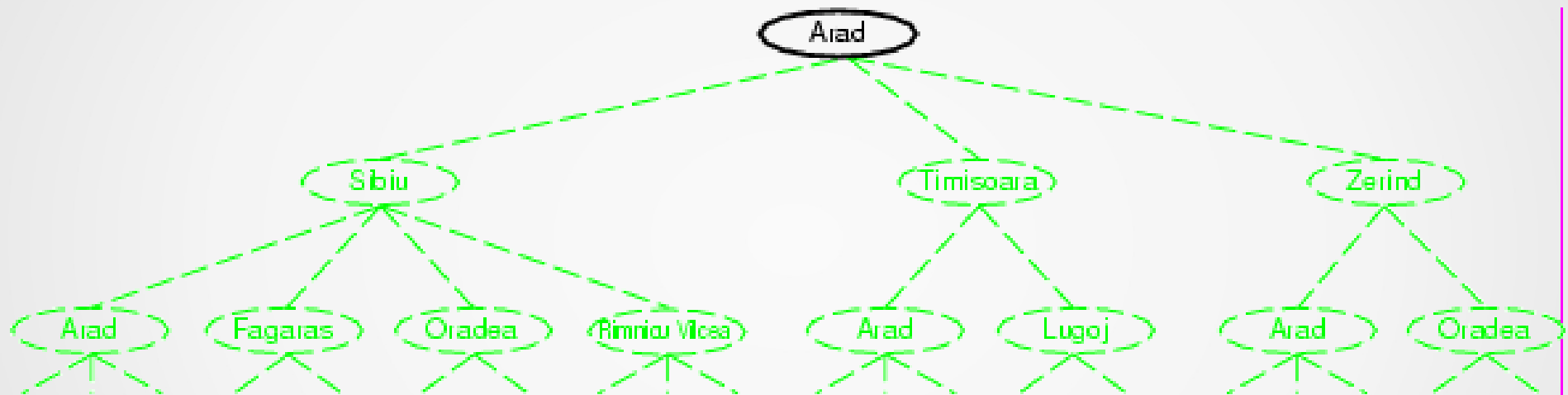
- An **algorithm** specifies *unambiguously* how to solve a problem
- A **heuristic** is a technique for solving a problem quickly
 - A shortcut, trades off exactness/accuracy/precision
 - Can be based on intuition, experience, rules-of-thumb
 - Can be very reliable (provably *admissible*) or more than useless (actively harmful)

Tree Search Algorithms

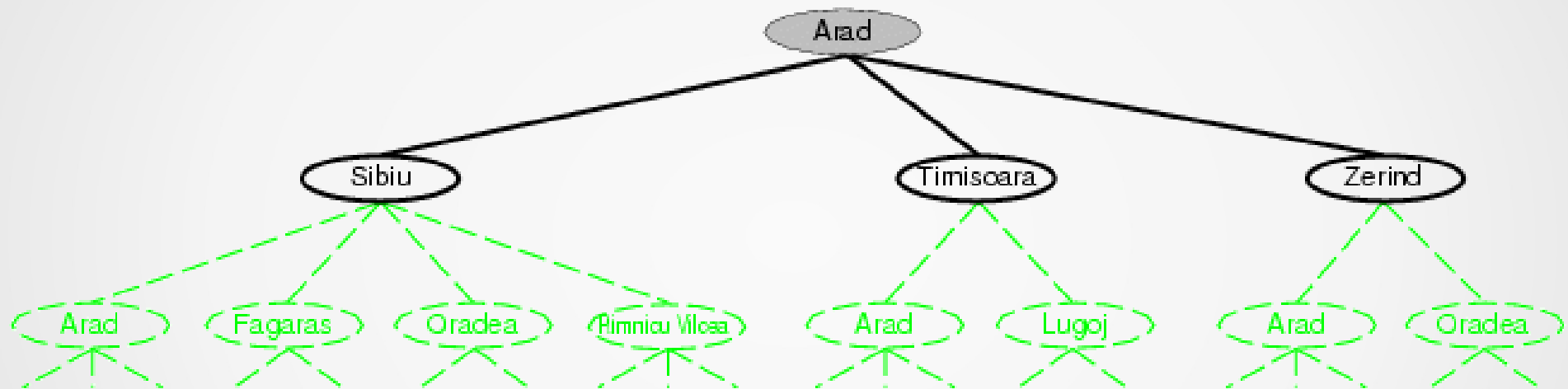
- Basic idea:
 - Offline, simulated exploration of state space by generating successors of already-explored states (a.k.a **expanding** states)

```
function TREE-SEARCH(problem, strategy) returns a solution, or failure
  initialize the search tree using the initial state of problem
  loop do
    if there are no candidates for expansion then return failure
    choose a leaf node for expansion according to strategy
    if the node contains a goal state then return the corresponding solution
    else expand the node and add the resulting nodes to the search tree
```

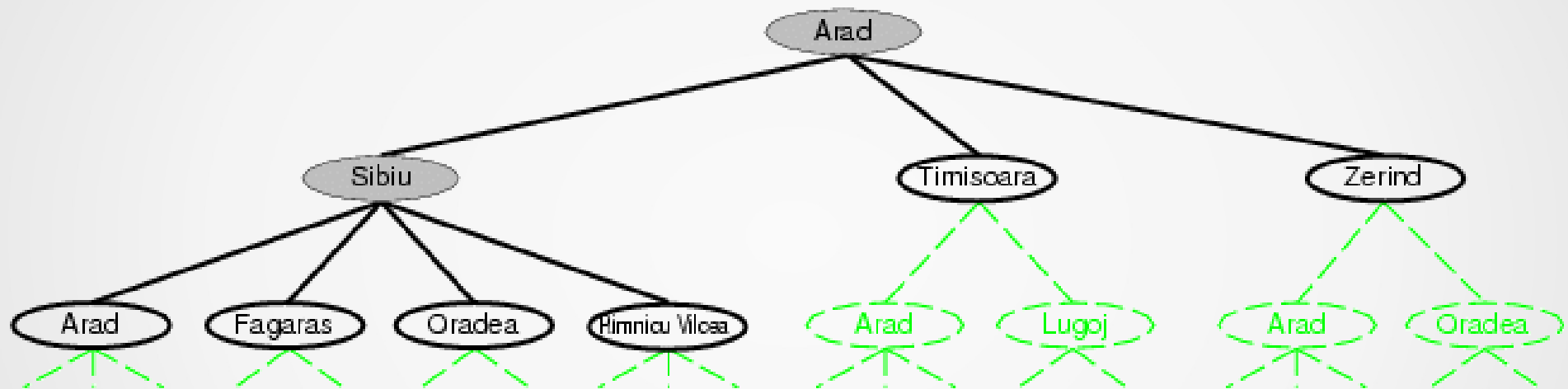
Tree Search Example



Tree Search Example



Tree Search Example



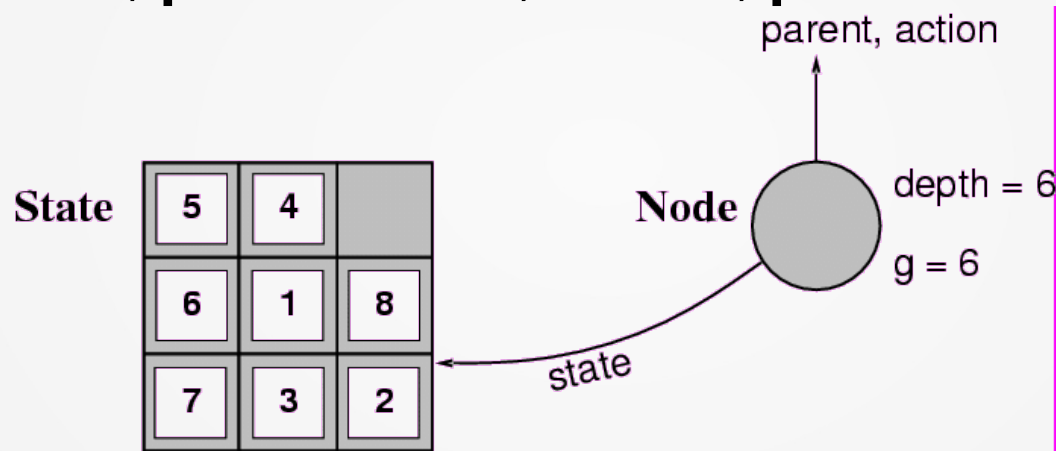
Implementation: General Tree Search

```
function TREE-SEARCH(problem, fringe) returns a solution, or failure
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST[problem](STATE[node]) then return SOLUTION(node)
    fringe ← INSERTALL(EXPAND(node, problem), fringe)
```

```
function EXPAND(node, problem) returns a set of nodes
  successors ← the empty set
  for each action, result in SUCCESSOR-FN[problem](STATE[node]) do
    s ← a new NODE
    PARENT-NODE[s] ← node; ACTION[s] ← action; STATE[s] ← result
    PATH-COST[s] ← PATH-COST[node] + STEP-COST(node, action, s)
    DEPTH[s] ← DEPTH[node] + 1
    add s to successors
  return successors
```

Implementation: States vs. Nodes

- A **state** is a (representation of) a physical configuration
- A **node** is a data structure constituting part of a search tree – includes **state**, **parent node**, **action**, **path cost $g(x)$** , **depth**



- The `Expand` function creates new nodes, filling in the various fields and using the `SuccessorFn` of the problem to create the corresponding states

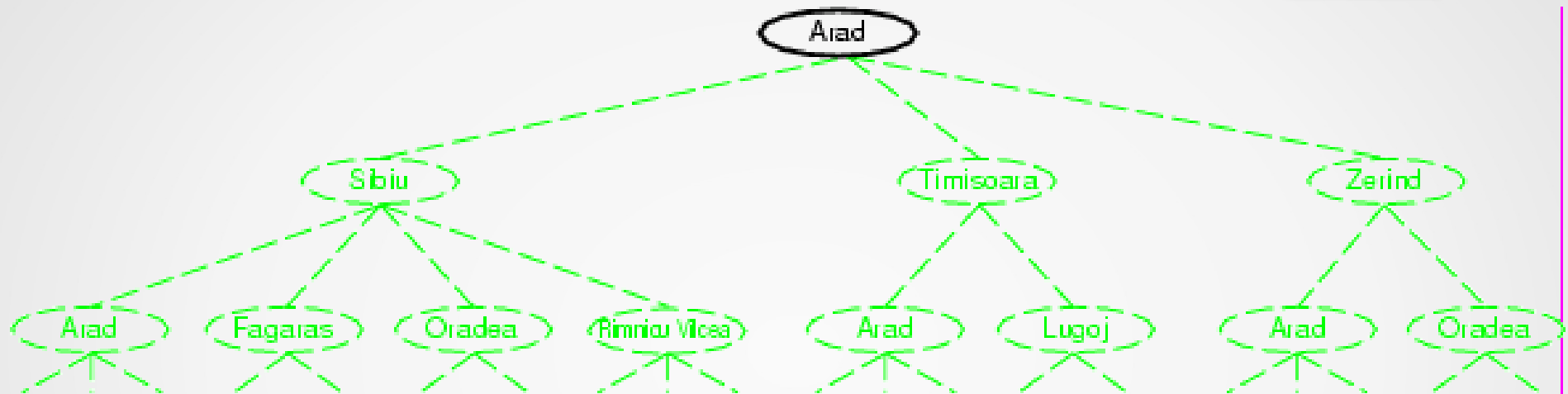
Useful Concepts

- **State space:** the set of all states reachable from the initial state by *any* sequence of actions
 - When several operators can be applied to each state, this gets large very quickly
- **Path:** a sequence of actions leading from one state s_i to another state s_k
- **Frontier:** those states that are available for *expanding*, for applying legal actions to
- **Solution:** a path from the initial state s_i to a state s_f that satisfies the goal state

Basic Search Algorithms: Tree Search

- How do we find the solutions for the previous problem formulations?
 - Enumerate in some order all possible paths from the initial state
 - Here: search through *explicit tree generation*
 - Root = initial state
 - Nodes and leafs generated through *transition model*
 - In general search generates a *graph* (same state through multiple paths) but we'll just look at *trees* in this lecture
 - Treats different paths to the same node as distinct

Simple Tree Search Example



function **TREE-SEARCH**(*problem*, *strategy*) return a solution or failure

Initialize frontier to the initial state of the problem

do

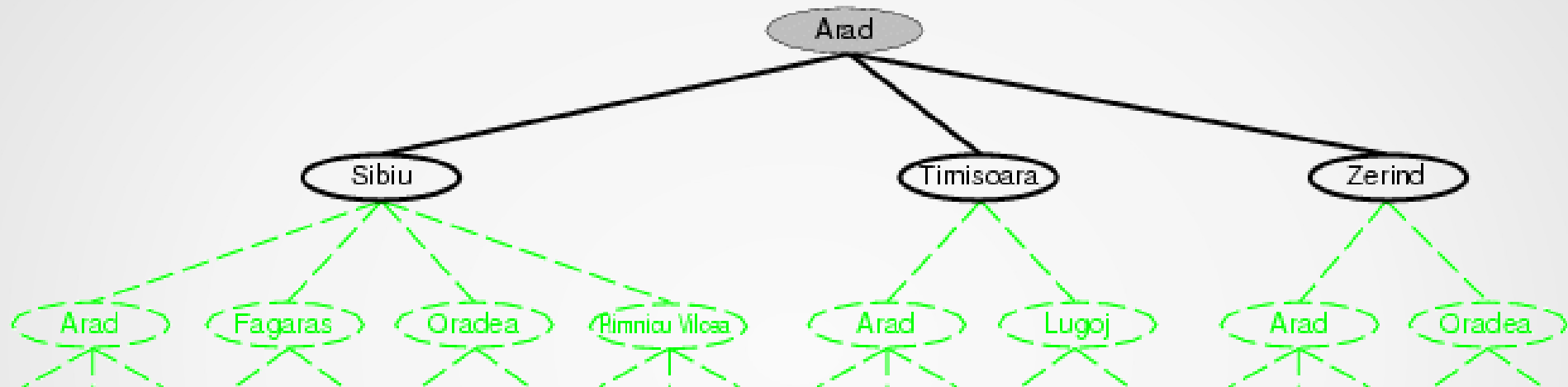
if the frontier is empty then return **failure**

choose leaf node for expansion according to *strategy* & remove from frontier

if node contains goal state then return **solution**

else expand the node and add resulting nodes to the frontier

Tree Search Example



function **TREE-SEARCH**(*problem*, *strategy*) return a solution or failure

 Initialize frontier to the *initial state* of the *problem*

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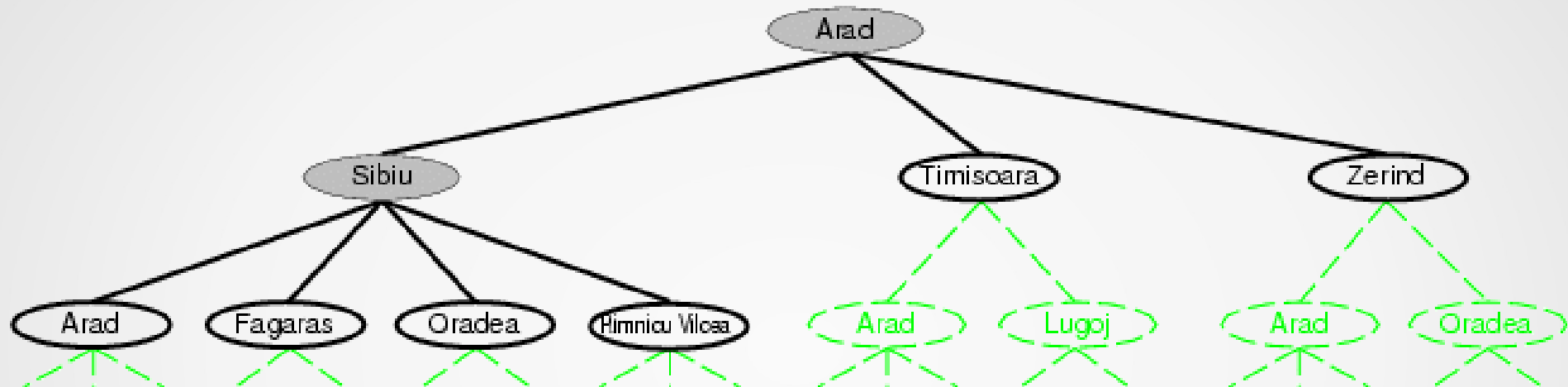
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Tree Search Example



function **TREE-SEARCH**(*problem, strategy*) return a solution or failure

Initialize frontier to the *initial state* of the *problem*

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if the frontier is empty then return *failure*

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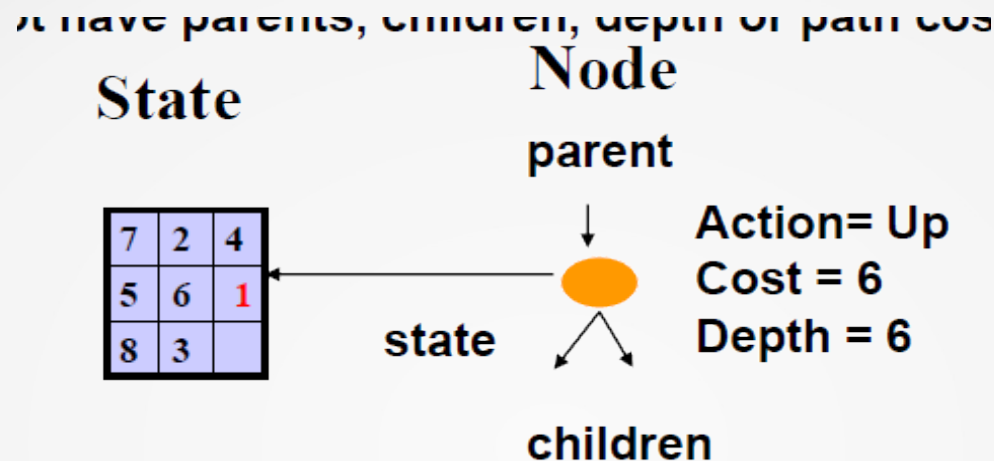
else expand the node and add resulting nodes to the frontier

Determines search process!!

8-Puzzle: States and Nodes

- A **state** is a (representation of a) **physical configuration**
- A **node** is a data structure constituting *part of* a **search tree**
 - Also includes **parent, children, depth, path cost $g(x)$**
 - Here **node**=<**state,parent-node,action,path-cost,depth**>
- **States** *do not* have **parents, children, depth, or path cost!**

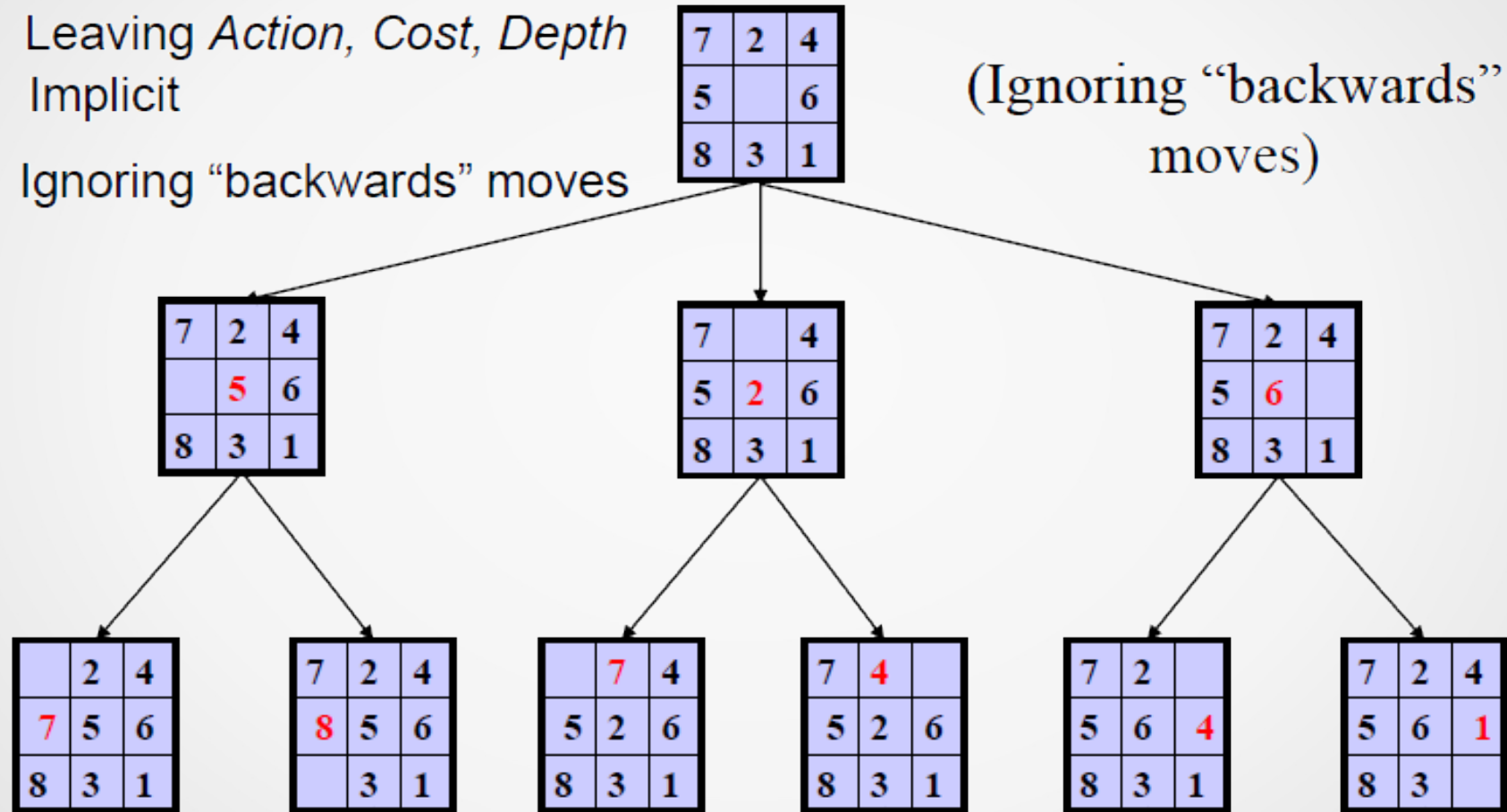
8-Puzzle: States and Nodes



- The **Expand** function
 - Uses the Actions and Transition Model to create the corresponding states
 - Creates new nodes
 - Fills in the various fields

8-Puzzle: Search Tree

- Leaving *Action, Cost, Depth* Implicit
- Ignoring “backwards” moves



Search Strategies

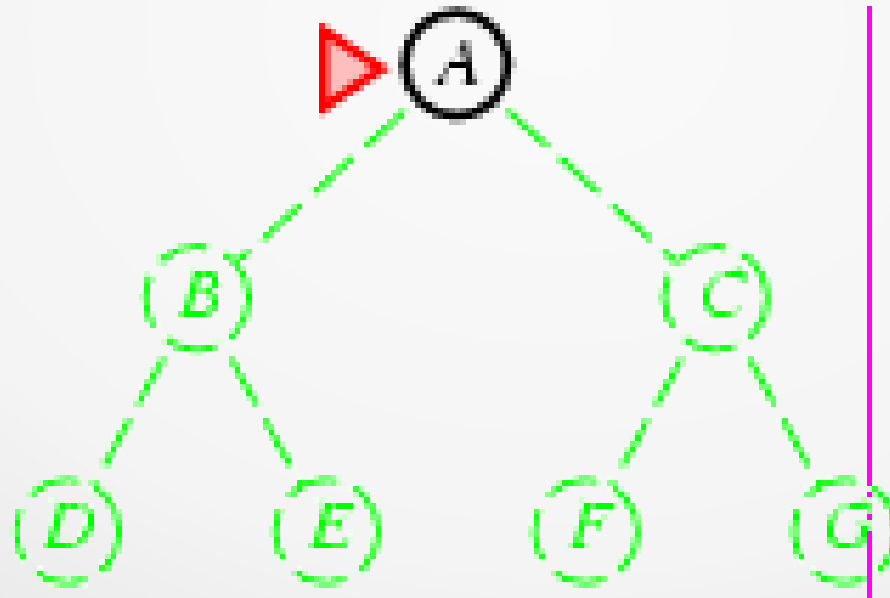
- A search strategy defines the **order of node expansion**
- Strategies are evaluated along the following dimensions:
 - **Completeness**: does it always find a solution if one exists?
 - **Time complexity**: how long does it take to find a solution?
 - **Space complexity**: how much memory is needed to perform the search?
 - **Optimality**: does it always find the optimal solution?
- Time and space complexity are measured in terms of:
 - **b**: maximum branching factor of the search tree
 - **d**: depth of the least-cost solution
 - **m**: maximum depth of the state space (may be ∞)

Uninformed Search Strategies

- **Uninformed** search strategies use only the information available in the problem definition (a.k.a blind search)
- Categories defined by expansion algorithm:
 - Breadth-first search
 - Uniform-cost search
 - Depth-first search
 - Depth-limited search
 - Iterative deepening search

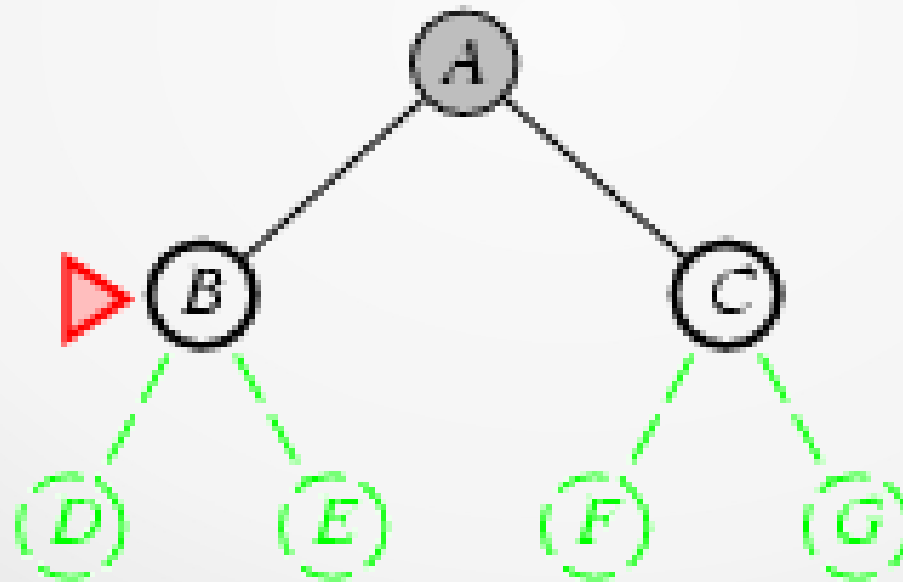
Breadth First Search

- Expand shallowest unexpanded node
- **Implementation:**
 - *Frontier* is a FIFO queue, i.e. new successors at end



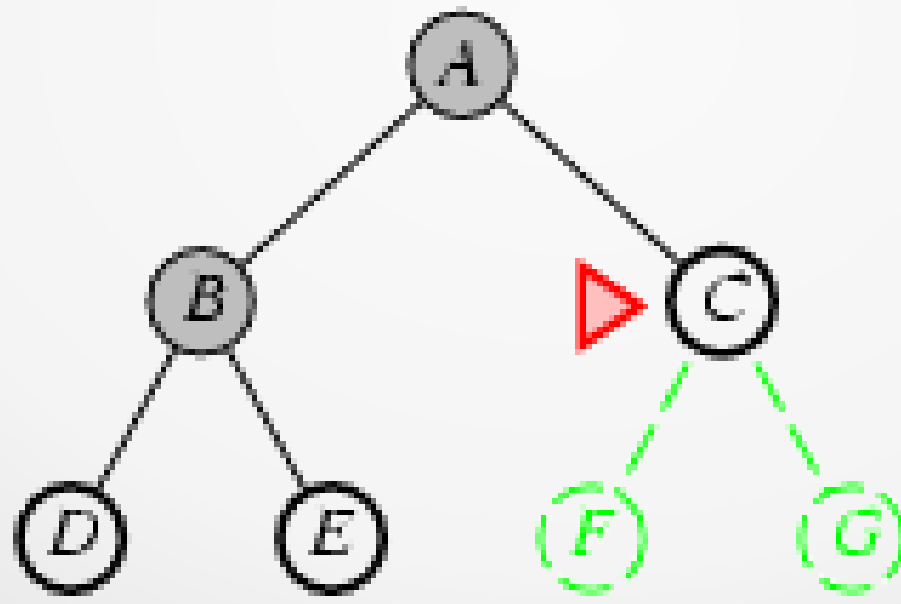
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Breadth First Search: Properties

- **Complete?** Yes (if **b** is finite)
- **Time?** $1 + b + b^2 + b^3 + \dots + b^d + b(b^d - 1) = O(b^{d+1})$
- **Space?** $O(b^{d+1})$ (keeps every node in memory)
- **Optimal?** Yes (if step cost is equal)

Space is the bigger problem (more than time)

Breadth First Search: Properties

| Depth | Nodes | Time | Memory |
|-------|-----------|---------|---------|
| 2 | 110 | .11 ms | 107 kB |
| 4 | 11,110 | 11 msec | 10.6 MB |
| 6 | 10^6 | 1.1 sec | 1 GB |
| 8 | 10^8 | 2 mins | 103 GB |
| 10 | 10^{10} | 3 hrs | 10 TB |
| 12 | 10^{12} | 13 days | 1 PB |
| 14 | 10^{14} | 3.5 yrs | 99 PB |
| 16 | 10^{16} | 350 yrs | 1 EB |

Space is the bigger problem (more than time)

Uniform-Cost Search

- Similar to BFS
- Expands node n with the lowest path cost
- Does not consider the number of steps a path has
 - instead it considers the total cost of the path
- Will be stuck in infinite loop **if** expanding a node that has zero cost leads to the same state

Uniform-Cost Search

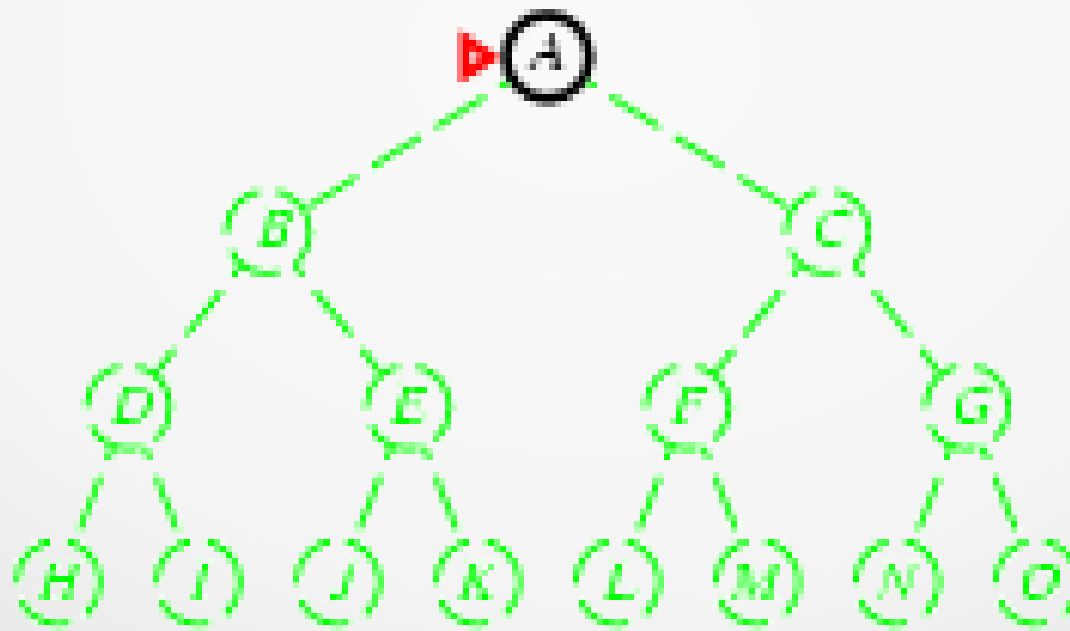
- Expand least-cost unexpanded node
- **Implementation:**
 - *Frontier* is a priority queue ordered by path cost
 - Equivalent to breadth-first search **if** step costs are all equal

Uniform-Cost Search: Properties

- **Complete?** Yes (if step cost $\geq \epsilon$)
- **Time?** # of nodes with $g \leq$ cost of optimal solution
 $O(b^{\text{ceiling}(C^*/\epsilon)})$ where C^* is optimal solution cost
- **Space?** # of nodes with $g \leq$ cost of optimal solution
 $O(b^{\text{ceiling}(C^*/\epsilon)})$
- **Optimal?** Yes, nodes expanded in increasing order of
 $g(n)$

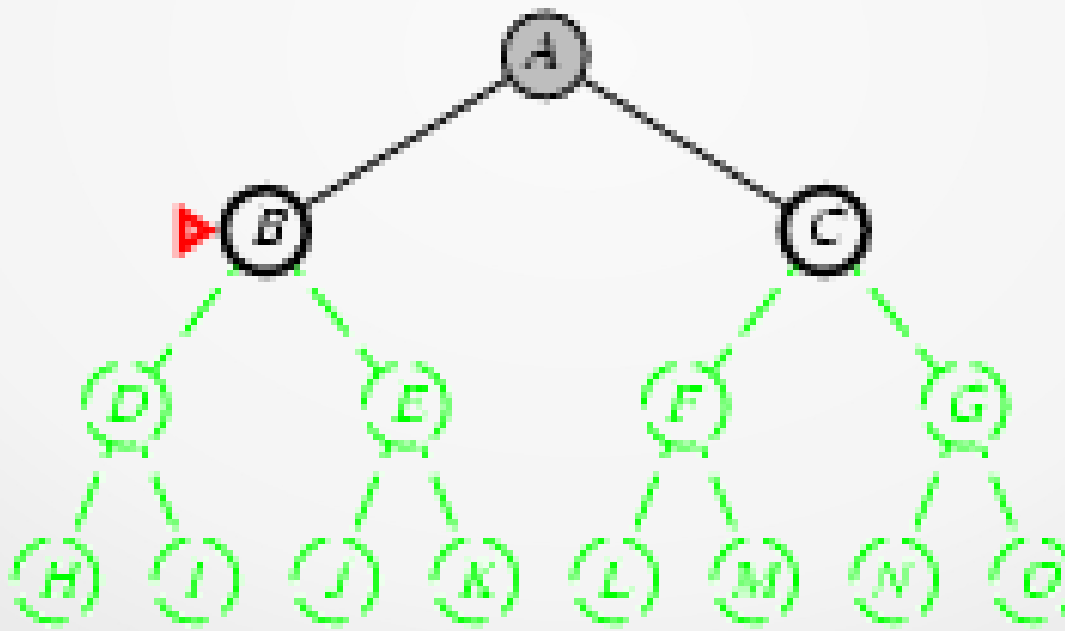
Depth First Search

- Expand deepest unexpanded node
- **Implementation:**
 - *Frontier* is a LIFO queue, i.e. put successors at front



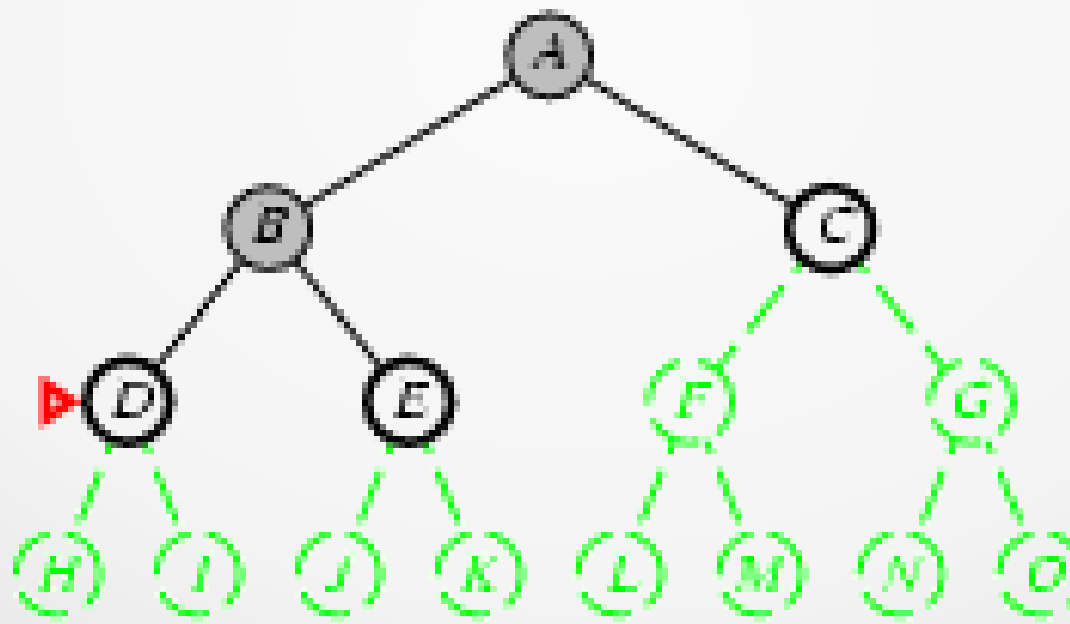
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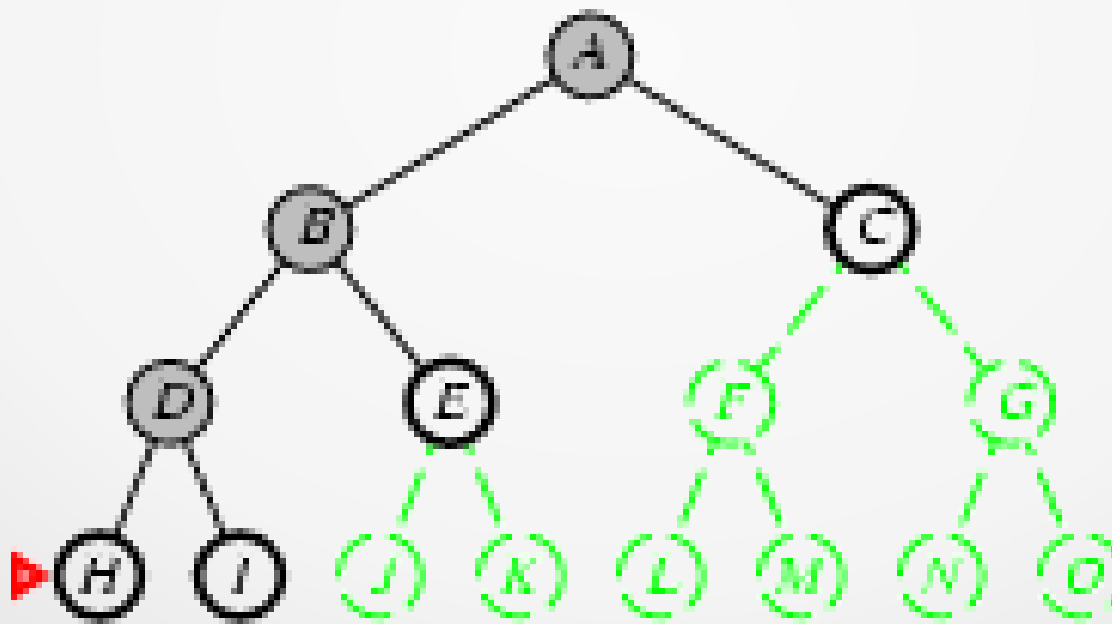
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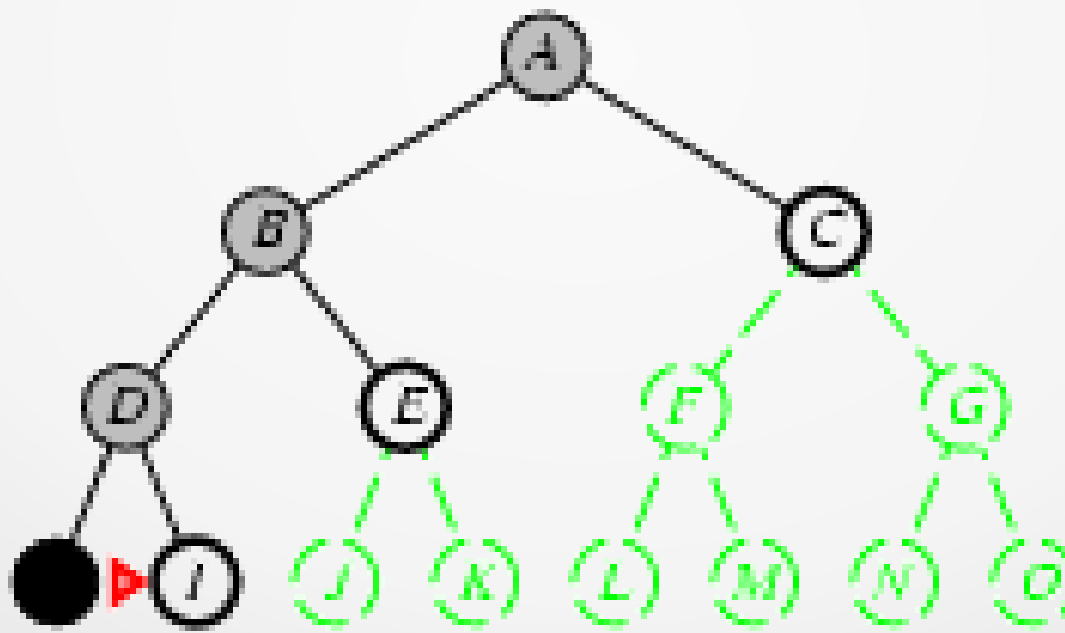
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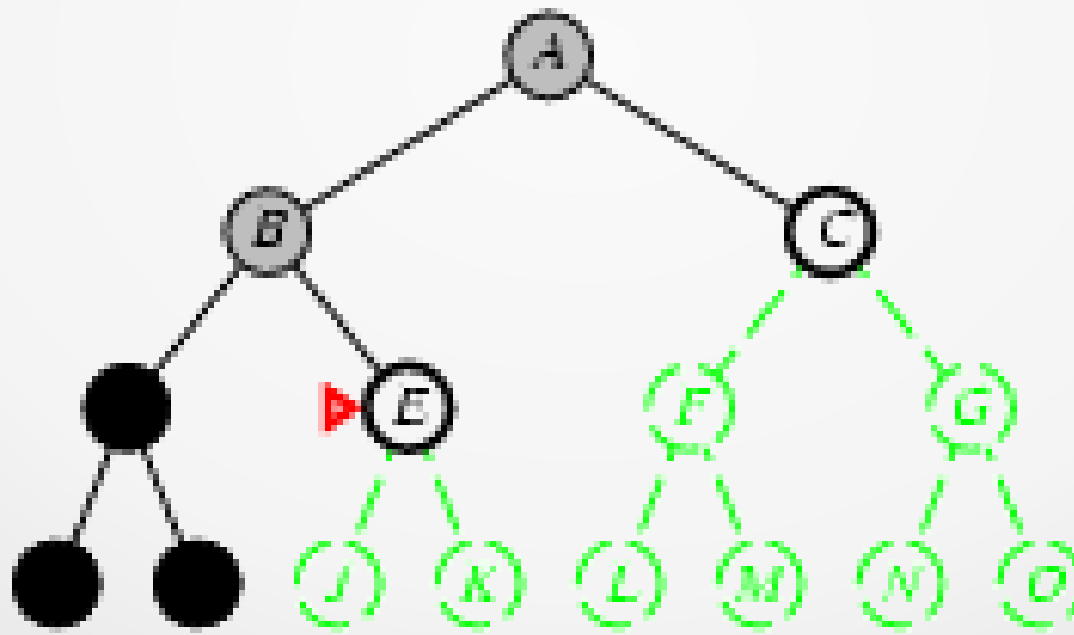
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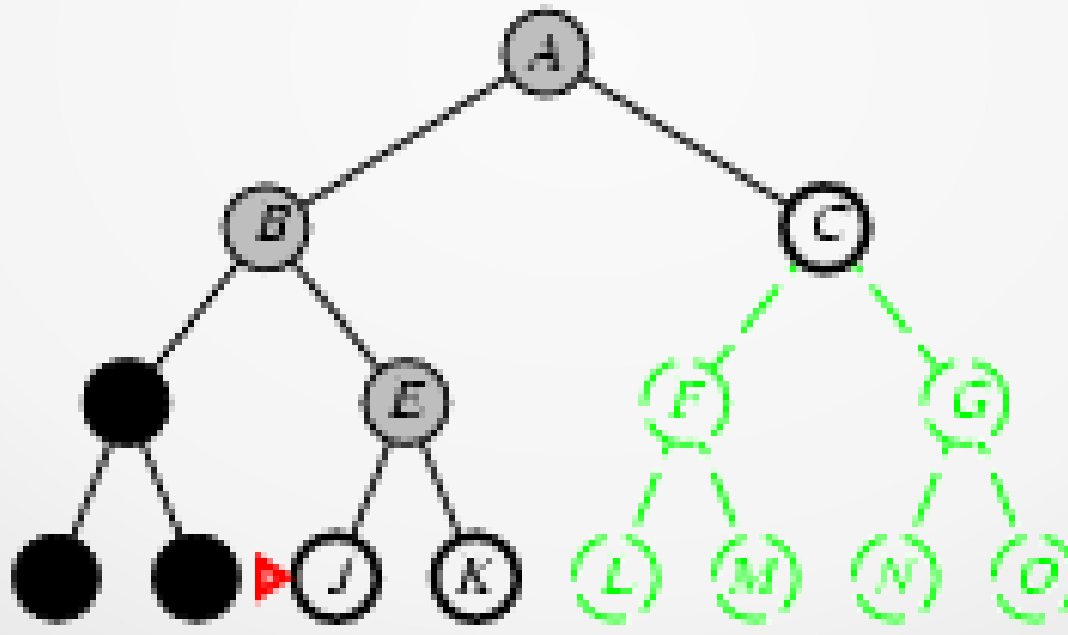
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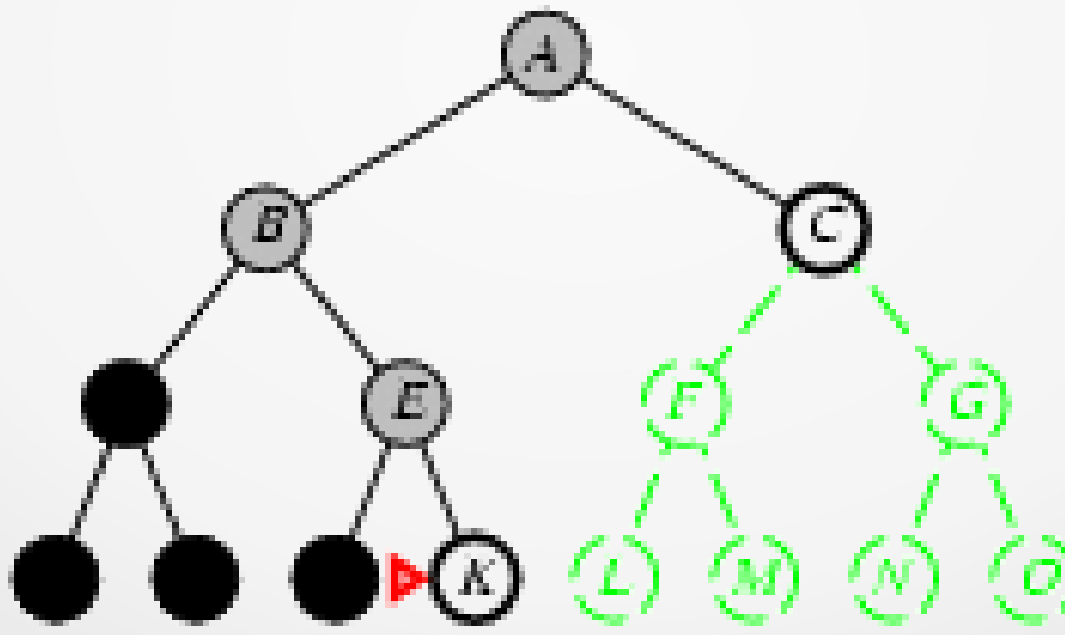
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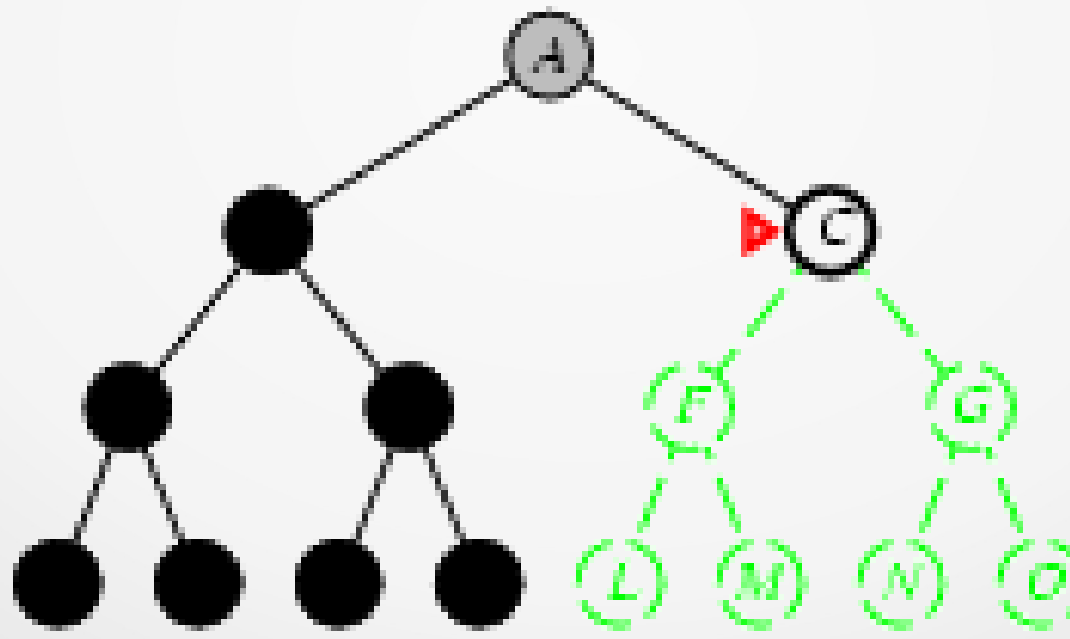
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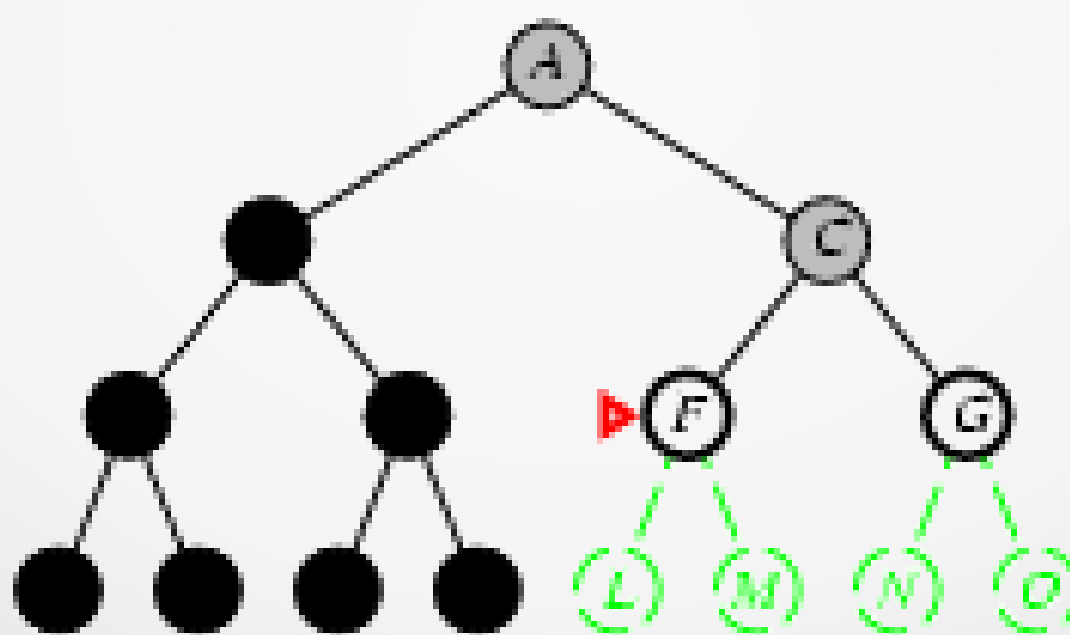
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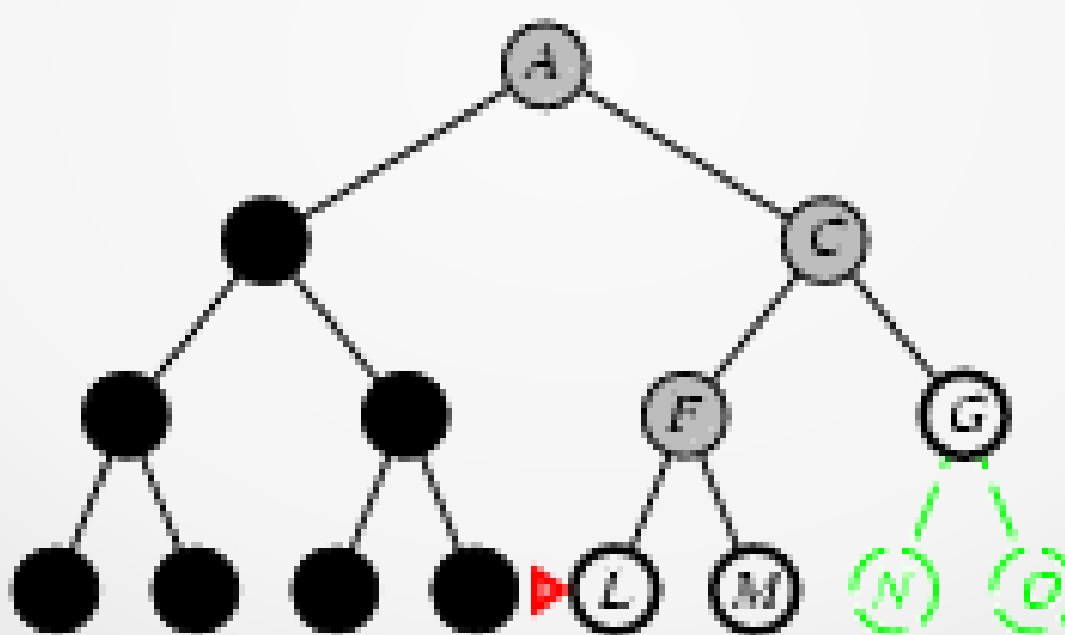
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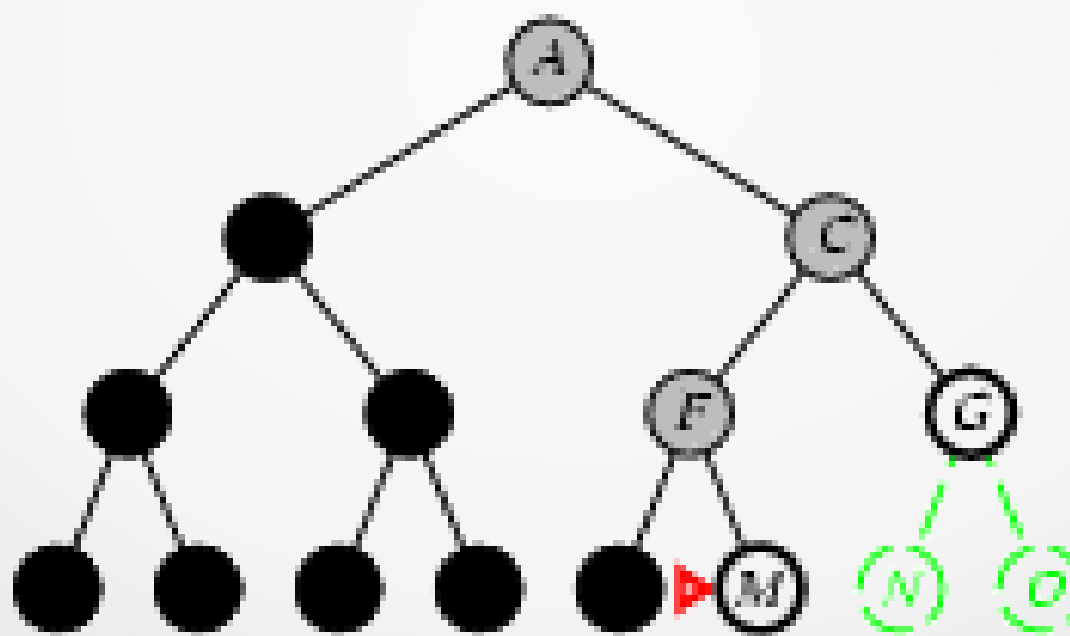
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Depth First Search

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Depth First Search: Properties

- **Complete?** No: fails in infinite-depth spaces, spaces with loops. If modified to avoid repeated states along path, then complete in finite spaces.
- **Time?** $O(b^m)$: terrible if m is much larger than d but if solutions are dense, may be much faster than BFS
- **Space?** $O(bm)$ i.e. linear space!
- **Optimal?** No

Depth First vs Breadth First

- **Use depth first if:-**
 - Space is restricted
 - There are many possible solutions with long paths and wrong paths can be detected quickly
 - Search can be fine-tuned quickly
- **Use breadth-first if:-**
 - Possible infinite paths
 - Some solutions have short paths
 - Can quickly discard unlikely paths

Search Conundrum

- **Breadth First**
 - Complete
 - Uses $O(b^d)$ space
- **Depth First**
 - Not complete unless m is bounded
 - Uses $O(b^m)$ time; terrible if $m \gg d$
 - *But* only uses $O(bm)$ space
- How can we get the best of both?

Depth-limited Search: A Building Block

- Depth First search but with depth limit l
 - i.e. nodes at depth l have no successors
- Solves the infinite-path problem
- If $l = d$ (by luck!) then optimal
- But:
 - If $l < d$ then incompleteness results
 - If $l > d$ then not optimal
- Time complexity: $O(bl)$
- *Space complexity: $O(bl)$*

Iterative Deepening Search

- *A general strategy to find best depth limit l*
 - Key idea: use Depth-limited search as subroutine, with increasing l
 - **Complete**: Goal is always found at depth d , the depth of the shallowest goal-node
- Combines benefits of Depth First search and Breadth First search

Iterative Deepening Search

```
function ITERATIVE-DEEPENING-SEARCH( problem) returns a solution, or fail-  
ure  
  inputs: problem, a problem  
  for depth  $\leftarrow$  0 to  $\infty$  do  
    result  $\leftarrow$  DEPTH-LIMITED-SEARCH( problem, depth)  
    if result  $\neq$  cutoff then return result
```

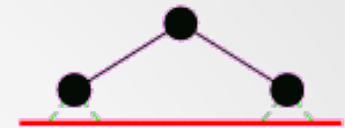
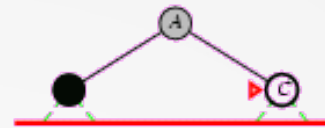
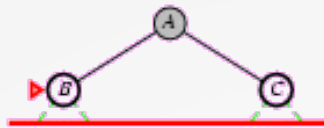
Iterative Deepening Search ($l = 0$)

Limit = 0



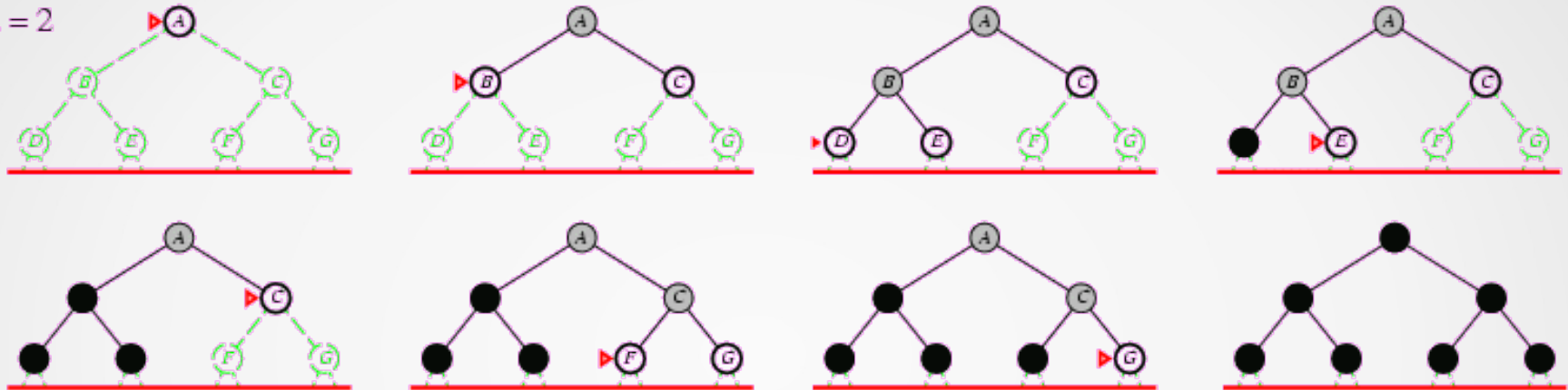
Iterative Deepening Search ($l = 1$)

Limit = 1



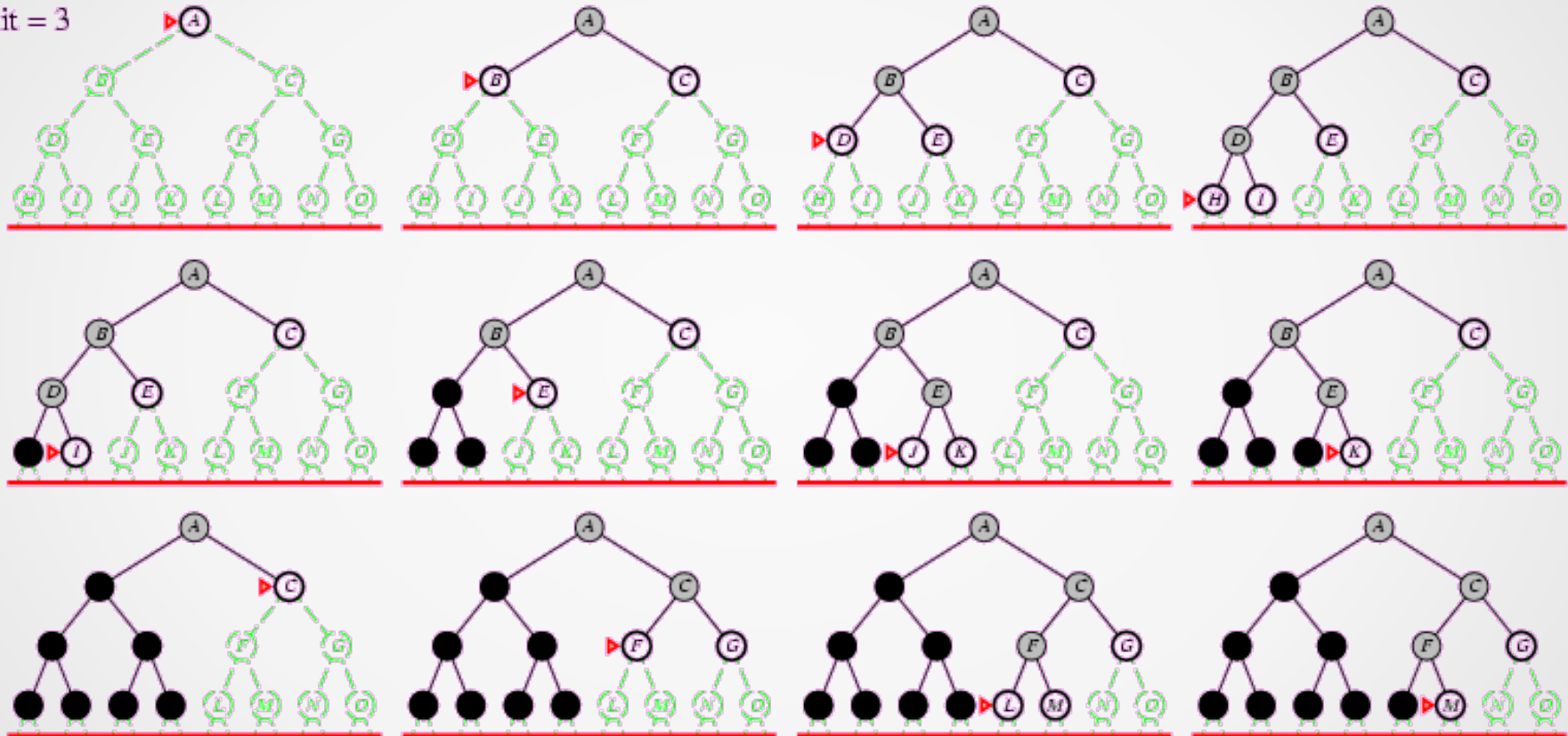
Iterative Deepening Search ($l = 2$)

Limit = 2



Iterative Deepening Search ($l = 3$)

Limit = 3



Iterative Deepening Search

- Number of nodes generated in a depth-limited search to depth d with branching factor b :

$$N_{DLS} = b^0 + b^1 + b^2 + \dots + b^{d-2} + b^{d-1} + b^d$$

- Number of nodes generated in an iterative deepening search to depth d with branching factor b :

$$N_{IDS} = (d+1)b^0 + db^1 + (d-b)b^2 \dots + 3b^{d-2} + 2b^{d-1} + 1b^d$$

- For $b = 10$, $d = 5$
 - $N_{DLS} = 1 + 10 + 100 + 1,000 + 10,000 + 100,000 = 111,111$
 - $N_{IDS} = 6 + 50 + 400 + 3,000 + 20,000 + 100,000 = 123,456$
- Overhead = $(123,456 - 111,111)/111,111 = 11\%$

Iterative Deepening Search: Properties

- **Complete?** Yes
- **Time?** $(d+1)b^0 + db^1 = (d-1)b^2 + \dots + b^d = O(b^d)$
- **Space?** $O(bd)$
- **Optimal?** Yes, if step cost = 1

Summary of Search Algorithms so far

| Criterion | Breadth First | Uniform Cost | Depth First | Depth-limited | Iterative Deepening |
|-----------|---------------|-----------------------|-------------|---------------|---------------------|
| Complete? | Yes | Yes | No | No | Yes |
| Time | $O(b^{d+1})$ | $O(b^{C^*/\epsilon})$ | $O(b^m)$ | $O(b^l)$ | $O(b^d)$ |
| Space | $O(b^{d+1})$ | $O(b^{C^*/\epsilon})$ | $O(bm)$ | $O(bl)$ | $O(bd)$ |
| Optimal? | Yes | Yes | No | No | Yes |

Informed/Heuristic Search Strategies

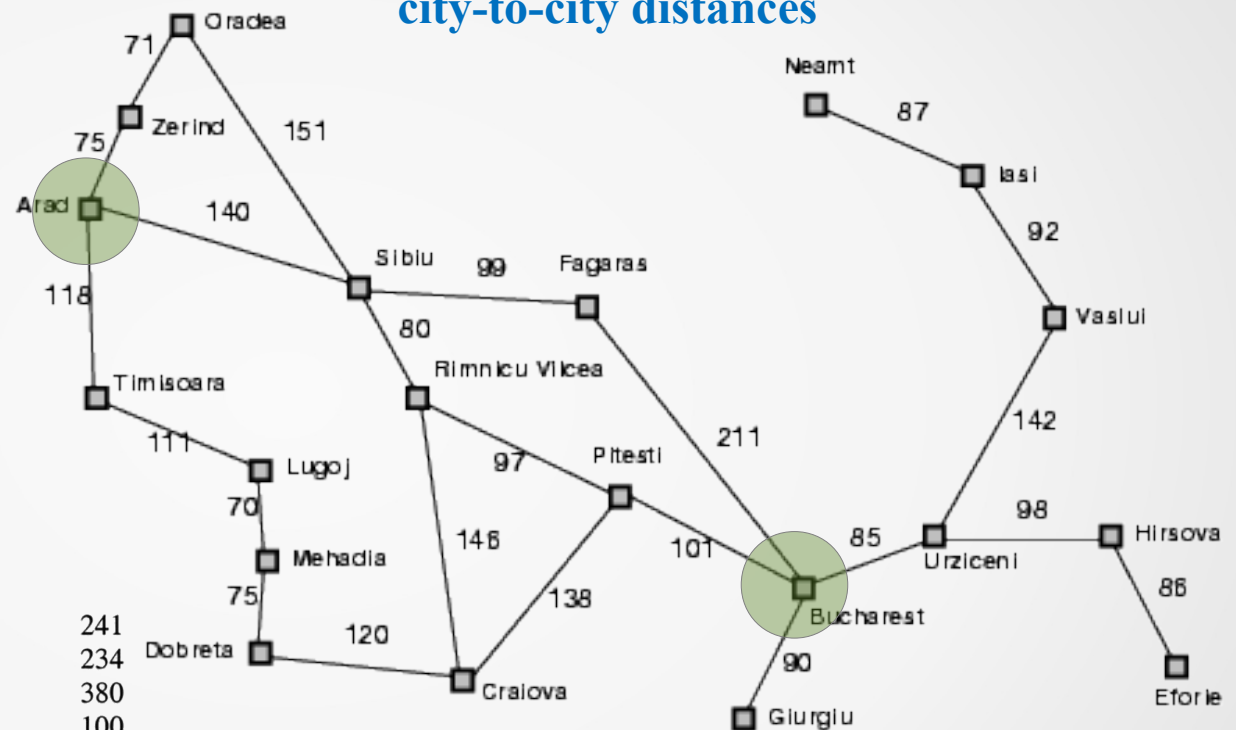
- Uses problem-specific knowledge in searching
- Find solutions more efficiently than an uninformed search
- It uses some scoring function to decide which paths or nodes seem promising
- Then the more promising nodes will be explored first before the less promising nodes

Informed/Heuristic Search Strategies

- Node expansion based on some **estimate** of distance to goal, extending current path
- General approach of informed search:
 - **Best First Search:** node selected for expansion based on an evaluation function $f(n)$
 - $f(n)$ includes estimate of distance to goal
- Implementation:
 - Sort *frontier* queue monotonically by $f(n)$
 - Special cases: greedy search, A* search

Romania Revisited

city-to-city distances



Straight-line distances
to Bucharest

| | | | |
|-----------|-----|----------------|-----|
| Arad | 366 | Mehadia | 241 |
| Bucharest | 0 | Neamt | 234 |
| Craiova | 160 | Oradea | 380 |
| Dobreta | 242 | Pitesti | 100 |
| Eforie | 161 | Rimnicu Vilcea | 193 |
| Fagaras | 176 | Sibiu | 253 |
| Giurgiu | 77 | Timisoara | 329 |
| Hirsova | 151 | Urziceni | 80 |
| Iasi | 226 | Vaslui | 199 |
| Lugoj | 244 | Zerind | 374 |

Greedy Best First Search

“A rule of thumb, simplification, or educated guess that reduces or limits the search for solutions in domains that are difficult and poorly understood.”

- Let evaluation function $f(n) = h(n)$ (heuristic)
 - $h(n)$ = estimated cost of the cheapest path from node n to goal node
 - If n is goal then $h(n) = 0$
- Here: $h_{SLD}(n)$ = straight-line distance from n to Bucharest
- Ignores cost so far to get to that node $g(n)$

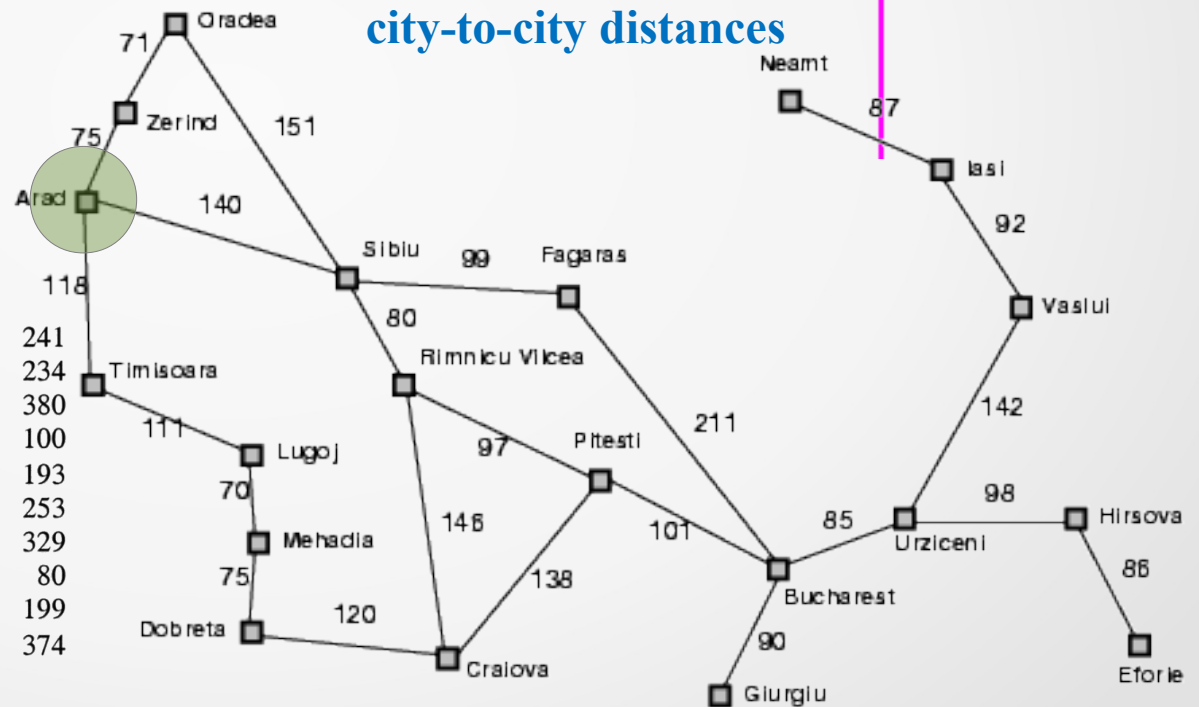
Greedy Best First Search: Example

Arad
366

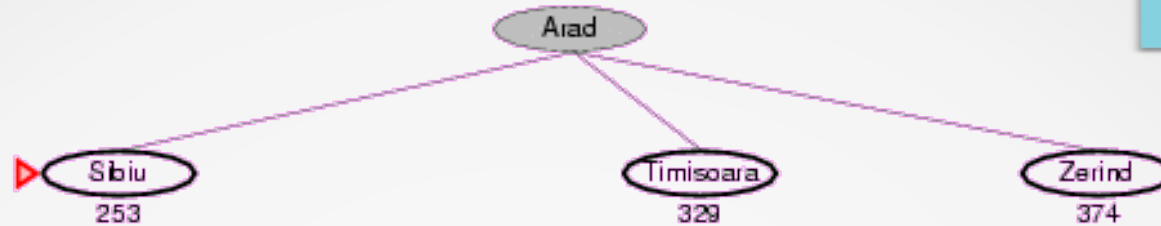
**Straight-line distances
to Bucharest**

| | | |
|-----------|-----|----------------|
| Arad | 366 | Mehadia |
| Bucharest | 0 | Neamt |
| Craiova | 160 | Oradea |
| Dobreta | 242 | Pitesti |
| Eforie | 161 | Rimnicu Vilcea |
| Fagaras | 176 | Sibiu |
| Giurgiu | 77 | Timisoara |
| Hirsova | 151 | Urziceni |
| Iasi | 226 | Vaslui |
| Lugoj | 244 | Zerind |

city-to-city distances



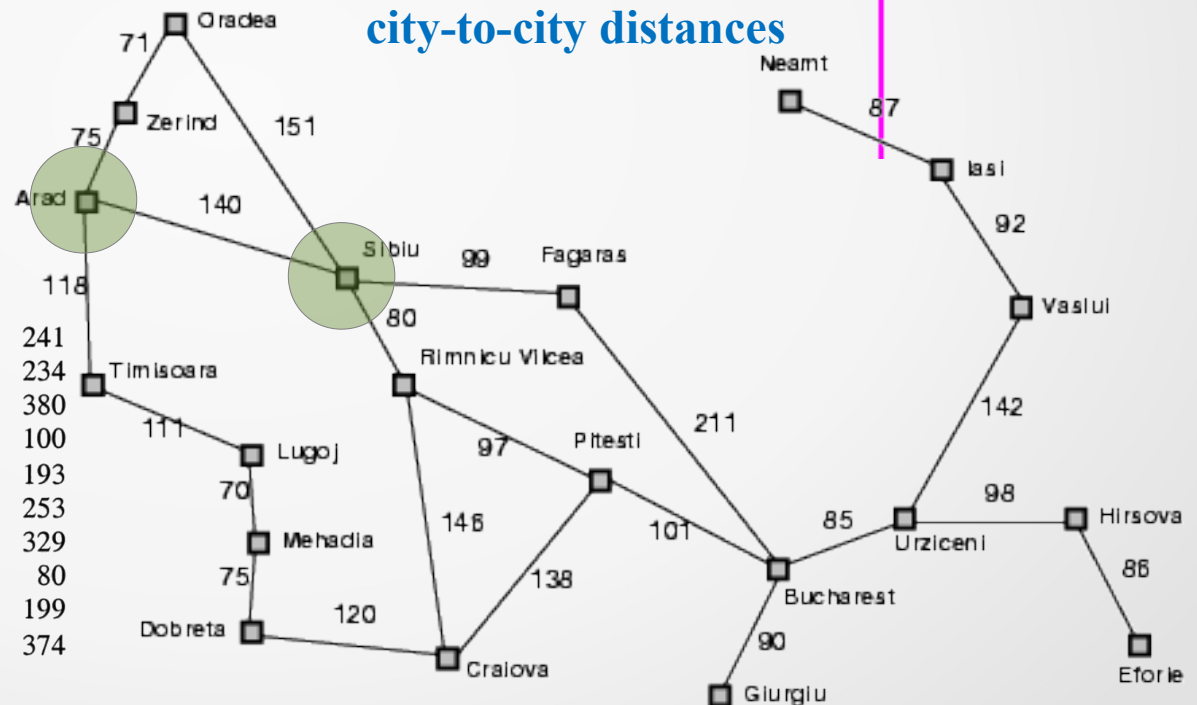
Greedy Best First Search: Example



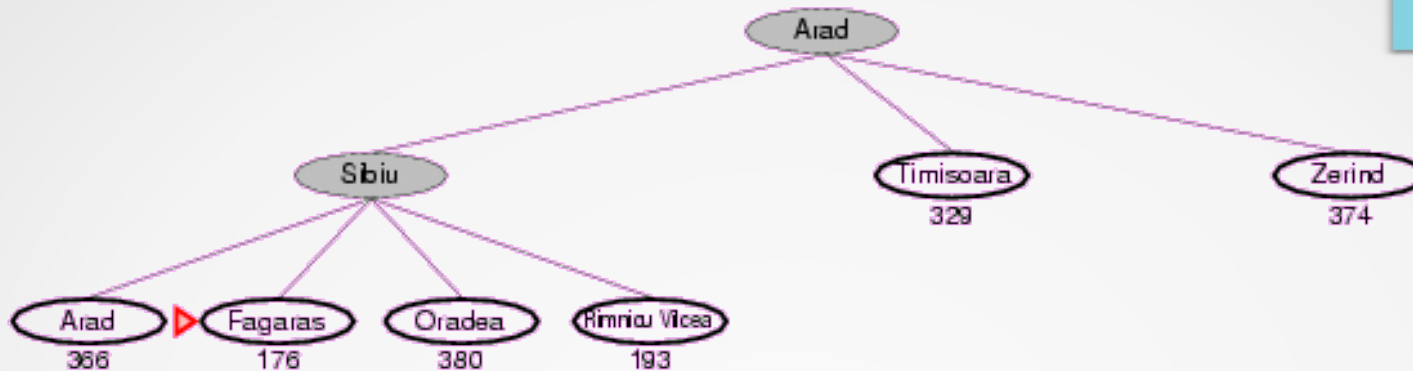
**Straight-line distances
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city-to-city distances



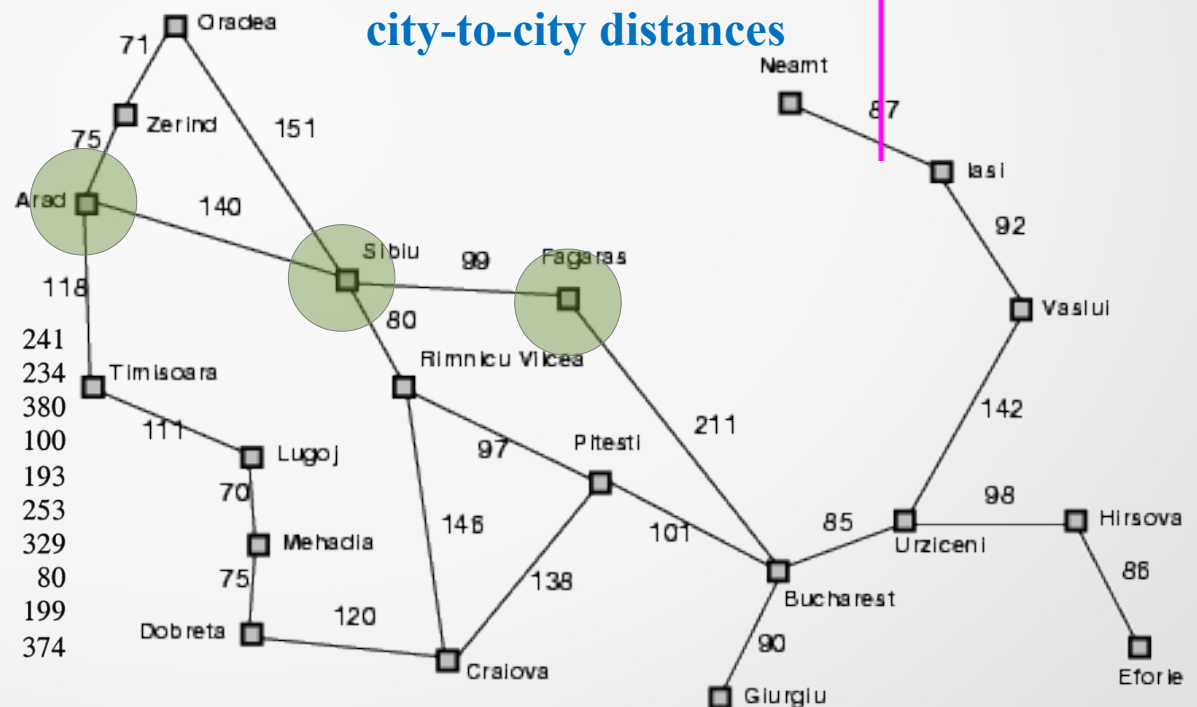
Greedy Best First Search: Example



city-to-city distances

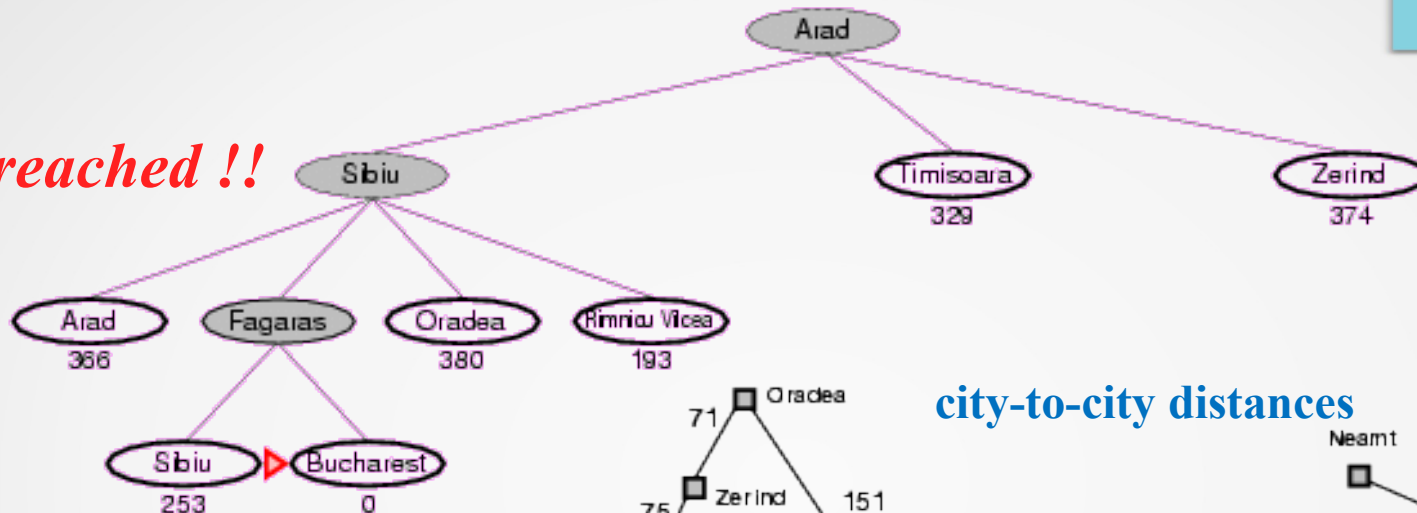
Straight-line distances
to Bucharest

| | | |
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Greedy Best First Search: Example

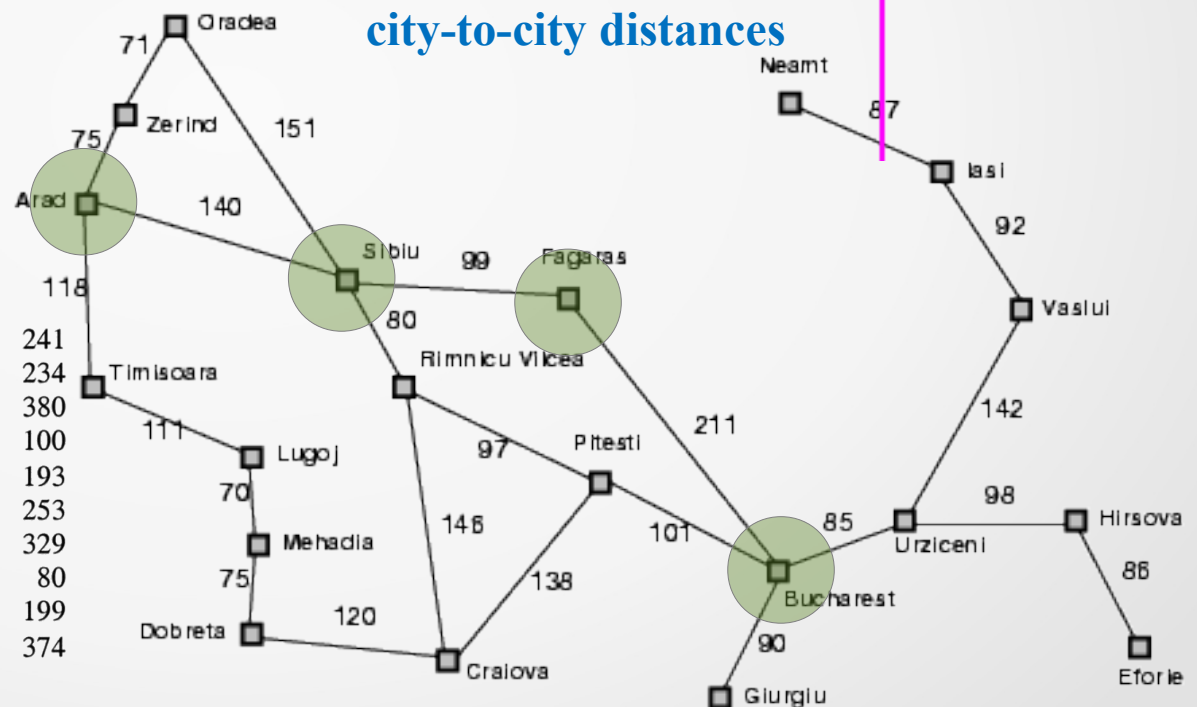
Goal reached !!



city-to-city distances

Straight-line distances
to Bucharest

| | | |
|-----------|-----|----------------|
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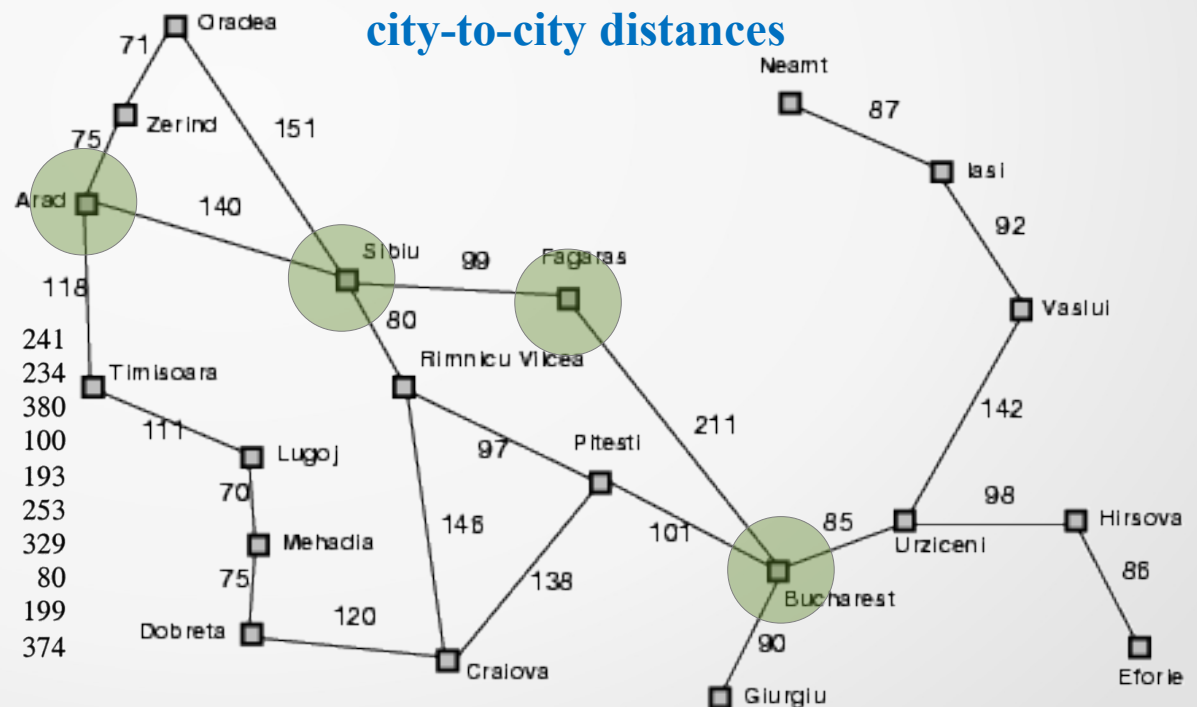
Greedy Best First Search: Properties

- **Optimal? - No!**

- Found: *Arad* → *Sibiu* → *Fagaras* → *Bucharest* (450km)
- Shortest: *Arad* → *Sibiu* → *Rimnicu Vilcea* → *Pitesti* → *Bucharest* (418 km)

Straight-line distances to Bucharest

| | | |
|-----------|-----|----------------|
| Arad | 366 | Mehadia |
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| Lugoj | 244 | Zerind |



Greedy Best First Search: Properties

- **Complete?** - No
 - Can get stuck in loops e.g. *Iasi* → *Neamt* → *Iasi* → *Neamt* → ...

Straight-line distances to Bucharest

| | | |
|------------------|-----|-----------------------|
| Arad | 366 | Mehadia |
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Greedy Best First Search: Properties

- **Optimal?** - No
- **Complete?** - No, can get stuck in loops
- **Time?**
 - $O(bm)$ – worst case (like Depth First Search)
 - **But** a good heuristic can give dramatic improvement
- **Space?**
 - $O(bm)$ – keeps all nodes in memory

A* Search

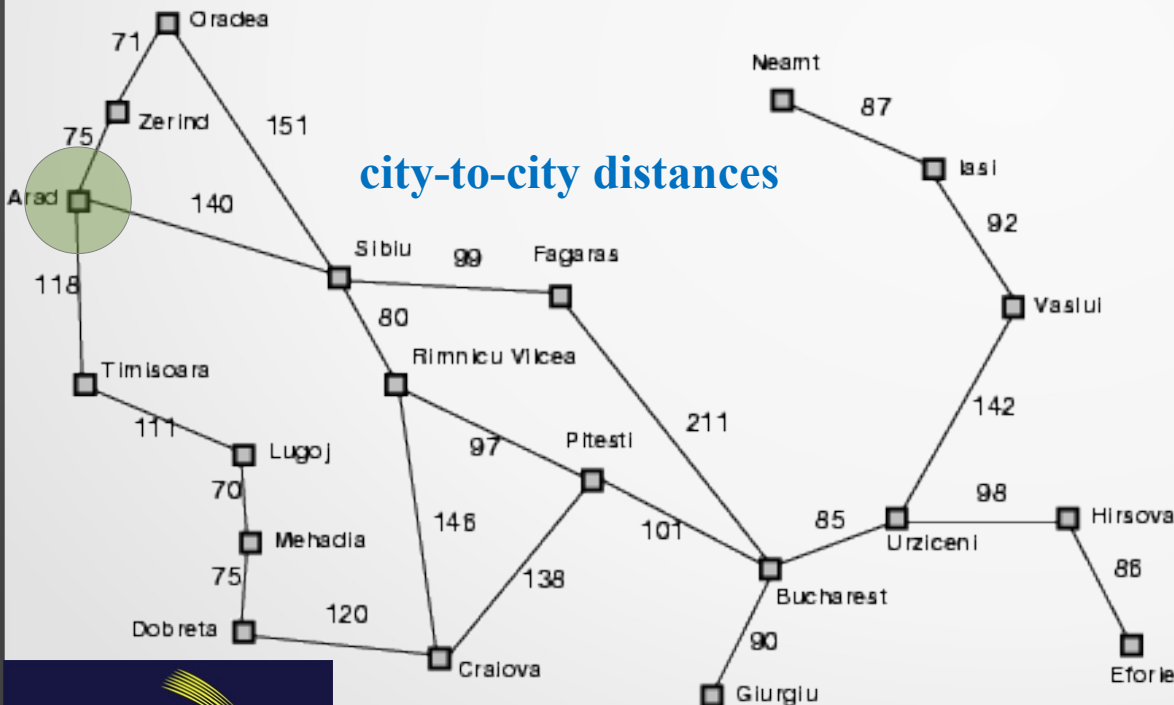
- Best-known form of Best First Search
- Idea: avoid expanding paths that are already expensive
- Evaluation function $f(n) = g(n) + h(n)$
 - $g(n)$ = cost so far to reach n
 - $h(n)$ = estimated cost from n to goal
 - $f(n)$ = estimated total cost of path through n to goal
- Implementation: Sort frontier queue by increasing $f(n)$

A* Search: Admissible Heuristics

- Let $h(n)$ be an **admissible heuristic**
 - A heuristic is admissible if it **never overestimates** the cost to reach the goal i.e it is **optimistic**
 - Formally: $\forall n$ where n is a node
$$h(n) \leq h^*(n) \quad \text{where } h^*(n) \text{ is the true cost from } n$$
$$h(n) \geq 0 \quad \text{so } h(G) = 0 \text{ for any goal } G$$
- Example
 - $h_{SLD}(n)$ never overestimates the actual road distance
- Theorem: if $h(n)$ is **admissible**, A* using Tree Search is **optimal**

A* Search: Example

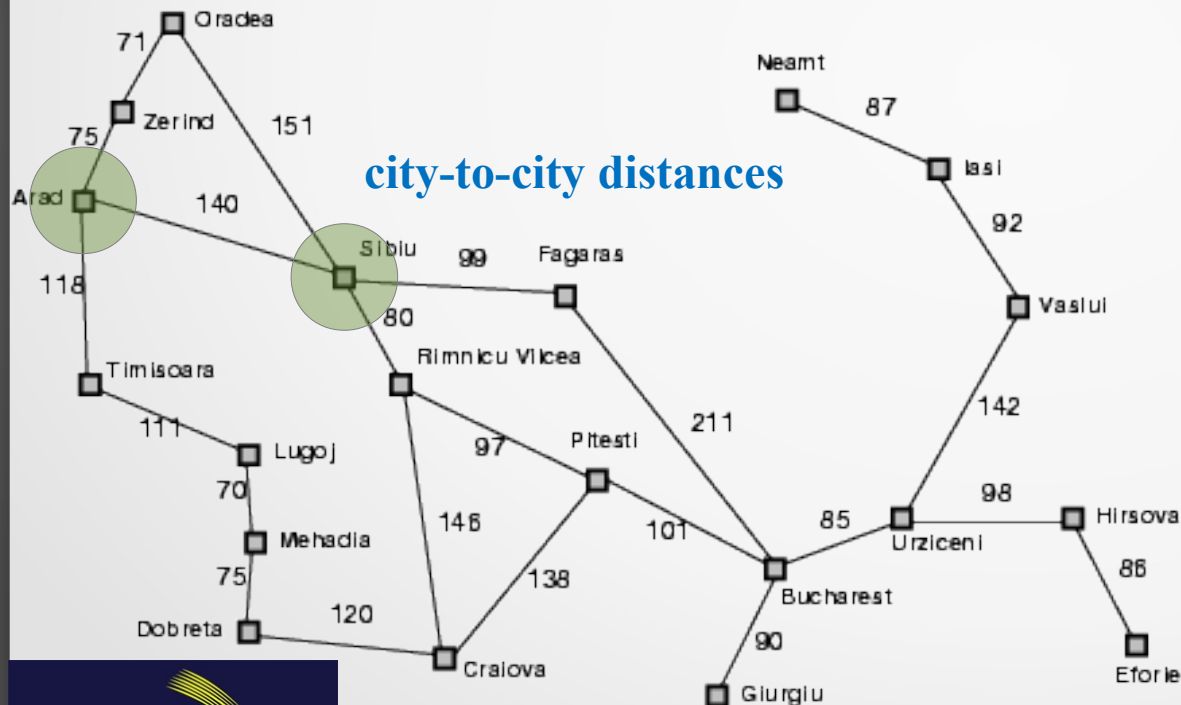
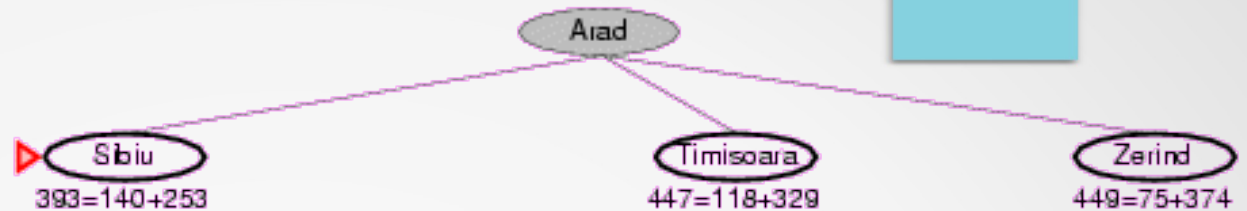
Arad
366=0+366



Straight-line distances to Bucharest

| | | | |
|-----------|-----|----------------|-----|
| Arad | 366 | Mehadia | 241 |
| Bucharest | 0 | Neamt | 234 |
| Craiova | 160 | Oradea | 380 |
| Dobreta | 242 | Pitesti | 100 |
| Eforie | 161 | Rimnicu Vilcea | 193 |
| Fagaras | 176 | Sibiu | 253 |
| Giurgiu | 77 | Timisoara | 329 |
| Hirsova | 151 | Urziceni | 80 |
| Iasi | 226 | Vaslui | 199 |
| Lugoj | 244 | Zerind | 374 |

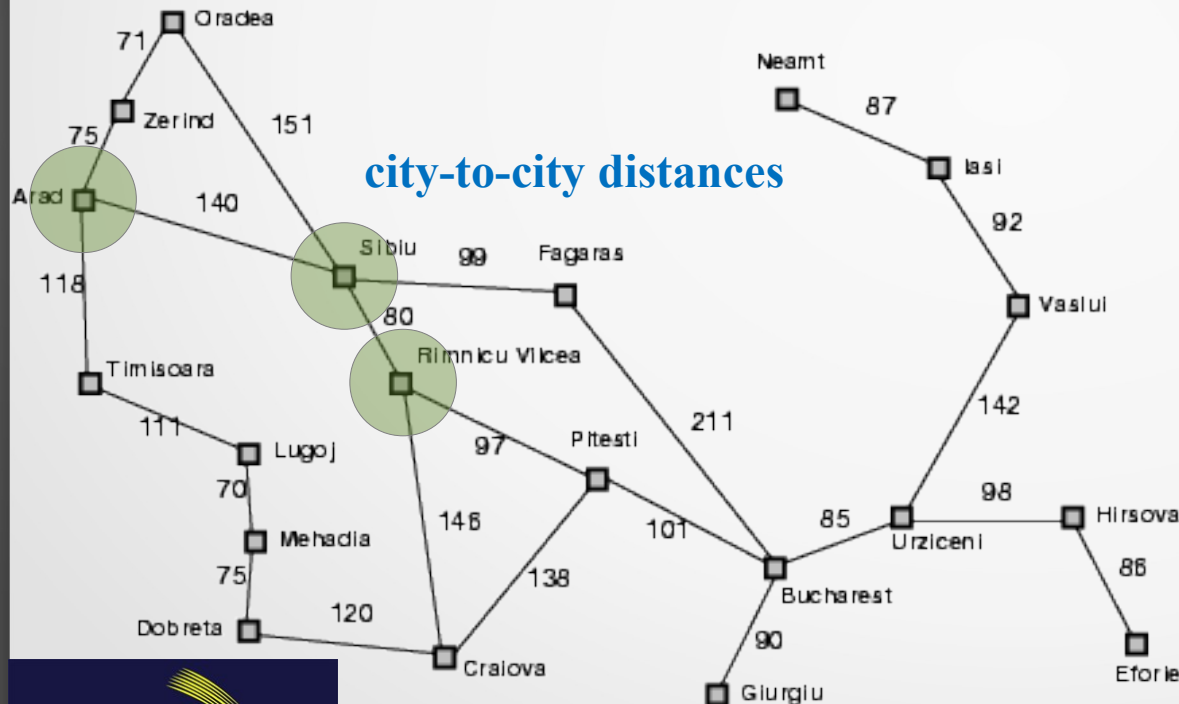
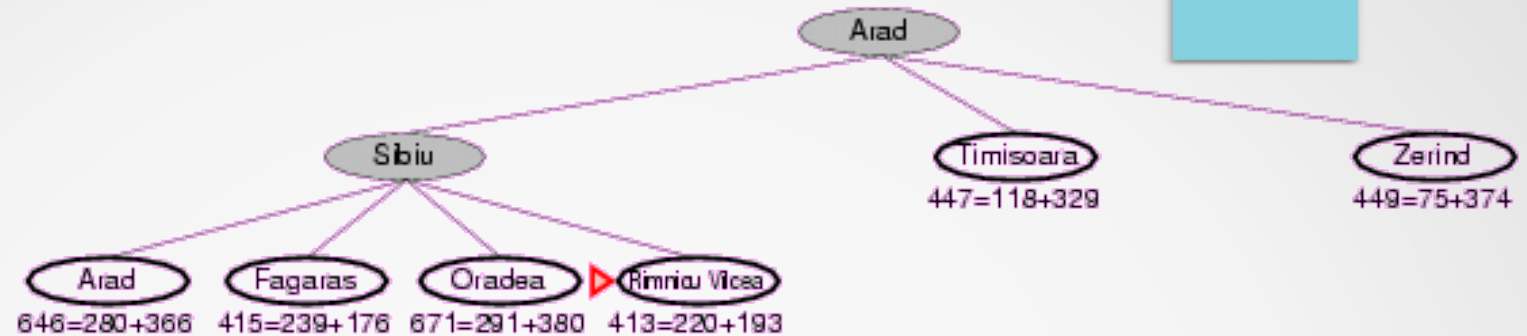
A* Search: Example



Straight-line distances to Bucharest

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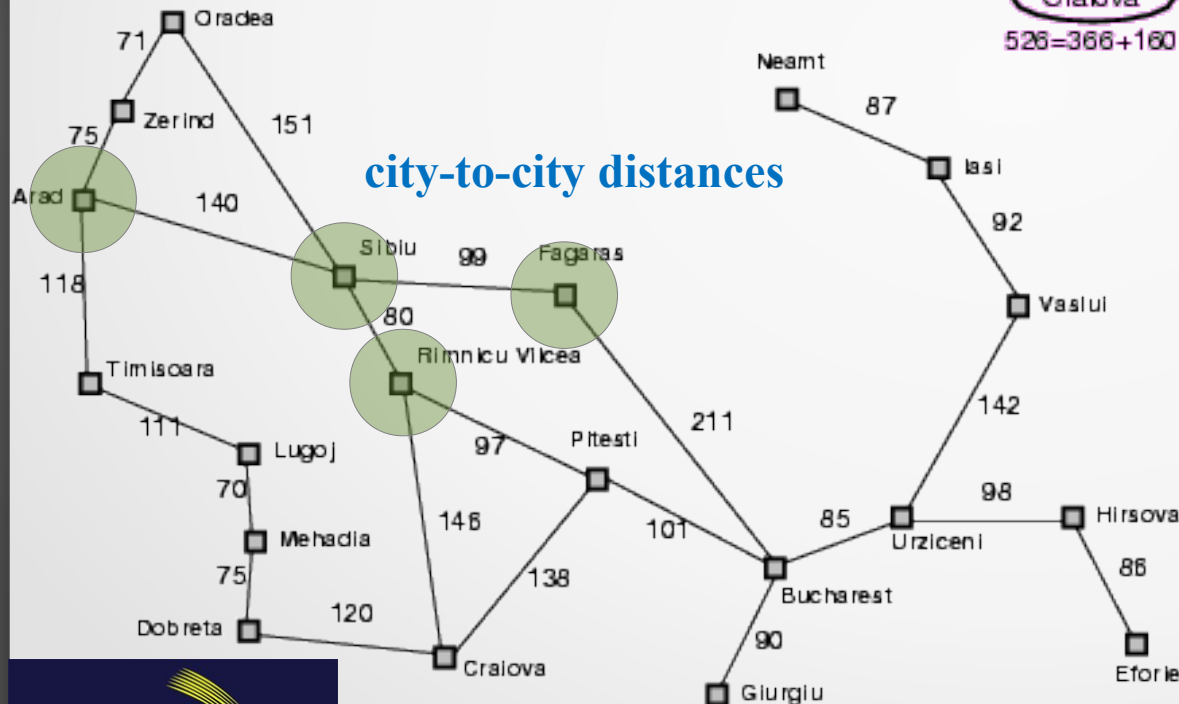
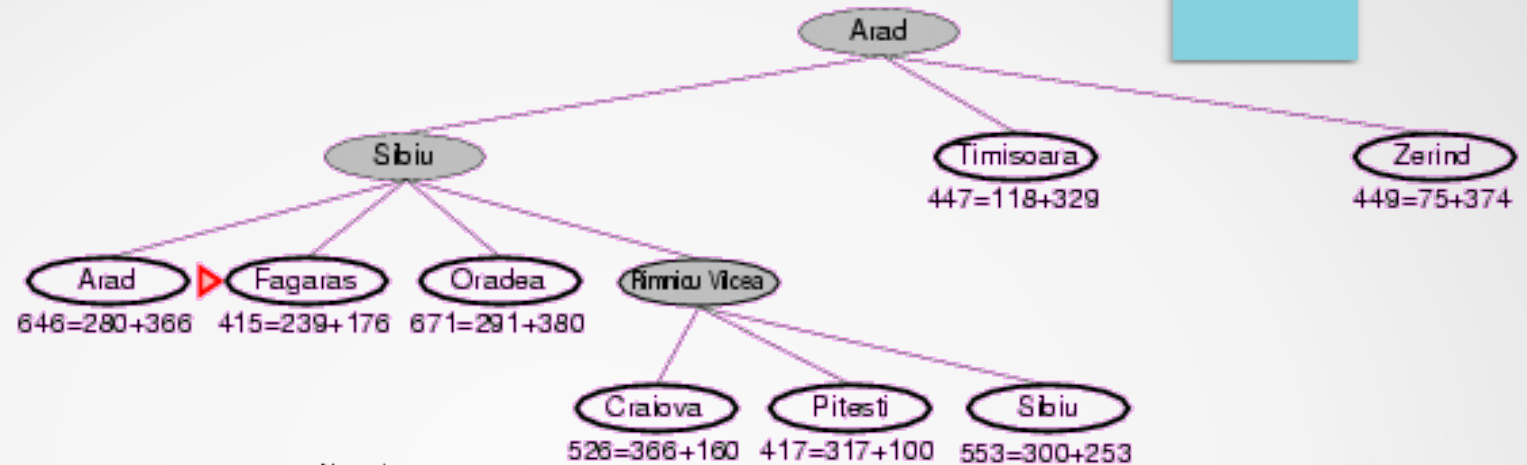
A* Search: Example



Straight-line distances to Bucharest

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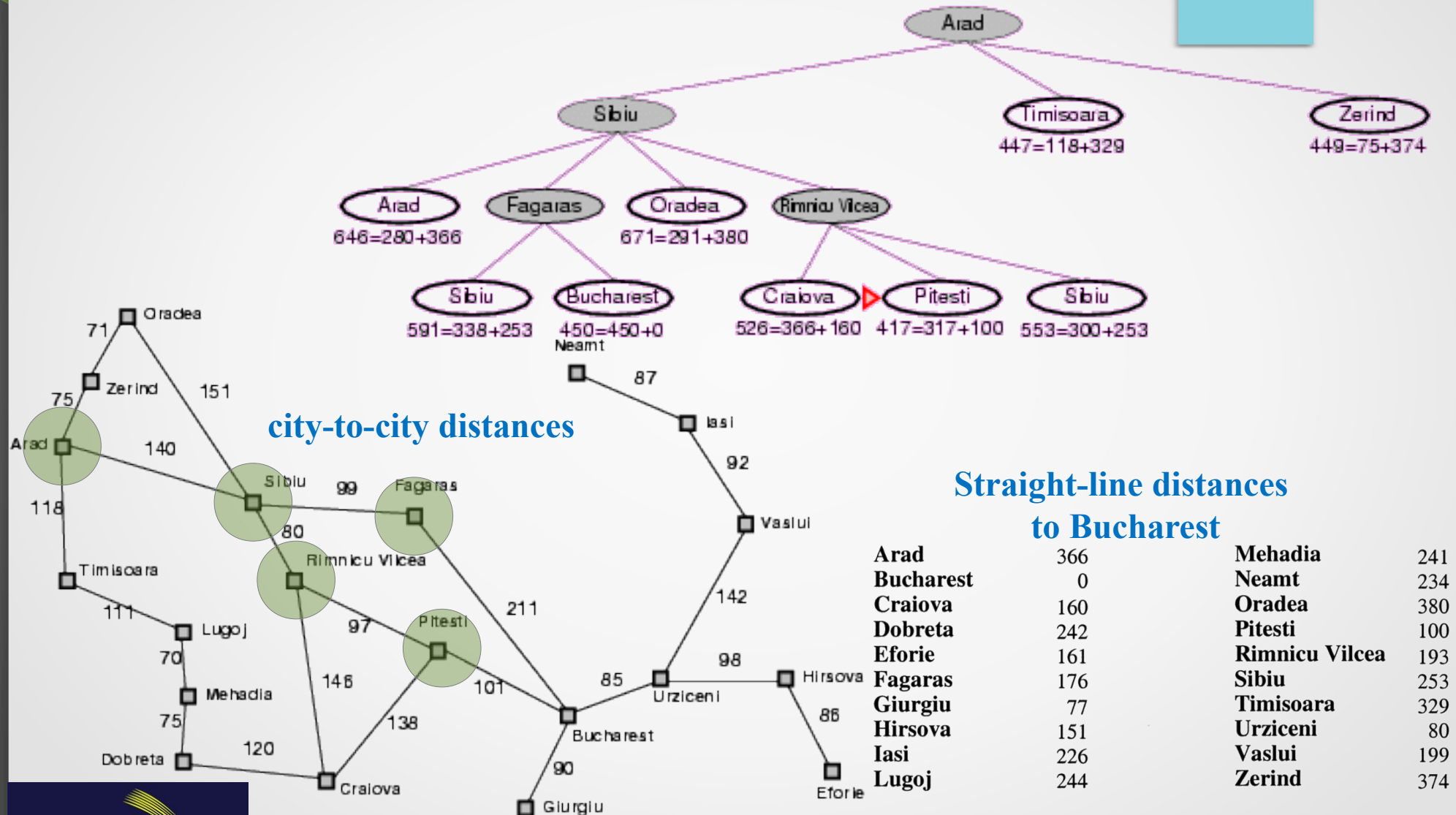
A* Search: Example



Straight-line distances to Bucharest

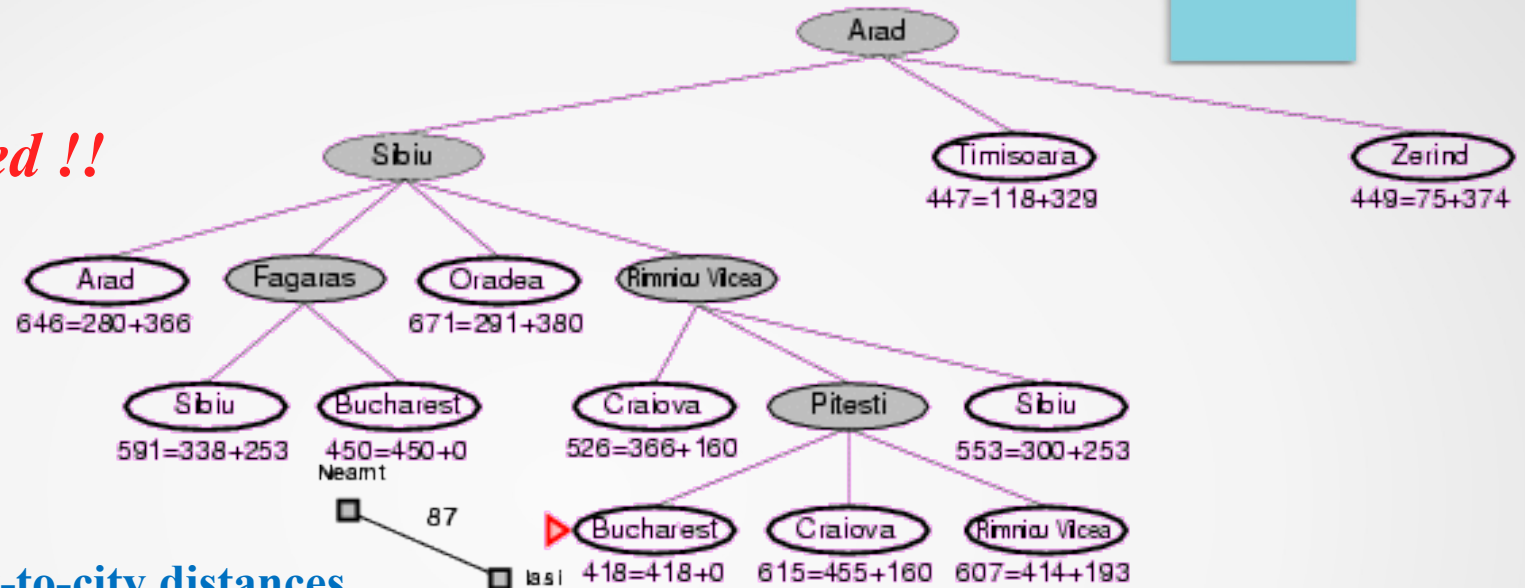
| | | | |
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A* Search: Example

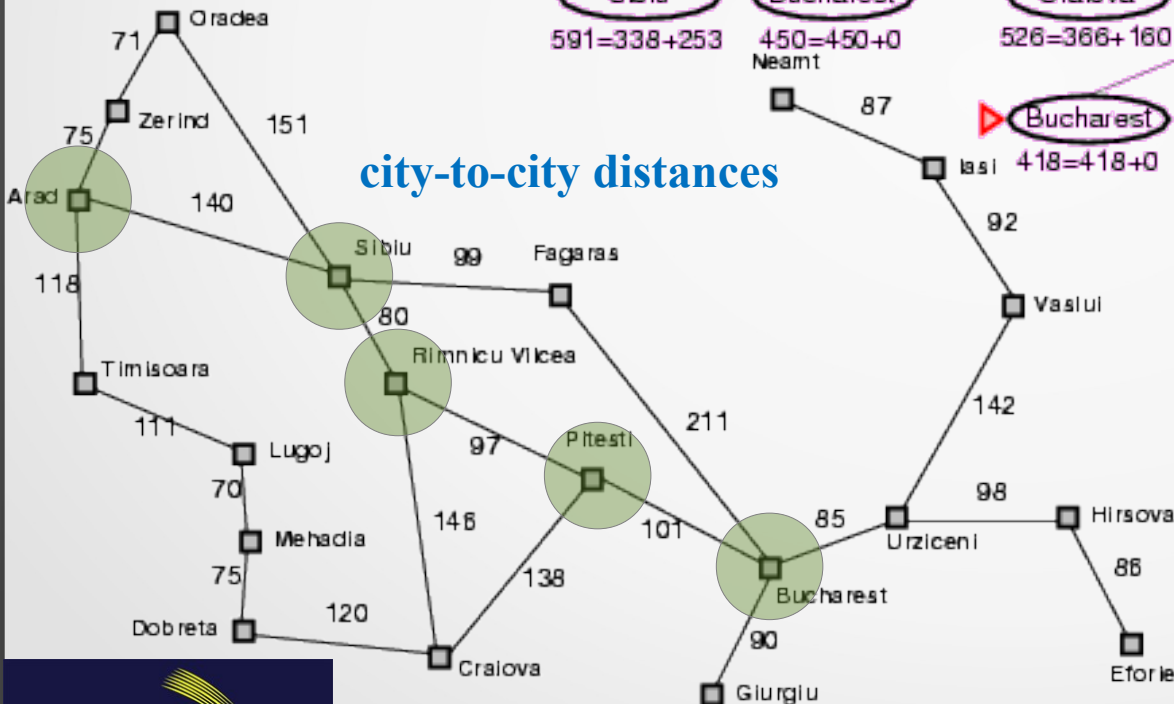


A* Search: Example

Goal reached !!



city-to-city distances



Straight-line distances
to Bucharest

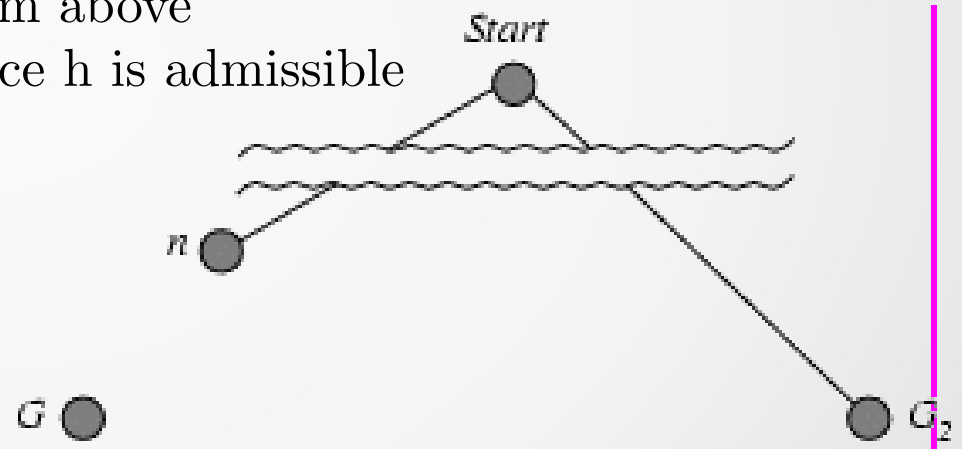
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A* Search: Proof of Optimality

- Suppose some suboptimal goal G_2 has been generated and is in the frontier. Let n be an unexpanded node in the fringe such that n is on a shortest path to an optimal goal G .

$$\begin{aligned}f(G_2) &> f(G) \\h(n) &\leq h^*(n) \\g(n) + h(n) &\leq g(n) + h^*(n) \\f(n) &\leq f(G)\end{aligned}$$

from above
since h is admissible



$\therefore f(G_2) > f(n)$ and A* will never select G_2 for expansion

Consistent Heuristics

- A heuristic is **consistent** if for every node n , every successor n' of n is generated by any action a

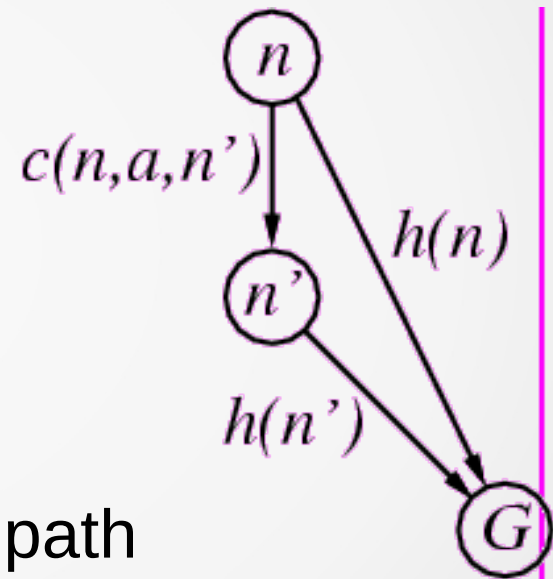
$$h(n) \leq c(n, a, n') + h(n')$$

- If h is consistent, we have

$$\begin{aligned} f(n') &= g(n') + h(n') \\ &= g(n) + c(n, a, n') + h(n') \\ &\geq g(n) + h(n) = f(n) \end{aligned}$$

i.e. $f(n)$ is non-decreasing along any path

- Theorem: If $h(n)$ is consistent, A* using GRAPH-SEARCH is optimal



Admissible Heuristics: 8-puzzle

E.g. for the 8-puzzle

| | | |
|---|---|---|
| 7 | 2 | 4 |
| 5 | | 6 |
| 8 | 3 | 1 |

Start State

| | | |
|---|---|---|
| | 1 | 2 |
| 3 | 4 | 5 |
| 6 | 7 | 8 |

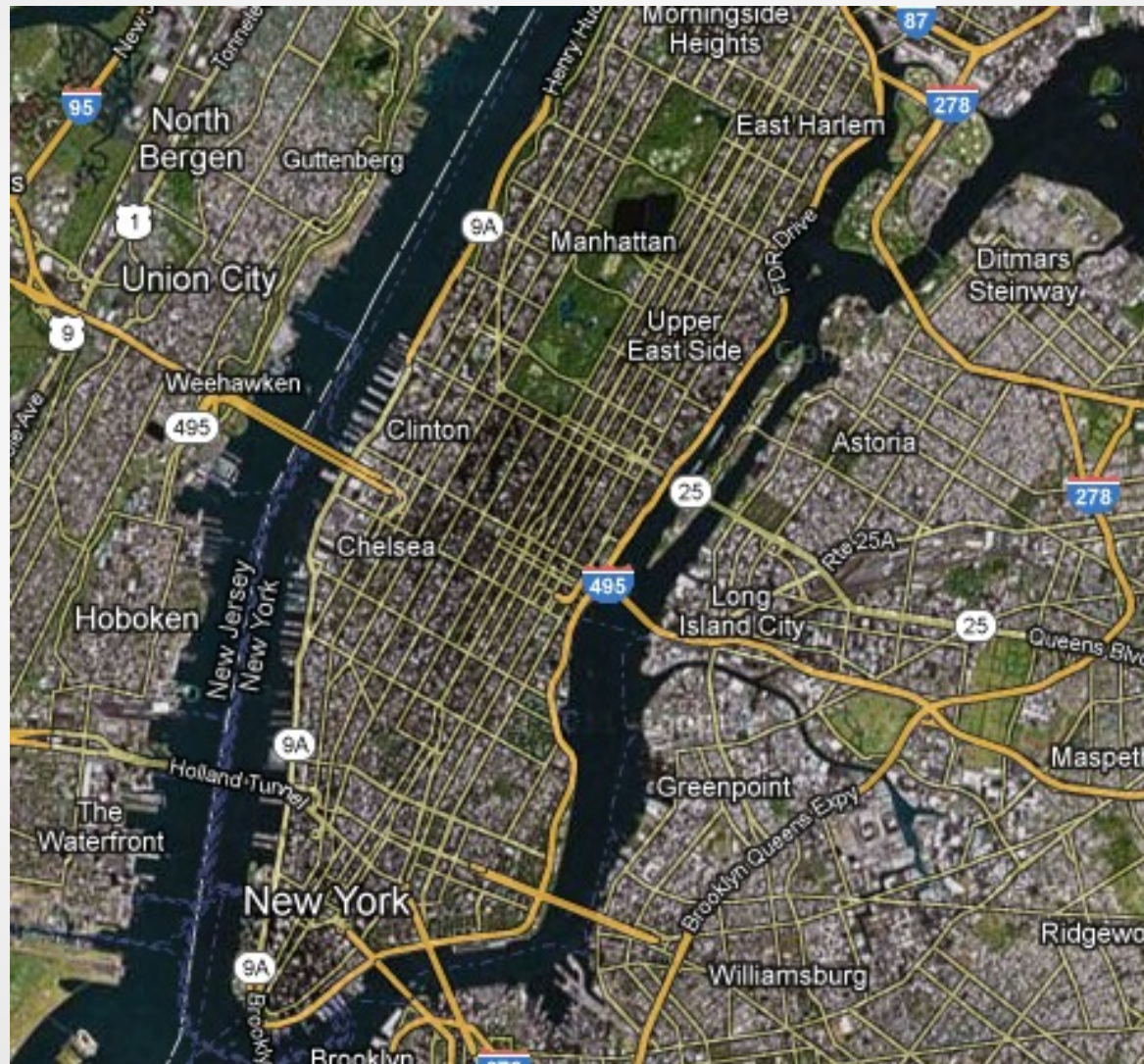
Goal State

- $h_1(n)$ = number of misplaced tiles
- $h_2(n)$ = total Manhattan distance

(i.e. no. of squares from desired location of each tile)

- $h_1(S) = ?$
- $h_2(S) = ?$

Manhattan Distance



Admissible Heuristics: 8-puzzle

E.g. for the 8-puzzle

| | | |
|---|---|---|
| 7 | 2 | 4 |
| 5 | | 6 |
| 8 | 3 | 1 |

Start State

| | | |
|---|---|---|
| | 1 | 2 |
| 3 | 4 | 5 |
| 6 | 7 | 8 |

Goal State

- $h_1(n)$ = number of misplaced tiles
- $h_2(n)$ = total Manhattan distance

(i.e. no. of squares from desired location of each tile)

- $h_1(S) = 8$
- $h_2(S) = 3+1+2+2+2+3+3+2 = 18$

A* Search: Drawback

- Requires a lot of memory (still less than uninformed search) since it needs to keep all the generated nodes in memory
- Because of above, not practical for many large-scale problems

Metaheuristics

- A heuristic meant to find/generate/select a heuristic
 - Solution to optimization problem!
- Many are inspired by nature
 - Swarm intelligence
 - Particle Swarm Optimization
 - Ant Colony Optimization
 - Simulated Annealing
 - Evolutionary Programming/Genetic Algorithms

Metaheuristics

- A **higher-level** procedure/heuristic for finding a **sufficiently** good solution (which is itself an algorithm/heuristic)
- Good for search spaces too large to reasonably sample
- Good for incomplete/imperfect information
- Effectively are **strategies** to guide the search process in order to select solutions
 - Usable for a variety of problems (problem-independent)

Metaheuristics

- **Do not guarantee** a globally optimal solution (in general)
- **Not greedy** (in general)
- May sometimes lead to a (temporary) **deterioration of the solution**
 - This allows them to explore the solution space more thoroughly
- Goal is to **efficiently** explore the search space to find a **near-optimal** (good enough) solution

Genetic Algorithms

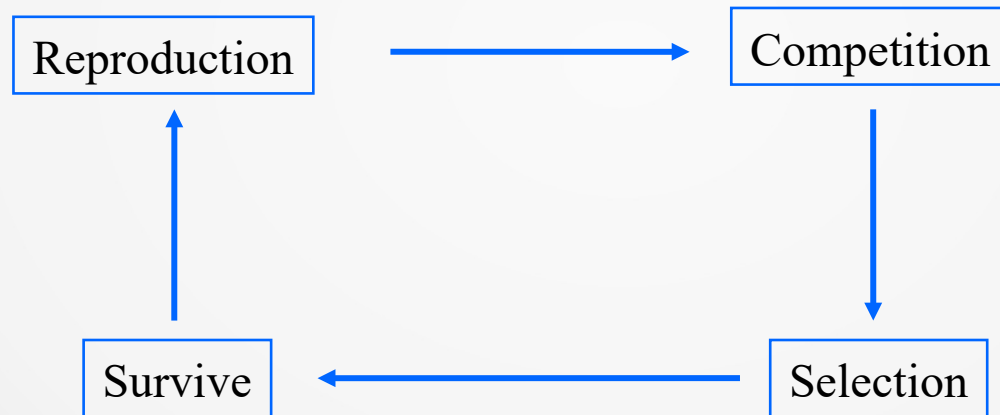
- Search-based optimization techniques based on the principles of **genetics** and **natural selection**
- **Adaptive** heuristic search algorithms that belong to the class of **evolutionary algorithms** (these simulate processes in natural system for evolution)
- Frequently used to solve optimization problems, finding near-optimal solutions to difficult problems

Genetic Algorithms History

- Developed in 1960s by John Holland, students, and colleagues in U. Michigan (including David E Goldberg)
- Result of a formal study of the phenomenon of adaptation as it occurs in nature
- Attempt to import the mechanisms of natural adaptation into computer systems
- Popularity increased in late 1980's
- Based on Darwin's theory of evolution, where the **best** should **survive** and create new **offspring**

Genetic Algorithms History

- “Survival of the Fittest” – the process of **natural selection** which means species who can adapt to changes in their environment are more likely to survive and reproduce, with adaptations passed on

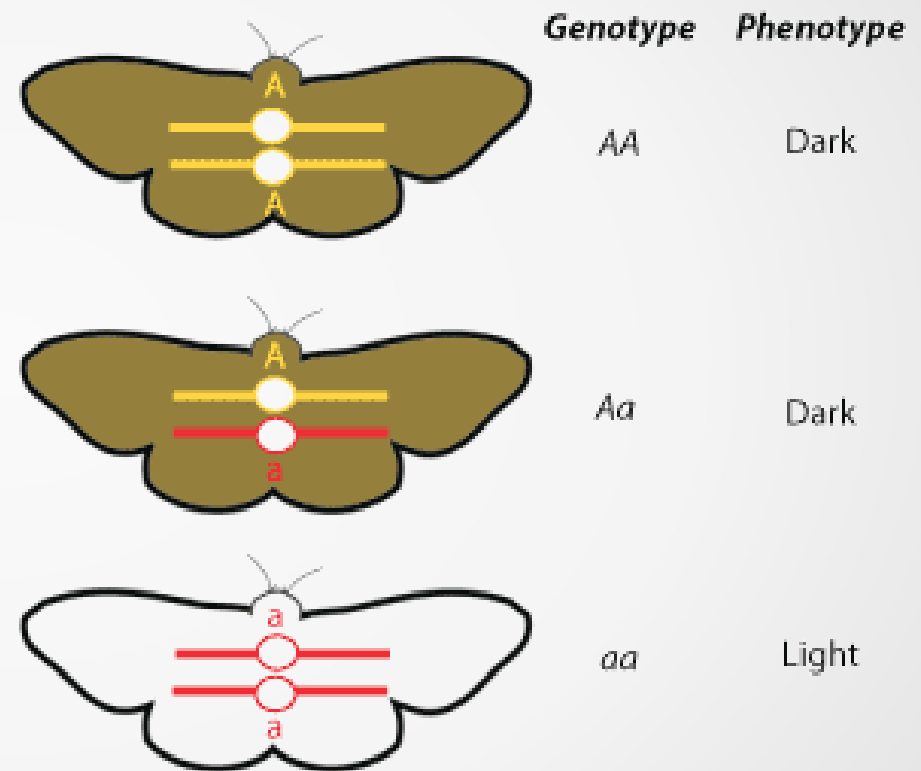
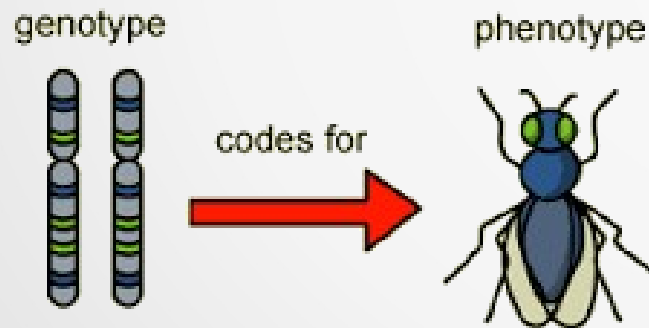
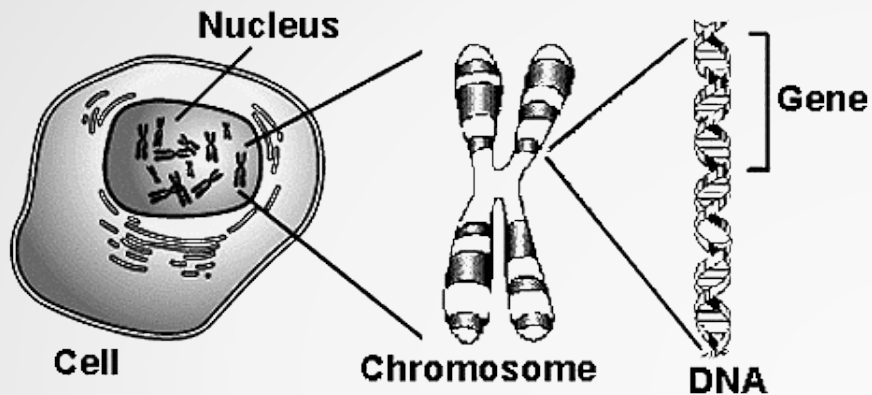


- A robust **search and optimization** mechanism

Biology of Natural Selection

- Cells contain **chromosomes**, which contain **genes**
- Aspects of an organism (e.g. hair colour, height) depend on the organism's genes
- A collection of genes is called a **genotype**
- A collection of traits/characteristics is called a **phenotype**
- **Reproduction** recombines genes from parents, along with some amount of mutation (errors) in copying
- **Fitness** of an organism determines its likelihood of reproducing

Biology of Natural Selection



Biology of Natural Selection

- **Genes** are the basic **building blocks** for an organism
- A **chromosome** is a sequence of genes
- Biologists distinguish between the **genotype** (genes and chromosomes) and the **phenotype** (the actual physical characteristic/expression in the organism)
 - You may have ‘tall genes’ but a separate medical condition affecting your spine may leave you short
- In genetic algorithms, “genes” may describe a **possible solution** without actually being the solution itself

Finding Solutions

- Suppose you have a problem that you don't know how to solve...
 - No algorithm for solving it exists/is known
- There are a seemingly unlimited number of possible ways to solve it
 - Search space very large/infinite
- What can you do?

Finding Solutions

- An exhaustive search may work
 - Time taken depends on search space
- Gradient descent may be helpful
 - Directs the exhaustive search, but time taken still depends on search space
- Blind search (random generate and test) may actually be better than the above
 - Need to know what sort of solution is 'good enough'

Finding Solutions

Try this “smarter” idea

- Generate a set of random solutions
- Repeat the following
 - Test each solution and rank them
 - Remove bad solutions
 - Keep good solutions, duplicate/modify them
- Stop when the solution is ‘good enough’
- GA! Intelligently exploits random search to direct search

Evolution

- Individuals (a candidate solution) in **population** (a set of solutions) **compete** for resources and mating opportunity
- Those individuals who are **successful** (fitness) then **mate** (recombine) to create more **offspring** (better solutions) than others
- Genes from 'fittest' parent propagate through the generations, sometimes creating better offspring (than either parent)
- Each successive generation is thus more suited for their environment

Genetic Algorithm Fundamentals

- We have a **pool/population** of possible solutions
- These solutions undergo **recombination** and **mutation** (like in natural genetics) producing new **children** (better than earlier solutions) and the process is repeated over various generations
- Each **individual** (candidate solution) has a **fitness value** (based on its **objective function** value), with the fitter individuals having a higher chance of recombining (mating) to yield “fitter” individuals
- Keep “**evolving**” till we reach a **stopping** criterion till we get a good enough solution

Genetic Algorithms Fundamentals

| Nature | Genetic Algorithm |
|--|--|
| The environment (full of challenges) | Optimization problem |
| Individuals living in that environment | Feasible solutions (population) |
| Individual's degree of adaptation | Solution quality (fitness) |
| A population of individuals | A set of feasible solutions |
| Selection, recombination, and mutation | Stochastic operators (selection, crossover, and mutation) |
| Evolution of populations to suit their environment | Iteratively applying stochastic operators on the set of feasible solutions |

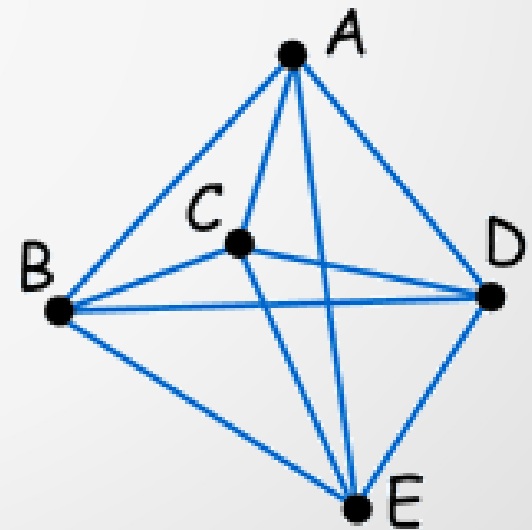
Motivation for Genetic Algorithms

- In general, genetic algorithms are used to deliver a 'good enough' (not necessarily the best) solution 'fast-enough'
 - We are not perfectionists; we are engineers!
- Normally, genetic algorithms are used when the following conditions are fulfilled:-
 - Problem is difficult
 - Gradient-based methods fail
 - Fast (and good) solution needed

Motivation 1: Difficult Problems

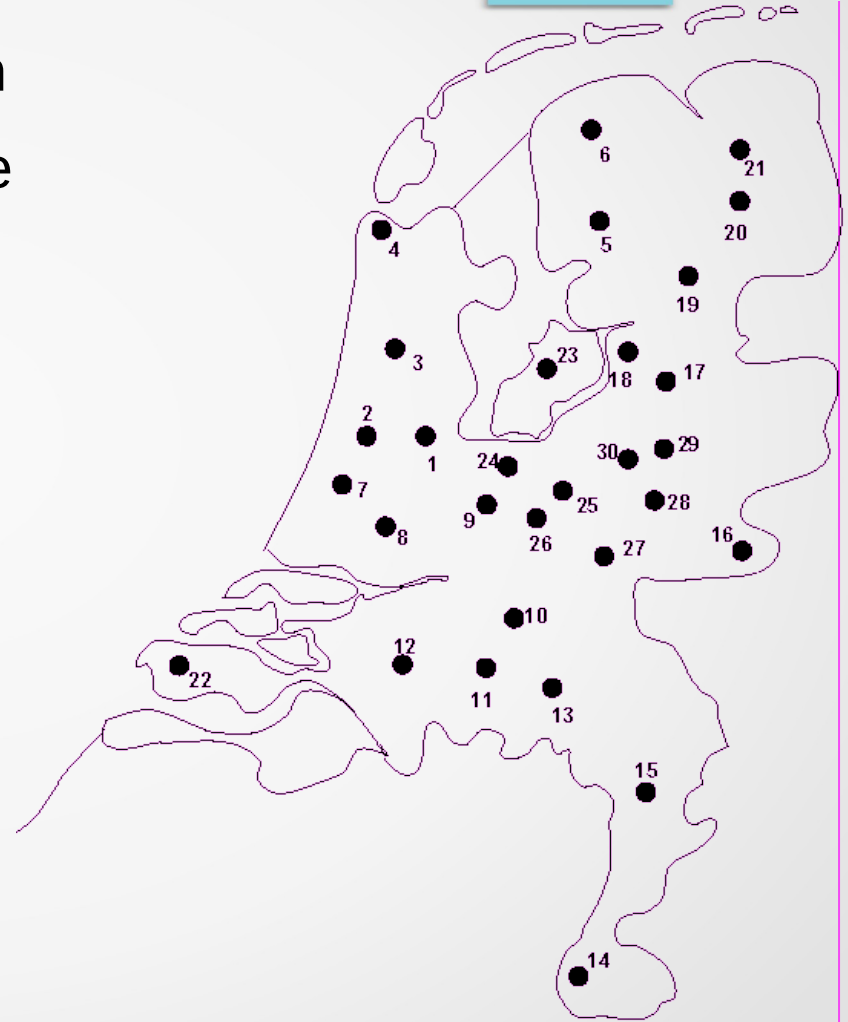
Traveling Salesman Problem (TSP)

- Imagine you need to visit 5 cities (you know all the distances)
- What is the shortest round-trip to follow? ABCDEA? ADECBA?
- How to solve this?
 - Check all possibilities (brute force)
 - Only works for small problems
 - Take factorial time $n!$



Motivation 1: Difficult Problems

- The TSP is an **NP-Complete** problem
- Complexity of such problems (for brute force) is **$O(N!)$**
- Even the most powerful computing systems could take a very long time (years, decades, centuries) to solve these problems
- GAs can be an efficient tool to provide usable **near-optimal** solutions in a shorter amount of time
- What if a tour guide needed to solve TSP for 30 cities?



Motivation 1: Difficult Problems

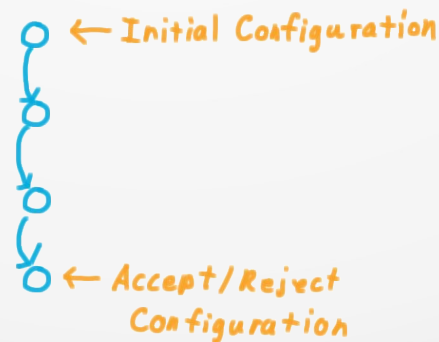
| n | $n!$ | n | $n!$ |
|-----|---------------------------|---------|-----------------------------------|
| 0 | 1 | 25 | $1.551121004 \times 10^{25}$ |
| 1 | 1 | 50 | $3.041409320 \times 10^{64}$ |
| 2 | 2 | 70 | $1.197857167 \times 10^{100}$ |
| 3 | 6 | 100 | $9.332621544 \times 10^{157}$ |
| 4 | 24 | 450 | $1.733368733 \times 10^{1000}$ |
| 5 | 120 | 1000 | $4.023872601 \times 10^{2567}$ |
| 6 | 720 | 3249 | $6.412337688 \times 10^{10000}$ |
| 7 | 5,040 | 10000 | $2.846259681 \times 10^{35659}$ |
| 8 | 40,320 | 25206 | $1.205703438 \times 10^{100000}$ |
| 9 | 362,880 | 100000 | $2.824229408 \times 10^{456573}$ |
| 10 | 3,628,800 | 205023 | $2.503898932 \times 10^{1000004}$ |
| 11 | 39,916,800 | 1000000 | $8.263931688 \times 10^{5565708}$ |
| 12 | 479,001,600 | | |
| 13 | 6,227,020,800 | | |
| 14 | 87,178,291,200 | | |
| 15 | 1,307,674,368,000 | | |
| 16 | 20,922,789,888,000 | | |
| 17 | 355,687,428,096,000 | | |
| 18 | 6,402,373,705,728,000 | | |
| 19 | 121,645,100,408,832,000 | | |
| 20 | 2,432,902,008,176,640,000 | | |

Motivation 1: Difficult Problems

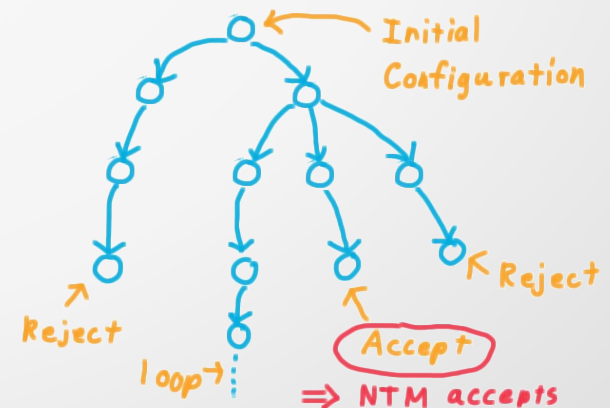
- **Deterministic Polynomial Time:** A Turing Machine takes at most $O(n^c)$ steps for a string of length n
- **Non-deterministic Polynomial Time:** A Turing Machine takes at most $O(n^c)$ steps on each computation path for a string of length n

Non deterministic TMs

Deterministic TM Computation

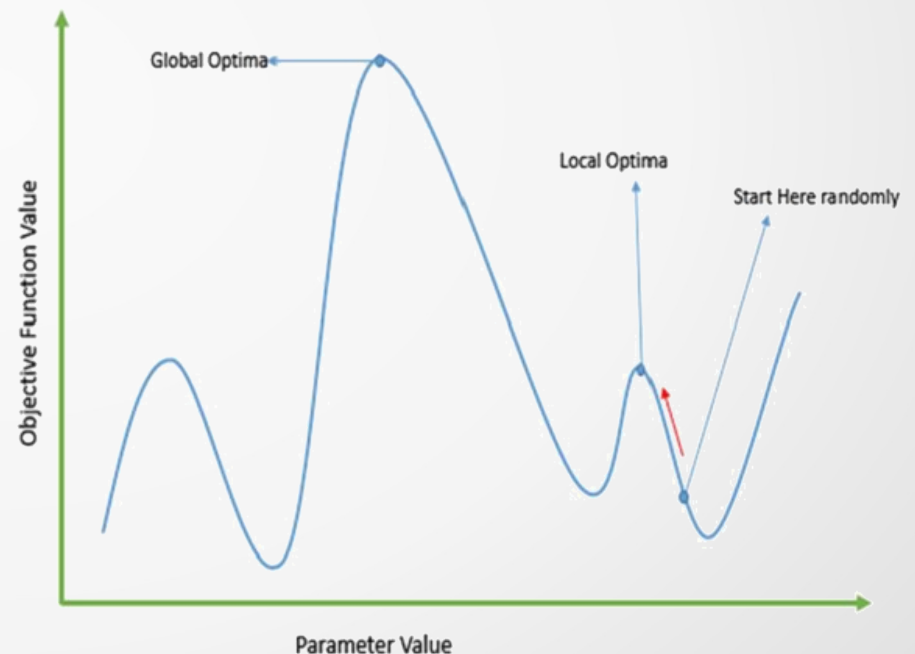


Non deterministic TM Computation



Motivation 2: Gradient Based Methods Fail

- Gradient-based methods start at a random point and move in the gradient direction to reach maxima/minima
- This can be very efficient for **single-peaked objective functions**
- In most real-world situation, the problem space has **many peaks** and **many valleys**, so gradient based methods will fail due to local optima



Motivation 3: Fast (and Good) Solution Needed

- Some **difficult problems** (like the TSP) have real-world applications like path finding and VLSI design
- For example, GPS navigation systems need to compute the 'optimal' path from one arbitrary location to another
- Multi-hour (or even multi-minute) computations are not acceptable for such applications, even if the results are perfect
- A 'good enough' solution which is delivered on time (a few seconds) is required

End of Lecture